

2015年4月15日

吸收合併

就中國南車股份有限公司的股份的交易披露

執行人員接獲依據香港《公司收購及合併守則》規則 22 作出以下的證券交易的披露：

交易方	日期	買入／賣出	股份數目	每股價格	交易後數額（包括與其訂有協議或達成諒解的任何人士的證券）	佔該類別證券的百分比（包括與其訂有協議或達成諒解的任何人士的證券）%
鄧普頓投資顧問有限公司	2015年4月14日	賣出	52,000	\$19.5400	155,107,300	7.6634%
			43,000	\$19.5200	155,107,300	7.6634%
			29,000	\$19.5000	155,107,300	7.6634%
			32,000	\$19.4800	155,107,300	7.6634%
			49,000	\$19.4600	155,107,300	7.6634%
			109,000	\$19.4400	155,107,300	7.6634%
			50,000	\$19.4200	155,107,300	7.6634%
			31,000	\$19.4000	155,107,300	7.6634%
			20,000	\$19.3800	155,107,300	7.6634%
			155,000	\$19.3600	155,107,300	7.6634%
408,000	\$19.3400	155,107,300	7.6634%			

			194,000	\$19.3200	155,107,300	7.6634%
			179,000	\$19.3000	155,107,300	7.6634%
			150,000	\$19.2800	155,107,300	7.6634%
			261,000	\$19.2600	155,107,300	7.6634%
			77,000	\$19.2400	155,107,300	7.6634%
			239,000	\$19.2200	155,107,300	7.6634%
			246,000	\$19.2000	155,107,300	7.6634%
			272,000	\$19.1800	155,107,300	7.6634%
			229,000	\$19.1600	155,107,300	7.6634%
			338,000	\$19.1400	155,107,300	7.6634%
			260,000	\$19.1200	155,107,300	7.6634%
			120,000	\$19.1000	155,107,300	7.6634%
			205,000	\$19.0800	155,107,300	7.6634%
			136,000	\$19.0600	155,107,300	7.6634%
			214,000	\$19.0400	155,107,300	7.6634%
			218,000	\$19.0200	155,107,300	7.6634%
			143,000	\$19.0000	155,107,300	7.6634%
			101,000	\$18.9800	155,107,300	7.6634%
			168,000	\$18.9600	155,107,300	7.6634%
			146,000	\$18.9400	155,107,300	7.6634%
			283,000	\$18.9200	155,107,300	7.6634%
			402,000	\$18.9000	155,107,300	7.6634%
			35,000	\$18.8400	155,107,300	7.6634%

			102,000	\$18.8000	155,107,300	7.6634%
			102,000	\$18.7800	155,107,300	7.6634%
			119,000	\$18.7600	155,107,300	7.6634%
			198,000	\$18.7400	155,107,300	7.6634%
			162,000	\$18.7200	155,107,300	7.6634%
			326,000	\$18.7000	155,107,300	7.6634%
			151,000	\$18.6800	155,107,300	7.6634%
			177,000	\$18.6600	155,107,300	7.6634%
			158,000	\$18.6400	155,107,300	7.6634%
			66,000	\$18.6200	155,107,300	7.6634%
			68,000	\$18.6000	155,107,300	7.6634%
			45,000	\$18.5800	155,107,300	7.6634%
			26,000	\$18.5600	155,107,300	7.6634%
			72,000	\$18.5400	155,107,300	7.6634%
			85,000	\$18.5200	155,107,300	7.6634%
			25,000	\$18.4800	155,107,300	7.6634%
			43,000	\$18.4600	155,107,300	7.6634%
			174,000	\$18.4400	155,107,300	7.6634%
			31,000	\$18.4200	155,107,300	7.6634%
			87,000	\$18.4000	155,107,300	7.6634%
			58,000	\$18.3800	155,107,300	7.6634%
			6,000	\$18.3600	155,107,300	7.6634%
			126,000	\$18.3400	155,107,300	7.6634%

			104,000	\$18.3200	155,107,300	7.6634%
			41,000	\$18.3000	155,107,300	7.6634%
			27,000	\$18.2800	155,107,300	7.6634%
			65,000	\$18.2600	155,107,300	7.6634%
			89,000	\$18.2400	155,107,300	7.6634%
			22,000	\$18.2200	155,107,300	7.6634%
			72,000	\$18.2000	155,107,300	7.6634%
			42,000	\$18.1800	155,107,300	7.6634%
			22,000	\$18.1600	155,107,300	7.6634%
			1,000	\$18.1400	155,107,300	7.6634%
			39,000	\$18.1200	155,107,300	7.6634%
			28,000	\$18.1000	155,107,300	7.6634%
			32,000	\$18.0800	155,107,300	7.6634%
			65,000	\$18.0600	155,107,300	7.6634%
			57,000	\$18.0400	155,107,300	7.6634%
			222,000	\$18.0200	155,107,300	7.6634%
			321,000	\$18.0000	155,107,300	7.6634%
			281,000	\$17.9800	155,107,300	7.6634%
			31,000	\$17.9600	155,107,300	7.6634%
			61,000	\$17.9400	155,107,300	7.6634%
			6,000	\$17.9200	155,107,300	7.6634%
			101,000	\$17.9000	155,107,300	7.6634%
			90,000	\$17.8800	155,107,300	7.6634%

			76,000	\$17.8600	155,107,300	7.6634%
			107,000	\$17.8400	155,107,300	7.6634%
			187,000	\$17.8200	155,107,300	7.6634%
			773,000	\$17.8000	155,107,300	7.6634%
			249,000	\$17.7800	155,107,300	7.6634%
			38,000	\$17.7600	155,107,300	7.6634%
			111,000	\$17.7400	155,107,300	7.6634%
			76,000	\$17.7200	155,107,300	7.6634%
			308,000	\$17.7000	155,107,300	7.6634%
			156,000	\$17.6800	155,107,300	7.6634%
			55,000	\$17.6600	155,107,300	7.6634%
			34,000	\$17.6400	155,107,300	7.6634%
			88,000	\$17.6200	155,107,300	7.6634%
			43,000	\$17.6000	155,107,300	7.6634%
			178,000	\$17.5800	155,107,300	7.6634%
			214,000	\$17.5600	155,107,300	7.6634%
			108,000	\$17.5400	155,107,300	7.6634%
			109,000	\$17.5200	155,107,300	7.6634%
			120,000	\$17.5000	155,107,300	7.6634%
			21,000	\$17.4800	155,107,300	7.6634%



SECURITIES AND FUTURES COMMISSION
證券及期貨事務監察委員會

交易披露

註：

鄧普頓投資顧問有限責任公司憑藉持有要約人的普通股，所以根據第(6)類別屬要約人的聯繫人。

交易是為全權委託投資客戶的帳戶進行的。

鄧普頓投資顧問有限責任公司是最終由富蘭克林資源公司擁有的公司。