

Exemptions granted under section 309(2) of the Securities and Futures Ordinance (Cap. 571)

Updated as at
31 January 2020

Exemptions granted in respect of (i) corporations issuing securities listed on The Stock Exchange of Hong Kong Limited and (ii) other persons are set out below. These exemptions are granted to (in respect of (i)) the corporations, their substantial shareholders, directors and chief executives and (in respect of (ii)) other persons, from compliance with Part XV of the Securities and Futures Ordinance in respect of the obligations that derive from their interests in the securities listed on The Stock Exchange of Hong Kong Limited. This list is updated every month.

Guidelines setting out the basis on which exemptions are granted under s.309 of the SFO are published on the Part XV page of the SFC's website. The exemptions available fall into 5 categories, which are described in the Guidelines.

Category 1 partial exemptions for corporations whose shares are listed on The Stock Exchange of Hong Kong Limited and on another stock exchange

Name	SEHK stock codes	
1 Manulife Financial Corporation	0945	
2 Standard Chartered PLC	2888	(and 4305, 5348, 5890 and 5986)
3 Prudential plc	2378	
4 Fast Retailing Co., Ltd. - DR	6288	(only applies to Fast Retailing Co., Ltd and its substantial shareholders)
5 Alibaba Group Holding Limited	9988	

Category 2 exemptions for corporations none of whose shares are listed on The Stock Exchange of Hong Kong Limited

(a) Category 2 exemptions for corporations (none of whose shares are listed on The Stock Exchange of Hong Kong Limited) in respect of their structured products which are currently listed on The Stock Exchange of Hong Kong Limited

Name	Period of exemption (Note 1)	
	Commencing on	Ending on
1 Bank Vontobel AG	31 May 2019	30 May 2020
2 BOCI Aisa Limited	21 December 2007	20 December 2020
3 BNP Paribas Issuance B.V.	21 December 2007	20 December 2020
4 Citigroup Global Markets Europe AG	1 November 2019	31 October 2020
5 Credit Suisse AG	21 December 2007	20 December 2020
6 Goldman Sachs Structured Products (Asia) Limited	21 December 2007	20 December 2020
7 Guotai Junan Securities (Hong Kong) Limited	28 October 2019	27 October 2020
8 Haitong International Securities Company Limited (Note 2)	16 March 2017	15 March 2020
9 J.P. Morgan Structured Products B.V.	21 December 2007	20 December 2020
10 Macquarie Bank Limited	1 January 2008	31 December 2020
11 Morgan Stanley Asia Products Limited	22 July 2019	21 July 2020
12 SG Issuer	1 April 2016	31 March 2020
13 The Hongkong and Shanghai Banking Corporation Ltd	23 December 2007	22 December 2020
14 UBS AG	21 December 2007	20 December 2020

Note 1: Exemptions for these corporations are granted for periods of 12 months (or less) and exempt structured products issued and became listed on The Stock Exchange of Hong Kong Limited during the period of exemption for the life of the structured products.

Note 2: A previous exemption granted to Haitong International Securities Company Limited expired on 6 March 2017 but continues to apply with respect to all structured products issued by Haitong International Securities Company Limited and became listed on The Stock Exchange of Hong Kong Limited between 7 March 2016 and 6 March 2017.

Note 3: Exemptions previously granted to Citigroup Global Markets Holdings Inc., Daiwa Capital Markets Hong Kong Limited, Nomura International plc, SGA Société Générale Acceptance N.V. and Standard Chartered Bank expired on 14 June 2015, 19 July 2016, 9 December 2015, 20 December 2016 and 27 June 2016 respectively. Structured products that were issued by these corporations during the relevant periods had expired.

(b) Category 2 exemptions for corporations (none of whose shares are listed on The Stock Exchange of Hong Kong Limited) in respect of their debt securities issued under debt programmes and listed on The Stock Exchange of Hong Kong Limited

Name	Programme
1 AirAsia Global Notes Limited	US\$1,000,000,000 Multicurrency Medium Term Note Programme
2 Amipeace Ltd.	HK\$20,000,000,000 Medium Term Note Programme
3 AMTD Group Company Limited	U.S.\$500,000,000 Medium Term Note Programme
4 AMTD International Inc.	U.S.\$1,000,000,000 Medium Term Note Programme
5 AVIC International Leasing Co., Ltd.	US\$500,000,000 Medium Term Note Programme
6 AVIC International Leasing Co., Ltd.	U.S.\$500,000,000 Medium Term Note Programme
7 Azure Nova International Finance Limited	U.S.\$10,000,000,000 Medium Term Note Programme
8 Azure Orbit II International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
9 Azure Orbit IV International Finance Limited	U.S.\$7,000,000,000 Medium Term Note Programme
10 Bank of China (Luxembourg) S.A.	US\$30,000,000,000 Medium Term Note Programme
11 Beijing Capital Polaris Investment Co. Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
12 Bocom Leasing Management Hong Kong Company Limited	US\$3,000,000,000 Medium Term Note Programme
13 Boom Up Investments Limited	US\$1,000,000,000 Medium Term Note Programme
14 CALC Bonds Limited	US\$3,000,000,000 Medium Term Note Programme
15 Castle Peak Power Finance Company Limited	U.S.\$2,000,000,000 Medium Term Note Programme
16 Cathay Pacific MTN Financing (HK) Limited	US\$2 billion Medium Term Note Programme
17 CCBL (Cayman) 1 Corporation Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
18 CDBI Treasure I Limited	US\$500,000,000 Medium Term Note Programme

19	CDBL Funding 1	U.S.\$3,000,000,000 Medium Term Note Programme
20	CDBL Funding 1	US\$3,000,000,000 Medium Term Note Programme
21	CDBL Funding 2	US\$3,000,000,000 Medium Term Note Programme
22	Central Plaza Development Limited	US\$2,000,000,000 Guaranteed Medium Term Programme
23	CGNPC INTERNATIONAL LIMITED	US\$2,000,000,000 Guaranteed Medium Term Note Programme
24	Champion MTN Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
25	Charming Light Investments Ltd. and United Wealth Development Ltd.	US\$8,000,000,000 Medium Term Note Programme
26	Cheung Kong Finance (MTN) Limited and Joynote Ltd	US\$2,000,000,000 Medium Term Note Programme
27	Cheung Kong Infrastructure Finance (BVI) Limited	US\$2,000,000,000 Euro Medium Term Note Programme
28	China Cinda Finance (2015) I Limited and China Cinda Finance (2015) II Limited	US\$3,000,000,000 Guaranteed Medium Term Note Programme
29	China Cinda Finance (2017) I Limited and China Cinda Finance (2017) II Limited	US\$10,000,000,000 Medium Term Note Programme
30	China Construction Bank (Asia) Corporation Limited	US\$5,000,000,000 Medium Term Note Programme
31	China Development Bank Corporation and China Development Bank Corporation Hong Kong Branch	US\$30,000,000,000 Debt Issuance Programme
32	China Great Wall International Holdings III Limited	U.S.\$6,500,000,000 Medium Term Note Programme
33	China Overseas Finance (Cayman) VIII Limited	US\$2.5 billion medium note programme
34	CICC Hong Kong Finance 2016 MTN Limited	US\$3,000,000,000 Guaranteed Medium Term Note Programme
35	CITIC Securities Finance MTN Co., Ltd.	US\$3 billion Medium Term Note Programme
36	CK Property Finance (MTN) Limited	US\$5,000,000,000 Euro Medium Term Note Programme
37	CMB International Leasing Management Limited	US\$20,000,000,000 Medium Term Note Programme
38	CMB Wing Lung Bank Limited	U.S. \$2,000,000,000 Euro Medium Term Note Programme
39	CMBLEMTN1 Limited	U.S.\$5,000,000,000 Medium Term Note Programme
40	CNPC General Capital Limited	US\$7,000,000,000 Euro Medium Term Note Programme
41	Connery Capital Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
42	COSL Singapore Capital Ltd.	US\$3,500,000,000 Euro Medium Term Note Programme
43	DianJian Haiyu Limited, Dianjian International Finance Limited, Leader Goal International Limited, SEPCO Virgin Limited	U.S.\$2,500,000,000 Medium Term Note and Senior Perpetual Securities Programme
44	Eastern Creation II Investment Holdings Ltd.	US\$6,000,000,000 Guaranteed Euro Medium Term Note Programme
45	Everbright SHK (BVI) Limited	US\$1,000,000,000 Medium Term Note Programme
46	FEC Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
47	Franshion Brilliant Limited	US\$2,000,000,000 Medium Term Note Programme
48	Future Days Limited	US\$5,000,000,000 Medium Term Note Programme
49	GLP China Holdings Limited	HK\$20,000,000,000 Medium Term Note Programme
50	Goodman HK Finance	US\$2,000,000,000 Medium Term Note Programme
51	Greenland Global Investment Limited	US\$8,000,000,000 Guaranteed Medium Term Notes Programme
52	Guangzhou Metro Investment Finance (BVI) Ltd.	US\$3,000,000,000 Guaranteed Medium Term Note Programme
53	HKCG (Finance) Limited	US\$3,000,000,000 Medium Term Note Programme
54	HLP Finance Limited	US\$3 billion Medium Term Note Programme
55	Hong Kong Science and Technology Parks Corporation	HK\$1,707,000,000 Guaranteed Medium Term Note Programme
56	Horse Gallop Finance Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
57	Horsepower Finance Limited	US \$1,200,000,000 Medium Term Note Programme
58	Huarong Finance 2017 Co., Ltd.	U.S.\$14,500,000,000 Medium Term Note Programme
59	Huarong Finance 2019 Co., Ltd.	US\$2,900,000,000 Medium Term Note Programme
60	Huarong Finance II Co., Ltd	US\$11,000,000,000 Medium Term Note Programme
61	Hysan (MTN) Limited	US\$2,500,000,000 Medium Term Note Programme
62	ICBCIL Finance Co. Limited	US\$20,000,000,000 Medium Term Note Programme
63	Industrial and Commercial Bank of Asia (China) Limited	U.S.\$6,000,000,000 Medium Term Note Programme
64	Industrial Bank Co., Ltd.	U.S.\$5,000,000,000 Medium Term Note Programme
65	Inventive Global Investments Limited	U.S.\$3,500,000,000 Medium Term Note Programme
66	Joyous Glory Group Limited	US\$1,000,000,000 Medium Term Note Programme
67	K.Wah International Financial Services Limited	US\$1,000,000,000 Medium Term Note Programme
68	Kunming Traffic Investment Co., Ltd.	US\$2,000,000,000 Medium Term Note Programme
69	Midea Investment Development Company Limited	US\$3,000,000,000 Medium Term Note Programme
70	Modern Terminals Capital Limited	US\$1 billion Medium Term Note Programme
71	MTR Corporation (C.I.) Limited	US\$4 billion Medium Term Note Programme
72	New World China Land Limited	US\$2,000,000,000 Medium Term Note Programme
73	NWD (MTN) Limited	US\$6,000,000,000 Medium Term Note Programme
74	NWS (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
75	PETRONAS Capital Limited	US\$15,000,000,000 Medium Term Note Programme
76	Power Assets Finance Limited	U.S.\$2,000,000,000 Medium Term Note Programme
77	Proven Glory Capital Limited	US\$5,000,000,000 Medium Term Note Programme
78	R-REIT International Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
79	RH International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
80	Rongshi International Finance Limited	US\$3,000,000,000 Medium Term Note Programme
81	Shanghai Pudong Development Bank Co., Ltd., Hong Kong Branch	US\$5,000,000,000 Euro Medium Term Note Programme
82	Sichuan Development Holding Co., Ltd. and Yieldking Investment Limited	US\$2,000,000,000 Medium Term Note Programme
83	Silverbell Asia Limited	US\$1,000,000,000 Medium Term Note Programme
84	Sino (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
85	Sinochem Offshore Capital Company Limited	US\$5,000,000,000 Global Medium Term Note Programme
86	Soar Great Limited	US\$1,500,000,000 Guaranteed Medium Term Note Programme
87	Soar Wise Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
88	Splendid International Investment Limited	U.S.\$1,500,000,000 Medium Term Note Programme
89	Standard Chartered Bank (Hong Kong) Limited and Standard Chartered Bank	US\$50,000,000,000 Debt Issuance Programme
90	State Elite Global Limited	US\$2,700,000,000 Medium Term Note Programme
91	State Grid Overseas Investment (2016) Limited	US\$10,000,000,000 Medium Term Note Programme
92	Sun Hung Kai Properties (Capital Market) Limited	US\$7,000,000,000 Medium Term Note Programme
93	Sunlight REIT MTN Limited	US\$1,000,000,000 Medium Term Note Programme
94	Swire Pacific MTN Financing (HK) Limited	US\$5,000,000,000 Medium Term Note Programme

95	Swire Pacific MTN Financing Limited	US\$5,000,000,000 Medium Term Note Programme
96	Swire Properties MTN Financing Limited	US\$3,000,000,000 Medium Term Note Programme
97	The Hongkong and Shanghai Banking Corporation Limited	US\$20,000,000,000 Medium Term Note Programme
98	The Hong Kong Mortgage Corporation Limited	US\$6,000,000,000 Medium Term Note Programme
99	The Link Finance (Cayman) 2009 Limited	US\$5,000,000,000 Guaranteed Euro Medium Term Note Programme
100	Unican Limited	US\$1,000,000,000 Guaranteed Euro Medium Term Note Programme
101	Urban Renewal Authority	US\$1 billion Medium Term Note Programme
102	Vanke Real Estate (Hong Kong) Company Limited	US\$9,500,000,000 Medium Term Note Programme
103	Westwood Group Holdings Limited	US\$3,000,000,000 Medium Term Note Programme
104	Wharf Finance (BVI) Limited, Wharf Finance (BVI) No.1 Limited, Wharf Finance (No.1) Limited and Wharf Finance Limited	US\$7 billion Medium Term Note Programme
105	Wharf REIC Finance (BVI) Limited	U.S.\$3,000,000,000 Medium Term Note Programme
106	Wheelock Finance (BVI) Limited, Wheelock MTN (BVI) Limited	US\$5 billion Medium Term Note Programme
107	Wuhan Metro Group Co., Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
108	Yuexiu REIT MTN Company Limited	US\$1,500,000,000 Medium Term Note Programme
109	Zhejiang Energy International Limited	USD2,000,000,000 Medium Term Note Programme

(c) Category 2 exemptions for corporations (none of whose shares are listed on The Stock Exchange of Hong Kong Limited) in respect of their particular issue of debt securities which are currently listed on The Stock Exchange of Hong Kong Limited

Name	SEHK Stock codes
1 ABCL Glory Capital Limited	5677
2 Agricultural Development Bank of China	5180
3 Agricultural Development Bank of China	5455
4 Agricultural Development Bank of China	85737
5 Agricultural Development Bank of China	85744
6 Agricultural Development Bank of China	85901
7 Agricultural Development Bank of China	85909
8 Agricultural Development Bank of China	85910
9 Alibaba Group Holding Limited	5843
10 Alibaba Group Holding Limited	5844
11 Alibaba Group Holding Limited	5845
12 Amber Circle Funding Limited	4586
13 Amber Treasure Ventures Limited	5209
14 Amber Treasure Ventures Limited	5243
15 Anhui Provincial Investment Group Holding Co., Ltd.	5186
16 Anhui Transportation Holding Group (H.K.) Limited	5158
17 Avenue International Holding Limited	40037
18 AVIC International Finance & Investment Limited	4497
19 AVIC International Finance & Investment Limited	5966
20 Axiata SPV1 (Labuan) Limited	4320
21 Azure Orbit III International Finance Limited	5620
22 Azure Orbit III International Finance Limited	5621
23 Azure Orbit International Finance Limited	5909
24 Bank of China (Hong Kong) Limited	4316
25 Baoji Investment (Group) Co., Ltd.	5492
26 BCEG (HongKong) Company Limited	5145
27 Beijing Enterprises Water Capital Management Limited	4480
28 Beijing Gas Singapore Capital Corporation	5213
29 Beijing State-Owned Assets Management (Hong Kong) Company Limited	5520
30 Beijing State-Owned Assets Management (Hong Kong) Company Limited	5521
31 Bengbu Gaoxin Investment Group Co., Ltd.	40085
32 Bengbu Gaoxin Investment Group Co., Ltd.	40131
33 Bi Hai Co., Ltd.	5185
34 Blue Skyview Company Limited	5364
35 Bluestar Finance Holdings Limited	4550
36 Bluestar Finance Holdings Limited	4556
37 Bluestar Finance Holdings Limited	5089
38 Bluestar Finance Holdings Limited	5599
39 Bluestar Finance Holdings Limited	4487
40 BNY Trust Company of Australia Limited	5766
41 Boom Up Investments Limited	5544
42 Boxinyuan International Co., Ltd.	40036
43 Bright Galaxy International Limited	5927
44 Bright Scholar Education Holdings Limited	5615
45 Cable and Wireless International Finance B.V.	1077
46 Cable and Wireless International Finance B.V.	1078
47 Caiyun International Investment Limited	5947
48 CALC Bond 2 Limited	4300
49 CALC Bond 3 Limited	5390
50 CALC Bond 3 Limited	5391
51 CCB Life Insurance Company Limited	5437
52 CCBL (Cayman) Corporation Limited	5548
53 CCCI Treasury Limited	5893
54 CCTI 2017 Ltd	5258
55 CDBL Funding 1	5850
56 Celestial Dynasty Limited	5594
57 CELESTIAL MILES LIMITED	5706
58 Central Plaza Development Ltd.	5919
59 CGNPC International Limited	5058

60	CGNPC International Limited	5059
61	CGNPC International Limited	5153
62	CGNPC International Limited	5154
63	CGNPC International Limited	5519
64	Chalco Hong Kong Investment Company Limited	5151
65	Chalco Hong Kong Investment Company Limited	5920
66	Chalco Hong Kong Investment Company Limited	5727
67	Chalco Hong Kong Investment Company Limited	5985
68	Chalieco Hong Kong Corporation Limited	5321
69	Chalieco Hong Kong Corporation Limited	5702
70	Chalieco Hong Kong Corporation Limited	40070
71	Chang Development International Limited	5090
72	Chang Development International Limited	5744
73	Changde Economic Construction Investment Group Co., Ltd.	5642
74	Changde Urban Construction and Investment Group Co., Ltd.	5509
75	Changde Urban Construction and Investment Group Co., Ltd.	5959
76	Changsha Pilot Investment Holdings Co., Ltd.	40064
77	Charter Style International Limited	85993
78	Chengdu Airport Xingcheng Investment Group Co., Ltd.	5201
79	Chengdu Communications Investment Group Corporation Limited	5062
80	Chengdu Communications Investment Group Corporation Limited	5493
81	Chengdu Economic and Technological Development Zone Construction and Development Co., Ltd.	5714
82	Chengdu Economic and Technological Development Zone State-Owned Assets Investment Co., Ltd.	5751
83	Chengdu Hi-tech Investment Group Co., Ltd.	5206
84	Chengdu Jiaozi Financial Holding Group Co., Ltd.	40061
85	Chengdu Tianfu New Area Investment Group Co., Ltd.	6011
86	Chengdu Xingcheng Investment Group Co., Ltd.	5314
87	Cheung Kong Bond Securities (02) Limited	4559
88	Cheung Kong Bond Securities (03) Limited	4595
89	Cheung Kong Infrastructure Finance (BVI) Limited	5060
90	China Bright (Hong Kong) Limited	5937
91	China Cinda Finance (2014) Limited	5748
92	China CITIC Bank International Limited	5199
93	China CITIC Bank International Limited	5728
94	China CITIC Bank International Limited	5831
95	China CITIC Bank International Limited	5992
96	China CITIC Bank International Limited	4594
97	China Clean Energy Development Limited	5568
98	China Development Bank Corporation	85904
99	China Development Bank Corporation	85905
100	China Development Bank Corporation	85934
101	China Energy Reserve and Chemicals Group Holding Limited	5639
102	China Energy Reserve and Chemicals Group Overseas Company Limited	5503
103	China Great Wall International Holdings IV Limited	5617
104	China Great Wall International Holdings IV Limited	5620
105	China Huadian Overseas Development 2018 Limited	4491
106	China Huadian Overseas Development Management Company Limited	4533
107	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40093
108	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40094
109	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40095
110	China Life Insurance (Overseas) Co., Ltd	5250
111	China Merchants Finance Company Limited	4548
112	China Merchants Finance Company Limited	5554
113	China Merchants Finance Company Limited	5555
114	China Minmetals Corporation	4442
115	China Minmetals Corporation	5009
116	China Overseas Finance (Cayman) II Limited	4503
117	China Overseas Finance (Cayman) III Limited	5988
118	China Overseas Finance (Cayman) III Limited	5989
119	China Overseas Finance (Cayman) V Limited	4579
120	China Overseas Finance (Cayman) V Limited	4580
121	China Overseas Finance (Cayman) VI Limited	5746
122	China Overseas Finance (Cayman) VI Limited	5760
123	China Overseas Finance (Cayman) VII Limited	4475
124	China Overseas Finance (Cayman) VII Limited	4476
125	China Overseas Finance Investment (Cayman) V Limited	5600
126	China Overseas Grand Oceans Finance IV (Cayman) Limited	5106
127	China Railway Resources Huitung Limited	5904
128	China Railway XunJie Co., Limited	5244
129	China Railway XunJie Co., Limited	5692
130	China Resources Power East Foundation Co., Ltd.	4516
131	China Shenhua Overseas Capital Company Limited	5863
132	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5166
133	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5167
134	China Southern Power Grid International Finance (BVI) Co., Limited	4583
135	China Southern Power Grid International Finance (BVI) Co., Limited	4598
136	China State Construction Finance (Cayman) I Limited	5462
137	China State Construction Finance (Cayman) II Limited	5037
138	China State Construction Finance (Cayman) II Limited	5038
139	China State Construction Finance (Cayman) III Limited	40083
140	China Taiping Capital Limited	4582
141	China Taiping New Horizon Limited	5984
142	China Yangtze Power International (BVI) 1 Limited	5300
143	China Yangtze Power International (BVI) 2 Limited	5301
144	Chinalco Capital Holdings Limited	4301
145	Chinalco Capital Holdings Limited	5438

146	Chinalco Capital Holdings Limited	5745
147	Chiyu Banking Corporation Limited	5034
148	Chongqing Casin Group Co., Ltd	5595
149	Chongqing Energy Investment Group Co., Ltd.	5898
150	Chongqing Hechuan City Construction Investment (Group) Co., Ltd.	5088
151	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	4562
152	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	5488
153	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	5699
154	Chongqing Wansheng Economic and Technological Development Zone Development & Investment Group Co., Ltd.	5157
155	Chouzhou International Investment Limited	4542
156	Chouzhou International Investment Limited	5056
157	CITIC Telecom International Finance Limited	5908
158	City Development Company of Lan Zhou	40066
159	CLP Power HK Finance Ltd	40044
160	CLP Power HK Finance Ltd.	5740
161	CLP Power Hong Kong Financing Limited	4306
162	CLP Power Hong Kong Financing Limited	4318
163	CMHI Finance (BVI) Co., Ltd	5134
164	CMHI Finance (BVI) Co., Ltd	5135
165	CMOC Capital Limited	5722
166	CNI Capital Limited	85908
167	CNOOC Curtis Funding No. 1 Pty Ltd	5972
168	CNOOC Curtis Funding No. 1 Pty Ltd	5973
169	CNOOC Finance (2003) Limited	2568
170	CNOOC Finance (2011) Limited	4506
171	CNOOC Finance (2011) Limited	4507
172	CNOOC Finance (2012) Limited	4546
173	CNOOC Finance (2012) Limited	4547
174	CNOOC Finance (2013) Limited	5941
175	CNOOC Finance (2013) Limited	5942
176	CNOOC Finance (2013) Limited	40016
177	CNOOC Finance (2015) Australia Pty Ltd	5506
178	CNOOC Finance (2015) Australia Pty Ltd	5507
179	CNOOC Finance (2015) U.S.A. LLC	4478
180	CNOOC Finance (2015) U.S.A. LLC	4479
181	CNOOC Finance (2015) U.S.A. LLC	5508
182	CNOOC Nexen Finance (2014) ULC	5738
183	CNOOC Nexen Finance (2014) ULC	5739
184	CNPC (HK) Overseas Capital Ltd.	4513
185	CNPC (HK) Overseas Capital Ltd.	4514
186	CNPC General Capital Limited	4544
187	CNPC General Capital Limited	5923
188	COSCO Pacific Finance (2013) Company Limited	5900
189	COSL Finance (BVI) Limited	4563
190	Cosmos Boom Investment Limited	5534
191	CRCC Chengan Limited	5581
192	CRCC Yupeng Limited	5778
193	CRCC Yuxiang Limited	5945
194	CSCEC Finance (Cayman) I Limited	5574
195	CSCEC Finance (Cayman) II Limited	5232
196	CSCEC Finance (Cayman) II Limited	5233
197	CSCEC Finance (Cayman) II Limited	5669
198	CSCI Finance (2015) Co. Ltd	5564
199	CSSC Capital One Limited	5181
200	CSSC Capital Two Limited	5182
201	Dah Sing Bank, Limited	5316
202	Dah Sing Bank, Limited	5524
203	Dah Sing Bank, Limited	6021
204	Dalian Deta Holding Co., Ltd.	5608
205	Dangdai International Investments Ltd	5027
206	Dangdai International Investments Ltd	40121
207	Dawn Victor Limited	5409
208	Dianjian Haixing Limited	5816
209	Dongxing Voyage Company Limited	5643
210	DYNAMIC MISSION INVESTMENTS LIMITED	5386
211	Ease Trade Global Limited	4458
212	Eastern Air Overseas (Hong Kong) Corporation Limited	5022
213	ENN CLEAN ENERGY INTERNATIONAL INVESTMENT LIMITED	5814
214	Excel Capital Global Limited	4496
215	Expand Lead Limited	5165
216	First FZ Bond Limited	5275
217	Fita International Limited	4315
218	FPC Capital Limited	5107
219	FPC Treasury Limited	5924
220	Franshion Brilliant Limited	5030
221	Franshion Brilliant Limited	5229
222	Franshion Brilliant Limited	5289
223	Franshion Brilliant Limited	5347
224	Franshion Brilliant Limited	5385
225	Franshion Brilliant Limited	5447
226	Franshion Brilliant Limited	5472
227	Fujian Zhanglong Group Co., Ltd.	5700
228	Full Dragon (Hong Kong) International Development Limited	4428
229	FWD Group Limited	4403
230	FWD Group Limited	5019

231	FWD Group Limited	5221
232	FWD Group Limited	5793
233	FWD Limited	4416
234	FWD Limited	5351
235	FWD Limited	5803
236	Gansu Province Electric Power Investment Group Co., Ltd.	5466
237	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5057
238	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5132
239	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5999
240	Gaoxin International Investment Co., Ltd	40081
241	Gemdale Ever Prosperity Investment Limited	4578
242	GET International Investment Holdings Limited	5381
243	GOHL Capital Limited	5352
244	GRAM International Limited	5587
245	Granda Century Limited	5152
246	Green Fortune Capital Limited	40010
247	Greenland Global Investment Limited	5769
248	Guang Ying Investment Limited	4492
249	Guangsha Holding Group Co., Ltd.	5456
250	Guangxi Communications Investment Group Corporation. Ltd	5880
251	Guangxi Financial Investment Group Co., Ltd.	5098
252	Guangxi Liuzhou Dongcheng Investment Development Group Co., Ltd.	40013
253	GUANGZHOU Silk Road Investment Limited	5323
254	Guofa Overseas Investment Company Limited	40098
255	Guohui International (BVI) Co., Ltd.	6002
256	Guotai Junan Holdings Limited	5853
257	Haichuan International Investment Co., Ltd.	5460
258	Haiguo Xintai Capital Limited	40011
259	Haimen Zhongnan Investment Development (International) Co., Ltd.	4585
260	Haitian (BVI) International Investment Development Limited	5061
261	Haitong International Finance 2015 Limited	5867
262	Haitong International Finance Holdings 2015 Limited	5892
263	Hangzhou Fuyang Chengtou Group (HK) Limited	5469
264	Hanhui International Limited	5657
265	Hanrui Overseas Investment Co Ltd	5081
266	Harvest International Company	5024
267	HBIS Group Hong Kong Co., Limited	40108
268	Hesteel Hong Kong Co., Limited	5417
269	Hong Kong Red Star Macalline Universal Home Furnishings Limited	5278
270	Hong Kong Sukuk 2015 Limited	4226
271	Hong Kong Sukuk 2017 Limited	4233
272	Hongkong Baorong Development Limited	5465
273	Hongkong Electric Finance Limited	4505
274	Hongkong Ideal Investment Limited	40019
275	Hongkong International (Qingdao) Company Limited	5023
276	Hongkong International (Qingdao) Company Limited	5048
277	Hongkong International (Qingdao) Company Limited	5874
278	Hongkong International (Qingdao) Company Limited	5875
279	Hongkong International (Qingdao) Company Limited	40017
280	Hongkong International (Qingdao) Company Limited	40053
281	HongKong Xiangyu Investment Co., Limited	4409
282	Huafa 2019 I Company Limited	5976
283	Huafa Group 2018 I Company Limited	5150
284	Huai'an Traffic Holding Co., Ltd.	6006
285	Huai'an Water Conservancy Holding Group Co., Ltd.	6009
286	Huaibei City Construction Investment Holding Group Company Limited	5474
287	Huaibei City Construction Investment Holding Group Company Limited	5946
288	Huaneng Hong Kong Capital Limited	5000
289	Huantaihu International investment Co., Ltd	5413
290	Huasing International Holdings Co., Limited	4448
291	Huasing International Holdings Co., Limited	4449
292	Huaxin Pharmaceutical (Hong Kong) Co., Ltd.	4447
293	Huayi Finance I Ltd.	40042
294	HUAYUAN PROPERTY CO., LTD	5922
295	Huayuan Property Co., Ltd.	5239
296	Hubei Science & Technology Investment Group (Hong Kong) Company Limited	4431
297	Hunan Xiangjiang New Area Development Group Co Ltd	5223
298	Huzhou City Investment Development Group Co., Ltd.	5074
299	Huzhou Communications Investment Group Co., Ltd.	85740
300	ICBCIL Finance Co. Limited	5884
301	IFC Development (Corporate Treasury) Limited	5715
302	IFC Development (Corporate Treasury) Limited	5756
303	IFC Development (Corporate Treasury) Limited	5757
304	IFC Development (Corporate Treasury) Limited	6000
305	Industrial and Commercial Bank of China (Asia) Limited	5686
306	Industrial and Commercial Bank of China (Asia) Limited	5976
307	Industrial and Commercial Bank of China (Macau) Limited	5750
308	Industrial and Commercial Bank of China (Macau) Limited	5797
309	Intellect Aim Management Ltd.	6003
310	Jiangsu Zhongguancun Holding Group (International) Co Ltd	40038
311	Jiangxi Provincial Water Conservancy Investment Group (China) Limited	40086
312	Jiangxi Railway Investment Group Corporation	5770
313	JIC Zhixin Limited	5031
314	JIC Zhixin Limited	5032
315	Jinan Hi-tech Holding Group Co., Ltd.	5481
316	Jinan Hi-tech Holding Group Co., Ltd.	40018

317	Jinan West City Investment and Development Group Co., Ltd.	4588
318	Jinke Property Group Co., Ltd.	5292
319	Jinshine International Co., Ltd.	4451
320	Jisco SR Pearl Ltd.	5953
321	Jiuding Group Finance Co., Ltd	5246
322	Joy Treasure Assets Holdings Inc.	5901
323	Joy Treasure Assets Holdings Inc.	5903
324	Joy Treasure Assets Holdings Inc.	6027
325	Joy Treasure Assets Holdings Inc.	40000
326	Keen Idea Global Limited	5393
327	King Power Capital Ltd.	5823
328	King Talent Management Limited	5026
329	Korean Reinsurance Company	5815
330	Kunming Dianchi Investment Co. Ltd.	5569
331	Kunming Municipal Urban Construction Investment & Development Co., Ltd.	40026
332	Kunming Rail Transit Group Co., Ltd.	40100
333	Kunming Rail Transit Group Co., Ltd.	40101
334	Kunzhi Limited	4464
335	Kunzhi Limited	5100
336	Lai Fung Bonds (2018) Limited	5087
337	Legend Fortune Limited	5128
338	Liang Shan International Development Limited	5585
339	Lingang Wings Inc	5149
340	LinJiang Investment and Development (BVI) Co., Ltd	40087
341	Liuzhou Dongtong Investment & Development Co., Ltd.	4329
342	LS Finance (2017) Limited	4471
343	LS Finance (2022) Limited	4571
344	LS Finance (2025) Limited	5535
345	LSD Bonds (2017) Limited	5270
346	Luso International Banking Limited	5078
347	Malaysia Sovereign Sukuk Berhad	5894
348	Malaysia Sovereign Sukuk Berhad	5895
349	Malaysia Sukuk Global Berhad	5633
350	Malaysia Sukuk Global Berhad	5634
351	MCC Holding (Hong Kong) Corporation Limited	4483
352	MCC Holding (Hong Kong) Corporation Limited	5210
353	Mei Nian Investment Limited	5932
354	Meilun (BVI) Limited	5625
355	Mianyang Investment Holding (Group) Co., Ltd.	40039
356	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5552
357	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5553
358	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5688
359	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5689
360	Minmetals Land Capital Limited	5933
361	Mudanjiang City Investment Group Co., Ltd.	5297
362	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd	5053
363	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd	5054
364	Nanyang Commercial Bank, Limited	5214
365	Nanyang Commercial Bank, Limited	40069
366	New Lion Bridge Company Limited	5290
367	New Momentum International Limited	5997
368	Nimble City Limited	5144
369	Nuoxi Capital Limited	4401
370	Nuoxi Capital Limited	4402
371	Nuoxi Capital Limited	5365
372	Nuoxi Capital Limited	5436
373	Nuoxi Capital Limited	5484
374	Nuoxi Capital Limited	5704
375	Nuoxi Capital Limited	5996
376	NWD Finance (BVI) Limited	4561
377	NWD Finance (BVI) Limited	5856
378	Oceanwide Holdings International 2015 Co., Limited	5557
379	Oceanwide Holdings International 2017 Co., Limited	5251
380	Oceanwide Holdings International Development III Co., Ltd.	4532
381	Oceanwide Holdings International Development III Co., Ltd.	5196
382	Orient Huizhi Limited	5041
383	Oriental Capital Company Limited	40025
384	Oversea-Chinese Banking Corporation Limited	85912
385	OVPH Limited	5614
386	PB Issuer (No.4) Limited	5525
387	PB Issuer (No.5) Limited	40097
388	PCGI Intermediate Holdings (II) Limited	40074
389	PCGI Intermediate Limited	40040
390	PCGI Intermediate Limited	40040
391	Perfect Point Ventures Limited	4566
392	Petron Corporation	5905
393	PETRONAS Global Sukuk Ltd.	5882
394	PHBS Limited	4325
395	Phoenix Charm International Investment Limited	40058
396	Phoenix Charm International Investment Limited	40071
397	Phoenix Lead Limited	5262
398	Pingdingshan Tianan Coal Mining Co., Ltd.	6004
399	Pingxiang Urban Construction Investment Developing Company	4535
400	Pioneer Reward Limited	4530
401	Poly Real Estate Finance Ltd	4417
402	Poly Real Estate Finance Ltd	5164

403	Poly Real Estate Finance Ltd	5916
404	Power Best Global Investments Limited	5940
405	Powerchina Real Estate Group Ltd.	5471
406	Press Metal (Labuan) Ltd.	5299
407	Prosperous Ray Limited	5994
408	Proven Honour Capital Limited	5516
409	Proven Honour Capital Limited	5641
410	Pterosaur Capital Co., Limited	6021
411	PTT Public Company Limited	2527
412	Qingdao Jiaozhou Bay Development Co., Ltd.	5117
413	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5951
414	Qingdao Jimo District Urban Development Investment Co., Ltd.	5658
415	Qinghai Provincial Investment Group Co., Ltd.	4450
416	Qinghai Provincial Investment Group Co., Ltd.	5380
417	Radiant Access Limited	5205
418	Rail Transit International Investment Company Limited	5644
419	RKI Overseas Finance 2016 (B) Limited	4309
420	Rongshi International Finance Limited	5448
421	Rongshi International Finance Limited	5449
422	Rosy Delta Investments Limited	5097
423	Rugao Economic and Trade Development Company	40041
424	Rugao Economic and Trade Development Company	40133
425	SCIG International Financial Limited	5174
426	SDG FINANCE I LIMITED	4539
427	SDG FINANCE I LIMITED	5192
428	SDSC Global Finance Limited	5303
429	SDSC International Development Limited	40022
430	SF Holding Investment Limited	5130
431	Shaanxi Xixian New Area Fengxi New City Development and Construction (Group) Co., Ltd.	40073
432	Shaanxi Xixian New Area Fengxi New City Development and Construction (Group) Co., Ltd.	5504
433	Shaanxi Xixian New Area Qinhan New City Development and Construction Group Co., Ltd.	5935
434	Shandong Commercial Group Co., Ltd.	5512
435	Shandong Energy Australia Pty Ltd	5248
436	Shandong Iron and Steel Xinheng International Company Limited	4453
437	Shandong Iron and Steel Xinheng International Company Limited	5063
438	Shandong Iron and Steel Xinheng International Company Limited	5470
439	Shandong Iron and Steel Xinheng International Company Limited	5601
440	Shandong Iron and Steel Xinheng International Company Limited	40003
441	Shanghai Commercial Bank Limited	5043
442	Shanghai Commercial Bank Limited	5530
443	Shanghai Electric Power Finance Limited	5558
444	Shanghai Port Group (BVI) Development Co., Limited	4587
445	Shanghai Port Group (BVI) Development Co., Limited	5734
446	Shanghai Port Group (BVI) Development Co., Limited	5741
447	Shanghai Port Group (BVI) Holding Co., Limited	5255
448	Shanghai Port Group (BVI) Holding Co., Limited	5256
449	Shangrao Investment Holdings International Company Limited	4429
450	Shangrao Investment Holdings International Company Limited	40122
451	Shanghai (Hong Kong) International Investments Limited	5435
452	Shanghai (Hong Kong) International Investments Limited	40009
453	Shaoxing City Investment Group Limited	5489
454	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	40082
455	Shengzhou Investment Holdings Co., Ltd.	40119
456	Shuifa International Holdings (BVI) Co., Ltd.	5992
457	Si Fa Investment Limited	5490
458	Sichuan Communications Overseas Development Co., Ltd.	5399
459	Sichuan Railway Investment Group Co., Ltd.	5575
460	SIHC International Capital Ltd	5176
461	SIHC International Capital Ltd	5177
462	Sino Trendy Investment Limited	4407
463	Sinochem Global Capital Co., Ltd.	5935
464	Sinochem Overseas Capital Company Limited	4501
465	Sinochem Overseas Capital Company Limited	4502
466	Sino-Ocean Land Treasure Finance I Limited	5782
467	Sino-Ocean Land Treasure Finance II Limited	5868
468	Sino-Ocean Land Treasure Finance II Limited	5869
469	Sino-Ocean Land Treasure III Limited	5276
470	Sino-Ocean Land Treasure IV Limited	5131
471	Sino-Ocean Land Treasure IV Limited	5623
472	Sino-Ocean Land Treasure IV Limited	5712
473	Sino-Ocean Land Treasure IV Limited	40115
474	Skyland Mining (BVI) Limited	5228
475	Skysea International Capital Management Limited	4529
476	SmarTone Finance Limited	5917
477	Societe Generale	5947
478	Societe Generale	5959
479	Societe Generale	5960
480	Societe Generale	5961
481	Societe Generale	5962
482	SPIC 2016 US dollar Bond Company Limited	5324
483	SPIC 2016 US dollar Bond Company Limited	5325
484	SPIC 2018 USD Senior Perpetual Bond Company Limited	5453
485	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l.	5193
486	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l.	5194
487	State Grid Overseas Investment (2013) Limited	5954
488	State Grid Overseas Investment (2013) Limited	5955

489	State Grid Overseas Investment (2014) Limited	5742
490	State Grid Overseas Investment (2014) Limited	5743
491	State Grid Overseas Investment (2016) Limited	5649
492	State Grid Overseas Investment (2016) Limited	5650
493	State Grid Overseas Investment (2016) Limited	5651
494	State Grid Overseas Investment (2016) Limited	5652
495	Strategic International Group Limited	5241
496	Sunny Express Enterprises Corp.	5906
497	Sunny Express Enterprises Corp.	40030
498	Sunny Express Enterprises Corp.	40031
499	Sunshine Life Insurance Corporation Limited	5628
500	Sunshine Life Insurance Corporation Limited	5629
501	Suqian Economic Development (BVI) Co., Limited	40034
502	Suzhou City Construction Investment Group (Holding) Co., Ltd.	5723
503	Taikang Insurance Group Inc.	5349
504	Taiyuan State-owned Investment Group Company Limited	6005
505	Taizhou Infrastructure Construction Investment Group Co., Ltd	5197
506	The Hongkong and Shanghai Banking Corporation Limited	4323
507	Tianfeng Securities Co., Ltd.	40090
508	Tianjin Lingang Investment Holding Co., Ltd.	5716
509	Tianjin Real Estate Group Co., Ltd	5286
510	Tianjin State-owned Capital Investment and Management Co., Ltd.	40103
511	Tianjin State-owned Capital Investment and Management Co., Ltd.	40104
512	Tianjin State-owned Capital Investment and Management Co., Ltd.	40105
513	Tianjin State-owned Capital Investment and Management Co., Ltd.	40106
514	Tianqi Finco Co., Ltd	5036
515	Tibet Financial Leasing Co., Ltd.	5707
516	Tongfang Aqua 2017 Limited	4454
517	Tongfang Aqua Limited	6001
518	Top Luxury Investment Limited	5598
519	Top Wise Excellence Enterprise Co., Ltd.	5402
520	Towngas (Finance) Limited	5749
521	Towngas (Finance) Limited	6018
522	Trade Horizon Global Limited	5133
523	Treasure Generator Limited	5709
524	Triceratops Capital Co., Limited	4408
525	Tsinghua Unic Limited	4413
526	Tsinghua Unic Limited	4414
527	Tsinghua Unic Limited	4415
528	Tuspark Forward Ltd.	4599
529	Tuspark Forward Ltd.	5143
530	Tuspark Forward Ltd.	5857
531	TVB Finance Limited	4577
532	UHI Capital Ltd.	4572
533	Unigroup International Holdings Ltd.	5597
534	Union Life Insurance Co., Ltd.	4322
535	Urumqi Gaoxin Investment and Development Group Co., Ltd.	5073
536	Vertex Capital Investment Limited	5931
537	Wakala Global Sukuk Berhad	4519
538	Wanda Group Overseas Limited	5599
539	Wanda Properties Global Co. Limited	5835
540	Wanda Properties International Co. Limited	6023
541	Wanda Properties Overseas Limited	40088
542	Wanda Properties Overseas Limited	40139
543	Want Want China Finance Limited	5446
544	Weifang Urban Construction and Development Investment Group Co., Ltd.	5189
545	Wuhan Financial Holdings (Group) Co., Ltd.	5498
546	Wuhan Metro Group Co., Ltd.	5467
547	Wuhan Real Estate Development & Investment Group Co., Ltd.	5139
548	Wuhan State-owned Assets Management Limited Company	5070
549	Xi Hai An 2019 Limited	40067
550	Xi Yang Overseas Limited	4565
551	Xi'an Qujiang Culture Holdings Co., Ltd.	40002
552	Xiangyu Investment (BVI) Co., Ltd.	5572
553	Xihui Haiwai I Investment Holdings Co., Limited	5990
554	Xin Fu (BVI) Co., Limited	5985
555	Xingang International Holding Limited	40099
556	Xingsheng (BVI) Company Limited	5172
557	Xingsheng (BVI) Company Limited	5606
558	Xinhu (BVI) 2018 Holding Company Limited	4569
559	Xinhu (BVI) 2018 Holding Company Limited	5497
560	Xinhu (BVI) 2018 Holding Company Limited	5889
561	Xinhu (BVI) Holding Company Limited	5382
562	Xinjiang Financial Investment Co., Ltd.	5907
563	Xinjiang Transportation Construction Investment Holding Co. Ltd.	5570
564	Xinjiang Transportation Construction Investment Holding Co. Ltd.	5952
565	Xinjiang Zhongtai (Group) Co., Ltd.	4567
566	Xinxing Industry Investment Company Limited	5065

567	Yancoal International Resources Development Co., Limited	4552
568	Yancoal International Resources Development Co., Limited	5424
569	Yancoal International Resources Development Co., Ltd	5461
570	Yancoal International Trading Co., Limited	5753
571	Yango (Cayman) Investment Limited	4470
572	Yango (Cayman) Investment Limited	5414
573	Yangzhou Economic and Technological Development Zone Development Corporation	5513
574	Yangzhou Urban Construction State-Owned Assets Holding (Group) Co., Ltd.	5072
575	Yankuang Group (Cayman) Limited	5044
576	Yankuang Group (Cayman) Limited	5705
577	Yichang High-Tech Investment Development Co., Ltd.	5495
578	Yichun Development Investment HaiTong Co., Limited	40084
579	Yihua Overseas Investment Ltd	5293
580	Yinchuan Tonglian Capital Investment Operation Co., Ltd.	5247
581	Yingde Gases Investment Limited	5793
582	YONGDA Investment Limited	5543
583	Yunnan Energy Investment Overseas Finance Company Ltd.	5012
584	Yunnan Energy Investment Overseas Finance Company Ltd.	5013
585	Yunnan Energy Investment Overseas Finance Company Ltd.	5327
586	Yunnan Energy Investment Overseas Finance Company Ltd.	5457
587	Yuyao Economic Development Zone Construction Investment and Development Co., Ltd	5494
588	Yuyao Economic Development Zone Construction Investment and Development Co., Ltd	5998
589	ZGC INTERNATIONAL INVESTMENT LIMITED	40140
590	Zhangzhou Jiulongjiang Group Co., Ltd.	5730
591	Zhangzhou Transportation Development Group Co., Ltd.	5584
592	Zhaobing Investment (BVI) Limited	6018
593	Zhaohai Investment (BVI) Limited	5547
594	Zhaojin Mining International Finance Limited	5851
595	Zhejiang Baron (BVI) Company Limited	5146
596	Zhengzhou Metro Group Co., Ltd.	5496
597	Zhengzhou Real Estate Group Co., Ltd.	40014
598	Zhengzhou Urban Construction Investment Group Co., Ltd.	40063
599	Zhengzhou Urban Construction Investment Group Co., Ltd.	40118
600	Zhenjiang Cultural Tourism Industry Group Co., Ltd.	40072
601	Zhongtai International Bond 2017 (BVI) Company Limited	5263
602	Zhongtai International Finance (BVI) Company Limited	5919
603	Zhongyuan Asset Management Co., Ltd	40078
604	Zhongyuan Sincere Investment Co. Ltd.	5095
605	Zhongyuan Sincere Investment Co. Ltd.	5596
606	Zhoushan City Investment Group Corporation Limited	5487
607	Zhuhai Da Heng Qin Investment Co., Ltd.	40080
608	Zhuhai Da Heng Qin Investment Co., Ltd.	85915
609	Zhuji Development Limited	5480
610	Zhuzhou City Construction Development Group Co., Ltd.	4531
611	Zijin International Capital Company Limited	5188

Category 3 exemptions for open-ended CIS in corporate form whose shares are listed on The Stock Exchange of Hong Kong Limited

	Name	SEHK Stock codes
1	Xtrackers FTSE Vietnam Swap UCITS ETF*	3087
2	Xtrackers FTSE China 50 UCITS ETF	3007
3	Xtrackers MSCI Brazil UCITS ETF	3048
4	Xtrackers MSCI Korea UCITS ETF	2848
5	Xtrackers MSCI Pacific ex Japan UCITS ETF	3043
6	Xtrackers MSCI Russia Capped Swap UCITS ETF*	3027
7	Xtrackers MSCI Taiwan UCITS ETF	3036
8	Xtrackers MSCI USA Swap UCITS ETF*	3020
9	Xtrackers MSCI World Swap UCITS ETF*	3019
10	Xtrackers Nifty 50 Swap UCITS ETF*	3015
11	Xtrackers CSI300 Swap UCITS ETF*	3049
12	Xtrackers MSCI China UCITS ETF	3055
13	Xtrackers MSCI Indonesia Swap UCITS ETF*	3099
14	Xtrackers MSCI Malaysia UCITS ETF	3082
15	Xtrackers MSCI Thailand UCITS ETF	3092
16	Xtrackers MSCI Philippines UCITS ETF	3016
17	Xtrackers MSCI Singapore UCITS ETF	3065
18	Global X China Consumer Brand ETF	2806
19	Global X China Electric Vehicle ETF	2845
20	Global X China Clean Energy ETF	2809

* This is a synthetic ETF

Category 4 exemptions for exchange participants of The Stock Exchange of Hong Kong Limited

	Name
1	China Innovation Market Service Company Limited
2	China Investment Information Services Limited

Category 5 exemptions for clearing houses that are clearing participants

Name

- 1 China Securities Depository and Clearing Corporation Limited

Other exemptions granted under section 309(2) of the Securities and Futures Ordinance (Cap.571)

Name

- 1 Budweiser Brewing Company APAC Limited