

Unified Exchange Compensation Fund Claims History

Name	Year	No. of Claims Allowed	Allowed Amount	Average Allowed Claim	Apportionment	Total Payments
Myra Kan & Co.	1988	11	889,000	80,818.18	100%	1,097,000
Gisella Ma & Co.	1987	6	1,273,000	212,166.67	100%	1,617,000
Bonus Securities Co.	1987	117	23,453,000	200,452.99	17%	4,000,000
Blooming Stock Co.	1990	15	1,036,000	69,066.67	100%	1,258,000
Tri-Pro Stocks & Shares Co.	1991	34	6,327,000	186,088.24	32%	2,000,000
HW Securities Co. Ltd.	1992	24	5,295,000	220,625.00	38%	2,000,000
Hung Wai Securities Co.	1992	11	898,000	81,636.36	100%	1,060,000
W.H. & Company	1987	1	6,000	6,000.00	100%	10,000
Top-Fit Securities Co.	1987	15	6,180,000	412,000.00	32%	2,000,000
Youngs Family Investment	1990	1	211,000	211,000.00	100%	282,000
Wei Xin Securities Ltd.	1996	8	25,808,000	3,225,000.00	31%	8,000,000
Cheong Woon Securities Co.	1996	32	10,920,000	341,250.00	73%	8,000,000
C.K. Securities Co.	1996	53	10,423,000	196,603.77	77%	8,000,000
Foreground Sec. Co. Ltd.	1998	58	25,865,002	445,948.31	\$150,000.00 per claimant limit	5,491,613.78 <i>Note 1</i>
C.A. Pacific Sec. Ltd	1998	3,872	864,643,390	223,306.66	\$150,000.00 per claimant limit	295,490,569.79 <i>Note 2</i>
Forlux Sec. Co. Ltd.	1998	430	56,903,947	132,334.76	\$150,000.00 per claimant limit	30,518,931.42 <i>Note 3</i>
Chark Fung Sec. Co. Ltd	1998	2,059	214,834,789	104,339.38	\$150,000.00 per claimant limit	126,861,262.03 <i>Note 4</i>
Win Successful Sec. Ltd	2000	Pending <i>Note 5</i>	-	-	-	-

Note 1 : Out of 61 claims received, so far 58 claims are allowed and 2 claims are disallowed. Outstanding claim pending determination of SEHK is 1.

Note 2 : Out of 5,168 claims received, so far 3,872 claims are allowed and 1,164 claims are disallowed. Outstanding claims pending determination of SEHK are 132.

Note 3 : After payments were made to all the claimants whose claims were allowed in full or partially allowed, additional payments amounting to \$544,170.49 were made to eight claimants to bring their compensation payments up to their respective pro rata entitlements.

Note 4 : Out of 2,255 claims received, so far 2,059 claims are allowed and 153 claims are disallowed. Outstanding claims pending determination of SEHK are 43.

Note 5 : 285 claims pending determination by SEHK.

Commodity Exchange Compensation Fund Claims History

Name	Year	No of Claims Allowed	Amount Allowed	Average Amount Claimed	Apportionment	Total Payments (Before Interest)	Total Payments (Incl. Interest)
Chin Tung Futures Ltd.	1987	7	102,455,249	14,636,464.21	1.95%	2,000,000	2,348,298.00
Danny Futures Co.	1987	6	951,502	158,583.61	100.00%	951,502	1,117,204.74
Elic Limited	1987	14	2,372,461	169,461.50	84.30%	2,000,000	2,348,298.00
Henyp NCZ Ltd.	1987	1	176,388	176,388.00	100.00%	176,388	207,105.79
Joyee Investment (HK) Ltd.	1987	9	20,875,246	2,319,471.72	9.58%	2,000,000	2,348,298.00
Kobayashi (HK) Ltd.	1987	4	508,134	127,033.50	100.00%	508,134	596,625.03
Malahon Commodities Futures Ltd.	1987	19	1,910,427	100,548.77	100.00%	1,910,427	2,243,125.45
Manishing Investment Ltd.	1987	6	4,873,519	812,253.17	41.04%	2,000,000	2,348,298.00
Nishida (HK) Co. Ltd.	1987	2	53,098	26,549.00	100.00%	53,098	62,344.96
Onapal Ltd.	1987	1	81,000	81,000.00	100.00%	81,000	95,106.07
Polosum Trading Ltd.	1987	9	4,705,792	522,865.72	42.50%	2,000,000	2,348,298.00
Right Time Commodities	1987	1	484,111	484,111.07	100.00%	484,111	568,418.53
Whittal Commodities Co. Ltd.	1987	5	8,730,173	1,746,034.50	22.91%	2,000,000	2,348,298.00
Wing Hung Kee Commodities Ltd.	1987	1	71,150	71,149.50	100.00%	71,150	83,540.11
Wintakly Commodity Ltd.	1987	7	1,192,767	170,395.28	100.00%	1,192,767	1,400,486.16
Winton Commence Ltd.*	1998	21	3,746,203	178,390.61	100.00%	3,746,203	3,993,427.65

*In the Winton case, the total allowed amount exceeded the statutory limit of HK\$2 million per broker under the Commodities Trading Ordinance ("CTO"). Hong Kong Futures Exchange, with SFC's approval, increased the limit under CTO section 90(5) to enable payment to claimants of the full amounts allowed.

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