SECURITIES AND FUTURES COMMISSION
證券及期貨事務監察委員會

List of guidance for implementation of revised Code on Unit Trusts and Mutual Funds and other operational matters published by the SFC on 28 October 2026

Circulars

1. *(New)* Supplemental circular to management companies of SFC-authorised funds on liquidity risk management
2. *(Updated)* Circular to applicants seeking SFC authorisation of unit trusts and mutual funds - Launch of a new Fund Authorisation Simple Track (FASTrack)

Frequently asked questions

1. *(New)* Implementation and Transitional Arrangements of the Code on Unit Trusts and Mutual Funds (Effective on 1 November 2026)
2. *(Updated)* Application Procedures for Authorization of Unit Trusts and Mutual Funds
3. *(Updated)* Code on Unit Trusts and Mutual Funds
4. *(Updated)* Exchange Traded Funds and Listed Funds
5. *(Updated)* Leveraged and Inverse Products
6. *(Updated)* Open-ended Fund Companies
7. *(Updated)* Post Authorization Compliance Issues of SFC-authorized Unit Trusts and Mutual Funds
8. *(Updated)* SFC Authorization of UCITS Funds

Guides

1. *(Updated)* Guide on Practices and Procedures for Application for Authorization of Unit Trusts and Mutual Funds
2. *(Updated)* Guide on the Use of Financial Derivative Instruments for Unit Trusts and Mutual Funds
3. *(Updated)* Application of the Code on Unit Trusts and Mutual Funds on UCITS funds

Product key facts statement illustrative templates for

1. *(New)* Money Market Funds
2. *(Updated)* General Funds or unlisted class of Active ETFs
3. *(Updated)* Guaranteed Funds / Funds with Structured Pay-outs
4. *(Updated)* Passive ETFs or ETF class of Index Funds
5. *(Updated)* Index Funds or unlisted class of Passive ETFs
6. *(Updated)* Active ETFs or ETF class of General Funds

List of acceptable inspection regimes

1. *(Updated)* List of acceptable inspection regimes