

**Enrolment Form  
Seminars on Implementation of Regulatory Requirements for  
Online and Offline Sales of Complex Products**

To: Ms Pauline Chan  
Fax: 2284 4660  
Email: [complexproduct\\_seminar@sfc.hk](mailto:complexproduct_seminar@sfc.hk)

Firm Name: \_\_\_\_\_

Central Entity Number: \_\_\_\_\_

RA Type: \_\_\_\_\_

**3 sessions of seminars are available for enrolment as follows:**

Session	Date	Time	Medium	Venue
A	18 April 2019 (Thursday)	5:00 p.m. to 6:00 p.m.	Cantonese	35/F, Cheung Kong Center, 2 Queen's Road Central
B	2 May 2019 (Thursday)	11:30 a.m. to 12:30 p.m.	English	
C	14 May 2019 (Tuesday)	5:00 p.m. to 6:00 p.m.	Cantonese	

**Please nominate, in order of priority, a maximum of two persons to attend the seminar:**

	Name	Job Title	Telephone	Fax	Email	Session Preference (1 <sup>st</sup> priority)	Session Preference (2 <sup>nd</sup> priority)
1							
2							

For and on behalf of the firm

Name:  
Job Title:  
Telephone:

**Note:**

- The seminar is free of charge and one CPT hour will be offered to those who attend the whole session.
- Each firm should only submit one enrolment form. Please complete and return this form on or before 10 April 2019 by email to [complexproduct\\_seminar@sfc.hk](mailto:complexproduct_seminar@sfc.hk) or fax to 2284 4660.
- As seats are limited, we will attempt to allocate seats to all nominees listed as first priority in the enrolment forms submitted to the SFC by the deadline. Any remaining seats will be allocated to the other nominees and if possible, according to the date of their preference on a first come first served basis.
- The SFC will send the confirmation slip by email or fax (as provided above) directly to all successful applicants at least two days before the seminar. Please present the confirmation slip for admission at the venue.
- The personal data supplied is solely used for the purposes of facilitating the organization and conduct of the captioned seminars. It will be destroyed when the said purposes are fulfilled.