

Exemptions or waivers of annual report requirements in the Securities and Futures (Open-ended Fund Companies) Rules (“OFC Rules”) (granted under rule 151(3) of the OFC Rules) and the Code on Open-ended Fund Companies (the “OFC Code”)

Last update: 6 February 2026

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
Kingbridge Capital Multi-Strategy Fund OFC (the “OFC”) (BRW799) - Kingbridge Capital Opportunity I Fund (BRW800) - Kingbridge Capital Opportunity II Fund (BRW801) - Kingbridge Capital Opportunity III Fund (BRW802) (collectively, the “Sub-funds”)	9 January 2023	9 December 2021 – 31 March 2022	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. (2) Waived the requirement to show the respective financial positions and results of the OFC and those of the individual sub-fund(s) of the OFC in the financial report under 9.6 of the OFC Code.	The exemption and waiver are granted on the following conditions: For the relevant financial period, the OFC and the Sub-funds (1) had not been launched; and (2) had no investor.	
Hamon Capital Partners OFC (the “OFC”) BRP691	20 February 2023	10 September 2021 to 31 December 2022	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Vered Investment Fund OFC (the “OFC”) (BRS525)	22 February 2023	25 October 2021 to 31 December 2022	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the	The exemption and waiver are granted on the following conditions:	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
<ul style="list-style-type: none"> - Vered Equity Stable Return Fund (BRS526) - Vered Global Fixed Income Premium Fund (BRS527) - Vered Multi Strategy Fund (BRS528) (collectively, the "Sub-funds")			relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. (2) Waived the requirement to show the respective financial positions and results of the OFC and those of the individual sub-fund(s) of the OFC in the financial report under 9.6 of the OFC Code.	For the relevant financial period, the OFC and the Sub-funds (1) had not been launched; and (2) had no investor.	
Pacific Hawk Global Fund OFC (the "OFC") (BOR317)	3 March 2023	From 1 January 2021 until the OFC no longer meets one or more of the conditions of the exemption	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Solomon Capital Fund OFC (the "OFC")(BRR272) - Solomon Capital Absolute Return Strategies Fund (BRR273) (the "Sub-fund")	9 March 2023	29 September 2021 to 31 December 2022	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption and waiver are granted on the following conditions: For the relevant financial period, the OFC and the Sub-fund (1) had not been launched; and (2) had no investor.	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
			(2) Waived the requirement to show the respective financial positions and results of the OFC and those of the Sub-fund of the OFC in the financial report under 9.6 of the OFC Code.		
Victory Privilege Fund OFC (the "OFC") (BRT100) - Winner Global Fund (BRT101) - Victory Greater China Growth Fund (BRT102) - Victory Bond Connect Fund (BRT103) - Victory Strategic Fund (BRT104) - Victory Equity Long Fund (BRT105) (collectively, the "Sub-funds")	10 March 2023	3 November 2021 to 31 December 2022	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. (2) Waived the requirement to show the respective financial positions and results of the OFC and those of the individual sub-fund(s) of the OFC in the financial report under 9.6 of the OFC Code.	The exemption and waiver are granted on the following conditions: For the relevant financial period, the OFC and the Sub-funds (1) had not been launched; and (2) had no investor.	
ASM Fund OFC (the "OFC") (BRY682) - ASM Global Opportunity Fund I (BRY683) (the "Sub-fund")	13 March 2023	31 December 2021 to 31 December 2022	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption and waiver are granted on the following conditions: For the relevant financial period, the OFC and the Sub-fund (1) had not been launched; and (2) had no investor.	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
			(2) Waived the requirement to show the respective financial positions and results of the OFC and those of the Sub-fund of the OFC in the financial report under 9.6 of the OFC Code.		
CAM Capital OFC (the "OFC") (BRZ059) - CAM Capital Growth Sub-Fund 1 (BRZ060) - CAM Capital Growth Sub-Fund 2 (BRZ061) - CAM Capital Growth Sub-Fund 3 (BRZ062) (collectively, the "Sub-funds")	29 March 2023	5 January 2022 to 31 December 2022	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. (2) Waived the requirement to show the respective financial positions and results of the OFC and those of the individual sub-fund(s) of the OFC in the financial report under 9.6 of the OFC Code.	The exemption and waiver are granted on the following conditions: For the relevant financial period, the OFC and the Sub-funds (1) had not been launched; and (2) had no investor.	
Guoyuan International Investment Series OFC (the "OFC") (BSM511) - Guoyuan China Economy Transition Fund (BSM512) - Guoyuan China SOE Fixed Income Fund (BSM513) - Guoyuan Flexible Allocation Fund (BSM514)	11 April 2023	9 June 2022 to 31 December 2022	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. (2) Waived the requirement to show the respective financial	The exemption and waiver are granted on the following conditions: For the relevant financial period, the OFC and the Sub-funds (1) had not been launched; and (2) had no investor.	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
(collectively, the "Sub-funds")			positions and results of the OFC and those of the individual sub-fund(s) of the OFC in the financial report under 9.6 of the OFC Code.		
iFREE Capital Market OFC (the "OFC") (BRT227) - iFREE Growth & Income Fund (BRT228) (the "Sub-fund")	12 April 2023	3 November 2021 to 31 December 2022	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. (2) Waived the requirement to show the respective financial positions and results of the OFC and those of the Sub-fund of the OFC in the financial report under 9.6 of the OFC Code.	The exemption and waiver are granted on the following conditions: For the relevant financial period, the OFC and the Sub-fund (1) had not been launched; and (2) had no investor.	
AI OFC (the "OFC") (BQM217) - Basic Logic Fund (BQV741) - ESG Fund (BQV742) - Bitex Fund (BQV744) (collectively, the "Sub-funds")	18 April 2023	24 March 2021 to 31 March 2023	Waived the requirement to show the respective financial positions and results of the individual sub-fund(s) of the OFC in the financial report under 9.6 of the OFC Code in respect of the Sub-Funds.	The waiver is granted on the following conditions: For the relevant financial period, the Sub-funds (1) had not been launched; and (2) had no investor.	
Canfield Multi Asset Fund OFC (the "OFC") (BSM092)	19 April 2023	7 June 2022 to 31 December 2022	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the	The exemption and waiver are granted on the following conditions:	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
<ul style="list-style-type: none"> - Canfield Strategic Fund (BSM093) - Canfield Opportunity Fund (BSM094) - Village Telecom & Tech Fund (BSM095) - Essential Smart Energy Fund (BSM096) (collectively, the "Sub-funds")			<p>OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.</p> <p>(2) Waived the requirement to show the respective financial positions and results of the OFC and those of the individual sub-fund(s) of the OFC in the financial report under 9.6 of the OFC Code.</p>	For the relevant financial period, the OFC and the Sub-funds (1) had not been launched; and (2) had no investor.	
Masan Capital OFC (the "OFC") (BSQ874) <ul style="list-style-type: none"> - Masan Hong Kong Equity Fund (BSQ875) - Masan Growth Opportunities Fund (BSQ876) - Masan Special Opportunities Fund (BSQ877) (collectively, the "Sub-funds")	17 May 2023	29 June 2022 to 31 December 2023	<p>(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.</p> <p>(2) Waived the requirement to show the respective financial positions and results of the OFC and those of the individual sub-fund(s) of the OFC in the financial report under 9.6 of the OFC Code.</p>	<p>The exemption and waiver are granted on the following conditions:</p> <p>For the relevant financial period, the OFC and the Sub-funds (1) had not been launched; and (2) had no investor.</p>	<p>(1) <i>Exemption in respect of the OFC for the financial year ending 31 December 2023 lapsed on 7 June 2023.</i></p> <p>(2) <i>Waiver in respect of the OFC and Masan Hong Kong Equity Fund for the financial year ending 31 December 2023 lapsed on 7 June 2023.</i></p>

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
<p>LF Multi Strategy OFC (the "OFC") (BRV825)</p> <p>- LFX Property Development Fund (BRV827)</p> <p>- LF Emerging Industries Fund (BRV828)</p> <p>(collectively, the "Sub-funds")</p>	23 May 2023	26 November 2021 to 31 December 2022	<p>(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.</p> <p>(2) Waived the requirement to show the respective financial positions and results of the OFC and those of the individual sub-fund(s) of the OFC in the financial report under 9.6 of the OFC Code.</p>	<p>The exemption and waiver are granted on the following conditions:</p> <p>For the relevant financial period, the OFC and the Sub-funds (1) had not been launched; and (2) had no investor.</p>	
<p>Rui Da International Open-ended Fund Company (the "OFC") (BRV713)</p> <p>- Rui Da International No.5 (BRV714)</p> <p>- Rui Da International No.6 (BRV715)</p> <p>(collectively, the "Sub-funds")</p>	14 August 2023	24 November 2021 to 31 March 2023	<p>(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.</p> <p>(2) Waived the requirement to show the respective financial positions and results of the OFC and those of the individual sub-fund(s) of the OFC in the financial report under 9.6 of the OFC Code.</p>	<p>The exemption and waiver are granted on the following conditions:</p> <p>For the relevant financial period, the OFC and the Sub-funds (1) had not been launched; and (2) had no investor.</p>	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
Taikang Premier Investment OFC (the "OFC") (BRH817) - Taikang Stable Growth Fund (BRH818) (the "Sub-fund")	13 September 2023	12 July 2021 to 31 December 2022	Waived the requirement to show the respective financial positions and results of the Sub-fund of the OFC in the financial report under 9.6 of the OFC Code.	The waiver is granted on the following conditions: For the relevant financial period, the Sub-fund (1) had not been launched; and (2) had no investor.	
HuaAn Investment Fund II OFC (the "OFC") (BSP587) - HuaAn US Innovation Dynamic Equity Fund (BSP588) (the "Sub-fund")	18 October 2023	15 June 2022 to 30 June 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. (2) Waived the requirement to show the respective financial positions and results of the OFC and those of the Sub-fund of the OFC in the financial report under 9.6 of the OFC Code.	The exemption and waiver are granted on the following conditions: For the relevant financial period, the OFC and the Sub-fund (1) had not been launched; and (2) had no investor.	
PIMCO Funds: Hong Kong OFC (the "OFC") (BSQ813) - PIMCO Asia Bond Fund (BSQ814) - PIMCO Income Fund (BSQ815) (collectively, the "Sub-funds")	19 October 2023	29 September 2022 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption and waiver are granted on the following conditions: For the relevant financial period, the OFC and the Sub-funds (1) had not been launched; and (2) had no investor.	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
			(2) Waived the requirement to show the respective financial positions and results of the OFC and those of the individual sub-fund(s) of the OFC in the financial report under 9.6 of the OFC Code.		
BOCHK Asset Management OFC (the "OFC") (BSU954) - BOCHK Macro Aggressive Portfolio (BSU955) - BOCHK Macro Balanced Portfolio (BSU956) - BOCHK Macro Conservative Portfolio (BSU957) (collectively, the "Sub-funds")	21 November 2023	16 August 2022 to 30 June 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules. (2) Waived the requirement to show the respective financial positions and results of the OFC and those of the individual sub-fund(s) of the OFC in the financial report under 9.6 of the OFC Code.	The exemption and waiver are granted on the following conditions: For the relevant financial period, the OFC and the Sub-funds (1) had not been launched; and (2) had no investor.	
Hover4pi Fund I OFC (the "OFC") (BSL505)	20 December 2023	27 May 2022 to 31 October 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
Checkmate Open-ended Fund Company (the "OFC") (BSF471) - Checkmate Asia Credit Opportunity Fund (BSF472) - Checkmate Astrapto Special Situations Fund (BSZ899) (collectively, the "Sub-funds")	5 January 2024	11 March 2022 to 10 September 2023	Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Avengers Strategy OFC (the "OFC") (BSD960)	18 January 2024	1 January 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Zijing Asset Management OFC (the "OFC") (BSI351) - Zijing Pre-IPO Fund I (BSI352) - Zijing Equity Fund (BTZ214) (collectively, the "Sub-funds")	31 January 2024	3 May 2022 to 30 September 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Hectoron Supply Chain Finance Credit OFC (the "OFC") (BSR899)	31 January 2024	18 July 2022 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the	The exemption is granted on the following conditions:	

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			relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Victory Privilege Fund OFC (the "OFC") (BRT100) - Winner Global Fund (BRT101) - Victory Greater China Growth Fund (BRT102) - Victory Bond Connect Fund (BRT103) - Victory EMC BTC Cycle Fund (BRT104) - Victory Money Market Fund (BRT105)	6 March 2024	1 January 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
QX Asset Management OFC (the "OFC") (BTT150) - QX Global Quantitative Managed Futures Fund (BTT151)	6 March 2024	31 May 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Wiseview OFC (the "OFC") (BTV377) - Wiseview MCF Sub-Fund (BTV378)	6 March 2024	27 June 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to	The exemption is granted on the following conditions:	

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			publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Canfield Multi Asset Fund OFC (the "OFC") (BSM092) - Canfield Strategic Fund (BSM093) - Canfield Fixed Income Fund (BSM094) - Village Telecom & Tech Fund (BSM095) - Essential Smart Energy Fund (BSM096)	7 March 2024	1 January 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Hamon Capital Partners OFC (the "OFC") (BRP691)	7 March 2024	From 1 January 2023 to the date when the OFC no longer meets one or more of the conditions of the exemption	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Vered Investment Fund OFC (the "OFC") (BRS525) - Vered Equity Stable Return Fund (BRS526) - Vered Global Fixed Income Premium Fund (BRS527)	26 March 2024	1 January 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had	

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- Vered Multi Strategy Fund (BRS528)			the report free of charge to any shareholder under rule 151 of the OFC Rules.	not been launched; and (2) had no investor.	
Sino Wealth Global OFC (the "OFC") (BUB933) - Sino Wealth Global Real Estate Fund (BUB934) - Sino Wealth Global Fixed Income Fund (BUB935) - Sino Wealth Global Securities Fund (BUB936) - Sino Wealth Global Fintech Fund (BUB937) - Sino Wealth Global Cash Management Fund (BUB938) - Sino Wealth Global Alternative Investment Fund (BUB939)	28 March 2024	14 September 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
CAM Capital OFC (the "OFC") (BRZ059) - CAM Capital Growth Sub-Fund 1 (BRZ060) - CAM Capital Growth Sub-Fund 2 (BRZ061) - CAM Capital Growth Sub-Fund 3 (BRZ062)	23 April 2024	1 January 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
JQ Multi-Strategy Open-ended Fund Company (the "OFC") (BTK916)	23 April 2024	24 February 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the	The exemption is granted on the following conditions:	

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<ul style="list-style-type: none"> - JQ Opportunity I Fund (BTK917) - JQ Opportunity II Fund (BTK918) - JQ Opportunity III Fund (BTK919) 			OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Titan OFC (the "OFC") (BSC865) <ul style="list-style-type: none"> - Titan Global Macro Enhanced Strategy (BSC866) - Titan Global Flexible Fund (BSC867) 	24 April 2024	8 February 2022 to 31 December 2022; and 1 January 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Brightus OFC (the "OFC") (BTE770) <ul style="list-style-type: none"> - Brightus Growth Fund I (BTE771) - Brightus Growth Fund II (BTE772) - Brightus Venture Fund I (BTE773) - Brightus Venture Fund II (BTE774) 	24 April 2024	12 December 2022 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
ASM Fund OFC (the "OFC") (BRY682) <ul style="list-style-type: none"> - ASM Global Opportunity Fund I (BRY683) 	26 April 2024	1 January 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had	

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			the report free of charge to any shareholder under rule 151 of the OFC Rules.	not been launched; and (2) had no investor.	
Guoyuan International Investment Series OFC (the "OFC") (BSM511) - Guoyuan China Economy Transition Fund (BSM512) - Guoyuan China SOE Fixed Income Fund (BSM513) - Guoyuan Flexible Allocation Fund (BSM514)	26 April 2024	1 January 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Zhong Ou International OFC (the "OFC") (BTG612) - Zhong Ou Diversified Income Fund (BTG613)	30 April 2024	4 January 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Richwood Series 2 OFC (the "OFC") (BTW761) - Richwood Series 2 Fund I (BTW762) - Richwood Series 2 Fund II (BTW763)	2 May 2024	18 July 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
- Richwood Series 2 Fund III (BTW764)			the report free of charge to any shareholder under rule 151 of the OFC Rules.	not been launched; and (2) had no investor.	
Richwood OFC (the "OFC") (BTT081) - Richwood Fund I (BTT082) - Richwood Fund II (BTT083) - Richwood Fund III (BTT084)	10 May 2024	25 May 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
New Financial Capital Market OFC (the "OFC") (BRT227) - New Financial Growth & Income Fund (BRT228)	10 May 2024	1 January 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Redbridge Capital Global Opportunities OFC (the "OFC") (BTC931) - Redbridge Capital Global Opportunities 1 (BTC932) - Redbridge Capital Global Opportunities 2 (BTC933)	13 May 2024	15 November 2022 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
<ul style="list-style-type: none"> - Redbridge Capital Global Opportunities 3 (BUC376) - Redbridge Capital Global Opportunities 4 (BUC377) 					
White River Capital Fund I OFC (the "OFC") (BTM327) <ul style="list-style-type: none"> - White River Capital Securities Fund I (BTM328) - White River Capital Foods Fund I (BTM329) - White River Capital Global Fund I (BTM330) - White River Capital Asia Fund I (BTM 331) 	17 May 2024	22 February 2023 to 31 December 2023	(1) Waiver from strict compliance with the requirements to publish and file to the Commission the annual report of the OFC for the relevant financial period under 9.8 and 9.9 of the OFC Code.	The waiver is granted on the following condition: The OFC shall publish and file to the Commission the annual report for the relevant financial period on or before 30 June 2024.	
Guosen Global Markets Investment Open-ended Fund Company (the "OFC") (BSZ351) <ul style="list-style-type: none"> - China Balanced Multi-Strategy Fund of Funds (BSZ352) - Capital Stable Income Fund (BSZ353) - Macro-hedge Dynamic Fund (BSZ354) 	20 May 2024	30 September 2022 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
MFAM OFC (the "OFC") (BTY736)	22 May 2024	11 August 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the	The exemption is granted on the following conditions:	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
<ul style="list-style-type: none"> - MFAM Opportunity Fund I (BTY737) - MFAM Opportunity Fund II (BTY738) - MFAM Opportunity Fund III (BTY739) 			OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Wealthy Mountain Funds OFC (the "OFC") (BTK530) <ul style="list-style-type: none"> - Wealthy Mountain No.1 Fund (BTK531) - Wealthy Mountain No.2 Fund (BTK532) - Wealthy Mountain No.3 Fund (BTK533) 	23 May 2024	13 February 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Wealthy Mountain Global Funds OFC (the "OFC") (BTR355) <ul style="list-style-type: none"> - Wealthy Mountain Fund I (BTR356) - Wealthy Mountain Fund II (BTR357) - Wealthy Mountain Fund III (BTR358) 	23 May 2024	11 May 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Wealthy Mountain Capital OFC (the "OFC") (BTW753) <ul style="list-style-type: none"> - Wealthy Mountain Equity Sub-Fund 1 (BTW754) - Wealthy Mountain Equity Sub-Fund 2 (BTW755) 	23 May 2024	21 July 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
- Wealthy Mountain Equity Sub-Fund 3 (BTW756)			shareholder under rule 151 of the OFC Rules.		
Golden Lion Capital OFC (the "OFC") (BSW253) - Giulia Capital Fund (BSW254)	29 May 2024	26 August 2022 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Pine Garden Open-ended Fund Company (the "OFC") (BTM718) - Pine Garden Discovery Sub-Fund (BTM719) - Pine Garden Opportunities Sub-Fund (BTM720)	4 June 2024	8 March 2023 to 31 March 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Duo Macro Investment OFC (the "OFC") (BTE327) - Duo Macro Investment Fund 1 (BTE328)	11 June 2024	1 December 2022 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
Infinitus Global Growth OFC (the "OFC") (BSQ904) - Infinitus Global Investment Fund (BSQ905) - Infinitus Global Sustainable Fund (BSQ906) - Infinitus Global Diversified Equity Fund (BSQ907)	13 June 2024	7 July 2022 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
SilkyWater Multi-Strategy OFC (the "OFC") (BSR990) - Value Investment Fund (BSR991) - Stable Income Fund (BSR992) - Absolute Return Fund (BSR993)	17 June 2024	19 July 2022 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Austen Global Opportunity OFC (the "OFC") (BRY988)	27 June 2024	1 July 2023 to 30 June 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Austen Sustainable Equity OFC (the "OFC") (BTA318)	27 June 2024	18 October 2022 to 31 March 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the	The exemption is granted on the following conditions:	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
			OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Austen Global Income OFC (the "OFC") (BTO657) - Austen US Income Fund (BTO658)	27 June 2024	30 March 2023 to 30 June 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Innovax Capital OFC (the "OFC") (BSR554) - Innovax Capital Investment Growth Fund (BSR555)	10 July 2024	13 July 2022 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Innovax Investment OFC (the "OFC") (BTH368) - Innovax Capital Investment Strategic Fund (BTH369)	10 July 2024	11 January 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
			shareholder under rule 151 of the OFC Rules.		
BaoHeng Asset Management OFC (the "OFC") (BTQ679) - BaoHeng Macro Balanced Fund (BTQ680) - BaoHeng Capital Growth Fund (BTQ681) - BaoHeng Global Opportunities Fund (BTQ682)	29 July 2024	19 April 2023 to 31 March 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Standard Perpetual Global OFC (the "OFC") (BSR0198) - Standard Perpetual Selected Growth Fund (BSR019)	1 August 2024	12 July 2022 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Fortune New Horizon Open-ended Fund Company (the "OFC") (BTT064) - Fortune Innovation Sub-fund (BTT065)	12 August 2024	31 May 2023 to 31 March 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
- Fortune Vista Sub-fund (BTT066) - Fortune Luminance Sub-fund (BTT067)			shareholder under rule 151 of the OFC Rules.		
P.B. Capital OFC (the "OFC") (BSQ305) - P.B. Capital OF1 (BSQ306)	25 October 2024	28 June 2022 to 31 December 2022; and 1 January 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Anbo Euto Sigma Vision Fund OFC (the "OFC") (BTP007) - Anbo Euto Sigma Opportunity Fund 1 (BTP008)	7 November 2024	15 May 2023 to 30 September 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Ankaa Global OFC (the "OFC") (BUP879) - Ankaa Evergreen Fund (BUP880)	4 December 2024	8 February 2024 to 30 September 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
Geometry New Technology Growth OFC (the "OFC") (BUK261) - Geometry Endless Frontier Growth Fund (BUK262) - Geometry Fortune Growth Fund (BUK263) - Geometry Spark Growth Fund (BUK264)	5 December 2024	22 December 2023 to 30 September 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Grandeur Capital OFC (the "OFC") (BRP446) - Grandeur Capital Growth Fund 1 (BRP447)	11 December 2024	7 September 2021 to 31 December 2022; and 1 January 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Basel Global Investment Fund OFC (the "OFC") (BUQ141) - Basel US Investment Fund 1 (BUQ142)	24 January 2025	20 March 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
Basel Global Real Estate Fund OFC (the "OFC") (BUQ144) - Basel US Real Estate Fund 1 (BUQ145)	24 January 2025	20 March 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
SGI Pioneer Development Fund OFC (the "OFC") (BVA480) - SGI Development Fund 1 (BVA481)	24 January 2025	25 June 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
SGI Pioneer Master Fund OFC (the "OFC") (BVA482) - SGI Master Fund 1 (BVA483)	24 January 2025	26 June 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
SGI Pioneer Opportunity Fund OFC (the "OFC") (BVA485)	24 January 2025	25 June 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the	The exemption is granted on the following conditions:	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
- SGI Opportunity Fund 1 (BVA486)			OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Amital Euto BioMed Infrastructure Fund OFC (the "OFC") (BUK835) - AEBI Fund 1 (BUK836)	24 January 2025	19 December 2023 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Amital Euto Opportunistic Fund OFC (the "OFC") (BUK840) - AEO Fund 1 (BUK841)	24 January 2025	20 December 2023 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
QX Asset Management OFC (the "OFC") (BTT150) - QX Global Quantitative Managed Futures Fund (BTT151)	28 January 2025	1 January 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
			shareholder under rule 151 of the OFC Rules.		
China Enterprise Global Opportunity Fund OFC (the "OFC") (BUQ317) - CE Opportunity Fund 1 (BUQ318)	4 February 2025	27 February 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
China Enterprise Global Master Fund OFC (the "OFC") (BUQ320) - CE Fund 1 (BUQ321)	4 February 2025	28 February 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Fengde Global Master Fund OFC (the "OFC") (BUK343) - Fengde Global Opportunity Fund 1 (BUK344)	4 February 2025	19 December 2023 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
Fengde Special Situation Fund OFC (the "OFC") (BUK348) - Fengde Fund 1 (BUK349)	4 February 2025	19 December 2023 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
AKJ Fengde Global Fund OFC (the "OFC") (BUQ240) - AKJ Fengde FOF 1 (BUQ241)	4 February 2025	27 February 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
QDI OFC (the "OFC") (BUV224) - QDI Global Systematic Fund (BUV225)	4 February 2025	29 April 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
SWCap OFC (the "OFC") (BUX189)	5 February 2025	9 May 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the	The exemption is granted on the following conditions:	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
- Global Dynamic Fund (BUX190) - Strategic Balanced Fund (BUX191)			OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Arbor Mavericks OFC (the "OFC") (BUL962) - Mavericks Fund 1 (BUL963)	10 February 2025	10 January 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Arbor Suns OFC (the "OFC") (BUL958) - Suns Fund 1 (BUL959)	10 February 2025	10 January 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
AGAM OFC (the "OFC") (BUW246) - U.S. Land Fund (BUW247)	10 February 2025	3 May 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
			shareholder under rule 151 of the OFC Rules.		
Pine Garden Growth Open-ended Fund Company (the "OFC") (BTO764) - Pine Garden Technology Growth Fund (BTO765)	10 February 2025	31 March 2023 to 31 March 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Vantum Global Opportunities Investment OFC (the "OFC") (BUA989) - Vantum Global Opportunities Fixed Income Fund (BUA990) - Vantum Global Opportunities Stock Fund (BUA991) - Vantum Global Opportunities Hedge Fund (BUA992)	13 February 2025	7 September 2023 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Vantum Asia Pacific Growth OFC (the "OFC") (BUC118) - Vantum Asia Pacific Opportunities Fund (BUC119)	13 February 2025	22 September 2023 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
			shareholder under rule 151 of the OFC Rules.		
KCG Growth Fund OFC (the "OFC") (BUP733)	13 February 2025	15 February 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Pulun International OFC (the "OFC") (BUQ245) - Pulun Money Market Fund (BUQ246) - Pulun Bond Fund (BUQ247) - Pulun Equity Fund (BUQ248) - Pulun Multi-Assets Fund (BUQ249)	13 February 2025	26 February 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Hectoron Supply Chain Finance Credit OFC (the "OFC") (BSR899)	13 February 2025	1 January 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
Vision Bridge OFC 儼橋開放式基金型公司 (the "OFC") (BUK214) - Vision Bridge Growth Fund 1 (BUK215)	13 February 2025	20 December 2023 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Vision Infinity Partners OFC (the "OFC") (BUK219) - Vision Infinity Partners Student Housing Fund 1 (BUK220)	13 February 2025	20 December 2023 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Funde Ivy OFC (the "OFC") (BUS968) - Funde Aurora Fixed Income Sub-Fund (BUS969) - Funde Pioneers Equity Sub-Fund (BUS970) - Funde Captain Mixed Sub-Fund (BUS971)	21 February 2025	18 March 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Canfield Multi Asset Fund OFC (the "OFC") (BSM092)	24 February 2025	1 January 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the	The exemption is granted on the following conditions:	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
<ul style="list-style-type: none"> - Canfield Strategic Fund (BSM093) - Canfield Fixed Income Fund (BSM094) - Village Telecom & Tech Fund (BSM095) - Essential Smart Energy Fund (BSM096) 			OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Macqueen Growth Fund OFC (the "OFC") (BUI939) <ul style="list-style-type: none"> - Macqueen Growth Global Sub-Fund (BUI940) 	25 February 2025	19 December 2023 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Titan OFC (the "OFC") (BSC865) <ul style="list-style-type: none"> - Titan Global Macro Enhanced Strategy (BSC866) - Titan Global Flexible Fund (BSC867) 	26 February 2025	1 January 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Heng Shun OFC (the "OFC") (BTY736) <ul style="list-style-type: none"> - Heng Shun Opportunity Fund I (BTY737) 	26 February 2025	1 January 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to	The exemption is granted on the following conditions:	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
- Heng Shun Opportunity Fund II (BTY738) - Heng Shun Opportunity Fund III (BTY739)			publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Red South OFC (the "OFC") (BUX024) - Red South Mining Private Equity Fund (BUX025) - Red South World Mining Fund (BUX026) - Red South Mining Technology Fund (BUX027)	4 March 2025	7 May 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
PIMCO Funds: Hong Kong OFC (the "OFC") (BSQ813) - PIMCO Asia Bond Fund (BSQ814) - PIMCO Income Fund (BSQ815)	7 March 2025	1 January 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Avengers Strategy OFC (the "OFC") (BSD960)	11 March 2025	1 January 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
			shareholder under rule 151 of the OFC Rules.		
Going Fund OFC (the "OFC") (BUR358) - Going Algorithmic Fund No.1 (BUR359) - Going Algorithmic Fund No.2 (BUR360) - Going Algorithmic Fund No.3 (BUR361)	12 March 2025	15 March 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Brightus OFC (the "OFC") (BTE770) - Brightus Growth Fund I (BTE771) - Brightus Growth Fund II (BTE772) - Brightus Venture Fund I (BTE773) - Brightus Venture Fund II (BTE774)	13 March 2025	1 January 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Alliance Capital Master OFC (the "OFC") (BUR179) - Alliance Global Green Fund (BUR180) - Alliance Care and Wellbeing Fund (BUR181)	14 March 2025	7 March 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
Innovax Capital OFC (the "OFC") (BSR554) - Innovax Capital Investment Growth Fund (BSR555)	14 March 2025	1 January 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Innovax Investment OFC (the "OFC") (BTH368) - Innovax Capital Investment Strategic Fund (BTH369)	14 March 2025	1 January 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Richwood Series 2 OFC (the "OFC") (BTW761) - Richwood Series 2 Fund I (BTW762) - Richwood Series 2 Fund II (BTW763) - Richwood Series 2 Fund III (BTW764)	18 March 2025	1 January 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Richwood OFC (the "OFC") (BTT081)	18 March 2025	1 January 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the	The exemption is granted on the following conditions:	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
<ul style="list-style-type: none"> - Richwood Fund I (BTT082) - Richwood Fund II (BTT083) - Richwood Fund III (BTT084) 			OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Infinitus Global Growth OFC (the "OFC") (BSQ904) <ul style="list-style-type: none"> - Infinitus Global Investment Fund (BSQ905) - Infinitus Global Sustainable Fund (BSQ906) - Infinitus Global Diversified Fund (BSQ907) 	19 March 2025	1 January 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Keystone Multi Strategy OFC (the "OFC") (BUX076) <ul style="list-style-type: none"> - Keystone (Multi-asset) Income Fund (BUX077) 	20 March 2025	9 May 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
OP Spectrum OFC (the "OFC") (BTY574) <ul style="list-style-type: none"> - Lintrend Global Equity Growth Fund (BUX025) - RCM China Systematic CTA Fund (BTY575) 	20 March 2025	31 July 2023 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
			shareholder under rule 151 of the OFC Rules.		
Guoyuan International Investment Series OFC (the "OFC") (BSM511) - Guoyuan China Economy Transition Fund (BSM512) - Guoyuan China SOE Fixed Income Fund (BSM513) - Guoyuan Flexible Allocation Fund (BSM514)	21 March 2025	1 January 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
ASM Fund OFC (the "OFC") (BRY682) - ASM Global Opportunity Fund I (BRY683)	10 April 2025	1 January 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
EL Global Multi-Strategy Fund OFC (the "OFC") (BVC476) - Horizon 21 Fund (BVC477)	14 April 2025	10 July 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
			shareholder under rule 151 of the OFC Rules.		
CAM Capital OFC (the "OFC") (BRZ059) - CAM Capital Growth Sub-Fund 1 (BRZ060) - CAM Capital Growth Sub-Fund 2 (BRZ061) - CAM Capital Growth Sub-Fund 3 (BRZ062)	14 April 2025	1 January 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Westpass Funds OFC (BTW099) (the "OFC") - Westpass Asia Opportunity Fund (BTW100)	15 April 2025	10 July 2023 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Bowen Capital OFC (the "OFC") (BUQ655) - Bowen Secular Fund (BUQ656)	16 April 2025	1 March 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
Silver Nile Global Investments Fund OFC (the "OFC") (BVA344) - Silver Nile Vietnam Special Opportunities Fund (BVA345) - Silver Nile Belt and Road Fund (BVA346)	17 April 2025	21 June 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Essence International OFC (the "OFC") (BUH356) - Essence Sustainable Growth Fund (BUH357) - Essence Opportunity Fund (BUH358)	24 April 2025	20 November 2023 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Gaoyu High Yield Income Fund OFC (the "OFC") (BUK779) - Gaoyu Income Fund 1 (BUK780)	28 April 2025	18 December 2023 to 31 March 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Gaoyu Global Master Fund OFC (the "OFC") (BUK791)	28 April 2025	18 December 2023 to 31 March 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the	The exemption is granted on the following conditions:	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
- Gaoyu Global Opportunity Fund 1 (BUK792)			relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Gaoyu Global Infrastructure Fund OFC (the "OFC") (BUS667) - Gaoyu Fund 1 (BUK668)	28 April 2025	25 March 2024 to 31 March 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
King Tower Firefly No. 1 Open-ended Fund Company (the "OFC") (BUI916)	29 April 2025	13 December 2023 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Voyage Progress OFC (the "OFC") (BUT537) - Voyage Progress Fund (BUT538)	30 April 2025	11 April 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
			the report free of charge to any shareholder under rule 151 of the OFC Rules.	not been launched; and (2) had no investor.	
Springboard Investment Strategies OFC (the "OFC") (BUB183) - SIS Stable Growth Fund (BUB184) - SIS Alpha Growth Fund (BUB185)	30 April 2025	30 August 2023 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
HuaAn Investment Fund II OFC (the "OFC") (BSP587) - HuaAn US Innovation Dynamic Equity Fund (BSP588)	30 April 2025	1 July 2023 to 30 June 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
FCS Capital OFC (the "OFC") (BUA517) - FCS Alpha Fund (BUA518) - FCS Genesis Fund (BUA519) - FCS Vision Fund (BUA520)	2 May 2025	25 August 2023 to 31 December 2024	(1) Waiver from strict compliance with the requirements to publish and file to the Commission the annual report of the OFC for the relevant financial period under 9.8 and 9.9 of the OFC Code.	The waiver is granted on the following condition: The OFC shall publish and file to the Commission the annual report for the relevant financial period on or before 30 September 2025.	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
AP Capital Green OFC (the "OFC") (BUB183) - AP Green Sustainability Fund (BUR213)	6 May 2025	7 March 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Topaz Multi Strategy OFC (the "OFC") (BUW987) - Topaz New Opportunity Fund (BUW988) - Topaz Vision Fund (BUW989)	8 May 2025	3 May 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Vered Investment Fund OFC (the "OFC") (BRS525) - Vered Equity Stable Return Fund (BRS526) - Vered Global Fixed Income Premium Fund (BRS527) - Vered Multi Strategy Fund (BRS528)	12 May 2025	1 January 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Grandeur Capital OFC (the "OFC") (BRP446)	13 May 2025	1 January 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the	The exemption is granted on the following conditions:	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
- Grandeur Capital Growth Fund 1 (BRP447)			OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
New Financial Capital Market OFC (the "OFC") (BRT227) - New Financial Growth & Income Fund (BRT228)	14 May 2025	1 January 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The waiver is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
VC OFC (the "OFC") (BUU821) - VC Global Sub-Fund 1 (BUU822) - VC Global Sub-Fund 2 (BUU823)	16 May 2025	22 April 2024 to 31 March 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
BOCHK Asset Management OFC (the "OFC") (BSU954)	20 May 2025	1 July 2023 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
<ul style="list-style-type: none"> - BOCHK Macro Aggressive Portfolio (BSU955) - BOCHK Macro Balanced Portfolio (BSU956) - BOCHK Macro Conservative Portfolio (BSU957) 			provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	not been launched; and (2) had no investor.	
Cynergy Open-ended Fund Company (the "OFC") (BSF471) <ul style="list-style-type: none"> - Cynergy Credit Opportunity Fund (BSF472) - Cynergy Balanced Fund (BSZ899) 	21 May 2025	11 September 2023 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Everstay Capital Funds OFC (the "OFC") (BUV365) <ul style="list-style-type: none"> - Everstay Real Estate Fund (BUV366) - Everstay Private Credit Fund (BUV367) - Everstay Opportunities Fund (BUV368) 	26 May 2025	3 May 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
CF Opportunities Funds OFC (the "OFC") (BUR192)	26 May 2025	8 March 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to	The exemption is granted on the following conditions:	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
- CF Opportunities Fund 1 (BUR193)			publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Lux Aeterna Global Opportunities OFC (the "OFC") (BUL429) - Lux Aeterna Global Opportunities Fund 1 (BUL430) - Lux Aeterna Global Opportunities Fund 2 (BUL431) - Lux Aeterna Global Opportunities Fund 3 (BUL432)	28 May 2025	22 December 2023 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Galaxy Star Multi Strategy OFC (the "OFC") (BUX564) - Galaxy Star Alternative Fund (BUX565)	28 May 2025	27 May 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Oxbridge Global Opportunity OFC (formerly known as "Austen Global Opportunity OFC") (the "OFC") (BRY988)	28 May 2025	1 July 2024 to 30 June 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
			provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	not been launched; and (2) had no investor.	
Zheshang Long Term Global Growth Fund OFC (the "OFC") (BUL644) - China Stable Growth No.2 Bond Fund (BUL645)	29 May 2025	10 January 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Oxbridge Sustainable Equity OFC (formerly known as "Austen Sustainable Equity OFC") (the "OFC") (BTA318)	2 June 2025	1 April 2024 to 31 March 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Oxbridge Global Income OFC (formerly known as "Austen Global Income OFC") (the "OFC") (BRY657) - Oxbridge US Income Fund (formerly known as "Austen US Income Fund") (BTO658)	2 June 2025	1 July 2024 to 30 June 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
Fortune Unite Fund OFC (the "OFC") (BTE850) - BC Fortune Unite Fund (BTE851)	2 June 2025	9 December 2022 to 31 December 2023; and 1 January 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Oxbridge Global Equity OFC (the "OFC") (BUT072)	2 June 2025	5 April 2024 to 31 March 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Ocean Glow OFC (the "OFC") (BTW254) - Ocean Glow Global Growth Fund (BTW255)	3 June 2025	6 July 2023 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Ocean Glee OFC (the "OFC") (BTW285)	3 June 2025	14 July 2023 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the	The exemption is granted on the following conditions:	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
- Ocean Glee First Fortune Fund (BTW286)			relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Eminence Fintech Master Fund OFC (the "OFC") (BUK653) - Eminence FT Fund 1 (BUK654)	3 June 2025	22 December 2023 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Eminence Private Equity Fund OFC (the "OFC") (BUK650) - Eminence PE Fund 1 (BUK651)	3 June 2025	22 December 2023 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Macro Intelligent OFC (the "OFC") (BUG729) - Macro Intelligent Stable Returns Fund (BUG730)	3 June 2025	20 November 2023 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
			the report free of charge to any shareholder under rule 151 of the OFC Rules.	not been launched; and (2) had no investor.	
Polymer Strategic OFC (the "OFC") (BUN717) - Pearl River All-Weather Global Private Markets FoF (BUN718) - Junhua Global Great Consumer Equity Fund (BUN719)	5 June 2025	26 January 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Lightcone Global Investment Fund Series OFC (BUR046) - Lightcone TFX Global Income Fund (BUR047) - Lightcone TFX Global Macro Fund (BUR048) - Lightcone TFX Core Income Fund (BUR049)	11 June 2025	27 February 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
GBA Investment OFC (the "OFC") (BUS473) - RBF Fund I (BUS474)	23 June 2025	22 March 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
Constance Opportunities OFC (BUR464) - Constance Opportunities Fund I (BUR465) - Constance Opportunities Fund II (BUR466)	23 June 2025	7 March 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Eminence Global Master Fund OFC (the "OFC") (BUB855) - Eminence Global Opportunity Fund 1 (BUB856)	30 June 2025	15 September 2023 to 31 December 2023; and 1 January 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Oxbridge Global Bond OFC (the "OFC") (BVB282)	14 July 2025	28 June 2024 to 31 March 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Oxbridge Global Fund OFC (the "OFC") (BVE539)	15 July 2025	31 July 2024 to 30 June 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the	The exemption is granted on the following conditions:	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
			OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Kingbridge Capital Multi-strategy Fund OFC (the "OFC") (BRW799) - Kingbridge Capital Opportunity I Fund (BRW800) - Kingbridge Capital Opportunity II Fund (BRW801) - Kingbridge Capital Opportunity III Fund (BRW802)	16 July 2025	1 April 2022 to 31 March 2023; 1 April 2023 to 31 March 2024; and 1 April 2024 to 31 March 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Grand China Global Master OFC (the "OFC") (BUU064) - Grand China Investment Fund (BUU065)	25 July 2025	22 April 2024 to 30 June 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Grand China Global Select OFC (the "OFC") (BUU066)	25 July 2025	22 April 2024 to 30 June 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to	The exemption is granted on the following conditions:	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
- Grand China Global Opportunities Fund (BUU067)			publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Mouette Majestic OFC (the "OFC") (BUD855) - Mouette Structured Income Fund (BUD856) - Mouette Absolute Return Fund (BUD857)	30 July 2025	17 October 2023 to 31 March 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
HuaAn Investment Fund II OFC (the "OFC") (BSP587) - HuaAn US Innovation Dynamic Equity Fund (BSP588)	14 August 2025	1 July 2024 to 30 June 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Prudence Investment OFC (the "OFC") (BUV120) - Global Stable Bond Fund (BUV121)	15 August 2025	25 April 2024 to 30 June 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
			shareholder under rule 151 of the OFC Rules.		
Pine Garden Growth Open-ended Fund Company (the "OFC") (BTO764) - Pine Garden Technology Growth Fund (BTO765)	19 August 2025	1 April 2024 to 31 March 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Sessia International OFC (the "OFC") (BVF432) - Sessia Fund 1 (BVF433) - Sessia Fund 2 (BVF434)	25 August 2025	5 August 2024 to 30 June 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Basis Capital Fund OFC (the "OFC") (BTC840) - Basis Capital Dionysus Fund (BTC841)	26 August 2025	1 January 2024 to 31 December 2024	(1) Waiver from strict compliance with the requirements to publish and file to the Commission the annual report of the OFC for the relevant financial period under 9.8 and 9.9 of the OFC Code.	The waiver is granted on the following condition: The OFC shall publish and file to the Commission the annual report for the relevant financial period on or before 31 August 2025.	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
Tianlong OFC (the "OFC") (BUM557) - TLUST Quantitative Fund (BUM558)	29 August 2025	24 January 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Prudence OFC (the "OFC") (BUC497) - Prudence Global Opportunity Fund (BUC498)	4 September 2025	22 September 2023 to 31 March 2024; and 1 April 2024 to 31 March 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Maxwealth Global OFC (the "OFC") (BUF719) - Asian Special Opportunity Fund (BUF720) - Maxwealth Global Vision Fund (BUF721)	5 September 2025	26 October 2023 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Qiao Group OFC (the "OFC") (BUW393) - Qiao Fund 1 (BUW394)	10 September 2025	27 May 2024 to 30 June 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the	The exemption is granted on the following conditions:	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
- Qiao Fund 2 (BUW395) - Qiao Fund 3 (BVS774)			OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
HUA LIANG ADVANCED INVESTMENTS OFC (the "OFC") (BUM661) - HUA LIANG GROWTH FUND (BUM662) - EURASIAN DIGITAL FUND (BVI836) - EURASIAN INFRASTRUCTURE FUND (BVH923)	11 September 2025	26 January 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
P.B. FUND OFC (the "OFC") (BUJ264) - P.B. GROWTH FUND (BUJ265)	18 September 2025	15 December 2023 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
MF SharpInsight Investment OFC (the "OFC") (BUK662) - MF Selected Income Fund 1 (BUK663)	14 October 2025	28 December 2023 to 31 May 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
			the report free of charge to any shareholder under rule 151 of the OFC Rules.	not been launched; and (2) had no investor.	
King Wai Fund OFC (the "OFC") (BUT239) - King Wai Absolute Return Fund (BUT241) - King Wai Strategic Allocation Fund (BUT240) - KWI Green Fund (BUT242)	10 November 2025	16 April 2024 to 30 September 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Apollo Precious Jade OFC (the "OFC") (BUX570) - Apollo Jade Absolute Return Fund (BUX571) - Apollo Jade Dynamic Asset Allocation Fund (BUX573) - Apollo Jade Multi-Strategy Fund (BUX572)	19 November 2025	24 May 2024 to 30 September 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Hong Tai OFC (the "OFC") (BTX386) - Hong Tai Hui Sheng Fund I (BTX387) - Hong Tai Hui Ju Fund I (BTX388)	20 November 2025	1 July 2024 to 30 June 2025	(1) Waiver from strict compliance with the requirements to publish and file to the Commission the annual report of the OFC for the relevant financial period under 9.8 and 9.9 of the OFC Code.	The waiver is granted on the following condition: The OFC shall publish and file to the Commission the annual report for the relevant financial period on or before 30 November 2025.	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
Winbull Dynamic OFC (the "OFC") (BUY529) - Winbull Dynamic Balanced Fund (BUY530) - Winbull Dynamic Growth Fund (BUY531)	9 December 2025	6 June 2024 to 30 September 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Allter OFC (the "OFC") (BUW996) - Allter Global Opportunity Fund (BUW997)	11 December 2025	9 May 2024 to 30 June 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Innovation Education OFC (the "OFC") (BUE414) - Innovation Education Cash Fund (BUE415) - Innovation Education Private Equity Fund (BUE416)	19 December 2025	26 October 2023 to 31 December 2023; and 1 January 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Expedition Fortune Finder Open-ended Fund Company (the "OFC") (BUL230)	19 December 2025	8 January 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the	The exemption is granted on the following conditions:	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
<ul style="list-style-type: none"> - Expedition Fortune Finder No.1 Fund (BUL231) - Expedition Fortune Finder No.2 Fund (BUL232) - Expedition Fortune Finder No.8 Fund (BUL233) - Expedition Fortune Finder No.9 Fund (BUL234) 			OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
<p>Expedition Pioneer Open-ended Fund Company (the "OFC") (BUL411)</p> <ul style="list-style-type: none"> - Expedition Pioneer No. 1 Fund (BUL412) - Expedition Pioneer No. 2 Fund (BUL413) - Expedition Pioneer No. 8 Fund (BUL414) - Expedition Pioneer No. 9 Fund (BUL415) 	19 December 2025	8 January 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	<p>The exemption is granted on the following conditions:</p> <p>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.</p>	
Persistent Edge Asia Partners Fund OFC (the "OFC") (BVB094)	19 January 2026	2 July 2024 to 31 December 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	<p>The exemption is granted on the following conditions:</p> <p>For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.</p>	
QDI OFC (the "OFC") (BUV224)	20 January 2026	1 January 2025 to 31 December 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the	The exemption is granted on the following conditions:	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
- QDI Global Systematic Fund (BUV225)			relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
KCG Growth Fund OFC (the "OFC") (BUP733)	22 January 2026	1 January 2025 to 31 December 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Maxwealth Global OFC (the "OFC") (BUF719) - Asian Special Opportunity Fund (BUF720) - Maxwealth Global Vision Fund (BUF721)	22 January 2026	1 January 2025 to 31 December 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Ankaa Global OFC (the "OFC") (BUP879) - Ankaa Evergreen Fund (BUP880)	23 January 2026	1 October 2024 to 30 September 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
			the report free of charge to any shareholder under rule 151 of the OFC Rules.	not been launched; and (2) had no investor.	
Xun Strategic OFC (the "OFC") (BWD089) -Xun Cash flow Strategic Fund (BWD090) -Xun Cash flow Strategic Fund II (BWD091)	26 January 2026	9 April 2025 to 31 December 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Brightus OFC (the "OFC") (BTE770) - Brightus Growth Fund I (BTE771) - Brightus Growth Fund II (BTE772) - Brightus Venture Fund I (BTE773) - Brightus Venture Fund II (BTE774)	28 January 2026	1 January 2025 to 31 December 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Canfield Multi Asset Fund (the "OFC") (BSM092) -Canfield Strategic Fund (BSM093) -Canfield Fixed Income Fund (BSM094) -Village Telecom & Tech Fund (BSM095)	29 January 2026	1 January 2025 to 31 December 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
- Essential Smart Energy Fund (BSM096)					
Wonder Fund 1 OFC (the "OFC") (BUJ056) - Wonder Asia Pioneering New Opportunities Open-Ended Fund (BUJ058) - Wonder Sub-Fund 2 (BUJ059)	30 January 2026	5 December 2023 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Arbor Mavericks OFC (the "OFC") (BUL962) - Mavericks Fund 1 (BUL963)	2 February 2026	1 January 2025 to 31 December 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Arbor Suns OFC (the "OFC") (BUL958) - Suns Fund 1 (BUL959)	2 February 2026	1 January 2025 to 31 December 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
GT Global OFC (the "OFC") (BVH034) - GT Global Series I (BVH035) - GT Strategic Income Fund (BWU808)	4 February 2026	16 August 2024 to 31 December 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Ocean Glow OFC (the "OFC") (BTW254) - Ocean Glow Global Growth Fund (BTW255) - Ocean Glow Capital Growth Fund (BWH323)	5 February 2026	From 1 January 2025 until the OFC no longer meets one or more of the conditions of the exemption	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
China Enterprise Global Opportunity Fund OFC (the "OFC") (BUQ317) - CE Opportunity Fund 1 (BUQ318)	5 February 2026	From 1 January 2025 until the OFC no longer meets one or more of the conditions of the exemption	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
China Enterprise Global Master Fund OFC (the "OFC") (BUQ320)	5 February 2026	From 1 January 2025 until the OFC no longer meets one or more of the conditions of the exemption	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the	The exemption is granted on the following conditions:	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
- CE Fund 1 (BUQ321)			OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Basel Global Investment Fund OFC (the "OFC") (BUQ141) - Basel Opportunistic Fund I (formerly known as "Basel US Investment Fund 1") (BUQ142)	6 February 2026	1 January 2025 to 31 December 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Basel Global Real Estate Fund OFC (the "OFC") (BUQ144) - Basel US Real Estate Fund 1 (BUQ145)	6 February 2026	1 January 2025 to 31 December 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Basel ESG Multi-Asset OFC (the "OFC") (BVN356) - Basel ESG Fund 1 (BVN357)	6 February 2026	29 October 2024 to 31 December 2025	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
			shareholder under rule 151 of the OFC Rules.		