

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2011

CE Name	CE No.	License Type	Types of Regulated Activity
8 Securities Limited	AXL143	Licensed Corporation	1
Ant Global Partners (HK) Limited	AXM315	Licensed Corporation	4, 9
BlueBay Hong Kong Limited	AXK930	Licensed Corporation	1
Changjiang Asset Management (HK) Limited	AYE729	Licensed Corporation	4, 9
Changjiang Securities Brokerage (HK) Limited	AXY608	Licensed Corporation	1, 4
China Galaxy International Futures (Hong Kong) Co., Limited	AYH772	Licensed Corporation	2
China Venture Asset Management Limited	AXZ100	Licensed Corporation	9
Factorial Management Limited	AYJ875	Licensed Corporation	9
JW Capital Limited	AXC383	Licensed Corporation	9
Luk Fook Futures (HK) Limited	AXW976	Licensed Corporation	2
Lyncean Securities Limited	AXR802	Licensed Corporation	1, 4
Nanhua Investment Advisory (Hong Kong) Company Limited	AYC989	Licensed Corporation	5
New Vision Asset Management Limited	AYC323	Licensed Corporation	9
Sagacious Wealth Management Securities Limited	AYJ854	Licensed Corporation	1
Sheng Yuan Capital (Hong Kong) Limited	AXN851	Licensed Corporation	6
Veritas Asset Management (Asia) Limited	AXP737	Licensed Corporation	9
Volant Trading Hong Kong Limited	AWS389	Licensed Corporation	1, 2
WATERMILL ADVISORS LIMITED	AYD086	Licensed Corporation	9
ABE Bennett Sato	AYK133	Licensed Representative	9
ABE Hiromi	ACJ263	Licensed Representative	4
AHN Byung Hak	AYI244	Licensed Representative	1, 4
AU YEUNG Hoi Yi	AYK865	Licensed Representative	1
BAI Weiguang	AYI602	Licensed Representative	4, 5, 9
BAI Xiaopu	AYJ561	Licensed Representative	2
BAKER Toby	AYI012	Licensed Representative	4, 9
BEAVIS Stuart Cameron	AYH968	Licensed Representative	1, 2
BELKHEIR Karim	AYK383	Licensed Representative	1, 2
BESSENT Scott Kenneth Homer	AYI536	Licensed Representative	9
BEST David Gareth	AKO808	Licensed Representative	1, 2
BEVAN Mariea	AJH487	Licensed Representative	1
BONG Chee Kong	AYI791	Licensed Representative	2
BURT Dionne michelle	AYI108	Licensed Representative	2
CABLE Paul Michael	AYI039	Licensed Representative	4, 9
CAI Jiyu	AYK074	Licensed Representative	1
CARLSON Sally Hannah	AYK589	Licensed Representative	4, 9
CHAI Xuebei	AYM185	Licensed Representative	1
CHAMPAGNE Jean-marc Beaugrand	AKJ299	Licensed Representative	1, 2
CHAN Alice	ASS774	Licensed Representative	1
CHAN Chi Ki	AYJ475	Licensed Representative	4, 9
CHAN Chi Wai	AYP063	Licensed Representative	1
CHAN Hoi Hung	AQQ255	Licensed Representative	1
CHAN Jennifer Wing	AYI877	Licensed Representative	1
CHAN Kin Ming	AYK590	Licensed Representative	1
CHAN Pak Hung	AYM109	Licensed Representative	1
CHAN Sui Na Lois	AYJ257	Licensed Representative	4, 9
CHAN Tik Fai, Simon	ANY498	Licensed Representative	4, 9
CHAN Tsz Yin	AYM927	Licensed Representative	1, 2, 9
CHAN Wai Keung, Michael	AYA167	Licensed Representative	1, 4
CHAN Wai Ming, Jerry	AYJ727	Licensed Representative	1, 4
CHAN William W L	APZ523	Licensed Representative	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2011

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Yick Lun	AYH908	Licensed Representative	1
CHAN Yin Ting Elaine	AYJ856	Licensed Representative	1, 4, 9
CHAN Yun Ki	AYN468	Licensed Representative	1, 2
CHANG Chung Kei, Dominic	AEA552	Licensed Representative	9
CHANG Yu-Fen	AXZ108	Licensed Representative	1, 4
CHANG Yu-Ling	AYG335	Licensed Representative	1, 4, 6
CHEIKH ALI Majd	AYJ487	Licensed Representative	1, 2
CHEN Bo Gwang	AYN952	Licensed Representative	4
CHEN Chen	AYK135	Licensed Representative	4
CHEN Chun-Ning	AYN039	Licensed Representative	4
CHEN Danhua	AFG448	Licensed Representative	1, 6
CHEN Hao	AYM179	Licensed Representative	6
CHEN Ka Man	AYM712	Licensed Representative	1, 2, 4
CHEN Ning	AYF260	Licensed Representative	1
CHEN Peiqiu	AYJ795	Licensed Representative	1
CHEN Qiming	AYN332	Licensed Representative	1, 4, 9
CHEN Siu Chuen, Helena	AQM675	Licensed Representative	1, 4
CHEN Weimin	AFM256	Licensed Representative	1, 4, 6
CHEN Yen-Fu	AYE805	Licensed Representative	4
CHEN Zhonghuang	AYF880	Licensed Representative	6
CHEN Ziran	AYP087	Licensed Representative	9
CHENG Chi Kin	AYN371	Licensed Representative	1, 2
CHENG Joyce	AYK382	Licensed Representative	1
CHENG Siyuan	AYI803	Licensed Representative	1
CHENG Xixin	AYO605	Licensed Representative	1, 2
CHEON Ho Suk	AYK793	Licensed Representative	9
CHEUNG Chun Wai	AYI466	Licensed Representative	1, 2, 3
CHEUNG Edward Tze Loong	AGM506	Licensed Representative	2
CHEUNG Hok Man	AYK668	Licensed Representative	1
CHEUNG How Leung	ANU361	Licensed Representative	1, 6
CHEUNG Ka Lai	AYK778	Licensed Representative	1, 4
CHEUNG Ming May	AYJ197	Licensed Representative	1
CHEUNG Siu Ting, Oscar	AYQ252	Licensed Representative	1
CHEUNG Tina	AYJ460	Licensed Representative	6
CHEUNG Wai Ying	AEN774	Licensed Representative	1
CHEUNG Wing Lok	AYP130	Licensed Representative	1
CHIEN Kar Wah, Dennis	ARF371	Licensed Representative	1, 4
CHIN Yue Sing	AWP706	Licensed Representative	4, 9
CHIU Hoi Ying	AYJ489	Licensed Representative	1
CHIU Ka Wai	AYO002	Licensed Representative	1
CHO Young-Rae	AYK090	Licensed Representative	1, 4
CHOI Soyun	AYI864	Licensed Representative	1, 2
CHONG Tam Keung	AOQ514	Licensed Representative	1, 4
CHOW Cho Yin, Alex	AYO400	Licensed Representative	1
CHOW Kai Suen	AYJ858	Licensed Representative	1
CHOW King Man	AQT305	Licensed Representative	1, 6
CHU Hiu Ying	AYQ902	Licensed Representative	1, 2
CHU Ka Wa	AYM964	Licensed Representative	1
CHU Karin	AYJ499	Licensed Representative	1
CHU Sheung Lun	AYK458	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2011

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHU Wai Ho	AYK464	Licensed Representative	1, 6
CHUA Lee Leng	AHI518	Licensed Representative	1
CHUAH Keng Hin	AYG549	Licensed Representative	9
CHUANG Nga Kwun	AYM882	Licensed Representative	9
CHUI Kwok Fai	AYC483	Licensed Representative	1, 4
CHUI Tak Kei	AYI755	Licensed Representative	4
CHUN Chi Tsing	AYI856	Licensed Representative	1
CHUNG Chi Yan	AYM323	Licensed Representative	1
CHUNG Ping Hang	AYK452	Licensed Representative	1
CI Yanyi	AYF878	Licensed Representative	6
CONLEY Richard Adrian	AQK261	Licensed Representative	1, 2, 7
CROSSAN David Stuart	AQE453	Licensed Representative	4
DE JAILLON Julien Pierre Jeremie	AYI892	Licensed Representative	1
DENTON Trevor Paul	AYH975	Licensed Representative	4, 9
DONG Gang	AYN373	Licensed Representative	9
DONG Guangyang	AYI812	Licensed Representative	4
DONG Yingying	AYM102	Licensed Representative	4
DUNHAM Nicole Jean	AYK895	Licensed Representative	1, 4
FAN Henry	AYJ967	Licensed Representative	1, 6
FAN Wing Yan, Winnie	AQW052	Licensed Representative	1
FENG Sibo	AYO564	Licensed Representative	1
FERAUD Jerome Gerard	AYI804	Licensed Representative	1, 2
FIELDS Laura Michelle	AYM219	Licensed Representative	9
FLEMING James Andrew Vandermere	AXH673	Licensed Representative	1, 4, 6
FONG Kam Yiu	AYK944	Licensed Representative	1, 2
FOSTER Scott Mather	ALV237	Licensed Representative	4
FREIMAN BARDOR Daniel	AYM565	Licensed Representative	1, 6
FU Chi Shing	AYM092	Licensed Representative	1
FU Zuo Qiu	AYJ694	Licensed Representative	1, 6
FUNG On, Jessica	AYN416	Licensed Representative	4
GAO Chengming	AYI710	Licensed Representative	4
GAO Kevin Feng	AYO054	Licensed Representative	1, 6
GE Wenjie	AYI043	Licensed Representative	4
GILMOUR Alastair McKinlay	AYH570	Licensed Representative	4, 9
GOURMELEN Arnaud	AKX538	Licensed Representative	1, 2
GREEN Daniel Luke	AYK072	Licensed Representative	1, 4
HAN Jiarui	AXW848	Licensed Representative	9
HAN LEE Angela Maria	AYK456	Licensed Representative	4
HAN Shilang	AYM807	Licensed Representative	2
HE Lei	AYN150	Licensed Representative	9
HE Wenjie	AYO603	Licensed Representative	4
HE Xiaoyue	AYG458	Licensed Representative	1
HE Yiqun	AYO385	Licensed Representative	1, 6
HENDERSON Scott Michael	AQU952	Licensed Representative	9
HITCHENS Paul Brian Pym	AWX518	Licensed Representative	1, 4
HO Chun Yin	AXG437	Licensed Representative	1
HO Frankie Chung Fat	AYN333	Licensed Representative	6
HO King Shiu, Nelson	AGO785	Licensed Representative	1
HO Tsz Him	AVT617	Licensed Representative	1
HO Yuen Lee	AYO086	Licensed Representative	4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2011

CE Name	CE No.	License Type	Types of Regulated Activity
HOAR Nicholas John Francis	AXR713	Licensed Representative	1, 4, 9
HORII Yuko	AYM566	Licensed Representative	4
HOWLAND Christopher John	AYI398	Licensed Representative	4, 9
HSU Hsiu Ping	AYJ219	Licensed Representative	1, 6
HUANG Lini	AWR867	Licensed Representative	1, 6
HUANG Wan	AMO369	Licensed Representative	1, 4, 6
HUI Pui Huen	AYK448	Licensed Representative	1
HUNG Cheung On	AYN559	Licensed Representative	4
HUNG Sai Wa	AYH756	Licensed Representative	3
HUNG Siu Chun, Michael	AGA524	Licensed Representative	1, 4
HUNG Suet Kwai	AYM701	Licensed Representative	1
ITKOWITZ Jared R	AYM427	Licensed Representative	1, 6
JESSE JR James William	AYJ702	Licensed Representative	1, 2
JIA Zeliang	AYP052	Licensed Representative	1
JIANG Ye	AYN421	Licensed Representative	4
JIM Kin Man, Edward	AYR358	Licensed Representative	6
JIN Ming	AYI109	Licensed Representative	1, 4
JOHN Sonny	AYE274	Licensed Representative	4
JUAN Wen-Lin	AYN476	Licensed Representative	1, 9
JULSIRI Supat	ATD994	Licensed Representative	1, 2
JUSUFI Mirgim	AYR638	Licensed Representative	1, 7
KADMIRI Oussama	AYL133	Licensed Representative	1, 2
KALE Sayali Chandrashekhar	AYJ278	Licensed Representative	1, 2
KAM Kah Ken	APW093	Licensed Representative	1
KAN Ho Yin, Edmund	ATX266	Licensed Representative	1, 4
KATO Chiaki	AYM792	Licensed Representative	1
KEI Tan Na	AQF600	Licensed Representative	1
KERNEIS Antoine Marie Erwan	AYL134	Licensed Representative	1, 2, 7
KIECOLT-WAHL Pierre	AGM713	Licensed Representative	1, 6
KIM Ji Young	AYM825	Licensed Representative	1, 6
KIM Kyung Won	ACM543	Licensed Representative	1
KIM Yoon Hong	AWI664	Licensed Representative	1, 6
KIRK Pruanne Morel	AYK503	Licensed Representative	9
KITSON Simon Karl	AYI393	Licensed Representative	4, 9
KIZILBASH Jennifer Galang	AYK348	Licensed Representative	4
KO Kun Mun, Carmen	ANW518	Licensed Representative	4, 6, 9
KO Tha Fung	AXX482	Licensed Representative	9
KUO Jeffrey Huo-wen	AYO390	Licensed Representative	6
KUO Shao Yu	AYI211	Licensed Representative	9
KWAN Ching Wa, Jojo	AYM200	Licensed Representative	1, 4
KWOK Chau Keung	AAD945	Licensed Representative	1
KWOK Hiu Tung	AYK699	Licensed Representative	1, 2
KWOK Shun Hei, Simon	AYO398	Licensed Representative	4
LAI Wai Chee, Vincci	AYM832	Licensed Representative	1
LAI Yuen Perng	AYE613	Licensed Representative	1, 2
LAI Yuk Yin	AYK029	Licensed Representative	1, 2
LAM Hoi Yan	AYJ271	Licensed Representative	1
LAM Kwun On, Carolina	AYM431	Licensed Representative	1, 2, 4
LAM Ming Tai	AYN243	Licensed Representative	1, 2
LAM Yiu Hon	AYI876	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2011

CE Name	CE No.	License Type	Types of Regulated Activity
LAST Timothy Philip	AGT922	Licensed Representative	2
LAU Chun Yu	AYJ055	Licensed Representative	1, 7
LAU Chung Wai	AYN599	Licensed Representative	1
LAU Ho Wing	AYK445	Licensed Representative	1
LAU Kwan Yiu	AYI452	Licensed Representative	1, 2
LAU Pak Hong	AYJ801	Licensed Representative	1, 4, 6
LAU Yan Ning	AYJ729	Licensed Representative	1, 4
LAU Yin San	AYM125	Licensed Representative	1, 6
LAU Yiu Kee	AVT519	Licensed Representative	1, 2
LAW Hin Pong Joe	AEK317	Licensed Representative	1
LAW Pik Lin	ADR405	Licensed Representative	3
LAW Wei Kee, Philip	ATT955	Licensed Representative	2
LAW Yin Ling	AYJ924	Licensed Representative	6
LE Thien Thi	AYM700	Licensed Representative	1, 2
LEE Alexander Byungho	AEI578	Licensed Representative	1, 6
LEE Chak Yan, Charles	AQR637	Licensed Representative	1, 2
LEE Chin Yiu	AYM534	Licensed Representative	1, 6
LEE Ching	AYK657	Licensed Representative	1
LEE Man Kuen, Mandy	ANZ787	Licensed Representative	1
LEE Ming Tat	AWP707	Licensed Representative	4, 9
LEE Mun Tong	ATN727	Licensed Representative	1
LEE Seung Yup	AYN478	Licensed Representative	1
LEE Wai Yin	AFH494	Licensed Representative	1
LEE Wing Hong	AYK329	Licensed Representative	1
LEE Wing Yu	AYK447	Licensed Representative	1
LEE Yi Ching	AYK784	Licensed Representative	1
LEI Yuen Ching	AYI786	Licensed Representative	1, 4
LEUNG Chak Hei	AYN955	Licensed Representative	1
LEUNG Chu Tung	AYI394	Licensed Representative	1, 6
LEUNG Chun Hang	ARQ391	Licensed Representative	1, 9
LEUNG Kai Kin	AYI790	Licensed Representative	2
LEUNG Lok Yan	ATX315	Licensed Representative	1, 4
LEUNG Man Yee	AYJ712	Licensed Representative	1
LEUNG Tak Chuen, Albert	AFI577	Licensed Representative	1, 4, 9
LI Hikomune Yin Chung	AYI650	Licensed Representative	6
LI Kai Yun	AYM794	Licensed Representative	1, 2, 4
LI Lai Shan, Sera	AOW922	Licensed Representative	1, 4
LI Wing Kong	AJM512	Licensed Representative	1, 2
LI Xiaoxiao	AYP082	Licensed Representative	1
LI Xing	AWJ604	Licensed Representative	1
LI Yu	AYN591	Licensed Representative	1, 6
LIANG Minchen	AYM449	Licensed Representative	1, 2, 7
LIANG Shu Min	AYJ463	Licensed Representative	1
LIGHTBOUND Christopher William	AYM224	Licensed Representative	9
LIM Hsu An	AYA337	Licensed Representative	1
LIM Ming Yong	AYK459	Licensed Representative	1, 6
LIN DE CHENG Xue Ping, Cristin	AGL053	Licensed Representative	1, 4
LIN Jin Kwan	AYK493	Licensed Representative	1
LIN Meng-Chan	AYJ728	Licensed Representative	1, 4
LIN Peng	ACP158	Licensed Representative	1, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2011

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIN Wai Hong	AYK678	Licensed Representative	1, 2
LIN Xiaowen	AWS856	Licensed Representative	1, 6
LIN Yujun	AYK934	Licensed Representative	4
LING Da	AYG050	Licensed Representative	1
LIU Beiqi	AYK753	Licensed Representative	4
LIU I Ching	AYJ721	Licensed Representative	1
LIU Ken Wu	APQ644	Licensed Representative	4
LIU Shulin	AYF881	Licensed Representative	6
LIU Tiebin	AYI977	Licensed Representative	1, 4, 5, 9
LIU Xiaoming	AYM804	Licensed Representative	1
LIU Yen-chun	ANU792	Licensed Representative	1, 2, 4
LO Ho Pui	AYM198	Licensed Representative	1
LO Hon Yee Henry	AYI460	Licensed Representative	1, 2, 3
LO Wai Kiu	AYI485	Licensed Representative	1
LOK Chun Yu, Henry	AYJ802	Licensed Representative	9
LOK Ka Fai	AYL132	Licensed Representative	6
LU Kai	AYK379	Licensed Representative	4
LU Shurui	AYM505	Licensed Representative	1, 6
LU Wenjie	AYK619	Licensed Representative	4
LUI Wan Hong	AYN585	Licensed Representative	4, 6
LUK Siu Fung	AYL075	Licensed Representative	1
LUK Wai Chu	AYK885	Licensed Representative	1
LUK Wing Chung, Tony	AYK476	Licensed Representative	4
LUO Ke	AYI935	Licensed Representative	9
LUO Ping	AYJ730	Licensed Representative	10
MAK Calvin	AYK040	Licensed Representative	1
MAK Chun Bond	AIY650	Licensed Representative	1, 4
MAK Ka Wing Kelvin	AYM805	Licensed Representative	1, 2
MAK Mei Lin	AYN807	Licensed Representative	1
MAN Yim King	AYM806	Licensed Representative	1, 6
MAO Chengyi	AYK130	Licensed Representative	4, 9
MARTIN Trent	AYD591	Licensed Representative	1
MATSUDA Minoru	ADJ260	Licensed Representative	1, 4, 6
MCCONNELL David Patrick	ACT680	Licensed Representative	1
MCGEOCH Angus Hamilton	AYP088	Licensed Representative	1
MENG Yuanyuan	AYJ251	Licensed Representative	4, 9
MESSAD Madjid	AYJ472	Licensed Representative	1, 4, 9
MEUNIER Bo Zhang	AWW207	Licensed Representative	9
MIAO Danchun	AYJ689	Licensed Representative	1
MIU David	AYO765	Licensed Representative	1, 4
MOK Ka Yu	AYN017	Licensed Representative	1
MOK Kwan Yu	AWO387	Licensed Representative	1, 2
MOK Wai Ha	AGG001	Licensed Representative	4
MOON James Roderick	AYI545	Licensed Representative	4
MORAN Sean	AYJ400	Licensed Representative	1
MOULARD-SKOCZEN Virginie Clemence	AYI551	Licensed Representative	1, 2, 7
NEOGY Abhijeet	AYN498	Licensed Representative	1, 2
NESBITT Jonathan Michael	ALK388	Licensed Representative	1
NG Ka Yee Johnson	ALP072	Licensed Representative	10
NG Kelvin Pak Tao	AYN251	Licensed Representative	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2011

CE Name	CE No.	License Type	Types of Regulated Activity
NG Kwan Ho, Kevin	AYM526	Licensed Representative	6
NG Sheung Ip	AYM789	Licensed Representative	1, 2
NG Sze Ming	AYJ797	Licensed Representative	1, 4
NGAI Chun Chuen	AYM718	Licensed Representative	1
NIDDAM Jerome Julien	AQO343	Licensed Representative	1
NURTANIO Livius	ATV596	Licensed Representative	1, 4, 6
OOI Boon Peng	AWA047	Licensed Representative	1, 4
ORCHARD Andrew John	AXY678	Licensed Representative	1
OTA Akihiro	AYK413	Licensed Representative	1
PANG Kit Yee, Bonnie	AYJ710	Licensed Representative	1
PARK Se Hyun	AYN951	Licensed Representative	1, 2
PENDERT Stefan Alexander	ANF152	Licensed Representative	1
PENG Weifeng	AYJ720	Licensed Representative	1
PETERMANN Stephane Albert	AXZ945	Licensed Representative	1, 2, 7
POLLAK Alex	AMS704	Licensed Representative	4
QI Weimin	AYM548	Licensed Representative	1, 6
QUADROS Lavina Saira	AYM833	Licensed Representative	4
RABOT Eric Michel Thierry	AYM516	Licensed Representative	1
RASKIN Ian Leslie	AYM875	Licensed Representative	4
REID Jason Howard	AYK071	Licensed Representative	1, 2
REN Telai	AYK536	Licensed Representative	1
RHODES Graham Frederick	AYB040	Licensed Representative	1
ROSS Iain Laurence	AYP091	Licensed Representative	1, 4, 7
ROWLAND Robert Edward	AYH353	Licensed Representative	4, 9
RUSTAU Haidje Karen	AYN655	Licensed Representative	1
SEAH Meng Yew Eugene	AYM181	Licensed Representative	1
SEAWARD Alexandra	AYK593	Licensed Representative	4, 9
SEE Anne Yu	AYK922	Licensed Representative	1
SEKIDO Takahiro	AYC254	Licensed Representative	4
SHAH Dhawal Arvind	AYM782	Licensed Representative	1
SHAN Shan	AYM834	Licensed Representative	1, 4, 6
SHAO Miaomiao	AYM562	Licensed Representative	1, 6
SHAO Wenmian	AYK132	Licensed Representative	1
SHEA Sze Wai, Anita	AIH529	Licensed Representative	1, 2
SHER William Wing Hong	AYO992	Licensed Representative	9
SHI Ruichao	ATH910	Licensed Representative	2
SHI Zhen	AYK898	Licensed Representative	2
SHIMIZU Sakura	AYH738	Licensed Representative	4
SHIMIZU Yoshitaka	AYN990	Licensed Representative	1, 2
SHIN Hee Jai	AXT587	Licensed Representative	1
SHIN Hyunjae	AYK531	Licensed Representative	1, 4
SHIRAISHI Kazuma	AYN533	Licensed Representative	1, 4, 6
SHU Hoi Li, Karie	AFA354	Licensed Representative	1, 4
SHU Tony	APC238	Licensed Representative	1
SHUM Chung	AYK314	Licensed Representative	1, 4
SIDOROV WONG Hiu Hung	AYM838	Licensed Representative	1
SONG Chun Ming	AYO044	Licensed Representative	6
SOON Shu-Min Amanda	AYI983	Licensed Representative	1, 4, 6
STENZEL Christopher Peter Savvas	AYM337	Licensed Representative	9
SUEN Ping Yu	AYK671	Licensed Representative	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2011

CE Name	CE No.	Licence Type	Types of Regulated Activity
SUGIMOTO Koichi	AYI537	Licensed Representative	4
SUM Sze Ching, Alfred	AYK663	Licensed Representative	1, 2
SUN Shuo	AYO388	Licensed Representative	6
SUN Xiangjie	AYK544	Licensed Representative	4, 9
Syed Ibrahim Peeran	AWH502	Licensed Representative	1, 6
TAI Man Suen	AYK682	Licensed Representative	1, 2
TAM Bun, Simon	AAJ435	Licensed Representative	1, 4
TAM Man Hong	AFY709	Licensed Representative	4, 6
TAM Yee Wa	AYF326	Licensed Representative	1, 2
TAN Ruby Erika Sock Mei	AYJ627	Licensed Representative	1, 2
TANG Chi Wai	AYK854	Licensed Representative	1
TANG Chui Kuen	AYP360	Licensed Representative	1
TANG Lixiang	AYJ426	Licensed Representative	4, 9
TANG Rui	AXC394	Licensed Representative	4, 9
TANG Yeuk Lun	AYN560	Licensed Representative	1
TANGWATTHANADILOKKUL Sirakan	AYJ118	Licensed Representative	4
TAYLOR James William	AYJ609	Licensed Representative	4
TENG Shu-yu	AYK902	Licensed Representative	1, 7
TENORIO Nina Frances Therese Bugia	AVY764	Licensed Representative	4
TEO Yuan Zhi	AYK656	Licensed Representative	4
TERASHIMA Manami	AYN989	Licensed Representative	1, 4, 9
THORN Robin Carl	AYN876	Licensed Representative	1, 4, 9
TONG Chen	AYK694	Licensed Representative	1, 2
TSAI Lieh	AYK764	Licensed Representative	1, 6
TSANG Fan Hong, Raymond	AYO396	Licensed Representative	1
TSANG Nga Sze, Donna	AYN587	Licensed Representative	1, 4
TSE Chi Leung	ADV805	Licensed Representative	2
TSE Ming Fong	AYK913	Licensed Representative	1
TSE Nga Lap, Lilian	AYI827	Licensed Representative	1, 6
TUEN Wai Ho	AYK051	Licensed Representative	1, 2
TUNG Tsit Shan	AFJ430	Licensed Representative	1
UEMURA Kazuya	AYK084	Licensed Representative	1, 4
VENDT Erik Karl	AXW888	Licensed Representative	1
WAN Tat Shing	APH049	Licensed Representative	1
WAN Yim Na	AUX005	Licensed Representative	9
WANG Feng	AYO606	Licensed Representative	1
WANG I-Ting	AYB889	Licensed Representative	1
WANG Jun	AYO040	Licensed Representative	1, 4, 9
WANG Ren	AIB127	Licensed Representative	4, 6
WANG Shaozheng	AWN174	Licensed Representative	2
WANG Tingshen	AYO382	Licensed Representative	6
WANG Wenli	AYK358	Licensed Representative	4
WANG Yinggui	AXJ984	Licensed Representative	4
WANG Yiu Fei	AYK660	Licensed Representative	1, 2
WANG Yu	AYO983	Licensed Representative	1
WANG Zhonghe	ADI605	Licensed Representative	1, 6
WARNTJES Hendrik Geert	AYI446	Licensed Representative	2, 5
WHITEHEAD Nicholas David Burns	AYO039	Licensed Representative	1, 6
WONG Chak Sang	AYM415	Licensed Representative	1, 6
WONG Chean Wei	ARG811	Licensed Representative	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2011

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Chi Ming	AYK887	Licensed Representative	1, 4
WONG Clarence Kin Yan	AYJ784	Licensed Representative	1
WONG Gavin	AYP089	Licensed Representative	6
WONG Ho Fai	AXY817	Licensed Representative	1
WONG Hoi Cheung	AYK745	Licensed Representative	1, 2, 3
WONG Hoi Hung	AML753	Licensed Representative	4, 9
WONG Hon Cheong	AVX376	Licensed Representative	1
WONG Kam Hoi	AYS872	Licensed Representative	1, 2
WONG Lai Tuen, Audrey	AYJ765	Licensed Representative	4
WONG Ming Sai	AYJ798	Licensed Representative	1, 2, 3
WONG Mona	AYH500	Licensed Representative	1
WONG Shin Hang	AYM086	Licensed Representative	1, 2, 4
WONG Siu Ling	AYC970	Licensed Representative	1
Wong Sze Kit	ALO415	Licensed Representative	1
WONG Tsz Wai	AYJ435	Licensed Representative	1, 2
WU Herbert	AYM714	Licensed Representative	1
WU Jennifer Hu Man	AYK688	Licensed Representative	1, 2
WU Kefei	AYO375	Licensed Representative	6
WU Lok Yee, Nice	AYJ952	Licensed Representative	1
WU Ruoyu	AYJ872	Licensed Representative	2
WU Tzu Kuan	AYK003	Licensed Representative	1
XIA Tian	AYJ595	Licensed Representative	4
XIA Zhiquan	AYR746	Licensed Representative	1, 4, 6, 9
XIAN Minyi	AYN344	Licensed Representative	2
XIONG Guangyu	ATT122	Licensed Representative	1, 6
XU Jun	AYO393	Licensed Representative	6
XU Liaoran	AYJ953	Licensed Representative	6
XU Tianjie	AYO003	Licensed Representative	1
XU Xinwei	AYJ955	Licensed Representative	6
XU Yingxin	AYN027	Licensed Representative	1, 2
XU Zheng	AYN147	Licensed Representative	1
XU Zhiheng	AYK932	Licensed Representative	4
YAM Nathan Ho Pan	AYK783	Licensed Representative	1
YANG Chih-Lin	AYA735	Licensed Representative	1
YANG Haoyu	AYJ916	Licensed Representative	4, 9
YANG Lai Ha Monisa	AYN517	Licensed Representative	1, 4, 9
YANG Yong	AYJ431	Licensed Representative	4, 9
YAP Chor Wu	AYG236	Licensed Representative	1, 2, 7
YEH Michael	AYJ707	Licensed Representative	1, 9
YEUNG Hiu Tung	ARD429	Licensed Representative	1, 2, 3
YEUNG Jennie Po Mei	AYN495	Licensed Representative	1
YEUNG Wai Yin, Eunice	AYJ799	Licensed Representative	1
YIM Ka Wang	AOB160	Licensed Representative	1, 4, 9
YIN Lung, Raymond	AAE887	Licensed Representative	1, 4
YIP Chi Kiu	AYJ196	Licensed Representative	1
YIP Long, Brian	AYM554	Licensed Representative	1, 6
YIU Ching Por	AYK527	Licensed Representative	1
YIU Chun Yat	AYK076	Licensed Representative	1, 2
YIU Fai	AYK069	Licensed Representative	1
YOON Yoo Jin	AYK066	Licensed Representative	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2011

CE Name	CE No.	License Type	Types of Regulated Activity
YU Wing Ka	AXO694	Licensed Representative	1, 2, 7
YU Yi	AYM564	Licensed Representative	1, 6
YUAN Zijia	AYN418	Licensed Representative	9
YUEN Siu Ting	AHF360	Licensed Representative	1, 4, 9
ZENG Jie	AYK886	Licensed Representative	1
ZENG Yiping	AYJ306	Licensed Representative	1
ZHANG Cheng	AYM141	Licensed Representative	1, 4, 6
ZHANG He	AYJ687	Licensed Representative	1
ZHANG Jialin	AYM827	Licensed Representative	4, 9
ZHANG Jing	AYP132	Licensed Representative	4
ZHANG Lei	AYM793	Licensed Representative	1, 6
ZHANG Wei	AYM101	Licensed Representative	4
ZHANG Xi	AYM823	Licensed Representative	1, 6
ZHANG Xiaoyang	AYK482	Licensed Representative	4, 5, 9
ZHANG Yihui	AYN532	Licensed Representative	1, 9
ZHAO Qingming	AYN413	Licensed Representative	1
ZHAO Yongtao	AYM093	Licensed Representative	4
ZHAO Zhongwei	AWY378	Licensed Representative	4
ZHENG Peiyuan	AYK896	Licensed Representative	2
ZHENG Yumeng	AYM813	Licensed Representative	1, 6
ZHOU Chen	AYF883	Licensed Representative	6
ZHOU Danqing	AYJ716	Licensed Representative	1, 6
ZHU Xingtong	AYC355	Licensed Representative	1
BAE Jaewon	AXP742	Licensed Representative - Responsible Officer	9
CHAK Wai Wing	AIX144	Licensed Representative - Responsible Officer	4, 9
CHAN Cheung Wo	ADX475	Licensed Representative - Responsible Officer	1
CHAN Yi Ching	AJK627	Licensed Representative - Responsible Officer	1
CHEN Zhikai	AYM953	Licensed Representative - Responsible Officer	9
CHEUCK John Hin Ming	AQS655	Licensed Representative - Responsible Officer	4, 9
CHING Tai Kong, Gregory	AAD522	Licensed Representative - Responsible Officer	1
CHIU Ka Leung	AYK457	Licensed Representative - Responsible Officer	1
CHOW Savio Sing Nam	AHU520	Licensed Representative - Responsible Officer	6
DONNELLY Brian Patrick	AJJ924	Licensed Representative - Responsible Officer	1, 2
FELLAS Lewis John	ALR009	Licensed Representative - Responsible Officer	9
FRANCIONI Alberto Mario	AXM224	Licensed Representative - Responsible Officer	1
GRANT Richard John	AXP744	Licensed Representative - Responsible Officer	9
JOHNSON Alan Christopher	AWS391	Licensed Representative - Responsible Officer	1, 2
LAM Eric Christopher	AQA564	Licensed Representative - Responsible Officer	1, 2
LEE Wai Leung, Edward	AXX399	Licensed Representative - Responsible Officer	6
LIM Ah Lay	AWN310	Licensed Representative - Responsible Officer	1, 2, 4, 9
NG Chung Ming	ADZ927	Licensed Representative - Responsible Officer	1
NGAN Edith Man Ling	ADI597	Licensed Representative - Responsible Officer	9
PETERSOHN Steven Jeffrey	ABF770	Licensed Representative - Responsible Officer	9
POON Wai Kei, Raymond	ADX642	Licensed Representative - Responsible Officer	6
SUEN Toi Wai, David	ACS842	Licensed Representative - Responsible Officer	1, 4, 6
SUN Xuejun	AXP739	Licensed Representative - Responsible Officer	9
TAN Wentao	AXS425	Licensed Representative - Responsible Officer	2
UNG Kar Wing, Kelvin	AXP792	Licensed Representative - Responsible Officer	1, 7
VAN DER GRAAF Roderik Cornelis	APV504	Licensed Representative - Responsible Officer	9
WAN Shu Mong, Charmian	AXM230	Licensed Representative - Responsible Officer	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2011

CE Name	CE No.	License Type	Types of Regulated Activity
WONG Kai Chung, Arthur	ALL200	Licensed Representative - Responsible Officer	1
WONG Stephen	APJ599	Licensed Representative - Responsible Officer	9
YAMADA Yasuo	AXM316	Licensed Representative - Responsible Officer	4, 9
YIP Yan	AXC384	Licensed Representative - Responsible Officer	9
YU King Yin	AGP154	Licensed Representative - Responsible Officer	1
YUEN Siu Man	AIL150	Licensed Representative - Responsible Officer	2
ABBASI Fareesa Habeeb	AYR569	Licensed Representative (Provisional Licence)	1
AHMED Shah Rishad	AYK494	Licensed Representative (Provisional Licence)	9
AKERS William Cann	AYS521	Licensed Representative (Provisional Licence)	1, 2
ALFONSO PEREZ Gerardo	AYQ576	Licensed Representative (Provisional Licence)	1
ASIJA Sahil Kumar	AYR644	Licensed Representative (Provisional Licence)	1
BROWN Kenneth Allan Lindsay	AYP346	Licensed Representative (Provisional Licence)	1, 4, 6
CAO Hong Wei	AHJ150	Licensed Representative (Provisional Licence)	4
CARNOW Jacob Elliot	AQE405	Licensed Representative (Provisional Licence)	9
CHAN Andrew	AYO363	Licensed Representative (Provisional Licence)	1, 2
CHAN Chun	AYQ167	Licensed Representative (Provisional Licence)	1, 2
CHAN Flora Wing Shuen	AYQ287	Licensed Representative (Provisional Licence)	1
CHAN Ka Chun, Pen	AYR197	Licensed Representative (Provisional Licence)	1, 4
CHAN Nga Yee, Fiona	ADZ146	Licensed Representative (Provisional Licence)	1, 4
CHAN Shiu Tak, Sheldon	AYM129	Licensed Representative (Provisional Licence)	4, 9
CHAN Shue Tong	AYS422	Licensed Representative (Provisional Licence)	1, 4
CHAN Suet Wing	AYQ770	Licensed Representative (Provisional Licence)	1
CHAN Tam Leung	AYQ568	Licensed Representative (Provisional Licence)	1
CHANG Yi Ping	AYQ008	Licensed Representative (Provisional Licence)	1, 4
CHAU Kong San	AYR628	Licensed Representative (Provisional Licence)	1
CHAU Lap Pan	AYS552	Licensed Representative (Provisional Licence)	1, 2
CHEN Jiehan	AYS572	Licensed Representative (Provisional Licence)	2
CHEN Kan Yin	AYR483	Licensed Representative (Provisional Licence)	1, 6
CHEN Ziran	AYP087	Licensed Representative (Provisional Licence)	9
CHENG Jazreel Yeun Yee	AHT093	Licensed Representative (Provisional Licence)	1
CHENG Lung Fung	AYQ281	Licensed Representative (Provisional Licence)	1
CHENG Tak Him, Terence	AYR568	Licensed Representative (Provisional Licence)	1, 4, 6
CHEUNG Chi Long	AYS651	Licensed Representative (Provisional Licence)	1, 6
CHEUNG Hei Man	AYR706	Licensed Representative (Provisional Licence)	1
CHEUNG Ka Lai	AYK778	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Natasha	ALS530	Licensed Representative (Provisional Licence)	1
CHEUNG Yee Ching	AYP118	Licensed Representative (Provisional Licence)	1, 2
CHIM Ka Wai	AYR707	Licensed Representative (Provisional Licence)	1
CHIU Chi Man	ABW341	Licensed Representative (Provisional Licence)	1, 2
CHIU Lap Kei	AYP123	Licensed Representative (Provisional Licence)	1, 2
CHOI Won Suk	AYP113	Licensed Representative (Provisional Licence)	4
CHOW Ho Ting Rene	AYR200	Licensed Representative (Provisional Licence)	2
CHOW Kit Man	AYP322	Licensed Representative (Provisional Licence)	1
CHOW Kwong Tai	ATT745	Licensed Representative (Provisional Licence)	1
CHUI Kwok Fai	AYC483	Licensed Representative (Provisional Licence)	1, 4
DAI Qiang	AVQ949	Licensed Representative (Provisional Licence)	4, 6
DAI Qiu Yang	AYO831	Licensed Representative (Provisional Licence)	1, 7
DHARIA Akash	AYO672	Licensed Representative (Provisional Licence)	1, 2
DOWNEY James	AYN110	Licensed Representative (Provisional Licence)	1, 2
FAN Chi Yuen, Charles	AYQ546	Licensed Representative (Provisional Licence)	6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2011

CE Name	CE No.	License Type	Types of Regulated Activity
FENG Lingtian	AYQ548	Licensed Representative (Provisional Licence)	4
FENG Sibo	AYO564	Licensed Representative (Provisional Licence)	1
FONG Yue Yeng	AYI656	Licensed Representative (Provisional Licence)	1, 4
GANDHI Shweta	AYQ284	Licensed Representative (Provisional Licence)	4
GIANELLA Sandro	AYQ410	Licensed Representative (Provisional Licence)	1, 4
GONG Juntao	AYR632	Licensed Representative (Provisional Licence)	4
GONG Tiancheng	AYO608	Licensed Representative (Provisional Licence)	4
GUINNESS Stuart Wesley Grattan	ARG897	Licensed Representative (Provisional Licence)	1, 4
HALE Evan Arthur	AON298	Licensed Representative (Provisional Licence)	4, 9
HAN Jennifer Koun	AMY266	Licensed Representative (Provisional Licence)	4
HAN Zhiming	AYR367	Licensed Representative (Provisional Licence)	1
HARADA Akiko	AOO818	Licensed Representative (Provisional Licence)	1, 2
HAU Ming	AYO100	Licensed Representative (Provisional Licence)	1
HE Wenjie	AYO603	Licensed Representative (Provisional Licence)	4
HE Yao	AYR359	Licensed Representative (Provisional Licence)	1, 6
HE Yiqun	AYO385	Licensed Representative (Provisional Licence)	1, 6
HO Kok Hoong	ARI521	Licensed Representative (Provisional Licence)	9
HO Siu Ting, Stenning	AYO989	Licensed Representative (Provisional Licence)	4
HO Sue	AYQ556	Licensed Representative (Provisional Licence)	4
HO Wai Cheung	AYQ848	Licensed Representative (Provisional Licence)	1
HO Yuk Fai	AYR480	Licensed Representative (Provisional Licence)	1, 2
HOANG Wei Jyun	AEN584	Licensed Representative (Provisional Licence)	1, 2
HSIAO Tung-Jen	AYQ115	Licensed Representative (Provisional Licence)	1
HU Weibo	AYQ702	Licensed Representative (Provisional Licence)	1, 4
HUANG Wei	AYR503	Licensed Representative (Provisional Licence)	4
HUI Kit Chiu	AYQ846	Licensed Representative (Provisional Licence)	1
HUNG Cheung On	AYN559	Licensed Representative (Provisional Licence)	4
HUNG Chi-Tang	AYQ579	Licensed Representative (Provisional Licence)	1
HUSSON Nicolas Remy Philippe Marie	AYO972	Licensed Representative (Provisional Licence)	6
HWANG Sung Taek	AYQ226	Licensed Representative (Provisional Licence)	1
JIAN Ying	AYS147	Licensed Representative (Provisional Licence)	1, 4
JIN Hualong	AYS030	Licensed Representative (Provisional Licence)	6
JUAN Wen-Lin	AYN476	Licensed Representative (Provisional Licence)	1, 9
JUSTH Mark Brian	AXW589	Licensed Representative (Provisional Licence)	1, 2, 4, 5
KAM Chor Wang	AYS173	Licensed Representative (Provisional Licence)	1, 6
KAMETANI Noriko	AYQ018	Licensed Representative (Provisional Licence)	1
KANG Shin Hui, Grace	AYQ843	Licensed Representative (Provisional Licence)	1
KERNEIS Antoine Marie Erwan	AYL134	Licensed Representative (Provisional Licence)	1, 2, 7
KHALIL Lionel Jean	AYR069	Licensed Representative (Provisional Licence)	1, 2, 7
KI Chi Chung	AYR761	Licensed Representative (Provisional Licence)	1, 2
KIM Daeho	AYP192	Licensed Representative (Provisional Licence)	1
KIM Joseph	AYR243	Licensed Representative (Provisional Licence)	1, 4, 9
KO King Tung	AYP093	Licensed Representative (Provisional Licence)	1
KOO Bon Seuk	AMY265	Licensed Representative (Provisional Licence)	1, 6
KOTECHA Anuj Ashok	AXS269	Licensed Representative (Provisional Licence)	1, 7
KUIK Xiao-shi	AYO671	Licensed Representative (Provisional Licence)	4
KUO Jeffrey Huo-wen	AYO390	Licensed Representative (Provisional Licence)	6
KWOK Ka Ho	AYK528	Licensed Representative (Provisional Licence)	1, 2
KWOK Shun Hei, Simon	AYO398	Licensed Representative (Provisional Licence)	4
KWONG Hiu Fung Sunny	AYQ559	Licensed Representative (Provisional Licence)	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2011

CE Name	CE No.	License Type	Types of Regulated Activity
LAI Ka Man, Clement	AYO988	Licensed Representative (Provisional Licence)	4
LAI Wing Suet	ATY357	Licensed Representative (Provisional Licence)	1
LAM Cedric Yee Ip	ANG296	Licensed Representative (Provisional Licence)	1, 6
LAM Ka Wai	AYR643	Licensed Representative (Provisional Licence)	1
LAM Yin	AYO392	Licensed Representative (Provisional Licence)	6
LAN Xi	AYO372	Licensed Representative (Provisional Licence)	6
LAU Hang, Henry	AWJ860	Licensed Representative (Provisional Licence)	1, 6
LAU Vin Tone, Victoria	AYR582	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Jonghwan	AYS715	Licensed Representative (Provisional Licence)	9
LEE Sai Hong	AYS423	Licensed Representative (Provisional Licence)	1, 6
LEE Sok Hee	AYR195	Licensed Representative (Provisional Licence)	4
LEE Sung Chi Chester	AYS343	Licensed Representative (Provisional Licence)	1
LEUNG Chak Hei	AYN955	Licensed Representative (Provisional Licence)	1
LEUNG King Yin	AYQ560	Licensed Representative (Provisional Licence)	1
LEUNG Tze Ying Gwen	AYQ010	Licensed Representative (Provisional Licence)	6
LEUNG Yuk Sim	AFH042	Licensed Representative (Provisional Licence)	1, 6
LI Cheong Faat	AYO609	Licensed Representative (Provisional Licence)	1, 2
LI Fei	AYR984	Licensed Representative (Provisional Licence)	2
LI Xiaoxiao	AYP082	Licensed Representative (Provisional Licence)	1
LI Xin Hui	AYP978	Licensed Representative (Provisional Licence)	4, 6, 9
LIAN Haijun	AYR639	Licensed Representative (Provisional Licence)	4
LIN Chi Jen	AYO038	Licensed Representative (Provisional Licence)	1
LIN Pin-chun	AYO395	Licensed Representative (Provisional Licence)	1, 6
LIN Xujia	AYS531	Licensed Representative (Provisional Licence)	1, 4
LO Eric Yat Chun	AYO984	Licensed Representative (Provisional Licence)	1
LOU Jia	AYN600	Licensed Representative (Provisional Licence)	4, 9
LU Yang	AYJ411	Licensed Representative (Provisional Licence)	1
LUALDI Maurizio	AYS137	Licensed Representative (Provisional Licence)	9
LUI Chun Wai, Stefan	AYP090	Licensed Representative (Provisional Licence)	1
LUI Ho Kit	AYO352	Licensed Representative (Provisional Licence)	1, 2
LUNIA Varun	AYR744	Licensed Representative (Provisional Licence)	1, 2
MA Andrea	AYQ422	Licensed Representative (Provisional Licence)	1, 4
MA Wang Chiu	ACY923	Licensed Representative (Provisional Licence)	1, 2
MAU Jason Ka Hang	AUK137	Licensed Representative (Provisional Licence)	1
MC GRATH Danielle Marie	AYO990	Licensed Representative (Provisional Licence)	1, 4, 9
MCDERMOTT Jayne Anne	AYR307	Licensed Representative (Provisional Licence)	1, 4
MCGEOCH Angus Hamilton	AYP088	Licensed Representative (Provisional Licence)	1
MEHTA Harshad Satish	AYQ242	Licensed Representative (Provisional Licence)	1
MINTZ Nathan Keith	AQT165	Licensed Representative (Provisional Licence)	1, 4
MISPAGEL Patrick Cronin	AYS640	Licensed Representative (Provisional Licence)	10
MOK Ka Yu	AYN017	Licensed Representative (Provisional Licence)	1
MONTGOMERY William Donovan	AYQ540	Licensed Representative (Provisional Licence)	9
MOREIRA Nicholas Hubert	ADT374	Licensed Representative (Provisional Licence)	1, 2, 4, 5
NG Kelvin Pak Tao	AYN251	Licensed Representative (Provisional Licence)	1, 2
NG Pak Yu	ARM512	Licensed Representative (Provisional Licence)	1
NG Wang Chim	ASL840	Licensed Representative (Provisional Licence)	1
NISSSEN Edward Francis James	AYQ017	Licensed Representative (Provisional Licence)	1
NU Da	AYQ244	Licensed Representative (Provisional Licence)	1, 4, 6
OH Seung Min	AYR387	Licensed Representative (Provisional Licence)	1, 4
PARK Hoon Ju	AYR070	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2011

CE Name	CE No.	License Type	Types of Regulated Activity
PARK Se Hyun	AYN951	Licensed Representative (Provisional Licence)	1, 2
PATIL Girish Shivaji	AYO673	Licensed Representative (Provisional Licence)	1, 2
POON Kwai Kwong	AYO538	Licensed Representative (Provisional Licence)	1, 2
RICHARDS Andrew Brynmor	AYQ561	Licensed Representative (Provisional Licence)	1, 6
ROCHE Thomas Damian	AVD836	Licensed Representative (Provisional Licence)	1, 2, 4, 5
ROSS Iain Laurence	AYP091	Licensed Representative (Provisional Licence)	1, 4, 7
ROUMIER Brune Soline Marie Lorraine	AYR169	Licensed Representative (Provisional Licence)	1
RUMMUN Roshan	AMO987	Licensed Representative (Provisional Licence)	9
RUSSELL Jon William	AYQ841	Licensed Representative (Provisional Licence)	1, 2
SEETO Dereke Charles Wing On	AYO959	Licensed Representative (Provisional Licence)	1, 2, 7
SHAH Mrunal Hareshkumar	AYQ435	Licensed Representative (Provisional Licence)	1
SHANG Pei Chun	AOM976	Licensed Representative (Provisional Licence)	1, 9
SHARMA Saurabh	AYO986	Licensed Representative (Provisional Licence)	1, 2
SHEN Bei	AYO987	Licensed Representative (Provisional Licence)	1, 6
SHEN Blake C	AYQ549	Licensed Representative (Provisional Licence)	1
SHEN Jun	AYP961	Licensed Representative (Provisional Licence)	4, 9
SHIMIZU Yoshitaka	AYN990	Licensed Representative (Provisional Licence)	1, 2
SHIRAISHI Kazuma	AYN533	Licensed Representative (Provisional Licence)	1, 4, 6
SIN Cheuk Kin Jeremy	AYR213	Licensed Representative (Provisional Licence)	6
SINGHAL Archit	AYQ283	Licensed Representative (Provisional Licence)	4
SO Ching Yim	AYR745	Licensed Representative (Provisional Licence)	9
SO Chiu	AYO973	Licensed Representative (Provisional Licence)	6
SONG Chun Ming	AYO044	Licensed Representative (Provisional Licence)	6
SONG Xinran	AYM560	Licensed Representative (Provisional Licence)	1, 2
SUMNERS Mary Sophia	AYQ118	Licensed Representative (Provisional Licence)	1, 4
SUN Shuo	AYO388	Licensed Representative (Provisional Licence)	6
SUN Yu	AWS600	Licensed Representative (Provisional Licence)	6
SUREKA Amit	AYR258	Licensed Representative (Provisional Licence)	1
SZE Hung Kit Thomas	AYQ839	Licensed Representative (Provisional Licence)	1, 4
SZE Man Tai, Alan	AYR531	Licensed Representative (Provisional Licence)	1, 4, 6
TABATABAI SHARGHY Shervin	AYQ014	Licensed Representative (Provisional Licence)	1, 6
TAKEDA Masakazu	AYS022	Licensed Representative (Provisional Licence)	4, 9
TAM Man Hong	AFY709	Licensed Representative (Provisional Licence)	4, 6
TAM Shuk Fong	AYS032	Licensed Representative (Provisional Licence)	1, 2
TAN Chi Yu	AYQ538	Licensed Representative (Provisional Licence)	1, 7
TAN Zhizhi	AYQ567	Licensed Representative (Provisional Licence)	1, 4, 5, 9
TANG King Hung	AYQ575	Licensed Representative (Provisional Licence)	10
TANG Zhan	AYS459	Licensed Representative (Provisional Licence)	1
TERASHIMA Manami	AYN989	Licensed Representative (Provisional Licence)	1, 4, 9
TING Ngai	AHV456	Licensed Representative (Provisional Licence)	1, 2
TSAI Cheng-yu	AYQ016	Licensed Representative (Provisional Licence)	1, 9
TSANG Nga Sze, Donna	AYN587	Licensed Representative (Provisional Licence)	1, 4
TSE Chung Yan	AMY528	Licensed Representative (Provisional Licence)	1
VAN DER VEN Jeroen Willibrord Erlend	AWC090	Licensed Representative (Provisional Licence)	1, 2
WAN Chi Hin, Henry	AYP092	Licensed Representative (Provisional Licence)	1, 2
WANG Chuang	AYM559	Licensed Representative (Provisional Licence)	1, 2
WANG Feng	AYO606	Licensed Representative (Provisional Licence)	1
WANG He-Shiuan	AYO875	Licensed Representative (Provisional Licence)	1
WANG Jun	AYO040	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Ren	AIB127	Licensed Representative (Provisional Licence)	4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2011

CE Name	CE No.	License Type	Types of Regulated Activity
WANG Taie	AYQ701	Licensed Representative (Provisional Licence)	4, 5, 9
WANG Tingshen	AYO382	Licensed Representative (Provisional Licence)	6
WANG Wei	AYQ019	Licensed Representative (Provisional Licence)	6
WANG Xiaochen	AYO381	Licensed Representative (Provisional Licence)	6
WANG Xiaojun	ADN383	Licensed Representative (Provisional Licence)	4, 9
WAT Jonathan	AYQ256	Licensed Representative (Provisional Licence)	1, 2
WONG Ching Yin	AYQ251	Licensed Representative (Provisional Licence)	1
WONG Ho Chau	AYQ444	Licensed Representative (Provisional Licence)	9
WONG Ho Yan	AYQ022	Licensed Representative (Provisional Licence)	1, 4
WONG Ho Yin, Dixon	AYQ430	Licensed Representative (Provisional Licence)	4, 9
WONG Ka Lap	ACR445	Licensed Representative (Provisional Licence)	1, 2
WONG Pik Shan	AYQ357	Licensed Representative (Provisional Licence)	1
WONG Roger Chi-him	AYQ842	Licensed Representative (Provisional Licence)	1, 2
WONG Yu Yin	AGI949	Licensed Representative (Provisional Licence)	1
WU Kefei	AYO375	Licensed Representative (Provisional Licence)	6
WU Min	AYS392	Licensed Representative (Provisional Licence)	1, 2
XIA Tian	AYS450	Licensed Representative (Provisional Licence)	4
XING Cheng	AYP114	Licensed Representative (Provisional Licence)	4
XU Feng	AYO610	Licensed Representative (Provisional Licence)	1, 2
XU Jun	AYO393	Licensed Representative (Provisional Licence)	6
XU Ping	ARQ788	Licensed Representative (Provisional Licence)	1, 4, 6
XU Xin	AYQ382	Licensed Representative (Provisional Licence)	1
XUN Hongjun	AYQ405	Licensed Representative (Provisional Licence)	1, 2
YAM Yin Chi	AYQ904	Licensed Representative (Provisional Licence)	1, 4
YAMADA Shinichiro	AYR572	Licensed Representative (Provisional Licence)	1, 7
YAU Chung Yan, Pamela	AYQ326	Licensed Representative (Provisional Licence)	1, 2, 4
YEH Mei Fong	AYO966	Licensed Representative (Provisional Licence)	6
YEUNG Lawrence King Wai	AYP972	Licensed Representative (Provisional Licence)	1, 4
YEUNG Man Kit	AYP121	Licensed Representative (Provisional Licence)	1, 2
YEUNG Niki Ning Ki	ATD246	Licensed Representative (Provisional Licence)	4
YI Chuanghua	AYR034	Licensed Representative (Provisional Licence)	1
YIP Yuk Fai	AYP099	Licensed Representative (Provisional Licence)	1, 2
YIU Yik Sze Rosita	AYR982	Licensed Representative (Provisional Licence)	1
YU Qi	AYP228	Licensed Representative (Provisional Licence)	2
ZHANG Jianzhi	AYQ006	Licensed Representative (Provisional Licence)	1
ZHANG Jun	AYQ322	Licensed Representative (Provisional Licence)	1, 2
ZHANG Shuqiang	AYQ145	Licensed Representative (Provisional Licence)	1, 2
ZHANG Yihui	AYN532	Licensed Representative (Provisional Licence)	1, 9
ZHANG Ying	AYR217	Licensed Representative (Provisional Licence)	1, 4
ABRAHAM Kevin Vazhayil	AYQ907	Licensed Representative (Temporary Licence)	1
AKIZUKI Manabu	AMM295	Licensed Representative (Temporary Licence)	4
CAMARDA JR Joseph Andrew	AXN289	Licensed Representative (Temporary Licence)	1
KAMEYAMA Tetsu	AXX781	Licensed Representative (Temporary Licence)	1, 4, 6
KOHTANI Motoya	ARF535	Licensed Representative (Temporary Licence)	4
KUNG Julia Fen-Ting	AWQ523	Licensed Representative (Temporary Licence)	1
LUE-FONG Simon Nicolas	APE946	Licensed Representative (Temporary Licence)	4
PARKER Henry Roger Jacques	AXZ431	Licensed Representative (Temporary Licence)	1, 2
SERRANT Hamille Joseph	AYQ285	Licensed Representative (Temporary Licence)	1, 2
STRAFINGER Shanti Julian	AYO453	Licensed Representative (Temporary Licence)	1, 4, 6
TANG Chick Chee, George	AYQ518	Licensed Representative (Temporary Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2011

CE Name	CE No.	Licence Type	Types of Regulated Activity
TAYLOR Andrew Brian	AYN586	Licensed Representative (Temporary Licence)	1, 2
WOOD Andrew Dennis	AYM450	Licensed Representative (Temporary Licence)	4
YEOH Charles Seng Khew	AWZ335	Licensed Representative (Temporary Licence)	4