

G.N. 2198

**SECURITIES AND FUTURES ORDINANCE (Chapter 571)
(the Ordinance)**

(Notice under section 402)

NOTICE SPECIFYING THE FORM OF THE RETURN TO BE SUBMITTED UNDER SECTION 56 OF THE SECURITIES AND FUTURES (FINANCIAL RESOURCES) RULES (CHAPTER 571N) (FRR)

Notice is hereby given that pursuant to section 402 of the Ordinance, the Securities and Futures Commission specifies the electronic form published on the following website as the form for the purposes of submitting a financial return by a licensed corporation to the Securities and Futures Commission under section 56 of the FRR with effect from 1 May 2019.

The abovementioned electronic form shall supersede all previous versions with effect from 1 May 2019.

<https://www.sfc.hk/web/EN/forms/intermediaries/financial-returns.html>

14 March 2019

Julia LEUNG
*Deputy Chief Executive Officer and
Executive Director, Intermediaries
Securities and Futures Commission*