

**Exemptions or waivers of annual report requirements in the Securities and Futures (Open-ended Fund Companies) Rules (“OFC Rules”) (granted under rule 151(3) of the OFC Rules) and the Code on Open-ended Fund Companies (the “OFC Code”)**

Last update: 23 June 2025

| <b>Name of the OFC or sub-fund (CE number)</b>  | <b>Date of grant of the exemptions or waivers</b> | <b>Relevant financial period</b>      | <b>Particulars of the exemptions or waivers granted</b>   | <b>Conditions</b>   | <b>Remarks</b> |
|---|---|---------------------------------------|---|---|----------------|
| Kingbridge Capital Multi-Strategy Fund OFC (the “OFC”) (BRW799)<br><br>- Kingbridge Capital Opportunity I Fund (BRW800)<br>- Kingbridge Capital Opportunity II Fund (BRW801)<br>- Kingbridge Capital Opportunity III Fund (BRW802)<br>(collectively, the “Sub-funds”) | 9 January 2023                                    | 9 December 2021 – 31 March 2022       | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.<br><br>(2) Waived the requirement to show the respective financial positions and results of the OFC and those of the individual sub-fund(s) of the OFC in the financial report under 9.6 of the OFC Code. | The exemption and waiver are granted on the following conditions:<br><br>For the relevant financial period, the OFC and the Sub-funds (1) had not been launched; and (2) had no investor. |                |
| Hamon Capital Partners OFC (the “OFC”) BRP691   | 20 February 2023                                  | 10 September 2021 to 31 December 2022 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.   | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.                               |                |
| Vered Investment Fund OFC (the “OFC”) (BRS525)  | 22 February 2023                                  | 25 October 2021 to 31 December 2022   | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the  | The exemption and waiver are granted on the following conditions:   |                |

| Name of the OFC or sub-fund<br>(CE number)  | Date of grant of the exemptions or waivers | Relevant financial period  | Particulars of the exemptions or waivers granted   | Conditions   | Remarks |
|---|--|--|--|--|---------|
| <ul style="list-style-type: none"> <li>- Vered Equity Stable Return Fund (BRS526)</li> <li>- Vered Global Fixed Income Premium Fund (BRS527)</li> <li>- Vered Multi Strategy Fund (BRS528)</li> </ul> (collectively, the "Sub-funds") |  |  | relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.<br><br>(2) Waived the requirement to show the respective financial positions and results of the OFC and those of the individual sub-fund(s) of the OFC in the financial report under 9.6 of the OFC Code. | For the relevant financial period, the OFC and the Sub-funds (1) had not been launched; and (2) had no investor.   |         |
| Pacific Hawk Global Fund OFC (the "OFC") (BOR317)   | 3 March 2023                               | From 1 January 2021 until the OFC no longer meets one or more of the conditions of the exemption | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.  | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.                              |         |
| Solomon Capital Fund OFC (the "OFC")(BRR272)<br><br>- Solomon Capital Absolute Return Strategies Fund (BRR273) (the "Sub-fund")   | 9 March 2023                               | 29 September 2021 to 31 December 2022  | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.  | The exemption and waiver are granted on the following conditions:<br><br>For the relevant financial period, the OFC and the Sub-fund (1) had not been launched; and (2) had no investor. |         |

| Name of the OFC or sub-fund (CE number)   | Date of grant of the exemptions or waivers | Relevant financial period            | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|---|--|--------------------------------------|---|---|---------|
|   |  |                                      | (2) Waived the requirement to show the respective financial positions and results of the OFC and those of the Sub-fund of the OFC in the financial report under 9.6 of the OFC Code.  |   |         |
| Victory Privilege Fund OFC (the "OFC") (BRT100)<br><br>- Winner Global Fund (BRT101)<br>- Victory Greater China Growth Fund (BRT102)<br>- Victory Bond Connect Fund (BRT103)<br>- Victory Strategic Fund (BRT104)<br>- Victory Equity Long Fund (BRT105)<br>(collectively, the "Sub-funds") | 10 March 2023                              | 3 November 2021 to 31 December 2022  | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.<br><br>(2) Waived the requirement to show the respective financial positions and results of the OFC and those of the individual sub-fund(s) of the OFC in the financial report under 9.6 of the OFC Code. | The exemption and waiver are granted on the following conditions:<br><br>For the relevant financial period, the OFC and the Sub-funds (1) had not been launched; and (2) had no investor. |         |
| ASM Fund OFC (the "OFC") (BRY682)<br><br>- ASM Global Opportunity Fund I (BRY683) (the "Sub-fund")  | 13 March 2023                              | 31 December 2021 to 31 December 2022 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.   | The exemption and waiver are granted on the following conditions:<br><br>For the relevant financial period, the OFC and the Sub-fund (1) had not been launched; and (2) had no investor.  |         |

| Name of the OFC or sub-fund (CE number)   | Date of grant of the exemptions or waivers | Relevant financial period          | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|---|--|------------------------------------|---|---|---------|
|   |  |                                    | (2) Waived the requirement to show the respective financial positions and results of the OFC and those of the Sub-fund of the OFC in the financial report under 9.6 of the OFC Code.  |   |         |
| CAM Capital OFC (the "OFC") (BRZ059)<br><br>- CAM Capital Growth Sub-Fund 1 (BRZ060)<br>- CAM Capital Growth Sub-Fund 2 (BRZ061)<br>- CAM Capital Growth Sub-Fund 3 (BRZ062)<br>(collectively, the "Sub-funds")           | 29 March 2023                              | 5 January 2022 to 31 December 2022 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.<br><br>(2) Waived the requirement to show the respective financial positions and results of the OFC and those of the individual sub-fund(s) of the OFC in the financial report under 9.6 of the OFC Code. | The exemption and waiver are granted on the following conditions:<br><br>For the relevant financial period, the OFC and the Sub-funds (1) had not been launched; and (2) had no investor. |         |
| Guoyuan International Investment Series OFC (the "OFC") (BSM511)<br><br>- Guoyuan China Economy Transition Fund (BSM512)<br>- Guoyuan China SOE Fixed Income Fund (BSM513)<br>- Guoyuan Flexible Allocation Fund (BSM514) | 11 April 2023                              | 9 June 2022 to 31 December 2022    | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.<br><br>(2) Waived the requirement to show the respective financial  | The exemption and waiver are granted on the following conditions:<br><br>For the relevant financial period, the OFC and the Sub-funds (1) had not been launched; and (2) had no investor. |         |

| Name of the OFC or sub-fund<br>(CE number)   | Date of grant of the exemptions or waivers | Relevant financial period           | Particulars of the exemptions or waivers granted  | Conditions   | Remarks |
|--|--|-------------------------------------|---|--|---------|
| (collectively, the "Sub-funds")  |  |                                     | positions and results of the OFC and those of the individual sub-fund(s) of the OFC in the financial report under 9.6 of the OFC Code.  |  |         |
| iFREE Capital Market OFC (the "OFC") (BRT227)<br><br>- iFREE Growth & Income Fund (BRT228) (the "Sub-fund")                                    | 12 April 2023                              | 3 November 2021 to 31 December 2022 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.<br><br>(2) Waived the requirement to show the respective financial positions and results of the OFC and those of the Sub-fund of the OFC in the financial report under 9.6 of the OFC Code. | The exemption and waiver are granted on the following conditions:<br><br>For the relevant financial period, the OFC and the Sub-fund (1) had not been launched; and (2) had no investor. |         |
| AI OFC (the "OFC") (BQM217)<br><br>- Basic Logic Fund (BQV741)<br>- ESG Fund (BQV742)<br>- Bitex Fund (BQV744) (collectively, the "Sub-funds") | 18 April 2023                              | 24 March 2021 to 31 March 2023      | Waived the requirement to show the respective financial positions and results of the individual sub-fund(s) of the OFC in the financial report under 9.6 of the OFC Code in respect of the Sub-Funds.   | The waiver is granted on the following conditions:<br><br>For the relevant financial period, the Sub-funds (1) had not been launched; and (2) had no investor.                           |         |
| Canfield Multi Asset Fund OFC (the "OFC") (BSM092)   | 19 April 2023                              | 7 June 2022 to 31 December 2022     | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the  | The exemption and waiver are granted on the following conditions:  |         |

| Name of the OFC or sub-fund (CE number)  | Date of grant of the exemptions or waivers | Relevant financial period        | Particulars of the exemptions or waivers granted   | Conditions   | Remarks  |
|--|--|----------------------------------|--|--|--|
| <ul style="list-style-type: none"> <li>- Canfield Strategic Fund (BSM093)</li> <li>- Canfield Opportunity Fund (BSM094)</li> <li>- Village Telecom &amp; Tech Fund (BSM095)</li> <li>- Essential Smart Energy Fund (BSM096)</li> </ul> (collectively, the "Sub-funds")   |  |                                  | <p>OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.</p> <p>(2) Waived the requirement to show the respective financial positions and results of the OFC and those of the individual sub-fund(s) of the OFC in the financial report under 9.6 of the OFC Code.</p>  | For the relevant financial period, the OFC and the Sub-funds (1) had not been launched; and (2) had no investor.   |  |
| Masan Capital OFC (the "OFC") (BSQ874) <ul style="list-style-type: none"> <li>- Masan Hong Kong Equity Fund (BSQ875)</li> <li>- Masan Growth Opportunities Fund (BSQ876)</li> <li>- Masan Special Opportunities Fund (BSQ877)</li> </ul> (collectively, the "Sub-funds") | 17 May 2023                                | 29 June 2022 to 31 December 2023 | <p>(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.</p> <p>(2) Waived the requirement to show the respective financial positions and results of the OFC and those of the individual sub-fund(s) of the OFC in the financial report under 9.6 of the OFC Code.</p> | <p>The exemption and waiver are granted on the following conditions:</p> <p>For the relevant financial period, the OFC and the Sub-funds (1) had not been launched; and (2) had no investor.</p> | <p>(1) <i>Exemption in respect of the OFC for the financial year ending 31 December 2023 lapsed on 7 June 2023.</i></p> <p>(2) <i>Waiver in respect of the OFC and Masan Hong Kong Equity Fund for the financial year ending 31 December 2023 lapsed on 7 June 2023.</i></p> |

| Name of the OFC or sub-fund (CE number)   | Date of grant of the exemptions or waivers | Relevant financial period            | Particulars of the exemptions or waivers granted   | Conditions   | Remarks |
|---|--|--------------------------------------|--|--|---------|
| <p>LF Multi Strategy OFC (the "OFC") (BRV825)</p> <p>- LFX Property Development Fund (BRV827)</p> <p>- LF Emerging Industries Fund (BRV828)</p> <p>(collectively, the "Sub-funds")</p>                  | 23 May 2023                                | 26 November 2021 to 31 December 2022 | <p>(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.</p> <p>(2) Waived the requirement to show the respective financial positions and results of the OFC and those of the individual sub-fund(s) of the OFC in the financial report under 9.6 of the OFC Code.</p> | <p>The exemption and waiver are granted on the following conditions:</p> <p>For the relevant financial period, the OFC and the Sub-funds (1) had not been launched; and (2) had no investor.</p> |         |
| <p>Rui Da International Open-ended Fund Company (the "OFC") (BRV713)</p> <p>- Rui Da International No.5 (BRV714)</p> <p>- Rui Da International No.6 (BRV715)</p> <p>(collectively, the "Sub-funds")</p> | 14 August 2023                             | 24 November 2021 to 31 March 2023    | <p>(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.</p> <p>(2) Waived the requirement to show the respective financial positions and results of the OFC and those of the individual sub-fund(s) of the OFC in the financial report under 9.6 of the OFC Code.</p> | <p>The exemption and waiver are granted on the following conditions:</p> <p>For the relevant financial period, the OFC and the Sub-funds (1) had not been launched; and (2) had no investor.</p> |         |

| Name of the OFC or sub-fund (CE number)   | Date of grant of the exemptions or waivers | Relevant financial period             | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|---|--|---------------------------------------|---|---|---------|
| Taikang Premier Investment OFC (the "OFC") (BRH817)<br><br>- Taikang Stable Growth Fund (BRH818) (the "Sub-fund")   | 13 September 2023                          | 12 July 2021 to 31 December 2022      | Waived the requirement to show the respective financial positions and results of the Sub-fund of the OFC in the financial report under 9.6 of the OFC Code.   | The waiver is granted on the following conditions:<br><br>For the relevant financial period, the Sub-fund (1) had not been launched; and (2) had no investor.                             |         |
| HuaAn Investment Fund II OFC (the "OFC") (BSP587)<br><br>- HuaAn US Innovation Dynamic Equity Fund (BSP588) (the "Sub-fund")                              | 18 October 2023                            | 15 June 2022 to 30 June 2023          | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.<br><br>(2) Waived the requirement to show the respective financial positions and results of the OFC and those of the Sub-fund of the OFC in the financial report under 9.6 of the OFC Code. | The exemption and waiver are granted on the following conditions:<br><br>For the relevant financial period, the OFC and the Sub-fund (1) had not been launched; and (2) had no investor.  |         |
| PIMCO Funds: Hong Kong OFC (the "OFC") (BSQ813)<br><br>- PIMCO Asia Bond Fund (BSQ814)<br>- PIMCO Income Fund (BSQ815)<br>(collectively, the "Sub-funds") | 19 October 2023                            | 29 September 2022 to 31 December 2023 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.   | The exemption and waiver are granted on the following conditions:<br><br>For the relevant financial period, the OFC and the Sub-funds (1) had not been launched; and (2) had no investor. |         |



| Name of the OFC or sub-fund (CE number)   | Date of grant of the exemptions or waivers | Relevant financial period      | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|---|--|--------------------------------|---|---|---------|
|   |  |                                | (2) Waived the requirement to show the respective financial positions and results of the OFC and those of the individual sub-fund(s) of the OFC in the financial report under 9.6 of the OFC Code.  |   |         |
| BOCHK Asset Management OFC (the "OFC") (BSU954)<br><br>- BOCHK Macro Aggressive Portfolio (BSU955)<br>- BOCHK Macro Balanced Portfolio (BSU956)<br>- BOCHK Macro Conservative Portfolio (BSU957)<br>(collectively, the "Sub-funds") | 21 November 2023                           | 16 August 2022 to 30 June 2023 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.<br><br>(2) Waived the requirement to show the respective financial positions and results of the OFC and those of the individual sub-fund(s) of the OFC in the financial report under 9.6 of the OFC Code. | The exemption and waiver are granted on the following conditions:<br><br>For the relevant financial period, the OFC and the Sub-funds (1) had not been launched; and (2) had no investor. |         |
| Hover4pi Fund I OFC (the "OFC") (BSL505)  | 20 December 2023                           | 27 May 2022 to 31 October 2023 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.   | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.                               |         |

| Name of the OFC or sub-fund (CE number)   | Date of grant of the exemptions or waivers | Relevant financial period          | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|---|--|------------------------------------|---|---|---------|
| Checkmate Open-ended Fund Company (the "OFC") (BSF471)<br>- Checkmate Asia Credit Opportunity Fund (BSF472)<br>- Checkmate Astrapto Special Situations Fund (BSZ899)<br>(collectively, the "Sub-funds") | 5 January 2024                             | 11 March 2022 to 10 September 2023 | Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.     | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Avengers Strategy OFC (the "OFC") (BSD960)  | 18 January 2024                            | 1 January 2023 to 31 December 2023 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Zijing Asset Management OFC (the "OFC") (BSI351)<br>- Zijing Pre-IPO Fund I (BSI352)<br>- Zijing Equity Fund (BTZ214)<br>(collectively, the "Sub-funds")  | 31 January 2024                            | 3 May 2022 to 30 September 2023    | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Hectoron Supply Chain Finance Credit OFC (the "OFC") (BSR899)   | 31 January 2024                            | 18 July 2022 to 31 December 2023   | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the  | The exemption is granted on the following conditions:   |         |

| Name of the OFC or sub-fund (CE number)   | Date of grant of the exemptions or waivers | Relevant financial period          | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|---|--|------------------------------------|---|---|---------|
|   |  |                                    | relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.  | For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.  |         |
| Victory Privilege Fund OFC (the "OFC") (BRT100)<br>- Winner Global Fund (BRT101)<br>- Victory Greater China Growth Fund (BRT102)<br>- Victory Bond Connect Fund (BRT103)<br>- Victory EMC BTC Cycle Fund (BRT104)<br>- Victory Money Market Fund (BRT105) | 6 March 2024                               | 1 January 2023 to 31 December 2023 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| QX Asset Management OFC (the "OFC") (BTT150)<br>- QX Global Quantitative Managed Futures Fund (BTT151)  | 6 March 2024                               | 31 May 2023 to 31 December 2023    | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Wiseview OFC (the "OFC") (BTV377)<br>- Wiseview MCF Sub-Fund (BTV378)   | 6 March 2024                               | 27 June 2023 to 31 December 2023   | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to  | The exemption is granted on the following conditions:   |         |

| Name of the OFC or sub-fund (CE number)   | Date of grant of the exemptions or waivers | Relevant financial period   | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|---|--|---|---|---|---------|
|   |  |   | publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.  | For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.  |         |
| Canfield Multi Asset Fund OFC (the "OFC") (BSM092)<br><br>- Canfield Strategic Fund (BSM093)<br>- Canfield Fixed Income Fund (BSM094)<br>- Village Telecom & Tech Fund (BSM095)<br>- Essential Smart Energy Fund (BSM096) | 7 March 2024                               | 1 January 2023 to 31 December 2023  | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Hamon Capital Partners OFC (the "OFC") (BRP691)   | 7 March 2024                               | From 1 January 2023 to the date when the OFC no longer meets one or more of the conditions of the exemption | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Vered Investment Fund OFC (the "OFC") (BRS525)<br>- Vered Equity Stable Return Fund (BRS526)<br>- Vered Global Fixed Income Premium Fund (BRS527)   | 26 March 2024                              | 1 January 2023 to 31 December 2023  | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of   | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had   |         |

| Name of the OFC or sub-fund (CE number)  | Date of grant of the exemptions or waivers | Relevant financial period             | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|--|--|---------------------------------------|---|---|---------|
| - Vered Multi Strategy Fund (BRS528)   |  |                                       | the report free of charge to any shareholder under rule 151 of the OFC Rules.   | not been launched; and (2) had no investor.   |         |
| Sino Wealth Global OFC (the "OFC") (BUB933)<br><br>- Sino Wealth Global Real Estate Fund (BUB934)<br>- Sino Wealth Global Fixed Income Fund (BUB935)<br>- Sino Wealth Global Securities Fund (BUB936)<br>- Sino Wealth Global Fintech Fund (BUB937)<br>- Sino Wealth Global Cash Management Fund (BUB938)<br>- Sino Wealth Global Alternative Investment Fund (BUB939) | 28 March 2024                              | 14 September 2023 to 31 December 2023 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| CAM Capital OFC (the "OFC") (BRZ059)<br><br>- CAM Capital Growth Sub-Fund 1 (BRZ060)<br>- CAM Capital Growth Sub-Fund 2 (BRZ061)<br>- CAM Capital Growth Sub-Fund 3 (BRZ062)   | 23 April 2024                              | 1 January 2023 to 31 December 2023    | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| JQ Multi-Strategy Open-ended Fund Company (the "OFC") (BTK916)   | 23 April 2024                              | 24 February 2023 to 31 December 2023  | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the  | The exemption is granted on the following conditions:   |         |

| Name of the OFC or sub-fund (CE number)   | Date of grant of the exemptions or waivers | Relevant financial period   | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|---|--|---|---|---|---------|
| <ul style="list-style-type: none"> <li>- JQ Opportunity I Fund (BTK917)</li> <li>- JQ Opportunity II Fund (BTK918)</li> <li>- JQ Opportunity III Fund (BTK919)</li> </ul>   |  |   | OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.  | For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.  |         |
| Titan OFC (the "OFC") (BSC865)<br><br><ul style="list-style-type: none"> <li>- Titan Global Macro Enhanced Strategy (BSC866)</li> <li>- Titan Global Flexible Fund (BSC867)</li> </ul>  | 24 April 2024                              | 8 February 2022 to 31 December 2022; and 1 January 2023 to 31 December 2023 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Brightus OFC (the "OFC") (BTE770)<br><br><ul style="list-style-type: none"> <li>- Brightus Growth Fund I (BTE771)</li> <li>- Brightus Growth Fund II (BTE772)</li> <li>- Brightus Venture Fund I (BTE773)</li> <li>- Brightus Venture Fund II (BTE774)</li> </ul> | 24 April 2024                              | 12 December 2022 to 31 December 2023  | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| ASM Fund OFC (the "OFC") (BRY682)<br><br><ul style="list-style-type: none"> <li>- ASM Global Opportunity Fund I (BRY683)</li> </ul>   | 26 April 2024                              | 1 January 2023 to 31 December 2023  | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of   | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had   |         |

| Name of the OFC or sub-fund (CE number)   | Date of grant of the exemptions or waivers | Relevant financial period          | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|---|--|------------------------------------|---|---|---------|
|   |  |                                    | the report free of charge to any shareholder under rule 151 of the OFC Rules.   | not been launched; and (2) had no investor.   |         |
| Guoyuan International Investment Series OFC (the "OFC") (BSM511)<br><br>- Guoyuan China Economy Transition Fund (BSM512)<br>- Guoyuan China SOE Fixed Income Fund (BSM513)<br>- Guoyuan Flexible Allocation Fund (BSM514) | 26 April 2024                              | 1 January 2023 to 31 December 2023 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Zhong Ou International OFC (the "OFC") (BTG612)<br><br>- Zhong Ou Diversified Income Fund (BTG613)  | 30 April 2024                              | 4 January 2023 to 31 December 2023 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Richwood Series 2 OFC (the "OFC") (BTW761)<br><br>- Richwood Series 2 Fund I (BTW762)<br>- Richwood Series 2 Fund II (BTW763)   | 2 May 2024                                 | 18 July 2023 to 31 December 2023   | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of   | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had   |         |

| Name of the OFC or sub-fund (CE number)   | Date of grant of the exemptions or waivers | Relevant financial period            | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|---|--|--------------------------------------|---|---|---------|
| - Richwood Series 2 Fund III (BTW764)   |  |                                      | the report free of charge to any shareholder under rule 151 of the OFC Rules.   | not been launched; and (2) had no investor.   |         |
| Richwood OFC (the "OFC") (BTT081)<br><br>- Richwood Fund I (BTT082)<br>- Richwood Fund II (BTT083)<br>- Richwood Fund III (BTT084)  | 10 May 2024                                | 25 May 2023 to 31 December 2023      | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| New Financial Capital Market OFC (the "OFC") (BRT227)<br><br>- New Financial Growth & Income Fund (BRT228)  | 10 May 2024                                | 1 January 2023 to 31 December 2023   | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Redbridge Capital Global Opportunities OFC (the "OFC") (BTC931)<br><br>- Redbridge Capital Global Opportunities 1 (BTC932)<br>- Redbridge Capital Global Opportunities 2 (BTC933) | 13 May 2024                                | 15 November 2022 to 31 December 2023 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |



| Name of the OFC or sub-fund (CE number)   | Date of grant of the exemptions or waivers | Relevant financial period             | Particulars of the exemptions or waivers granted  | Conditions   | Remarks |
|---|--|---------------------------------------|---|--|---------|
| <ul style="list-style-type: none"> <li>- Redbridge Capital Global Opportunities 3 (BUC376)</li> <li>- Redbridge Capital Global Opportunities 4 (BUC377)</li> </ul>  |  |                                       |   |  |         |
| White River Capital Fund I OFC (the "OFC") (BTM327)<br><br><ul style="list-style-type: none"> <li>- White River Capital Securities Fund I (BTM328)</li> <li>- White River Capital Foods Fund I (BTM329)</li> <li>- White River Capital Global Fund I (BTM330)</li> <li>- White River Capital Asia Fund I (BTM 331)</li> </ul> | 17 May 2024                                | 22 February 2023 to 31 December 2023  | (1) Waiver from strict compliance with the requirements to publish and file to the Commission the annual report of the OFC for the relevant financial period under 9.8 and 9.9 of the OFC Code.   | The waiver is granted on the following condition:<br><br>The OFC shall publish and file to the Commission the annual report for the relevant financial period on or before 30 June 2024. |         |
| Guosen Global Markets Investment Open-ended Fund Company (the "OFC") (BSZ351)<br><br><ul style="list-style-type: none"> <li>- China Balanced Multi-Strategy Fund of Funds (BSZ352)</li> <li>- Capital Stable Income Fund (BSZ353)</li> <li>- Macro-hedge Dynamic Fund (BSZ354)</li> </ul>                                     | 20 May 2024                                | 30 September 2022 to 31 December 2023 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.                              |         |
| MFAM OFC (the "OFC") (BTY736)   | 22 May 2024                                | 11 August 2023 to 31 December 2023    | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the  | The exemption is granted on the following conditions:  |         |

| Name of the OFC or sub-fund (CE number)  | Date of grant of the exemptions or waivers | Relevant financial period            | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|--|--|--------------------------------------|---|---|---------|
| <ul style="list-style-type: none"> <li>- MFAM Opportunity Fund I (BTY737)</li> <li>- MFAM Opportunity Fund II (BTY738)</li> <li>- MFAM Opportunity Fund III (BTY739)</li> </ul>  |  |                                      | OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.  | For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.  |         |
| Wealthy Mountain Funds OFC (the "OFC") (BTK530) <ul style="list-style-type: none"> <li>- Wealthy Mountain No.1 Fund (BTK531)</li> <li>- Wealthy Mountain No.2 Fund (BTK532)</li> <li>- Wealthy Mountain No.3 Fund (BTK533)</li> </ul>  | 23 May 2024                                | 13 February 2023 to 31 December 2023 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Wealthy Mountain Global Funds OFC (the "OFC") (BTR355) <ul style="list-style-type: none"> <li>- Wealthy Mountain Fund I (BTR356)</li> <li>- Wealthy Mountain Fund II (BTR357)</li> <li>- Wealthy Mountain Fund III (BTR358)</li> </ul> | 23 May 2024                                | 11 May 2023 to 31 December 2023      | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Wealthy Mountain Capital OFC (the "OFC") (BTW753) <ul style="list-style-type: none"> <li>- Wealthy Mountain Equity Sub-Fund 1 (BTW754)</li> <li>- Wealthy Mountain Equity Sub-Fund 2 (BTW755)</li> </ul>                               | 23 May 2024                                | 21 July 2023 to 31 December 2023     | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any  | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |

| Name of the OFC or sub-fund<br>(CE number)   | Date of grant of the exemptions or waivers | Relevant financial period           | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|--|--|-------------------------------------|---|---|---------|
| - Wealthy Mountain Equity Sub-Fund 3 (BTW756)  |  |                                     | shareholder under rule 151 of the OFC Rules.  |   |         |
| Golden Lion Capital OFC (the "OFC") (BSW253)<br><br>- Giulia Capital Fund (BSW254)   | 29 May 2024                                | 26 August 2022 to 31 December 2023  | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Pine Garden Open-ended Fund Company (the "OFC") (BTM718)<br><br>- Pine Garden Discovery Sub-Fund (BTM719)<br>- Pine Garden Opportunities Sub-Fund (BTM720) | 4 June 2024                                | 8 March 2023 to 31 March 2024       | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Duo Macro Investment OFC (the "OFC") (BTE327)<br><br>- Duo Macro Investment Fund 1 (BTE328)  | 11 June 2024                               | 1 December 2022 to 31 December 2023 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |

| Name of the OFC or sub-fund (CE number)  | Date of grant of the exemptions or waivers | Relevant financial period        | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|--|--|----------------------------------|---|---|---------|
| Infinitus Global Growth OFC (the "OFC") (BSQ904)<br><br>- Infinitus Global Investment Fund (BSQ905)<br>- Infinitus Global Sustainable Fund (BSQ906)<br>- Infinitus Global Diversified Equity Fund (BSQ907) | 13 June 2024                               | 7 July 2022 to 31 December 2023  | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| SilkyWater Multi-Strategy OFC (the "OFC") (BSR990)<br><br>- Value Investment Fund (BSR991)<br>- Stable Income Fund (BSR992)<br>- Absolute Return Fund (BSR993)   | 17 June 2024                               | 19 July 2022 to 31 December 2023 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Austen Global Opportunity OFC (the "OFC") (BRY988)   | 27 June 2024                               | 1 July 2023 to 30 June 2024      | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Austen Sustainable Equity OFC (the "OFC") (BTA318)   | 27 June 2024                               | 18 October 2022 to 31 March 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the  | The exemption is granted on the following conditions:   |         |

| Name of the OFC or sub-fund (CE number)   | Date of grant of the exemptions or waivers | Relevant financial period           | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|---|--|-------------------------------------|---|---|---------|
|   |  |                                     | OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.  | For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.  |         |
| Austen Global Income OFC (the "OFC") (BTO657)<br><br>- Austen US Income Fund (BTO658)                   | 27 June 2024                               | 30 March 2023 to 30 June 2024       | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Innovax Capital OFC (the "OFC") (BSR554)<br><br>- Innovax Capital Investment Growth Fund (BSR555)       | 10 July 2024                               | 13 July 2022 to 31 December 2023    | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Innovax Investment OFC (the "OFC") (BTH368)<br><br>- Innovax Capital Investment Strategic Fund (BTH369) | 10 July 2024                               | 11 January 2023 to 31 December 2023 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any  | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |

| Name of the OFC or sub-fund (CE number)   | Date of grant of the exemptions or waivers | Relevant financial period        | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|---|--|----------------------------------|---|---|---------|
|   |  |                                  | shareholder under rule 151 of the OFC Rules.  |   |         |
| BaoHeng Asset Management OFC (the "OFC") (BTQ679)<br><br>- BaoHeng Macro Balanced Fund (BTQ680)<br><br>- BaoHeng Capital Growth Fund (BTQ681)<br><br>- BaoHeng Global Opportunities Fund (BTQ682) | 29 July 2024                               | 19 April 2023 to 31 March 2024   | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Standard Perpetual Global OFC (the "OFC") (BSR0198)<br><br>- Standard Perpetual Selected Growth Fund (BSR019)   | 1 August 2024                              | 12 July 2022 to 31 December 2023 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Fortune New Horizon Open-ended Fund Company (the "OFC") (BTT064)<br><br>- Fortune Innovation Sub-fund (BTT065)  | 12 August 2024                             | 31 May 2023 to 31 March 2024     | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any  | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |

| Name of the OFC or sub-fund (CE number)   | Date of grant of the exemptions or waivers | Relevant financial period   | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|---|--|---|---|---|---------|
| - Fortune Vista Sub-fund (BTT066)<br>- Fortune Luminance Sub-fund (BTT067)                                |  |   | shareholder under rule 151 of the OFC Rules.  |   |         |
| P.B. Capital OFC (the "OFC") (BSQ305)<br><br>- P.B. Capital OF1 (BSQ306)                                  | 25 October 2024                            | 28 June 2022 to 31 December 2022; and<br>1 January 2023 to 31 December 2023 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Anbo Euto Sigma Vision Fund OFC (the "OFC") (BTP007)<br><br>- Anbo Euto Sigma Opportunity Fund 1 (BTP008) | 7 November 2024                            | 15 May 2023 to 30 September 2024  | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Ankaa Global OFC (the "OFC") (BUP879)<br>- Ankaa Evergreen Fund (BUP880)                                  | 4 December 2024                            | 8 February 2024 to 30 September 2024  | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |

| Name of the OFC or sub-fund (CE number)   | Date of grant of the exemptions or waivers | Relevant financial period  | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|---|--|--|---|---|---------|
| Geometry New Technology Growth OFC (the "OFC") (BUK261)<br><br>- Geometry Endless Frontier Growth Fund (BUK262)<br>- Geometry Fortune Growth Fund (BUK263)<br>- Geometry Spark Growth Fund (BUK264) | 5 December 2024                            | 22 December 2023 to 30 September 2024  | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Grandeur Capital OFC (the "OFC") (BRP446)<br><br>- Grandeur Capital Growth Fund 1 (BRP447)  | 11 December 2024                           | 7 September 2021 to 31 December 2022; and 1 January 2023 to 31 December 2023 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Basel Global Investment Fund OFC (the "OFC") (BUQ141)<br><br>- Basel US Investment Fund 1 (BUQ142)  | 24 January 2025                            | 20 March 2024 to 31 December 2024  | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |



| Name of the OFC or sub-fund (CE number)  | Date of grant of the exemptions or waivers | Relevant financial period         | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|--|--|-----------------------------------|---|---|---------|
| Basel Global Real Estate Fund OFC (the "OFC") (BUQ144)<br><br>- Basel US Real Estate Fund 1 (BUQ145) | 24 January 2025                            | 20 March 2024 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| SGI Pioneer Development Fund OFC (the "OFC") (BVA480)<br><br>- SGI Development Fund 1 (BVA481)       | 24 January 2025                            | 25 June 2024 to 31 December 2024  | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| SGI Pioneer Master Fund OFC (the "OFC") (BVA482)<br><br>- SGI Master Fund 1 (BVA483)                 | 24 January 2025                            | 26 June 2024 to 31 December 2024  | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| SGI Pioneer Opportunity Fund OFC (the "OFC") (BVA485)  | 24 January 2025                            | 25 June 2024 to 31 December 2024  | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the  | The exemption is granted on the following conditions:   |         |

| Name of the OFC or sub-fund (CE number)  | Date of grant of the exemptions or waivers | Relevant financial period            | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|--|--|--------------------------------------|---|---|---------|
| - SGI Opportunity Fund 1 (BVA486)  |  |                                      | OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.  | For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.  |         |
| Amital Euto BioMed Infrastructure Fund OFC (the "OFC") (BUK835)<br><br>- AEBI Fund 1 (BUK836)              | 24 January 2025                            | 19 December 2023 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Amital Euto Opportunistic Fund OFC (the "OFC") (BUK840)<br><br>- AEO Fund 1 (BUK841)                       | 24 January 2025                            | 20 December 2023 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| QX Asset Management OFC (the "OFC") (BTT150)<br><br>- QX Global Quantitative Managed Futures Fund (BTT151) | 28 January 2025                            | 1 January 2024 to 31 December 2024   | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any  | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |

| Name of the OFC or sub-fund (CE number)   | Date of grant of the exemptions or waivers | Relevant financial period            | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|---|--|--------------------------------------|---|---|---------|
|   |  |                                      | shareholder under rule 151 of the OFC Rules.  |   |         |
| China Enterprise Global Opportunity Fund OFC (the "OFC") (BUQ317)<br><br>- CE Opportunity Fund 1 (BUQ318) | 4 February 2025                            | 27 February 2024 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| China Enterprise Global Master Fund OFC (the "OFC") (BUQ320)<br><br>- CE Fund 1 (BUQ321)                  | 4 February 2025                            | 28 February 2024 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Fengde Global Master Fund OFC (the "OFC") (BUK343)<br><br>- Fengde Global Opportunity Fund 1 (BUK344)     | 4 February 2025                            | 19 December 2023 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |

| Name of the OFC or sub-fund (CE number)  | Date of grant of the exemptions or waivers | Relevant financial period            | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|--|--|--------------------------------------|---|---|---------|
| Fengde Special Situation Fund OFC (the "OFC") (BUK348)<br><br>- Fengde Fund 1 (BUK349) | 4 February 2025                            | 19 December 2023 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| AKJ Fengde Global Fund OFC (the "OFC") (BUQ240)<br><br>- AKJ Fengde FOF 1 (BUQ241)     | 4 February 2025                            | 27 February 2024 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| QDI OFC (the "OFC") (BUV224)<br><br>- QDI Global Systematic Fund (BUV225)              | 4 February 2025                            | 29 April 2024 to 31 December 2024    | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| SWCap OFC (the "OFC") (BUX189)   | 5 February 2025                            | 9 May 2024 to 31 December 2024       | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the  | The exemption is granted on the following conditions:   |         |

| Name of the OFC or sub-fund (CE number)                                     | Date of grant of the exemptions or waivers | Relevant financial period           | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|---|--|-------------------------------------|---|---|---------|
| - Global Dynamic Fund (BUX190)<br>- Strategic Balanced Fund (BUX191)        |  |                                     | OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.  | For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.  |         |
| Arbor Mavericks OFC (the "OFC") (BUL962)<br><br>- Mavericks Fund 1 (BUL963) | 10 February 2025                           | 10 January 2024 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Arbor Suns OFC (the "OFC") (BUL958)<br><br>- Suns Fund 1 (BUL959)           | 10 February 2025                           | 10 January 2024 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| AGAM OFC (the "OFC") (BUW246)<br><br>- U.S. Land Fund (BUW247)              | 10 February 2025                           | 3 May 2024 to 31 December 2024      | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any  | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |

| Name of the OFC or sub-fund (CE number)   | Date of grant of the exemptions or waivers | Relevant financial period             | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|---|--|---------------------------------------|---|---|---------|
|   |  |                                       | shareholder under rule 151 of the OFC Rules.  |   |         |
| Pine Garden Growth Open-ended Fund Company (the "OFC") (BTO764)<br><br>- Pine Garden Technology Growth Fund (BTO765)  | 10 February 2025                           | 31 March 2023 to 31 March 2024        | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Vantum Global Opportunities Investment OFC (the "OFC") (BUA989)<br><br>- Vantum Global Opportunities Fixed Income Fund (BUA990)<br>- Vantum Global Opportunities Stock Fund (BUA991)<br>- Vantum Global Opportunities Hedge Fund (BUA992) | 13 February 2025                           | 7 September 2023 to 31 December 2024  | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Vantum Asia Pacific Growth OFC (the "OFC") (BUC118)<br><br>- Vantum Asia Pacific Opportunities Fund (BUC119)  | 13 February 2025                           | 22 September 2023 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any  | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |

| Name of the OFC or sub-fund (CE number)  | Date of grant of the exemptions or waivers | Relevant financial period            | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|--|--|--------------------------------------|---|---|---------|
|  |  |                                      | shareholder under rule 151 of the OFC Rules.  |   |         |
| KCG Growth Fund OFC (the "OFC") (BUP733)   | 13 February 2025                           | 15 February 2024 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Pulun International OFC (the "OFC") (BUQ245)<br><br>- Pulun Money Market Fund (BUQ246)<br>- Pulun Bond Fund (BUQ247)<br>- Pulun Equity Fund (BUQ248)<br>- Pulun Multi-Assets Fund (BUQ249) | 13 February 2025                           | 26 February 2024 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Hectoron Supply Chain Finance Credit OFC (the "OFC") (BSR899)  | 13 February 2025                           | 1 January 2024 to 31 December 2024   | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |

| Name of the OFC or sub-fund (CE number)   | Date of grant of the exemptions or waivers | Relevant financial period            | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|---|--|--------------------------------------|---|---|---------|
| Vision Bridge OFC 儼橋開放式基金型公司 (the "OFC") (BUK214)<br><br>- Vision Bridge Growth Fund 1 (BUK215)   | 13 February 2025                           | 20 December 2023 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Vision Infinity Partners OFC (the "OFC") (BUK219)<br><br>- Vision Infinity Partners Student Housing Fund 1 (BUK220)   | 13 February 2025                           | 20 December 2023 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Funde Ivy OFC (the "OFC") (BUS968)<br><br>- Funde Aurora Fixed Income Sub-Fund (BUS969)<br>- Funde Pioneers Equity Sub-Fund (BUS970)<br>- Funde Captain Mixed Sub-Fund (BUS971) | 21 February 2025                           | 18 March 2024 to 31 December 2024    | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Canfield Multi Asset Fund OFC (the "OFC") (BSM092)  | 24 February 2025                           | 1 January 2024 to 31 December 2024   | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the  | The exemption is granted on the following conditions:   |         |



| Name of the OFC or sub-fund (CE number)   | Date of grant of the exemptions or waivers | Relevant financial period            | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|---|--|--------------------------------------|---|---|---------|
| <ul style="list-style-type: none"> <li>- Canfield Strategic Fund (BSM093)</li> <li>- Canfield Fixed Income Fund (BSM094)</li> <li>- Village Telecom &amp; Tech Fund (BSM095)</li> <li>- Essential Smart Energy Fund (BSM096)</li> </ul> |  |                                      | OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.  | For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.  |         |
| Macqueen Growth Fund OFC (the "OFC") (BUI939)<br><br><ul style="list-style-type: none"> <li>- Macqueen Growth Global Sub-Fund (BUI940)</li> </ul>   | 25 February 2025                           | 19 December 2023 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Titan OFC (the "OFC") (BSC865)<br><br><ul style="list-style-type: none"> <li>- Titan Global Macro Enhanced Strategy (BSC866)</li> <li>- Titan Global Flexible Fund (BSC867)</li> </ul>  | 26 February 2025                           | 1 January 2024 to 31 December 2024   | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Heng Shun OFC (the "OFC") (BTY736)<br><br><ul style="list-style-type: none"> <li>- Heng Shun Opportunity Fund I (BTY737)</li> </ul>   | 26 February 2025                           | 1 January 2024 to 31 December 2024   | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to  | The exemption is granted on the following conditions:   |         |

| Name of the OFC or sub-fund (CE number)  | Date of grant of the exemptions or waivers | Relevant financial period          | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|--|--|------------------------------------|---|---|---------|
| - Heng Shun Opportunity Fund II (BTY738)<br>- Heng Shun Opportunity Fund III (BTY739)  |  |                                    | publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.  | For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.  |         |
| Red South OFC (the "OFC") (BUX024)<br><br>- Red South Mining Private Equity Fund (BUX025)<br>- Red South World Mining Fund (BUX026)<br>- Red South Mining Technology Fund (BUX027) | 4 March 2025                               | 7 May 2024 to 31 December 2024     | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| PIMCO Funds: Hong Kong OFC (the "OFC") (BSQ813)<br><br>- PIMCO Asia Bond Fund (BSQ814)<br>- PIMCO Income Fund (BSQ815)   | 7 March 2025                               | 1 January 2024 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Avengers Strategy OFC (the "OFC") (BSD960)   | 11 March 2025                              | 1 January 2024 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any  | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |

| Name of the OFC or sub-fund (CE number)   | Date of grant of the exemptions or waivers | Relevant financial period          | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|---|--|------------------------------------|---|---|---------|
|   |  |                                    | shareholder under rule 151 of the OFC Rules.  |   |         |
| Going Fund OFC (the "OFC") (BUR358)<br><br>- Going Algorithmic Fund No.1 (BUR359)<br>- Going Algorithmic Fund No.2 (BUR360)<br>- Going Algorithmic Fund No.3 (BUR361)                         | 12 March 2025                              | 15 March 2024 to 31 December 2024  | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Brightus OFC (the "OFC") (BTE770)<br><br>- Brightus Growth Fund I (BTE771)<br>- Brightus Growth Fund II (BTE772)<br>- Brightus Venture Fund I (BTE773)<br>- Brightus Venture Fund II (BTE774) | 13 March 2025                              | 1 January 2024 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Alliance Capital Master OFC (the "OFC") (BUR179)<br><br>- Alliance Global Green Fund (BUR180)<br>- Alliance Care and Wellbeing Fund (BUR181)  | 14 March 2025                              | 7 March 2024 to 31 December 2024   | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |

| Name of the OFC or sub-fund (CE number)  | Date of grant of the exemptions or waivers | Relevant financial period          | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|--|--|------------------------------------|---|---|---------|
| Innovax Capital OFC (the "OFC") (BSR554)<br><br>- Innovax Capital Investment Growth Fund (BSR555)  | 14 March 2025                              | 1 January 2024 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Innovax Investment OFC (the "OFC") (BTH368)<br><br>- Innovax Capital Investment Strategic Fund (BTH369)  | 14 March 2025                              | 1 January 2024 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Richwood Series 2 OFC (the "OFC") (BTW761)<br><br>- Richwood Series 2 Fund I (BTW762)<br>- Richwood Series 2 Fund II (BTW763)<br>- Richwood Series 2 Fund III (BTW764) | 18 March 2025                              | 1 January 2024 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Richwood OFC (the "OFC") (BTT081)  | 18 March 2025                              | 1 January 2024 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the  | The exemption is granted on the following conditions:   |         |

| Name of the OFC or sub-fund (CE number)   | Date of grant of the exemptions or waivers | Relevant financial period          | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|---|--|------------------------------------|---|---|---------|
| <ul style="list-style-type: none"> <li>- Richwood Fund I (BTT082)</li> <li>- Richwood Fund II (BTT083)</li> <li>- Richwood Fund III (BTT084)</li> </ul>   |  |                                    | OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.  | For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.  |         |
| Infinitus Global Growth OFC (the "OFC") (BSQ904)<br><br><ul style="list-style-type: none"> <li>- Infinitus Global Investment Fund (BSQ905)</li> <li>- Infinitus Global Sustainable Fund (BSQ906)</li> <li>- Infinitus Global Diversified Fund (BSQ907)</li> </ul> | 19 March 2025                              | 1 January 2024 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Keystone Multi Strategy OFC (the "OFC") (BUX076)<br><br><ul style="list-style-type: none"> <li>- Keystone (Multi-asset) Income Fund (BUX077)</li> </ul>   | 20 March 2025                              | 9 May 2024 to 31 December 2024     | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| OP Spectrum OFC (the "OFC") (BTY574)<br><br><ul style="list-style-type: none"> <li>- Lintrend Global Equity Growth Fund (BUX025)</li> <li>- RCM China Systematic CTA Fund (BTY575)</li> </ul>   | 20 March 2025                              | 31 July 2023 to 31 December 2024   | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any  | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |

| Name of the OFC or sub-fund (CE number)   | Date of grant of the exemptions or waivers | Relevant financial period          | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|---|--|------------------------------------|---|---|---------|
|   |  |                                    | shareholder under rule 151 of the OFC Rules.  |   |         |
| Guoyuan International Investment Series OFC (the "OFC") (BSM511)<br><br>- Guoyuan China Economy Transition Fund (BSM512)<br>- Guoyuan China SOE Fixed Income Fund (BSM513)<br>- Guoyuan Flexible Allocation Fund (BSM514) | 21 March 2025                              | 1 January 2024 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| ASM Fund OFC (the "OFC") (BRY682)<br><br>- ASM Global Opportunity Fund I (BRY683)   | 10 April 2025                              | 1 January 2024 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| EL Global Multi-Strategy Fund OFC (the "OFC") (BVC476)<br><br>- Horizon 21 Fund (BVC477)  | 14 April 2025                              | 10 July 2024 to 31 December 2024   | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any  | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |

| Name of the OFC or sub-fund (CE number)  | Date of grant of the exemptions or waivers | Relevant financial period          | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|--|--|------------------------------------|---|---|---------|
|  |  |                                    | shareholder under rule 151 of the OFC Rules.  |   |         |
| CAM Capital OFC (the "OFC") (BRZ059)<br><br>- CAM Capital Growth Sub-Fund 1 (BRZ060)<br>- CAM Capital Growth Sub-Fund 2 (BRZ061)<br>- CAM Capital Growth Sub-Fund 3 (BRZ062) | 14 April 2025                              | 1 January 2024 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Westpass Funds OFC (BTW099) (the "OFC")<br><br>- Westpass Asia Opportunity Fund (BTW100)   | 15 April 2025                              | 10 July 2023 to 31 December 2024   | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Bowen Capital OFC (the "OFC") (BUQ655)<br><br>- Bowen Secular Fund (BUQ656)  | 16 April 2025                              | 1 March 2024 to 31 December 2024   | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |

| Name of the OFC or sub-fund (CE number)  | Date of grant of the exemptions or waivers | Relevant financial period            | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|--|--|--------------------------------------|---|---|---------|
| Silver Nile Global Investments Fund OFC (the "OFC") (BVA344)<br><br>- Silver Nile Vietnam Special Opportunities Fund (BVA345)<br>- Silver Nile Belt and Road Fund (BVA346) | 17 April 2025                              | 21 June 2024 to 31 December 2024     | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Essence International OFC (the "OFC") (BUH356)<br><br>- Essence Sustainable Growth Fund (BUH357)<br>- Essence Opportunity Fund (BUH358)                                    | 24 April 2025                              | 20 November 2023 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Gaoyu High Yield Income Fund OFC (the "OFC") (BUK779)<br><br>- Gaoyu Income Fund 1 (BUK780)  | 28 April 2025                              | 18 December 2023 to 31 March 2025    | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Gaoyu Global Master Fund OFC (the "OFC") (BUK791)  | 28 April 2025                              | 18 December 2023 to 31 March 2025    | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the  | The exemption is granted on the following conditions:   |         |



| Name of the OFC or sub-fund (CE number)  | Date of grant of the exemptions or waivers | Relevant financial period            | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|--|--|--------------------------------------|---|---|---------|
| - Gaoyu Global Opportunity Fund 1 (BUK792)   |  |                                      | relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.  | For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.  |         |
| Gaoyu Global Infrastructure Fund OFC (the "OFC") (BUS667)<br><br>- Gaoyu Fund 1 (BUK668) | 28 April 2025                              | 25 March 2024 to 31 March 2025       | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| King Tower Firefly No. 1 Open-ended Fund Company (the "OFC") (BUI916)                    | 29 April 2025                              | 13 December 2023 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Voyage Progress OFC (the "OFC") (BUT537)<br><br>- Voyage Progress Fund (BUT538)          | 30 April 2025                              | 11 April 2024 to 31 December 2024    | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of   | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had   |         |

| Name of the OFC or sub-fund (CE number)   | Date of grant of the exemptions or waivers | Relevant financial period          | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|---|--|------------------------------------|---|---|---------|
|   |  |                                    | the report free of charge to any shareholder under rule 151 of the OFC Rules.   | not been launched; and (2) had no investor.   |         |
| Springboard Investment Strategies OFC (the "OFC") (BUB183)<br><br>- SIS Stable Growth Fund (BUB184)<br>- SIS Alpha Growth Fund (BUB185) | 30 April 2025                              | 30 August 2023 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.                                   |         |
| HuaAn Investment Fund II OFC (the "OFC") (BSP587)<br><br>- HuaAn US Innovation Dynamic Equity Fund (BSP588)                             | 30 April 2025                              | 1 July 2023 to 30 June 2024        | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.                                   |         |
| FCS Capital OFC (the "OFC") (BUA517)<br><br>- FCS Alpha Fund (BUA518)<br>- FCS Genesis Fund (BUA519)<br>- FCS Vision Fund (BUA520)      | 2 May 2025                                 | 25 August 2023 to 31 December 2024 | (1) Waiver from strict compliance with the requirements to publish and file to the Commission the annual report of the OFC for the relevant financial period under 9.8 and 9.9 of the OFC Code.   | The waiver is granted on the following condition:<br><br>The OFC shall publish and file to the Commission the annual report for the relevant financial period on or before 30 September 2025. |         |

| Name of the OFC or sub-fund (CE number)   | Date of grant of the exemptions or waivers | Relevant financial period          | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|---|--|------------------------------------|---|---|---------|
| AP Capital Green OFC (the "OFC") (BUB183)<br><br>- AP Green Sustainability Fund (BUR213)  | 6 May 2025                                 | 7 March 2024 to 31 December 2024   | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Topaz Multi Strategy OFC (the "OFC") (BUW987)<br><br>- Topaz New Opportunity Fund (BUW988)<br>- Topaz Vision Fund (BUW989)  | 8 May 2025                                 | 3 May 2024 to 31 December 2024     | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Vered Investment Fund OFC (the "OFC") (BRS525)<br>- Vered Equity Stable Return Fund (BRS526)<br>- Vered Global Fixed Income Premium Fund (BRS527)<br>- Vered Multi Strategy Fund (BRS528) | 12 May 2025                                | 1 January 2024 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Grandeur Capital OFC (the "OFC") (BRP446)   | 13 May 2025                                | 1 January 2024 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the  | The exemption is granted on the following conditions:   |         |

| Name of the OFC or sub-fund<br>(CE number)   | Date of grant of the exemptions or waivers | Relevant financial period          | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|--|--|------------------------------------|---|---|---------|
| - Grandeur Capital Growth Fund 1 (BRP447)  |  |                                    | OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.  | For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.  |         |
| New Financial Capital Market OFC (the "OFC") (BRT227)<br><br>- New Financial Growth & Income Fund (BRT228) | 14 May 2025                                | 1 January 2024 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The waiver is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.    |         |
| VC OFC (the "OFC") (BUU821)<br><br>- VC Global Sub-Fund 1 (BUU822)<br>- VC Global Sub-Fund 2 (BUU823)      | 16 May 2025                                | 22 April 2024 to 31 March 2025     | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| BOCHK Asset Management OFC (the "OFC") (BSU954)  | 20 May 2025                                | 1 July 2023 to 31 December 2024    | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and  | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had   |         |

| Name of the OFC or sub-fund<br>(CE number)   | Date of grant of the exemptions or waivers | Relevant financial period             | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|--|--|---------------------------------------|---|---|---------|
| <ul style="list-style-type: none"> <li>- BOCHK Macro Aggressive Portfolio (BSU955)</li> <li>- BOCHK Macro Balanced Portfolio (BSU956)</li> <li>- BOCHK Macro Conservative Portfolio (BSU957)</li> </ul>  |  |                                       | provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.  | not been launched; and (2) had no investor.   |         |
| Cynergy Open-ended Fund Company (the "OFC") (BSF471)<br><br><ul style="list-style-type: none"> <li>- Cynergy Credit Opportunity Fund (BSF472)</li> <li>- Cynergy Balanced Fund (BSZ899)</li> </ul>   | 21 May 2025                                | 11 September 2023 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Everstay Capital Funds OFC (the "OFC") (BUV365)<br><br><ul style="list-style-type: none"> <li>- Everstay Real Estate Fund (BUV366)</li> <li>- Everstay Private Credit Fund (BUV367)</li> <li>- Everstay Opportunities Fund (BUV368)</li> </ul> | 26 May 2025                                | 3 May 2024 to 31 December 2024        | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| CF Opportunities Funds OFC (the "OFC") (BUR192)  | 26 May 2025                                | 8 March 2024 to 31 December 2024      | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to  | The exemption is granted on the following conditions:   |         |

| Name of the OFC or sub-fund (CE number)   | Date of grant of the exemptions or waivers | Relevant financial period            | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|---|--|--------------------------------------|---|---|---------|
| - CF Opportunities Fund 1 (BUR193)  |  |                                      | publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.  | For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.  |         |
| Lux Aeterna Global Opportunities OFC (the "OFC") (BUL429)<br><br>- Lux Aeterna Global Opportunities Fund 1 (BUL430)<br>- Lux Aeterna Global Opportunities Fund 2 (BUL431)<br>- Lux Aeterna Global Opportunities Fund 3 (BUL432) | 28 May 2025                                | 22 December 2023 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Galaxy Star Multi Strategy OFC (the "OFC") (BUX564)<br><br>- Galaxy Star Alternative Fund (BUX565)  | 28 May 2025                                | 27 May 2024 to 31 December 2024      | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Oxbridge Global Opportunity OFC (formerly known as "Austen Global Opportunity OFC") (the "OFC") (BRY988)  | 28 May 2025                                | 1 July 2024 to 30 June 2025          | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and  | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had   |         |

| Name of the OFC or sub-fund (CE number)  | Date of grant of the exemptions or waivers | Relevant financial period           | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|--|--|-------------------------------------|---|---|---------|
|  |  |                                     | provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.  | not been launched; and (2) had no investor.   |         |
| Zheshang Long Term Global Growth Fund OFC (the "OFC") (BUL644)<br><br>- China Stable Growth No.2 Bond Fund (BUL645)  | 29 May 2025                                | 10 January 2024 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Oxbridge Sustainable Equity OFC (formerly known as "Austen Sustainable Equity OFC") (the "OFC") (BTA318)   | 2 June 2025                                | 1 April 2024 to 31 March 2025       | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Oxbridge Global Income OFC (formerly known as "Austen Global Income OFC") (the "OFC") (BRY657)<br><br>- Oxbridge US Income Fund (formerly known as "Austen US Income Fund") (BTO658) | 2 June 2025                                | 1 July 2024 to 30 June 2025         | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |

| Name of the OFC or sub-fund (CE number)   | Date of grant of the exemptions or waivers | Relevant financial period  | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|---|--|--|---|---|---------|
| Fortune Unite Fund OFC (the "OFC") (BTE850)<br><br>- BC Fortune Unite Fund (BTE851) | 2 June 2025                                | 9 December 2022 to 31 December 2023; and<br>1 January 2024 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Oxbridge Global Equity OFC (the "OFC") (BUT072)                                     | 2 June 2025                                | 5 April 2024 to 31 March 2025  | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Ocean Glow OFC (the "OFC") (BTW254)<br><br>- Ocean Glow Global Growth Fund (BTW255) | 3 June 2025                                | 6 July 2023 to 31 December 2024  | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Ocean Glee OFC (the "OFC") (BTW285)   | 3 June 2025                                | 14 July 2023 to 31 December 2024   | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the  | The exemption is granted on the following conditions:   |         |



| Name of the OFC or sub-fund (CE number)  | Date of grant of the exemptions or waivers | Relevant financial period            | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|--|--|--------------------------------------|---|---|---------|
| - Ocean Glee First Fortune Fund (BTW286)   |  |                                      | relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.  | For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.  |         |
| Eminence Fintech Master Fund OFC (the "OFC") (BUK653)<br><br>- Eminence FT Fund 1 (BUK654)         | 3 June 2025                                | 22 December 2023 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Eminence Private Equity Fund OFC (the "OFC") (BUK650)<br><br>- Eminence PE Fund 1 (BUK651)         | 3 June 2025                                | 22 December 2023 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Macro Intelligent OFC (the "OFC") (BUG729)<br><br>- Macro Intelligent Stable Returns Fund (BUG730) | 3 June 2025                                | 20 November 2023 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of   | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had   |         |

| Name of the OFC or sub-fund (CE number)  | Date of grant of the exemptions or waivers | Relevant financial period            | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|--|--|--------------------------------------|---|---|---------|
|  |  |                                      | the report free of charge to any shareholder under rule 151 of the OFC Rules.   | not been launched; and (2) had no investor.   |         |
| Polymer Strategic OFC (the "OFC") (BUN717)<br><br>- Pearl River All-Weather Global Private Markets FoF (BUN718)<br>- Junhua Global Great Consumer Equity Fund (BUN719)                             | 5 June 2025                                | 26 January 2024 to 31 December 2024  | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| Lightcone Global Investment Fund Series OFC (BUR046)<br><br>- Lightcone TFX Global Income Fund (BUR047)<br>- Lightcone TFX Global Macro Fund (BUR048)<br>- Lightcone TFX Core Income Fund (BUR049) | 11 June 2025                               | 27 February 2024 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |
| GBA Investment OFC (the "OFC") (BUS473)<br><br>- RBF Fund I (BUS474)   | 23 June 2025                               | 22 March 2024 to 31 December 2024    | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |

| Name of the OFC or sub-fund<br>(CE number)  | Date of grant of the exemptions or waivers | Relevant financial period        | Particulars of the exemptions or waivers granted  | Conditions  | Remarks |
|---|--|----------------------------------|---|---|---------|
| Constance Opportunities OFC (BUR464)<br><br>- Constance Opportunities Fund I (BUR465)<br>- Constance Opportunities Fund II (BUR466) | 23 June 2025                               | 7 March 2024 to 31 December 2024 | (1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. | The exemption is granted on the following conditions:<br><br>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor. |         |