

1 March 2019

## Circular to Licensed Corporations Engaged in Asset and Wealth Management Activities

### Asset and Wealth Management Activities Survey 2018

1. As an annual exercise to collect information on asset and wealth management activities in Hong Kong for regulatory and market facilitation purposes and to develop a better understanding of the state of the asset and wealth management industry in Hong Kong, we have now commenced the Asset and Wealth Management Activities Survey 2018 (the “AWMAS”, previously known as the Fund Management Activities Survey). We would appreciate your continued co-operation, as in previous years, by completing the questionnaire on or before **1 April 2019**. From this year onwards, all responses to the survey questionnaire can be submitted through an online submission system.
2. **For those licensed corporations which had had gross operating income derived from asset management, giving advice on funds / portfolios and / or private banking / private wealth management during the year of 2018, please complete the whole questionnaire.** If your corporation did not engage in any of the activities covered in the survey throughout the year, please still fill in the part of General Information in the questionnaire. The questionnaire can be accessed at:  
  
<https://wings.sfc.hk/awmas/#/en>
3. Please read the important notes on the front page (after selection of the type of firm) and the definitions of certain terms and expressions set out in the “Note” section of the questionnaire. Please be assured that all information supplied will be treated in strict confidence and will not be disclosed to any third party except in an aggregated form of statistics.
4. If you have any queries on the AWMAS, please contact the Investment Products Division at (852) 2231-1532 if your company’s name begins with the letter A – H, or (852) 2231-1257 if your company’s name begins with the letter I – Z.

**Investment Products Division  
Securities and Futures Commission**