

| REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011 | | | |
|---|--------|-------------------------|-----------------------------|
| CE Name | CE No. | Licence Type | Types of Regulated Activity |
| EMP-Daiwa Capital Asia Limited | AQH370 | Licensed Corporation | 4 |
| Pantheon Capital (Asia) Limited | ABM918 | Licensed Corporation | 4 |
| Progress Markets Limited | APG854 | Licensed Corporation | 3 |
| AGRAWAL Ashish | ANT273 | Licensed Representative | 1, 4 |
| ALONSAGAY Maria Liza O. | AGO829 | Licensed Representative | 1 |
| ANUVATNUJOTIKUL Predee | AVP871 | Licensed Representative | 1, 2 |
| ARORA Neha | ATB981 | Licensed Representative | 4 |
| AU-YEUNG Emily Ying Ka | ART701 | Licensed Representative | 1, 6 |
| BAE Hyun Soo | AJE250 | Licensed Representative | 1 |
| BAILEY Aidan Patrick | AFE987 | Licensed Representative | 4, 6, 9 |
| BAKER Skardon Francis | ANS307 | Licensed Representative | 1, 4, 9 |
| BANGA Guneet | AJE844 | Licensed Representative | 1, 6 |
| BARB Jennifer Ann | ABM917 | Licensed Representative | 1 |
| BARNETT Maxine Bernice | AOT373 | Licensed Representative | 1, 2, 7 |
| BUSUTTIL Mark | AUC502 | Licensed Representative | 4 |
| CANVIN Roderick Ewen | AOC928 | Licensed Representative | 1, 2 |
| CHAKRABORTY Sushruta | AVE041 | Licensed Representative | 1, 2, 7 |
| CHAN Bryan Wei-Heung | ARH109 | Licensed Representative | 1, 6 |
| CHAN Chi Ho, Ron | ADR493 | Licensed Representative | 1, 2 |
| CHAN Chi Mun, Winnie | AQH369 | Licensed Representative | 1 |
| CHAN Chun Ho | ARJ504 | Licensed Representative | 1, 2 |
| CHAN Chun Yu | AOC743 | Licensed Representative | 4 |
| CHAN Chung Luen, Sandy | AGM927 | Licensed Representative | 4 |
| CHAN Fuk Lun | ARG180 | Licensed Representative | 1, 6 |
| CHAN Hiu Won | AIC116 | Licensed Representative | 1, 2 |
| CHAN Ho Lam, Thomas | ADX441 | Licensed Representative | 1 |
| CHAN Ho Yuen, Rayson | AJG493 | Licensed Representative | 1, 4 |
| CHAN Hon Wing | AEK016 | Licensed Representative | 1 |
| CHAN Kai Man Kant | AHM525 | Licensed Representative | 1, 4 |
| CHAN Kwok Ming | ACM990 | Licensed Representative | 2, 5, 9 |
| CHAN Kwok Ying, Ivy | AGD882 | Licensed Representative | 1 |
| CHAN Lai Ying, Coco | ANQ390 | Licensed Representative | 1 |
| CHAN Pak Shing | AWJ632 | Licensed Representative | 1 |
| CHAN Pang Tang | AGK821 | Licensed Representative | 1, 2, 3 |

| REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011 | | | |
|---|--------|-------------------------|-----------------------------|
| CE Name | CE No. | Licence Type | Types of Regulated Activity |
| CHAN Sze Hin, Cherry | ALW768 | Licensed Representative | 1, 4 |
| CHAN Sze Yan, Sharona | APV586 | Licensed Representative | 1 |
| CHAN Tak Shing | AMU243 | Licensed Representative | 1, 2 |
| CHAN Tze Man | ARW650 | Licensed Representative | 1, 2, 4, 5, 9 |
| CHAN Wai Ling | ABS687 | Licensed Representative | 1 |
| CHAN Wan Nei, Winnie | APX126 | Licensed Representative | 4 |
| CHAN Wun Fai | ASU229 | Licensed Representative | 1 |
| CHAN Yu Ying, Jacqueline | AQQ981 | Licensed Representative | 1 |
| CHAN Yuen Kiu, Christina | APR630 | Licensed Representative | 1 |
| CHAN Yui Lung | ABR835 | Licensed Representative | 4, 9 |
| CHANG Kit Ling | AGD941 | Licensed Representative | 1 |
| CHANG Koon Kwun, Esther | AKI258 | Licensed Representative | 1 |
| CHANG Teh-Yu | APX138 | Licensed Representative | 1 |
| CHANG Wen-Hsuan | AOZ139 | Licensed Representative | 1 |
| CHAU Chun Wun | AWH522 | Licensed Representative | 1, 2 |
| CHE Ka Wing | APC127 | Licensed Representative | 1 |
| CHEAH Wei Teik | AIR150 | Licensed Representative | 1, 4 |
| CHEN Dewei | ARH278 | Licensed Representative | 1 |
| CHEN Kai Wei | ANU230 | Licensed Representative | 1, 6 |
| CHEN Ken | AOB367 | Licensed Representative | 1 |
| CHEN Lei | AMA927 | Licensed Representative | 1, 4, 6 |
| CHEN Pei Shan | AQM590 | Licensed Representative | 1 |
| CHEN Wei-Chieh | AOO888 | Licensed Representative | 6 |
| CHEN Ying | ARL753 | Licensed Representative | 1, 2 |
| CHEN Yongsheng | ARI074 | Licensed Representative | 6 |
| CHENG Hoi Kwong | AWH509 | Licensed Representative | 1, 2 |
| CHENG Lai Ying | AEU576 | Licensed Representative | 1 |
| CHENG Mo Ching | AFS229 | Licensed Representative | 4 |
| CHENG Won Mon | ARN518 | Licensed Representative | 4, 9 |
| CHENG Ying Ming, Henry | AGO162 | Licensed Representative | 1 |
| CHEUNG Chi Wah | AKO502 | Licensed Representative | 1, 2 |
| CHEUNG Hoi Hang | ANM164 | Licensed Representative | 1, 4 |
| CHEUNG Joanna Wai Sze | ATM610 | Licensed Representative | 1 |
| CHEUNG Kit Man | AOH906 | Licensed Representative | 4, 9 |

| REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011 | | | |
|---|--------|-------------------------|-----------------------------|
| CE Name | CE No. | Licence Type | Types of Regulated Activity |
| CHEUNG Man Wing | ANG320 | Licensed Representative | 1, 4 |
| CHEUNG Po Choi, Sammy | ACH251 | Licensed Representative | 2 |
| CHEUNG Wing Kit | AUJ486 | Licensed Representative | 1 |
| CHIAO Karen K | ARN147 | Licensed Representative | 1, 6 |
| CHIU Chun Hung, Russell | ARK451 | Licensed Representative | 4, 9 |
| CHIU Fung Yee | ASX343 | Licensed Representative | 4 |
| CHIU Tien Min | ALS681 | Licensed Representative | 1 |
| CHONG Lai Yee | ADU203 | Licensed Representative | 1, 4, 6, 9 |
| CHONG Ting Piu | AUO117 | Licensed Representative | 1 |
| CHOO Inchoong | ARJ719 | Licensed Representative | 1, 4 |
| CHOW Ka Yiu, Martin | AUX375 | Licensed Representative | 1, 2 |
| CHOW Siu Ping, Vivian | ADQ811 | Licensed Representative | 1, 4, 9 |
| CHOW Wing Wah | ARN078 | Licensed Representative | 1 |
| CHU Annie Chui Kuen | AEK517 | Licensed Representative | 1 |
| CHU Chuen Sing | AOB435 | Licensed Representative | 1, 4, 6 |
| CHU Derek Chek Bong | ASX472 | Licensed Representative | 1, 6 |
| CHU Kuen Ming | AQE680 | Licensed Representative | 1, 2 |
| CHU Ping Hei Peter | ARE736 | Licensed Representative | 4 |
| CHU Shirley | AQE298 | Licensed Representative | 1 |
| CHUA Jianye | ASY663 | Licensed Representative | 1, 2 |
| CHUI Wai Kit | AQS648 | Licensed Representative | 1 |
| CHUNG Min Jun | ASD323 | Licensed Representative | 4 |
| CHUNG Yin Fong, Idy | AWA163 | Licensed Representative | 1 |
| COTTERMAN Amanda Mary | APO046 | Licensed Representative | 1, 6 |
| DANG Yulei | AJH130 | Licensed Representative | 1, 4, 4 |
| DAUBE Patrick | ALQ179 | Licensed Representative | 1, 2 |
| DE FIGUEIREDO JR Rui Jose | AQH044 | Licensed Representative | 1, 2 |
| DENG Fei | AQR107 | Licensed Representative | 1, 6 |
| DING Shaw-Tzeng | AFE147 | Licensed Representative | 1, 4 |
| ENG CHEUNG Chi Ping, Betty | AAB547 | Licensed Representative | 9 |
| FAN Mei Ki | ATS671 | Licensed Representative | 1 |
| FARMAN Daniel Noel George | ARN747 | Licensed Representative | 1 |
| FOK Stella Bik Yu | AEO643 | Licensed Representative | 1, 4 |
| FOONG Yuk Pei | ALF464 | Licensed Representative | 4, 9 |

| REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011 | | | |
|---|--------|-------------------------|-----------------------------|
| CE Name | CE No. | Licence Type | Types of Regulated Activity |
| FU Franklin | AJI671 | Licensed Representative | 1, 4, 9 |
| FUNG Ching Johnny | AVD850 | Licensed Representative | 1 |
| FUNG Chun Nam | ANU964 | Licensed Representative | 1, 2 |
| FUNG Ka Yee | AUL683 | Licensed Representative | 1, 2, 4, 5 |
| FUNG Man Wai | ASC599 | Licensed Representative | 1, 2 |
| FUNG Wing Tsan | ADQ913 | Licensed Representative | 4, 9 |
| GAW Melvin Wong | AQV695 | Licensed Representative | 1 |
| GE Zhihong | ARB318 | Licensed Representative | 1 |
| GORAWARA Abhinav | AHR316 | Licensed Representative | 1, 2, 4 |
| GUHA ROY Tathagata | ALN138 | Licensed Representative | 4 |
| GUILLEMET Mathieu Joel Jean-Michel | ANN087 | Licensed Representative | 1, 4, 5, 9 |
| HAIG Alistair Peter | AUH935 | Licensed Representative | 4 |
| HAO Zhiwei | APU608 | Licensed Representative | 4 |
| HARLAND Christopher Guy | ASP139 | Licensed Representative | 1, 2 |
| HARTE Nolan Thomas | AQN142 | Licensed Representative | 1, 2 |
| HE Mei | AVJ148 | Licensed Representative | 1, 4 |
| HEFER Axel Peter | ARF692 | Licensed Representative | 4 |
| HO Chi-pin | ANM677 | Licensed Representative | 1 |
| HO Chun Pong | ACB666 | Licensed Representative | 1, 4, 5 |
| HO Hung Chi | ANH008 | Licensed Representative | 4 |
| HO Hung Tai | AQX571 | Licensed Representative | 1 |
| HO King Man, Danny | AFC987 | Licensed Representative | 1 |
| HO Ping Yin | AQF062 | Licensed Representative | 1, 2 |
| HO Wai Man, Phoebe | AMG903 | Licensed Representative | 1, 4 |
| HSU Wen-Tang | ANP629 | Licensed Representative | 1 |
| HU Qingzi | ATM822 | Licensed Representative | 1, 4 |
| HUANG Huei-Chu | AER192 | Licensed Representative | 1, 2, 4, 6, 6 |
| HUANG Tzu-Yi | ASS266 | Licensed Representative | 1 |
| HUANG Yiting | AHZ630 | Licensed Representative | 1, 1, 4, 6 |
| HUI Wai Pong | AMM423 | Licensed Representative | 1, 4 |
| HUNG Siu Hung | AGD861 | Licensed Representative | 1 |
| IM Kai Chuen, Stephen | APC198 | Licensed Representative | 1, 4, 6, 9 |
| IP Chit Yin | AUR651 | Licensed Representative | 4 |
| JIANG Bing-Bing | AOO274 | Licensed Representative | 1, 6 |

| REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011 | | | |
|---|--------|-------------------------|-----------------------------|
| CE Name | CE No. | Licence Type | Types of Regulated Activity |
| JIM Hay Wo | AAF771 | Licensed Representative | 1, 4 |
| JONES Benjamin Isaac | AOJ054 | Licensed Representative | 1 |
| JOO Hui Chan | ADY077 | Licensed Representative | 1, 4, 6 |
| KALB Victoria Stacey | AHT205 | Licensed Representative | 1 |
| KAM Ka Wo | ACT200 | Licensed Representative | 1 |
| KAM On Ni | ARL827 | Licensed Representative | 4 |
| KAM Suk Yu | ALZ293 | Licensed Representative | 1, 4 |
| KAM Wendy Wing Yee | ARJ847 | Licensed Representative | 1, 6 |
| KAT Chit | AQD694 | Licensed Representative | 1, 6 |
| KIANG Yuen Hung | AOT384 | Licensed Representative | 1 |
| KING Kenneth Shing Wei | ARI976 | Licensed Representative | 1, 6 |
| KO Bo Yee | AVU832 | Licensed Representative | 1 |
| KONG Wai Yin Chris | AVK511 | Licensed Representative | 1 |
| KOO Chee Hang, Timothy | AQQ485 | Licensed Representative | 1, 6 |
| KOO Fong Leuk | AFQ044 | Licensed Representative | 1, 4, 9 |
| KUNG Hin Shun | AUL624 | Licensed Representative | 1 |
| KWOK Ho Chuen | ARE683 | Licensed Representative | 4 |
| KWOK Wai Kit, George | ATJ061 | Licensed Representative | 1, 2 |
| LA RUE Robert Scott | AUW237 | Licensed Representative | 6 |
| LAI Billy Yee Piu | AMV035 | Licensed Representative | 1, 2, 2, 4 |
| LAI Lai Shuen | AMF491 | Licensed Representative | 1, 2 |
| LAI Ming Wai | ANU954 | Licensed Representative | 1, 4 |
| LAI Tsz Yang | ALZ815 | Licensed Representative | 1 |
| LAI Wayne | AVW626 | Licensed Representative | 1 |
| LAI Wing Chi, Juliana | ARE549 | Licensed Representative | 1, 4, 9 |
| LAM Chi Kin | AOO549 | Licensed Representative | 9 |
| LAM Chin Ting, Wilfred | AEP641 | Licensed Representative | 1 |
| LAM Chuk Kwan | APQ786 | Licensed Representative | 1, 2, 3 |
| LAM Kar Kit, Jason | AMI295 | Licensed Representative | 1, 6 |
| LAM Kin Ying | AMG971 | Licensed Representative | 1, 4 |
| LAM Lung Kwan | AAE542 | Licensed Representative | 1 |
| LAM Man Kwong | AGN728 | Licensed Representative | 1, 4, 6 |
| LAM Patrick Hoyin | ARQ987 | Licensed Representative | 1, 2 |
| LAM Sau Chu | AQO332 | Licensed Representative | 1 |

| REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011 | | | |
|---|--------|-------------------------|-----------------------------|
| CE Name | CE No. | Licence Type | Types of Regulated Activity |
| LANSON Marc Clement | AOH368 | Licensed Representative | 1, 2, 7 |
| LAU Cheuk Ting, Anson | ATI128 | Licensed Representative | 1, 4 |
| LAU Ho Yan | ARG595 | Licensed Representative | 1, 4 |
| LAU Hoi Lam | APA026 | Licensed Representative | 4 |
| LAU Joseph | AIR663 | Licensed Representative | 4 |
| LAU Ka Man | APC145 | Licensed Representative | 4, 9, 9 |
| LAU Ming Chak | AMI060 | Licensed Representative | 4, 4, 9 |
| LAU Pui Shan | AOJ776 | Licensed Representative | 1 |
| LAU Pui Yan | ATR491 | Licensed Representative | 1, 2 |
| LAU Sai Kit Dennis | AUV774 | Licensed Representative | 1 |
| LAU Tse Fung | AAJ835 | Licensed Representative | 5, 9 |
| LAU Yat Ying, Karen | ASS218 | Licensed Representative | 1, 4 |
| LAW Giggs | AGA256 | Licensed Representative | 2 |
| LAW Kam Yin | ABW450 | Licensed Representative | 1 |
| LAY Lih Huei | APR445 | Licensed Representative | 4 |
| LEE Andrew Chun Ning | AWA649 | Licensed Representative | 1 |
| LEE Chi Tong | ARG572 | Licensed Representative | 1 |
| LEE Christopher Sung | AJE525 | Licensed Representative | 1, 2, 4, 5, 7 |
| LEE Dong Hyun | AGM390 | Licensed Representative | 4 |
| LEE Gay Tune, Christen | AVH059 | Licensed Representative | 1 |
| LEE Ho-kei, Brian | ALW498 | Licensed Representative | 1, 2 |
| LEE Kar Wai | AVH274 | Licensed Representative | 1, 2 |
| LEE Lai Ping | AVI433 | Licensed Representative | 1, 4, 7 |
| LEE Lip Keong, Ernest | AKO238 | Licensed Representative | 1, 4, 6 |
| LEE Ming Yan, Amy | AMH059 | Licensed Representative | 1 |
| LEE Ping Kai | AMQ589 | Licensed Representative | 4, 9 |
| LEE Ping Kwai | AAC031 | Licensed Representative | 1 |
| LEE Tsz Hin | ASI165 | Licensed Representative | 4 |
| LEE Yat Wah, Eldon | AJC145 | Licensed Representative | 1, 1, 4 |
| LEE Yick Chung | AEE525 | Licensed Representative | 1, 2 |
| LEE Yiu San | ATT577 | Licensed Representative | 1 |
| LEE Yuen Wai | AGP397 | Licensed Representative | 1 |
| LEE Yuk Ching, Angie | ALU389 | Licensed Representative | 1, 4, 4 |
| LEUNG Ann Kam Kee | ANT740 | Licensed Representative | 1, 2 |

| REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011 | | | |
|---|--------|-------------------------|-----------------------------|
| CE Name | CE No. | Licence Type | Types of Regulated Activity |
| LEUNG Chor Wun | APF012 | Licensed Representative | 1, 3, 4 |
| LEUNG Churk Yin, Jeanny | ACA326 | Licensed Representative | 1, 4, 6, 9 |
| LEUNG Ho Yin | ATY751 | Licensed Representative | 2 |
| LEUNG Man Hang | ARN368 | Licensed Representative | 4 |
| LEUNG Po Kwong | AGP255 | Licensed Representative | 1 |
| LEUNG Wai Ming | AUR822 | Licensed Representative | 1, 2 |
| LEUNG Yick Mo | AML630 | Licensed Representative | 1 |
| LI Hiu Kam | AFA638 | Licensed Representative | 1 |
| LI Longzeng | ARI309 | Licensed Representative | 2 |
| LI Siu Yin, Nona | AFZ220 | Licensed Representative | 1 |
| LI Xiaoting | AVS858 | Licensed Representative | 1, 6 |
| LI Xue | APL234 | Licensed Representative | 4 |
| LI Ying | ATM474 | Licensed Representative | 1, 2 |
| LIANG Guanhao | AQY707 | Licensed Representative | 1, 6 |
| LIN Ning | ASF476 | Licensed Representative | 1, 2 |
| LIN Yang-ming | ANQ718 | Licensed Representative | 1 |
| LIN Yong-Cher | AMP196 | Licensed Representative | 1 |
| LIU Liang | APH876 | Licensed Representative | 1 |
| LIU Shuk Hin | AUW342 | Licensed Representative | 1, 2 |
| LIU Sung Yip, Kenny | AAH051 | Licensed Representative | 2, 5, 9 |
| LIU Tian | AWJ079 | Licensed Representative | 4 |
| LIU Wenqing | AOK728 | Licensed Representative | 1 |
| LIU Yihao | AFT482 | Licensed Representative | 1, 4, 6 |
| LO Alexander Chun Him | AST189 | Licensed Representative | 1, 6 |
| LO Siu Fung, Lisa | AFZ300 | Licensed Representative | 1 |
| LO Yat Wai, Heidi | AET464 | Licensed Representative | 4 |
| LO Yuen Harn, Amelia | AEV471 | Licensed Representative | 1 |
| LU Chan Ching Lina | ARB611 | Licensed Representative | 1, 2 |
| LUI Siu Man | AVD314 | Licensed Representative | 1 |
| MA On Ying | ARH587 | Licensed Representative | 1, 2 |
| MADAN Gaurav | ARN145 | Licensed Representative | 1, 6 |
| MAH Ching Cheng | AOS022 | Licensed Representative | 4 |
| MAK Chun Fai | ACK149 | Licensed Representative | 3 |
| MAK Ka Lam, Louisa | AAB991 | Licensed Representative | 1, 2, 3 |

| REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011 | | | |
|---|--------|-------------------------|-----------------------------|
| CE Name | CE No. | Licence Type | Types of Regulated Activity |
| MANCINI Mark Francis | AVA348 | Licensed Representative | 1, 2 |
| MAO Yifeng | AMT627 | Licensed Representative | 9 |
| MASON Jordan Thomas | AQU403 | Licensed Representative | 1, 9 |
| MC GOVERN William Francis | ASN835 | Licensed Representative | 1 |
| MIJNE Rene Arian | AJE886 | Licensed Representative | 1, 6 |
| MOK Sze Wai | ASS432 | Licensed Representative | 1, 6 |
| MONOT Cosme Hubert Roger Pierre | AVF688 | Licensed Representative | 1, 7 |
| MONTEUX Oriane Gabrielle Anne | AUI993 | Licensed Representative | 1, 2, 7 |
| NAKADA Akira | ARL729 | Licensed Representative | 1, 2 |
| NAYAR Rajiv | ANT750 | Licensed Representative | 1, 6 |
| NG Cheuk Fung, Alex | ARO475 | Licensed Representative | 1, 4 |
| NG Heidi Ching Shan | AFS235 | Licensed Representative | 4 |
| NG Kwok Shing, Peter | AAG207 | Licensed Representative | 1 |
| NG Pak Hei | AQU725 | Licensed Representative | 1, 2, 4 |
| NG Po Ping, Polly | AGP045 | Licensed Representative | 1 |
| NG Sau Fan | AOP004 | Licensed Representative | 1 |
| NG Shun, Shara | AUM730 | Licensed Representative | 1, 4 |
| NG Yuen Ling | AUE236 | Licensed Representative | 4, 9 |
| NG Yun King | AFK660 | Licensed Representative | 1 |
| NIU Wang-Gu | AGM559 | Licensed Representative | 1, 4 |
| OKA Mizuho | AQZ650 | Licensed Representative | 1 |
| OR Jane Carol Ng | ATN704 | Licensed Representative | 1 |
| OR Yi Fung | AEP668 | Licensed Representative | 1 |
| PEI Xi | ANF264 | Licensed Representative | 1, 1, 4, 6 |
| PENG Gang | AGN636 | Licensed Representative | 1 |
| PENG Ying-Hui | ANY357 | Licensed Representative | 1, 6 |
| POLGE DE COMBRET Marguerite Prune Coralie | AUV736 | Licensed Representative | 1, 6 |
| QIAN Keyang | AVY845 | Licensed Representative | 1, 2 |
| RACHIDY Kamal | ARS441 | Licensed Representative | 1, 2 |
| RAMANUJAM Anagha | ASY644 | Licensed Representative | 1, 4, 6 |
| RENGANATHAN Rajaram | APB676 | Licensed Representative | 1, 2 |
| RICHARD Yves Mathieu Nicolas | ATO220 | Licensed Representative | 1 |
| ROBINSON Martin Eric | AOO232 | Licensed Representative | 1, 6 |
| ROEDER Sabine Monika | ATN822 | Licensed Representative | 1, 6 |

| REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011 | | | |
|---|--------|-------------------------|-----------------------------|
| CE Name | CE No. | Licence Type | Types of Regulated Activity |
| SANO Nobuhiro | ADQ334 | Licensed Representative | 1, 2, 4, 6 |
| SE-TOA Chi Wah | AOA923 | Licensed Representative | 1 |
| SESHAN Nikhil Harish | APK588 | Licensed Representative | 1, 2 |
| SHAM Shun Fong | AGP281 | Licensed Representative | 1 |
| SHEN Zheqing | ANQ750 | Licensed Representative | 1, 6 |
| SHI Ran | AVC359 | Licensed Representative | 1, 4 |
| SHUEN Wesley | AUL626 | Licensed Representative | 1, 2, 3 |
| SIU Cheong Mau, Steven | AEW238 | Licensed Representative | 1 |
| SO Daisy Marjorie | AMY229 | Licensed Representative | 1 |
| SO Wing Kei | ARH983 | Licensed Representative | 1, 2, 5 |
| SOLIHIN Ahmad | AML241 | Licensed Representative | 4 |
| SUGIMOTO Chiaki | AUD991 | Licensed Representative | 3 |
| SUN Kwok Yee | AGO267 | Licensed Representative | 1 |
| SUN Pui Yin, James | ALS358 | Licensed Representative | 1, 2, 7 |
| SUPANGAT Herman | AQF073 | Licensed Representative | 1, 4 |
| SUZUKI Hiroyoshi | AAY817 | Licensed Representative | 1, 4 |
| TAM Chiu Yung | ALF748 | Licensed Representative | 1 |
| TAM King Fung | AJR217 | Licensed Representative | 1, 4 |
| TAM Man Chuen, Peter | ABS814 | Licensed Representative | 1 |
| TAM Yeuk Lan, Winnie Cordelia | AEO360 | Licensed Representative | 1, 2 |
| TAN Boon Cheong Alan Sebastian | AFR033 | Licensed Representative | 1, 6 |
| TAN Cheng Soon | ALU098 | Licensed Representative | 1, 4, 9 |
| TAN Veng Yen | ARE224 | Licensed Representative | 1, 6 |
| TAN Wan Yuan | AMK263 | Licensed Representative | 1, 2 |
| TANG Chung Kwan | ADV243 | Licensed Representative | 1, 2 |
| TEE Charles Nicholas | AVZ559 | Licensed Representative | 1 |
| THANAPISITIKUL Wirathip | ARP193 | Licensed Representative | 1 |
| TIN Chi Hou, Sherman | ATM046 | Licensed Representative | 1 |
| TOLEDANO Baruk | AUJ510 | Licensed Representative | 1 |
| TONG Yau Keung | ALQ693 | Licensed Representative | 4 |
| TOONG Po Ming, David | AOV609 | Licensed Representative | 1, 4 |
| TSANG Kit Sun | AMD103 | Licensed Representative | 3 |
| TSUI Sim Man | AGO619 | Licensed Representative | 1 |
| TU Shunde | APF221 | Licensed Representative | 1, 6 |

| REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011 | | | |
|---|--------|-------------------------|-----------------------------|
| CE Name | CE No. | Licence Type | Types of Regulated Activity |
| UCHIDA Kikuko | AUG749 | Licensed Representative | 1 |
| VALADAO Mark Paul | ALZ929 | Licensed Representative | 1, 1 |
| VANDEVILLE Betty Yvonne Gusta Mathilde | ATS636 | Licensed Representative | 1, 4 |
| VARGHESE Dennis George | ATY739 | Licensed Representative | 1 |
| WAI Pak To, Plato | ALW734 | Licensed Representative | 1 |
| WAN Kit Ying, Rita | AFI613 | Licensed Representative | 1 |
| WAN Po Yi | ANE694 | Licensed Representative | 1 |
| WAN Siu Yin | ANN092 | Licensed Representative | 1 |
| WANG Pingping | AQI580 | Licensed Representative | 6 |
| WANG Song | APL470 | Licensed Representative | 1, 6 |
| WANG Tianshi | ASD063 | Licensed Representative | 1 |
| WANG Xiaojie | ARB168 | Licensed Representative | 1, 4 |
| WATANABE Atsushi | ARL703 | Licensed Representative | 1 |
| WATTS Andrew Radcliffe | APO012 | Licensed Representative | 1, 2 |
| WEE Yee Yeong | AJM734 | Licensed Representative | 1 |
| WEN Xiao Jie | AQH518 | Licensed Representative | 1, 2, 4 |
| WON Sang Hoon | AOB339 | Licensed Representative | 1 |
| WONG Brian Shek Kae | AQF551 | Licensed Representative | 9 |
| WONG Chi Kan, Dominic | ACE461 | Licensed Representative | 1 |
| WONG Cho Yi, Joey | APF338 | Licensed Representative | 1, 6 |
| WONG Chow Wah | ABP448 | Licensed Representative | 1, 2, 2, 2, 7 |
| WONG Chui Chi | AGQ113 | Licensed Representative | 4, 9 |
| WONG Kar Hong | AAW118 | Licensed Representative | 4, 5, 9 |
| WONG Kit Yu, Christine | AFO703 | Licensed Representative | 4 |
| WONG Kwan Shun | APG476 | Licensed Representative | 1, 2 |
| WONG Kwok Fun | ARN982 | Licensed Representative | 4 |
| WONG Long Ki | AMJ900 | Licensed Representative | 1 |
| WONG Ngar Yee Frances | ATL621 | Licensed Representative | 1, 2, 9 |
| WONG Siu Yan | ANH125 | Licensed Representative | 1 |
| WONG Suet Kwan, Cheri | AAF874 | Licensed Representative | 4, 9 |
| WONG Tim Fung | AVD105 | Licensed Representative | 1 |
| WONG Tin Wai, Raymond | AMZ920 | Licensed Representative | 1, 2, 4, 7 |
| WONG Wan Nei, Winny | ART301 | Licensed Representative | 1, 4 |
| WONG Yim Yim, Linda | APW757 | Licensed Representative | 1, 2 |

| REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011 | | | |
|---|--------|-------------------------|-----------------------------|
| CE Name | CE No. | Licence Type | Types of Regulated Activity |
| WOO Man Yip, Justin | AFZ875 | Licensed Representative | 1, 2, 7 |
| WOOD Simon Nicholas Lionel | AGJ116 | Licensed Representative | 1, 6 |
| WU Renhao | APV536 | Licensed Representative | 9 |
| WU Wallace Max | ASC567 | Licensed Representative | 1, 4, 9 |
| XIAO Kai | AVM758 | Licensed Representative | 1 |
| XIAO Liang | ATO707 | Licensed Representative | 1 |
| XU Xiao | ATL670 | Licensed Representative | 1, 4 |
| YANG Guang Lei | AQU344 | Licensed Representative | 4, 9 |
| YANG Jim Qing | ARH111 | Licensed Representative | 1, 6 |
| YANG Justin James | AGJ009 | Licensed Representative | 1, 2 |
| YAO Danhui | AHL120 | Licensed Representative | 4 |
| YAU CHI YUNG | ASP242 | Licensed Representative | 1 |
| YAU Cheuk Wai, Michael | AJI660 | Licensed Representative | 4 |
| YAU Chi Lok | AGP405 | Licensed Representative | 1, 4 |
| YAU Tak Chi | AUP712 | Licensed Representative | 1 |
| YE Jin | ATJ155 | Licensed Representative | 9 |
| YEH Chia-wen | AQW770 | Licensed Representative | 4 |
| YEH Megan Doreen C Y | AVG762 | Licensed Representative | 1 |
| YEH Shan-Ju | APH120 | Licensed Representative | 1, 9 |
| YEO Pei Hse | AFW119 | Licensed Representative | 1, 6 |
| YEUN Sin Yee | AEY678 | Licensed Representative | 1 |
| YEUNG Chi Ho | APQ274 | Licensed Representative | 1 |
| YEUNG Fan | ANB787 | Licensed Representative | 4 |
| YEUNG Wing Mui | AER308 | Licensed Representative | 1 |
| YIM Lai Sum | ARS319 | Licensed Representative | 1, 4 |
| YIP Chi Wing | ADI536 | Licensed Representative | 1, 2 |
| YIP Chui Man, Scarlett | ASL639 | Licensed Representative | 3 |
| YIP Hiu Fai | ARH269 | Licensed Representative | 1, 4, 9 |
| YIP Kim Fung | APL476 | Licensed Representative | 1 |
| YIU Shu Ching | ARB775 | Licensed Representative | 4 |
| YIU Wai Kim Lydia | ATO857 | Licensed Representative | 1, 4 |
| YOUNG Harvey Wade | AUJ768 | Licensed Representative | 1, 2, 7 |
| YU Hiu Tung | ASF031 | Licensed Representative | 1, 4 |
| YU Ken Min | ATH407 | Licensed Representative | 1 |

| REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011 | | | |
|---|--------|---|-----------------------------|
| CE Name | CE No. | Licence Type | Types of Regulated Activity |
| YU Man Tung | AWH559 | Licensed Representative | 1, 2 |
| YU Min | AQW376 | Licensed Representative | 1, 6 |
| YU Sin Cynthia | AQH521 | Licensed Representative | 4 |
| YU Yuan Tsung | ARS797 | Licensed Representative | 1, 2 |
| YUAN Hao | AUD136 | Licensed Representative | 1, 6 |
| YUEN Chun Hei | AVK514 | Licensed Representative | 1 |
| ZENG Zhijie | AVG595 | Licensed Representative | 1, 4, 9 |
| ZHAO Xiao Le | AGC972 | Licensed Representative | 1 |
| ZHENG Lili | ATN367 | Licensed Representative | 1, 4 |
| ZHOU Jingjing | ARN345 | Licensed Representative | 1, 6 |
| ZHU Shirong | AVI743 | Licensed Representative | 6 |
| ZOU Feng | ART439 | Licensed Representative | 1, 2 |
| ZOU Qinghong | AUI949 | Licensed Representative | 4, 9 |
| ANG Michelle Suan Choo | AJX937 | Licensed Representative - Responsible Officer | 1, 4 |
| BANNON Deborah Mary | AIK991 | Licensed Representative - Responsible Officer | 1, 4 |
| BERG Paul Nicholas Louis | AJB792 | Licensed Representative - Responsible Officer | 4, 9 |
| BETTSCHEIDER Patrick Rainer | AQD715 | Licensed Representative - Responsible Officer | 1, 4 |
| CHAM Ngan Kuen | ABD584 | Licensed Representative - Responsible Officer | 4 |
| CHAM Wing Kam | AGO833 | Licensed Representative - Responsible Officer | 4 |
| CHAN Sze Wah, Iris | AED736 | Licensed Representative - Responsible Officer | 4 |
| CHEAH Wei Teik | AIR150 | Licensed Representative - Responsible Officer | 9 |
| CHEN Ken | AOB367 | Licensed Representative - Responsible Officer | 6 |
| CHENG Po Chuen | ADZ025 | Licensed Representative - Responsible Officer | 1, 6 |
| FERGUSON Mark Andrew | AUN426 | Licensed Representative - Responsible Officer | 4, 9 |
| HSIU Chia-Huei | APJ108 | Licensed Representative - Responsible Officer | 3 |
| HSU Chih-Heng | ALF614 | Licensed Representative - Responsible Officer | 1, 4 |
| KAN Kou Ming | AIC203 | Licensed Representative - Responsible Officer | 1, 4 |
| KELLER Jean | AAJ278 | Licensed Representative - Responsible Officer | 4, 9 |
| KISBER Michael Edward | AQO243 | Licensed Representative - Responsible Officer | 1 |
| LAM Cho Ying, Terence Joe | ABW710 | Licensed Representative - Responsible Officer | 1, 4 |
| LEE Kai Yiu, Benjamin | AAD204 | Licensed Representative - Responsible Officer | 4, 9 |
| LI Kam Ching, Derick | ADH908 | Licensed Representative - Responsible Officer | 1, 4 |
| LI Zemin | ACN988 | Licensed Representative - Responsible Officer | 9 |
| MAYANI Rajesh Arvind | AST098 | Licensed Representative - Responsible Officer | 1 |

| REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011 | | | |
|---|--------|---|-----------------------------|
| CE Name | CE No. | Licence Type | Types of Regulated Activity |
| NAM Yea Hyun | ADD073 | Licensed Representative - Responsible Officer | 1, 4, 6, 9 |
| PERRY Michael Cameron | AQL994 | Licensed Representative - Responsible Officer | 9 |
| ROYDS Thomas Alexander | AFY848 | Licensed Representative - Responsible Officer | 4, 9 |
| SUM Nai Bun, Stephen | ACP406 | Licensed Representative - Responsible Officer | 4, 9 |
| SUN Timothy | ADQ628 | Licensed Representative - Responsible Officer | 4 |
| SZE Tak Chee | AFD871 | Licensed Representative - Responsible Officer | 1, 4 |
| TAM May Har | ACP414 | Licensed Representative - Responsible Officer | 1 |
| TAN Cheng Soon | ALU098 | Licensed Representative - Responsible Officer | 5 |
| TO Tsz Wai | AFU687 | Licensed Representative - Responsible Officer | 4, 5, 9 |
| TSAY Shu-Tzu | ANL892 | Licensed Representative - Responsible Officer | 1, 4 |
| VALADAO Mark Paul | ALZ929 | Licensed Representative - Responsible Officer | 4, 9 |
| WAXLEY Simon Andrew | ALL217 | Licensed Representative - Responsible Officer | 4, 9 |
| YEUNG Hoi Tin | ALN233 | Licensed Representative - Responsible Officer | 1, 4 |
| YEUNG Kai Ho, Henry | AEZ712 | Licensed Representative - Responsible Officer | 6 |
| ABITBOL Othoniel | AWE966 | Licensed Representative (Provisional Licence) | 1, 2, 7 |
| AGARI Daisuke | AWH021 | Licensed Representative (Provisional Licence) | 1 |
| AHUJA Varun | AWK895 | Licensed Representative (Provisional Licence) | 4 |
| ARCULLI Kim Melanie | AAA119 | Licensed Representative (Provisional Licence) | 1, 4 |
| AU-YEUNG Tin Lung, Tino | AVB806 | Licensed Representative (Provisional Licence) | 1, 2 |
| AWANOHARA Naoko | AWE541 | Licensed Representative (Provisional Licence) | 1 |
| BANNO Kohei | AWL102 | Licensed Representative (Provisional Licence) | 1 |
| BRUNJES Mark Nicholas | AVJ281 | Licensed Representative (Provisional Licence) | 1 |
| CESAR Joao Paulo Cardoso Monteclaro | AWK004 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| CHABANCE Fabrice Joseph | AWM847 | Licensed Representative (Provisional Licence) | 1, 2, 7 |
| CHAN Che Hong, Bruce | AKQ590 | Licensed Representative (Provisional Licence) | 1 |
| CHAN Ching Sum Sam | AWO231 | Licensed Representative (Provisional Licence) | 6 |
| CHAN Fung Yee | AWN383 | Licensed Representative (Provisional Licence) | 1 |
| CHAN Hing Hung | AWJ215 | Licensed Representative (Provisional Licence) | 2 |
| CHAN Jeffrey Thomas | AWK379 | Licensed Representative (Provisional Licence) | 9 |
| CHAN Ka Cheong | AWL330 | Licensed Representative (Provisional Licence) | 1 |
| CHAN Kang Ming | AWN391 | Licensed Representative (Provisional Licence) | 1 |
| CHAN King Sum Kelvin | AWK776 | Licensed Representative (Provisional Licence) | 1 |
| CHAN Kwan Yeung, Arnold | AWN910 | Licensed Representative (Provisional Licence) | 1, 9 |
| CHAN Lee Keung | AFO341 | Licensed Representative (Provisional Licence) | 1, 4 |

| REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011 | | | |
|---|--------|---|-----------------------------|
| CE Name | CE No. | Licence Type | Types of Regulated Activity |
| CHAN Pak Shing | AWJ632 | Licensed Representative (Provisional Licence) | 1 |
| CHAN Siu Lung | AWL331 | Licensed Representative (Provisional Licence) | 1 |
| CHAN Tat Lai | AWN496 | Licensed Representative (Provisional Licence) | 1, 4 |
| CHAN Timothy Tsz Kit | AWM787 | Licensed Representative (Provisional Licence) | 1, 2 |
| CHAN Wah Lee | AWJ776 | Licensed Representative (Provisional Licence) | 1 |
| CHAN Wai Keung | AWN095 | Licensed Representative (Provisional Licence) | 1 |
| CHAN Wai Sing | AWJ805 | Licensed Representative (Provisional Licence) | 2 |
| CHAN Wing Hei | AWL048 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| CHAN Xin Yi, Cheryl Dawn | AWL069 | Licensed Representative (Provisional Licence) | 1, 4 |
| CHAN Yin Chun | AWN395 | Licensed Representative (Provisional Licence) | 1 |
| CHAU Kit Ling | AWL990 | Licensed Representative (Provisional Licence) | 1 |
| CHEN Jiachun | AWE776 | Licensed Representative (Provisional Licence) | 1 |
| CHEN Liang | AWM930 | Licensed Representative (Provisional Licence) | 1, 2 |
| CHEN Szu-Wei | AWO626 | Licensed Representative (Provisional Licence) | 4 |
| CHEN Xinrui | AWL318 | Licensed Representative (Provisional Licence) | 2 |
| CHEN Yanhu | AWJ173 | Licensed Representative (Provisional Licence) | 1, 6 |
| CHEN Yikai | AWL918 | Licensed Representative (Provisional Licence) | 1, 2 |
| CHENG Chor Sing | AGT891 | Licensed Representative (Provisional Licence) | 1 |
| CHENG Kwok Fun | ANZ648 | Licensed Representative (Provisional Licence) | 9 |
| CHEUNG Chi Wing, Cherry | AWO028 | Licensed Representative (Provisional Licence) | 1, 4 |
| CHEUNG Homond | AWK002 | Licensed Representative (Provisional Licence) | 1, 2, 7 |
| CHEUNG Ka Shing | AWN498 | Licensed Representative (Provisional Licence) | 1, 2 |
| CHEUNG Kam Wai | AWL269 | Licensed Representative (Provisional Licence) | 1 |
| CHEUNG Lai Sim | AWK929 | Licensed Representative (Provisional Licence) | 4 |
| CHEUNG Man | AWL487 | Licensed Representative (Provisional Licence) | 1 |
| CHEUNG Sze Fan | ADI822 | Licensed Representative (Provisional Licence) | 4, 9 |
| CHEUNG Tung Mui | AWK172 | Licensed Representative (Provisional Licence) | 1 |
| CHHAOCHHARIA Gautam | AWK911 | Licensed Representative (Provisional Licence) | 4 |
| CHILVERS Craig Aaron Shane | AWL056 | Licensed Representative (Provisional Licence) | 9 |
| CHIU Doris | AWK467 | Licensed Representative (Provisional Licence) | 1, 2 |
| CHIU Shui Kei | AOH254 | Licensed Representative (Provisional Licence) | 1, 2 |
| CHOI Bo Sung | AWK857 | Licensed Representative (Provisional Licence) | 1, 4 |
| CHOI Wai Chiu | AWP269 | Licensed Representative (Provisional Licence) | 1, 2 |
| CHOI Yuk Ting | AWO224 | Licensed Representative (Provisional Licence) | 1 |

| REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011 | | | |
|---|--------|---|-----------------------------|
| CE Name | CE No. | Licence Type | Types of Regulated Activity |
| CHONG Chi Kin | AWD496 | Licensed Representative (Provisional Licence) | 1, 2, 3 |
| CHONG Ming Hang | AWJ006 | Licensed Representative (Provisional Licence) | 4 |
| CHOW Oscar | AWP108 | Licensed Representative (Provisional Licence) | 4 |
| CHOW Wing Suen Amy | AWO954 | Licensed Representative (Provisional Licence) | 1, 6 |
| CHU LAI SAN, TERESA | AWN382 | Licensed Representative (Provisional Licence) | 1 |
| CHU Lei Mei | AWJ929 | Licensed Representative (Provisional Licence) | 1, 4 |
| CHUI Siu Ying | AED603 | Licensed Representative (Provisional Licence) | 1 |
| CHUNG Kim Nga | AWT061 | Licensed Representative (Provisional Licence) | 1 |
| CHUNG Shun Ho | AVM902 | Licensed Representative (Provisional Licence) | 1, 2 |
| CHUNG Soon Hyung | AWJ606 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| CHUNG Tsun Stephen | AWN749 | Licensed Representative (Provisional Licence) | 1 |
| COOMBS Jonathan Lee | ATE957 | Licensed Representative (Provisional Licence) | 1 |
| DAI Chun Yin | AWM158 | Licensed Representative (Provisional Licence) | 1 |
| DESMADRYL Xavier Alain Jacques | AWK991 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| DING Shuang | AWO081 | Licensed Representative (Provisional Licence) | 4 |
| DING Zhiyuan | ASK507 | Licensed Representative (Provisional Licence) | 4 |
| DONG Qing | AWL635 | Licensed Representative (Provisional Licence) | 9 |
| DULIEU Billy Ronald | AWM143 | Licensed Representative (Provisional Licence) | 2 |
| DWIVEDI Manas | AWK555 | Licensed Representative (Provisional Licence) | 1, 4 |
| EDER Stephan | AVJ088 | Licensed Representative (Provisional Licence) | 1, 2 |
| EL KHOURY Jean | AWO016 | Licensed Representative (Provisional Licence) | 1, 2 |
| EZZIANE Nadjib | AWJ626 | Licensed Representative (Provisional Licence) | 1 |
| FAN Chunlin | AGX677 | Licensed Representative (Provisional Licence) | 6 |
| FOK Yui Kwong | AWK438 | Licensed Representative (Provisional Licence) | 1 |
| FONG Chan Wah, Simon | AVV978 | Licensed Representative (Provisional Licence) | 1 |
| FRANCK Graeme Frederick | AVL397 | Licensed Representative (Provisional Licence) | 1 |
| FU Ka Chun | AWJ844 | Licensed Representative (Provisional Licence) | 1 |
| FUKUSHI Yutaka | AWN205 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| FUN Sze Wai, Silvia | AWK593 | Licensed Representative (Provisional Licence) | 4 |
| FUNG King Bun, Gary | AWK826 | Licensed Representative (Provisional Licence) | 4, 9 |
| FUNG Ling | AWM805 | Licensed Representative (Provisional Licence) | 1 |
| FUNG Shing Wai | AWJ859 | Licensed Representative (Provisional Licence) | 1, 2 |
| FUNG Siu Lung, Ivan | AWK740 | Licensed Representative (Provisional Licence) | 2 |
| GAGNON David | AWL439 | Licensed Representative (Provisional Licence) | 1, 4, 9 |

| REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011 | | | |
|---|--------|---|-----------------------------|
| CE Name | CE No. | Licence Type | Types of Regulated Activity |
| GALLAGHER Richard William Leslie | AOH529 | Licensed Representative (Provisional Licence) | 1 |
| GAO Lina | AWK250 | Licensed Representative (Provisional Licence) | 1, 2 |
| GAO Meng | AWK830 | Licensed Representative (Provisional Licence) | 4, 9 |
| GENG Tingting | AWL497 | Licensed Representative (Provisional Licence) | 1, 2, 7 |
| GERRARD Paul Anthony | AWN386 | Licensed Representative (Provisional Licence) | 1 |
| GUO Yun | AWD211 | Licensed Representative (Provisional Licence) | 6 |
| HASWANI Bhavna | AWO035 | Licensed Representative (Provisional Licence) | 1 |
| HE Xie | AWL630 | Licensed Representative (Provisional Licence) | 4 |
| HO Chun To | AWK554 | Licensed Representative (Provisional Licence) | 1, 4 |
| HO Kin Man | AWG976 | Licensed Representative (Provisional Licence) | 1 |
| HONG Maria Myung Sun | AWK331 | Licensed Representative (Provisional Licence) | 1, 4 |
| HSU Yu Chun | AWK457 | Licensed Representative (Provisional Licence) | 4, 9 |
| HU Bin | AWJ017 | Licensed Representative (Provisional Licence) | 1, 4 |
| HU Yi Na | AWM092 | Licensed Representative (Provisional Licence) | 4, 9 |
| HUANG Chi Chen | AWL536 | Licensed Representative (Provisional Licence) | 1, 2 |
| HUANG Wen Yau | AWL066 | Licensed Representative (Provisional Licence) | 4 |
| HUANG Yingying | AWK778 | Licensed Representative (Provisional Licence) | 1, 6 |
| HUI Wing Chun, Eric | AWP473 | Licensed Representative (Provisional Licence) | 1, 6 |
| HUI Yee Ting | AWL151 | Licensed Representative (Provisional Licence) | 1 |
| HWANG Su-u Jim | AWN207 | Licensed Representative (Provisional Licence) | 4, 9 |
| IBUKI Jumpei | AWO623 | Licensed Representative (Provisional Licence) | 9 |
| JAISINGH Rohit | AIZ536 | Licensed Representative (Provisional Licence) | 1 |
| JIANG Sheng | AWN098 | Licensed Representative (Provisional Licence) | 4 |
| JIANG Wei | AWS208 | Licensed Representative (Provisional Licence) | 1, 4 |
| JIM Wai Chun | AWN336 | Licensed Representative (Provisional Licence) | 1 |
| JONES William Rhys | AWI933 | Licensed Representative (Provisional Licence) | 1, 2 |
| KALE Shrikant Baburao | AWL660 | Licensed Representative (Provisional Licence) | 4 |
| KAM MEI YEE | AWN389 | Licensed Representative (Provisional Licence) | 1 |
| KANNANGARA Chamath | AWO752 | Licensed Representative (Provisional Licence) | 1, 2 |
| KANZAKI Shimpei | AWN525 | Licensed Representative (Provisional Licence) | 1, 4 |
| KASDIN Matthew Hedley | AWJ133 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| KIM Hee Kwon | AWL172 | Licensed Representative (Provisional Licence) | 1, 4 |
| KIRKBY Matthew | ADY177 | Licensed Representative (Provisional Licence) | 1, 6 |
| KUNIMOTO (ALAWDEEN) Reza | AUO037 | Licensed Representative (Provisional Licence) | 1, 4, 7 |

| REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011 | | | |
|---|--------|---|-----------------------------|
| CE Name | CE No. | Licence Type | Types of Regulated Activity |
| KWOK CHEUNG Tak May | AQJ220 | Licensed Representative (Provisional Licence) | 4, 9 |
| KWOK Chung Man | AWN381 | Licensed Representative (Provisional Licence) | 1 |
| KWOK Ka Ying, Kendra | AWL154 | Licensed Representative (Provisional Licence) | 1 |
| KWONG Chin Fung | AWK998 | Licensed Representative (Provisional Licence) | 1, 2 |
| KWONG Pui Chuen | AWL133 | Licensed Representative (Provisional Licence) | 1, 2 |
| LAI Ki Fung, Kevin | AJC117 | Licensed Representative (Provisional Licence) | 1, 2, 4, 5 |
| LAM Chi Kwong | AWM895 | Licensed Representative (Provisional Licence) | 1 |
| LAM Fong Tat | AJM293 | Licensed Representative (Provisional Licence) | 4 |
| LAM Hung Fai | AWK996 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| LAM Jessica Yu Wei | AKI444 | Licensed Representative (Provisional Licence) | 1, 6 |
| LAM Ki Yuen | AWO017 | Licensed Representative (Provisional Licence) | 1 |
| LAM Sin Wa | AWO867 | Licensed Representative (Provisional Licence) | 1 |
| LAM Sing Kuen | AFT889 | Licensed Representative (Provisional Licence) | 1 |
| LAM Yin | AWL740 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| LARDY Patrick | AWN750 | Licensed Representative (Provisional Licence) | 1, 2, 7 |
| LAU Chi Pun | AWK994 | Licensed Representative (Provisional Licence) | 1 |
| LAU Hang, Henry | AWJ860 | Licensed Representative (Provisional Licence) | 1, 6 |
| LAU Tsz Ho | AWO218 | Licensed Representative (Provisional Licence) | 1 |
| LAU Wang Yip | AVY122 | Licensed Representative (Provisional Licence) | 1 |
| LE Yongqiang | AVS864 | Licensed Representative (Provisional Licence) | 1, 2 |
| LEE Christopher Shawn | AWK042 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| LEE Chun Wah | AFR066 | Licensed Representative (Provisional Licence) | 1, 9 |
| LEE Hyun Jae | AWK779 | Licensed Representative (Provisional Licence) | 1 |
| LEE Jennifer Ann | AWL482 | Licensed Representative (Provisional Licence) | 1, 4 |
| LEE Pei Yuan | AWJ832 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| LEE Pei-Chen | AWK547 | Licensed Representative (Provisional Licence) | 1 |
| LEE Wai Kin | APD151 | Licensed Representative (Provisional Licence) | 1 |
| LEE Wilson Kwok Wai | AWK840 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| LEE Yin Lam, Jackie | AWL173 | Licensed Representative (Provisional Licence) | 1 |
| LEMEUNIER Maxime Pierre Alexandre | AWL301 | Licensed Representative (Provisional Licence) | 1, 2, 7 |
| LEUNG Pan Ling, Brian | AWN531 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| LEUNG Shu Tak | AWM894 | Licensed Representative (Provisional Licence) | 4 |
| LEUNG Shuk Fan | AWL420 | Licensed Representative (Provisional Licence) | 1, 2 |
| LEUNG Siu Tak | AWJ858 | Licensed Representative (Provisional Licence) | 1, 2 |

| REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011 | | | |
|---|--------|---|-----------------------------|
| CE Name | CE No. | Licence Type | Types of Regulated Activity |
| LI Chi Hang | AWK766 | Licensed Representative (Provisional Licence) | 1 |
| LI Grady V | AWM157 | Licensed Representative (Provisional Licence) | 1, 2, 4, 5, 6, 9 |
| LI Sisi | AWL795 | Licensed Representative (Provisional Licence) | 1, 2 |
| LI Siu Kim | AFW899 | Licensed Representative (Provisional Licence) | 9 |
| LI Suet Wing Mathew | AWO223 | Licensed Representative (Provisional Licence) | 1 |
| LI Tsz Wai | AWL543 | Licensed Representative (Provisional Licence) | 1, 2 |
| LI Wai Ling, Winnie | AWK718 | Licensed Representative (Provisional Licence) | 1 |
| LI Xiaoguang | AWL741 | Licensed Representative (Provisional Licence) | 9 |
| LI Xuefei | AWN481 | Licensed Representative (Provisional Licence) | 1 |
| LI Yanjie | AUS278 | Licensed Representative (Provisional Licence) | 1, 6 |
| LIN Hou Pu, Jonathan | AWO625 | Licensed Representative (Provisional Licence) | 9 |
| LIN Lin | AWO642 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| LING HING CHEUNG | AWN388 | Licensed Representative (Provisional Licence) | 1 |
| LIU Feizhi | AWD212 | Licensed Representative (Provisional Licence) | 6 |
| LIU Han-Chih | AWJ230 | Licensed Representative (Provisional Licence) | 4 |
| LIU Ni Sha | AGQ395 | Licensed Representative (Provisional Licence) | 1 |
| LIU Sun Ting, Ignatius | AWN746 | Licensed Representative (Provisional Licence) | 1, 2 |
| LIU Xiao Ning | AWL455 | Licensed Representative (Provisional Licence) | 4 |
| LIU Yili | AIU618 | Licensed Representative (Provisional Licence) | 4, 5, 9 |
| LLOYD Benjamin Buckler | AWN319 | Licensed Representative (Provisional Licence) | 1, 2 |
| LO Tim Bo | AWL484 | Licensed Representative (Provisional Licence) | 1, 2, 7 |
| LO Wai Lun | AVM934 | Licensed Representative (Provisional Licence) | 1, 2 |
| LU David | AWK008 | Licensed Representative (Provisional Licence) | 4, 9 |
| LU Han | AWD213 | Licensed Representative (Provisional Licence) | 6 |
| LUA Sheng Join | AWD622 | Licensed Representative (Provisional Licence) | 1, 6 |
| LUI Yin Jing Jezzie | AWO222 | Licensed Representative (Provisional Licence) | 1 |
| LUK Tit Pui | AOY548 | Licensed Representative (Provisional Licence) | 1 |
| LUK Yin Wang | AMS602 | Licensed Representative (Provisional Licence) | 4 |
| LUO Hua | AWJ906 | Licensed Representative (Provisional Licence) | 2 |
| MA Chung Chi, Adrian | APL879 | Licensed Representative (Provisional Licence) | 1, 2 |
| MA Siu Hong | AVM590 | Licensed Representative (Provisional Licence) | 1 |
| MA Xianyu | AWF217 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| MAGNUS George Anthony | AWP242 | Licensed Representative (Provisional Licence) | 4 |
| MAJUMDER Subhabrata | AVI915 | Licensed Representative (Provisional Licence) | 4 |

| REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011 | | | |
|---|--------|---|-----------------------------|
| CE Name | CE No. | Licence Type | Types of Regulated Activity |
| MAK Ka Yan | AWI142 | Licensed Representative (Provisional Licence) | 1 |
| MAK Wai Kit | AWK248 | Licensed Representative (Provisional Licence) | 1 |
| MAK Yiu Hong | AWL694 | Licensed Representative (Provisional Licence) | 1, 2 |
| MALAMUT Scott Hunter | AWN519 | Licensed Representative (Provisional Licence) | 1, 6 |
| MAN KIN FUN | AWN380 | Licensed Representative (Provisional Licence) | 1 |
| MANSUKHANI Monish Manik | AWL683 | Licensed Representative (Provisional Licence) | 1 |
| MATANEY Pankaj Gul | AWK557 | Licensed Representative (Provisional Licence) | 1, 4 |
| MAXWELL Ross | AWP247 | Licensed Representative (Provisional Licence) | 1, 2 |
| MOK Kwan Yu | AWO387 | Licensed Representative (Provisional Licence) | 1 |
| MOK Yun Cheong, George | AWH558 | Licensed Representative (Provisional Licence) | 1, 2 |
| MOLIN Gregory, Benoit, Benjamin | AWL272 | Licensed Representative (Provisional Licence) | 1, 2 |
| MUI Tsz Ching | AWN715 | Licensed Representative (Provisional Licence) | 1, 2 |
| MULLANEY David | AWO856 | Licensed Representative (Provisional Licence) | 1, 7 |
| MUTREJA Anuj | AFT540 | Licensed Representative (Provisional Licence) | 9 |
| NAKAMURA Spark Takuya | AVJ263 | Licensed Representative (Provisional Licence) | 1, 2, 4, 5 |
| NAKANISHI Hajime | AWN423 | Licensed Representative (Provisional Licence) | 1, 2, 4 |
| NAKAYAMA Mari | AWN424 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| NG Cheong Hing | AWO203 | Licensed Representative (Provisional Licence) | 1 |
| NG Kwai Ting | AWK009 | Licensed Representative (Provisional Licence) | 1 |
| NG Man Kit | AWK456 | Licensed Representative (Provisional Licence) | 1 |
| NG Sau Mui | APL380 | Licensed Representative (Provisional Licence) | 1 |
| NG Wing Kin | AWN677 | Licensed Representative (Provisional Licence) | 1 |
| NG Wing Sze, Ophelia Jess | AWO118 | Licensed Representative (Provisional Licence) | 1, 2 |
| NG Yee Ting | AWL516 | Licensed Representative (Provisional Licence) | 1, 2 |
| NGAI Chi Hung | ADJ670 | Licensed Representative (Provisional Licence) | 1, 4 |
| Ngai Hau Chuen | ALQ313 | Licensed Representative (Provisional Licence) | 1 |
| OH Christopher Chin Huat | AWJ697 | Licensed Representative (Provisional Licence) | 4 |
| OMOTO Atsushi | ANU254 | Licensed Representative (Provisional Licence) | 1, 2 |
| ONG Cheong Teck David | AWK235 | Licensed Representative (Provisional Licence) | 1, 4 |
| PANG Jimmy Kar-yin | ANV218 | Licensed Representative (Provisional Licence) | 4, 9 |
| PANG Kwan | AWN156 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| PANG Wai Yuen | AWN316 | Licensed Representative (Provisional Licence) | 6 |
| PARAVANI Simona | AWK354 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| PARK Hye Yon | AWM153 | Licensed Representative (Provisional Licence) | 1, 7 |

| REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011 | | | |
|---|--------|---|-----------------------------|
| CE Name | CE No. | Licence Type | Types of Regulated Activity |
| PARK Ji Yeop | AWK780 | Licensed Representative (Provisional Licence) | 1, 4 |
| PARK Jung Mi | AWL531 | Licensed Representative (Provisional Licence) | 1, 4 |
| PATEL Rahul Bharat | AWO647 | Licensed Representative (Provisional Licence) | 9 |
| PEERAN Syed Ibrahim | AWH502 | Licensed Representative (Provisional Licence) | 1, 6 |
| PERRIER Amelie | AVZ289 | Licensed Representative (Provisional Licence) | 1, 2 |
| PRITCHARD Simon James | AEY358 | Licensed Representative (Provisional Licence) | 1, 4 |
| QI Xin | AWL701 | Licensed Representative (Provisional Licence) | 4 |
| QI Xuan | ATW424 | Licensed Representative (Provisional Licence) | 1 |
| RAMDEV Amit Kumar | AWK762 | Licensed Representative (Provisional Licence) | 4 |
| RAO Meixiu | AWD944 | Licensed Representative (Provisional Licence) | 1, 4 |
| RHEE Vincent Suk Kyu | AWJ997 | Licensed Representative (Provisional Licence) | 1, 7 |
| RIESNER Michael Lothar Kurt | AWK175 | Licensed Representative (Provisional Licence) | 1 |
| ROBBINS William Ralph Quentin | APJ520 | Licensed Representative (Provisional Licence) | 1, 4 |
| RYU Benedict, Hanseok | AWL168 | Licensed Representative (Provisional Licence) | 1, 4 |
| SAMANT Medha Aditya | ACP452 | Licensed Representative (Provisional Licence) | 1, 9 |
| SEKIGUCHI Jin | AWK001 | Licensed Representative (Provisional Licence) | 1, 4 |
| SEOW Zhi Xiang | AWO859 | Licensed Representative (Provisional Licence) | 1, 2 |
| SHAH Anand | AKQ902 | Licensed Representative (Provisional Licence) | 1, 2 |
| SHARMA Unmesh Yagya | AWL273 | Licensed Representative (Provisional Licence) | 4 |
| SHE Weichao | AWL894 | Licensed Representative (Provisional Licence) | 4 |
| SHEN Wenting | AWI982 | Licensed Representative (Provisional Licence) | 1, 4 |
| SHI Mengjie | AWL334 | Licensed Representative (Provisional Licence) | 4 |
| SIN Wai Mei | AWO645 | Licensed Representative (Provisional Licence) | 1 |
| SIU Chi Wai | AWK579 | Licensed Representative (Provisional Licence) | 1, 6 |
| SO Gideon K | APU886 | Licensed Representative (Provisional Licence) | 1, 2 |
| SU Xin | AVZ612 | Licensed Representative (Provisional Licence) | 1, 6 |
| SUEN Kin Pong | ACO272 | Licensed Representative (Provisional Licence) | 1 |
| SUN Fei | AWK584 | Licensed Representative (Provisional Licence) | 4 |
| SUNG Chang Hwan | AWI986 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| SURBER Markus | AWK173 | Licensed Representative (Provisional Licence) | 1 |
| TAM Wai Kit, Jonathan | AWL263 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| TANAKA Satoshi | AWN493 | Licensed Representative (Provisional Licence) | 1, 2 |
| TANG Po Lam, Paulia | AWM084 | Licensed Representative (Provisional Licence) | 2 |
| TANG Yu Hin, Tenny | AWL868 | Licensed Representative (Provisional Licence) | 1, 2, 3 |

| REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011 | | | |
|---|--------|---|-----------------------------|
| CE Name | CE No. | Licence Type | Types of Regulated Activity |
| TANUJAYA TJIOENG Johny | AWN206 | Licensed Representative (Provisional Licence) | 1 |
| TAW Hock Chiang, Timothy | AWO019 | Licensed Representative (Provisional Licence) | 1 |
| TAY Lim How | AVV973 | Licensed Representative (Provisional Licence) | 1 |
| TO Cho Ki | AWL839 | Licensed Representative (Provisional Licence) | 1, 2 |
| TO Kwong Yiu | APB667 | Licensed Representative (Provisional Licence) | 1 |
| TODD Jason Edmund | ATO944 | Licensed Representative (Provisional Licence) | 4 |
| TOH Jane | AWN315 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| TOH Jianxun | AWL872 | Licensed Representative (Provisional Licence) | 1, 6 |
| TSANG Sze Wai, Billy | AIR095 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| TSANG Wai Yee, Faredah | AWL906 | Licensed Representative (Provisional Licence) | 1, 4 |
| TSANG Wing Chi | AOB547 | Licensed Representative (Provisional Licence) | 4 |
| TSE Jonathan Kamhay | AWJ763 | Licensed Representative (Provisional Licence) | 1 |
| TSOI Yuk Chi | AWN912 | Licensed Representative (Provisional Licence) | 4 |
| TSUI Kwan Tung, Gwendolen | AWI658 | Licensed Representative (Provisional Licence) | 4, 9 |
| TUNTASOOD Rada | AWL101 | Licensed Representative (Provisional Licence) | 4, 9 |
| UMETSU Cameron Neil | ARP038 | Licensed Representative (Provisional Licence) | 4 |
| VAN DER VELDT Matthieu Pieter Cornelis | AVM130 | Licensed Representative (Provisional Licence) | 1 |
| WAN Man Sze, Esther | AWN091 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| WANG Bo | AGD229 | Licensed Representative (Provisional Licence) | 4 |
| WANG Ju | AWN314 | Licensed Representative (Provisional Licence) | 4 |
| WANG Rui | AWO957 | Licensed Representative (Provisional Licence) | 1 |
| WANG Tianbing | AWO087 | Licensed Representative (Provisional Licence) | 1, 4 |
| WANG Wei | AWL287 | Licensed Representative (Provisional Licence) | 1, 6 |
| WANG Weigang | ANS227 | Licensed Representative (Provisional Licence) | 6 |
| WANG Yan | AWM002 | Licensed Representative (Provisional Licence) | 1 |
| WATANABE Junko | AWN422 | Licensed Representative (Provisional Licence) | 1, 2, 4 |
| WEATHERSTON Alan Robert | ALU612 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| WILLIAMS Grant David Vincent | AHU774 | Licensed Representative (Provisional Licence) | 1 |
| WILSON Henry William Humphrey | AWO461 | Licensed Representative (Provisional Licence) | 4 |
| WONG Chak Sum | AWL267 | Licensed Representative (Provisional Licence) | 1 |
| WONG Ka King, Dickson | AWN748 | Licensed Representative (Provisional Licence) | 1 |
| WONG Kam Suen, David | AFN655 | Licensed Representative (Provisional Licence) | 1 |
| WONG Koon Ming | AWO747 | Licensed Representative (Provisional Licence) | 1 |
| WONG Koon Wah | ACK493 | Licensed Representative (Provisional Licence) | 1 |

| REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011 | | | |
|---|--------|---|-----------------------------|
| CE Name | CE No. | Licence Type | Types of Regulated Activity |
| WONG Man Tai, Eric | AWO987 | Licensed Representative (Provisional Licence) | 1, 2 |
| WONG Mau Ying | AWN369 | Licensed Representative (Provisional Licence) | 1 |
| WONG Ming Chak, Raphael | AWL810 | Licensed Representative (Provisional Licence) | 1, 2 |
| WONG Nam Fu, Andy | ADB026 | Licensed Representative (Provisional Licence) | 1, 2 |
| WONG Pik Yu | AWN393 | Licensed Representative (Provisional Licence) | 1 |
| WONG Pok Wang | AWP272 | Licensed Representative (Provisional Licence) | 1, 4 |
| WONG Sau Ching | AWL183 | Licensed Representative (Provisional Licence) | 1 |
| WONG Siu Por | AVH182 | Licensed Representative (Provisional Licence) | 1, 2 |
| WONG Siu Tat | AWL142 | Licensed Representative (Provisional Licence) | 3 |
| WONG Sung Kuk | AWH034 | Licensed Representative (Provisional Licence) | 1 |
| WONG Thomas Chi Kit | AWN504 | Licensed Representative (Provisional Licence) | 1 |
| WONG Wing Man | AWL652 | Licensed Representative (Provisional Licence) | 1, 2 |
| WONG Yik Shing | AWO225 | Licensed Representative (Provisional Licence) | 1 |
| WONG Yuet Ling | ART233 | Licensed Representative (Provisional Licence) | 9 |
| WU Jennifer York Kei | AHX685 | Licensed Representative (Provisional Licence) | 6 |
| WU Yangdong | AWN210 | Licensed Representative (Provisional Licence) | 1, 2, 7 |
| WU Yanjia | AWN526 | Licensed Representative (Provisional Licence) | 1, 9 |
| XU Chendi | AWL336 | Licensed Representative (Provisional Licence) | 1, 6 |
| XU Shaoyi | AON008 | Licensed Representative (Provisional Licence) | 6 |
| XU Yingbo | AVV560 | Licensed Representative (Provisional Licence) | 4 |
| XUE Fei | ASP680 | Licensed Representative (Provisional Licence) | 4 |
| XUE Yang | AWK548 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| YAN Gaojian | AVV553 | Licensed Representative (Provisional Licence) | 4 |
| YANG Clarence | AWN419 | Licensed Representative (Provisional Licence) | 4, 9 |
| YANG Fengchun | AWJ220 | Licensed Representative (Provisional Licence) | 4 |
| YANG Xiaojing | AWN157 | Licensed Representative (Provisional Licence) | 1, 2 |
| YANG Zhao | AWK896 | Licensed Representative (Provisional Licence) | 1 |
| YAO Shaohua | AWK450 | Licensed Representative (Provisional Licence) | 1, 4, 5, 9 |
| YE Nayun | AWG076 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| YE Zuobin | AWK611 | Licensed Representative (Provisional Licence) | 1 |
| YEUNG Ka Man, Theresa | ASH883 | Licensed Representative (Provisional Licence) | 1 |
| YEUNG Kim Wa | AWJ986 | Licensed Representative (Provisional Licence) | 1, 2 |
| YEUNG Lai Man | AWP881 | Licensed Representative (Provisional Licence) | 6 |
| YEUNG Nga Yi | ACP957 | Licensed Representative (Provisional Licence) | 1 |

| REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011 | | | |
|---|--------|---|-----------------------------|
| CE Name | CE No. | Licence Type | Types of Regulated Activity |
| YIU Ka Wa | AWK590 | Licensed Representative (Provisional Licence) | 1, 4 |
| YONG Fen Choong | AOM504 | Licensed Representative (Provisional Licence) | 1, 2 |
| YOO Seungyeon | AWN340 | Licensed Representative (Provisional Licence) | 1 |
| YU Adrienne Jia Yan | ARQ478 | Licensed Representative (Provisional Licence) | 1, 6 |
| YU Chi King, Danny | AWK997 | Licensed Representative (Provisional Licence) | 1 |
| YU Chun Wai | AWL989 | Licensed Representative (Provisional Licence) | 1 |
| YU Wai Hung | AWN747 | Licensed Representative (Provisional Licence) | 1 |
| YUEN Wai Kin | AWL270 | Licensed Representative (Provisional Licence) | 1 |
| YUNG Jeffrey Yan-Leun | AWL879 | Licensed Representative (Provisional Licence) | 1, 2 |
| YUNG Man Yee | AWO584 | Licensed Representative (Provisional Licence) | 1 |
| ZENG Liyi | AWC178 | Licensed Representative (Provisional Licence) | 1 |
| ZHANG Charles | AWP279 | Licensed Representative (Provisional Licence) | 1 |
| ZHANG Fan | AWL268 | Licensed Representative (Provisional Licence) | 1, 6 |
| ZHANG Jinliang | AVY896 | Licensed Representative (Provisional Licence) | 6 |
| ZHANG Longteng | AWN911 | Licensed Representative (Provisional Licence) | 1, 6 |
| ZHANG Xianning | AWS214 | Licensed Representative (Provisional Licence) | 1, 4 |
| ZHANG Xiuping | AJL094 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| ZHANG Yiding | AWN022 | Licensed Representative (Provisional Licence) | 4 |
| ZHAO Nan | AWN313 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| ZHAO Yu | AWL739 | Licensed Representative (Provisional Licence) | 1, 6 |
| ZHENG Lili | AWJ166 | Licensed Representative (Provisional Licence) | 4 |
| ZHENG Yinan | ATH408 | Licensed Representative (Provisional Licence) | 1, 6 |
| ZHONG Yiran | AWJ594 | Licensed Representative (Provisional Licence) | 4 |
| ZHOU Chang Qing | AWN724 | Licensed Representative (Provisional Licence) | 1, 2 |
| ZHOU Weiguo | APO574 | Licensed Representative (Provisional Licence) | 1 |
| ZHOU Xingyuan | AWK993 | Licensed Representative (Provisional Licence) | 1 |
| ZHOU Xiuxia | AWO226 | Licensed Representative (Provisional Licence) | 6 |
| ZHU Jian | AWK597 | Licensed Representative (Provisional Licence) | 1 |
| ZHU Junyan | AWD993 | Licensed Representative (Provisional Licence) | 4 |
| AHUJA Vivek Manohar | ARI512 | Licensed Representative (Temporary Licence) | 1 |
| BAURMEISTER Eric Johannes | AQS707 | Licensed Representative (Temporary Licence) | 1 |
| CLINT Oswald Conan | AVH615 | Licensed Representative (Temporary Licence) | 4 |
| DEROLD Christian | AVW188 | Licensed Representative (Temporary Licence) | 1 |
| FRANCIS Kayoko | AON817 | Licensed Representative (Temporary Licence) | 1 |

| REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011 | | | |
|---|--------|---|-----------------------------|
| CE Name | CE No. | Licence Type | Types of Regulated Activity |
| GUPTE Anagha Avinash | AWO078 | Licensed Representative (Temporary Licence) | 4 |
| HARRINGTON JR Thomas James | AWA209 | Licensed Representative (Temporary Licence) | 1 |
| HIROKANE Masaharu | AWO791 | Licensed Representative (Temporary Licence) | 4 |
| ISAYAMA Yuichiro | AWO868 | Licensed Representative (Temporary Licence) | 1, 4 |
| KAGAWA Yoichi | AWC083 | Licensed Representative (Temporary Licence) | 1 |
| KIM Jong Kuk | AFC107 | Licensed Representative (Temporary Licence) | 1, 4 |
| MC CORMICK James Michael | APZ228 | Licensed Representative (Temporary Licence) | 4 |
| MC MAHON Neil Andrew | AWA194 | Licensed Representative (Temporary Licence) | 4 |
| MIKAMI Jiro | AQS872 | Licensed Representative (Temporary Licence) | 1 |
| NARITA Yasuhiro | ARQ487 | Licensed Representative (Temporary Licence) | 4 |
| SAKAGAMI Ryota | AWO794 | Licensed Representative (Temporary Licence) | 4 |
| SALMAN Makarim Adel | ATD287 | Licensed Representative (Temporary Licence) | 4 |
| SHIU Susan Woon Ying | AUA244 | Licensed Representative (Temporary Licence) | 1, 2 |
| SIGEL Matthew Joel | AWQ373 | Licensed Representative (Temporary Licence) | 4 |
| SOGA Yuki | AWP238 | Licensed Representative (Temporary Licence) | 4 |
| TANAKA Chikai | AWO799 | Licensed Representative (Temporary Licence) | 4 |
| TANAKA Katsunori | AWP696 | Licensed Representative (Temporary Licence) | 1, 4 |
| USHIRO Hideaki | AFY323 | Licensed Representative (Temporary Licence) | 4, 6 |
| ZAHN David Martin | AWL065 | Licensed Representative (Temporary Licence) | 1 |