

2015年4月15日

吸收合併

就中國北車股份有限公司的股份的交易披露

執行人員接獲依據香港《公司收購及合併守則》規則 22 作出以下的證券交易的披露：

交易方	日期	買入／賣出	股份數目	每股價格	交易後數額（包括與其訂有協議或達成諒解的任何人士的證券）	佔該類別證券的百分比（包括與其訂有協議或達成諒解的任何人士的證券）%
鄧普頓投資顧問有限公司	2015年4月14日	賣出	34,500	\$20.6000	101,639,767	4.7636%
			12,000	\$20.5500	101,639,767	4.7636%
			209,000	\$20.5000	101,639,767	4.7636%
			278,500	\$20.4500	101,639,767	4.7636%
			560,000	\$20.4000	101,639,767	4.7636%
			1,382,000	\$20.3500	101,639,767	4.7636%
			574,000	\$20.3000	101,639,767	4.7636%
			611,500	\$20.2500	101,639,767	4.7636%
			686,000	\$20.2000	101,639,767	4.7636%
			462,000	\$20.1500	101,639,767	4.7636%
192,000	\$20.1000	101,639,767	4.7636%			

			594,500	\$20.0500	101,639,767	4.7636%
			707,000	\$20.0000	101,639,767	4.7636%
			231,000	\$19.9800	101,639,767	4.7636%
			132,000	\$19.9600	101,639,767	4.7636%
			277,500	\$19.9400	101,639,767	4.7636%
			94,000	\$19.9200	101,639,767	4.7636%
			329,000	\$19.9000	101,639,767	4.7636%
			231,000	\$19.8800	101,639,767	4.7636%
			271,500	\$19.8600	101,639,767	4.7636%
			196,000	\$19.8400	101,639,767	4.7636%
			111,000	\$19.8200	101,639,767	4.7636%
			528,000	\$19.8000	101,639,767	4.7636%
			239,500	\$19.7800	101,639,767	4.7636%
			343,000	\$19.7600	101,639,767	4.7636%
			285,000	\$19.7400	101,639,767	4.7636%
			1,000	\$19.7200	101,639,767	4.7636%
			83,000	\$19.7000	101,639,767	4.7636%
			51,000	\$19.6800	101,639,767	4.7636%
			90,000	\$19.6600	101,639,767	4.7636%
			109,500	\$19.6400	101,639,767	4.7636%
			53,500	\$19.6200	101,639,767	4.7636%
			16,000	\$19.6000	101,639,767	4.7636%
			1,000	\$19.5800	101,639,767	4.7636%

			26,500	\$19.5600	101,639,767	4.7636%
			11,500	\$19.5400	101,639,767	4.7636%
			72,500	\$19.5200	101,639,767	4.7636%
			79,000	\$19.5000	101,639,767	4.7636%
			24,000	\$19.4800	101,639,767	4.7636%
			124,000	\$19.4600	101,639,767	4.7636%
			7,500	\$19.1000	101,639,767	4.7636%
			13,000	\$19.0400	101,639,767	4.7636%
			95,500	\$19.0200	101,639,767	4.7636%
			23,000	\$19.0000	101,639,767	4.7636%
			55,500	\$18.9800	101,639,767	4.7636%
			26,500	\$18.9600	101,639,767	4.7636%
			8,500	\$18.9200	101,639,767	4.7636%
			9,500	\$18.8600	101,639,767	4.7636%
			227,000	\$18.8400	101,639,767	4.7636%
			429,500	\$18.8200	101,639,767	4.7636%
			60,000	\$18.8000	101,639,767	4.7636%
			341,000	\$18.7800	101,639,767	4.7636%
			151,000	\$18.7600	101,639,767	4.7636%
			222,500	\$18.7400	101,639,767	4.7636%
			533,500	\$18.7200	101,639,767	4.7636%
			270,500	\$18.7000	101,639,767	4.7636%
			444,000	\$18.6800	101,639,767	4.7636%

			19,500	\$18.6600	101,639,767	4.7636%
			61,500	\$18.6400	101,639,767	4.7636%
			203,000	\$18.6200	101,639,767	4.7636%
			81,500	\$18.6000	101,639,767	4.7636%
			278,500	\$18.5800	101,639,767	4.7636%
			50,000	\$18.5400	101,639,767	4.7636%
			28,500	\$18.5200	101,639,767	4.7636%

完

註：

鄧普頓投資顧問有限責任公司憑藉持有受要約公司的普通股，所以根據第(6)類別屬受要約公司的聯繫人。

交易是為全權委託投資客戶的帳戶進行的。

鄧普頓投資顧問有限責任公司是最終由富蘭克林資源公司擁有的公司。