

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
EMP-Daiwa Capital Asia Limited	AQH370	Licensed Corporation	4
Pantheon Capital (Asia) Limited	ABM918	Licensed Corporation	4
Progress Markets Limited	APG854	Licensed Corporation	3
AGRAWAL Ashish	ANT273	Licensed Representative	1, 4
ALONSAGAY Maria Liza O.	AGO829	Licensed Representative	1
ANUVATNUJOTIKUL Predee	AVP871	Licensed Representative	1, 2
ARORA Neha	ATB981	Licensed Representative	4
AU-YEUNG Emily Ying Ka	ART701	Licensed Representative	1, 6
BAE Hyun Soo	AJE250	Licensed Representative	1
BAILEY Aidan Patrick	AFE987	Licensed Representative	4, 6, 9
BAKER Skardon Francis	ANS307	Licensed Representative	1, 4, 9
BANGA Guneet	AJE844	Licensed Representative	1, 6
BARB Jennifer Ann	ABM917	Licensed Representative	1
BARNETT Maxine Bernice	AOT373	Licensed Representative	1, 2, 7
BUSUTTIL Mark	AUC502	Licensed Representative	4
CANVIN Roderick Ewen	AOC928	Licensed Representative	1, 2
CHAKRABORTY Sushruta	AVE041	Licensed Representative	1, 2, 7
CHAN Bryan Wei-Heung	ARH109	Licensed Representative	1, 6
CHAN Chi Ho, Ron	ADR493	Licensed Representative	1, 2
CHAN Chi Mun, Winnie	AQH369	Licensed Representative	1
CHAN Chun Ho	ARJ504	Licensed Representative	1, 2
CHAN Chun Yu	AOC743	Licensed Representative	4
CHAN Chung Luen, Sandy	AGM927	Licensed Representative	4
CHAN Fuk Lun	ARG180	Licensed Representative	1, 6
CHAN Hiu Won	AIC116	Licensed Representative	1, 2
CHAN Ho Lam, Thomas	ADX441	Licensed Representative	1
CHAN Ho Yuen, Rayson	AJG493	Licensed Representative	1, 4
CHAN Hon Wing	AEK016	Licensed Representative	1
CHAN Kai Man Kant	AHM525	Licensed Representative	1, 4
CHAN Kwok Ming	ACM990	Licensed Representative	2, 5, 9
CHAN Kwok Ying, Ivy	AGD882	Licensed Representative	1
CHAN Lai Ying, Coco	ANQ390	Licensed Representative	1
CHAN Pak Shing	AWJ632	Licensed Representative	1
CHAN Pang Tang	AGK821	Licensed Representative	1, 2, 3

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Sze Hin, Cherry	ALW768	Licensed Representative	1, 4
CHAN Sze Yan, Sharona	APV586	Licensed Representative	1
CHAN Tak Shing	AMU243	Licensed Representative	1, 2
CHAN Tze Man	ARW650	Licensed Representative	1, 2, 4, 5, 9
CHAN Wai Ling	ABS687	Licensed Representative	1
CHAN Wan Nei, Winnie	APX126	Licensed Representative	4
CHAN Wun Fai	ASU229	Licensed Representative	1
CHAN Yu Ying, Jacqueline	AQQ981	Licensed Representative	1
CHAN Yuen Kiu, Christina	APR630	Licensed Representative	1
CHAN Yui Lung	ABR835	Licensed Representative	4, 9
CHANG Kit Ling	AGD941	Licensed Representative	1
CHANG Koon Kwun, Esther	AKI258	Licensed Representative	1
CHANG Teh-Yu	APX138	Licensed Representative	1
CHANG Wen-Hsuan	AOZ139	Licensed Representative	1
CHAU Chun Wun	AWH522	Licensed Representative	1, 2
CHE Ka Wing	APC127	Licensed Representative	1
CHEAH Wei Teik	AIR150	Licensed Representative	1, 4
CHEN Dewei	ARH278	Licensed Representative	1
CHEN Kai Wei	ANU230	Licensed Representative	1, 6
CHEN Ken	AOB367	Licensed Representative	1
CHEN Lei	AMA927	Licensed Representative	1, 4, 6
CHEN Pei Shan	AQM590	Licensed Representative	1
CHEN Wei-Chieh	AOO888	Licensed Representative	6
CHEN Ying	ARL753	Licensed Representative	1, 2
CHEN Yongsheng	ARI074	Licensed Representative	6
CHENG Hoi Kwong	AWH509	Licensed Representative	1, 2
CHENG Lai Ying	AEU576	Licensed Representative	1
CHENG Mo Ching	AFS229	Licensed Representative	4
CHENG Won Mon	ARN518	Licensed Representative	4, 9
CHENG Ying Ming, Henry	AGO162	Licensed Representative	1
CHEUNG Chi Wah	AKO502	Licensed Representative	1, 2
CHEUNG Hoi Hang	ANM164	Licensed Representative	1, 4
CHEUNG Joanna Wai Sze	ATM610	Licensed Representative	1
CHEUNG Kit Man	AOH906	Licensed Representative	4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEUNG Man Wing	ANG320	Licensed Representative	1, 4
CHEUNG Po Choi, Sammy	ACH251	Licensed Representative	2
CHEUNG Wing Kit	AUJ486	Licensed Representative	1
CHIAO Karen K	ARN147	Licensed Representative	1, 6
CHIU Chun Hung, Russell	ARK451	Licensed Representative	4, 9
CHIU Fung Yee	ASX343	Licensed Representative	4
CHIU Tien Min	ALS681	Licensed Representative	1
CHONG Lai Yee	ADU203	Licensed Representative	1, 4, 6, 9
CHONG Ting Piu	AUO117	Licensed Representative	1
CHOO Inchoong	ARJ719	Licensed Representative	1, 4
CHOW Ka Yiu, Martin	AUX375	Licensed Representative	1, 2
CHOW Siu Ping, Vivian	ADQ811	Licensed Representative	1, 4, 9
CHOW Wing Wah	ARN078	Licensed Representative	1
CHU Annie Chui Kuen	AEK517	Licensed Representative	1
CHU Chuen Sing	AOB435	Licensed Representative	1, 4, 6
CHU Derek Chek Bong	ASX472	Licensed Representative	1, 6
CHU Kuen Ming	AQE680	Licensed Representative	1, 2
CHU Ping Hei Peter	ARE736	Licensed Representative	4
CHU Shirley	AQE298	Licensed Representative	1
CHUA Jianye	ASY663	Licensed Representative	1, 2
CHUI Wai Kit	AQS648	Licensed Representative	1
CHUNG Min Jun	ASD323	Licensed Representative	4
CHUNG Yin Fong, Idy	AWA163	Licensed Representative	1
COTTERMAN Amanda Mary	APO046	Licensed Representative	1, 6
DANG Yulei	AJH130	Licensed Representative	1, 4, 4
DAUBE Patrick	ALQ179	Licensed Representative	1, 2
DE FIGUEIREDO JR Rui Jose	AQH044	Licensed Representative	1, 2
DENG Fei	AQR107	Licensed Representative	1, 6
DING Shaw-Tzeng	AFE147	Licensed Representative	1, 4
ENG CHEUNG Chi Ping, Betty	AAB547	Licensed Representative	9
FAN Mei Ki	ATS671	Licensed Representative	1
FARMAN Daniel Noel George	ARN747	Licensed Representative	1
FOK Stella Bik Yu	AEO643	Licensed Representative	1, 4
FOONG Yuk Pei	ALF464	Licensed Representative	4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
FU Franklin	AJI671	Licensed Representative	1, 4, 9
FUNG Ching Johnny	AVD850	Licensed Representative	1
FUNG Chun Nam	ANU964	Licensed Representative	1, 2
FUNG Ka Yee	AUL683	Licensed Representative	1, 2, 4, 5
FUNG Man Wai	ASC599	Licensed Representative	1, 2
FUNG Wing Tsan	ADQ913	Licensed Representative	4, 9
GAW Melvin Wong	AQV695	Licensed Representative	1
GE Zhihong	ARB318	Licensed Representative	1
GORAWARA Abhinav	AHR316	Licensed Representative	1, 2, 4
GUHA ROY Tathagata	ALN138	Licensed Representative	4
GUILLEMET Mathieu Joel Jean-Michel	ANN087	Licensed Representative	1, 4, 5, 9
HAIG Alistair Peter	AUH935	Licensed Representative	4
HAO Zhiwei	APU608	Licensed Representative	4
HARLAND Christopher Guy	ASP139	Licensed Representative	1, 2
HARTE Nolan Thomas	AQN142	Licensed Representative	1, 2
HE Mei	AVJ148	Licensed Representative	1, 4
HEFER Axel Peter	ARF692	Licensed Representative	4
HO Chi-pin	ANM677	Licensed Representative	1
HO Chun Pong	ACB666	Licensed Representative	1, 4, 5
HO Hung Chi	ANH008	Licensed Representative	4
HO Hung Tai	AQX571	Licensed Representative	1
HO King Man, Danny	AFC987	Licensed Representative	1
HO Ping Yin	AQF062	Licensed Representative	1, 2
HO Wai Man, Phoebe	AMG903	Licensed Representative	1, 4
HSU Wen-Tang	ANP629	Licensed Representative	1
HU Qingzi	ATM822	Licensed Representative	1, 4
HUANG Huei-Chu	AER192	Licensed Representative	1, 2, 4, 6, 6
HUANG Tzu-Yi	ASS266	Licensed Representative	1
HUANG Yiting	AHZ630	Licensed Representative	1, 1, 4, 6
HUI Wai Pong	AMM423	Licensed Representative	1, 4
HUNG Siu Hung	AGD861	Licensed Representative	1
IM Kai Chuen, Stephen	APC198	Licensed Representative	1, 4, 6, 9
IP Chit Yin	AUR651	Licensed Representative	4
JIANG Bing-Bing	AOO274	Licensed Representative	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
JIM Hay Wo	AAF771	Licensed Representative	1, 4
JONES Benjamin Isaac	AOJ054	Licensed Representative	1
JOO Hui Chan	ADY077	Licensed Representative	1, 4, 6
KALB Victoria Stacey	AHT205	Licensed Representative	1
KAM Ka Wo	ACT200	Licensed Representative	1
KAM On Ni	ARL827	Licensed Representative	4
KAM Suk Yu	ALZ293	Licensed Representative	1, 4
KAM Wendy Wing Yee	ARJ847	Licensed Representative	1, 6
KAT Chit	AQD694	Licensed Representative	1, 6
KIANG Yuen Hung	AOT384	Licensed Representative	1
KING Kenneth Shing Wei	ARI976	Licensed Representative	1, 6
KO Bo Yee	AVU832	Licensed Representative	1
KONG Wai Yin Chris	AVK511	Licensed Representative	1
KOO Chee Hang, Timothy	AQQ485	Licensed Representative	1, 6
KOO Fong Leuk	AFQ044	Licensed Representative	1, 4, 9
KUNG Hin Shun	AUL624	Licensed Representative	1
KWOK Ho Chuen	ARE683	Licensed Representative	4
KWOK Wai Kit, George	ATJ061	Licensed Representative	1, 2
LA RUE Robert Scott	AUW237	Licensed Representative	6
LAI Billy Yee Piu	AMV035	Licensed Representative	1, 2, 2, 4
LAI Lai Shuen	AMF491	Licensed Representative	1, 2
LAI Ming Wai	ANU954	Licensed Representative	1, 4
LAI Tsz Yang	ALZ815	Licensed Representative	1
LAI Wayne	AVW626	Licensed Representative	1
LAI Wing Chi, Juliana	ARE549	Licensed Representative	1, 4, 9
LAM Chi Kin	AOO549	Licensed Representative	9
LAM Chin Ting, Wilfred	AEP641	Licensed Representative	1
LAM Chuk Kwan	APQ786	Licensed Representative	1, 2, 3
LAM Kar Kit, Jason	AMI295	Licensed Representative	1, 6
LAM Kin Ying	AMG971	Licensed Representative	1, 4
LAM Lung Kwan	AAE542	Licensed Representative	1
LAM Man Kwong	AGN728	Licensed Representative	1, 4, 6
LAM Patrick Hoyin	ARQ987	Licensed Representative	1, 2
LAM Sau Chu	AQO332	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
LANSON Marc Clement	AOH368	Licensed Representative	1, 2, 7
LAU Cheuk Ting, Anson	ATI128	Licensed Representative	1, 4
LAU Ho Yan	ARG595	Licensed Representative	1, 4
LAU Hoi Lam	APA026	Licensed Representative	4
LAU Joseph	AIR663	Licensed Representative	4
LAU Ka Man	APC145	Licensed Representative	4, 9, 9
LAU Ming Chak	AMI060	Licensed Representative	4, 4, 9
LAU Pui Shan	AOJ776	Licensed Representative	1
LAU Pui Yan	ATR491	Licensed Representative	1, 2
LAU Sai Kit Dennis	AUV774	Licensed Representative	1
LAU Tse Fung	AAJ835	Licensed Representative	5, 9
LAU Yat Ying, Karen	ASS218	Licensed Representative	1, 4
LAW Giggs	AGA256	Licensed Representative	2
LAW Kam Yin	ABW450	Licensed Representative	1
LAY Lih Huei	APR445	Licensed Representative	4
LEE Andrew Chun Ning	AWA649	Licensed Representative	1
LEE Chi Tong	ARG572	Licensed Representative	1
LEE Christopher Sung	AJE525	Licensed Representative	1, 2, 4, 5, 7
LEE Dong Hyun	AGM390	Licensed Representative	4
LEE Gay Tune, Christen	AVH059	Licensed Representative	1
LEE Ho-kei, Brian	ALW498	Licensed Representative	1, 2
LEE Kar Wai	AVH274	Licensed Representative	1, 2
LEE Lai Ping	AVI433	Licensed Representative	1, 4, 7
LEE Lip Keong, Ernest	AKO238	Licensed Representative	1, 4, 6
LEE Ming Yan, Amy	AMH059	Licensed Representative	1
LEE Ping Kai	AMQ589	Licensed Representative	4, 9
LEE Ping Kwai	AAC031	Licensed Representative	1
LEE Tsz Hin	ASI165	Licensed Representative	4
LEE Yat Wah, Eldon	AJC145	Licensed Representative	1, 1, 4
LEE Yick Chung	AEE525	Licensed Representative	1, 2
LEE Yiu San	ATT577	Licensed Representative	1
LEE Yuen Wai	AGP397	Licensed Representative	1
LEE Yuk Ching, Angie	ALU389	Licensed Representative	1, 4, 4
LEUNG Ann Kam Kee	ANT740	Licensed Representative	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
LEUNG Chor Wun	APF012	Licensed Representative	1, 3, 4
LEUNG Churk Yin, Jeanny	ACA326	Licensed Representative	1, 4, 6, 9
LEUNG Ho Yin	ATY751	Licensed Representative	2
LEUNG Man Hang	ARN368	Licensed Representative	4
LEUNG Po Kwong	AGP255	Licensed Representative	1
LEUNG Wai Ming	AUR822	Licensed Representative	1, 2
LEUNG Yick Mo	AML630	Licensed Representative	1
LI Hiu Kam	AFA638	Licensed Representative	1
LI Longzeng	ARI309	Licensed Representative	2
LI Siu Yin, Nona	AFZ220	Licensed Representative	1
LI Xiaoting	AVS858	Licensed Representative	1, 6
LI Xue	APL234	Licensed Representative	4
LI Ying	ATM474	Licensed Representative	1, 2
LIANG Guanhao	AQY707	Licensed Representative	1, 6
LIN Ning	ASF476	Licensed Representative	1, 2
LIN Yang-ming	ANQ718	Licensed Representative	1
LIN Yong-Cher	AMP196	Licensed Representative	1
LIU Liang	APH876	Licensed Representative	1
LIU Shuk Hin	AUW342	Licensed Representative	1, 2
LIU Sung Yip, Kenny	AAH051	Licensed Representative	2, 5, 9
LIU Tian	AWJ079	Licensed Representative	4
LIU Wenqing	AOK728	Licensed Representative	1
LIU Yihao	AFT482	Licensed Representative	1, 4, 6
LO Alexander Chun Him	AST189	Licensed Representative	1, 6
LO Siu Fung, Lisa	AFZ300	Licensed Representative	1
LO Yat Wai, Heidi	AET464	Licensed Representative	4
LO Yuen Harn, Amelia	AEV471	Licensed Representative	1
LU Chan Ching Lina	ARB611	Licensed Representative	1, 2
LUI Siu Man	AVD314	Licensed Representative	1
MA On Ying	ARH587	Licensed Representative	1, 2
MADAN Gaurav	ARN145	Licensed Representative	1, 6
MAH Ching Cheng	AOS022	Licensed Representative	4
MAK Chun Fai	ACK149	Licensed Representative	3
MAK Ka Lam, Louisa	AAB991	Licensed Representative	1, 2, 3

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
MANCINI Mark Francis	AVA348	Licensed Representative	1, 2
MAO Yifeng	AMT627	Licensed Representative	9
MASON Jordan Thomas	AQU403	Licensed Representative	1, 9
MC GOVERN William Francis	ASN835	Licensed Representative	1
MIJNE Rene Arian	AJE886	Licensed Representative	1, 6
MOK Sze Wai	ASS432	Licensed Representative	1, 6
MONOT Cosme Hubert Roger Pierre	AVF688	Licensed Representative	1, 7
MONTEUX Oriane Gabrielle Anne	AUI993	Licensed Representative	1, 2, 7
NAKADA Akira	ARL729	Licensed Representative	1, 2
NAYAR Rajiv	ANT750	Licensed Representative	1, 6
NG Cheuk Fung, Alex	ARO475	Licensed Representative	1, 4
NG Heidi Ching Shan	AFS235	Licensed Representative	4
NG Kwok Shing, Peter	AAG207	Licensed Representative	1
NG Pak Hei	AQU725	Licensed Representative	1, 2, 4
NG Po Ping, Polly	AGP045	Licensed Representative	1
NG Sau Fan	AOP004	Licensed Representative	1
NG Shun, Shara	AUM730	Licensed Representative	1, 4
NG Yuen Ling	AUE236	Licensed Representative	4, 9
NG Yun King	AFK660	Licensed Representative	1
NIU Wang-Gu	AGM559	Licensed Representative	1, 4
OKA Mizuho	AQZ650	Licensed Representative	1
OR Jane Carol Ng	ATN704	Licensed Representative	1
OR Yi Fung	AEP668	Licensed Representative	1
PEI Xi	ANF264	Licensed Representative	1, 1, 4, 6
PENG Gang	AGN636	Licensed Representative	1
PENG Ying-Hui	ANY357	Licensed Representative	1, 6
POLGE DE COMBRET Marguerite Prune Coralie	AUV736	Licensed Representative	1, 6
QIAN Keyang	AVY845	Licensed Representative	1, 2
RACHIDY Kamal	ARS441	Licensed Representative	1, 2
RAMANUJAM Anagha	ASY644	Licensed Representative	1, 4, 6
RENGANATHAN Rajaram	APB676	Licensed Representative	1, 2
RICHARD Yves Mathieu Nicolas	ATO220	Licensed Representative	1
ROBINSON Martin Eric	AOO232	Licensed Representative	1, 6
ROEDER Sabine Monika	ATN822	Licensed Representative	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
SANO Nobuhiro	ADQ334	Licensed Representative	1, 2, 4, 6
SE-TOA Chi Wah	AOA923	Licensed Representative	1
SESHAN Nikhil Harish	APK588	Licensed Representative	1, 2
SHAM Shun Fong	AGP281	Licensed Representative	1
SHEN Zheqing	ANQ750	Licensed Representative	1, 6
SHI Ran	AVC359	Licensed Representative	1, 4
SHUEN Wesley	AUL626	Licensed Representative	1, 2, 3
SIU Cheong Mau, Steven	AEW238	Licensed Representative	1
SO Daisy Marjorie	AMY229	Licensed Representative	1
SO Wing Kei	ARH983	Licensed Representative	1, 2, 5
SOLIHIN Ahmad	AML241	Licensed Representative	4
SUGIMOTO Chiaki	AUD991	Licensed Representative	3
SUN Kwok Yee	AGO267	Licensed Representative	1
SUN Pui Yin, James	ALS358	Licensed Representative	1, 2, 7
SUPANGAT Herman	AQF073	Licensed Representative	1, 4
SUZUKI Hiroyoshi	AY817	Licensed Representative	1, 4
TAM Chiu Yung	ALF748	Licensed Representative	1
TAM King Fung	AJR217	Licensed Representative	1, 4
TAM Man Chuen, Peter	ABS814	Licensed Representative	1
TAM Yeuk Lan, Winnie Cordelia	AEO360	Licensed Representative	1, 2
TAN Boon Cheong Alan Sebastian	AFR033	Licensed Representative	1, 6
TAN Cheng Soon	ALU098	Licensed Representative	1, 4, 9
TAN Veng Yen	ARE224	Licensed Representative	1, 6
TAN Wan Yuan	AMK263	Licensed Representative	1, 2
TANG Chung Kwan	ADV243	Licensed Representative	1, 2
TEE Charles Nicholas	AVZ559	Licensed Representative	1
THANAPISITIKUL Wirathip	ARP193	Licensed Representative	1
TIN Chi Hou, Sherman	ATM046	Licensed Representative	1
TOLEDANO Baruk	AUJ510	Licensed Representative	1
TONG Yau Keung	ALQ693	Licensed Representative	4
TOONG Po Ming, David	AOV609	Licensed Representative	1, 4
TSANG Kit Sun	AMD103	Licensed Representative	3
TSUI Sim Man	AGO619	Licensed Representative	1
TU Shunde	APF221	Licensed Representative	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
UCHIDA Kikuko	AUG749	Licensed Representative	1
VALADAO Mark Paul	ALZ929	Licensed Representative	1, 1
VANDEVILLE Betty Yvonne Gusta Mathilde	ATS636	Licensed Representative	1, 4
VARGHESE Dennis George	ATY739	Licensed Representative	1
WAI Pak To, Plato	ALW734	Licensed Representative	1
WAN Kit Ying, Rita	AFI613	Licensed Representative	1
WAN Po Yi	ANE694	Licensed Representative	1
WAN Siu Yin	ANN092	Licensed Representative	1
WANG Pingping	AQI580	Licensed Representative	6
WANG Song	APL470	Licensed Representative	1, 6
WANG Tianshi	ASD063	Licensed Representative	1
WANG Xiaojie	ARB168	Licensed Representative	1, 4
WATANABE Atsushi	ARL703	Licensed Representative	1
WATTS Andrew Radcliffe	APO012	Licensed Representative	1, 2
WEE Yee Yeong	AJM734	Licensed Representative	1
WEN Xiao Jie	AQH518	Licensed Representative	1, 2, 4
WON Sang Hoon	AOB339	Licensed Representative	1
WONG Brian Shek Kae	AQF551	Licensed Representative	9
WONG Chi Kan, Dominic	ACE461	Licensed Representative	1
WONG Cho Yi, Joey	APF338	Licensed Representative	1, 6
WONG Chow Wah	ABP448	Licensed Representative	1, 2, 2, 2, 7
WONG Chui Chi	AGQ113	Licensed Representative	4, 9
WONG Kar Hong	AAW118	Licensed Representative	4, 5, 9
WONG Kit Yu, Christine	AFO703	Licensed Representative	4
WONG Kwan Shun	APG476	Licensed Representative	1, 2
WONG Kwok Fun	ARN982	Licensed Representative	4
WONG Long Ki	AMJ900	Licensed Representative	1
WONG Ngar Yee Frances	ATL621	Licensed Representative	1, 2, 9
WONG Siu Yan	ANH125	Licensed Representative	1
WONG Suet Kwan, Cheri	AAF874	Licensed Representative	4, 9
WONG Tim Fung	AVD105	Licensed Representative	1
WONG Tin Wai, Raymond	AMZ920	Licensed Representative	1, 2, 4, 7
WONG Wan Nei, Winny	ART301	Licensed Representative	1, 4
WONG Yim Yim, Linda	APW757	Licensed Representative	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
WOO Man Yip, Justin	AFZ875	Licensed Representative	1, 2, 7
WOOD Simon Nicholas Lionel	AGJ116	Licensed Representative	1, 6
WU Renhao	APV536	Licensed Representative	9
WU Wallace Max	ASC567	Licensed Representative	1, 4, 9
XIAO Kai	AVM758	Licensed Representative	1
XIAO Liang	ATO707	Licensed Representative	1
XU Xiao	ATL670	Licensed Representative	1, 4
YANG Guang Lei	AQU344	Licensed Representative	4, 9
YANG Jim Qing	ARH111	Licensed Representative	1, 6
YANG Justin James	AGJ009	Licensed Representative	1, 2
YAO Danhui	AHL120	Licensed Representative	4
YAU CHI YUNG	ASP242	Licensed Representative	1
YAU Cheuk Wai, Michael	AJI660	Licensed Representative	4
YAU Chi Lok	AGP405	Licensed Representative	1, 4
YAU Tak Chi	AUP712	Licensed Representative	1
YE Jin	ATJ155	Licensed Representative	9
YEH Chia-wen	AQW770	Licensed Representative	4
YEH Megan Doreen C Y	AVG762	Licensed Representative	1
YEH Shan-Ju	APH120	Licensed Representative	1, 9
YEO Pei Hse	AFW119	Licensed Representative	1, 6
YEUN Sin Yee	AEY678	Licensed Representative	1
YEUNG Chi Ho	APQ274	Licensed Representative	1
YEUNG Fan	ANB787	Licensed Representative	4
YEUNG Wing Mui	AER308	Licensed Representative	1
YIM Lai Sum	ARS319	Licensed Representative	1, 4
YIP Chi Wing	ADI536	Licensed Representative	1, 2
YIP Chui Man, Scarlett	ASL639	Licensed Representative	3
YIP Hiu Fai	ARH269	Licensed Representative	1, 4, 9
YIP Kim Fung	APL476	Licensed Representative	1
YIU Shu Ching	ARB775	Licensed Representative	4
YIU Wai Kim Lydia	ATO857	Licensed Representative	1, 4
YOUNG Harvey Wade	AUJ768	Licensed Representative	1, 2, 7
YU Hiu Tung	ASF031	Licensed Representative	1, 4
YU Ken Min	ATH407	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
YU Man Tung	AWH559	Licensed Representative	1, 2
YU Min	AQW376	Licensed Representative	1, 6
YU Sin Cynthia	AQH521	Licensed Representative	4
YU Yuan Tsung	ARS797	Licensed Representative	1, 2
YUAN Hao	AUD136	Licensed Representative	1, 6
YUEN Chun Hei	AVK514	Licensed Representative	1
ZENG Zhijie	AVG595	Licensed Representative	1, 4, 9
ZHAO Xiao Le	AGC972	Licensed Representative	1
ZHENG Lili	ATN367	Licensed Representative	1, 4
ZHOU Jingjing	ARN345	Licensed Representative	1, 6
ZHU Shirong	AVI743	Licensed Representative	6
ZOU Feng	ART439	Licensed Representative	1, 2
ZOU Qinghong	AUI949	Licensed Representative	4, 9
ANG Michelle Suan Choo	AJX937	Licensed Representative - Responsible Officer	1, 4
BANNON Deborah Mary	AIK991	Licensed Representative - Responsible Officer	1, 4
BERG Paul Nicholas Louis	AJB792	Licensed Representative - Responsible Officer	4, 9
BETTSCHIEDER Patrick Rainer	AQD715	Licensed Representative - Responsible Officer	1, 4
CHAM Ngan Kuen	ABD584	Licensed Representative - Responsible Officer	4
CHAM Wing Kam	AGO833	Licensed Representative - Responsible Officer	4
CHAN Sze Wah, Iris	AED736	Licensed Representative - Responsible Officer	4
CHEAH Wei Teik	AIR150	Licensed Representative - Responsible Officer	9
CHEN Ken	AOB367	Licensed Representative - Responsible Officer	6
CHENG Po Chuen	ADZ025	Licensed Representative - Responsible Officer	1, 6
FERGUSON Mark Andrew	AUN426	Licensed Representative - Responsible Officer	4, 9
HSIU Chia-Huei	APJ108	Licensed Representative - Responsible Officer	3
HSU Chih-Heng	ALF614	Licensed Representative - Responsible Officer	1, 4
KAN Kou Ming	AIC203	Licensed Representative - Responsible Officer	1, 4
KELLER Jean	AAJ278	Licensed Representative - Responsible Officer	4, 9
KISBER Michael Edward	AQO243	Licensed Representative - Responsible Officer	1
LAM Cho Ying, Terence Joe	ABW710	Licensed Representative - Responsible Officer	1, 4
LEE Kai Yiu, Benjamin	AAD204	Licensed Representative - Responsible Officer	4, 9
LI Kam Ching, Derick	ADH908	Licensed Representative - Responsible Officer	1, 4
LI Zemin	ACN988	Licensed Representative - Responsible Officer	9
MAYANI Rajesh Arvind	AST098	Licensed Representative - Responsible Officer	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
NAM Yea Hyun	ADD073	Licensed Representative - Responsible Officer	1, 4, 6, 9
PERRY Michael Cameron	AQL994	Licensed Representative - Responsible Officer	9
ROYDS Thomas Alexander	AFY848	Licensed Representative - Responsible Officer	4, 9
SUM Nai Bun, Stephen	ACP406	Licensed Representative - Responsible Officer	4, 9
SUN Timothy	ADQ628	Licensed Representative - Responsible Officer	4
SZE Tak Chee	AFD871	Licensed Representative - Responsible Officer	1, 4
TAM May Har	ACP414	Licensed Representative - Responsible Officer	1
TAN Cheng Soon	ALU098	Licensed Representative - Responsible Officer	5
TO Tszi Wai	AFU687	Licensed Representative - Responsible Officer	4, 5, 9
TSAY Shu-Tzu	ANL892	Licensed Representative - Responsible Officer	1, 4
VALADAO Mark Paul	ALZ929	Licensed Representative - Responsible Officer	4, 9
WAXLEY Simon Andrew	ALL217	Licensed Representative - Responsible Officer	4, 9
YEUNG Hoi Tin	ALN233	Licensed Representative - Responsible Officer	1, 4
YEUNG Kai Ho, Henry	AEZ712	Licensed Representative - Responsible Officer	6
ABITBOL Othoniel	AWE966	Licensed Representative (Provisional Licence)	1, 2, 7
AGARI Daisuke	AWH021	Licensed Representative (Provisional Licence)	1
AHUJA Varun	AWK895	Licensed Representative (Provisional Licence)	4
ARCULLI Kim Melanie	AAA119	Licensed Representative (Provisional Licence)	1, 4
AU-YEUNG Tin Lung, Tino	AVB806	Licensed Representative (Provisional Licence)	1, 2
AWANOHARA Naoko	AWE541	Licensed Representative (Provisional Licence)	1
BANNO Kohei	AWL102	Licensed Representative (Provisional Licence)	1
BRUNJES Mark Nicholas	AVJ281	Licensed Representative (Provisional Licence)	1
CESAR Joao Paulo Cardoso Monteclaro	AWK004	Licensed Representative (Provisional Licence)	1, 4, 9
CHABANCE Fabrice Joseph	AWM847	Licensed Representative (Provisional Licence)	1, 2, 7
CHAN Che Hong, Bruce	AKQ590	Licensed Representative (Provisional Licence)	1
CHAN Ching Sum Sam	AWO231	Licensed Representative (Provisional Licence)	6
CHAN Fung Yee	AWN383	Licensed Representative (Provisional Licence)	1
CHAN Hing Hung	AWJ215	Licensed Representative (Provisional Licence)	2
CHAN Jeffrey Thomas	AWK379	Licensed Representative (Provisional Licence)	9
CHAN Ka Cheong	AWL330	Licensed Representative (Provisional Licence)	1
CHAN Kang Ming	AWN391	Licensed Representative (Provisional Licence)	1
CHAN King Sum Kelvin	AWK776	Licensed Representative (Provisional Licence)	1
CHAN Kwan Yeung, Arnold	AWN910	Licensed Representative (Provisional Licence)	1, 9
CHAN Lee Keung	AFO341	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Pak Shing	AWJ632	Licensed Representative (Provisional Licence)	1
CHAN Siu Lung	AWL331	Licensed Representative (Provisional Licence)	1
CHAN Tat Lai	AWN496	Licensed Representative (Provisional Licence)	1, 4
CHAN Timothy Tsz Kit	AWM787	Licensed Representative (Provisional Licence)	1, 2
CHAN Wah Lee	AWJ776	Licensed Representative (Provisional Licence)	1
CHAN Wai Keung	AWN095	Licensed Representative (Provisional Licence)	1
CHAN Wai Sing	AWJ805	Licensed Representative (Provisional Licence)	2
CHAN Wing Hei	AWL048	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Xin Yi, Cheryl Dawn	AWL069	Licensed Representative (Provisional Licence)	1, 4
CHAN Yin Chun	AWN395	Licensed Representative (Provisional Licence)	1
CHAU Kit Ling	AWL990	Licensed Representative (Provisional Licence)	1
CHEN Jiachun	AWE776	Licensed Representative (Provisional Licence)	1
CHEN Liang	AWM930	Licensed Representative (Provisional Licence)	1, 2
CHEN Szu-Wei	AWO626	Licensed Representative (Provisional Licence)	4
CHEN Xinrui	AWL318	Licensed Representative (Provisional Licence)	2
CHEN Yanhu	AWJ173	Licensed Representative (Provisional Licence)	1, 6
CHEN Yikai	AWL918	Licensed Representative (Provisional Licence)	1, 2
CHENG Chor Sing	AGT891	Licensed Representative (Provisional Licence)	1
CHENG Kwok Fun	ANZ648	Licensed Representative (Provisional Licence)	9
CHEUNG Chi Wing, Cherry	AWO028	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Homond	AWK002	Licensed Representative (Provisional Licence)	1, 2, 7
CHEUNG Ka Shing	AWN498	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Kam Wai	AWL269	Licensed Representative (Provisional Licence)	1
CHEUNG Lai Sim	AWK929	Licensed Representative (Provisional Licence)	4
CHEUNG Man	AWL487	Licensed Representative (Provisional Licence)	1
CHEUNG Sze Fan	ADI822	Licensed Representative (Provisional Licence)	4, 9
CHEUNG Tung Mui	AWK172	Licensed Representative (Provisional Licence)	1
CHHAOCHHARIA Gautam	AWK911	Licensed Representative (Provisional Licence)	4
CHILVERS Craig Aaron Shane	AWL056	Licensed Representative (Provisional Licence)	9
CHIU Doris	AWK467	Licensed Representative (Provisional Licence)	1, 2
CHIU Shui Kei	AOH254	Licensed Representative (Provisional Licence)	1, 2
CHOI Bo Sung	AWK857	Licensed Representative (Provisional Licence)	1, 4
CHOI Wai Chiu	AWP269	Licensed Representative (Provisional Licence)	1, 2
CHOI Yuk Ting	AWO224	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
CHONG Chi Kin	AWD496	Licensed Representative (Provisional Licence)	1, 2, 3
CHONG Ming Hang	AWJ006	Licensed Representative (Provisional Licence)	4
CHOW Oscar	AWP108	Licensed Representative (Provisional Licence)	4
CHOW Wing Suen Amy	AWO954	Licensed Representative (Provisional Licence)	1, 6
CHU LAI SAN, TERESA	AWN382	Licensed Representative (Provisional Licence)	1
CHU Lei Mei	AWJ929	Licensed Representative (Provisional Licence)	1, 4
CHUI Siu Ying	AED603	Licensed Representative (Provisional Licence)	1
CHUNG Kim Nga	AWT061	Licensed Representative (Provisional Licence)	1
CHUNG Shun Ho	AVM902	Licensed Representative (Provisional Licence)	1, 2
CHUNG Soon Hyung	AWJ606	Licensed Representative (Provisional Licence)	1, 4, 9
CHUNG Tsun Stephen	AWN749	Licensed Representative (Provisional Licence)	1
COOMBS Jonathan Lee	ATE957	Licensed Representative (Provisional Licence)	1
DAI Chun Yin	AWM158	Licensed Representative (Provisional Licence)	1
DESMADRYL Xavier Alain Jacques	AWK991	Licensed Representative (Provisional Licence)	1, 4, 9
DING Shuang	AWO081	Licensed Representative (Provisional Licence)	4
DING Zhiyuan	ASK507	Licensed Representative (Provisional Licence)	4
DONG Qing	AWL635	Licensed Representative (Provisional Licence)	9
DULIEU Billy Ronald	AWM143	Licensed Representative (Provisional Licence)	2
DWIVEDI Manas	AWK555	Licensed Representative (Provisional Licence)	1, 4
EDER Stephan	AVJ088	Licensed Representative (Provisional Licence)	1, 2
EL KHOURY Jean	AWO016	Licensed Representative (Provisional Licence)	1, 2
EZZIANE Nadjib	AWJ626	Licensed Representative (Provisional Licence)	1
FAN Chunlin	AGX677	Licensed Representative (Provisional Licence)	6
FOK Yui Kwong	AWK438	Licensed Representative (Provisional Licence)	1
FONG Chan Wah, Simon	AVV978	Licensed Representative (Provisional Licence)	1
FRANCK Graeme Frederick	AVL397	Licensed Representative (Provisional Licence)	1
FU Ka Chun	AWJ844	Licensed Representative (Provisional Licence)	1
FUKUSHI Yutaka	AWN205	Licensed Representative (Provisional Licence)	1, 4, 6
FUN Sze Wai, Silvia	AWK593	Licensed Representative (Provisional Licence)	4
FUNG King Bun, Gary	AWK826	Licensed Representative (Provisional Licence)	4, 9
FUNG Ling	AWM805	Licensed Representative (Provisional Licence)	1
FUNG Shing Wai	AWJ859	Licensed Representative (Provisional Licence)	1, 2
FUNG Siu Lung, Ivan	AWK740	Licensed Representative (Provisional Licence)	2
GAGNON David	AWL439	Licensed Representative (Provisional Licence)	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
GALLAGHER Richard William Leslie	AOH529	Licensed Representative (Provisional Licence)	1
GAO Lina	AWK250	Licensed Representative (Provisional Licence)	1, 2
GAO Meng	AWK830	Licensed Representative (Provisional Licence)	4, 9
GENG Tingting	AWL497	Licensed Representative (Provisional Licence)	1, 2, 7
GERRARD Paul Anthony	AWN386	Licensed Representative (Provisional Licence)	1
GUO Yun	AWD211	Licensed Representative (Provisional Licence)	6
HASWANI Bhavna	AWO035	Licensed Representative (Provisional Licence)	1
HE Xie	AWL630	Licensed Representative (Provisional Licence)	4
HO Chun To	AWK554	Licensed Representative (Provisional Licence)	1, 4
HO Kin Man	AWG976	Licensed Representative (Provisional Licence)	1
HONG Maria Myung Sun	AWK331	Licensed Representative (Provisional Licence)	1, 4
HSU Yu Chun	AWK457	Licensed Representative (Provisional Licence)	4, 9
HU Bin	AWJ017	Licensed Representative (Provisional Licence)	1, 4
HU Yi Na	AWM092	Licensed Representative (Provisional Licence)	4, 9
HUANG Chi Chen	AWL536	Licensed Representative (Provisional Licence)	1, 2
HUANG Wen Yau	AWL066	Licensed Representative (Provisional Licence)	4
HUANG Yingying	AWK778	Licensed Representative (Provisional Licence)	1, 6
HUI Wing Chun, Eric	AWP473	Licensed Representative (Provisional Licence)	1, 6
HUI Yee Ting	AWL151	Licensed Representative (Provisional Licence)	1
HWANG Su-u Jim	AWN207	Licensed Representative (Provisional Licence)	4, 9
IBUKI Jumpei	AWO623	Licensed Representative (Provisional Licence)	9
JAISINGH Rohit	AIZ536	Licensed Representative (Provisional Licence)	1
JIANG Sheng	AWN098	Licensed Representative (Provisional Licence)	4
JIANG Wei	AWS208	Licensed Representative (Provisional Licence)	1, 4
JIM Wai Chun	AWN336	Licensed Representative (Provisional Licence)	1
JONES William Rhys	AWI933	Licensed Representative (Provisional Licence)	1, 2
KALE Shrikant Baburao	AWL660	Licensed Representative (Provisional Licence)	4
KAM MEI YEE	AWN389	Licensed Representative (Provisional Licence)	1
KANNANGARA Chamath	AWO752	Licensed Representative (Provisional Licence)	1, 2
KANZAKI Shimpei	AWN525	Licensed Representative (Provisional Licence)	1, 4
KASDIN Matthew Hedley	AWJ133	Licensed Representative (Provisional Licence)	1, 4, 6
KIM Hee Kwon	AWL172	Licensed Representative (Provisional Licence)	1, 4
KIRKBY Matthew	ADY177	Licensed Representative (Provisional Licence)	1, 6
KUNIMOTO (ALAWDEEN) Reza	AUO037	Licensed Representative (Provisional Licence)	1, 4, 7

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
KWOK CHEUNG Tak May	AQJ220	Licensed Representative (Provisional Licence)	4, 9
KWOK Chung Man	AWN381	Licensed Representative (Provisional Licence)	1
KWOK Ka Ying, Kendra	AWL154	Licensed Representative (Provisional Licence)	1
KWONG Chin Fung	AWK998	Licensed Representative (Provisional Licence)	1, 2
KWONG Pui Chuen	AWL133	Licensed Representative (Provisional Licence)	1, 2
LAI Ki Fung, Kevin	AJC117	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LAM Chi Kwong	AWM895	Licensed Representative (Provisional Licence)	1
LAM Fong Tat	AJM293	Licensed Representative (Provisional Licence)	4
LAM Hung Fai	AWK996	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Jessica Yu Wei	AKI444	Licensed Representative (Provisional Licence)	1, 6
LAM Ki Yuen	AWO017	Licensed Representative (Provisional Licence)	1
LAM Sin Wa	AWO867	Licensed Representative (Provisional Licence)	1
LAM Sing Kuen	AFT889	Licensed Representative (Provisional Licence)	1
LAM Yin	AWL740	Licensed Representative (Provisional Licence)	1, 4, 9
LARDY Patrick	AWN750	Licensed Representative (Provisional Licence)	1, 2, 7
LAU Chi Pun	AWK994	Licensed Representative (Provisional Licence)	1
LAU Hang, Henry	AWJ860	Licensed Representative (Provisional Licence)	1, 6
LAU Tsz Ho	AWO218	Licensed Representative (Provisional Licence)	1
LAU Wang Yip	AVY122	Licensed Representative (Provisional Licence)	1
LE Yongqiang	AVS864	Licensed Representative (Provisional Licence)	1, 2
LEE Christopher Shawn	AWK042	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Chun Wah	AFR066	Licensed Representative (Provisional Licence)	1, 9
LEE Hyun Jae	AWK779	Licensed Representative (Provisional Licence)	1
LEE Jennifer Ann	AWL482	Licensed Representative (Provisional Licence)	1, 4
LEE Pei Yuan	AWJ832	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Pei-Chen	AWK547	Licensed Representative (Provisional Licence)	1
LEE Wai Kin	APD151	Licensed Representative (Provisional Licence)	1
LEE Wilson Kwok Wai	AWK840	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Yin Lam, Jackie	AWL173	Licensed Representative (Provisional Licence)	1
LEMEUNIER Maxime Pierre Alexandre	AWL301	Licensed Representative (Provisional Licence)	1, 2, 7
LEUNG Pan Ling, Brian	AWN531	Licensed Representative (Provisional Licence)	1, 4, 6
LEUNG Shu Tak	AWM894	Licensed Representative (Provisional Licence)	4
LEUNG Shuk Fan	AWL420	Licensed Representative (Provisional Licence)	1, 2
LEUNG Siu Tak	AWJ858	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Chi Hang	AWK766	Licensed Representative (Provisional Licence)	1
LI Grady V	AWM157	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 6, 9
LI Sisi	AWL795	Licensed Representative (Provisional Licence)	1, 2
LI Siu Kim	AFW899	Licensed Representative (Provisional Licence)	9
LI Suet Wing Mathew	AWO223	Licensed Representative (Provisional Licence)	1
LI Tsz Wai	AWL543	Licensed Representative (Provisional Licence)	1, 2
LI Wai Ling, Winnie	AWK718	Licensed Representative (Provisional Licence)	1
LI Xiaoguang	AWL741	Licensed Representative (Provisional Licence)	9
LI Xuefei	AWN481	Licensed Representative (Provisional Licence)	1
LI Yanjie	AUS278	Licensed Representative (Provisional Licence)	1, 6
LIN Hou Pu, Jonathan	AWO625	Licensed Representative (Provisional Licence)	9
LIN Lin	AWO642	Licensed Representative (Provisional Licence)	1, 4, 6
LING HING CHEUNG	AWN388	Licensed Representative (Provisional Licence)	1
LIU Feizhi	AWD212	Licensed Representative (Provisional Licence)	6
LIU Han-Chih	AWJ230	Licensed Representative (Provisional Licence)	4
LIU Ni Sha	AGQ395	Licensed Representative (Provisional Licence)	1
LIU Sun Ting, Ignatius	AWN746	Licensed Representative (Provisional Licence)	1, 2
LIU Xiao Ning	AWL455	Licensed Representative (Provisional Licence)	4
LIU Yili	AIU618	Licensed Representative (Provisional Licence)	4, 5, 9
LLOYD Benjamin Buckler	AWN319	Licensed Representative (Provisional Licence)	1, 2
LO Tim Bo	AWL484	Licensed Representative (Provisional Licence)	1, 2, 7
LO Wai Lun	AVM934	Licensed Representative (Provisional Licence)	1, 2
LU David	AWK008	Licensed Representative (Provisional Licence)	4, 9
LU Han	AWD213	Licensed Representative (Provisional Licence)	6
LUA Sheng Join	AWD622	Licensed Representative (Provisional Licence)	1, 6
LUI Yin Jing Jezzie	AWO222	Licensed Representative (Provisional Licence)	1
LUK Tit Pui	AOY548	Licensed Representative (Provisional Licence)	1
LUK Yin Wang	AMS602	Licensed Representative (Provisional Licence)	4
LUO Hua	AWJ906	Licensed Representative (Provisional Licence)	2
MA Chung Chi, Adrian	APL879	Licensed Representative (Provisional Licence)	1, 2
MA Siu Hong	AVM590	Licensed Representative (Provisional Licence)	1
MA Xianyu	AWF217	Licensed Representative (Provisional Licence)	1, 4, 6
MAGNUS George Anthony	AWP242	Licensed Representative (Provisional Licence)	4
MAJUMDER Subhabrata	AVI915	Licensed Representative (Provisional Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
MAK Ka Yan	AWI142	Licensed Representative (Provisional Licence)	1
MAK Wai Kit	AWK248	Licensed Representative (Provisional Licence)	1
MAK Yiu Hong	AWL694	Licensed Representative (Provisional Licence)	1, 2
MALAMUT Scott Hunter	AWN519	Licensed Representative (Provisional Licence)	1, 6
MAN KIN FUN	AWN380	Licensed Representative (Provisional Licence)	1
MANSUKHANI Monish Manik	AWL683	Licensed Representative (Provisional Licence)	1
MATANEY Pankaj Gul	AWK557	Licensed Representative (Provisional Licence)	1, 4
MAXWELL Ross	AWP247	Licensed Representative (Provisional Licence)	1, 2
MOK Kwan Yu	AWO387	Licensed Representative (Provisional Licence)	1
MOK Yun Cheong, George	AWH558	Licensed Representative (Provisional Licence)	1, 2
MOLIN Gregory, Benoit, Benjamin	AWL272	Licensed Representative (Provisional Licence)	1, 2
MUI Tsz Ching	AWN715	Licensed Representative (Provisional Licence)	1, 2
MULLANEY David	AWO856	Licensed Representative (Provisional Licence)	1, 7
MUTREJA Anuj	AFT540	Licensed Representative (Provisional Licence)	9
NAKAMURA Spark Takuya	AVJ263	Licensed Representative (Provisional Licence)	1, 2, 4, 5
NAKANISHI Hajime	AWN423	Licensed Representative (Provisional Licence)	1, 2, 4
NAKAYAMA Mari	AWN424	Licensed Representative (Provisional Licence)	1, 4, 6
NG Cheong Hing	AWO203	Licensed Representative (Provisional Licence)	1
NG Kwai Ting	AWK009	Licensed Representative (Provisional Licence)	1
NG Man Kit	AWK456	Licensed Representative (Provisional Licence)	1
NG Sau Mui	APL380	Licensed Representative (Provisional Licence)	1
NG Wing Kin	AWN677	Licensed Representative (Provisional Licence)	1
NG Wing Sze, Ophelia Jess	AWO118	Licensed Representative (Provisional Licence)	1, 2
NG Yee Ting	AWL516	Licensed Representative (Provisional Licence)	1, 2
NGAI Chi Hung	ADJ670	Licensed Representative (Provisional Licence)	1, 4
Ngai Hau Chuen	ALQ313	Licensed Representative (Provisional Licence)	1
OH Christopher Chin Huat	AWJ697	Licensed Representative (Provisional Licence)	4
OMOTO Atsushi	ANU254	Licensed Representative (Provisional Licence)	1, 2
ONG Cheong Teck David	AWK235	Licensed Representative (Provisional Licence)	1, 4
PANG Jimmy Kar-yin	ANV218	Licensed Representative (Provisional Licence)	4, 9
PANG Kwan	AWN156	Licensed Representative (Provisional Licence)	1, 4, 6
PANG Wai Yuen	AWN316	Licensed Representative (Provisional Licence)	6
PARAVANI Simona	AWK354	Licensed Representative (Provisional Licence)	1, 4, 9
PARK Hye Yon	AWM153	Licensed Representative (Provisional Licence)	1, 7

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
PARK Ji Yeop	AWK780	Licensed Representative (Provisional Licence)	1, 4
PARK Jung Mi	AWL531	Licensed Representative (Provisional Licence)	1, 4
PATEL Rahul Bharat	AWO647	Licensed Representative (Provisional Licence)	9
PEERAN Syed Ibrahim	AWH502	Licensed Representative (Provisional Licence)	1, 6
PERRIER Amelie	AVZ289	Licensed Representative (Provisional Licence)	1, 2
PRITCHARD Simon James	AEY358	Licensed Representative (Provisional Licence)	1, 4
QI Xin	AWL701	Licensed Representative (Provisional Licence)	4
QI Xuan	ATW424	Licensed Representative (Provisional Licence)	1
RAMDEV Amit Kumar	AWK762	Licensed Representative (Provisional Licence)	4
RAO Meixiu	AWD944	Licensed Representative (Provisional Licence)	1, 4
RHEE Vincent Suk Kyu	AWJ997	Licensed Representative (Provisional Licence)	1, 7
RIESNER Michael Lothar Kurt	AWK175	Licensed Representative (Provisional Licence)	1
ROBBINS William Ralph Quentin	APJ520	Licensed Representative (Provisional Licence)	1, 4
RYU Benedict, Hanseok	AWL168	Licensed Representative (Provisional Licence)	1, 4
SAMANT Medha Aditya	ACP452	Licensed Representative (Provisional Licence)	1, 9
SEKIGUCHI Jin	AWK001	Licensed Representative (Provisional Licence)	1, 4
SEOW Zhi Xiang	AWO859	Licensed Representative (Provisional Licence)	1, 2
SHAH Anand	AKQ902	Licensed Representative (Provisional Licence)	1, 2
SHARMA Unmesh Yagya	AWL273	Licensed Representative (Provisional Licence)	4
SHE Weichao	AWL894	Licensed Representative (Provisional Licence)	4
SHEN Wenting	AWI982	Licensed Representative (Provisional Licence)	1, 4
SHI Mengjie	AWL334	Licensed Representative (Provisional Licence)	4
SIN Wai Mei	AWO645	Licensed Representative (Provisional Licence)	1
SIU Chi Wai	AWK579	Licensed Representative (Provisional Licence)	1, 6
SO Gideon K	APU886	Licensed Representative (Provisional Licence)	1, 2
SU Xin	AVZ612	Licensed Representative (Provisional Licence)	1, 6
SUEN Kin Pong	ACO272	Licensed Representative (Provisional Licence)	1
SUN Fei	AWK584	Licensed Representative (Provisional Licence)	4
SUNG Chang Hwan	AWI986	Licensed Representative (Provisional Licence)	1, 4, 9
SURBER Markus	AWK173	Licensed Representative (Provisional Licence)	1
TAM Wai Kit, Jonathan	AWL263	Licensed Representative (Provisional Licence)	1, 4, 6
TANAKA Satoshi	AWN493	Licensed Representative (Provisional Licence)	1, 2
TANG Po Lam, Paulia	AWM084	Licensed Representative (Provisional Licence)	2
TANG Yu Hin, Tenny	AWL868	Licensed Representative (Provisional Licence)	1, 2, 3

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
TANUJAYA TJIOENG Johny	AWN206	Licensed Representative (Provisional Licence)	1
TAW Hock Chiang, Timothy	AWO019	Licensed Representative (Provisional Licence)	1
TAY Lim How	AVV973	Licensed Representative (Provisional Licence)	1
TO Cho Ki	AWL839	Licensed Representative (Provisional Licence)	1, 2
TO Kwong Yiu	APB667	Licensed Representative (Provisional Licence)	1
TODD Jason Edmund	ATO944	Licensed Representative (Provisional Licence)	4
TOH Jane	AWN315	Licensed Representative (Provisional Licence)	1, 4, 6
TOH Jianxun	AWL872	Licensed Representative (Provisional Licence)	1, 6
TSANG Sze Wai, Billy	AIR095	Licensed Representative (Provisional Licence)	1, 4, 9
TSANG Wai Yee, Faredah	AWL906	Licensed Representative (Provisional Licence)	1, 4
TSANG Wing Chi	AOB547	Licensed Representative (Provisional Licence)	4
TSE Jonathan Kamhay	AWJ763	Licensed Representative (Provisional Licence)	1
TSOI Yuk Chi	AWN912	Licensed Representative (Provisional Licence)	4
TSUI Kwan Tung, Gwendolen	AWI658	Licensed Representative (Provisional Licence)	4, 9
TUNTASOOD Rada	AWL101	Licensed Representative (Provisional Licence)	4, 9
UMETSU Cameron Neil	ARP038	Licensed Representative (Provisional Licence)	4
VAN DER VELDT Matthieu Pieter Cornelis	AVM130	Licensed Representative (Provisional Licence)	1
WAN Man Sze, Esther	AWN091	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Bo	AGD229	Licensed Representative (Provisional Licence)	4
WANG Ju	AWN314	Licensed Representative (Provisional Licence)	4
WANG Rui	AWO957	Licensed Representative (Provisional Licence)	1
WANG Tianbing	AWO087	Licensed Representative (Provisional Licence)	1, 4
WANG Wei	AWL287	Licensed Representative (Provisional Licence)	1, 6
WANG Weigang	ANS227	Licensed Representative (Provisional Licence)	6
WANG Yan	AWM002	Licensed Representative (Provisional Licence)	1
WATANABE Junko	AWN422	Licensed Representative (Provisional Licence)	1, 2, 4
WEATHERSTON Alan Robert	ALU612	Licensed Representative (Provisional Licence)	1, 4, 9
WILLIAMS Grant David Vincent	AHU774	Licensed Representative (Provisional Licence)	1
WILSON Henry William Humphrey	AWO461	Licensed Representative (Provisional Licence)	4
WONG Chak Sum	AWL267	Licensed Representative (Provisional Licence)	1
WONG Ka King, Dickson	AWN748	Licensed Representative (Provisional Licence)	1
WONG Kam Suen, David	AFN655	Licensed Representative (Provisional Licence)	1
WONG Koon Ming	AWO747	Licensed Representative (Provisional Licence)	1
WONG Koon Wah	ACK493	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Man Tai, Eric	AWO987	Licensed Representative (Provisional Licence)	1, 2
WONG Mau Ying	AWN369	Licensed Representative (Provisional Licence)	1
WONG Ming Chak, Raphael	AWL810	Licensed Representative (Provisional Licence)	1, 2
WONG Nam Fu, Andy	ADB026	Licensed Representative (Provisional Licence)	1, 2
WONG Pik Yu	AWN393	Licensed Representative (Provisional Licence)	1
WONG Pok Wang	AWP272	Licensed Representative (Provisional Licence)	1, 4
WONG Sau Ching	AWL183	Licensed Representative (Provisional Licence)	1
WONG Siu Por	AVH182	Licensed Representative (Provisional Licence)	1, 2
WONG Siu Tat	AWL142	Licensed Representative (Provisional Licence)	3
WONG Sung Kuk	AWH034	Licensed Representative (Provisional Licence)	1
WONG Thomas Chi Kit	AWN504	Licensed Representative (Provisional Licence)	1
WONG Wing Man	AWL652	Licensed Representative (Provisional Licence)	1, 2
WONG Yik Shing	AWO225	Licensed Representative (Provisional Licence)	1
WONG Yuet Ling	ART233	Licensed Representative (Provisional Licence)	9
WU Jennifer York Kei	AHX685	Licensed Representative (Provisional Licence)	6
WU Yangdong	AWN210	Licensed Representative (Provisional Licence)	1, 2, 7
WU Yanjia	AWN526	Licensed Representative (Provisional Licence)	1, 9
XU Chendi	AWL336	Licensed Representative (Provisional Licence)	1, 6
XU Shaoyi	AON008	Licensed Representative (Provisional Licence)	6
XU Yingbo	AVV560	Licensed Representative (Provisional Licence)	4
XUE Fei	ASP680	Licensed Representative (Provisional Licence)	4
XUE Yang	AWK548	Licensed Representative (Provisional Licence)	1, 4, 6
YAN Gaojian	AVV553	Licensed Representative (Provisional Licence)	4
YANG Clarence	AWN419	Licensed Representative (Provisional Licence)	4, 9
YANG Fengchun	AWJ220	Licensed Representative (Provisional Licence)	4
YANG Xiaojing	AWN157	Licensed Representative (Provisional Licence)	1, 2
YANG Zhao	AWK896	Licensed Representative (Provisional Licence)	1
YAO Shaohua	AWK450	Licensed Representative (Provisional Licence)	1, 4, 5, 9
YE Nayun	AWG076	Licensed Representative (Provisional Licence)	1, 4, 6
YE Zuobin	AWK611	Licensed Representative (Provisional Licence)	1
YEUNG Ka Man, Theresa	ASH883	Licensed Representative (Provisional Licence)	1
YEUNG Kim Wa	AWJ986	Licensed Representative (Provisional Licence)	1, 2
YEUNG Lai Man	AWP881	Licensed Representative (Provisional Licence)	6
YEUNG Nga Yi	ACP957	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
YIU Ka Wa	AWK590	Licensed Representative (Provisional Licence)	1, 4
YONG Fen Choong	AOM504	Licensed Representative (Provisional Licence)	1, 2
YOO Seungyeon	AWN340	Licensed Representative (Provisional Licence)	1
YU Adrienne Jia Yan	ARQ478	Licensed Representative (Provisional Licence)	1, 6
YU Chi King, Danny	AWK997	Licensed Representative (Provisional Licence)	1
YU Chun Wai	AWL989	Licensed Representative (Provisional Licence)	1
YU Wai Hung	AWN747	Licensed Representative (Provisional Licence)	1
YUEN Wai Kin	AWL270	Licensed Representative (Provisional Licence)	1
YUNG Jeffrey Yan-Leun	AWL879	Licensed Representative (Provisional Licence)	1, 2
YUNG Man Yee	AWO584	Licensed Representative (Provisional Licence)	1
ZENG Liyi	AWC178	Licensed Representative (Provisional Licence)	1
ZHANG Charles	AWP279	Licensed Representative (Provisional Licence)	1
ZHANG Fan	AWL268	Licensed Representative (Provisional Licence)	1, 6
ZHANG Jinliang	AVY896	Licensed Representative (Provisional Licence)	6
ZHANG Longteng	AWN911	Licensed Representative (Provisional Licence)	1, 6
ZHANG Xianning	AWS214	Licensed Representative (Provisional Licence)	1, 4
ZHANG Xiuping	AJL094	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Yiding	AWN022	Licensed Representative (Provisional Licence)	4
ZHAO Nan	AWN313	Licensed Representative (Provisional Licence)	1, 4, 6
ZHAO Yu	AWL739	Licensed Representative (Provisional Licence)	1, 6
ZHENG Lili	AWJ166	Licensed Representative (Provisional Licence)	4
ZHENG Yinan	ATH408	Licensed Representative (Provisional Licence)	1, 6
ZHONG Yiran	AWJ594	Licensed Representative (Provisional Licence)	4
ZHOU Chang Qing	AWN724	Licensed Representative (Provisional Licence)	1, 2
ZHOU Weiguo	APO574	Licensed Representative (Provisional Licence)	1
ZHOU Xingyuan	AWK993	Licensed Representative (Provisional Licence)	1
ZHOU Xiuxia	AWO226	Licensed Representative (Provisional Licence)	6
ZHU Jian	AWK597	Licensed Representative (Provisional Licence)	1
ZHU Junyan	AWD993	Licensed Representative (Provisional Licence)	4
AHUJA Vivek Manohar	ARI512	Licensed Representative (Temporary Licence)	1
BAURMEISTER Eric Johannes	AQS707	Licensed Representative (Temporary Licence)	1
CLINT Oswald Conan	AVH615	Licensed Representative (Temporary Licence)	4
DEROLD Christian	AVW188	Licensed Representative (Temporary Licence)	1
FRANCIS Kayoko	AON817	Licensed Representative (Temporary Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2011			
CE Name	CE No.	Licence Type	Types of Regulated Activity
GUPTE Anagha Avinash	AWO078	Licensed Representative (Temporary Licence)	4
HARRINGTON JR Thomas James	AWA209	Licensed Representative (Temporary Licence)	1
HIROKANE Masaharu	AWO791	Licensed Representative (Temporary Licence)	4
ISAYAMA Yuichiro	AWO868	Licensed Representative (Temporary Licence)	1, 4
KAGAWA Yoichi	AWC083	Licensed Representative (Temporary Licence)	1
KIM Jong Kuk	AFC107	Licensed Representative (Temporary Licence)	1, 4
MC CORMICK James Michael	APZ228	Licensed Representative (Temporary Licence)	4
MC MAHON Neil Andrew	AWA194	Licensed Representative (Temporary Licence)	4
MIKAMI Jiro	AQS872	Licensed Representative (Temporary Licence)	1
NARITA Yasuhiro	ARQ487	Licensed Representative (Temporary Licence)	4
SAKAGAMI Ryota	AWO794	Licensed Representative (Temporary Licence)	4
SALMAN Makarim Adel	ATD287	Licensed Representative (Temporary Licence)	4
SHIU Susan Woon Ying	AUA244	Licensed Representative (Temporary Licence)	1, 2
SIGEL Matthew Joel	AWQ373	Licensed Representative (Temporary Licence)	4
SOGA Yuki	AWP238	Licensed Representative (Temporary Licence)	4
TANAKA Chikai	AWO799	Licensed Representative (Temporary Licence)	4
TANAKA Katsunori	AWP696	Licensed Representative (Temporary Licence)	1, 4
USHIRO Hideaki	AFY323	Licensed Representative (Temporary Licence)	4, 6
ZAHN David Martin	AWL065	Licensed Representative (Temporary Licence)	1