

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2022**

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
AMP Capital Asia Limited	AUN326	Licensed Corporation		9
BaoHeng (Hong Kong) Investment Limited	BOK411	Licensed Corporation	4	
China Everbright Investment Management Limited	AOH421	Licensed Corporation	1	
CONTRENDIAN Limited	BIT392	Licensed Corporation	4	
Fides Capital Group Limited	BPY497	Licensed Corporation	1	
KGI Capital Asia Limited	ADT039	Licensed Corporation		6
L.V. Continuum & Co Limited	BPF355	Licensed Corporation	1, 4	
Mouette Securities Company Limited	BLE877	Licensed Corporation	9	
Po Sang Securities Limited	ACI778	Licensed Corporation		2, 5
SR Wealth Securities Limited	BHF761	Licensed Corporation	9	
Tung Yat Securities Limited	BNC519	Licensed Corporation	4, 9	
Yinhua International Capital Management Limited	BDO590	Licensed Corporation		1
AN Qi	AUM597	Licensed Representative	1	5
AU Ho Ki	AQG675	Licensed Representative	1, 4	
AZOUT Yohann Victor	BCJ901	Licensed Representative		7
CHAN Hin Geung, Mark	ADX490	Licensed Representative		9
CHAN Ka Yan	BJI435	Licensed Representative	9	
CHAN Ka Yan	BBU615	Licensed Representative		4, 5
CHAN Kin Ying	BFD599	Licensed Representative	3	
CHAN King Fai	AZG236	Licensed Representative		2
CHAN King Wang	AMK049	Licensed Representative		4
CHAN Kwok Fung, Dennis	AMK236	Licensed Representative		4
CHAN Man Lok	BGI559	Licensed Representative	9	
CHAN Pui Ling, Juliana	ADI999	Licensed Representative		4, 5, 9
CHAN Simon	AXH327	Licensed Representative	9	4
CHAN Sze Wing	ALI022	Licensed Representative	9	
CHAN Yee Hoi	AAK836	Licensed Representative		1, 5
CHAN Yiu Kwong	AED596	Licensed Representative		2
CHANG Nai Ting	BRR200	Licensed Representative		4, 6
CHANG Show Shin	BPV961	Licensed Representative	2	
CHANG Yu Tzu	BMR330	Licensed Representative		6
CHAU Chi Kan	BHZ243	Licensed Representative		4
CHAU Shannon Ka Yan	AMQ355	Licensed Representative	9	1
CHEN Mengqi	BPW128	Licensed Representative	6	
CHEN Ronghua	BQO896	Licensed Representative	4	
CHEN Yilin	BQI799	Licensed Representative	6	4
CHENG Man Wai	AZO361	Licensed Representative	2, 4, 5	
CHENG Tze Bun	AZC905	Licensed Representative	6	
CHENG Yin-Ting	BNO320	Licensed Representative		4, 9
CHENG Yu King, Alvin	APQ273	Licensed Representative	2	
CHEUNG Chun Man	ANR812	Licensed Representative	4	
CHEUNG Hiu Ning	BOP322	Licensed Representative	6	
CHING Tze Lok	AZS855	Licensed Representative	9	4
CHOI Ho Yin, Coey	BHL667	Licensed Representative		2
CHOI Zenas	BRP921	Licensed Representative	1	
CHOY Shuen Yan, Andy	ABF434	Licensed Representative		2
CHU Kwan Hoi	BBT830	Licensed Representative		4
CHU Ying Na	BRP047	Licensed Representative	3	
CHUA Hei Tung	BE0227	Licensed Representative	2	
CHUANG I-Chu	ANW396	Licensed Representative		9
CHUARD Victoria Wenyi	ASI157	Licensed Representative	7	
CHUNG Byeong Hun	BHU288	Licensed Representative	6	9
DU Shuping	BRI456	Licensed Representative	4	
FONG Kok Pui	BRX718	Licensed Representative		2
FU Yu	BBT748	Licensed Representative	9	1, 2
FUNG Ka Fai	ARW850	Licensed Representative		2
FUNG Ling	AEZ248	Licensed Representative		2
GAO Feng	BOR209	Licensed Representative		4, 6
GAO Junjie	AXG317	Licensed Representative	1, 4	10

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
GIBSON Julia Lauren	BPK837	Licensed Representative		4, 9
GINSBURG Matthew David	AD1176	Licensed Representative		1
GUI Lin	ANE043	Licensed Representative	1	6
GUI Xiaohui	BRC048	Licensed Representative	2	
GUO Shanshan	BRQ623	Licensed Representative	2, 7	
GUO Wenli	BRS515	Licensed Representative	9	4
HAN Wei	BPY364	Licensed Representative	4	
HAO Longbo	BKE576	Licensed Representative	9	2
HAO Xin	BQC601	Licensed Representative	9	
HO Winston Hon Tao	BDK999	Licensed Representative		3
HO Yuen Pang, Jason	BGX440	Licensed Representative	9	
HSIAO Roy	BCD423	Licensed Representative	9	
HSU Chih-Kai	BQA511	Licensed Representative	4	6
HU Yang	BJX571	Licensed Representative		6
HUANG Han	BPN734	Licensed Representative		4
HUANG Yiyun	BOX071	Licensed Representative	4, 9	2, 3
HUI Hin Long	BKY354	Licensed Representative	1	
IP Wai Man	BBX580	Licensed Representative		2
JIANG Yi	BRN802	Licensed Representative	4	
JIM Jessica Sze Ho	BJW074	Licensed Representative		1, 4
JIN Dan	AVJ262	Licensed Representative	1	5
KANG Jui Chi	AVI840	Licensed Representative	9	
KASHIMPURIA Nikhilkumar Subhash	BPS820	Licensed Representative		4
KEY John Richard	AOZ142	Licensed Representative		4
KHOO Xin Ping, Inez	BGL795	Licensed Representative		1, 4
KIM Hyo Jin	BKG492	Licensed Representative		9
KIM Young Yoon	BJL505	Licensed Representative		9
KIM Younghun	BNE872	Licensed Representative	4	10
KO Yui Chit, Janice	AFL110	Licensed Representative	7	2
KOTHARI Aditya	BHK842	Licensed Representative	9	1, 2
KWONG Kwok Shing	AUG602	Licensed Representative		2
LAI Kin Pui	BMS565	Licensed Representative	4	
LAM Fung Ling	AUC354	Licensed Representative		4, 9
LAM Wai Hung, Andy	ADB647	Licensed Representative		1, 4
LAM Yat Yeung	BQM756	Licensed Representative		9
LAM Yuk Shing	BNO273	Licensed Representative	1, 4, 9	
LAO Woon Yu, Susan	ADF381	Licensed Representative		1
LAU Chun Tung	AWB700	Licensed Representative		1
LAU Joanne Yan-wah	AZI786	Licensed Representative	4	
LAU Kit Tin	BIC606	Licensed Representative		1, 4
LAU Man Chi	AOU841	Licensed Representative		4
LAU Nga Pin	BNU295	Licensed Representative	4	
LAU Wing Ho	AJN820	Licensed Representative	9	
LAW Tak Keung	AFX806	Licensed Representative		9
LAW Tsz Shing	BGB433	Licensed Representative		2, 4
LEE Andrew	BES685	Licensed Representative	2	
LEE Danny Lap	ADZ093	Licensed Representative	1	
LEE Janice Yuan Rong	AWH075	Licensed Representative	1	9
LEE Sin Ming	BRQ293	Licensed Representative	2	
LEE Wai Chung	APV528	Licensed Representative		2, 5
LEE Yan Lam	BHP426	Licensed Representative		1, 4
LEUNG Choi Wah	BRJ323	Licensed Representative	4	
LI Cheuk Fai	AYK176	Licensed Representative		1
LI Chi Keung Eliot	BBF102	Licensed Representative	6	
LI Li	BHM430	Licensed Representative		1
LI Liuxiao	BRG676	Licensed Representative		1, 9
LI Man Man	BRO325	Licensed Representative		2
LI Mengyang	BMX581	Licensed Representative	4	
LI Ziye	BIN824	Licensed Representative	2	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LIANG Collin	BOI135	Licensed Representative	4, 6	
LIANG Jieyi	BIZ376	Licensed Representative	4	
LIANG Xin	BJI881	Licensed Representative		9
LIAO Xian	BEK742	Licensed Representative	9	4, 5
LIKASITH Pakitta	BNP042	Licensed Representative		4, 5
LIM Yi Chang	BQV631	Licensed Representative	4	
LIN Haishan	AUZ541	Licensed Representative		4
LIN Nan	AXI594	Licensed Representative	4, 9	2, 7
LIN Yijiang	BSE521	Licensed Representative	1	
LIU Chang	BAQ804	Licensed Representative		1
LIU Huashi	BPQ331	Licensed Representative	9	2
LIU Jimmy Chenxi	BOG388	Licensed Representative	6	
LIU Yun	BRQ333	Licensed Representative	4	
LO Wing Ho	BSH826	Licensed Representative	2	
LOO Shu Sonic	BFJ585	Licensed Representative		2
LU Jiuzhou	ATR182	Licensed Representative		1
LU Weitong	BMT590	Licensed Representative	9	1, 4
LUI Kam Wah	BMO744	Licensed Representative	9	
LUK Hang Chi	AKO709	Licensed Representative	1	
LUO Jingjin	BPB465	Licensed Representative	1, 6	4, 9
MA Shuting	BOL304	Licensed Representative	4, 9	1
MAK Ka Ho	AWC175	Licensed Representative	3	
MAK Yin Ping	AGC695	Licensed Representative	9	
MAO Lei	BML398	Licensed Representative		1
MINAMI Taishi	BRN981	Licensed Representative	1	5
MIZUNO Kosuke	ADP243	Licensed Representative	2	
NIE Xin	BPX773	Licensed Representative	2	
NIU Zhuowen	BIG601	Licensed Representative	2	4
PAN Qi	BBV884	Licensed Representative		4
PAN Yu	BRA844	Licensed Representative	4	
PANG Chi Wing	ABI390	Licensed Representative	2	
PARK Bum Chul	BOG746	Licensed Representative		6
PLISSON Etienne	BMP225	Licensed Representative		4, 5
POON Ho Ying, Irene	AIL064	Licensed Representative	1	
POON King Tou, Ronald	ANH678	Licensed Representative		1
PUN Hung Ming	BER960	Licensed Representative	1	
QIU Xiaolin	BEE257	Licensed Representative	9	
QU Shengning	BEO035	Licensed Representative	1	
QU Ziwei	BFR574	Licensed Representative	2	
RATLIFF David Talmadge	AHG576	Licensed Representative		2
REIMANN Jahmaine Aderelli	BNA748	Licensed Representative	9	
SAN Kwo Chin	BRK416	Licensed Representative		9
SHANG Ziyou	BNS040	Licensed Representative		2
SHIH Jen Ming, Kenneth	AVM355	Licensed Representative	9	
SHIMABUKU LANDAURE Ramiro Angel	BRM028	Licensed Representative		7
SO Chi Kin	ANW377	Licensed Representative		2
SO Chun Fei	BGE655	Licensed Representative	9	
SO Ting Sau	BBW585	Licensed Representative	4	
SUN Mengqian	BFJ288	Licensed Representative	9	
TAI Kwan Tat	BBL046	Licensed Representative		9
TAI Yiu Ho	BQR278	Licensed Representative		4
TAM Hon Wai	ADW640	Licensed Representative		2
TAM Kai Yin	BRZ320	Licensed Representative	9	
TANG Fung	AXA214	Licensed Representative		4
TANG Hin Lun	BOD386	Licensed Representative	9	
TANG Jingchen	AZX116	Licensed Representative	4	2, 9
TANG Kar Ming	APR814	Licensed Representative	2	
TANG Tsz Ting	AWW025	Licensed Representative	4, 9	2
TANGWATTANADILOKKUL Sirakan	AYJ118	Licensed Representative	1	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
TENG Song	BKW513	Licensed Representative	4, 5, 9	2
TING Hao-wei	AJN350	Licensed Representative	9	
TO Hei Man	AZL395	Licensed Representative	5	
TOH Alfred Kay Boon	BPX067	Licensed Representative		4
TONG Kai Hei, Angela	BID400	Licensed Representative	2	
TSANG Wang Yu	BSA307	Licensed Representative	2	
TSANG Yung Fat	BJX769	Licensed Representative		1, 2
TSE Benedict	BMQ610	Licensed Representative		4, 6
TSE Chi Leung	ADV805	Licensed Representative		2
TSENG Yu Ting	BRT006	Licensed Representative	9	
TSO Yui Tak	BOB511	Licensed Representative	9	
TSUI Ying Kit, Marko	AEX669	Licensed Representative	9	
WAN Ho Lam	AUR976	Licensed Representative	4	
WAN Ngar Yin, David	ABH754	Licensed Representative		6
WAN Sau Yi	BKL830	Licensed Representative	9	1
WANG Beichen	BLP422	Licensed Representative	9	
WANG Jiaqi	AOH836	Licensed Representative	2	
WANG Jun	BEO183	Licensed Representative		1
WANG Junqi	BHJ011	Licensed Representative	9	
WANG Xiao	BGK625	Licensed Representative	4, 9	
WANG Xinqi	BFS800	Licensed Representative		1
WANG Yue	APL764	Licensed Representative		9
WEI Daren	BOI623	Licensed Representative	9	
WEI Penghe	BRO256	Licensed Representative	4	
WILLIAMS Benjamin Robert	APD124	Licensed Representative		1, 2
WONG Chun Hin	BNX137	Licensed Representative	9	1, 2
WONG Chun Tung	BLJ190	Licensed Representative	9	
WONG Chun, Rex	BRG047	Licensed Representative		4, 9
WONG Hing Nam	AOK592	Licensed Representative		2, 4
WONG Ka Hei	BRX219	Licensed Representative	2	
WONG Ka Mei	AVV109	Licensed Representative	4	
WONG Tin Yam, Alvin	APK149	Licensed Representative		2, 5
WONG Wun Yuen	BAG337	Licensed Representative	9	2, 3, 5
WU Huatao	BMV486	Licensed Representative	1, 4, 5, 9	
WU Jung-En	BQU459	Licensed Representative	9	4
WU You	BOC281	Licensed Representative	5	1
XIANG Yixin	BHA262	Licensed Representative		1
XIAO Jin	BGG646	Licensed Representative	9	1, 4
XIE Meiqi	BHE018	Licensed Representative	2	
YAM Kam Shing	AGE094	Licensed Representative		4
YAM Margaret	BQF593	Licensed Representative		4
YAN Xue	BMA928	Licensed Representative		9
YANG Hanlin	BRK331	Licensed Representative	9	
YANG Tao	BRG194	Licensed Representative	2	
YANG Xuantong	BPE397	Licensed Representative	1	5
YAO Lyu	BJC557	Licensed Representative		1
YAU Sze Yu	BMP533	Licensed Representative	4, 5	1, 9
YE Zhu	ASZ086	Licensed Representative	1	
YEUNG Jason Kwan Lun	BQM896	Licensed Representative		4
YIN Jia	BNV874	Licensed Representative	1	5
YIN Sze Long	BIL982	Licensed Representative	4	
YIP Chi Yin	AUS286	Licensed Representative	4	
YIP To Hang	BHP785	Licensed Representative	1, 4	
YIU Tak Yin	AGK517	Licensed Representative		2, 5
YU Cho Ming Jeremy	BQR013	Licensed Representative	9	
YU Pak Ngai	BFT172	Licensed Representative	2	
YU Yipeng	BQB505	Licensed Representative	2, 4	
YUEN Ho Lam Fedora	BMQ792	Licensed Representative		9
YUEN Wai Chun	BRD848	Licensed Representative	1	

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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
ZHAI Jingyi	BJL611	Licensed Representative	1	
ZHANG Chengshun	BPR910	Licensed Representative	2, 4	
ZHANG Hanwen	BRE492	Licensed Representative	9	
ZHANG Ke	BGA342	Licensed Representative	2	9
ZHANG Yiting	BOF097	Licensed Representative	9	1, 2
ZHANG Yue	BLS909	Licensed Representative	9	1, 4
ZHANG Yunduan	BRW779	Licensed Representative		4, 5
ZHANG Zhoubo	BHR997	Licensed Representative		4
ZHAO Ye	BNB421	Licensed Representative		7
ZHOU Bingyu	BQL705	Licensed Representative	9	
ZHOU Wenqi	BPS629	Licensed Representative		4
ZHOU Zhifan	BSH109	Licensed Representative	2	
ZHU Tianhong	BQR270	Licensed Representative	9	
CHAN Kai Ming, Ricky	AOU629	Licensed Representative - Responsible Officer	1	
CHAN Kam Lun	ACR750	Licensed Representative - Responsible Officer		2, 5
CHAN Man Wing	AXJ787	Licensed Representative - Responsible Officer	4	
CHAN Tak Lung	BAN132	Licensed Representative - Responsible Officer	4, 9	
CHEN Chi Hung	AOX772	Licensed Representative - Responsible Officer	1	
CHEN Leh Chiang, Alan	AGH159	Licensed Representative - Responsible Officer	1	
CHEN Qin Ruo	BND653	Licensed Representative - Responsible Officer		4
CHEUNG Koon Wai	APF297	Licensed Representative - Responsible Officer	4	
CHEUNG So Wa	AYC949	Licensed Representative - Responsible Officer	1	
CHONG Wing Shing	ACH190	Licensed Representative - Responsible Officer		2
CHUNG Lai Yung	AGM626	Licensed Representative - Responsible Officer	9	
FAN Chi Yeung	ANA188	Licensed Representative - Responsible Officer	4, 9	
GINSBURG Matthew David	ADI176	Licensed Representative - Responsible Officer	6	
HO Frank Yiu Tung	ANR715	Licensed Representative - Responsible Officer	4	
HO Kwong Yu	BGN227	Licensed Representative - Responsible Officer	1	
HU Ruanjia	AZC807	Licensed Representative - Responsible Officer	4, 6	
HUI Cheung Kui	AJX991	Licensed Representative - Responsible Officer	9	
HUI Lam Chiu	ADF453	Licensed Representative - Responsible Officer	4, 9	
JAMIESON Hugh Hunter	BQY999	Licensed Representative - Responsible Officer	1, 4	
JUNGELS Marie Louise	AEO621	Licensed Representative - Responsible Officer	1, 4	
KE Ting	BFU581	Licensed Representative - Responsible Officer	1	
LAI Kwok Choi	AGB134	Licensed Representative - Responsible Officer	4	
LAM Lai Heung	AEP936	Licensed Representative - Responsible Officer	4, 9	
LAU Chun Tung	AWB700	Licensed Representative - Responsible Officer	4, 9	
LAYANI Yehoram	BCD230	Licensed Representative - Responsible Officer	4	
LEE Yam Hang	AKO237	Licensed Representative - Responsible Officer	2, 4	
LEE Yong Ha	AVJ141	Licensed Representative - Responsible Officer		9
LEUNG Chung Ping	ARV063	Licensed Representative - Responsible Officer	1	
LEUNG Yiu Bong, Raymond	AOS223	Licensed Representative - Responsible Officer	1, 4	
LEUNG Yuen Hong	AAJ913	Licensed Representative - Responsible Officer		2, 5
LI Kim Man	AEU947	Licensed Representative - Responsible Officer	1	
LI Li	BHM430	Licensed Representative - Responsible Officer	9	
LIU Kwok Hang	BJM358	Licensed Representative - Responsible Officer	4	
MCLAUGHLIN Gregory John	AUT903	Licensed Representative - Responsible Officer		9
NGAN Chi Po	ADY908	Licensed Representative - Responsible Officer	1	
PAK Yu Fai	BAX956	Licensed Representative - Responsible Officer	9	
PARRILLI John C	BDX580	Licensed Representative - Responsible Officer	4	
POON Sze Wai, Kelvin	ANU253	Licensed Representative - Responsible Officer	1	
QIN Ai Hua	AWS555	Licensed Representative - Responsible Officer	4	
RATLIFF David Talmadge	AHG576	Licensed Representative - Responsible Officer	4, 6	
SHIU Kin Ming, Paul	AOV858	Licensed Representative - Responsible Officer	4	
TAM Chiu Pang, Jaffe	AIC033	Licensed Representative - Responsible Officer	4	
TAN Kai	AVJ582	Licensed Representative - Responsible Officer	1	
TAO Liangji	BOA999	Licensed Representative - Responsible Officer	4	
TONG Tsz Chun	ATS073	Licensed Representative - Responsible Officer	1	
TSANG Siu Hung, Simon	AAJ982	Licensed Representative - Responsible Officer		2, 5

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity Added</b>	<b>Types of Regulated Activity Removed</b>
WAN Ngar Yin, David	ABH754	Licensed Representative - Responsible Officer	5	
WANG Jinglin	AGC942	Licensed Representative - Responsible Officer		1
WANG Shengzu	BEW665	Licensed Representative - Responsible Officer	5	
WILLIAMS Benjamin Robert	APD124	Licensed Representative - Responsible Officer	9	
WONG Chin Pang	AFU569	Licensed Representative - Responsible Officer	4, 9	
WONG Hoe Choon Reddy	ABR276	Licensed Representative - Responsible Officer		6
WONG Kwai Chiu	ACC061	Licensed Representative - Responsible Officer	1	
WONG Kwok Keung, Lawrence	AFO320	Licensed Representative - Responsible Officer	5	
WONG Lok Him, Howard	AMX945	Licensed Representative - Responsible Officer	9	
WONG Yim Keung	AJE545	Licensed Representative - Responsible Officer		2, 5
YEUNG Tze Fung	ACS539	Licensed Representative - Responsible Officer	5	
YEUNG Wai Kei	ABQ742	Licensed Representative - Responsible Officer	5	
YU Shui Sang, Bernard	AEO855	Licensed Representative - Responsible Officer	1, 9	
YUEN Wai Ming	ARD624	Licensed Representative - Responsible Officer	1	
CHEN Liqiu	BSH673	Licensed Representative (Provisional Licence)	2	