

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
Deephaven Asia Limited	ALQ137	Licensed Corporation	4, 9
Fleming Family & Partners (Asia) Limited	ANK863	Licensed Corporation	4, 9
ICEA Securities Asia Limited	AAC939	Licensed Corporation	4
Ichiyoshi International (HK) Limited	AAB082	Licensed Corporation	1, 4, 6
Drake & Morgan Limited	AEO788	Licensed Corporation (Deemed Licence)	1, 4, 6, 9
Tanrich Futures Limited	AAZ149	Licensed Corporation (Deemed Licence)	2, 5, 9
ACCART Charlotte	AON878	Licensed Representative	1
ALEXANDER Andrew Bernard	ABM861	Licensed Representative	1
ALLARD Wayne Anthony	ARF586	Licensed Representative	4
ARYA Nupur	APL008	Licensed Representative	1
ASHTON Scott Randall	ACC199	Licensed Representative	1, 2, 4, 7, 9
AU Ho Ki	AQG675	Licensed Representative	4
AU Ka Ying	AJF012	Licensed Representative	3
AU Kar Oi, Karen	APY772	Licensed Representative	1, 4
AU King Cheong, Matthew	AOH070	Licensed Representative	4
AU Sze Wing	APD063	Licensed Representative	4
AU Wing Yi, Brenda	AGK631	Licensed Representative	3
BANNIGAN Julian Paul	AMN747	Licensed Representative	4
BANON Philippe Pierre David	APQ670	Licensed Representative	1
BASHAM Caroline Charlotte	AFU065	Licensed Representative	1
BATEMAN James Nicholas	AQQ258	Licensed Representative	1
BHARDWAJ Ashish	ATG736	Licensed Representative	4, 9
BOELEN Sander	AON787	Licensed Representative	1, 4
BOUCKLEY David John	APS897	Licensed Representative	1, 4
BOYD Waller Brian	AQU102	Licensed Representative	1
CHAK Chung On	ARO314	Licensed Representative	4
CHAN Chi Tat	ARV365	Licensed Representative	4
CHAN Chiu Yat	AQZ722	Licensed Representative	4
CHAN Desmond Chee Keen	AMS317	Licensed Representative	4, 9
CHAN Edwin	AQJ830	Licensed Representative	4
CHAN Kan Yu	AGO247	Licensed Representative	4
CHAN Kar Yin, Gary	AEB044	Licensed Representative	4, 9
CHAN Kar Yue, Mark	ABB625	Licensed Representative	1, 1, 2, 4, 4, 9, 9
CHAN Kin Lung, William	ARH477	Licensed Representative	6
CHAN Kwok Wai	ARE605	Licensed Representative	4
CHAN Kwong Chuen	APF051	Licensed Representative	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Kwong Fat, George	AFU058	Licensed Representative	1
CHAN Pak Long	ARA345	Licensed Representative	1, 2
CHAN Pui Kam, Margaret	ABN100	Licensed Representative	1
CHAN Shing Chun	AFU532	Licensed Representative	1
CHAN Wai Kit, Oswald	ANQ110	Licensed Representative	4
CHANG Chhang San	ABT037	Licensed Representative	1
CHANG Hua-shan	AQM767	Licensed Representative	1, 4
CHANG Hwa-ping	AQW385	Licensed Representative	1, 4, 6
CHANG Weijun	AOV859	Licensed Representative	1, 6
CHANG Yat Wing	ALV298	Licensed Representative	1, 4
CHAU Ho Ching, Alexander	AQL854	Licensed Representative	9
CHAU Kei Yin	AFU552	Licensed Representative	1, 2, 3
CHAU Shamrock Roy	AEO767	Licensed Representative	1, 6
CHAU Siu Lam	AQR766	Licensed Representative	4
CHAUDHURI Dyutish	AMX664	Licensed Representative	1, 4, 6
CHE Shaobo	ANL058	Licensed Representative	1
CHEN Hsin Yi	APH887	Licensed Representative	1, 2, 7
CHEN Jianyuan, Edwin	AQQ236	Licensed Representative	1, 6
CHEN Jing Yi	ARN454	Licensed Representative	1, 4, 9
CHEN Shan Wen	AQZ829	Licensed Representative	1, 2
CHEN Yun-Lu	ART996	Licensed Representative	4
CHENG Chak Fai	AOW812	Licensed Representative	3
CHENG Chin Ming	AFB081	Licensed Representative	2, 3
CHENG Clement Kin Kwan	AQG415	Licensed Representative	1
CHENG Hoi Ling, Helena	APJ120	Licensed Representative	4
CHENG Kam Cheong	ACN520	Licensed Representative	3
CHENG Kin Wai	AEE587	Licensed Representative	3
CHENG Piu Keung	ARR523	Licensed Representative	1
CHENG Sin Kei	ADT753	Licensed Representative	1, 2, 7
CHENG Wing	AKJ064	Licensed Representative	1
CHEONG Hong Lum, Julian	AFD872	Licensed Representative	1, 4, 6
CHERIAN Josh	ANT539	Licensed Representative	9
CHEUK Hon Fu	ANE705	Licensed Representative	4
CHEUNG Chung Yip	AQC543	Licensed Representative	1, 2
CHEUNG Fung San	AHL934	Licensed Representative	2, 7
CHEUNG Lap Ki, David	ARR119	Licensed Representative	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEUNG Man	APB276	Licensed Representative	4
CHEUNG Man Wai	AOR584	Licensed Representative	4
CHEUNG Pui Sze, Joanne	APH421	Licensed Representative	3
CHEUNG Wai Kuen	ALQ278	Licensed Representative	4, 6, 9
CHEUNG Wing Tim	AEU275	Licensed Representative	1, 6
CHEUNG Ying Wah	ADB434	Licensed Representative	1, 4
CHEUNG Ying Yuet	ARL795	Licensed Representative	4
CHEUNG Yu Hin	ALL159	Licensed Representative	1, 2
CHIANG Venant	AIB743	Licensed Representative	4, 9
CHIANG Wai Ki, Kevin	ABV520	Licensed Representative	1
CHING Ching	ALF582	Licensed Representative	9
CHING Tze Yin, Rainbow	ANG543	Licensed Representative	1
CHIU Eric See-kit	AMS457	Licensed Representative	4
CHIU Pat Ngao	ADH952	Licensed Representative	6
CHIU Siu Wing, Terence	AOH518	Licensed Representative	1, 2, 4
CHNG Oon Jin	AIN851	Licensed Representative	1, 2
CHO Ka Yan	ASK270	Licensed Representative	1, 4
CHOI Hye Mi	ARN407	Licensed Representative	1, 6
CHOI Jae Hong	ARJ896	Licensed Representative	4, 9
CHONG Ka Lun, Joseph	AIZ562	Licensed Representative	4
CHONG Ka Man	ARA354	Licensed Representative	1, 2
CHOW Chi Wai	ARQ213	Licensed Representative	4
CHOW Kin Man	AQW225	Licensed Representative	1, 4
CHOW King Man	AQT305	Licensed Representative	1, 4
CHOW Tsz Kin	ASH867	Licensed Representative	4
CHOY Pui Ming	AQO168	Licensed Representative	4
CHU Sau King	AFO430	Licensed Representative	4
CHUN Yat Lai	AKX569	Licensed Representative	1, 2, 4
CHUNG Jago Hung	AOS345	Licensed Representative	4, 6
CHUNG Raymond Paul	AOA332	Licensed Representative	1, 6
COCKFIELD III Raiford Cohen	APM165	Licensed Representative	1, 4
COOKE Alison Marie	ARH272	Licensed Representative	4, 9
COTTIS Graham Stuart	ATB932	Licensed Representative	1, 2
COWPERTHWAITTE Adam Aaron	AIB753	Licensed Representative	1, 2, 7
DARDE Adrien, Jean-Christian	ANG121	Licensed Representative	1, 4, 5, 9
DE VILLELE Benoit, Marie, Patrice, Andre	ARJ464	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
DENG Yu-Chien	APK833	Licensed Representative	1, 4, 9
DONG Fang	AIH535	Licensed Representative	3
DOWDS Stephen	ANH128	Licensed Representative	1
EASTERBROOK Trevor Stephen	AGX021	Licensed Representative	4, 9
EGENOLF Anja	AOU636	Licensed Representative	1
FAN Bik Wah	AJN300	Licensed Representative	4
FAN Charrissa Wing Sze	AOA842	Licensed Representative	1, 4
FAN Haiyang	ARO765	Licensed Representative	1, 6
FAN Nan	ARL485	Licensed Representative	1, 6
FAN Tsun Wan, Louis	ARO901	Licensed Representative	4
FENG Siguo	APO467	Licensed Representative	1
FLYNN Kelvin Edward	ALG454	Licensed Representative	1
FOK On Sin, Elsie	ALL915	Licensed Representative	6
FONG Kar Chun, Jimmy	AOD207	Licensed Representative	1, 6
FONG Lai Yee	ANF227	Licensed Representative	1
FONG Wei Sin	ASD550	Licensed Representative	1
FOO Ka Ka, Clara	ANU089	Licensed Representative	1, 4, 9
FREISCHMIDT Benjamin Hall	AIR951	Licensed Representative	1, 9
FU Lei	ARI944	Licensed Representative	1, 6
FU Qianqian	AOS569	Licensed Representative	1, 6
GANAPATHY Jayakumar	ANI876	Licensed Representative	1
GEORGE Colin Charles	ASO436	Licensed Representative	1, 2
GOH Po Gin Jonathan	ARQ470	Licensed Representative	1, 6
GORTON III Doy Dewitt	APU825	Licensed Representative	1, 2
GOUGH James Ashley Peter	AHJ403	Licensed Representative	1, 2
GRADY Timothy Joseph	AJC076	Licensed Representative	1
GRAY Christopher James McIntyre	AQF525	Licensed Representative	1
GUAN Zhixing	AOU556	Licensed Representative	1
GUERILLOT Benoit Gilles	ANM196	Licensed Representative	4
GUINNESS Stuart Wesley Grattan	ARG897	Licensed Representative	1, 4, 9
HE Shumei Franci	ASK163	Licensed Representative	1, 2
HE Vicky Zhancong	ASD838	Licensed Representative	4, 9
HENRICKS Amanda Fay	ADF947	Licensed Representative	4, 5, 9
HINES Andrew George	ALW302	Licensed Representative	1, 6
HO Chun Keung, Ben	ASM278	Licensed Representative	4
HO George Chenghua	ANM619	Licensed Representative	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
HO Kei Him, Calvin	ATF046	Licensed Representative	4
HO Kit Ching	AQY672	Licensed Representative	1
HO Man Ling	ANM690	Licensed Representative	1
HO Man Piu	AME247	Licensed Representative	1, 4
HO Yiu Hung, Ronald	ABN177	Licensed Representative	1, 4, 9
HONG Jee Yeon	AQS398	Licensed Representative	1, 4
HOWIE Geoffrey Raymond	AME455	Licensed Representative	1
HSU Mabel Ming	ANT724	Licensed Representative	1, 6
HSUAN Heman	ANM244	Licensed Representative	1
HSUEH Ming	AGX450	Licensed Representative	4, 9
HUANG Jingyan	APQ406	Licensed Representative	1, 4
HUANG Zao	ANE713	Licensed Representative	1, 4, 6
HUI Chi Keung	ALZ728	Licensed Representative	4, 9
HUI Chui Yiu, Emily	AGT671	Licensed Representative	1, 4
HUI Man Fong	AGQ673	Licensed Representative	4
HUNG Brian Lap Hei	ARA631	Licensed Representative	1, 4, 6
HUNG Chi Fung	ARL089	Licensed Representative	1
HUNG Chi Wah	AEI291	Licensed Representative	1
HUNG Kwok Hei	ARH746	Licensed Representative	4
HUNG Woon Lu	ANN424	Licensed Representative	4
HUREWITZ Joel Allan	AIN756	Licensed Representative	1
IP Cheuk Hon, Nathan	AQH652	Licensed Representative	4
JACKSON Katie Mary	ALA502	Licensed Representative	1
JAFFRAY Scott John	ARH186	Licensed Representative	1
JENKINS David Brian Joseph	ARB805	Licensed Representative	1, 6
JIANG Hong	ANX654	Licensed Representative	1, 4
JONES Bradley Anthony	ARD171	Licensed Representative	1, 2
KAO Kuo-Chou	ALF359	Licensed Representative	1
KAR Anindya	APF784	Licensed Representative	1
KATZIN Joshua Aaron	APC140	Licensed Representative	9
KERSCHENMEYER Martine Felicie	ARP003	Licensed Representative	1
KIM Hee Yeon	ANZ636	Licensed Representative	4
KIM Hyoung Nam	AMF771	Licensed Representative	1, 2
KIM Jin Sang	AME245	Licensed Representative	4
KIRTMAN Khahlil Immanuel	AML766	Licensed Representative	1, 2
KO Chun Hung	ADC906	Licensed Representative	3

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
KO Kurt C	AOX350	Licensed Representative	1, 2
KO So Sum	ASD076	Licensed Representative	1
KO Wai Ling	AMR265	Licensed Representative	1, 4
KO Young Ju	AMY260	Licensed Representative	9
KOCH Bradley Stuart	APY539	Licensed Representative	1, 2
KONG Jennifer Sohyoen	AMQ699	Licensed Representative	1, 2
KOO Kwok Cheung, Kelvin	ALA263	Licensed Representative	1
KWAN Herbert Wing Fung	ANX520	Licensed Representative	1, 4
KWONG Wing Hang	ARI948	Licensed Representative	1
LAI Ling Mui	AFM113	Licensed Representative	1, 4
LAI Wing Sze	ARW590	Licensed Representative	4
LAM Cecilia Susan	AKX573	Licensed Representative	1, 4
LAM Cheung Ping	AEP104	Licensed Representative	1
LAM Chun Fai	AQZ592	Licensed Representative	9
LAM Ho Ngar	AOT975	Licensed Representative	4, 9
LAM Ho Yin	AML764	Licensed Representative	1, 4
LAM Hon Cheong	ARD493	Licensed Representative	4
LAM Kit Lin, Kathleen	ABS557	Licensed Representative	1
LAM Lok Tin, Timothy	ANY093	Licensed Representative	1, 2, 3
LAM Pik Yan	ARR968	Licensed Representative	1
LAM Sun Yee, Zita	ANQ507	Licensed Representative	4
LAM Wing Yiu	AJG566	Licensed Representative	1, 2, 3
LAPPIN Graham Michael	AGB470	Licensed Representative	1, 2
LAU Chiu Wai	AQY833	Licensed Representative	4
LAU Choi Tung	AQQ989	Licensed Representative	1
LAU Chun Him	AQF588	Licensed Representative	4
LAU Ka Wai	AQX109	Licensed Representative	4
LAU King Sun, Henry	ASM388	Licensed Representative	6
LAU Suk Chan, Jenny	AGU668	Licensed Representative	4
LAU Wai Ho	ALF301	Licensed Representative	4
LAU Wing Keung	AOC744	Licensed Representative	4
LAUGHLIN Scott Lee	AON862	Licensed Representative	1, 9
LAWRENCE Andrew John	AEC577	Licensed Representative	4
LEDESMA Emmanuel Rufino Jr.	ADL130	Licensed Representative	1, 2, 4
LEE Brian Dongwon	AOK584	Licensed Representative	1, 2
LEE Chi Hang	APH705	Licensed Representative	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEE Chi Leung	ASD446	Licensed Representative	4
LEE Chiu Ling, Dorothy	AGP332	Licensed Representative	1, 4
LEE Chun Yin, Terence	ACW847	Licensed Representative	1, 4
LEE Cora Oi Yan	AIZ372	Licensed Representative	1
LEE Do Hoon	ANC092	Licensed Representative	4
LEE Jih-Pao	AQW408	Licensed Representative	1, 4, 6
LEE Kim Chi	AFL649	Licensed Representative	4
LEE Kim Po	AAB794	Licensed Representative	1
LEE Kwan Fong	ARY266	Licensed Representative	1
LEE Man Yee	ARA047	Licensed Representative	1
LEE Siu Keung	AQS668	Licensed Representative	1
LEE Tin Ming	AOU142	Licensed Representative	1
LEE Wai Yee	AMV355	Licensed Representative	4
LEE Yat Ming	ACR603	Licensed Representative	1
LEE Yen Ching Karen	AOY393	Licensed Representative	1, 6
LEE Yin Hang	ALY162	Licensed Representative	3
LEE Ying Kei	AER770	Licensed Representative	1, 2
LETTS Darren Arthur Bohrsmann	AGC952	Licensed Representative	1, 2, 7
LEUNG Chin Hung	ANF148	Licensed Representative	1, 4, 4, 9
LEUNG Ho Yin, Gregory	ASU344	Licensed Representative	1
LEUNG Hoi Chau	AOY481	Licensed Representative	1, 2, 4
LEUNG Ka Kiu	ARN970	Licensed Representative	3
LEUNG Ming Hym, Wyndham	AMJ331	Licensed Representative	1, 2, 7
LEUNG Minutes Ming Sze	AOY772	Licensed Representative	1, 2, 3
LEUNG Shook Ying	ACL423	Licensed Representative	3
LEUNG Shuk Man	AGA904	Licensed Representative	4
LEUNG Shun Lim	APS250	Licensed Representative	1, 4
LEUNG Wai Chung, Keith	AGD955	Licensed Representative	1
LEUNG Wai Po	ADF219	Licensed Representative	3
LEW Mon Hung	ACL628	Licensed Representative	4
LI Andrew Sing-tsze	ANK159	Licensed Representative	4, 9
LI Jack C F	AIB172	Licensed Representative	4
LI Kam Mui, Wendy	AFM350	Licensed Representative	1, 4
LI Ming Wai	ASI042	Licensed Representative	1, 2
LI Tsz Lok	AOG199	Licensed Representative	4
LI Xiaohong	APX128	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Ying Kin	AJN366	Licensed Representative	4
LIAO Fong-Yi	ARF363	Licensed Representative	4
LIAO Sophia Tzu-ting	ANG369	Licensed Representative	1, 4, 6
LIM Chin Pang	AFA433	Licensed Representative	4, 9
LIM Kay	ATB751	Licensed Representative	9
LIN Hermes	AQR933	Licensed Representative	1, 4
LIN Hsi-Che	AQO505	Licensed Representative	1
LIN Ming-Chin	ANZ939	Licensed Representative	1
LIU Eva	ACS411	Licensed Representative	1, 2, 4, 5
LIU Frederick Thomas	AMJ860	Licensed Representative	4, 9
LIU Kwan Kit, Benny	APF839	Licensed Representative	1, 2
LIU Man Chat, Anthony	ARB665	Licensed Representative	4
LIU Max Alexander	AKX639	Licensed Representative	1, 9
LIU Rui	ATJ183	Licensed Representative	1
LIU Shuang	ASN929	Licensed Representative	1
LLOYD Jonathan Ceri	ADG111	Licensed Representative	1, 1, 2, 4, 6
LO Man Fai	AQS338	Licensed Representative	1
LO Shih-An	AQP684	Licensed Representative	1, 2
LO Yik Nung	AOG619	Licensed Representative	1
LOK Hoi Yan, Helen	AEE936	Licensed Representative	1, 2
LOO FUNG Kit Wah	AAY344	Licensed Representative	1
LOOK Po Shan, Pauline	AMM445	Licensed Representative	1, 4, 9
LOW Hwee Ping	ALY528	Licensed Representative	1, 4
LUI Chi Man, Alan	AML882	Licensed Representative	4
LUI Sieu Weng, Gregory	AHA185	Licensed Representative	4
LUK Kam Fu	ALG186	Licensed Representative	4
MA Zhe	ARS318	Licensed Representative	4
MAK Chun Bond	AIY650	Licensed Representative	1, 4, 9
MAK Dennis John	ATG738	Licensed Representative	4, 9
MAK Kin Yan, Tony	ALF469	Licensed Representative	4, 9
MAK King Tze, Kitty	AQV618	Licensed Representative	3
MAK Siu Tao	AQU707	Licensed Representative	1
MARCAIS Louis Bertrand Jean-malo	ANQ162	Licensed Representative	1, 2, 4, 5, 7
MCCORMACK Edward Maurice	ALW375	Licensed Representative	1
MEHRA Arun	AEG683	Licensed Representative	1
MENG Yu	ARR341	Licensed Representative	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
MESZAROS Andor Istvan	AQU256	Licensed Representative	1, 4
MO Chit Ming	AEO377	Licensed Representative	1, 4, 6, 9
MOK Kin Leung	AAY231	Licensed Representative	1
MUKHERJEE Suranjan	APH111	Licensed Representative	4, 9
NETTER Romain Pierre Michel	ARQ762	Licensed Representative	1
NG Choi Hei, Melia	AQH774	Licensed Representative	1, 2
NG Fat-kwong, Louis	ALP922	Licensed Representative	4
NG Hoi Yin	APZ135	Licensed Representative	1, 4
NG Ka Fai	AGT130	Licensed Representative	3
NG King Hang, Dominic	AML413	Licensed Representative	1, 4, 9
NG Kwong Man	AME704	Licensed Representative	1, 2, 7
NG Weng Keong	ARQ312	Licensed Representative	1, 4, 9
NGAI Kwok Kin, Kevin	AHF930	Licensed Representative	1
NGUYEN Nguyen Thanh	AOO555	Licensed Representative	1, 2
NIEMANN Axel	ARD875	Licensed Representative	1, 2
NISHIO Yuki	ARW150	Licensed Representative	1
NOH Mi Won	APJ525	Licensed Representative	4
OKADA Miho	AOY783	Licensed Representative	1
OOI Lid Seng	AON599	Licensed Representative	1
OR Yuen Yee, Teresa	ARA275	Licensed Representative	1
PANG Jia Wei, Steven	AQF545	Licensed Representative	1, 6
PARK Agnus	ATG739	Licensed Representative	4, 9
PARK Sung Wan	ADQ242	Licensed Representative	1
PARSONS Paul Rodney	AOD107	Licensed Representative	4, 9
PAUL Jonathan Matthew Wing-en	AQS184	Licensed Representative	4, 6
PENG Yu Yuan	AQW409	Licensed Representative	1, 4, 6
PERRETT David Michael	AFF191	Licensed Representative	1, 2
PIDGEON Thomas Graham	AOD603	Licensed Representative	4, 9
POON Wai Lun	ARA287	Licensed Representative	1, 2, 4
PUN Yun Mei, Marcella	AFU332	Licensed Representative	1, 1, 4, 9
QIAN William Zhaohui	AOX808	Licensed Representative	1
REN Jia	ASF520	Licensed Representative	1, 6
REYNOLDS Andrew Shawn	AHF331	Licensed Representative	1, 4
RUMMUN Roshan	AMO987	Licensed Representative	1, 4, 9
SANDBERG Anna Louise	ALH991	Licensed Representative	1, 4, 9
SANGALUNGKARN Aroonrath	AQQ111	Licensed Representative	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
SEET Adrian Wan-Chew	AQH043	Licensed Representative	1, 6
SEM Pui Kei, Peggy	APC636	Licensed Representative	1, 2, 3
SEO Ju Il	APU793	Licensed Representative	4
SHE Zhongqiang	AQZ874	Licensed Representative	4, 9
SHEN Jie	ARJ698	Licensed Representative	1, 6
SHIU Suet Kwan	AAF839	Licensed Representative	1
SHIU Yuk Yee	AFL979	Licensed Representative	4
SIDHU Jagmeet Singh	AOY586	Licensed Representative	1
SIEGHART Andrew Julius	APR250	Licensed Representative	1, 4
SIM Gun	APS982	Licensed Representative	1, 6
SIN Chi Yin	ARH378	Licensed Representative	1
SIN Hau Yi	ANR892	Licensed Representative	3
SIN Nga Hang, Eunice	AFW026	Licensed Representative	1, 4, 9
SINGH Praveen Kumar	ARW856	Licensed Representative	1, 2, 7
SIU On Chin, Angie	ARR628	Licensed Representative	6
SO Man Fong	AFN313	Licensed Representative	4
SO Shu Ching, Jason	AGE416	Licensed Representative	1, 4
SO Siu Wai	ACK896	Licensed Representative	3
SO Yuen Man	ALW913	Licensed Representative	4
SONG Matthew Beng Tat	ALL329	Licensed Representative	1, 2
SOWINSKI John Anthony	AQM587	Licensed Representative	1, 6
STAPANAVATR Niphon	ARI960	Licensed Representative	1, 2
STUCHBERY Garry Maxwell	AJK956	Licensed Representative	4, 9
SUM Pui Fong, Candy	ARD294	Licensed Representative	1, 4
TAM Ka Wai, Clara	AQS480	Licensed Representative	1, 4
TAM Kwok Chung	AMZ919	Licensed Representative	1, 2, 7
TAM Kwok Ho, Ronald	AHU748	Licensed Representative	4, 6
TAM Kwong Wai	AGJ929	Licensed Representative	1
TAM Wing Oi	ABN681	Licensed Representative	1, 9
TAM Wing Yan	AON941	Licensed Representative	1, 2
TAN Zi Lin, Vera	ANH747	Licensed Representative	1
TANG Chin Shan	AQM682	Licensed Representative	1, 2, 7
TANG Ka Lok	AFK942	Licensed Representative	4
TANG Shu Hei	APP215	Licensed Representative	1, 2, 4
TANG Ye	ANQ032	Licensed Representative	9
TEDESCHI Simone	AQI969	Licensed Representative	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
TEO Melissa Peck Lin	ANU831	Licensed Representative	1
TERATANI Norio	APQ078	Licensed Representative	4
TIAN Sidong	AIR589	Licensed Representative	1, 4, 4
TIKHOMIROFF Cyril	ASD769	Licensed Representative	1
TING Wai Yin	AGG823	Licensed Representative	4
TJONG Piet Jung	AFQ256	Licensed Representative	3
TO Kam Lung, Danny	ARA334	Licensed Representative	1, 4
TO Wai Chi, Sabrina	AQQ031	Licensed Representative	4
TON Suzanne To Quan	AAZ188	Licensed Representative	5, 9
TOUSSAINT Jason Robert	APS332	Licensed Representative	1
TRAFF Jason David	AQE087	Licensed Representative	4, 9
TSANG Koon Leung	ASD900	Licensed Representative	1, 2
TSANG Kwok Kin	ARB621	Licensed Representative	1
TSE Kit Shan	ALI506	Licensed Representative	3
TSUI Man Yi	AED455	Licensed Representative	3
TSUI Nelson Yan Lung	AIP823	Licensed Representative	1, 4
TSUI Ting Chi, Joanne	AQA741	Licensed Representative	4
TSUI Ying, Sharon	AFV262	Licensed Representative	4, 9
TU Chia-le Carol	AOT598	Licensed Representative	1, 4, 9
UNGOLAN Intarat	AMK192	Licensed Representative	1
VAN DEURSEN Reinout Henric Laurens	ASH973	Licensed Representative	1, 2
VERMA Asit Kumar	AOY117	Licensed Representative	1, 2
VISHNEVSKAJA Elizabeth	APN586	Licensed Representative	1, 4, 9
VUONG Truong Meng Heng	AQM641	Licensed Representative	1, 6
WAN Kenneth Keen Wai	APL252	Licensed Representative	9
WAN Tsz Fung	ARN434	Licensed Representative	1, 2, 3
WAN Yuk Ming	ADA006	Licensed Representative	3
WANG Josephine Szu Chia	AOV192	Licensed Representative	1, 4
WANG Tse Chieh	AQS925	Licensed Representative	1, 2, 4
WANG Yu	ARA104	Licensed Representative	1
WANG Zheng	AQR114	Licensed Representative	1
WARR Alastair Charles	AGD632	Licensed Representative	1, 4
WATSON Stephen David	ANH108	Licensed Representative	1
WEI Serena	ASK055	Licensed Representative	1, 4
WEISS Benjamin Eli	ARJ419	Licensed Representative	1, 2, 4, 9
WENG Jimmy J	APH956	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
WILLIS Paul Michael	ASM024	Licensed Representative	4
WONG Andrew Kok Leong	ARP901	Licensed Representative	4, 6
WONG Chi Wai	ANQ030	Licensed Representative	4
WONG Ching Hing	AMC135	Licensed Representative	1
WONG Ching Ping	ARH647	Licensed Representative	4, 5, 9
WONG Chit	AFW550	Licensed Representative	1, 4, 6, 9
WONG Chung Kuen, Jackie	APJ605	Licensed Representative	4
WONG Jinrong, Andy	ANX808	Licensed Representative	1, 2, 7
WONG Ka Man	AFQ602	Licensed Representative	1, 3, 4, 6, 9
WONG Kin Chung, Kenneth	AER899	Licensed Representative	4
WONG King Tai, Gary	AGJ917	Licensed Representative	1, 2
WONG Kit Ying	AOC942	Licensed Representative	4
WONG Kwok On	ANY150	Licensed Representative	1
WONG Ladda	ARB865	Licensed Representative	4
WONG Lai Ming, Renee	AMR536	Licensed Representative	4
WONG Lok Man, Lawrence	ALW657	Licensed Representative	1, 2
WONG Man Wah, Gabee	AGP523	Licensed Representative	1
WONG Man Yee	ADX359	Licensed Representative	4
WONG Mo Yin	ARS403	Licensed Representative	4, 9
WONG Oi Ling, Irene	ADS873	Licensed Representative	4, 9
WONG Raymond	ARH427	Licensed Representative	1
WONG Siu Hung	AQE760	Licensed Representative	6
WONG Sun Ming, Simon	AMX405	Licensed Representative	4
WONG Tang Tat	APH422	Licensed Representative	3
WONG Tsin Hing	AQQ039	Licensed Representative	4
WONG Wa	AOA104	Licensed Representative	4
WONG Wai Yin	ALQ670	Licensed Representative	4
WONG Wing Yan	ALM985	Licensed Representative	1
WONG Yue Yuk	ARA480	Licensed Representative	1
WONG Yuk Yan	APC080	Licensed Representative	4
WONG Yun Kit, Ingrid	AGH928	Licensed Representative	1
WU Charlotte Nga Ting	ATN587	Licensed Representative	4
WU Chiu Wan	AQA539	Licensed Representative	4
WU Mei-Hsuan	AQA096	Licensed Representative	1, 4
WU Min	ANP390	Licensed Representative	1
WU Sui Hin	ARO400	Licensed Representative	1, 2, 7

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
WU Wing Han, Phoebe	APP227	Licensed Representative	4
WU Xiaozheng	AQM287	Licensed Representative	1, 4, 6
WU Zheng	ASH677	Licensed Representative	4
XIAO Bo	ASD508	Licensed Representative	1, 2
XIE Bingwu	AQF129	Licensed Representative	1, 4
XU Ping	ARQ788	Licensed Representative	1, 6
XUE Jing	ANU814	Licensed Representative	6
YAM Ying	ARK577	Licensed Representative	1, 2, 7
YAN Ching Gee	AQG437	Licensed Representative	4, 9
YANG Wayne Ky	APW468	Licensed Representative	1, 2
YANG Wen-hui	AHQ349	Licensed Representative	1, 2
YANG Yingying	ANG415	Licensed Representative	1, 4, 9
YAU Oi Chi	ARV713	Licensed Representative	4
YEUNG Kai Wah	ADY990	Licensed Representative	1
YEUNG Siu Man	AMI787	Licensed Representative	3
YIM Kwok Wa, Eugene	ABE373	Licensed Representative	1, 2, 5
YIP Chi Wa, Leo	AQE479	Licensed Representative	1, 2
YIP Ho Yan, Stephen	APN743	Licensed Representative	4
YIP Pak Hong	AGP849	Licensed Representative	4
YIP Pui Ching	AQS918	Licensed Representative	1
YIP Yiu Man, Desmond	APQ581	Licensed Representative	1
YIU King Sing	AHM286	Licensed Representative	3
YOON Dong Hyuk	AOJ335	Licensed Representative	1, 6
YOUN Sa Jean	AMU551	Licensed Representative	1
YOUNG Yiu Ming	APJ105	Licensed Representative	4
YU Christopher Kwok Kin	AQQ618	Licensed Representative	1, 6
YU Ka Hung	AQS495	Licensed Representative	9
YU Man Fung, Lora	AMQ585	Licensed Representative	1, 4, 9
YU Mee Kam, Irene	AJA983	Licensed Representative	1, 4, 9
YU Peng	ASH304	Licensed Representative	4
YU Ronald Patrick Lup Man	APC229	Licensed Representative	4, 9
YUEN Sze Ka	ALY165	Licensed Representative	3
YUNG Ka Wa	AQC548	Licensed Representative	1, 2
YUNG Lup Choon	AFC986	Licensed Representative	1
ZHANG Wenyuan	ARJ899	Licensed Representative	4, 9
ZHANG Xing Ran	AMZ174	Licensed Representative	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHANG Yang	AQE999	Licensed Representative	6
ZHANG Ye	AOS487	Licensed Representative	1, 2
ZHENG Yinan	AML583	Licensed Representative	1, 9
ZONG Lingying	AGN544	Licensed Representative	4, 9
BERG Paul Nicholas Louis	AJB792	Licensed Representative - Responsible Officer	4, 9
BHANDARI Dinesh	AOV967	Licensed Representative - Responsible Officer	1, 2
CHAU Koon Yin, Rebecca	ADV012	Licensed Representative - Responsible Officer	4
CHONG Hon Fai	ADD308	Licensed Representative - Responsible Officer	4
CHUAN Wei Chen	AJO576	Licensed Representative - Responsible Officer	9
CSELLAK Linda Marie	ADG936	Licensed Representative - Responsible Officer	4, 5, 9
DANY Sean Thomas	ARO464	Licensed Representative - Responsible Officer	9
DOOLEY Kenneth Raymond	AQR334	Licensed Representative - Responsible Officer	9
DUNNING Paul Fraser	ANI724	Licensed Representative - Responsible Officer	1, 9
FUKUYAMA Seiichi	ACO012	Licensed Representative - Responsible Officer	1, 4, 9
GOLDSMITH Philip Mark	AAK537	Licensed Representative - Responsible Officer	1, 4, 9
GORDON Michael Charles	AHR671	Licensed Representative - Responsible Officer	1, 4, 5, 9
HAO Wei Chieh	ALG480	Licensed Representative - Responsible Officer	9
HO Cheuk Yuet	ABI716	Licensed Representative - Responsible Officer	1, 4
HO Hon Ming, Ivan	AKO656	Licensed Representative - Responsible Officer	9
HO So Shing, Edward	AAD566	Licensed Representative - Responsible Officer	1
HOSOYA Takao	AHX342	Licensed Representative - Responsible Officer	1, 4, 6, 9
HOWIE Geoffrey Raymond	AME455	Licensed Representative - Responsible Officer	2
IYAMA Toshiyasu	AJK522	Licensed Representative - Responsible Officer	1, 4, 6
KIRKLAND Bradley John	APJ694	Licensed Representative - Responsible Officer	1, 4
LAU Wai Yin	ABN751	Licensed Representative - Responsible Officer	1
LEE Wai Fun, Patra	ADU032	Licensed Representative - Responsible Officer	6
LEUNG Chi Kin	AAI934	Licensed Representative - Responsible Officer	1
LI Woon Chi	AAN717	Licensed Representative - Responsible Officer	2
LIANG Ting Sen, Thomas	AAF801	Licensed Representative - Responsible Officer	1
LIEW Wai Mun, Julie	AEP630	Licensed Representative - Responsible Officer	4
LOWE Norman C.	ACB086	Licensed Representative - Responsible Officer	9
MANNING Christopher Reid	APD925	Licensed Representative - Responsible Officer	1, 4, 9
MOORE John Patrick	ADT639	Licensed Representative - Responsible Officer	1, 6
NGAI Kin Chiu	ALE691	Licensed Representative - Responsible Officer	1
NIE Jeff	AOI863	Licensed Representative - Responsible Officer	4, 9
OH Kyung Hee	AFY165	Licensed Representative - Responsible Officer	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
PATEL Sheila Harilal	ARK134	Licensed Representative - Responsible Officer	1, 2
PECK Simon David	AMO536	Licensed Representative - Responsible Officer	4, 9
PESCE Christopher Joseph	ADT741	Licensed Representative - Responsible Officer	4, 9
PETER Edouard Fernen	AGO822	Licensed Representative - Responsible Officer	1, 4, 9
RICHARDSON Nigel	AKT582	Licensed Representative - Responsible Officer	1, 4, 9
SCHAEFER David Hamilton	ALK265	Licensed Representative - Responsible Officer	4, 9
SHARMA Rajkumar	ASD318	Licensed Representative - Responsible Officer	1, 2
SPENDER Geoffrey Alan	AAA499	Licensed Representative - Responsible Officer	4
STUART Shane Vivian Julian	ADR450	Licensed Representative - Responsible Officer	4, 9, 9
SUBAK-SHARPE David Jonathan	AHC088	Licensed Representative - Responsible Officer	9
SUN Xiaomin	AOD522	Licensed Representative - Responsible Officer	1, 2
SUNAGA Chihiro	AEX114	Licensed Representative - Responsible Officer	1, 2, 4
SUZUKI Naoto	AOU705	Licensed Representative - Responsible Officer	1, 2, 4
TAKAHASHI Kazunari	ANJ560	Licensed Representative - Responsible Officer	4, 9
TAM Wing Oi	ABN681	Licensed Representative - Responsible Officer	4
TAY Li Minh, Debra	AQO241	Licensed Representative - Responsible Officer	1
TON Suzanne To Quan	AAZ188	Licensed Representative - Responsible Officer	1, 4
TUAN Shu Jen	ANG298	Licensed Representative - Responsible Officer	1, 4, 9
WANG Wei Lin	AMV337	Licensed Representative - Responsible Officer	9
WARREN David John Lynton	AGG606	Licensed Representative - Responsible Officer	4, 9
WU Pei Shan	AMM100	Licensed Representative - Responsible Officer	4, 9
YEH Fang Ching	AJO567	Licensed Representative - Responsible Officer	9
YU Tsz Chau, James	AMP259	Licensed Representative - Responsible Officer	9
YUE Man Yiu, Matthew	AID068	Licensed Representative - Responsible Officer	6
YUEN Wing Yiu, Benson	ALE835	Licensed Representative - Responsible Officer	9
ZALAMEA Cesar C.	AAJ541	Licensed Representative - Responsible Officer	4, 9
ADAJANIA Mahrukh	ASW935	Licensed Representative (Provisional Licence)	4
AN Keqiang	ATO219	Licensed Representative (Provisional Licence)	1
ANANTHARAM Vishweshwar	ATM017	Licensed Representative (Provisional Licence)	1, 2
ARIMITSU Ken	ATI163	Licensed Representative (Provisional Licence)	1
ARORA Neha	ATB981	Licensed Representative (Provisional Licence)	4
AU-YEUNG Siu Pang	ATR127	Licensed Representative (Provisional Licence)	4
BANSAL Mamta	ATJ463	Licensed Representative (Provisional Licence)	1
BELAL Joanne Henrietta Simone	ATM636	Licensed Representative (Provisional Licence)	1, 2
BLIESCHKE Stuart Giles	APR572	Licensed Representative (Provisional Licence)	9
BROEREN Daniel Christopher	ATO788	Licensed Representative (Provisional Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
CAI Daniel, Christian	ATO217	Licensed Representative (Provisional Licence)	1, 2, 7
CATES Andrew Phillip	ATO037	Licensed Representative (Provisional Licence)	4
CHAN Bing Leung	ATP145	Licensed Representative (Provisional Licence)	1, 2
CHAN Cheuk Nam, Stanley	ATN846	Licensed Representative (Provisional Licence)	1, 4
CHAN Chi Cheung	ATO639	Licensed Representative (Provisional Licence)	1
CHAN Hing Sang, Alexander	ALB323	Licensed Representative (Provisional Licence)	1
CHAN Hoi Hin, William	ANJ133	Licensed Representative (Provisional Licence)	9
CHAN Hui-Wah, Jenny	ATM932	Licensed Representative (Provisional Licence)	1
CHAN Ka Chung	ANT245	Licensed Representative (Provisional Licence)	1, 2, 3
CHAN Ka Kit	ANE976	Licensed Representative (Provisional Licence)	9
CHAN Kin Fung, Helen	AFU171	Licensed Representative (Provisional Licence)	1
CHAN Kit Man	AGF197	Licensed Representative (Provisional Licence)	1
CHAN Kwan Chun	ATN439	Licensed Representative (Provisional Licence)	4
CHAN Mun Yee	ATQ902	Licensed Representative (Provisional Licence)	1, 2
CHAN Pak Ho, Kenneth	AQS715	Licensed Representative (Provisional Licence)	1
CHAN Raymond Pha Giong	AFP268	Licensed Representative (Provisional Licence)	9
CHAN Roy Kwun Yat	ATM475	Licensed Representative (Provisional Licence)	1, 2
CHAN Shiu Fai, Raymond	AJD697	Licensed Representative (Provisional Licence)	1
CHAN Stanley King Lun	ANU215	Licensed Representative (Provisional Licence)	1
CHAN Yu Sum Patrick	ARJ471	Licensed Representative (Provisional Licence)	1
CHANG Steve Deh-Mo	AGC467	Licensed Representative (Provisional Licence)	1, 2
CHANG Yung Ching	AFF918	Licensed Representative (Provisional Licence)	1, 2
CHAU Kin Kei	ATP137	Licensed Representative (Provisional Licence)	1
CHAU Kwok Wai	ATN585	Licensed Representative (Provisional Licence)	1, 2
CHEN Calvin Hughes	ATL788	Licensed Representative (Provisional Licence)	1, 6
CHEN Can	ATN306	Licensed Representative (Provisional Licence)	1
CHEN Dan	ATO887	Licensed Representative (Provisional Licence)	2
CHEN Jake Jian Zhou	ATN458	Licensed Representative (Provisional Licence)	1
CHEN Peter Kwan Da	ATO542	Licensed Representative (Provisional Licence)	1, 6
CHEN Qiuping	ATN566	Licensed Representative (Provisional Licence)	4
CHEN Yin	AOI998	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Zidong	ATM444	Licensed Representative (Provisional Licence)	1, 6
CHENG Cheng-Hua	ATO441	Licensed Representative (Provisional Licence)	4
CHENG Kin Wah	ATN564	Licensed Representative (Provisional Licence)	1, 2
CHENG Oi Ching, Patricia	ATM958	Licensed Representative (Provisional Licence)	4
CHENG Sheung Ping	ATP161	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHENG Ting-Yun	ATM460	Licensed Representative (Provisional Licence)	4
CHEUNG Chi Seng	ATO435	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Ho Lap	ATO918	Licensed Representative (Provisional Licence)	4
CHEUNG Joanna Wai Sze	ATM610	Licensed Representative (Provisional Licence)	1
CHEUNG Ka Chun, Desmond	ATO779	Licensed Representative (Provisional Licence)	1, 9
CHEUNG Tsz Ying	ATO215	Licensed Representative (Provisional Licence)	1
CHEUNG Wing Ka	ATM436	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Wing Lam	ATM802	Licensed Representative (Provisional Licence)	1
CHEUNG Wing Sing	ATM574	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Wui Chuen	ATN999	Licensed Representative (Provisional Licence)	1, 2, 4
CHIEN Jung-chun	ATN539	Licensed Representative (Provisional Licence)	1, 4, 6
CHO Hyungsoo	ATN382	Licensed Representative (Provisional Licence)	1, 4
CHOI Chun Ni, Elina	ATM649	Licensed Representative (Provisional Licence)	1, 4
CHOI Whan Seuk	ATL710	Licensed Representative (Provisional Licence)	1, 2
CHOI Yiu Ting, Allan	ATO434	Licensed Representative (Provisional Licence)	1
CHOI Yu Jin	ATO430	Licensed Representative (Provisional Licence)	1, 2, 7
CHOI Yun Seong	ARJ171	Licensed Representative (Provisional Licence)	4, 9
CHOMEL Benjamin, Guy	ATI165	Licensed Representative (Provisional Licence)	1, 2, 7
CHONG Lee Man	APZ164	Licensed Representative (Provisional Licence)	1, 2
CHOW Andrew Heng Cheong	ATC946	Licensed Representative (Provisional Licence)	4
CHOW Siu Kuen	AGF501	Licensed Representative (Provisional Licence)	3
CHOW Wai Chi	ATO798	Licensed Representative (Provisional Licence)	4
CHOW Yuen Ying	ATO521	Licensed Representative (Provisional Licence)	1
CHU Wing Hei	ATO638	Licensed Representative (Provisional Licence)	1, 2
CHUAH Jon Dee	ATN361	Licensed Representative (Provisional Licence)	1, 2
CHUNG Lai Ming, Rachel	ATL470	Licensed Representative (Provisional Licence)	1
CHUNG Otto Jone Kwok Ho	AQX201	Licensed Representative (Provisional Licence)	4
CHUNG Pui Sze	ATO437	Licensed Representative (Provisional Licence)	1, 2
DENNIS Clive	ATM462	Licensed Representative (Provisional Licence)	1
DHAR Sudipta	ATL440	Licensed Representative (Provisional Licence)	1
DIAZ Ricardo Lorenzana	ATM321	Licensed Representative (Provisional Licence)	1
DING Lei	ATJ498	Licensed Representative (Provisional Licence)	1, 2
DING Tom Liang	ATJ265	Licensed Representative (Provisional Licence)	9
DOCHOW Milo Twohy	ATL986	Licensed Representative (Provisional Licence)	1
DRAKE Michael Christopher	ATO554	Licensed Representative (Provisional Licence)	1, 2, 7
DU PUCH Amaury Olivier	ATO540	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
FENG Yun	ATN364	Licensed Representative (Provisional Licence)	1, 4, 6
FERGUSON Benjamin William	ASU168	Licensed Representative (Provisional Licence)	1, 2
FIFI Nurjati Purwohardono	ATN716	Licensed Representative (Provisional Licence)	1
FOK Chung Hin, Felix	ATO002	Licensed Representative (Provisional Licence)	1, 2
FONG Ming Huang, Ernest	ATM263	Licensed Representative (Provisional Licence)	4
FRAHI Karim	ATO829	Licensed Representative (Provisional Licence)	1, 2
FU Mei Ting	ATL439	Licensed Representative (Provisional Licence)	1
FU TAK WAI	ATG942	Licensed Representative (Provisional Licence)	4
FUNG Chui Man	ATL984	Licensed Representative (Provisional Licence)	1
FUNG Hon Nam	AFR093	Licensed Representative (Provisional Licence)	4
FUNG Suk Han	ASH804	Licensed Representative (Provisional Licence)	1, 2
GERRITY Caitlin Margaret	ATO053	Licensed Representative (Provisional Licence)	1, 2, 7
GORTHY Anil Kumar	ATN437	Licensed Representative (Provisional Licence)	9
GOYAL Ajay	ATN372	Licensed Representative (Provisional Licence)	1, 2
GRANDE Mary Margareth R.	ABX017	Licensed Representative (Provisional Licence)	1, 2
GREY Kathleen Maree	ATL480	Licensed Representative (Provisional Licence)	1
GUNN Magnus	ADC341	Licensed Representative (Provisional Licence)	1, 4
GUO Dan	ATO171	Licensed Representative (Provisional Licence)	9
GUO Yiqiang	ANN262	Licensed Representative (Provisional Licence)	1, 4
HAGEN Greg B.	AFV451	Licensed Representative (Provisional Licence)	1
HAO Jimmy Hsien Yi	ATM933	Licensed Representative (Provisional Licence)	1, 4, 6
HE Yifei	ATN454	Licensed Representative (Provisional Licence)	1, 4, 6
HENRIQUES Guy Michael Quixano	ATN770	Licensed Representative (Provisional Licence)	1, 4, 9
HINTERHAEUSER Marina	ATM619	Licensed Representative (Provisional Licence)	1
HO Kevin Kin-hung	ATM434	Licensed Representative (Provisional Licence)	1
HO Siu Bing, Shirley	ATQ950	Licensed Representative (Provisional Licence)	1
HO Tak Nam, Queeny	ALW473	Licensed Representative (Provisional Licence)	4, 9
HO Yee Mei	ASO300	Licensed Representative (Provisional Licence)	4
HSUEH Yan-Wen	ATN371	Licensed Representative (Provisional Licence)	1, 4, 6
HU Hao	ATL437	Licensed Representative (Provisional Licence)	9
HU Qingzi	ATM822	Licensed Representative (Provisional Licence)	1, 4
Hu Wai Kwok	AJO631	Licensed Representative (Provisional Licence)	1
HUANG Desmond Bor-Nian	ATM005	Licensed Representative (Provisional Licence)	1, 2
HUANG Huei-Hung	ATM961	Licensed Representative (Provisional Licence)	1, 2
HUANG Kai	AOB359	Licensed Representative (Provisional Licence)	9
HUANG Yihan	ATO147	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
HUANG Yi-shan	ATQ957	Licensed Representative (Provisional Licence)	1
HUNG Hsi-Ming	ATO440	Licensed Representative (Provisional Licence)	4
HUNG King Hong	ATO894	Licensed Representative (Provisional Licence)	1
HUNG Tao, Alice	ATQ777	Licensed Representative (Provisional Licence)	4
IP Vivien Hsiao Lin	AKY527	Licensed Representative (Provisional Licence)	1, 2
ISER Anthony Leslie	ATO003	Licensed Representative (Provisional Licence)	1
IWASAKI Ai	ATG867	Licensed Representative (Provisional Licence)	1, 2
JAMES Shane Charles	ATL423	Licensed Representative (Provisional Licence)	9
JARVIS Ashley David	ATN579	Licensed Representative (Provisional Licence)	1
JAWAL Anshul	ATN368	Licensed Representative (Provisional Licence)	1, 2
JEONG Woon Young	ATM929	Licensed Representative (Provisional Licence)	1, 2
JIN Shanghao	ATO556	Licensed Representative (Provisional Licence)	1
JU Jongyoon	ATJ082	Licensed Representative (Provisional Licence)	4, 9
KALSI Dilbagh Singh	ATN169	Licensed Representative (Provisional Licence)	1, 2, 7
KAM Vincent Seng Kee	ATN163	Licensed Representative (Provisional Licence)	1
KAN Pui Sai, Katie	ATJ266	Licensed Representative (Provisional Licence)	1
KAPUR Kanak Kamal	AGL150	Licensed Representative (Provisional Licence)	9
KEDIA Amar Kumar	ATC334	Licensed Representative (Provisional Licence)	4
KEKKE Shuya	ATI096	Licensed Representative (Provisional Licence)	1, 2, 7
KHANNA Punit	ACE500	Licensed Representative (Provisional Licence)	1
KHILNANI Mrinal	ATO158	Licensed Representative (Provisional Licence)	1, 7
KIM Joseph Hwa	ATN695	Licensed Representative (Provisional Licence)	1
KIM Joshua Taehyun	ATL814	Licensed Representative (Provisional Licence)	1, 4, 6
KIM Sejoon	ATM418	Licensed Representative (Provisional Licence)	1, 6
KIM Young Roe	ATP155	Licensed Representative (Provisional Licence)	4
KIRN Henry George	ATO439	Licensed Representative (Provisional Licence)	4
KODAGANALLUR NARAYANAN Venkateswaran	ATJ272	Licensed Representative (Provisional Licence)	1
KOH Choy	ACF185	Licensed Representative (Provisional Licence)	4
KU Wei-Chiang	AQD402	Licensed Representative (Provisional Licence)	4, 9
KUO Chen-feng	ATH912	Licensed Representative (Provisional Licence)	1
KWAK Hyunjoo	ATN279	Licensed Representative (Provisional Licence)	1, 6
KWAN Chin Man	ANN592	Licensed Representative (Provisional Licence)	1, 4
KWAN Hin Sing	ATM797	Licensed Representative (Provisional Licence)	1, 2
KWANG Ming Li	ATN580	Licensed Representative (Provisional Licence)	9
KWOK Ka Chiu	ATN773	Licensed Representative (Provisional Licence)	1, 2
KWOK Keng Chee	ATL725	Licensed Representative (Provisional Licence)	1, 2, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
KWOK Wai Cheong	ATM805	Licensed Representative (Provisional Licence)	1, 2
KWOK Wing Lun	AND706	Licensed Representative (Provisional Licence)	1
KWON Sung Wook	ATO040	Licensed Representative (Provisional Licence)	1, 4
KWONG King Lok	ATM472	Licensed Representative (Provisional Licence)	1, 2
LAI Dick Ngar, Dehlia	APQ177	Licensed Representative (Provisional Licence)	1, 2
LAI Shu Sum	ATN723	Licensed Representative (Provisional Licence)	1, 2
LAI Tsun Yun, Joanne	ATQ730	Licensed Representative (Provisional Licence)	1, 2
LAI Wai Kwong, Daryl	AHC852	Licensed Representative (Provisional Licence)	4, 9
LAM Chak Fung, Ian	ATN444	Licensed Representative (Provisional Licence)	4
LAM Chun Hung	ATL665	Licensed Representative (Provisional Licence)	4
LAU Cho Yiu	ATN343	Licensed Representative (Provisional Licence)	1, 2
LAU Hiu Long, Garros	ATM404	Licensed Representative (Provisional Licence)	1
LAU Jeremy Le Van	ATJ376	Licensed Representative (Provisional Licence)	1
LAU Ka Fai	ATO218	Licensed Representative (Provisional Licence)	1, 2
LAU Ka Lai, Anna	ATM454	Licensed Representative (Provisional Licence)	1, 4
LAU Siu Kau	ATN414	Licensed Representative (Provisional Licence)	1, 4
LAW Kai Ting	ATN552	Licensed Representative (Provisional Licence)	4
LAW Kwok Keung	ACS624	Licensed Representative (Provisional Licence)	1
LE SAINT Laurent, Andre, Claude	ATO888	Licensed Representative (Provisional Licence)	1
LEA Robert Michael	ATL432	Licensed Representative (Provisional Licence)	1, 2
LEE Cheuk Wing	ATI914	Licensed Representative (Provisional Licence)	1
LEE Chi Ming	ADA367	Licensed Representative (Provisional Licence)	1, 2
LEE Chi Yan	ATN121	Licensed Representative (Provisional Licence)	1, 2, 7
LEE Chui Ling, Doris	ATQ952	Licensed Representative (Provisional Licence)	4, 9
LEE Jeok	ANH621	Licensed Representative (Provisional Licence)	4
LEE Ka Hung	ATL799	Licensed Representative (Provisional Licence)	1, 2, 4
LEE Mun Tong	ATN727	Licensed Representative (Provisional Licence)	1
LEE Steven Tugwon	ATO159	Licensed Representative (Provisional Licence)	1
LEE Sze Wai, Daisy	ATM807	Licensed Representative (Provisional Licence)	1, 2
LEE Tsz Hin	ATN988	Licensed Representative (Provisional Licence)	1
LEE Wah Pun	ATO869	Licensed Representative (Provisional Licence)	1
LEE Wing Kea, Peggy	ADH933	Licensed Representative (Provisional Licence)	1, 2
LEE Yuen See Tracy	ATO423	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
LEUNG Chi Hang, Nicholas	ATC818	Licensed Representative (Provisional Licence)	1, 2
LEUNG Chun Sing	ATO216	Licensed Representative (Provisional Licence)	1, 2
LEUNG Hoi Hin	ATN314	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEUNG Jody	ACW206	Licensed Representative (Provisional Licence)	4, 9
LEUNG Kit Yee Helen	ATQ913	Licensed Representative (Provisional Licence)	1
LEUNG Shuk Kwan	AMV305	Licensed Representative (Provisional Licence)	1
LEUNG Wai Chee	ATO785	Licensed Representative (Provisional Licence)	1, 2
LEUNG Wai Leung	ATM573	Licensed Representative (Provisional Licence)	1
LEUNG Wing Choi	ACB134	Licensed Representative (Provisional Licence)	1, 2
LEUNG Wing Kai	AQU970	Licensed Representative (Provisional Licence)	1, 2, 7
LI Cheng-Chi	ATO436	Licensed Representative (Provisional Licence)	1
LI Chun Yi	ATN122	Licensed Representative (Provisional Licence)	1, 2
LI Hai	AQU876	Licensed Representative (Provisional Licence)	1
LI Jack Zheng	AQM738	Licensed Representative (Provisional Licence)	1, 2
LI Ka Shing	ATL873	Licensed Representative (Provisional Licence)	1, 2
LI Kim Man	ATJ131	Licensed Representative (Provisional Licence)	1, 2, 7
LI Lin	ATL730	Licensed Representative (Provisional Licence)	1
LI Weibo	AOC802	Licensed Representative (Provisional Licence)	1
LI Yilu	ATN888	Licensed Representative (Provisional Licence)	1, 4, 6
LI Ying	ATM474	Licensed Representative (Provisional Licence)	1, 2
LI Zhenyin	ATN366	Licensed Representative (Provisional Licence)	1, 4, 6
LI Zhongxia	ATM959	Licensed Representative (Provisional Licence)	1, 6
LIANG Chun Fei, Belle	AGN570	Licensed Representative (Provisional Licence)	4
LIANG Shiqi	ATM943	Licensed Representative (Provisional Licence)	1, 2
LIANG Wei Jen, William	AGU673	Licensed Representative (Provisional Licence)	1, 4
LIEM Chi Kit, Kevin	AQQ003	Licensed Representative (Provisional Licence)	4, 9
LIM AH KEN Brian	ATO203	Licensed Representative (Provisional Licence)	4
Lim Chen San	ARP951	Licensed Representative (Provisional Licence)	1, 2
LIM Seng Gene	ANZ804	Licensed Representative (Provisional Licence)	1
LIN Zhenze	ATN329	Licensed Representative (Provisional Licence)	1, 2
LING Pui Kwan	ATL571	Licensed Representative (Provisional Licence)	1, 2
LIOU Wei Jei	ATO438	Licensed Representative (Provisional Licence)	4, 5, 9
LIU Chih Yuan	ATQ909	Licensed Representative (Provisional Licence)	1, 2
LIU Wing Yin, Wendy	ANE330	Licensed Representative (Provisional Licence)	6
LIU Yi	ATI179	Licensed Representative (Provisional Licence)	1
LIU Ying	ATO768	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Yixuan	ATQ883	Licensed Representative (Provisional Licence)	4
LLOYD Robert Donald	ATQ871	Licensed Representative (Provisional Licence)	4, 5, 9
LO Chun Kit, Ricky	ATO150	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
LO Ka Wai, Simon	ATO705	Licensed Representative (Provisional Licence)	4
LO Kit Ying	ATN766	Licensed Representative (Provisional Licence)	1
LO Pui Chi Lyon	AAF036	Licensed Representative (Provisional Licence)	1
LO Ying Kin	ATL664	Licensed Representative (Provisional Licence)	1, 2, 3
LU Yajun	ATL483	Licensed Representative (Provisional Licence)	6
LUI Ka Yan, Karen	AQX044	Licensed Representative (Provisional Licence)	4
LUI Yee Lin, Eva	ATN123	Licensed Representative (Provisional Licence)	1, 2
LUK Tsz Yan	ATQ940	Licensed Representative (Provisional Licence)	1, 2
MA Shuk Wun	ATN459	Licensed Representative (Provisional Licence)	4
MAHAPATRA Satyakam	ATO781	Licensed Representative (Provisional Licence)	1, 2
MAK Hoong Fatt	ATO799	Licensed Representative (Provisional Licence)	1, 6
MAK Tak Sang	ATQ885	Licensed Representative (Provisional Licence)	2
MAK Yau Kee, Wilson	AOO701	Licensed Representative (Provisional Licence)	1
MAN Chun Kit	ATH765	Licensed Representative (Provisional Licence)	1
MAO Jun	ATL681	Licensed Representative (Provisional Licence)	1, 2
MATAYOSHI Yuka	APR966	Licensed Representative (Provisional Licence)	1
MAUGINO Herve, Cedric, Stephane	ATO808	Licensed Representative (Provisional Licence)	1, 2
MCCASKER Grant David	ATL666	Licensed Representative (Provisional Licence)	4
MIGLANI Sugeet	ATN355	Licensed Representative (Provisional Licence)	1, 2
MILLHOUSE David Andrew	ATI899	Licensed Representative (Provisional Licence)	1
MINARDS Timothy Bede	ATM616	Licensed Representative (Provisional Licence)	1, 7
MING MING-hsia	ATO860	Licensed Representative (Provisional Licence)	1, 4
MORITA Sergio Takeo	ATL817	Licensed Representative (Provisional Licence)	1, 2
MURGA Ma. Theresa Sanchez	ATQ911	Licensed Representative (Provisional Licence)	1, 2
NAIR Ajitesh	ATN164	Licensed Representative (Provisional Licence)	4
NG Ka Wing, Fiona	ATO021	Licensed Representative (Provisional Licence)	1
NG Kam Tin, Agnes	ATI906	Licensed Representative (Provisional Licence)	1
NG Siu Hang	ATL550	Licensed Representative (Provisional Licence)	1, 2
NG Wai Yip	ATL570	Licensed Representative (Provisional Licence)	1, 2
NG Yik Yin	ATN406	Licensed Representative (Provisional Licence)	1, 2
NG Yuet Yeung	ATQ780	Licensed Representative (Provisional Licence)	4
NISHBALL Daniel Aaron Basa	ATL586	Licensed Representative (Provisional Licence)	1
PAK James Jinsung	AGL962	Licensed Representative (Provisional Licence)	1, 4, 6
PANG Man Hong	ATO416	Licensed Representative (Provisional Licence)	1, 3
PANG Tsz Chun	ATN570	Licensed Representative (Provisional Licence)	1, 2
PARK Christina	ATI929	Licensed Representative (Provisional Licence)	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
PAU Tien Sum, Esme	ATP176	Licensed Representative (Provisional Licence)	4
PENG Andy Xihui	ATO643	Licensed Representative (Provisional Licence)	4, 9
POHL HAN Chia Chi	ATN112	Licensed Representative (Provisional Licence)	1
PURI Narinder	ATN363	Licensed Representative (Provisional Licence)	1, 2
RAGHAVAN Nirmal	ATN143	Licensed Representative (Provisional Licence)	4
RAO Pathagolusu Venkat	ATL541	Licensed Representative (Provisional Licence)	1
REMA HARINARAYANAN Suhas	ATL667	Licensed Representative (Provisional Licence)	4
RICHARD Yves Mathieu Nicolas	ATO220	Licensed Representative (Provisional Licence)	1
ROGERS David Andrew	ATM320	Licensed Representative (Provisional Licence)	1, 2
ROY Philippe, Paul, Andre	ATL610	Licensed Representative (Provisional Licence)	1, 2, 7
RUSSELL David Thomas	AEC988	Licensed Representative (Provisional Licence)	1, 4
SABRE Benjamin	ATO429	Licensed Representative (Provisional Licence)	1, 2, 7
SACHDEV Sunita Sunder	ATL685	Licensed Representative (Provisional Licence)	4
SAERANG Imelda Juliana	ATM319	Licensed Representative (Provisional Licence)	1
SEE Choon May, Patricia	ATL804	Licensed Representative (Provisional Licence)	1
SHAM Sing Yi	AJR212	Licensed Representative (Provisional Licence)	1
SHAMBHAVI .	ATB980	Licensed Representative (Provisional Licence)	4
SHEN Minggao	ATQ771	Licensed Representative (Provisional Licence)	4
SHEN Peng	ATI393	Licensed Representative (Provisional Licence)	1
SHEN Tsien Wen, Charmaine	AEN546	Licensed Representative (Provisional Licence)	1
SHER Kwan Wai	ATN772	Licensed Representative (Provisional Licence)	1, 2
SHETE Shantanu Sunil	ATL998	Licensed Representative (Provisional Licence)	1, 2
SHI Yongtao	ATL611	Licensed Representative (Provisional Licence)	1
SHIN Yoon Kyung	ATM424	Licensed Representative (Provisional Licence)	1, 2
SHIRAIISHI Yoshihisa	ATN188	Licensed Representative (Provisional Licence)	1, 4, 6
SIVAKUA Samaporn	ATQ908	Licensed Representative (Provisional Licence)	1, 2
SO Kin Wai	ABV540	Licensed Representative (Provisional Licence)	1, 2
SONG Hanqian	ATO433	Licensed Representative (Provisional Licence)	1
STRABO Benjamin	ATO956	Licensed Representative (Provisional Licence)	1, 2
STRAUS Richard Andrew	ATQ912	Licensed Representative (Provisional Licence)	1, 2
SU Alice Jia	ATO816	Licensed Representative (Provisional Licence)	1, 4, 6
SU Wen	ATO953	Licensed Representative (Provisional Licence)	4
SUGANDHI Mardian Jun	AMJ576	Licensed Representative (Provisional Licence)	9
SUM Ho Sang	ASK323	Licensed Representative (Provisional Licence)	4
SUN Yanfeng	ATL994	Licensed Representative (Provisional Licence)	1, 4, 6
SURI Pankaj	AST178	Licensed Representative (Provisional Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
SURUGA Hideki	ATN990	Licensed Representative (Provisional Licence)	1, 4
TAI Nga Chun	ATN385	Licensed Representative (Provisional Licence)	1
TAJPURIYA Archish Gopal	ATJ274	Licensed Representative (Provisional Licence)	1
TAN James Seng Yee	ATN584	Licensed Representative (Provisional Licence)	1, 2
TAN Jian Wei	ATL612	Licensed Representative (Provisional Licence)	1, 4, 6
TANDIYONO Felicia	ATE973	Licensed Representative (Provisional Licence)	4
TANG Hin Yeung	ATN457	Licensed Representative (Provisional Licence)	9
TANG Peony	ATO917	Licensed Representative (Provisional Licence)	1
TANG Stephen Kee Ho	AIN848	Licensed Representative (Provisional Licence)	1
TANG Wai Fung	ATN569	Licensed Representative (Provisional Licence)	1, 2
TANG Wing Yiu, Robert	AAK431	Licensed Representative (Provisional Licence)	1, 2
TANG Yang	ATQ941	Licensed Representative (Provisional Licence)	1
TANIMITSU Hideya	ATM476	Licensed Representative (Provisional Licence)	1
THAMMINENI Rajkumar	ATO815	Licensed Representative (Provisional Licence)	1
THIO George Patrick	APD705	Licensed Representative (Provisional Licence)	1, 4
TIAN Bin	ATO874	Licensed Representative (Provisional Licence)	6
TIAN Kuankuan	ATP164	Licensed Representative (Provisional Licence)	1, 4, 6
TING Wing Yee	ATN769	Licensed Representative (Provisional Licence)	1
TOBING Horas Sebastian Ebenezer	ATE958	Licensed Representative (Provisional Licence)	4
TOMLIN Owen	ALI374	Licensed Representative (Provisional Licence)	1
TSANG Che Young	ATN357	Licensed Representative (Provisional Licence)	1, 2
TSANG Kin Wai	ATM426	Licensed Representative (Provisional Licence)	1, 2
TSANG Wing Kiu, Ricky	ATM944	Licensed Representative (Provisional Licence)	1, 4
TSUI Chi Kit Robin	ATN830	Licensed Representative (Provisional Licence)	4
TSUI Wing Dung	ATJ180	Licensed Representative (Provisional Licence)	1
TSUI Yan Tung	ATM650	Licensed Representative (Provisional Licence)	4
TSUI Yuk Sing	ATO004	Licensed Representative (Provisional Licence)	1, 2
UCHIYAMA Akiko	ANQ462	Licensed Representative (Provisional Licence)	1
VERES Octavien Gabriel	ATO538	Licensed Representative (Provisional Licence)	1, 2
WAN Nga Yee, Joyce	AOH920	Licensed Representative (Provisional Licence)	1
WANG Alexander Michael	ATN583	Licensed Representative (Provisional Licence)	1, 2
WANG Bing	AEZ762	Licensed Representative (Provisional Licence)	1, 4, 6
WHEE Heekyung	AEW812	Licensed Representative (Provisional Licence)	1
WONG Cheuk Ting	ATO771	Licensed Representative (Provisional Licence)	1, 3
WONG Chi Hung, Bosco	ATQ946	Licensed Representative (Provisional Licence)	4
WONG Chun Kong	ATM806	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Dick Hong	APR439	Licensed Representative (Provisional Licence)	4
WONG Hing	ATL970	Licensed Representative (Provisional Licence)	1
WONG Ho Hon	ATJ181	Licensed Representative (Provisional Licence)	1
WONG Hoi Kei	ATO557	Licensed Representative (Provisional Licence)	1, 2
WONG Hung Ming	ATN346	Licensed Representative (Provisional Licence)	1, 2
WONG Ka Kam	ATI921	Licensed Representative (Provisional Licence)	1
WONG Ka Kit Isaac	ATO541	Licensed Representative (Provisional Licence)	1, 7
WONG Ka Ku, Frankie	AGN692	Licensed Representative (Provisional Licence)	1
WONG Ka Ming	ATO476	Licensed Representative (Provisional Licence)	1
WONG Ki Wa	AOH624	Licensed Representative (Provisional Licence)	2, 3
WONG Kwok Tung, Anthony	ALQ261	Licensed Representative (Provisional Licence)	9
WONG Lena Yan Mei	AJO222	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Shiu Hung	ATN456	Licensed Representative (Provisional Licence)	4, 9
WONG Tang Tang	ATM598	Licensed Representative (Provisional Licence)	1
WONG Wai Po, Kelvin	ATO782	Licensed Representative (Provisional Licence)	1, 2
WONG Wing Kei	ATO892	Licensed Representative (Provisional Licence)	1
WONG Wing Keung	ATL879	Licensed Representative (Provisional Licence)	1, 2
WU Desmond Tat Man	ATO920	Licensed Representative (Provisional Licence)	4
WU Shenbin	ALC370	Licensed Representative (Provisional Licence)	1
WU Zhigang	AHK042	Licensed Representative (Provisional Licence)	1
XIA Nan	ATN735	Licensed Representative (Provisional Licence)	1, 4, 6
XIAO Liang	ATO707	Licensed Representative (Provisional Licence)	1
XIE Huiting	ATO925	Licensed Representative (Provisional Licence)	4
XIE Min	ATL442	Licensed Representative (Provisional Licence)	9
XU Nan	ATM316	Licensed Representative (Provisional Licence)	4
XU Shunan	ATN698	Licensed Representative (Provisional Licence)	1, 2
XU Ting	ASK490	Licensed Representative (Provisional Licence)	4
XU Xiaohui	AOA592	Licensed Representative (Provisional Licence)	1, 4, 6
XU Xu	AOF929	Licensed Representative (Provisional Licence)	1, 4, 6
YAN Tak Ming	ATL832	Licensed Representative (Provisional Licence)	1, 4
YANG Justin James	AGJ009	Licensed Representative (Provisional Licence)	1
YANG Lijuan	ATN170	Licensed Representative (Provisional Licence)	1, 4, 6
YAU Chak Po	ATM813	Licensed Representative (Provisional Licence)	1, 2
YEUNG Fu Ngai	AOH572	Licensed Representative (Provisional Licence)	4, 9
YEUNG King Ha	ATL968	Licensed Representative (Provisional Licence)	1
YEUNG King Lung	ATO875	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
YIN Junhua	ATN431	Licensed Representative (Provisional Licence)	1
YIP Chi Chung	ATL323	Licensed Representative (Provisional Licence)	1
YIP Tsun Wah Alvan	ATO776	Licensed Representative (Provisional Licence)	1, 4
YIP Yuen Fan	ATO417	Licensed Representative (Provisional Licence)	1, 3
YOO Jae Ouk	AEO161	Licensed Representative (Provisional Licence)	1, 2
YOSHIKAWA Kazuo	ANY401	Licensed Representative (Provisional Licence)	1
YU Wei	ATN190	Licensed Representative (Provisional Licence)	4
YU Yi	ATI939	Licensed Representative (Provisional Licence)	1, 6
YUAN Quanwei	ATO052	Licensed Representative (Provisional Licence)	1, 4, 6
YUE Pui Lam	AGA571	Licensed Representative (Provisional Licence)	1, 2
YUN Heekyu	ATJ399	Licensed Representative (Provisional Licence)	1, 2
ZENG Xiangyun	ATL711	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Bing	ATI035	Licensed Representative (Provisional Licence)	1, 2
ZHANG Rutie	ATL830	Licensed Representative (Provisional Licence)	2
ZHAO Fang	ATO858	Licensed Representative (Provisional Licence)	6
ZHAO Meng	ATM955	Licensed Representative (Provisional Licence)	1
ZHAO Tianyi	ATM651	Licensed Representative (Provisional Licence)	9
ZHAO Yi	ATM606	Licensed Representative (Provisional Licence)	1, 6
ZHAO Zhirong	ATN324	Licensed Representative (Provisional Licence)	1, 6
ZHENG Andrew D	ATL787	Licensed Representative (Provisional Licence)	1, 6
ZHENG Lili	ATN367	Licensed Representative (Provisional Licence)	1, 4
ZHOU Lingli	ALW484	Licensed Representative (Provisional Licence)	1
ZHOU Yue	ASM425	Licensed Representative (Provisional Licence)	1
ZHU Hongxia	ATM945	Licensed Representative (Provisional Licence)	4
ZHUO Yue	ATI938	Licensed Representative (Provisional Licence)	1, 6
BOULAY Nicolas Philippe	AQI395	Licensed Representative (Temporary Licence)	1
CHOI Wing Yin, Winnie	AMV206	Licensed Representative (Temporary Licence)	4
DASHER Karl Franklin	ATS248	Licensed Representative (Temporary Licence)	1
DE NOON Paul Joseph	ALY371	Licensed Representative (Temporary Licence)	4
HANSEN Patrick Dwight	AST134	Licensed Representative (Temporary Licence)	4
KATAYAMA Eiichi	AMO855	Licensed Representative (Temporary Licence)	4
KIM Aki	ATM633	Licensed Representative (Temporary Licence)	1, 2
LAGERLOW Aaron William	ATC868	Licensed Representative (Temporary Licence)	1
LANDWEHR Tanya Ann	ATE230	Licensed Representative (Temporary Licence)	4
LESLIE Garth Daniel	ARH179	Licensed Representative (Temporary Licence)	1
LEWIS Janet Barbara	ASL090	Licensed Representative (Temporary Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
MATSUMOTO Yuji	ATQ910	Licensed Representative (Temporary Licence)	4
MINACK Gerard John	AMN708	Licensed Representative (Temporary Licence)	1
NISHIMURA Shuichi	AMR969	Licensed Representative (Temporary Licence)	4
PAYNE Nicholas	ATM942	Licensed Representative (Temporary Licence)	1
POWER Michael David	ATO459	Licensed Representative (Temporary Licence)	4
REMOND Marie-Amelie Jeanne Mauricette	ASL015	Licensed Representative (Temporary Licence)	1
RIS Alexandre Hugo	ATO934	Licensed Representative (Temporary Licence)	4
TODD Jason Edmund	ATO944	Licensed Representative (Temporary Licence)	1
WOOD Catherine Duddy	ATT445	Licensed Representative (Temporary Licence)	4
YANAGISAWA Masakazu	ASL355	Licensed Representative (Temporary Licence)	1