



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2012

CE Name	CE No.	Licence Type	Types of Regulated Activity
Athos Capital Limited	AYT237	Licensed Corporation	9
BFAM Partners (Hong Kong) Limited	AXO043	Licensed Corporation	9
Bradesco Securities Hong Kong Limited	AXU875	Licensed Corporation	1, 4
Bright Smart Asset Management Limited	AYJ355	Licensed Corporation	9
CWN Securities Limited	AYU192	Licensed Corporation	1
Fulbright Asset Management Limited	AYQ254	Licensed Corporation	9
Golden Mountain Futures Limited	AYI978	Licensed Corporation	2
Goldstream Capital Management Limited	AYU472	Licensed Corporation	4, 9
Heng Asset Management Limited	AYP338	Licensed Corporation	4, 9
Hong Kong Securities Holdings Limited	AYL078	Licensed Corporation	1
J Capital Research Limited	AYS956	Licensed Corporation	4
Karst Peak Capital Limited	AZA944	Licensed Corporation	9
Kokomo Capital Management Limited	AYW248	Licensed Corporation	9
Munsun Asset Management (Asia) Limited	AYJ929	Licensed Corporation	9
MVision Strategic (Asia) Limited	AYK091	Licensed Corporation	1, 4
NewQuest Capital Advisors (HK) Limited	AYP320	Licensed Corporation	9
Ping An of China Futures (Hong Kong) Company Limited	AXR954	Licensed Corporation	2, 5
Seekers Advisors H.K. Limited	AYH553	Licensed Corporation	9
SMART Asset Management Limited	AYO103	Licensed Corporation	4, 9
State Fortune Capital Management Limited	AYM670	Licensed Corporation	4, 9
TH CAPITAL LIMITED	AXT306	Licensed Corporation	4, 9
TPG Capital, Limited	AXV627	Licensed Corporation	1, 4
Tybourne Capital Management (HK) Limited	AYY602	Licensed Corporation	9
Veco Invest (Asia) Limited	AYW002	Licensed Corporation	9
Yun Chou International Investment Holdings (H.K.) Limited	AYE774	Licensed Corporation	2
AGARWAL Anand	ANX687	Licensed Representative	4
AGARWAL Vishal Kumar	AYY895	Licensed Representative	4
AGRAWAL Kunal	AZC707	Licensed Representative	4
AHMED Shah Rishad	AYK494	Licensed Representative	9
AN Sang Beom	AYX987	Licensed Representative	1, 4
ARCOUMANIS Christoforos	AYK786	Licensed Representative	9
ARTHUR-DICK Joseph	AVW172	Licensed Representative	2
ARUNA .	AZC819	Licensed Representative	6
AU Chun Kwok	AMS416	Licensed Representative	6
AU Shuk Fan, Wylie	AMS340	Licensed Representative	1
AUYEUNG Dobson	AZB682	Licensed Representative	1
BAI Xue	AOK741	Licensed Representative	6
BARTON Paul Patrick	AZC153	Licensed Representative	1
BAYVEL Kirk Forrest	ATH621	Licensed Representative	1, 2
BIESSE Jon	AYY174	Licensed Representative	1, 6
BOYLE James Joseph	AZC785	Licensed Representative	1, 2
BRUM LOYOLA Joao Paulo	AYS553	Licensed Representative	1, 4
CAI Hong Ping	ABS524	Licensed Representative	6
CALVERT Andrew Rowntree	AYZ047	Licensed Representative	1
CAO Liyuan	AZB537	Licensed Representative	1, 2, 7
CHA Chee Youn	AZB783	Licensed Representative	1, 4
CHAKRAVORTY Ananda	AGP868	Licensed Representative	6

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2012**

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Bruce	AZC880	Licensed Representative	1
CHAN Chi Hin, Kevin	AYY546	Licensed Representative	1
CHAN Chiu Wai	AZC676	Licensed Representative	1
CHAN Chun Kin	AZC678	Licensed Representative	1
CHAN Foet Sen, Tjoeti	ATY071	Licensed Representative	9
CHAN Frank Clifford Shui Ting Chu	ARP537	Licensed Representative	6
CHAN Hiu Ka	AZB706	Licensed Representative	1
CHAN Ho Kiu, Jovia	AZB961	Licensed Representative	4, 9
CHAN Hong Yiu	AZC064	Licensed Representative	1, 2
CHAN Kam Ming	AGO593	Licensed Representative	1, 4
CHAN Kin Fan, Mathew	AZB763	Licensed Representative	1
CHAN Kin Pan	AZB954	Licensed Representative	1, 2, 4
CHAN Kwan Tak	AYY175	Licensed Representative	4
CHAN Kwok Keung	AZC557	Licensed Representative	1
CHAN Kwok Kin, Daniel	AZC457	Licensed Representative	4, 9
CHAN Lay Sun	AZC559	Licensed Representative	1
CHAN Lik Hang	AZA842	Licensed Representative	1
CHAN Man Kei, Maggie	ADX444	Licensed Representative	4, 9
CHAN Shu Wing	AYY716	Licensed Representative	1, 2
CHAN Tsang	AOR054	Licensed Representative	9
CHAN Wai	AYY927	Licensed Representative	1
CHAN Wai Kit	AZC562	Licensed Representative	1
CHAN Yeuk Man	AZB520	Licensed Representative	1
CHAN Yick Kei	AJN963	Licensed Representative	6
CHANG Patrick	AYY711	Licensed Representative	4
CHAO Hong	AYZ498	Licensed Representative	4, 9
CHAU Kei Yin	AFU552	Licensed Representative	1
CHAU Pui Ling	AZB646	Licensed Representative	1, 4, 9
CHEN Chia-Yi	AOB718	Licensed Representative	4
CHEN Florence Ting-wan	AYR789	Licensed Representative	6
CHEN Guowen	AZA682	Licensed Representative	4
CHEN Ken An	ANX752	Licensed Representative	1
CHEN Lan	AHD684	Licensed Representative	6
CHEN Mei Chun	AOG218	Licensed Representative	1
CHEN Ming Hong	AYQ740	Licensed Representative	6
CHEN Scott	AIC497	Licensed Representative	6
CHEN Tu Hsin, Christopher	AZB705	Licensed Representative	4, 9
CHEN Xiaowen	AZA740	Licensed Representative	1
CHEN Yi	ANM687	Licensed Representative	6
CHEN Ying	AZB789	Licensed Representative	9
CHEN Ying Ying	AVR832	Licensed Representative	6
CHEN Yiting	AYY601	Licensed Representative	1
CHENG Chieh-Yun	AZB681	Licensed Representative	4
CHENG Chun Kit	AMO927	Licensed Representative	1, 4
CHENG Edwin Siu Lung	AYZ892	Licensed Representative	1, 4, 6
CHENG Li	AZB216	Licensed Representative	1, 4, 9
CHENG Ming Wai	AZC563	Licensed Representative	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2012

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHENG Siwei	AZC805	Licensed Representative	6
CHENG Wai Bun	ARD828	Licensed Representative	1
CHENG Wai Ki	AYZ555	Licensed Representative	1
CHENG Ying Ho	AYX142	Licensed Representative	9
CHENG Yuk Lon Christopher	AZB080	Licensed Representative	9
CHEUNG Ka Kin, Ray	AYB004	Licensed Representative	1
CHEUNG Siu Fung	AZC794	Licensed Representative	6
CHEUNG Suet Kam	AYZ450	Licensed Representative	1
CHEUNG Sui Chun	AZB525	Licensed Representative	1
CHEUNG Vivian	AMY061	Licensed Representative	1, 4
CHEUNG Yeung	ARH242	Licensed Representative	6
CHIA James Ming Yao	AZB028	Licensed Representative	1, 2, 7
CHO Shun Yi	AZB710	Licensed Representative	1
CHOI Wai Lum, Stephanie	AZC433	Licensed Representative	1
CHOW Lau Ying	AZC467	Licensed Representative	1
CHOW Man	AFY865	Licensed Representative	1
CHOW Ming Leung, Danny	AXK806	Licensed Representative	1
CHOW Shu Shing	AOD596	Licensed Representative	1
CHOW Tsz Gor, Johnny	AZC311	Licensed Representative	3
CHOY Yuen Ki	AZC414	Licensed Representative	6
CHRISTIE Ian Andrew	AZB957	Licensed Representative	4
CHU Chi Ho, Ian	AEU609	Licensed Representative	9
CHUI Chi Ho	AYZ301	Licensed Representative	1
CHUN Man Hung	AZB575	Licensed Representative	1, 6
CLANCY Gerard Aloysius	AIZ875	Licensed Representative	1
CONG Yu	AYV770	Licensed Representative	6
CORNETT Martin John	AZB643	Licensed Representative	1
COURTNEY David William	AYY974	Licensed Representative	9
DAI Songsong	ANT730	Licensed Representative	6
DAS Hitesh	AZC258	Licensed Representative	4
DE BOER Patrick	AZA737	Licensed Representative	4, 9
DEMEY Paul Raphael	AYX099	Licensed Representative	1, 2, 9
DEVKOTA Milan	AYY061	Licensed Representative	1
DHALIWAL Indar Singh	AZB959	Licensed Representative	4
DING Rui	AYY483	Licensed Representative	1, 6
DONG Yingying	AZA947	Licensed Representative	9
DOWNARD Andrew	AYW668	Licensed Representative	1, 2
DU Dan	AOT340	Licensed Representative	1, 4
DU Xu	AZC459	Licensed Representative	1, 6
DU Yang	AYY897	Licensed Representative	4
DWIVEDI Santosh Kumar	AZB605	Licensed Representative	1, 4, 6
ESCUDIER Romain Jean David	AZB452	Licensed Representative	1, 2
ESTIMA SCODRO Fernando	AZD611	Licensed Representative	1, 4
ETTEDGUI Laurent Jonathane	AYY656	Licensed Representative	1, 4, 9
FAMILIARAN Paul Henry Cuenca	AYW783	Licensed Representative	3
FAN Peng	AYV779	Licensed Representative	6
FAN Shaoli	ALB271	Licensed Representative	6

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2012**

CE Name	CE No.	Licence Type	Types of Regulated Activity
FENG Yinan	AZC132	Licensed Representative	4
FITZPATRICK Brendan Ian	AZB665	Licensed Representative	1
FOK Yiu Tung	AHG563	Licensed Representative	1
FONG Ka Hang	AOY476	Licensed Representative	1
FONG Kin Yuen	AAH350	Licensed Representative	2
FONG Leung Yu	ATS070	Licensed Representative	1
FREITAS Joshua Lawrence	AYQ757	Licensed Representative	6
FU Qiang	AYV780	Licensed Representative	6
FUNG Ho Yin	AYZ201	Licensed Representative	1
FUNG Sai Kit	AZB725	Licensed Representative	1
FUNG To	ACL348	Licensed Representative	1, 3
FUNG Yin Wing	AZB937	Licensed Representative	1
GAO Yi	AYR064	Licensed Representative	6
GAO Yunlong	AZB069	Licensed Representative	4, 9
GARROOD Dane Alan	AZC150	Licensed Representative	4, 9
GATTACIECCA Julien	AYW812	Licensed Representative	1, 2
GIROD Flora Marie Michele	AYZ499	Licensed Representative	1
GLEESON James Anthony	AHT088	Licensed Representative	1, 2
GONG Juntao	AYR632	Licensed Representative	4
GONG Yubo	AXV638	Licensed Representative	1, 4
GU Dechun	AQQ831	Licensed Representative	6
GU Qian	AYV781	Licensed Representative	6
GUO Qi	AZB400	Licensed Representative	4
GUO Rui	AGO591	Licensed Representative	6
GUPTA Piyush	AYY894	Licensed Representative	6
HAMILTON Robert Anthony	AZC455	Licensed Representative	1, 6
HAN Xueling	AYK543	Licensed Representative	4
HASSAM Shehzad Sikander	AZC272	Licensed Representative	1, 2
HAYASHI Masakatsu	AZB491	Licensed Representative	4, 9
HE Dian	AZB709	Licensed Representative	9
HE Fang	ALW209	Licensed Representative	6
HEKSTER Tobias Benjamin	ASD796	Licensed Representative	9
HERRERA Richmond Cua	AZC316	Licensed Representative	1
HILTON Vanessa Catherine	AXH204	Licensed Representative	1, 2
HO Ka Yi	AYW773	Licensed Representative	1
HO Sui Wah	APH366	Licensed Representative	1, 4
HO Wai Kin	AYZ335	Licensed Representative	1, 2
HO Wai Ling	AFP259	Licensed Representative	1
HO Wan Kam	ABM452	Licensed Representative	1
HO Yan Ho, John	AYV782	Licensed Representative	6
HOLCROFT Joshua	AYY599	Licensed Representative	4
HORAN Matthew William	AZC566	Licensed Representative	1
HOU Weiwei	AZD343	Licensed Representative	4, 9
HSU Ming-Hong	AZB683	Licensed Representative	4
HSU Victor Weited	AYV484	Licensed Representative	6
HSUE Hank	AZB124	Licensed Representative	1, 2
HU Chia-Ming	AYY063	Licensed Representative	4, 9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2012

CE Name	CE No.	Licence Type	Types of Regulated Activity
HU Han Shen, Frank	AYV783	Licensed Representative	6
HU Ruanjia	AZC807	Licensed Representative	6
HUA Ling	AYQ547	Licensed Representative	4
HUANG Duo	AZC808	Licensed Representative	6
HUANG Hongjun	AYV266	Licensed Representative	2
HUANG Wei	AYR503	Licensed Representative	4
HUANG Yinchen	AZB523	Licensed Representative	1
HUI Chun	AYZ429	Licensed Representative	9
HUI Ka Wa	AZB548	Licensed Representative	1, 2
HUI Kan Sum, Fiona	AZC809	Licensed Representative	6
HUI Wai Kwok	ANR086	Licensed Representative	1
HUI Wai Mang	AZC259	Licensed Representative	9
HUI Wai Ying, Florence	AUW505	Licensed Representative	6
HULL Stephen Beattie	AZB042	Licensed Representative	1, 4
HUNG Jin-Yang	ASF703	Licensed Representative	4
HUNG Pak Yung	AZC682	Licensed Representative	9
HWANG Sung Taek	AYQ226	Licensed Representative	1
IFFERT Joern	AZB788	Licensed Representative	4
IP Chi Kei	APH593	Licensed Representative	1
IRVIN William Troy	AZC301	Licensed Representative	4
JACOB Vijay Thomas	AUV355	Licensed Representative	9
JAFARI Sheba Esmat	AZC458	Licensed Representative	4
JAIN Rahul	AYY603	Licensed Representative	9
JAMIL Zahid	AZC162	Licensed Representative	1
JAUHAR Raman	AZA684	Licensed Representative	1, 2
JI Chen	AYP277	Licensed Representative	9
JIANG Chencheng	AYX937	Licensed Representative	1
JIANG Lidong	AYV915	Licensed Representative	6
JIANG Shun	AYV919	Licensed Representative	6
JIANG Yuxi	AYR792	Licensed Representative	6
JIN Hualong	AYS030	Licensed Representative	6
JIN Yedan	AYW259	Licensed Representative	6
JUMA Farouk Nanji	AYZ420	Licensed Representative	9
KAMAN Yashraj	AHX629	Licensed Representative	6
KANG Youle	AZB772	Licensed Representative	1, 6
KAO An-Chi, Anne	ADX082	Licensed Representative	6
KAPUR Ashish	AZD602	Licensed Representative	1, 2, 7
KARELAS Jim	AXZ836	Licensed Representative	1
KHANNA Kapil	AZC829	Licensed Representative	1, 2, 4
KILLINGBACK David Mark	AZB285	Licensed Representative	1, 4, 6
KIM Bradley Byungki	AZC281	Licensed Representative	1, 6
KIM Daeho	AYP192	Licensed Representative	1
KIM Hae Kyoung	AZC910	Licensed Representative	6
KIM Hyung Ki	AZC263	Licensed Representative	1
KIM Jung Min	AYK789	Licensed Representative	9
KIM Yeon Soo	AYX143	Licensed Representative	9
KIM Yyong Andrew	ASF531	Licensed Representative	1, 2

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2012**

CE Name	CE No.	Licence Type	Types of Regulated Activity
KOK Chiew Sia	AYV510	Licensed Representative	4
KONG Taehyun	AYY002	Licensed Representative	4
KONG Yuk Chuen	AYW579	Licensed Representative	6
KOWSKI Stefan	AXV641	Licensed Representative	1, 4
KU Hiu Tung, Erica	AZB125	Licensed Representative	1, 2
KUNDERT Jurg Mathias	AYZ337	Licensed Representative	4, 9
KWAN Ka Ho	AYW782	Licensed Representative	3
KWON Haejo	APW240	Licensed Representative	4, 9
LAI King Chi	AFY824	Licensed Representative	6
LAI Oscar Siu Kit	AZC811	Licensed Representative	9
LAI Shek Cheung	AZB122	Licensed Representative	1
LAM Cecilia Susan	AKX573	Licensed Representative	4
LAM Chi Wai	AZC101	Licensed Representative	1
LAM Fong Chun	AYR790	Licensed Representative	6
LAM Hoi Lung	AYW781	Licensed Representative	3
LAM Kwok Chu	AZB724	Licensed Representative	1
LAM Maggie	APV887	Licensed Representative	1, 4
LAM Ting Yin, Terence	AMI550	Licensed Representative	1, 4
LAM Yun Piu	AYY386	Licensed Representative	1
LAU Cheuk Hang	AKV286	Licensed Representative	9
LAU Evan Louis	ANM991	Licensed Representative	6
LAU Hiu Fung	AWD942	Licensed Representative	1, 2
LAU Hon Wai	AYW850	Licensed Representative	1
LAU Ka Yiu	AZC706	Licensed Representative	1, 4
LAU Shu Sang Dominic	AZC325	Licensed Representative	1
LAU Wai Ching	AZB696	Licensed Representative	1
LAU Wai Kei, Ricky	AMF971	Licensed Representative	1, 4
LAU Yan Pan	AQQ771	Licensed Representative	9
LAU Yin Tak	ALW340	Licensed Representative	1
LAU Yiu Hang	AZA945	Licensed Representative	1
LAW Tsz Lun	AYV314	Licensed Representative	1
LAW Yan Chak	AZA987	Licensed Representative	1
LE Jing	AZC823	Licensed Representative	1
LEE Cheuk Lam	AZB521	Licensed Representative	1
LEE Chi Hin, Jacob	AYW261	Licensed Representative	6
LEE Cho Wing, Michael	AFI576	Licensed Representative	6
LEE Danny Yiu Fai	AGO243	Licensed Representative	6
LEE Dong Heon	AYY910	Licensed Representative	1, 4
LEE Hyun Woo	ANH636	Licensed Representative	1, 4, 6
LEE Hyung Woo	AYI038	Licensed Representative	4
LEE Jenny	AYR794	Licensed Representative	6
LEE Ji Won	AYY531	Licensed Representative	4, 9
LEE Jonghwan	AYS715	Licensed Representative	9
LEE Ka Chung, Felix	AYW243	Licensed Representative	6
LEE Kit Yi	AZC408	Licensed Representative	1
LEE Po Ting	ANQ254	Licensed Representative	1
LEE Sang Chul	AYW245	Licensed Representative	6

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2012**

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEE Tak Yee	AYY742	Licensed Representative	1, 2
LEE Tanya Marie	AGN874	Licensed Representative	1, 9
LEE Wai Nam, Bonnie	AZC677	Licensed Representative	1, 4, 9
LEE Wai Yin	AYW252	Licensed Representative	6
LEE Yi Ling	AYW253	Licensed Representative	6
LEE Yi Nwo, Enoch	AZC810	Licensed Representative	6
LEE Yin Lin	AYT901	Licensed Representative	1
LESNE Loic Pierre Maurice	AZB708	Licensed Representative	1
LEUNG Hei Wang	AZB631	Licensed Representative	1
LEUNG Ka Ho	AZB719	Licensed Representative	3
LI Chun Yu, Philip	AYW230	Licensed Representative	1, 6
LI Chun, Elsy	AGH130	Licensed Representative	6
LI Ding	AZB284	Licensed Representative	1, 2
LI Dong	AYR388	Licensed Representative	9
LI Hongbin	AYY392	Licensed Representative	4
LI Hui	ATX931	Licensed Representative	6
LI Hui	AYY608	Licensed Representative	1, 2
LI Marco Man Fung	AYW855	Licensed Representative	4, 9
LI Ruishen	AYW254	Licensed Representative	6
LI Shichao	AZB780	Licensed Representative	1
LI Weila	AZC573	Licensed Representative	1
LI Xiang Betty	AYR059	Licensed Representative	6
LI Xiaozhou	AYQ734	Licensed Representative	6
LI Yichen	AOM502	Licensed Representative	6
LI Yiming	AZB290	Licensed Representative	1, 6
LI Yuna	AYZ338	Licensed Representative	9
LI Zhifa	AGL136	Licensed Representative	6
LIAN Haijun	AYR639	Licensed Representative	4
LIAN Wei	AYY394	Licensed Representative	4
LIANG Yibo	ALF257	Licensed Representative	6
LIAO Genghua	AYY710	Licensed Representative	1, 2
LIEN Vincent Wai Sun	AMM448	Licensed Representative	6
LIN Chi-Yao	AZB687	Licensed Representative	4
LIN Junxian	AZC812	Licensed Representative	6
LIN Ka Wang	AXO806	Licensed Representative	1, 4
LIN Min	ART867	Licensed Representative	9
LING Man Ping	AZB304	Licensed Representative	4
LIU An-Pei	AZC551	Licensed Representative	1
LIU Hiu Lau	AGP529	Licensed Representative	6
LIU Jiancai	AYX425	Licensed Representative	1
LIU Kwan Kiu	AYX302	Licensed Representative	1, 4, 9
LIU Vicente Chao	AYW726	Licensed Representative	6
LIU Xiaou	AYZ336	Licensed Representative	1
LIVINGSTON Mark James	AZB117	Licensed Representative	1
LOO Wan Chi	AGP658	Licensed Representative	1, 4
LOWE Edward	AZC987	Licensed Representative	1, 2, 7
LU Amanda Xiao Qiang	ADM569	Licensed Representative	6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2012

CE Name	CE No.	Licence Type	Types of Regulated Activity
LU Szu-Hsien	AZC558	Licensed Representative	1
LU Yiwen	AYY014	Licensed Representative	4
LUI Chi Lung Louis	AGT091	Licensed Representative	4, 9
LUI Michael Hou Ming	AZB648	Licensed Representative	1
LUI Tin Shun	AKR463	Licensed Representative	6
LUK Kam Fu	ALG186	Licensed Representative	1, 4
LUK Ming Yu	AZB684	Licensed Representative	1, 4
LUK Wai Sing	AZC567	Licensed Representative	1
LUNG Kei Kwan	AZA986	Licensed Representative	1
MA Christy Man Ling	AZC130	Licensed Representative	4
MA Hsiao-hung, Alisha	ALZ814	Licensed Representative	4, 9
MA Weiji	AYR066	Licensed Representative	6
MACK Andrew Kar Lung	AYH813	Licensed Representative	9
MAK Winnie	ADK738	Licensed Representative	4
MALOY Andrew Chong Kwan	AYW719	Licensed Representative	6
MARSH Ian Forbes	ALQ211	Licensed Representative	1, 4, 9
MASSARA Darren Charles	AOO819	Licensed Representative	9
MIN Robert Bock-Gi	AYY389	Licensed Representative	4, 9
MISHRA Saurabh	AZC260	Licensed Representative	4
MORTON Peter Douglas	ADO886	Licensed Representative	6
MOURI Takuya	AYY714	Licensed Representative	1, 2
MOWER Andrew Lance	AYY648	Licensed Representative	1, 7
MREJEN Chloe Anna Solange	AZC184	Licensed Representative	1, 2
MUI Man Ki	AZC299	Licensed Representative	1
NAKAMURA Miley	AUW130	Licensed Representative	1, 2, 7
NARAGHI Dariush Dmitrii	AYR060	Licensed Representative	6
NEGLINE Michael John	AZA006	Licensed Representative	1, 4, 9
NG Cheng Kang	AZC813	Licensed Representative	6
NG Chi Hin, Wilson	AYW720	Licensed Representative	6
NG Hoi Lun	AZB582	Licensed Representative	1, 4
NG Ka Ki, Karen	AYR850	Licensed Representative	6
NG Kong Yong	ABN501	Licensed Representative	4
NG So Yi	AYY618	Licensed Representative	1
NG Yee Har	AZC537	Licensed Representative	1
NGAN Chung Wai, Hubert	ARU535	Licensed Representative	9
NICHOL William Stewart	ABW697	Licensed Representative	6
NICHOLSON Robert Kenneth	AYX579	Licensed Representative	1, 7
NINOMIYA Hideaki	AYK792	Licensed Representative	9
NIP Kin Ming	AZC160	Licensed Representative	4, 9
NOURSE William Arthur Thomas	AYR058	Licensed Representative	6
OGATA Marina Miyuki	AWF341	Licensed Representative	1, 2
OH Sehun	AZC265	Licensed Representative	1
OR Yuen Ming	AZC410	Licensed Representative	1
O'SHEA Daniel John	AQD326	Licensed Representative	1
OZORIO Joseph Marian Laurence	AAD498	Licensed Representative	1, 2
PACINI Joseph Metcalfe	AZD514	Licensed Representative	1, 4, 9
PANG Chun Ho	ANX872	Licensed Representative	1, 2



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2012

CE Name	CE No.	Licence Type	Types of Regulated Activity
PANG Wai Yin, Piecco	AGO824	Licensed Representative	1, 4
PANG Wing Hei, Henry	AZD617	Licensed Representative	1, 4
PANKHURST Mark Patrick	AYR802	Licensed Representative	6
PARK Ji Eun	AYZ987	Licensed Representative	1
PATEL Vijaykumar Thakorbhaj	AUE790	Licensed Representative	1, 2
PAU Tin Fung, Stephen	AJB414	Licensed Representative	9
PENG Lichun	AYY611	Licensed Representative	1, 2
PENG Wenjuan	AZD306	Licensed Representative	4, 9
PHAM Thanh Ha	AYY900	Licensed Representative	4
PHILIPPON Pierre-Yves	AZB316	Licensed Representative	1, 2, 7
PHILLIPS Simon Charles	AZC297	Licensed Representative	4
PHUA Jia Ning	AZC814	Licensed Representative	6
PHUA Victor Chen Ee	AZC163	Licensed Representative	1, 2, 7
PO Cheuk Ling Joey	AZF239	Licensed Representative	1
POON Chi Wah	AYZ888	Licensed Representative	1
POON Wai Ling, Elaine	AZG155	Licensed Representative	1
QIAN Liang	AZC815	Licensed Representative	6
QIAN Mengya	AYW723	Licensed Representative	6
QIN Jing	AGN786	Licensed Representative	6
QUAH Chum Yong	AOL610	Licensed Representative	1
QUINN JR James Jerome	AWZ640	Licensed Representative	1, 2
RAMEAU Kei	AZC549	Licensed Representative	4
RANAVAT Rohan Rajesh	AZC816	Licensed Representative	6
RANGWALA Abbas Asgarali	AMH247	Licensed Representative	6
RIGNEY Simon Paul	AZA742	Licensed Representative	4
RODRIGUEZ TORRES Joaquin Alberto C.	AYR855	Licensed Representative	6
ROSENFELD Seth Robert	AYZ307	Licensed Representative	4
ROTH Gunther James	AHC183	Licensed Representative	6
RUBIO Pamela Santos	AZB955	Licensed Representative	1
SAAD Fouad Fred	AYY042	Licensed Representative	1, 6
SAITO Riga	AZC575	Licensed Representative	1
SANG Jie	ALV328	Licensed Representative	6
SARAN Phillip Howard Hasenfus	AYZ046	Licensed Representative	4, 9
SAT Ka Chun	AZB958	Licensed Representative	1
SCHULTE-HILLEN Friedrich Bela	AUA998	Licensed Representative	9
SCIULLI Michael Joseph	AYY812	Licensed Representative	1, 4, 6
SHAO Cheng Ping, Derek	AYV319	Licensed Representative	1, 4, 9
SHELDON Gary Joseph	AYK743	Licensed Representative	1, 2
SHEN Nan	ALA470	Licensed Representative	6
SHEN Weixin	AYX426	Licensed Representative	1, 2
SHENG Li	AYQ992	Licensed Representative	6
SHI Xiaoting	AMM220	Licensed Representative	1
SHIN Joonsoo	AYV380	Licensed Representative	1, 4
SHUM Po Yuen	AZB606	Licensed Representative	1
SINGH Karanjeet	AZB720	Licensed Representative	1
SIT Kwan Keung	ADG596	Licensed Representative	1
SIU Chi Kwan, Shelley	AZC986	Licensed Representative	1, 2

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2012**

CE Name	CE No.	Licence Type	Types of Regulated Activity
SIU Jennifer Hoi Ying	AYY813	Licensed Representative	1
SIU Natalie Hoi Ling	AZC479	Licensed Representative	4, 5, 6, 9
SO Kwok Kan	ANR262	Licensed Representative	1, 2
SONG Haiming	AZB539	Licensed Representative	4, 9
SONG Meihong	AZC817	Licensed Representative	6
SONG Yang	AZA792	Licensed Representative	1
SOON Sher Rene	AQI519	Licensed Representative	6
SPANNAUS Benjamin Jeremy	AXM538	Licensed Representative	1, 2
STANICA Adrian	ARE767	Licensed Representative	1
SUGIHARA Ryoma	AXP236	Licensed Representative	1
SUM Ping Kong	AUX010	Licensed Representative	1, 2, 3
SUN Gilbert Ken Bon	AZB607	Licensed Representative	1
SUN Qianhui	AQQ124	Licensed Representative	6
SUN Richard Li-ren	AMO544	Licensed Representative	6
SUN Tao	ANR271	Licensed Representative	1, 4
SZE Wai Lun	AZB035	Licensed Representative	9
SZE Wai Yee, Jessylyn	AZC675	Licensed Representative	1, 4, 9
SZETO Ka Yin, Karen	AZB699	Licensed Representative	1
TAI Ket Phin	AYW779	Licensed Representative	3
TAM Chi Ho	AYY912	Licensed Representative	1
TAM Ka Heng, Carmen	ARL253	Licensed Representative	1, 4, 6
TAM Kin Wo	AYZ048	Licensed Representative	4, 9
TAN Guanhua	AYS391	Licensed Representative	1, 2
TAN Zhizhi	AYQ567	Licensed Representative	1, 4, 5, 9
TANG Ling	AZB686	Licensed Representative	4
TANG Wing Chung	AFG775	Licensed Representative	6
TAYLOR Andrew Nicholas	AYQ758	Licensed Representative	6
TAYLOR Brett Anthony	AYY647	Licensed Representative	1, 2
TSANG Ching Biu	AHC256	Licensed Representative	1
TSANG Ka Kan, Edmund	ALG188	Licensed Representative	1
TSANG Pak Lun	AYY498	Licensed Representative	1
TSANG Wai Ming	ACE376	Licensed Representative	1, 4
TSE Jonathan Chung Hin	AIJ261	Licensed Representative	6
TSE Tak Keung	ADH876	Licensed Representative	1
TSE Yu Man, Herman	ADC750	Licensed Representative	6
TSENG Bo-Yu	AZB540	Licensed Representative	4
TSUI Man Keung	AZB522	Licensed Representative	1
TSUI Pui Ki Diana	AZC461	Licensed Representative	1, 2
VAN MEERBEEK Helene	AYX944	Licensed Representative	1, 4
WAN Cho Yam	AYY600	Licensed Representative	1, 2
WAN Chun Wah	AYZ607	Licensed Representative	4
WAN Kwok Fung, Joseph	AVK804	Licensed Representative	4, 9
WANG Conan	AYQ985	Licensed Representative	6
WANG Jiliang	AYR798	Licensed Representative	6
WANG Liya	AZF552	Licensed Representative	4
WANG Sinan	AYR011	Licensed Representative	6
WANG Wei-hsiang	AZC421	Licensed Representative	4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2012

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Weiran	AZC884	Licensed Representative	4
WANG Xiaobin	ARG381	Licensed Representative	6
WANG Xin	AYW777	Licensed Representative	2
WANG Zhen	AZC796	Licensed Representative	6
WASHIYAMA Toshihiro	AYT684	Licensed Representative	1, 6
WELCH Lionel Peter Howard	AOY780	Licensed Representative	1, 4, 9
WEN Si	AYY973	Licensed Representative	4, 9
WIDJAJA Sugiharto Sudiro	ALY134	Licensed Representative	9
WIRDS Frank	AZB791	Licensed Representative	1, 4
WONG Brian Shek Kae	AQF551	Licensed Representative	9
WONG Carl Kar Ho	AZB773	Licensed Representative	1
WONG Chun Ning	AZB579	Licensed Representative	1, 4
WONG Daisy Lok Ming	AYX607	Licensed Representative	1
WONG Derrick Tat Hin	APY824	Licensed Representative	1, 4, 6
WONG Hoi See, Elsie	AYQ982	Licensed Representative	6
WONG Kam Suen, David	AFN655	Licensed Representative	1, 2, 3
WONG Kam Tin, Eric	AFE333	Licensed Representative	9
WONG Kin Chung	AJD184	Licensed Representative	6
WONG King Pan	AZC273	Licensed Representative	6
WONG Man Yin	ARD527	Licensed Representative	1
WONG Shun Leung	AYW732	Licensed Representative	6
WONG Tsz Wing	AZE534	Licensed Representative	1
WONG Wai Kit	AZB640	Licensed Representative	4, 5, 9
WONG Wan Pei	AZB574	Licensed Representative	1
WONG Wing See	AXZ982	Licensed Representative	1, 6
WONG Yick Ling, Elaine	AYZ267	Licensed Representative	1
WONG Yu Lui	AEA008	Licensed Representative	1, 2
WOO Sau Yung	AGH690	Licensed Representative	1
WU Hao	AYZ032	Licensed Representative	6
WU Joseph Shi Li	AJB764	Licensed Representative	1
WU Kelvin Chih Wei	AMB765	Licensed Representative	9
WU Pengfei	ANW510	Licensed Representative	6
WU Qiong	AYY899	Licensed Representative	6
WU Sitao	AYZ889	Licensed Representative	6
WU Tong	AZA691	Licensed Representative	1, 4, 6
WU Tzu Wen	AYW804	Licensed Representative	6
WU Yan	AOR640	Licensed Representative	6
XIA Lichuan	ANQ017	Licensed Representative	4, 9
XIA Tian	AYS450	Licensed Representative	4
XIAO Yuan	AZB291	Licensed Representative	1, 6
XU Bret Zheng Yu	ANQ295	Licensed Representative	9
XU Jing	AYY708	Licensed Representative	1, 2
XU Tianyi	AZB048	Licensed Representative	9
XU Wenbo	AZA745	Licensed Representative	1, 2, 4, 5
XUE Rui	AYW805	Licensed Representative	6
YAM Kin Chiu	AYY619	Licensed Representative	6
YANG Joowon	AZC266	Licensed Representative	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2012

CE Name	CE No.	Licence Type	Types of Regulated Activity
YANG Stanley Hongshiuang	AYQ733	Licensed Representative	6
YANG Yang	AVK963	Licensed Representative	6
YANG Yongfang	AZC818	Licensed Representative	6
YANG Yuwen	AYR049	Licensed Representative	6
YAU Hong Sing	AZC151	Licensed Representative	1
YAU Siu Lung	AYY767	Licensed Representative	1
YE Nisha	AYY707	Licensed Representative	1, 2
YE Yixiu	AYX055	Licensed Representative	6
YEO Pei Hse	AFW119	Licensed Representative	6
YEUNG Ming Loong	AZC300	Licensed Representative	9
YEUNG Shun Heung	AYY978	Licensed Representative	1
YI Chuanghua	AYR034	Licensed Representative	1
YIM Charles	AZB751	Licensed Representative	4, 9
YIP Byron	AYQ739	Licensed Representative	6
YIP Hiu Ying	AOQ719	Licensed Representative	1
YIP Sze Wai, Cyrus	AZB694	Licensed Representative	1
YOU Jijiao	AYX814	Licensed Representative	1
YOUNG Gregory Dyllan	AYZ245	Licensed Representative	9
YU Hiu To	AGM902	Licensed Representative	6
YU Jun	AYX056	Licensed Representative	6
YU Ka Hing	AZD608	Licensed Representative	1, 4
YU Kwun Hong Michael	AZC570	Licensed Representative	1
YUAN Quanwei	ATO052	Licensed Representative	6
YUEN Chak Sang	AZC286	Licensed Representative	1, 9
YUEN Chester, Wai Hei	AYW548	Licensed Representative	1, 2
YUEN Chui Man	AZC307	Licensed Representative	3
YUEN Kevin Siu Ting	ADX883	Licensed Representative	9
YUEN Kit Ling Deanna	AWH297	Licensed Representative	1
ZHANG Di	AZC799	Licensed Representative	6
ZHANG Guojia	AFT408	Licensed Representative	4
ZHANG Kun	AYR057	Licensed Representative	6
ZHANG Kun	AYX057	Licensed Representative	6
ZHANG Ruiyue	AZB698	Licensed Representative	1
ZHANG Sensen	AZC333	Licensed Representative	1, 4
ZHANG Yanyu	AZB678	Licensed Representative	1, 6
ZHANG Yi	AZC702	Licensed Representative	4
ZHANG Ying	AYR217	Licensed Representative	1, 4
ZHANG Zhisong	AYR028	Licensed Representative	6
ZHAO Yanchen	AYS680	Licensed Representative	1, 4, 6
ZHAO Yu	AWL739	Licensed Representative	6
ZHENG Jialiang	AZB711	Licensed Representative	4
ZHONG Tiantian	AZB127	Licensed Representative	1
ZHOU Bo	AUA987	Licensed Representative	6
ZHOU Bo	AYZ673	Licensed Representative	4, 9
ZHOU Haifeng	AYZ890	Licensed Representative	1
ZHOU Peng	AUS211	Licensed Representative	6
ZHOU Yizi	AYX059	Licensed Representative	6

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2012**

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHOU Zhi	AKU303	Licensed Representative	6
ZHU Binjie	AYQ999	Licensed Representative	6
ZHU Chen	AYY540	Licensed Representative	1, 4, 6
ZHU JieLun	AYW803	Licensed Representative	6
ZHU Xiaomin	AYQ743	Licensed Representative	6
ZHUANG Sijin	AYY928	Licensed Representative	9
AMUNDSSON Niklas Kostadin	AYK125	Licensed Representative - Responsible Officer	1, 4
AU Ka Leung	ABZ887	Licensed Representative - Responsible Officer	4, 9
AU On Kit, Jason	ADE458	Licensed Representative - Responsible Officer	6
BAMFORD Stephen Fildes	ARP194	Licensed Representative - Responsible Officer	1, 4
BARLOW David Samuel	AWF427	Licensed Representative - Responsible Officer	1, 4
BAXTER Thomas Wilbur	AYY701	Licensed Representative - Responsible Officer	9
CABRAL Marcelo Custodio	AXU877	Licensed Representative - Responsible Officer	1, 4
CAMPENON Bruno Jacques	ASN690	Licensed Representative - Responsible Officer	1
CHAN Hung Ling	AAG115	Licensed Representative - Responsible Officer	1, 4
CHAN Kim Lee	AZC708	Licensed Representative - Responsible Officer	1
CHAN LO Vivian Fung Yee	ANY287	Licensed Representative - Responsible Officer	1, 4
CHAN Shun Kuen, Eric	ACV410	Licensed Representative - Responsible Officer	9
CHEN Baodong	AYJ954	Licensed Representative - Responsible Officer	9
CHEUNG Yin Ling	AYZ253	Licensed Representative - Responsible Officer	6
CHU Ka Ming, Lawrence	AVC355	Licensed Representative - Responsible Officer	6
CHUNG Chun Wa	ACB123	Licensed Representative - Responsible Officer	1
CHUNG Koon Wah, Jonathan	ADH522	Licensed Representative - Responsible Officer	9
CLARK Stephen John	ALP771	Licensed Representative - Responsible Officer	6
DAVISON Nathan Luke	AUD693	Licensed Representative - Responsible Officer	1, 2
DE VRIES Pier Christiaan	ABM870	Licensed Representative - Responsible Officer	9
DIAO Yang	AFT479	Licensed Representative - Responsible Officer	1, 4, 6
FAN Ye	AZB952	Licensed Representative - Responsible Officer	9
FANG Fang	ACF847	Licensed Representative - Responsible Officer	1, 4, 6
FONG Siu Wah	ADG916	Licensed Representative - Responsible Officer	1
FUCHS Benjamin Aaron	ASH500	Licensed Representative - Responsible Officer	9
GUEN Mounir	AYK143	Licensed Representative - Responsible Officer	1, 4
GUPTA Amit	AOD057	Licensed Representative - Responsible Officer	9
HARDEN Alan Jerry	AAF818	Licensed Representative - Responsible Officer	1, 4, 9
HO Cheuk Yin, Kelvin	ACY765	Licensed Representative - Responsible Officer	1, 4, 6
HO Shu Lung	AEE580	Licensed Representative - Responsible Officer	9
HOEBRECHTS Pierre Eric	AXV625	Licensed Representative - Responsible Officer	4, 9
HU Diana	ADX292	Licensed Representative - Responsible Officer	6
KATAOKA Yasuaki	ABA844	Licensed Representative - Responsible Officer	1, 2, 4
KRISHNAN Viswanathan	AYY815	Licensed Representative - Responsible Officer	9
LAU Pak Wai	ACQ669	Licensed Representative - Responsible Officer	1, 4, 6
LAW Bik Kwan	AGN646	Licensed Representative - Responsible Officer	1
LEE Boon Leng	AXR268	Licensed Representative - Responsible Officer	9
LEE Man Kit, Peter	AYV999	Licensed Representative - Responsible Officer	9
LEITZES Adam Gregory	AZB951	Licensed Representative - Responsible Officer	9
LEITZES Kim My Phuong	AZB953	Licensed Representative - Responsible Officer	9
LEUNG Kar Cheung, Catherine	AGN568	Licensed Representative - Responsible Officer	1, 4, 6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2012

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Woon Chi	AAN717	Licensed Representative - Responsible Officer	2
LIANG Yiming	AEY432	Licensed Representative - Responsible Officer	4, 9
LIU Che Ning	ABY439	Licensed Representative - Responsible Officer	6
LO Bonnie Sum Wai	AFV258	Licensed Representative - Responsible Officer	9
LONG Tien Ian	ADV780	Licensed Representative - Responsible Officer	6
LOOK Po Shan, Pauline	AMM445	Licensed Representative - Responsible Officer	9
LU Hai Ge	AFR268	Licensed Representative - Responsible Officer	1, 4, 6
LUK Chi Woon, Peter	AHB993	Licensed Representative - Responsible Officer	9
MAO Yuan-Chieh	AZD539	Licensed Representative - Responsible Officer	4, 9
MURRAY Timothy Patrick	AYT806	Licensed Representative - Responsible Officer	4
NGIE Chuen Shun	AFM599	Licensed Representative - Responsible Officer	6
PANDIT Ashok	AGP513	Licensed Representative - Responsible Officer	6
PEEL Stephen Mark	AXV635	Licensed Representative - Responsible Officer	1, 4
PENG Sam Wen Te	ATI283	Licensed Representative - Responsible Officer	4
QIAN Jing	AUE544	Licensed Representative - Responsible Officer	6
REICH Christopher Scott	AZE933	Licensed Representative - Responsible Officer	4, 9
SAM CHANG Segundo Willy	AHE451	Licensed Representative - Responsible Officer	6
SINGH Dinakar	AEK429	Licensed Representative - Responsible Officer	9
SINGH Manmohan	AFV085	Licensed Representative - Responsible Officer	4
SO Yuk Kit	ADY273	Licensed Representative - Responsible Officer	6
STEVENSON-YANG Anne	AYT807	Licensed Representative - Responsible Officer	4
SUN Edward Julian	AYR445	Licensed Representative - Responsible Officer	9
TONG Dik Ka	AYY919	Licensed Representative - Responsible Officer	4, 9
TSANG Wing Yiu	AME242	Licensed Representative - Responsible Officer	1
VAN DER REIJT Bastiaan Andries	AYW251	Licensed Representative - Responsible Officer	9
VAN DER REIJT Diederik Boudewijn	AYW250	Licensed Representative - Responsible Officer	9
WANG Rowena	AEM242	Licensed Representative - Responsible Officer	6
WONG Chi Tai	AKX258	Licensed Representative - Responsible Officer	4, 9
WONG Siu Kin	AML390	Licensed Representative - Responsible Officer	6
YANG Hoi Ti, Heidi	ACJ627	Licensed Representative - Responsible Officer	6
YAU Kwok Wing, Tony	AGE018	Licensed Representative - Responsible Officer	6
ZHANG Anzhi	AJX187	Licensed Representative - Responsible Officer	6
ZHAO Qian	AOH251	Licensed Representative - Responsible Officer	9
AGRAWAL Kunal	AZC707	Licensed Representative (Provisional Licence)	4
ARIKAWA Rena	APK356	Licensed Representative (Provisional Licence)	9
BAADER Klaus Alvaro	AZE954	Licensed Representative (Provisional Licence)	1, 2, 4, 5
BAKER Stuart Charles	AFB356	Licensed Representative (Provisional Licence)	1
BARTON Paul Patrick	AZC153	Licensed Representative (Provisional Licence)	1
BAYVEL Kirk Forrest	ATH621	Licensed Representative (Provisional Licence)	1, 2
BELZ Jonathan Isaac	AZE950	Licensed Representative (Provisional Licence)	1, 4, 6
BOYLE James Joseph	AZC785	Licensed Representative (Provisional Licence)	1, 2
CAO Liyuan	AZB537	Licensed Representative (Provisional Licence)	1, 2, 7
CHAKRAVARTY Sagnik	AYF104	Licensed Representative (Provisional Licence)	9
CHAN Bruce	AZC880	Licensed Representative (Provisional Licence)	1
CHAN Chi Kong	ACX510	Licensed Representative (Provisional Licence)	6
CHAN Chun Kin	AZC678	Licensed Representative (Provisional Licence)	1
CHAN Kar Yin, Gary	AEB044	Licensed Representative (Provisional Licence)	4, 9


ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2012

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Kin Pan	AZB954	Licensed Representative (Provisional Licence)	1, 2, 4
CHAN Kwok Hung	AZF049	Licensed Representative (Provisional Licence)	1
CHAN Kwok Kay	ATI426	Licensed Representative (Provisional Licence)	1, 2
CHAN Lee	AZE793	Licensed Representative (Provisional Licence)	1
CHAN Mang Kiu	AIS524	Licensed Representative (Provisional Licence)	1, 6
CHAN Mui Chun	ABP887	Licensed Representative (Provisional Licence)	1
CHAN Pui Ying	APV404	Licensed Representative (Provisional Licence)	1
CHAN Ting Fung, Samuel	AZC830	Licensed Representative (Provisional Licence)	4
CHAN Wah	ACZ683	Licensed Representative (Provisional Licence)	1
CHAN Wai Hang	AZE808	Licensed Representative (Provisional Licence)	1
CHAN Yen Ping	AZD626	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Clarence Soon Yuan	AZD383	Licensed Representative (Provisional Licence)	1, 2
CHEN Kaichong	AZD683	Licensed Representative (Provisional Licence)	4
CHEN Ken An	ANX752	Licensed Representative (Provisional Licence)	1
CHEN Ying	AZB789	Licensed Representative (Provisional Licence)	9
CHENG Tze Bun	AZC905	Licensed Representative (Provisional Licence)	1
CHEUNG Cham Lun	AZF644	Licensed Representative (Provisional Licence)	1
CHEUNG Chee Ho	AZD386	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Joanna Wai Sze	ATM610	Licensed Representative (Provisional Licence)	1
CHEUNG Yuk Shing	AZG385	Licensed Representative (Provisional Licence)	1, 2
CHEW John Hock Thye	AZC899	Licensed Representative (Provisional Licence)	1, 2
CHOI Wai Lum, Stephanie	AZC433	Licensed Representative (Provisional Licence)	1
CHONG Chui Wan	AZC592	Licensed Representative (Provisional Licence)	10
CHONG Hon Hoong	AOT550	Licensed Representative (Provisional Licence)	1, 4
CHOY Pui Yuen	AZD384	Licensed Representative (Provisional Licence)	1, 2
CHRISTIE Ian Andrew	AZB957	Licensed Representative (Provisional Licence)	4
CHU Yu-Lin	ARO903	Licensed Representative (Provisional Licence)	1
CHUN Changhyun	AZD593	Licensed Representative (Provisional Licence)	1, 6
CHUNG Chak Chuen	AJE988	Licensed Representative (Provisional Licence)	1, 2
CHUNG Yue Ching	AZF489	Licensed Representative (Provisional Licence)	1, 4
CISZEWSKI Thomas James	AYU143	Licensed Representative (Provisional Licence)	1, 2
COHEN Darren	AZF261	Licensed Representative (Provisional Licence)	1
CUNHA Luiz Marcelo	AWZ798	Licensed Representative (Provisional Licence)	1, 2, 4, 5
DAIMER Andreas Roland Baldur	AZF864	Licensed Representative (Provisional Licence)	1, 4, 6
DHALIWAL Indar Singh	AZB959	Licensed Representative (Provisional Licence)	4
DU Dan	AOT340	Licensed Representative (Provisional Licence)	1, 4
DU Yunzhe	AZD389	Licensed Representative (Provisional Licence)	4, 9
DUAN Yefei	AZE944	Licensed Representative (Provisional Licence)	1
FAHLIN Anders Jimmy	AZF343	Licensed Representative (Provisional Licence)	9
FRELET Jean-Marc Nicolas	AZE428	Licensed Representative (Provisional Licence)	1
GAO Zheng	AZD599	Licensed Representative (Provisional Licence)	1, 4
GARNSEY Graeme Anthony	AZE522	Licensed Representative (Provisional Licence)	4, 6
GARROOD Dane Alan	AZC150	Licensed Representative (Provisional Licence)	4, 9
GLEESON James Anthony	AHT088	Licensed Representative (Provisional Licence)	1, 2
GREIF Joseph Judah	AZD669	Licensed Representative (Provisional Licence)	4, 9
GUAN Bo	AZE518	Licensed Representative (Provisional Licence)	1, 2
HALTERLEIN Dylan Stephen	AVX418	Licensed Representative (Provisional Licence)	1, 2

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2012**

CE Name	CE No.	Licence Type	Types of Regulated Activity
HAMILTON Robert Anthony	AZC455	Licensed Representative (Provisional Licence)	1, 6
HEYES Richard Charles	ACR432	Licensed Representative (Provisional Licence)	1, 2, 7
HIVAREKAR Abhijeet	AZD532	Licensed Representative (Provisional Licence)	1, 4
HO Kwan Kit, Chris	ASH641	Licensed Representative (Provisional Licence)	1, 4
HO Sancho Shang Da	AZB616	Licensed Representative (Provisional Licence)	1, 6
HOFFMAN Matthew Lee	AZD453	Licensed Representative (Provisional Licence)	1
HORAN Matthew William	AZC566	Licensed Representative (Provisional Licence)	1
HOWSLEY Jonathan Charles	AZF710	Licensed Representative (Provisional Licence)	1, 4, 6
HU Xiaohua	AZF663	Licensed Representative (Provisional Licence)	1
HUANG Chao	AZE532	Licensed Representative (Provisional Licence)	4
HUANG Chun Man	AZD380	Licensed Representative (Provisional Licence)	1
HUANG Hsin-Cheng	ARE368	Licensed Representative (Provisional Licence)	1
HUI Mark Sui-cheung	AZE912	Licensed Representative (Provisional Licence)	1
HUI Wai Hon	AZG010	Licensed Representative (Provisional Licence)	1
HUNG Hon Pan	AZE524	Licensed Representative (Provisional Licence)	1, 2
HUNG Pak Yung	AZC682	Licensed Representative (Provisional Licence)	9
HUTCHINS Jeff Daniel	ASY640	Licensed Representative (Provisional Licence)	1, 2
IRVIN William Troy	AZC301	Licensed Representative (Provisional Licence)	4
JIANG Chao	AZF795	Licensed Representative (Provisional Licence)	6
KAM Hin Yeung	AZE930	Licensed Representative (Provisional Licence)	1, 2
KAPUR Ashish	AZD602	Licensed Representative (Provisional Licence)	1, 2, 7
KENDALL Jason Lee	AZF359	Licensed Representative (Provisional Licence)	1, 2, 7
KHANNA Kapil	AZC829	Licensed Representative (Provisional Licence)	1, 2, 4
KIM Justin Jongwon	AZF496	Licensed Representative (Provisional Licence)	1, 2
KIM Yyong Andrew	ASF531	Licensed Representative (Provisional Licence)	1, 2
KOIKE Shoji	AZD368	Licensed Representative (Provisional Licence)	1
KONG Sau Ngai	AZD633	Licensed Representative (Provisional Licence)	1
KWOK Ka Lok, Stanley	ARL159	Licensed Representative (Provisional Licence)	9
KWOK Marlon Kaway	AZD327	Licensed Representative (Provisional Licence)	1, 2
KWOK Tsun Leung	AZC886	Licensed Representative (Provisional Licence)	1
LAI Ka Leong	ASE664	Licensed Representative (Provisional Licence)	1, 2
LAI Oscar Siu Kit	AZC811	Licensed Representative (Provisional Licence)	9
LAI Tin Yau	AZD317	Licensed Representative (Provisional Licence)	1, 2
LAI Tsz Him	AZE521	Licensed Representative (Provisional Licence)	1
LAM Maggie	APV887	Licensed Representative (Provisional Licence)	1, 4
LAM Man Wai	AZF840	Licensed Representative (Provisional Licence)	1
LAM Yi Yan	AZE517	Licensed Representative (Provisional Licence)	4, 9
LAU Cheuk Ki	ATX970	Licensed Representative (Provisional Licence)	1, 2
LAU Hiu Fung	AWD942	Licensed Representative (Provisional Licence)	1, 2
LAU Kin Wing, Ken	AAB985	Licensed Representative (Provisional Licence)	1, 2
LAW Yan Chak	AZA987	Licensed Representative (Provisional Licence)	1
LE Jing	AZC823	Licensed Representative (Provisional Licence)	1
LEAHY John Mark	AZF230	Licensed Representative (Provisional Licence)	1, 4, 6
LEE Siu Hang	AZF978	Licensed Representative (Provisional Licence)	1, 6
LEE Sophia Qiaoli	AZC903	Licensed Representative (Provisional Licence)	1
LEE Wai Nam, Bonnie	AZC677	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Wee Siang	AMN563	Licensed Representative (Provisional Licence)	1


ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2012

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEE Yuen Ting	AZE975	Licensed Representative (Provisional Licence)	1, 2
LEE Yuk Kwan Corina	AZF416	Licensed Representative (Provisional Licence)	1, 4
LEONG Chon-ian	AZD613	Licensed Representative (Provisional Licence)	1
LEONG Koon Jee Jennifer	AZF862	Licensed Representative (Provisional Licence)	4
LEUNG Ching Han, Jaime	AZD610	Licensed Representative (Provisional Licence)	1
LEUNG Kam Wing	AZD325	Licensed Representative (Provisional Licence)	1, 2
LEUNG Kin Hung Nelson	AZF421	Licensed Representative (Provisional Licence)	1, 4
LEUNG Ming Yan	ASU334	Licensed Representative (Provisional Licence)	1, 4, 9
LI Ee Yan	AZC826	Licensed Representative (Provisional Licence)	1, 2
LI King Cheung	AZD686	Licensed Representative (Provisional Licence)	1
LI Xiang Hong	AQE320	Licensed Representative (Provisional Licence)	4, 9
LIM May Man Wai	APY325	Licensed Representative (Provisional Licence)	1, 4
LIM Yoo Min	AQQ499	Licensed Representative (Provisional Licence)	1
LIN Hsin Jung	AZE934	Licensed Representative (Provisional Licence)	1, 9
LIU An-Pei	AZC551	Licensed Representative (Provisional Licence)	1
LIU Chenru	AZC693	Licensed Representative (Provisional Licence)	4
LIU Chin Ting, Billy	AZE659	Licensed Representative (Provisional Licence)	1
LIU Haobo	AZD818	Licensed Representative (Provisional Licence)	4
LIU Ka Chun	AZD255	Licensed Representative (Provisional Licence)	1
LIU Mareta Yiqi	AVD327	Licensed Representative (Provisional Licence)	1, 2
LIU Zhousheng	AZD456	Licensed Representative (Provisional Licence)	1, 2
LO Ting Chiu Murphy	AZE648	Licensed Representative (Provisional Licence)	1, 4
LONG Haiyu	AZD524	Licensed Representative (Provisional Licence)	6
LOO Wan Chi	AGP658	Licensed Representative (Provisional Licence)	1, 4
LOWE Edward	AZC987	Licensed Representative (Provisional Licence)	1, 2, 7
LU Szu-Hsien	AZC558	Licensed Representative (Provisional Licence)	1
LU Xiaohao	AZF711	Licensed Representative (Provisional Licence)	1, 4, 6
LU Yi	AVE820	Licensed Representative (Provisional Licence)	1, 6
LUI Hung Kik	AZF855	Licensed Representative (Provisional Licence)	1
LUK Ching Yan, Sylvia	AED614	Licensed Representative (Provisional Licence)	1, 4
LUNG Kei Kwan	AZA986	Licensed Representative (Provisional Licence)	1
MA Albert Kai Ben	AZF841	Licensed Representative (Provisional Licence)	1, 4
MA Hang Sing	AZF503	Licensed Representative (Provisional Licence)	1
MA Yan Ying	AZD329	Licensed Representative (Provisional Licence)	1, 2
MAK Winnie	ADK738	Licensed Representative (Provisional Licence)	4
MAMTANI Jatin	AZE888	Licensed Representative (Provisional Licence)	4
MARGOLIS Riva Rose	AZF836	Licensed Representative (Provisional Licence)	1
MASUOKA Hiroshi	AZD251	Licensed Representative (Provisional Licence)	1
MC FADYEN David Ross	AZD538	Licensed Representative (Provisional Licence)	1, 4
MOK Tze Chung	AZF440	Licensed Representative (Provisional Licence)	1
MOUDGIL Siddharth	AZE652	Licensed Representative (Provisional Licence)	1, 4
NAKAMURA Miley	AUW130	Licensed Representative (Provisional Licence)	1, 2, 7
NAPA Karis Liam Johann Sese	AZE835	Licensed Representative (Provisional Licence)	1, 2, 4
NG Chong Fong	AZD382	Licensed Representative (Provisional Licence)	1, 2
NG Michael William	AZD376	Licensed Representative (Provisional Licence)	4
NG Wai Kit	AZD315	Licensed Representative (Provisional Licence)	1, 2
OH Sehun	AZC265	Licensed Representative (Provisional Licence)	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2012

CE Name	CE No.	Licence Type	Types of Regulated Activity
ONDO Henry Takashi	AZC712	Licensed Representative (Provisional Licence)	1, 2
OR Kong Kit	AWV428	Licensed Representative (Provisional Licence)	1
ORTON Jamie	AZD119	Licensed Representative (Provisional Licence)	1, 7
O'SHEA Daniel John	AQD326	Licensed Representative (Provisional Licence)	1
PACINI Joseph Metcalfe	AZD514	Licensed Representative (Provisional Licence)	1, 4, 9
PEI Xi	ANF264	Licensed Representative (Provisional Licence)	1, 6
PHILIPPON Pierre-Yves	AZB316	Licensed Representative (Provisional Licence)	1, 2, 7
PHILLIPS Simon Charles	AZC297	Licensed Representative (Provisional Licence)	4
PHUA Victor Chen Ee	AZC163	Licensed Representative (Provisional Licence)	1, 2, 7
PRAWITZ Alexander Gunter Peter	AZC709	Licensed Representative (Provisional Licence)	1, 4
PUN Nai Sung, Tony	AGK962	Licensed Representative (Provisional Licence)	1
QU Ke	AZF437	Licensed Representative (Provisional Licence)	4
ROBIN Yann Nicolas Thierry Richard	AZC881	Licensed Representative (Provisional Licence)	1
RUBENSTEIN David Motozo	AXB489	Licensed Representative (Provisional Licence)	4
RUBIO Pamela Santos	AZB955	Licensed Representative (Provisional Licence)	1
SAITO Riga	AZC575	Licensed Representative (Provisional Licence)	1
SEYMOUR Jonathan Robert James	AZD220	Licensed Representative (Provisional Licence)	1
SHAH Dipak Madhu	AZC900	Licensed Representative (Provisional Licence)	1, 2
SHAO Xi	AZC692	Licensed Representative (Provisional Licence)	4
SHI Danjuan	AZF792	Licensed Representative (Provisional Licence)	1
SHI Xiaoting	AMM220	Licensed Representative (Provisional Licence)	1
SHICK David C. Y.	AZF426	Licensed Representative (Provisional Licence)	1, 4
SHROFF Manoj Mahesh	AOJ010	Licensed Representative (Provisional Licence)	1, 4, 5, 9
SINGHANIA Praveen	AZE960	Licensed Representative (Provisional Licence)	9
SIU Chi Kwan, Shelley	AZC986	Licensed Representative (Provisional Licence)	1, 2
SIU Scottie King Chung	AZC908	Licensed Representative (Provisional Licence)	9
SO Yee Shuk Julie	AZD535	Licensed Representative (Provisional Licence)	1, 4
SONG Lynn Sun	AZE540	Licensed Representative (Provisional Licence)	4
STANICA Adrian	ARE767	Licensed Representative (Provisional Licence)	1
SUGIHARA Ryoma	AXP236	Licensed Representative (Provisional Licence)	1
SUZUKI Kuniaki	AZD367	Licensed Representative (Provisional Licence)	1
SZE Wai Yee, Jessylyn	AZC675	Licensed Representative (Provisional Licence)	1, 4, 9
TAI Wen-Chih	AZD684	Licensed Representative (Provisional Licence)	9
TAM Siu Leung, Benjamin	AZG184	Licensed Representative (Provisional Licence)	3
TAMAI Toshiyuki	AZE887	Licensed Representative (Provisional Licence)	1, 2
TANG Juliette	ALI666	Licensed Representative (Provisional Licence)	1, 4
TANG Yuwen	AZG141	Licensed Representative (Provisional Licence)	4
TAO Kwok King	ARS312	Licensed Representative (Provisional Licence)	1, 2
TAY Tuan Hin	AZD057	Licensed Representative (Provisional Licence)	10
THORPE-APPS Mark Winston	AZD623	Licensed Representative (Provisional Licence)	4, 9
TO Chi Chung	AFU839	Licensed Representative (Provisional Licence)	1
TOMS Bryan Ray	ARR904	Licensed Representative (Provisional Licence)	1, 2
TSANG Chi Wai, Philip	AFJ471	Licensed Representative (Provisional Licence)	1
TSANG Sin Man	AFO918	Licensed Representative (Provisional Licence)	1, 4, 9
TSE Ping Kuen	ACG483	Licensed Representative (Provisional Licence)	1, 4
TSUEI Ko-Chin	AVD909	Licensed Representative (Provisional Licence)	1
WAN Liza	AEY851	Licensed Representative (Provisional Licence)	1, 4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2012**

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Kun	AZF441	Licensed Representative (Provisional Licence)	1, 6
WANG Lei	AZE682	Licensed Representative (Provisional Licence)	1, 6
WANG Wei-hsiang	AZC421	Licensed Representative (Provisional Licence)	4
WANG Weiran	AZC884	Licensed Representative (Provisional Licence)	4
WANG Yi Li Ally	AUC869	Licensed Representative (Provisional Licence)	4
WENG Gold Win	AZD385	Licensed Representative (Provisional Licence)	1, 2
WIRDS Frank	AZB791	Licensed Representative (Provisional Licence)	1, 4
WONG Cheuk Sun	AZD058	Licensed Representative (Provisional Licence)	2
WONG Chi Hang	AZC594	Licensed Representative (Provisional Licence)	10
WONG Ching Kit	AZE937	Licensed Representative (Provisional Licence)	1, 4
WONG Chun Jeffrey	AZF132	Licensed Representative (Provisional Licence)	1
WONG King Fai	AZF547	Licensed Representative (Provisional Licence)	1, 2, 4
WONG Kit Ching	AVY654	Licensed Representative (Provisional Licence)	1, 2
WONG Kit Man	AFP736	Licensed Representative (Provisional Licence)	1
WONG Ming Fair Victor	AZF232	Licensed Representative (Provisional Licence)	1
WONG Samuel Ting Yau	AZF709	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Shun On	APP208	Licensed Representative (Provisional Licence)	1, 2
WONG Wan Fung	AZD387	Licensed Representative (Provisional Licence)	1, 2
WONG Xia Xin	AZF233	Licensed Representative (Provisional Licence)	1, 4
WONG Yuen Sut, Eddie	AZD381	Licensed Representative (Provisional Licence)	1
WU Hin Wa	AZD616	Licensed Representative (Provisional Licence)	9
WU Kit Ling	AZC825	Licensed Representative (Provisional Licence)	4
WU Mengdi	AZD101	Licensed Representative (Provisional Licence)	1
WU Pei Shan	AMM100	Licensed Representative (Provisional Licence)	1, 2
XU Shufu	AZF356	Licensed Representative (Provisional Licence)	4, 9
XU Xuezhe	AZF683	Licensed Representative (Provisional Licence)	1
YAN Ka Chung	AZE813	Licensed Representative (Provisional Licence)	1
YAN Men Hin	AZF773	Licensed Representative (Provisional Licence)	1, 2
YANG Clinton Victor	ALI750	Licensed Representative (Provisional Licence)	9
YANG Quanxin	AZF438	Licensed Representative (Provisional Licence)	4, 9
YANG Shang-Lun	AZG020	Licensed Representative (Provisional Licence)	4
YANG Yang	AZF235	Licensed Representative (Provisional Licence)	4, 9
YANG Yu	AZF236	Licensed Representative (Provisional Licence)	4, 9
YANG Zhangning	AZE539	Licensed Representative (Provisional Licence)	4
YAU Hang Huen, Jane	AZC902	Licensed Representative (Provisional Licence)	4
YEUNG Ka Wing	AZD322	Licensed Representative (Provisional Licence)	1, 2
YOKOTA Sosuke	APH270	Licensed Representative (Provisional Licence)	4
YONES Douglas Michael	AZF234	Licensed Representative (Provisional Licence)	1, 4
YONG Koon Sun	AGM414	Licensed Representative (Provisional Licence)	1, 4
YOUNG Gregory Dyllan	AYZ245	Licensed Representative (Provisional Licence)	9
YUAN Fei	AZC827	Licensed Representative (Provisional Licence)	1, 4, 5
YUEN Silas Tak Shun	AZD612	Licensed Representative (Provisional Licence)	1, 2
ZHANG Fan	AZE638	Licensed Representative (Provisional Licence)	2
ZHANG Kaiyin	AYH563	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Yanyu	AZB678	Licensed Representative (Provisional Licence)	1, 6
ZHANG Yi	AZC702	Licensed Representative (Provisional Licence)	4
ZUERCHER Adrian Frederic	AZF245	Licensed Representative (Provisional Licence)	1, 4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2012**

CE Name	CE No.	Licence Type	Types of Regulated Activity
AKKERMAN John William	AZB956	Licensed Representative (Temporary Licence)	4
BOYERO GARRIDO Carmen	AZD126	Licensed Representative (Temporary Licence)	4
BRISCOE Andrew William Paul	AZD018	Licensed Representative (Temporary Licence)	1
CALICH Claudia	AOY027	Licensed Representative (Temporary Licence)	1
CASAROTTO Renzo Virginio	AVA095	Licensed Representative (Temporary Licence)	4
COX Ashley James	AQS487	Licensed Representative (Temporary Licence)	1
DELMENICO Susan Jane	AQC327	Licensed Representative (Temporary Licence)	1
DONALD John Christopher	AQG468	Licensed Representative (Temporary Licence)	1
FERRAGU Pierre, Charles, Louis, Hubert, Marie	AWJ160	Licensed Representative (Temporary Licence)	4
FERRAGU Pierre, Charles, Louis, Hubert, Marie	AWJ160	Licensed Representative (Temporary Licence)	4
FITZGERALD Jonas Michael Sidlauskas	AVA153	Licensed Representative (Temporary Licence)	1
GROTZINGER Adam W	AVI257	Licensed Representative (Temporary Licence)	1
HARMSTONE-RAKOWSKI Andrew Tadeusz	ARW607	Licensed Representative (Temporary Licence)	1
HEDTKE Nathan Harrington	ARG770	Licensed Representative (Temporary Licence)	1, 2
HENWOOD Julian Peter	AVC858	Licensed Representative (Temporary Licence)	1
JENNINGS James Anthony	AQS489	Licensed Representative (Temporary Licence)	1
KNIGHT Peter Edward	AQS491	Licensed Representative (Temporary Licence)	1
KONO Takaomi	AVL920	Licensed Representative (Temporary Licence)	4
KUNG Julia Fen-Ting	AWQ523	Licensed Representative (Temporary Licence)	1
KUNUGIMOTO Masataka	AXK965	Licensed Representative (Temporary Licence)	4
LEDWARD William Patrick	AZE651	Licensed Representative (Temporary Licence)	1
LEE LI Elizabeth Shu	AUV482	Licensed Representative (Temporary Licence)	1
MARSLAND John Robert	AZE446	Licensed Representative (Temporary Licence)	1
MATSUMOTO Shigeki	AUV159	Licensed Representative (Temporary Licence)	4
MC GRAW Douglas Martin	AZD303	Licensed Representative (Temporary Licence)	1
MEREDITH John Marshall	AZC422	Licensed Representative (Temporary Licence)	1
OTSUKA Wataru	AUS196	Licensed Representative (Temporary Licence)	4
PADHARIA Parikshit	AZE549	Licensed Representative (Temporary Licence)	1
PAIK Matthew Marks	AZE916	Licensed Representative (Temporary Licence)	1
PALMEIRA BARDELLA Rafael	AWU133	Licensed Representative (Temporary Licence)	4
POTTER Ian Henry Franklin	AYF103	Licensed Representative (Temporary Licence)	1
RADCLYFFE Benjamin James	AUD569	Licensed Representative (Temporary Licence)	1
RAW Catherine Philippa	ATR488	Licensed Representative (Temporary Licence)	1, 4
REEVE Stuart Edward	AZB621	Licensed Representative (Temporary Licence)	1, 4
SAKAI Hidetsugu	AWQ788	Licensed Representative (Temporary Licence)	1
SETH Neeraj	AYB919	Licensed Representative (Temporary Licence)	1, 4
SHODA Masafumi	ANE306	Licensed Representative (Temporary Licence)	4
SKURR Paul Thomas	AQS669	Licensed Representative (Temporary Licence)	1
SULSKI John Leonard	AYW196	Licensed Representative (Temporary Licence)	1, 4
SUMMERFIELD David Angus	AEP603	Licensed Representative (Temporary Licence)	1
VERHOFEN Michael	AZB288	Licensed Representative (Temporary Licence)	4
VICTOROS Michael	ARB690	Licensed Representative (Temporary Licence)	4
WENZEL Michael Bruce	APU308	Licensed Representative (Temporary Licence)	1
WILLMOR David	AZD216	Licensed Representative (Temporary Licence)	1, 2
WOOD Catherine Duddy	ATT445	Licensed Representative (Temporary Licence)	4
YAMAGUCHI Keiko	ATW221	Licensed Representative (Temporary Licence)	4
YAMAOKA Hisahiro	AXM565	Licensed Representative (Temporary Licence)	4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2012**

CE Name	CE No.	Licence Type	Types of Regulated Activity
YEOH Charles Seng Khew	AWZ335	Licensed Representative (Temporary Licence)	4
Macquarie Bank Limited	ACR072	Registered Institution	1, 4