

## 第 4776 號公告

### 證券及期貨(場外衍生工具交易——結算及備存紀錄責任和中央對手方的指定)規則 (‘結算規則’)

依據《結算規則》第 3(1) 條，並在金融管理專員的同意下，證券及期貨事務監察委員會(證監會)就《結算規則》的目的指定以下各人為金融服務提供者，而各人(即使其名稱於其後有任何更改)將繼續作為金融服務提供者，直至證監會根據《結算規則》第 3(3) 條撤銷對其作出的指定：

(按英文名稱的字母順序排序)

1. Banco Santander S.A.
2. Bank of America, N.A.
3. Barclays Bank PLC
4. Barclays Capital Inc.
5. BNP Paribas Fortis SA/NV
6. BNP Paribas SA
7. BNP Paribas Securities Corp.
8. Citibank, N.A.
9. Citigroup Global Markets Inc.
10. Citigroup Global Markets Japan Inc.
11. Citigroup Global Markets Limited
12. Credit Agricole Corporate and Investment Bank
13. Credit Foncier de France
14. Credit Suisse AG
15. Credit Suisse International
16. Credit Suisse Securities (Japan) Limited
17. Credit Suisse Securities (USA) LLC
18. Deutsche Bank AG
19. Deutsche Bank Securities Inc.
20. Goldman Sachs & Co.
21. Goldman Sachs Bank USA
22. Goldman Sachs International
23. Goldman Sachs Japan Co., Ltd.
24. HSBC Bank plc
25. HSBC Bank USA, N.A.
26. HSBC France
27. HSBC Securities (USA) Inc.
28. ING Bank N.V.
29. ING Bank Slaski S.A.
30. ING-DiBa AG
31. JPMorgan Chase Bank, N.A.
32. JPMorgan Securities Japan Co., Ltd.
33. J.P. Morgan Securities LLC
34. J.P. Morgan Securities plc
35. Merrill Lynch Capital Services Inc.
36. Merrill Lynch International

37. Merrill Lynch Japan Securities Co., Ltd.
38. Merrill Lynch, Pierce, Fenner & Smith Incorporated
39. Mitsubishi UFJ Morgan Stanley Securities Co., Ltd.
40. Mizuho Bank, Ltd.
41. Mizuho Capital Markets Corporation
42. Mizuho Capital Markets (UK) Ltd.
43. Mizuho International plc
44. Morgan Stanley & Co. International plc
45. Morgan Stanley & Co. LLC
46. Morgan Stanley Capital Services LLC
47. Morgan Stanley MUFG Securities Co., Ltd.
48. MUFG Securities EMEA PLC
49. NATIXIS
50. Nomura Global Financial Products, Inc.
51. Nomura International plc
52. Nomura Securities Co., Ltd.
53. Nomura Securities International, Inc.
54. Nordea Bank AB
55. Nordea Bank Danmark A/S
56. Nordea Bank Finland Plc
57. Nordea Bank Norge ASA
58. SG Americas Securities LLC
59. SMBC Capital Markets Inc.
60. SMBC Nikko Securities Inc.
61. Societe Generale
62. Societe Generale Newedge UK Limited
63. Standard Chartered Bank
64. Sumitomo Mitsui Banking Corporation
65. Sumitomo Mitsui Trust Bank, Limited
66. The Bank of New York Mellon
67. The Bank of Tokyo-Mitsubishi UFJ, Ltd.
68. The Royal Bank of Scotland plc
69. UBS AG
70. UBS Limited
71. UBS Securities LLC
72. UniCredit Bank AG
73. UniCredit Bank Austria AG
74. UniCredit S.p.A.
75. Wells Fargo Bank, N.A.
76. Wells Fargo Securities, LLC

該指定於 2016 年 9 月 1 日生效。

2016 年 8 月 22 日

證券及期貨事務監察委員會  
委員及執行董事雷祺光