SEcurities and Futures Ordinance (Chapter 571)

Pursuant to sections 169 and 399 of the Securities and Futures Ordinance, the Securities and Futures Commission has determined the following jurisdictions to be comparable OTCD jurisdictions under paragraph 20.5 of the Code of Conduct for Persons Licensed by or Registered with the Securities and Futures Commission, effective 14 June 2019.

Comparable OTCD jurisdictions

Australia
Canada
Mainland China
France
Germany
Ireland
Italy
Japan
Netherlands
Republic of Korea
Singapore
Spain
South Africa
Switzerland
United Kingdom
United States of America

8 May 2019

Julia LEUNG
Deputy Chief Executive Officer and
Executive Director, Intermediaries
Securities and Futures Commission