

27 February 2020

Circular to Licensed Corporations Engaged in Asset and Wealth Management Activities

Asset and Wealth Management Activities Survey 2019

1. As an annual exercise to collect information on asset and wealth management activities in Hong Kong for regulatory and market facilitation purposes and to develop a better understanding of the state of the asset and wealth management industry in Hong Kong, we have now commenced the Asset and Wealth Management Activities Survey 2019 (the “AWMAS”).
2. In light of the latest situation of the novel coronavirus, we recognise that licensed corporations may require longer time to complete the survey. Accordingly, we have extended the response period and would appreciate your continued co-operation by completing the questionnaire through the online submission system on or before **29 April 2020**.
3. **For those licensed corporations which had had gross operating income derived from asset management, giving advice on funds / portfolios and / or private banking / private wealth management during the year of 2019, please complete the whole questionnaire.** If your corporation did not engage in any of the activities covered in the survey throughout the year, please still fill in the part of General Information in the questionnaire. The questionnaire can be accessed at:

<https://wings.sfc.hk/awmas/#/en/>
4. Please read the important notes on the front page (after selection of the type of firm) and the definitions of certain terms and expressions set out in the “Note” section of the questionnaire. Please be assured that all information supplied will be treated in strict confidence and will not be disclosed to any third party except in an aggregated form of statistics.
5. If you have any queries on the AWMAS, please send an email to awmas2019@sfc.hk or contact the Investment Products Division at (852) 2231-1532 if your company’s name begins with the letter A – H, or (852) 2231-1244 if your company’s name begins with the letter I – Z.

**Investment Products Division
Securities and Futures Commission**