

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
Acumen Wealth Management Limited	ANH312	Licensed Corporation	4
Bear Stearns Asia Limited	AAI359	Licensed Corporation	1, 2, 4, 5, 6, 7, 9
Demeter Asset Management Limited	AFL231	Licensed Corporation	4, 9
LA (Global) Sinomix Securities Limited	APC829	Licensed Corporation	1
LXL Investment Management (Hong Kong) Limited	AMK417	Licensed Corporation	9
Susquehanna Financial Group Asia Limited	ASM436	Licensed Corporation	1, 6
ABBAS Asad	AIP440	Licensed Representative	1, 2, 4, 6, 9
ANDREW Simon Malcolm	AKS596	Licensed Representative	1, 2
ATTATANYAPAT Rojanin	APH256	Licensed Representative	1
AU Chi Chung	ANS132	Licensed Representative	1, 2, 3
AU Chi Yuen	AFT225	Licensed Representative	1, 2, 3
AU Sek Lun	APG377	Licensed Representative	1, 4
BANG Bonna	AEA447	Licensed Representative	1, 2, 9
BENSON Bruce Alan	ABQ530	Licensed Representative	1
BLAKE John Charles David	AMB469	Licensed Representative	1
BOUDRIGA Nedir Ahmed	ASO486	Licensed Representative	1
BREWER Jeffrey Michael	AMF464	Licensed Representative	1
BRUESCHKE Jason Douglas	AMO605	Licensed Representative	4
CADELL Stephen John	AOC885	Licensed Representative	1, 4
CARTER Paul Gordon	ARU134	Licensed Representative	4
CHAN Choi Chu	AFL185	Licensed Representative	4
CHAN Ho Leung	ARW882	Licensed Representative	4
CHAN Hong Ngai	ACL576	Licensed Representative	1
CHAN Hwang Hsiung	AGS909	Licensed Representative	1, 2, 4, 5, 7, 9
CHAN Kai Lun, Kelvin	ATT439	Licensed Representative	4
CHAN Kwok Kay	ATI426	Licensed Representative	1
CHAN Kwok Wing	AQX039	Licensed Representative	1, 2
CHAN Man Ting	ARS745	Licensed Representative	1
CHAN Siu Mun	ADD634	Licensed Representative	6
CHAN Sok Yin, Sophia	ABE984	Licensed Representative	1, 2, 2, 4, 9
CHAN Sui Fan, Ivan	APL596	Licensed Representative	1, 6
CHAN Sze Lung, Kenneth	AJC104	Licensed Representative	1, 4
CHAN Yu Hang, Hugo	AQF110	Licensed Representative	1, 4, 6
CHANG Chih-Shan	AOP064	Licensed Representative	1
CHANG Jack	ARS401	Licensed Representative	4
CHANG Yun-Chun	AQN837	Licensed Representative	4
CHAO Shu-Li	ANU660	Licensed Representative	1
CHAU Kin Shing, Wallace	AQS339	Licensed Representative	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAU Yin Ping	ASF432	Licensed Representative	1
CHEN Can	ATN306	Licensed Representative	1, 2
CHEN Chih-Yu	ARS400	Licensed Representative	4
CHEN Chuan-Hua	APJ112	Licensed Representative	3
CHEN Elizabeth Janet	AMB683	Licensed Representative	4, 6
CHEN Shu-Lin	AUD565	Licensed Representative	4
CHENG Anson Chun-yuen	ASN334	Licensed Representative	1, 4, 9
CHENG Arthur Wai Fung	AOT772	Licensed Representative	1, 2, 4
CHENG Chi Lan, Janet	AFS759	Licensed Representative	1
CHENG Kam Cheong, Lamby	AGL175	Licensed Representative	1, 7
CHENG Wai King	AOB148	Licensed Representative	4
CHEUNG Chi Cheuk, Cedric	ANA761	Licensed Representative	1, 2, 7
CHEUNG Chi Seng	ATO435	Licensed Representative	1, 2
CHEUNG Ho Yu	ATT485	Licensed Representative	4
CHEUNG Kam Hing	AFZ256	Licensed Representative	9
CHEUNG Kin Kwok	AGP433	Licensed Representative	1, 4
CHEUNG Tommy	ANK150	Licensed Representative	1, 2
CHEUNG Tuen Ting	APS425	Licensed Representative	4
CHEUNG Wai Lik	AEK034	Licensed Representative	1, 4, 6
CHEUNG Wai Man	ARJ162	Licensed Representative	1, 4
CHIANG Tak Chi, Tom	ATH337	Licensed Representative	1, 2
CHIM Wai Kin	ASS311	Licensed Representative	1
CHO Cheng-Kang	ARD251	Licensed Representative	1
CHOI Hok Sum	ATQ936	Licensed Representative	1, 4
CHOU Yu-Pang	ARD252	Licensed Representative	1
CHOW Ka Pik, Amy	AQS446	Licensed Representative	1, 4
CHOW Sai Hung	AQA632	Licensed Representative	4, 9
CHU Seok Don	ARO246	Licensed Representative	1, 4
CHUI Kar Man, Carmen	ATR477	Licensed Representative	4
CHUNG Wai Shing	AFP827	Licensed Representative	4, 6, 9
CLARKE Daniel Patrick	ALB224	Licensed Representative	1, 2
DAYAL Prasoon	AEH431	Licensed Representative	1, 4, 9
DING Yi	AOV462	Licensed Representative	1, 6, 9
DUBOIS-PHILLIPS Jonathan Edward	AJL224	Licensed Representative	1, 4
FAN Tat Ho	AAD351	Licensed Representative	1
FENG Jing	APZ397	Licensed Representative	1, 2, 7
FUKUYAMA Motoji	ABG882	Licensed Representative	4, 5, 9
FUNG Sze Chung	AJD627	Licensed Representative	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
FUNG Yan Wing	APP977	Licensed Representative	1, 2
FUNG Yi Long	ARW793	Licensed Representative	4
GALEA Ronald Francis	AUG567	Licensed Representative	1, 2
GAO Hui	AKP556	Licensed Representative	1, 4, 4, 6
GARDNER David Leslie	ATX829	Licensed Representative	4
GARDNER Patrick Alan	ARL417	Licensed Representative	1, 2
GEE Glen Raymond	AMK237	Licensed Representative	1, 7
GU Aihua	APB635	Licensed Representative	4
GUO Feng	APO471	Licensed Representative	1, 6
HADLEY Paul Jason	ANU724	Licensed Representative	1
HALSALL Gary William	AGP481	Licensed Representative	4, 9
HAU Yuen Tung	ATT487	Licensed Representative	4
HE Yi	APL689	Licensed Representative	1, 4
HO Ki Hiu	ASK364	Licensed Representative	9
HO Kwan Dik	ABE366	Licensed Representative	1, 2, 3
HO Lut Leung	ARE762	Licensed Representative	4
HO Wen-Hao	AQS180	Licensed Representative	1
HO Yuk Choi	ADB965	Licensed Representative	1, 4, 6, 9
HSIUNG Chia-Lin	ANU930	Licensed Representative	4
HUANG Hsin-Cheng	ARE368	Licensed Representative	1
HUANG Yao	APV101	Licensed Representative	1, 2
HUANG Yeh-Chin	APJ113	Licensed Representative	3
HUNG Tao, Alice	ATQ777	Licensed Representative	4
HWANG Fu-Hsing	ALW333	Licensed Representative	4
Ho Man Yiu, Arion	ALK797	Licensed Representative	1, 2
IP Kin Chun, Maurice	AFM762	Licensed Representative	1, 4
IP Man Hon, Vincent	AJB646	Licensed Representative	4
ISHII Chikara	AOD191	Licensed Representative	1
JAFFRAY Scott John	ARH186	Licensed Representative	1, 4, 9
JEULIN Francois, Thierry	ATJ464	Licensed Representative	1, 2, 7
JIANG Bin	ARP379	Licensed Representative	1, 4
KANEKO Kojiro	ARV693	Licensed Representative	4
KAWAKAMI Masashi	APR810	Licensed Representative	1, 4, 6
KHAN Peter Alexander	ANK705	Licensed Representative	1, 9
KILGORE David Walter	ARF785	Licensed Representative	4, 9
KIM Jin Gon	API073	Licensed Representative	1, 2
KIM Min Ki	AFQ367	Licensed Representative	1
KING Richard Yun Zing	ADM983	Licensed Representative	4, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
KNUTSON Jesse David	AQQ100	Licensed Representative	1
KO I Tlen	AOH470	Licensed Representative	1, 4
KONG Ying Chi	AOE385	Licensed Representative	4
KOTHARI Rushabh Harshil	APV916	Licensed Representative	1, 2, 7
KOTHARI Sandeep	AGC448	Licensed Representative	4, 9
KU Mei Yee	AOH535	Licensed Representative	1, 2
KUMAR Satish	AQX050	Licensed Representative	4
KUNG Te-Yi	ASW868	Licensed Representative	4
KUO Kwok Chuen, Daniel	AEU573	Licensed Representative	1
KWOK Sui Lun	AMP301	Licensed Representative	1, 2, 4
LAI Kam Chiu	AQD798	Licensed Representative	1
LAI Kin Leung, Garey Alexander	AMI026	Licensed Representative	1
LAI Pak Kin	ATR485	Licensed Representative	1
LAI Siu Shan	APC628	Licensed Representative	1, 2
LAI Yui Lam, Hallen	ARW160	Licensed Representative	4
LAM Chi Kan	AME524	Licensed Representative	4
LAM Chiu	ART173	Licensed Representative	4
LAM Wai Yee	ART979	Licensed Representative	4
LAM Yin Sheung, Jennifer	ATR613	Licensed Representative	4
LAU Chi Wai	AQQ469	Licensed Representative	4
LAU Hiu Kwan	AFQ885	Licensed Representative	1, 4
LAU Wai Ming, Patrick	AFM339	Licensed Representative	1, 4
LAU Yiu Cheong	ACC895	Licensed Representative	1
LAW Chi Kan	AFG495	Licensed Representative	1, 1
LAW Pik Lin	ADR405	Licensed Representative	3
LAW Yick Chun	AGP603	Licensed Representative	1
LEE Cheuk Wing	ATI914	Licensed Representative	1
LEE Chi On, Dennis	AFB852	Licensed Representative	1
LEE Chi-Wei	ALW544	Licensed Representative	4
LEE Chun Cheong	ARI165	Licensed Representative	4
LEE Dokyoung	ALB229	Licensed Representative	1, 4, 5, 9
LEE Fu Kuen	ABP491	Licensed Representative	1
LEE Hunjae	AOX335	Licensed Representative	1, 4
LEE Shon Kee, Kenneth	ARI072	Licensed Representative	4
LEE Susan Kyung	ANH384	Licensed Representative	1, 6
LEE Wai Kin	APD151	Licensed Representative	1
LEE Wai Kon Harlow	ASJ718	Licensed Representative	4
LEE Wing Shan	ALZ988	Licensed Representative	4

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CE Name	CE No.	Licence Type	Types of Regulated Activity
LEIBOWITZ Matthew	ARL441	Licensed Representative	1, 2
LEUNG Hon Hung	ACO851	Licensed Representative	4
LEUNG Lin Kai	AHV198	Licensed Representative	4
LEUNG Pui Yi	ARF018	Licensed Representative	1, 4
LEUNG Siu Ling, Rita	ARG354	Licensed Representative	1, 4, 9
LEUNG Tat Yan, Sammy	AQS397	Licensed Representative	1
LEUNG Yiu Man	ABN882	Licensed Representative	1, 4
LEUNG Yu Yan, Michelle	AQW045	Licensed Representative	1, 2, 7
LI Ting-Ke	AOL057	Licensed Representative	1
LIAN Juanjuan	ARL162	Licensed Representative	2
LIANG Lantian	ARL768	Licensed Representative	1
LIANG Siew Huay	ATD790	Licensed Representative	4, 9
LIAO Shuting	ATT452	Licensed Representative	4
LIEN Vincent Wai Sun	AMM448	Licensed Representative	1, 4, 6
LIN Ka Chun	APA391	Licensed Representative	1
LO Hiu Yee	ATR861	Licensed Representative	1, 2
LO Kwong Chun	ATS353	Licensed Representative	4
LO Lok Chi	ATL474	Licensed Representative	4
LO Ming-Min	ARG521	Licensed Representative	1
LO Pak Fai	APS139	Licensed Representative	4
LO Win Yan	APB512	Licensed Representative	4
LOK Suet Wan	ARA312	Licensed Representative	4
LU Boon Heng	APR815	Licensed Representative	1, 4, 6
LU Min	AFT536	Licensed Representative	1
LU Shanshan	AND694	Licensed Representative	4
LUI Hong Tat, Henry	ARW188	Licensed Representative	4
LUI Ka Min	ASK671	Licensed Representative	1, 6
LUI Ming Fai Frederick	ARI170	Licensed Representative	1, 4
LUI Wai Ming	ACZ549	Licensed Representative	3
MAES Caroline N.	AOY692	Licensed Representative	4
MAETANI Masashi	AQP647	Licensed Representative	1, 2
MAK Hoong Fatt	ATO799	Licensed Representative	1, 6
MAK Yen Ling, Jennifer	AGS076	Licensed Representative	1, 4
MAN Wing Chung	APD213	Licensed Representative	4
MATSON Timothy Thomas	AHC409	Licensed Representative	1, 4, 9
MATSUMOTO Ko	AQA095	Licensed Representative	1, 6
MIAO Chui Ling	ATB589	Licensed Representative	1
MILLEA Timothy Emmett	ALV096	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
NAUGHTON Thomas Edmund Wyville	AIO093	Licensed Representative	4, 9
NG Cheuk Man, Walter	ARK431	Licensed Representative	4
NG Chi Keung	AAY204	Licensed Representative	1
NG Ka Wai, Jenny	AMO738	Licensed Representative	1, 9
NG Kwok Leung	ADV349	Licensed Representative	1, 2
NG Ting Ho	AQM058	Licensed Representative	1
NG Wai Ting, Johnny	ATH349	Licensed Representative	4
NG Yuet Yeung	ATQ780	Licensed Representative	4
NGAI Sing Lung	AQY372	Licensed Representative	1, 4
NGAI Yuen Ching	ALS112	Licensed Representative	1
NGAN Chun Wah	AEM879	Licensed Representative	4
NICOT Cynthia	APJ348	Licensed Representative	1, 2, 7
NURTANIO Livius	ATV596	Licensed Representative	6
OEI Hendy	APR881	Licensed Representative	4
OYAMA Aiko	AOA394	Licensed Representative	1, 2, 3
OYAMA Akinori	ARB751	Licensed Representative	1
PAK Suet Ho	ARO062	Licensed Representative	1, 2
PANG Philip	ALI343	Licensed Representative	4, 9
PICCARDO Massimo	ART993	Licensed Representative	1
RAMANATHAN Shriram	AMZ988	Licensed Representative	1, 9
ROHNER Anton Johannes	ART995	Licensed Representative	1, 6
SAKURABA Masayuki	ARJ147	Licensed Representative	1, 2
SANDISON David John MacGregor	ABA590	Licensed Representative	4
SAZAWA Jinya	APX225	Licensed Representative	1
SHEN Yi	AQF126	Licensed Representative	1, 2, 4, 5
SHEN Yun-Chi	AQI651	Licensed Representative	4, 9
SHUM Ho Ming, Bernard	ATR616	Licensed Representative	4
SHUM Pui Kwan, Catherine	AKL739	Licensed Representative	1, 4, 9
SINHA Gaurav	AOV915	Licensed Representative	1, 4, 6
SIU Wai Ming	ABZ461	Licensed Representative	1
SO Gideon K	APU886	Licensed Representative	1, 2, 7
SONG Yichun	APO136	Licensed Representative	1, 2, 4
SUN Li	AHM411	Licensed Representative	1
SUN Siu Wai	AQU720	Licensed Representative	1
SUN Wing Man, Doris	ACQ917	Licensed Representative	1, 2, 4, 5
SUNG Kin Man	ADI852	Licensed Representative	1, 2, 4, 9
TAM Kin Sang, Samson	ATQ922	Licensed Representative	1, 2
TAN Choon How, John	ATD524	Licensed Representative	2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
TANG Cheuk Lun	ATB476	Licensed Representative	1
TANG Chun Kit	ARV616	Licensed Representative	1
TANG Lei	ANW186	Licensed Representative	4
TAYLOR Andrew James Emery	ADD108	Licensed Representative	1, 4, 6, 9
THOMPSON Nicholas James Creer	ABE543	Licensed Representative	1
TIAN Tuo	ASE049	Licensed Representative	1, 2
TONIN Jean-Brice, Victor	ARG883	Licensed Representative	1, 2
TSANG Kwai Ping	ART234	Licensed Representative	6
TSANG Wai Chun	ATL475	Licensed Representative	1
TSANG Wai Ming	ACE376	Licensed Representative	1, 4
TSANG Yuen Yi	ASH750	Licensed Representative	1
TSE Chiu	ASX157	Licensed Representative	4
TSE Kai Sang	ARF124	Licensed Representative	1, 2
TSE Po Wing	ASD891	Licensed Representative	4
TSE Wai Biu	AQQ123	Licensed Representative	1
TSE Wing Hang	APL381	Licensed Representative	1
TSENG KUO Juan	AJL228	Licensed Representative	1, 4
TSOI Yeung	ALF528	Licensed Representative	1
TSUI Wing Dung	ATJ180	Licensed Representative	1
TURNBULL Alexander Bligh Hughes	AMN702	Licensed Representative	9
VAN MAANEN Erin Margaret	ARS308	Licensed Representative	1, 6
WAI Yam Fai	AJO108	Licensed Representative	1, 9
WANG Doria Te Yi	ALB987	Licensed Representative	1
WANG Ye	AON538	Licensed Representative	4
WANG Zhufeng	APQ657	Licensed Representative	4
WAT Yin Lai	ASD561	Licensed Representative	4
WEI Chung-Liang	AML182	Licensed Representative	1, 2, 4
WHITNEY Sean William	ASX078	Licensed Representative	1
WILLIS Stefanie Tanya	APO469	Licensed Representative	1, 6
WONG Bik Chun	ARI795	Licensed Representative	4
WONG Chak Kei, Jack	ARN581	Licensed Representative	1, 6
WONG Chi Cheong, Calvin	ASS645	Licensed Representative	4
WONG Chi Fong	ATB741	Licensed Representative	1
WONG Chi Wing	ASI050	Licensed Representative	4
WONG Ching Yin, Ava	AGM971	Licensed Representative	9
WONG Diana Faye	AFD548	Licensed Representative	4
WONG Hiu Chee, Jennifer	AHV106	Licensed Representative	4
WONG Ka Kit Isaac	ATO541	Licensed Representative	1, 7

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Kin Yip	ATQ782	Licensed Representative	4
WONG Ming Fung	AFL943	Licensed Representative	4
WONG Sau Yan	AOX235	Licensed Representative	1
WONG Shing Ho	AOO877	Licensed Representative	1, 2, 4, 5
WONG Shun Ching	APK312	Licensed Representative	1
WONG Siu Ping	AAE218	Licensed Representative	1, 4
WONG Tsz Ching	ANX810	Licensed Representative	4, 6
WONG Yuk Ping	AFK763	Licensed Representative	2
WU Bowen	ASI435	Licensed Representative	4
WU Ching-Hui	ANW351	Licensed Representative	4
WU Henry Gang	AHC322	Licensed Representative	1, 2
YANG Bingnan	APL678	Licensed Representative	4, 6
YANG Dingguo	AQC210	Licensed Representative	1
YANG Ming Lik, Alec	AHA930	Licensed Representative	1, 6
YAO You	ARF793	Licensed Representative	4, 5, 9
YEONG Zhen Chao	ANT778	Licensed Representative	1
YEUNG King Fai	ASX278	Licensed Representative	1, 2
YEUNG Wing Choi, Jeffrey	AAA500	Licensed Representative	1, 2, 4, 5
YEUNG Yan Yue	ARE799	Licensed Representative	4
YI Un Ho	ALH877	Licensed Representative	1, 2
YI Yen-Hua	ARO058	Licensed Representative	4
YIONG Hoi San	AGT132	Licensed Representative	1, 2
YIP Yuen Fan	ATO417	Licensed Representative	1, 3
YIU Ching Yee, Anjo	ARH741	Licensed Representative	1
YIU Man Hay	ARP095	Licensed Representative	1
YU Kwok Yeung	ARI449	Licensed Representative	1, 4
YU Shiu Hang	ASN972	Licensed Representative	4
ZHANG Anita Lining	AQD408	Licensed Representative	4
ZHANG Qiming	AMF576	Licensed Representative	4
ZHANG Xun	ARE169	Licensed Representative	1
ZHENG Peng	ARW895	Licensed Representative	1, 4
ALLAN Rupert Andrew	AMY208	Licensed Representative - Responsible Officer	1
ANDREWS Nicholas James	ACM817	Licensed Representative - Responsible Officer	1, 4, 6
BOSE Kiron Krishna	ADV214	Licensed Representative - Responsible Officer	4, 5, 9
CHAN Hong Ngai	ACL576	Licensed Representative - Responsible Officer	2, 5
CHAN Mong Har	AHT095	Licensed Representative - Responsible Officer	4, 9
CHAN Sok Yin, Sophia	ABE984	Licensed Representative - Responsible Officer	1
EE Oon Sun Albert	ARE093	Licensed Representative - Responsible Officer	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
GURNSEY Jonathan Julian Ashby	ACA412	Licensed Representative - Responsible Officer	1, 4
HASHIZUME Kenji	APF662	Licensed Representative - Responsible Officer	4, 9
HONG Shu Kin, James	AAK376	Licensed Representative - Responsible Officer	4, 9
HUTCHENS Richard Roslyn	ACN423	Licensed Representative - Responsible Officer	1
ISHII Chikara	AOD191	Licensed Representative - Responsible Officer	6
KALYAN Madhav Bandepalli	ARB823	Licensed Representative - Responsible Officer	4, 9
KAZUI Yoshiyuki	AFS336	Licensed Representative - Responsible Officer	1, 2, 4
KENNEDY Justin	ABN073	Licensed Representative - Responsible Officer	1, 2, 7
KIM George Jay	ARL131	Licensed Representative - Responsible Officer	9
KWAN Pak Chung	AAA472	Licensed Representative - Responsible Officer	1, 2, 3
LAM Yiu Wing	ABU046	Licensed Representative - Responsible Officer	9
LAU Yiu Cheong	ACC895	Licensed Representative - Responsible Officer	2
LEE Han	ASF469	Licensed Representative - Responsible Officer	1, 9
LEW Kiang Hua	APA416	Licensed Representative - Responsible Officer	9
LIU Che Ning	ABY439	Licensed Representative - Responsible Officer	1, 6
MA Arthur On-hing	AGL177	Licensed Representative - Responsible Officer	4, 6, 9
MAK Ka Bo, Alex	AEH886	Licensed Representative - Responsible Officer	1
MALIK Rikki	AEW095	Licensed Representative - Responsible Officer	9
MOORE Ross James	AMV249	Licensed Representative - Responsible Officer	1
MUDD David Christopher	AEB939	Licensed Representative - Responsible Officer	9
REILLE Nicolas Jean Xavier	AFS561	Licensed Representative - Responsible Officer	1
RHEE Joo Sang	AHV115	Licensed Representative - Responsible Officer	1, 4, 6
SCHULZ Michael Stephan	AMF462	Licensed Representative - Responsible Officer	4, 9
SEEWALD Leo	APR203	Licensed Representative - Responsible Officer	4, 9
SHANG Xiaojun	APD484	Licensed Representative - Responsible Officer	9
SO Ho Ching, Paul	AQD146	Licensed Representative - Responsible Officer	4, 6, 9
SUEI Feng-jih	AOQ659	Licensed Representative - Responsible Officer	1, 4, 9
TANG Chu, Leonard	AFC962	Licensed Representative - Responsible Officer	1, 6
VELDHUIJZEN Oscar Adrianus	ANX827	Licensed Representative - Responsible Officer	4, 9
WENDT Patrick	AOS309	Licensed Representative - Responsible Officer	1
YEUNG Oi Chun Connie	ARO524	Licensed Representative - Responsible Officer	1
YEUNG Sau Hung, Alex	AAD095	Licensed Representative - Responsible Officer	1, 4
CHENG Kai Ming, Charles	AAD226	Licensed Representative - Responsible Officer (Deemed Licence)	1, 2, 4, 5, 6, 8, 9
LI Kwok Cheung, George	ACQ121	Licensed Representative - Responsible Officer (Deemed Licence)	1, 4, 6, 9
LI Kwok Cheung, George	ACQ121	Licensed Representative (Deemed Licence)	2, 5
BACHTIAR Roestam Effendi Bin	AEK135	Licensed Representative (Provisional Licence)	2
BAEK June Seok	AJC640	Licensed Representative (Provisional Licence)	1, 2
BAI Xiao	AUQ996	Licensed Representative (Provisional Licence)	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
BAILDON Ross Francis	AUT133	Licensed Representative (Provisional Licence)	1, 4, 6
BARTHES Johann Brian Robin	AUW077	Licensed Representative (Provisional Licence)	1, 2
BENCHAVITVILAI Chate	AUW102	Licensed Representative (Provisional Licence)	4
BENOMAR Youssef	AUR589	Licensed Representative (Provisional Licence)	1, 4
BENSMIHEN Jordane	AUW919	Licensed Representative (Provisional Licence)	1, 2
BOJARSKI Justin Curtis	AUT131	Licensed Representative (Provisional Licence)	1, 2, 7
BONAMY Ludivine Madeleine Chantal	AUT894	Licensed Representative (Provisional Licence)	1, 2
BRIDGEWATER George Spencer	AUS002	Licensed Representative (Provisional Licence)	1, 2, 7
BURDIS James	AUX142	Licensed Representative (Provisional Licence)	1
CHADA Rohin	AUW125	Licensed Representative (Provisional Licence)	9
CHAN Chi Keung	AUY945	Licensed Representative (Provisional Licence)	1
CHAN Koon Tak	AUW649	Licensed Representative (Provisional Licence)	1
CHAN Man Hon	AEI555	Licensed Representative (Provisional Licence)	4
CHAN Oi Ying	AUX438	Licensed Representative (Provisional Licence)	1
CHAN Pui Ling	ATV283	Licensed Representative (Provisional Licence)	1
CHAN Pui Man	AUT998	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Shui Yi, Florence	AUW491	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Wai Leung	AUX093	Licensed Representative (Provisional Licence)	1
CHAN Wing Kin	AUW795	Licensed Representative (Provisional Licence)	1, 6
CHAN Yan Lung	AUX220	Licensed Representative (Provisional Licence)	9
CHANG Bo	AUX307	Licensed Representative (Provisional Licence)	9
CHANG Yuan-wei	AUX365	Licensed Representative (Provisional Licence)	1
CHARNSETHIKUL Pimpaval	AUT124	Licensed Representative (Provisional Licence)	1
CHAU Sing Yan	AUX634	Licensed Representative (Provisional Licence)	1, 4
CHEAH Sim Ee	ANM987	Licensed Representative (Provisional Licence)	1, 2, 7
CHEN Jianping	AUV177	Licensed Representative (Provisional Licence)	4
CHEN Jing Yi	ARN454	Licensed Representative (Provisional Licence)	9
CHEN Lei	AMP422	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Qianqian	AUV895	Licensed Representative (Provisional Licence)	4
CHENG Kwok Wai	AUX349	Licensed Representative (Provisional Licence)	1
CHENG Virgil	AUX418	Licensed Representative (Provisional Licence)	1
CHER Jonathan Yves Louis William	AUV738	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Ka Hang	AUW248	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Kin Hang	ARA266	Licensed Representative (Provisional Licence)	1, 2
CHEUNG King Tak, Candy	AQX898	Licensed Representative (Provisional Licence)	1
CHEUNG Mang	AKW755	Licensed Representative (Provisional Licence)	4
CHEUNG Wai Leung	AUW129	Licensed Representative (Provisional Licence)	1
CHEUNG Wang	AMF506	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHIU Chih Ning	AUW231	Licensed Representative (Provisional Licence)	1, 2
CHIU Sai Lun	AUX102	Licensed Representative (Provisional Licence)	1
CHO Sollo	AUW639	Licensed Representative (Provisional Licence)	1, 6
CHOI King Wang	AUV489	Licensed Representative (Provisional Licence)	1
CHOI Stephen Joon	AJH431	Licensed Representative (Provisional Licence)	1, 2
CHONG Petrina Pek Ling	AUV851	Licensed Representative (Provisional Licence)	4
CHOW Chu Sing	AUV530	Licensed Representative (Provisional Licence)	1
CHOW Siu Fai	AUX351	Licensed Representative (Provisional Licence)	1, 4
CHOY Shu Wah	ADS119	Licensed Representative (Provisional Licence)	1
CHU Ho	AUW246	Licensed Representative (Provisional Licence)	1, 2, 4
CHU Kang Ming	AUX009	Licensed Representative (Provisional Licence)	1, 2
CHU Kwok Hong	ALZ579	Licensed Representative (Provisional Licence)	1
CHU Richard Shan Yao	AUW031	Licensed Representative (Provisional Licence)	9
CHU Ying	AQY614	Licensed Representative (Provisional Licence)	1, 4, 6
CLATWORTHY David Peter	AUX131	Licensed Representative (Provisional Licence)	1, 2, 7
DABOUZ Lies	AUV764	Licensed Representative (Provisional Licence)	1
DAFFORN Michael James	AUX135	Licensed Representative (Provisional Licence)	1
DESAI Keemesh	AUL786	Licensed Representative (Provisional Licence)	1, 2
DHUPELIA Sunil	AUW922	Licensed Representative (Provisional Licence)	1, 4, 6
DING Xiao	AUW138	Licensed Representative (Provisional Licence)	1, 6
DONG Mei	AUX150	Licensed Representative (Provisional Licence)	1, 4, 9
DOO Sai Fong	AAY722	Licensed Representative (Provisional Licence)	1
DR. SCHMITZ-MORKRAMER Patrick Walter	AUV004	Licensed Representative (Provisional Licence)	1, 4, 6
DUONG Thanh-mai	AUW527	Licensed Representative (Provisional Licence)	6
FAN Wenchao	AUQ956	Licensed Representative (Provisional Licence)	1, 2, 4, 5
FAN Yi	AUV739	Licensed Representative (Provisional Licence)	1, 2
FINEMAN Daniel Mark	AEP441	Licensed Representative (Provisional Licence)	4
FOO Tzi Chun, Wallace	ADC298	Licensed Representative (Provisional Licence)	1, 6
FROST Michael	AHQ485	Licensed Representative (Provisional Licence)	1
FUJIWARA Sari	AUV639	Licensed Representative (Provisional Licence)	1, 4
FUNG Wing Tsan	ADQ913	Licensed Representative (Provisional Licence)	4, 9
FUNG Yuen Yan	AUX016	Licensed Representative (Provisional Licence)	1, 2
GAO Yang	AUV974	Licensed Representative (Provisional Licence)	1, 2
GEORGE Nicholas James	AUW532	Licensed Representative (Provisional Licence)	1, 2
GHOUILA-HOURI Lionel, Joseph	AUW774	Licensed Representative (Provisional Licence)	1, 2
GLEESON Jamie Alexander Loveland	AUZ306	Licensed Representative (Provisional Licence)	1, 2
GONG Rui	AUX420	Licensed Representative (Provisional Licence)	1, 2
GUAN Bin	AGL097	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
HANNAN David James	AMS327	Licensed Representative (Provisional Licence)	1, 2
HARVEY Neil Anthony Shaun	AUT093	Licensed Representative (Provisional Licence)	1, 4
HAYAMA Tetsuhiro	AUW110	Licensed Representative (Provisional Licence)	1
HE Sijia	AUV102	Licensed Representative (Provisional Licence)	1
HENNINGSEN Michael	AUW140	Licensed Representative (Provisional Licence)	1
HO Lek Yee	AUW056	Licensed Representative (Provisional Licence)	1
HO Wing Sze, Ada	ACJ281	Licensed Representative (Provisional Licence)	1, 4
HOSKIN Todd Edward	AUZ154	Licensed Representative (Provisional Licence)	1, 6
HU Nyree Nai-wen	AUW511	Licensed Representative (Provisional Licence)	4, 9
HU Yingqing	AUW137	Licensed Representative (Provisional Licence)	1, 4, 6
HU Zhengwei	AUQ995	Licensed Representative (Provisional Licence)	1, 6
HUANG Dawei	AUV855	Licensed Representative (Provisional Licence)	1, 4, 6
HUANG Hiu Tan	AOQ960	Licensed Representative (Provisional Licence)	4
HUANG Zhaojie	AUV510	Licensed Representative (Provisional Licence)	1, 4, 6
HUI Hing Cheung, Brian	AUX308	Licensed Representative (Provisional Licence)	1
HUI Ting Fong	AUX111	Licensed Representative (Provisional Licence)	4
HUNG Hilary Yi Jeng	APU944	Licensed Representative (Provisional Licence)	1
INE Hiroaki	AUU992	Licensed Representative (Provisional Licence)	1
IP Kim Hung	AUX356	Licensed Representative (Provisional Licence)	1
IP Pui Shan, Sarah	AGI952	Licensed Representative (Provisional Licence)	1, 4
ISGROVE Paul Brian	AIZ559	Licensed Representative (Provisional Licence)	1
JACOB Michael Edward	AUW510	Licensed Representative (Provisional Licence)	1
JACOB Vijay Thomas	AUV355	Licensed Representative (Provisional Licence)	1, 4, 6
JAMES Shane Charles	ATL423	Licensed Representative (Provisional Licence)	9
JEFFERY Anchan Khay	AUX357	Licensed Representative (Provisional Licence)	1, 2
JIANG Jiang	AUW635	Licensed Representative (Provisional Licence)	9
KAN Kei Nap	ANL969	Licensed Representative (Provisional Licence)	1
KEUNDJIAN Jean-Philippe	AUZ102	Licensed Representative (Provisional Licence)	2
KEVALEE Thaniya	AFB035	Licensed Representative (Provisional Licence)	4
KHAN Usman Sohail	AUX411	Licensed Representative (Provisional Licence)	1, 4, 6
KIM Hyung Eun	AUW502	Licensed Representative (Provisional Licence)	1
KOGAR Samir	AUT095	Licensed Representative (Provisional Licence)	1
KOLL Kathy Mitsuko	AUV515	Licensed Representative (Provisional Licence)	1, 4
KONG Wai Choi, William	AUX112	Licensed Representative (Provisional Licence)	1, 2
KONG Yuk Shan	ATY323	Licensed Representative (Provisional Licence)	1
KOTHARI Sejal Kirit	AUX306	Licensed Representative (Provisional Licence)	1, 6
KULKARNI Manoj Dilipkumar	AUW573	Licensed Representative (Provisional Licence)	4
KUO Marc	AUY932	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
KUO Teu-wei	AUZ362	Licensed Representative (Provisional Licence)	1, 2
KWAN Kit Yan, Anderson	AUX006	Licensed Representative (Provisional Licence)	1
KWAN Pui Chee	AUW887	Licensed Representative (Provisional Licence)	1
KWAN Wai Sum	AUX266	Licensed Representative (Provisional Licence)	1
KWOK Ka Yan Karen	AUX313	Licensed Representative (Provisional Licence)	1
KWOK Ronald King-wong	AQQ334	Licensed Representative (Provisional Licence)	1, 2, 7
LAI Shing Hong, Ronny	AUX096	Licensed Representative (Provisional Licence)	9
LAM Chi Hsin	ALO052	Licensed Representative (Provisional Licence)	1
LAM Chiu Kei, Banny	AGH217	Licensed Representative (Provisional Licence)	4
LAM Chui Wah	AMM393	Licensed Representative (Provisional Licence)	1
LAM Hing Chiu	AUZ899	Licensed Representative (Provisional Licence)	1
LAM Ka Wai	AOV836	Licensed Representative (Provisional Licence)	1
LAM Melody Wai Yan	AUV751	Licensed Representative (Provisional Licence)	1, 4
LAM Pui Pui	AQN699	Licensed Representative (Provisional Licence)	1
LAM Tat Shing	AUV992	Licensed Representative (Provisional Licence)	1, 2
LAM Wai Cheng	AUV176	Licensed Representative (Provisional Licence)	1, 4, 9
LAMOTHE-CHARLEBOIS Francois	AUX287	Licensed Representative (Provisional Licence)	4
LAMRINI Mohammed Mehdi	AUW123	Licensed Representative (Provisional Licence)	1, 2, 7
LANE David Adrian	AUX153	Licensed Representative (Provisional Licence)	4
LAU Cheung Ka, Daniel	AIB406	Licensed Representative (Provisional Licence)	1
LAU Chi Ming	AUW146	Licensed Representative (Provisional Licence)	9
LAU Chun Sing	ANQ367	Licensed Representative (Provisional Licence)	1, 4
LAU Chun Yu	ANK121	Licensed Representative (Provisional Licence)	1, 4, 9
LAU Kai Fung, Edward	AUW118	Licensed Representative (Provisional Licence)	1, 4, 6
LAU Nam Fung, Henry	AUW417	Licensed Representative (Provisional Licence)	2
LAU Sai Kit Dennis	AUV774	Licensed Representative (Provisional Licence)	1
LAU Siu Fai	ADA388	Licensed Representative (Provisional Licence)	1
LAU Valerie Wai Chee	AUX419	Licensed Representative (Provisional Licence)	1
LAU Wai Ping, Cathy	AUX352	Licensed Representative (Provisional Licence)	1, 4
LAU Wang Chi, Barry	AUZ162	Licensed Representative (Provisional Licence)	9
LEE Chun Yip	AUW445	Licensed Representative (Provisional Licence)	1, 2
LEE Donald Sang	AUT132	Licensed Representative (Provisional Licence)	1, 2, 7
LEE Ka Wo	AUX259	Licensed Representative (Provisional Licence)	1
LEE So Ryung	ARG849	Licensed Representative (Provisional Licence)	1, 2
LEUNG Chung Hang	AMN680	Licensed Representative (Provisional Licence)	1, 2
LEUNG Karen Ka Ying	AUX242	Licensed Representative (Provisional Licence)	4
LEUNG Kwan Hoo	AUW512	Licensed Representative (Provisional Licence)	4
LEUNG Wa Sang, Kenneth	ATW781	Licensed Representative (Provisional Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEUNG Wing Yan	AUW488	Licensed Representative (Provisional Licence)	1, 2
LEVINE Robert Peter	AGL783	Licensed Representative (Provisional Licence)	1
LI Andrew Sing-tsze	ANK159	Licensed Representative (Provisional Licence)	4
LI Bing	AUV179	Licensed Representative (Provisional Licence)	1, 4, 9
LI Lili	AUG973	Licensed Representative (Provisional Licence)	4
LI Shulu	AUW640	Licensed Representative (Provisional Licence)	1, 6
LIU Jing	ART641	Licensed Representative (Provisional Licence)	1, 4
LIU Shuk Hin	AUW342	Licensed Representative (Provisional Licence)	1, 2
LIU Wai Ming	AUW051	Licensed Representative (Provisional Licence)	4
LIU Yin Tai	AUW247	Licensed Representative (Provisional Licence)	1, 2
LO Yi Pin	ALJ988	Licensed Representative (Provisional Licence)	1
LONG David Jason Junor	AUW238	Licensed Representative (Provisional Licence)	1, 2
LOUIE Kwun Yin, David	AUV181	Licensed Representative (Provisional Licence)	1, 4, 5, 9
LU Chih-Heng Jack	AUX378	Licensed Representative (Provisional Licence)	4
LU Yachong	ARG826	Licensed Representative (Provisional Licence)	1, 6
LUI Malcolm O	AUT119	Licensed Representative (Provisional Licence)	1
LUI Wai Chiu	AIH680	Licensed Representative (Provisional Licence)	1
LUO Xun	AUW916	Licensed Representative (Provisional Licence)	1, 4, 9
LUO Yang	AUV152	Licensed Representative (Provisional Licence)	4
MA Hoi Sze	AUW529	Licensed Representative (Provisional Licence)	2
MAK Wai Shing	AUX263	Licensed Representative (Provisional Licence)	1
MAN Ho Yeung, Eric	AUW648	Licensed Representative (Provisional Licence)	1, 9
MAN Wai Keung	AUX154	Licensed Representative (Provisional Licence)	1, 2
MAN Yi Yan	AUX241	Licensed Representative (Provisional Licence)	1, 2
MAO Beichen	ATS704	Licensed Representative (Provisional Licence)	1, 4, 6
MATHIE Robbi	ANQ501	Licensed Representative (Provisional Licence)	1
MCCALLUM Colin James	ADX863	Licensed Representative (Provisional Licence)	4
MIAO Kaizhou	ALZ811	Licensed Representative (Provisional Licence)	1, 9
MOHLA Abhishek Dushyant	AUZ081	Licensed Representative (Provisional Licence)	1
MOK Leung Hung, Leon	AOM521	Licensed Representative (Provisional Licence)	1
MOSER Kent Sanford	ALF653	Licensed Representative (Provisional Licence)	1
MOTT Jonathan James	AUW134	Licensed Representative (Provisional Licence)	4
MUI Yim Yin	AUW230	Licensed Representative (Provisional Licence)	4
NAKAMURA Miley	AUW130	Licensed Representative (Provisional Licence)	1, 2
NATARAJAN Neeraja	AUT496	Licensed Representative (Provisional Licence)	4
NG Charlotte Hoi Yin	AUZ348	Licensed Representative (Provisional Licence)	4
NG Fan	AUW419	Licensed Representative (Provisional Licence)	1, 2
NG Shui King, Yvonne	AGE338	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
NG Shui To, Eleanor	AJF482	Licensed Representative (Provisional Licence)	1
NG Wing Tai	AUZ073	Licensed Representative (Provisional Licence)	4
NG Yuen Ling	AUX004	Licensed Representative (Provisional Licence)	1, 2
NORONHA Cecilia	AUW055	Licensed Representative (Provisional Licence)	1, 6
NORRIS Jeremy Anthony	ABM977	Licensed Representative (Provisional Licence)	1
O'NEILL John Daniel	AUV896	Licensed Representative (Provisional Licence)	1
OXLADE Michael James	AUW121	Licensed Representative (Provisional Licence)	1, 2
PADGALSKAS Nicholas Keith	AUV978	Licensed Representative (Provisional Licence)	1, 6
PANG Kwai Yuk, Stella	AUW522	Licensed Representative (Provisional Licence)	1
PANG Lai Kwan	AUV853	Licensed Representative (Provisional Licence)	1
PARK Sang Sun	ALR978	Licensed Representative (Provisional Licence)	4, 9
PATKAR Rahul Pradeep	AUW133	Licensed Representative (Provisional Licence)	1
PHILIPS Christiana Marie	AUX021	Licensed Representative (Provisional Licence)	9
POLGE DE COMBRET Marguerite Prune Coralie	AUV736	Licensed Representative (Provisional Licence)	1, 6
POON Chun Bong	AUW799	Licensed Representative (Provisional Licence)	1
POON Tsze kay	AUT893	Licensed Representative (Provisional Licence)	4, 5, 9
POTTER Morgan Winston Oliver	AUW127	Licensed Representative (Provisional Licence)	1
PRADO MIGUELEZ Aranzazu	AUX114	Licensed Representative (Provisional Licence)	4
PRASERTSINTANAH Pornchai	AUT099	Licensed Representative (Provisional Licence)	1
PU Jimmy	AUX546	Licensed Representative (Provisional Licence)	1, 6
QIN Xiao	AOY193	Licensed Representative (Provisional Licence)	1, 4, 6
QUAH Benedict Hyacinth Siew Bin	AUZ671	Licensed Representative (Provisional Licence)	1, 4
QUEK Chin Hung	AUX104	Licensed Representative (Provisional Licence)	1, 2
RADHAKRISHNAN Srinivas	AUX024	Licensed Representative (Provisional Licence)	4
RAN Peng	ANL967	Licensed Representative (Provisional Licence)	4
RHEE Jae Kyung	AUZ166	Licensed Representative (Provisional Licence)	1
RIGGS John West	ARO321	Licensed Representative (Provisional Licence)	1, 4, 6
RITZ Stephane Marcel Marie Bernard	AUS617	Licensed Representative (Provisional Licence)	1, 2
RYAN Patrick M	AUW198	Licensed Representative (Provisional Licence)	1
SANGER Paul John	ASH952	Licensed Representative (Provisional Licence)	1, 2, 7
SEE Wee Phing, Eric	AQU543	Licensed Representative (Provisional Licence)	1
SEO Jong Dae	AUV972	Licensed Representative (Provisional Licence)	1, 2
SHAM Ho Lun, Hans	AUX151	Licensed Representative (Provisional Licence)	1
SHARMA Mrinal	AUZ153	Licensed Representative (Provisional Licence)	1, 4, 6
SHEN Xudong	AUV175	Licensed Representative (Provisional Licence)	4
SHI Changyong	AUX573	Licensed Representative (Provisional Licence)	4
SHUTO Takashi	AUT376	Licensed Representative (Provisional Licence)	1, 4, 6
SIU Wilson Wai Lum	AIZ698	Licensed Representative (Provisional Licence)	4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
SLADIC Ales	AUV740	Licensed Representative (Provisional Licence)	1
SO Ha Yan	AUW352	Licensed Representative (Provisional Licence)	1
SO Kit Yan	AUX155	Licensed Representative (Provisional Licence)	1
SO Petrina Pui Yan	AMJ575	Licensed Representative (Provisional Licence)	4
SU Hui	AUZ018	Licensed Representative (Provisional Licence)	4, 9
SUM Ping Kong	AUX010	Licensed Representative (Provisional Licence)	1, 2, 3
SUN Tsui Ping	AUV990	Licensed Representative (Provisional Licence)	1, 4
SUNG Sin Wa	ATV570	Licensed Representative (Provisional Licence)	1
SUARNATEMEE Paworamon	AUT103	Licensed Representative (Provisional Licence)	4
TAKAYAMA Daiki	AUV516	Licensed Representative (Provisional Licence)	1, 4
TAM Kevin	AUX305	Licensed Representative (Provisional Licence)	1
TAM Sin Kwan	AUW632	Licensed Representative (Provisional Licence)	1, 2
TANG Bingjun, Daniel	AUX373	Licensed Representative (Provisional Licence)	4
TANG Weiguo	AUW905	Licensed Representative (Provisional Licence)	1, 6
TAVEERATANASILP Nuntana	AQM288	Licensed Representative (Provisional Licence)	1
TECHAKUMPUCH Chai	AUT107	Licensed Representative (Provisional Licence)	4
TONG Kwai Mui	AFB013	Licensed Representative (Provisional Licence)	1
TORY Richard Marshall	AUT094	Licensed Representative (Provisional Licence)	1, 4, 6
TRAN Leonard	AUW078	Licensed Representative (Provisional Licence)	1, 2
TSANG Mei Yin	AUW192	Licensed Representative (Provisional Licence)	1
TSANG Simon Sai Cheong	AUW602	Licensed Representative (Provisional Licence)	1
TSANG Yin Chung, Martin	AUX602	Licensed Representative (Provisional Licence)	1, 4
TSE Shun Yue, Cora	ATC228	Licensed Representative (Provisional Licence)	1
TSOI Ting Mun	AUV894	Licensed Representative (Provisional Licence)	1
TUAN Shunde Noel	AUW209	Licensed Representative (Provisional Licence)	1
UNGOLAN Intarat	AMK192	Licensed Representative (Provisional Licence)	1
VEERMAN Alfred Rene	AUT652	Licensed Representative (Provisional Licence)	4
WAN Shuk Yu, Judy	AUV514	Licensed Representative (Provisional Licence)	1, 6
WANG Lei	AUX545	Licensed Representative (Provisional Licence)	1, 6
WANG Wei	AUX226	Licensed Representative (Provisional Licence)	1, 6
WANG Yi	AUW136	Licensed Representative (Provisional Licence)	1, 2
WEINGARTH John Nicholas Grant	APB774	Licensed Representative (Provisional Licence)	1
WILSON Scott	AUX582	Licensed Representative (Provisional Licence)	4, 9
WONG Chi Man, Louis	AUW820	Licensed Representative (Provisional Licence)	1
WONG Chun Ho	AUW995	Licensed Representative (Provisional Licence)	1
WONG Kin Man	AUW416	Licensed Representative (Provisional Licence)	2
WONG Lan Fa	AUV854	Licensed Representative (Provisional Licence)	1
WONG Lok Hang	AUZ016	Licensed Representative (Provisional Licence)	2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Man Chun, Anthony	AJD976	Licensed Representative (Provisional Licence)	1
WONG Man Man, Catherine	AUW025	Licensed Representative (Provisional Licence)	4
WONG Yiu Cheong	AUW021	Licensed Representative (Provisional Licence)	4
WONG Yuen Yee, Vicky	AUX364	Licensed Representative (Provisional Licence)	1
WRIGHT Kim Samantha	AUW108	Licensed Representative (Provisional Licence)	4
WU Chun Lai	AUW915	Licensed Representative (Provisional Licence)	1, 4, 9
WU Nan	AUW139	Licensed Representative (Provisional Licence)	1, 4, 6
WU Yi Han	AUX618	Licensed Representative (Provisional Licence)	1
WU Yuk Mui	AAC272	Licensed Representative (Provisional Licence)	1
XIONG Fei	AUW687	Licensed Representative (Provisional Licence)	1, 6
XU Linwei	AUZ169	Licensed Representative (Provisional Licence)	4
YAMAGUCHI Marie	AUS179	Licensed Representative (Provisional Licence)	9
YANG Becky	ANM527	Licensed Representative (Provisional Licence)	1
YANG Kirk Y-C	AFE044	Licensed Representative (Provisional Licence)	4
YEN Jason James	AFS525	Licensed Representative (Provisional Licence)	1, 2
YEUNG Pik Ki, Becky	ASO317	Licensed Representative (Provisional Licence)	1
YEUNG Wan Hei	AUW128	Licensed Representative (Provisional Licence)	1, 2
YEUNG Yan Wai	ATX645	Licensed Representative (Provisional Licence)	1, 2
YIP Chi Wing	ARO676	Licensed Representative (Provisional Licence)	1
YIP Ka Ho	ALQ922	Licensed Representative (Provisional Licence)	9
YIP Keng Fai	AUW257	Licensed Representative (Provisional Licence)	1, 2
YIU Tsz Chun	AUX095	Licensed Representative (Provisional Licence)	2
YONG Lei Fong, Louise	AUX400	Licensed Representative (Provisional Licence)	1, 2
YOUNG Rex Vincent	AUZ708	Licensed Representative (Provisional Licence)	1, 2
YU Lijie	AUX358	Licensed Representative (Provisional Licence)	4
YU Man	AUW725	Licensed Representative (Provisional Licence)	1, 2
YU Man Fung, Lora	AMQ585	Licensed Representative (Provisional Licence)	4, 9
YU Shuk Wing	AUV777	Licensed Representative (Provisional Licence)	1, 4
YU Yajie	AUZ669	Licensed Representative (Provisional Licence)	9
YUEN Pui Ho	AUY360	Licensed Representative (Provisional Licence)	1, 4, 9
ZAO Audrey	ACB673	Licensed Representative (Provisional Licence)	1, 2, 4, 5
ZHANG Wei	AUG506	Licensed Representative (Provisional Licence)	4
ZHANG Xiaodan	AUZ054	Licensed Representative (Provisional Licence)	4
ZHANG Ye	AOS487	Licensed Representative (Provisional Licence)	1, 2
ZHANG Yinghua	APV192	Licensed Representative (Provisional Licence)	4
ZHANG Zhiwei	AUW629	Licensed Representative (Provisional Licence)	1, 4
ZHOU Hao	AUV521	Licensed Representative (Provisional Licence)	4
ZHOU Peng	AUS211	Licensed Representative (Provisional Licence)	1, 4, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
ABDUL AZIZ Annuar Bin	AUX121	Licensed Representative (Temporary Licence)	4
ABRAMS Randy I	AUW910	Licensed Representative (Temporary Licence)	4
ADEL EL-GAZZAR Mohamed	AUX430	Licensed Representative (Temporary Licence)	1
AGARWAL Anand	ANX687	Licensed Representative (Temporary Licence)	4
AKIZUKI Manabu	AMM295	Licensed Representative (Temporary Licence)	4
BAYVEL Kirk Forrest	ATH621	Licensed Representative (Temporary Licence)	1, 2
BECK John William	AUM482	Licensed Representative (Temporary Licence)	1
BRODY Richard Scott	AOU232	Licensed Representative (Temporary Licence)	4
BUCKWORTH Piers Edward Grainger	AUT718	Licensed Representative (Temporary Licence)	4
CASAROTTO Renzo Virginio	AVA095	Licensed Representative (Temporary Licence)	4
CHANG Hsing-Yi	AMR697	Licensed Representative (Temporary Licence)	4
CHELLAPPA Govindarajan	AUX265	Licensed Representative (Temporary Licence)	4
CHEN Bo-ling	AUW906	Licensed Representative (Temporary Licence)	4
CHENG Sheng-Jung	ANF064	Licensed Representative (Temporary Licence)	4
CHENG Te-jung	AUW908	Licensed Representative (Temporary Licence)	4
CHUA Su Tye	AUW974	Licensed Representative (Temporary Licence)	4
DENFELD Jon Peter	AVA795	Licensed Representative (Temporary Licence)	4
DUNN Mark John	AUX098	Licensed Representative (Temporary Licence)	1, 4
FAY Sharon Ellen	AMC652	Licensed Representative (Temporary Licence)	4
FITZGERALD Jonas Michael Sidlauskas	AVA153	Licensed Representative (Temporary Licence)	1
GOH Eng Siang	AUX124	Licensed Representative (Temporary Licence)	4
HAGGER Stephen John Watson	AUX120	Licensed Representative (Temporary Licence)	4
HAMZAH Amir Bin	AUX125	Licensed Representative (Temporary Licence)	4
HELPER Paul Douglas	ARF416	Licensed Representative (Temporary Licence)	1
HENWOOD Julian Peter	AVC858	Licensed Representative (Temporary Licence)	1
HERRMANN Conrad Beadle	AUU991	Licensed Representative (Temporary Licence)	1
HSU Chung-wei	AUW907	Licensed Representative (Temporary Licence)	4
HUBER Donald Graham	AUU997	Licensed Representative (Temporary Licence)	1
IWASAWA Seiichiro	ARO888	Licensed Representative (Temporary Licence)	4
JAIN Sanjay	AUW893	Licensed Representative (Temporary Licence)	4
KIM Hocheol	AUX129	Licensed Representative (Temporary Licence)	4
KIM Seung Hyun	AUX127	Licensed Representative (Temporary Licence)	4
KLIJNSTRA Willem Marcel	AUV360	Licensed Representative (Temporary Licence)	4
KOHTANI Motoya	ARF535	Licensed Representative (Temporary Licence)	4
LANGLEY Toby Rowan	AUY970	Licensed Representative (Temporary Licence)	4
LEWIS Janet Barbara	ASL090	Licensed Representative (Temporary Licence)	4
LOKE Foong Wai	AUX122	Licensed Representative (Temporary Licence)	4
MACPHERSON Skye Eva	AVA096	Licensed Representative (Temporary Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
MAEDA Koichiro	AMB300	Licensed Representative (Temporary Licence)	1
MALHOTRA Sandeep	AUX271	Licensed Representative (Temporary Licence)	4, 5
MARTIN Jarrod William	AUX267	Licensed Representative (Temporary Licence)	4
MARUYAMA Shun	AUV642	Licensed Representative (Temporary Licence)	4
MCCULLAGH Sandra Kathleen	AUX274	Licensed Representative (Temporary Licence)	4
MEGHJI Mohammed Azim	AUZ503	Licensed Representative (Temporary Licence)	1
MEURICE Valerie Annick	AUX105	Licensed Representative (Temporary Licence)	4
MILLER Christopher Patrick Leahey	AUF117	Licensed Representative (Temporary Licence)	1
MISHRA Neelkanth	ALW780	Licensed Representative (Temporary Licence)	4
MOOKIM Sanjay Kumar	AUW976	Licensed Representative (Temporary Licence)	4
MURAYAMA Makoto	AQS274	Licensed Representative (Temporary Licence)	4
NIAMATALI Gordon Yerxis	ATO809	Licensed Representative (Temporary Licence)	1
NOGUCHI Shotaro	AUZ501	Licensed Representative (Temporary Licence)	4
ODEN John Evans	AOU898	Licensed Representative (Temporary Licence)	4
OKUBO Hiroshi	ATI154	Licensed Representative (Temporary Licence)	4
OLIVIER Jean-Philippe	AUX106	Licensed Representative (Temporary Licence)	1, 4
PATTISAHUSIWA Nurdiaz Alvin	ATS149	Licensed Representative (Temporary Licence)	4
QUEK Chin Kuan	AMG954	Licensed Representative (Temporary Licence)	4
ROBINSON Charles Paul	AFU955	Licensed Representative (Temporary Licence)	1
RUSLI Felix Stephen	AUW898	Licensed Representative (Temporary Licence)	4
SAWATO Masami	AUV747	Licensed Representative (Temporary Licence)	4
SHAH Amish Chandrakant	AUW978	Licensed Representative (Temporary Licence)	4
SINGH Bhuvnesh	AUX279	Licensed Representative (Temporary Licence)	4
SINN Min Seok	APA056	Licensed Representative (Temporary Licence)	4
SIVASUBRAMANIAM Sivasakthi D/O	AUW890	Licensed Representative (Temporary Licence)	4
SONG Ming Huay	AUW973	Licensed Representative (Temporary Licence)	4
STOFFELS D'HAUTEFORT Helie, Armand, Emmanuel, Francois	ATW119	Licensed Representative (Temporary Licence)	1, 4
SUMMERFIELD David Angus	AEP603	Licensed Representative (Temporary Licence)	1
SUNG Jong Wook	AUX130	Licensed Representative (Temporary Licence)	4
SWAMINATHAN Anand	AUW975	Licensed Representative (Temporary Licence)	4
TAN Soon Huat	AUX123	Licensed Representative (Temporary Licence)	4
TANAKA Hironori	ALU048	Licensed Representative (Temporary Licence)	1
TRUDEL Michael Robert	AUW492	Licensed Representative (Temporary Licence)	4
VAN VEEN Arjan Francis	AUX492	Licensed Representative (Temporary Licence)	4
VOON Yee Woan	AUX115	Licensed Representative (Temporary Licence)	4
WALLACH Gabriel	AUW415	Licensed Representative (Temporary Licence)	4
WIRZ Pascal Francois Marie	AUV421	Licensed Representative (Temporary Licence)	1
YEE Samuel Andrew	AUW196	Licensed Representative (Temporary Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
YOKOYAMA Kyoichiro	AJJ625	Licensed Representative (Temporary Licence)	4
YUEN David Tak-Sing	AUT632	Licensed Representative (Temporary Licence)	1
YUN Seok	AUX144	Licensed Representative (Temporary Licence)	4
ZHANG Zi Jing	AVB848	Licensed Representative (Temporary Licence)	1, 2