

## Exemptions granted under section 309(2) of the Securities and Futures Ordinance (Cap. 571)

Updated as at  
31 March 2020

Exemptions granted in respect of (i) corporations issuing securities listed on The Stock Exchange of Hong Kong Limited and (ii) other persons are set out below. These exemptions are granted to (in respect of (i)) the corporations, their substantial shareholders, directors and chief executives and (in respect of (ii)) other persons, from compliance with Part XV of the Securities and Futures Ordinance in respect of the obligations that derive from their interests in the securities listed on The Stock Exchange of Hong Kong Limited. This list is updated every month.

Guidelines setting out the basis on which exemptions are granted under s.309 of the SFO are published on the Part XV page of the SFC's website. The exemptions available fall into 5 categories, which are described in the Guidelines.

### Category 1 partial exemptions for corporations whose shares are listed on The Stock Exchange of Hong Kong Limited and on another stock exchange

Name	SEHK stock codes	
1 Manulife Financial Corporation	0945	
2 Standard Chartered PLC	2888	(and 4305, 5348, 5890 and 5986)
3 Prudential plc	2378	
4 Fast Retailing Co., Ltd. - DR	6288	(only applies to Fast Retailing Co., Ltd and its substantial shareholders)
5 Alibaba Group Holding Limited	9988	

### Category 2 exemptions for corporations none of whose shares are listed on The Stock Exchange of Hong Kong Limited

(a) Category 2 exemptions for corporations (none of whose shares are listed on The Stock Exchange of Hong Kong Limited) in respect of their structured products which are currently listed on The Stock Exchange of Hong Kong Limited

Name	Period of exemption (Note 1)	
	Commencing on	Ending on
1 Bank Vontobel AG	31 May 2019	30 May 2020
2 BOCI Aisa Limited	21 December 2007	20 December 2020
3 BNP Paribas Issuance B.V.	21 December 2007	20 December 2020
4 Citigroup Global Markets Europe AG	1 November 2019	31 October 2020
5 Credit Suisse AG	21 December 2007	20 December 2020
6 Goldman Sachs Structured Products (Asia) Limited	21 December 2007	20 December 2020
7 Guotai Junan Securities (Hong Kong) Limited	28 October 2019	27 October 2020
8 Haitong International Securities Company Limited (Note 2)	16 March 2017	15 March 2021
9 J.P. Morgan Structured Products B.V.	21 December 2007	20 December 2020
10 Macquarie Bank Limited	1 January 2008	31 December 2020
11 Morgan Stanley Asia Products Limited	22 July 2019	21 July 2020
12 SG Issuer	1 April 2016	31 March 2021
13 The Hongkong and Shanghai Banking Corporation Ltd	23 December 2007	22 December 2020
14 UBS AG	21 December 2007	20 December 2020

Note 1: Exemptions for these corporations are granted for periods of 12 months (or less) and exempt structured products issued and became listed on The Stock Exchange of Hong Kong Limited during the period of exemption for the life of the structured products.

Note 2: A previous exemption granted to Haitong International Securities Company Limited expired on 6 March 2017 but continues to apply with respect to all structured products issued by Haitong International Securities Company Limited and became listed on The Stock Exchange of Hong Kong Limited between 7 March 2016 and 6 March 2017.

Note 3: Exemptions previously granted to Citigroup Global Markets Holdings Inc., Daiwa Capital Markets Hong Kong Limited, Nomura International plc, SGA Société Générale Acceptance N.V. and Standard Chartered Bank expired on 14 June 2015, 19 July 2016, 9 December 2015, 20 December 2016 and 27 June 2016 respectively. Structured products that were issued by these corporations during the relevant periods had expired.

(b) Category 2 exemptions for corporations (none of whose shares are listed on The Stock Exchange of Hong Kong Limited) in respect of their debt securities issued under debt programmes and listed on The Stock Exchange of Hong Kong Limited

Name	Programme
1 AirAsia Global Notes Limited	US\$1,000,000,000 Multicurrency Medium Term Note Programme
2 Amipeace Ltd.	HK\$20,000,000,000 Medium Term Note Programme
3 AMTD Group Company Limited	U.S.\$500,000,000 Medium Term Note Programme
4 AMTD International Inc.	U.S.\$1,000,000,000 Medium Term Note Programme
5 AVIC International Leasing Co., Ltd.	US\$500,000,000 Medium Term Note Programme
6 AVIC International Leasing Co., Ltd.	U.S.\$500,000,000 Medium Term Note Programme
7 Azure Nova International Finance Limited	U.S.\$10,000,000,000 Medium Term Note Programme
8 Azure Orbit II International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
9 Azure Orbit IV International Finance Limited	U.S.\$7,000,000,000 Medium Term Note Programme
10 Bank of China (Luxembourg) S.A.	US\$30,000,000,000 Medium Term Note Programm
11 Beijing Capital Polaris Investment Co. Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
12 Bocom Leasing Management Hong Kong Company Limited	US\$6,000,000,000 Medium Term Note Programme
13 Boom Up Investments Limited	US\$1,000,000,000 Medium Term Note Programme
14 CALC Bonds Limited	US\$3,000,000,000 Medium Term Note Programme
15 Castle Peak Power Finance Company Limited	U.S.\$2,000,000,000 Medium Term Note Programme
16 Cathay Pacific MTN Financing (HK) Limited	US\$2 billion Medium Term Note Programme
17 CCBL (Cayman) 1 Corporation Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
18 CDBI Treasure I Limited	US\$500,000,000 Medium Term Note Programme

19	CDBL Funding 1	U.S.\$3,000,000,000 Medium Term Note Programme
20	CDBL Funding 1	US\$3,000,000,000 Medium Term Note Programme
21	CDBL Funding 2	US\$3,000,000,000 Medium Term Note Programme
22	Central Plaza Development Limited	US\$2,000,000,000 Guaranteed Medium Term Programme
23	CGNPC INTERNATIONAL LIMITED	US\$2,000,000,000 Guaranteed Medium Term Note Programme
24	Champion MTN Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
25	Charming Light Investments Ltd. and United Wealth Development Ltd.	US\$8,000,000,000 Medium Term Note Programme
26	Cheung Kong Finance (MTN) Limited and Joynote Ltd	US\$2,000,000,000 Medium Term Note Programme
27	Cheung Kong Infrastructure Finance (BVI) Limited	US\$2,000,000,000 Euro Medium Term Note Programme
28	China Cinda (2020) I Management Limited	U.S.\$2,000,000,000 Guaranteed Medium Term Note Programme
29	China Cinda Finance (2015) I Limited and China Cinda Finance (2015) II Limited	US\$3,000,000,000 Guaranteed Medium Term Note Programme
30	China Cinda Finance (2017) I Limited and China Cinda Finance (2017) II Limited	US\$10,000,000,000 Medium Term Note Programme
31	China Construction Bank (Asia) Corporation Limited	US\$5,000,000,000 Medium Term Note Programme
32	China Development Bank Corporation and China Development Bank Corporation Hong Kong Branch	US\$30,000,000,000 Debt Issuance Programme
33	China Great Wall International Holdings III Limited	U.S.\$6,500,000,000 Medium Term Note Programme
34	China Overseas Finance (Cayman) VIII Limited	US\$2.5 billion medium note programme
35	CICC Hong Kong Finance 2016 MTN Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
36	CITIC Securities Finance MTN Co., Ltd.	US\$3 billion Medium Term Note Programme
37	CK Property Finance (MTN) Limited	US\$5,000,000,000 Euro Medium Term Note Programme
38	CMB International Leasing Management Limited	US\$20,000,000,000 Medium Term Note Programme
39	CMB Wing Lung Bank Limited	U.S. \$2,000,000,000 Euro Medium Term Note Programme
40	CMBLEMTN1 Limited	U.S.\$5,000,000,000 Medium Term Note Programme
41	CNPC General Capital Limited	US\$7,000,000,000 Euro Medium Term Note Programme
42	Connery Capital Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
43	COSL Singapore Capital Ltd.	US\$3,500,000,000 Euro Medium Term Note Programme
44	DianJian Haiyu Limited, Dianjian International Finance Limited, Leader Goal International Limited, SEPCO Virgin Limited	U.S.\$2,500,000,000 Medium Term Note and Senior Perpetual Securities Programme
45	Eastern Creation II Investment Holdings Ltd.	US\$6,000,000,000 Guaranteed Euro Medium Term Note Programme
46	Everbright SHK (BVI) Limited	US\$1,000,000,000 Medium Term Note Programme
47	FEC Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
48	Franshion Brilliant Limited	US\$2,000,000,000 Medium Term Note Programme
49	Future Days Limited	US\$5,000,000,000 Medium Term Note Programme
50	GLP China Holdings Limited	HK\$20,000,000,000 Medium Term Note Programme
51	Goodman HK Finance	US\$2,000,000,000 Medium Term Note Programme
52	Greenland Global Investment Limited	US\$8,000,000,000 Guaranteed Medium Term Notes Programme
53	Guangzhou Metro Investment Finance (BVI) Ltd.	US\$3,000,000,000 Guaranteed Medium Term Note Programme
54	Henderson Land MTN Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
55	HKCG (Finance) Limited	US\$3,000,000,000 Medium Term Note Programme
56	HLP Finance Limited	US\$4 billion Medium Term Note Programme
57	Hong Kong Science and Technology Parks Corporation	HK\$1,707,000,000 Guaranteed Medium Term Note Programme
58	Horse Gallop Finance Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
59	Horsepower Finance Limited	US \$1,200,000,000 Medium Term Note Programme
60	Huarong Finance 2017 Co., Ltd.	U.S.\$14,500,000,000 Medium Term Note Programme
61	Huarong Finance 2019 Co., Ltd.	US\$2,900,000,000 Medium Term Note Programme
62	Huarong Finance II Co., Ltd	US\$11,000,000,000 Medium Term Note Programme
63	Hysan (MTN) Limited	US\$2,500,000,000 Medium Term Note Programme
64	ICBCIL Finance Co. Limited	US\$20,000,000,000 Medium Term Note Programme
65	Industrial and Commercial Bank of Asia (China) Limited	U.S.\$6,000,000,000 Medium Term Note Programme
66	Industrial Bank Co., Ltd.	U.S.\$5,000,000,000 Medium Term Note Programme
67	Inventive Global Investments Limited	U.S.\$3,500,000,000 Medium Term Note Programme
68	Joyous Glory Group Limited	US\$1,000,000,000 Medium Term Note Programme
69	K.Wah International Financial Services Limited	US\$1,000,000,000 Medium Term Note Programme
70	Kunming Traffic Investment Co., Ltd.	US\$2,000,000,000 Medium Term Note Programme
71	Midea Investment Development Company Limited	US\$3,000,000,000 Medium Term Note Programme
72	Modern Terminals Capital Limited	US\$1 billion Medium Term Note Programme
73	MTR Corporation (C.I.) Limited	US\$4 billion Medium Term Note Programme
74	New World China Land Limited	US\$2,000,000,000 Medium Term Note Programme
75	NWD (MTN) Limited	US\$6,000,000,000 Medium Term Note Programme
76	NWS (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
77	PETRONAS Capital Limited	US\$15,000,000,000 Medium Term Note Programme
78	Power Assets Finance Limited	U.S.\$2,000,000,000 Medium Term Note Programme
79	Proven Glory Capital Limited	US\$5,000,000,000 Medium Term Note Programme
80	R-REIT International Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
81	RH International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
82	Rongshi International Finance Limited	US\$3,000,000,000 Medium Term Note Programme
83	Shanghai Pudong Development Bank Co., Ltd., Hong Kong Branch	US\$5,000,000,000 Euro Medium Term Note Programme
84	Sichuan Development Holding Co., Ltd. and Yieldking Investment Limited	US\$2,000,000,000 Medium Term Note Programme
85	Silverbell Asia Limited	US\$1,000,000,000 Medium Term Note Programme
86	Sino (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
87	Sinochem Offshore Capital Company Limited	US\$5,000,000,000 Global Medium Term Note Programme
88	Soar Great Limited	US\$1,500,000,000 Guaranteed Medium Term Note Programme
89	Soar Wise Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
90	Splendid International Investment Limited	U.S.\$1,500,000,000 Medium Term Note Programme
91	Standard Chartered Bank (Hong Kong) Limited and Standard Chartered Bank	US\$50,000,000,000 Debt Issuance Programme
92	State Elite Global Limited	US\$2,700,000,000 Medium Term Note Programme
93	State Grid Overseas Investment (2016) Limited	US\$10,000,000,000 Medium Term Note Programme
94	Sun Hung Kai Properties (Capital Market) Limited	US\$7,000,000,000 Medium Term Note Programme

95	Sunlight REIT MTN Limited	US\$1,000,000,000 Medium Term Note Programme
96	Swire Pacific MTN Financing (HK) Limited	US\$5,000,000,000 Medium Term Note Programme
97	Swire Pacific MTN Financing Limited	US\$5,000,000,000 Medium Term Note Programme
98	Swire Properties MTN Financing Limited	US\$3,000,000,000 Medium Term Note Programme
99	The Hongkong and Shanghai Banking Corporation Limited	US\$20,000,000,000 Medium Term Note Programme
100	The Hong Kong Mortgage Corporation Limited	US\$6,000,000,000 Medium Term Note Programme
101	The Link Finance (Cayman) 2009 Limited	US\$5,000,000,000 Guaranteed Euro Medium Term Note Programme
102	Unican Limited	US\$1,000,000,000 Guaranteed Euro Medium Term Note Programme
103	Urban Renewal Authority	US\$1 billion Medium Term Note Programme
104	Vanke Real Estate (Hong Kong) Company Limited	US\$9,500,000,000 Medium Term Note Programme
105	Westwood Group Holdings Limited	US\$3,000,000,000 Medium Term Note Programme
106	Wharf Finance (BVI) Limited, Wharf Finance (BVI) No.1 Limited, Wharf Finance (No.1) Limited and Wharf Finance Limited	US\$7 billion Medium Term Note Programme
107	Wharf REIC Finance (BVI) Limited	U.S.\$3,000,000,000 Medium Term Note Programme
108	Wheelock Finance (BVI) Limited, Wheelock MTN (BVI) Limited	US\$5 billion Medium Term Note Programme
109	Wuhan Metro Group Co., Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
110	Yuexiu REIT MTN Company Limited	US\$1,500,000,000 Medium Term Note Programme
111	Zhejiang Energy International Limited	USD2,000,000,000 Medium Term Note Programme

(c) Category 2 exemptions for corporations (none of whose shares are listed on The Stock Exchange of Hong Kong Limited) in respect of their particular issue of debt securities which are currently listed on The Stock Exchange of Hong Kong Limited

Name	SEHK Stock codes	
1	ABCL Glory Capital Limited	5677
2	Agricultural Development Bank of China	5180
3	Agricultural Development Bank of China	5455
4	Agricultural Development Bank of China	85737
5	Agricultural Development Bank of China	85744
6	Agricultural Development Bank of China	85901
7	Agricultural Development Bank of China	85909
8	Agricultural Development Bank of China	85910
9	Alibaba Group Holding Limited	5843
10	Alibaba Group Holding Limited	5844
11	Alibaba Group Holding Limited	5845
12	Amber Circle Funding Limited	4586
13	Amber Treasure Ventures Limited	5209
14	Amber Treasure Ventures Limited	5243
15	Anhui Provincial Investment Group Holding Co., Ltd.	5186
16	Anhui Transportation Holding Group (H.K.) Limited	5158
17	Avenue International Holding Limited	40037
18	Avenue International Holding Limited	40160
19	AVIC International Finance & Investment Limited	4497
20	AVIC International Finance & Investment Limited	5966
21	Axiata SPV1 (Labuan) Limited	4320
22	Azure Orbit III International Finance Limited	5620
23	Azure Orbit III International Finance Limited	5621
24	Azure Orbit International Finance Limited	5909
25	Bank of Communications (Hong Kong) Limited	40176
26	Baoji Investment (Group) Co., Ltd.	5492
27	BCEG (HongKong) Company Limited	5145
28	Beijing Enterprises Water Capital Management Limited	4480
29	Beijing Gas Singapore Capital Corporation	5213
30	Beijing State-Owned Assets Management (Hong Kong) Company Limited	5520
31	Beijing State-Owned Assets Management (Hong Kong) Company Limited	5521
32	Bengbu Gaoxin Investment Group Co., Ltd.	40085
33	Bengbu Gaoxin Investment Group Co., Ltd.	40131
34	Bi Hai Co., Ltd.	5185
35	Blue Skyview Company Limited	5364
36	Bluestar Finance Holdings Limited	4550
37	Bluestar Finance Holdings Limited	4556
38	Bluestar Finance Holdings Limited	5089
39	Bluestar Finance Holdings Limited	5599
40	Bluestar Finance Holdings Limited	4487
41	BNY Trust Company of Australia Limited	5766
42	BNY Trust Company of Australia Limited	40142
43	Boom Up Investments Limited	5544
44	Boxinyuan International Co., Ltd.	40036
45	BPHL Capital Management Limited	40171
46	Bright Galaxy International Limited	5927
47	Bright Scholar Education Holdings Limited	5615
48	Cable and Wireless International Finance B.V.	1077
49	Cable and Wireless International Finance B.V.	1078
50	Caiyun International Investment Limited	5947
51	CALC Bond 2 Limited	4300
52	CALC Bond 3 Limited	5390
53	CALC Bond 3 Limited	5391
54	CCB Life Insurance Company Limited	5437
55	CCBL (Cayman) Corporation Limited	5548

56	CCCI Treasure Limited	5893
57	CCCI Treasure Limited	40157
58	CCCI Treasure Limited	40158
59	CCTI 2017 Ltd	5258
60	CDBL Funding 1	5850
61	Celestial Dynasty Limited	5594
62	CELESTIAL MILES LIMITED	5706
63	Central Plaza Development Ltd.	5919
64	CGNPC International Limited	5058
65	CGNPC International Limited	5059
66	CGNPC International Limited	5153
67	CGNPC International Limited	5154
68	CGNPC International Limited	5519
69	Chalco Hong Kong Investment Company Limited	5151
70	Chalco Hong Kong Investment Company Limited	5920
71	Chalco Hong Kong Investment Company Limited	5727
72	Chalco Hong Kong Investment Company Limited	5985
73	Chalieco Hong Kong Corporation Limited	5321
74	Chalieco Hong Kong Corporation Limited	5702
75	Chalieco Hong Kong Corporation Limited	40070
76	Chang Development International Limited	5090
77	Chang Development International Limited	5744
78	Changde Economic Construction Investment Group Co., Ltd.	5642
79	Changde Urban Construction and Investment Group Co., Ltd.	5509
80	Changde Urban Construction and Investment Group Co., Ltd.	5959
81	Changsha Pilot Investment Holdings Co., Ltd.	40064
82	Charter Style International Limited	85993
83	Chengdu Airport Xingcheng Investment Group Co., Ltd.	5201
84	Chengdu Communications Investment Group Corporation Limited	5062
85	Chengdu Communications Investment Group Corporation Limited	5493
86	Chengdu Economic and Technological Development Zone Construction and Development Co., Ltd.	5714
87	Chengdu Economic and Technological Development Zone State-Owned Assets Investment Co., Ltd.	5751
88	Chengdu Hi-tech Investment Group Co., Ltd.	5206
89	Chengdu Jiaozhi Financial Holding Group Co., Ltd.	40061
90	Chengdu Tianfu New Area Investment Group Co., Ltd	6011
91	Chengdu Xingcheng Investment Group Co., Ltd.	5314
92	Chenzhou Industry Investment Group Co., Ltd.	40197
93	Cheung Kong Bond Securities (02) Limited	4559
94	Cheung Kong Bond Securities (03) Limited	4595
95	Cheung Kong Infrastructure Finance (BVI) Limited	5060
96	China Bright (Hong Kong) Limited	5937
97	China Cinda Finance (2014) Limited	5748
98	China CITIC Bank International Limited	5199
99	China CITIC Bank International Limited	5728
100	China CITIC Bank International Limited	5831
101	China CITIC Bank International Limited	5992
102	China CITIC Bank International Limited	4594
103	China Clean Energy Development Limited	5568
104	China Development Bank Corporation	85904
105	China Development Bank Corporation	85905
106	China Development Bank Corporation	85934
107	China Energy Reserve and Chemicals Group Holding Limited	5639
108	China Energy Reserve and Chemicals Group Overseas Company Limited	5503
109	China Great Wall International Holdings IV Limited	5617
110	China Great Wall International Holdings IV Limited	5620
111	China Huadian Overseas Development 2018 Limited	4491
112	China Huadian Overseas Development Management Company Limited	4533
113	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40093
114	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40094
115	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40095
116	China Life Insurance (Overseas) Co., Ltd	5250
117	China Merchants Finance Company Limited	4548
118	China Merchants Finance Company Limited	5554
119	China Merchants Finance Company Limited	5555
120	China Minmetals Corporation	4442
121	China Minmetals Corporation	5009
122	China Overseas Finance (Cayman) II Limited	4503
123	China Overseas Finance (Cayman) III Limited	5988
124	China Overseas Finance (Cayman) III Limited	5989
125	China Overseas Finance (Cayman) V Limited	4579
126	China Overseas Finance (Cayman) V Limited	4580
127	China Overseas Finance (Cayman) VI Limited	5746
128	China Overseas Finance (Cayman) VI Limited	5760
129	China Overseas Finance (Cayman) VII Limited	4475
130	China Overseas Finance (Cayman) VII Limited	4476
131	China Overseas Finance Investment (Cayman) V Limited	5600
132	China Overseas Grand Oceans Finance IV (Cayman) Limited	5106
133	China Railway Resources Huitung Limited	5904
134	China Railway XunJie Co., Limited	5244
135	China Railway XunJie Co., Limited	5692
136	China Resources Power East Foundation Co., Ltd.	4516
137	China Shenhua Overseas Capital Company Limited	5863
138	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5166
139	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5167
140	China Southern Power Grid International Finance (BVI) Co., Limited	4583
141	China Southern Power Grid International Finance (BVI) Co., Limited	4598

142	China State Construction Finance (Cayman) I Limited	5462
143	China State Construction Finance (Cayman) II Limited	5037
144	China State Construction Finance (Cayman) II Limited	5038
145	China State Construction Finance (Cayman) III Limited	40083
146	China Taiping Capital Limited	4582
147	China Taiping New Horizon Limited	5984
148	China Yangtze Power International (BVI) 1 Limited	5300
149	China Yangtze Power International (BVI) 2 Limited	5301
150	Chinalco Capital Holdings Limited	4301
151	Chinalco Capital Holdings Limited	5438
152	Chinalco Capital Holdings Limited	5745
153	Chiyu Banking Corporation Limited	5034
154	Chongqing Casin Group Co., Ltd	5595
155	Chongqing Energy Investment Group Co., Ltd.	5898
156	Chongqing Hechuan City Construction Investment (Group) Co., Ltd.	5088
157	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	4562
158	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	5488
159	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	5699
160	Chongqing Wansheng Economic and Technological Development Zone Development & Investment Group Co., Ltd.	5157
161	Chouzhou International Investment Limited	4542
162	Chouzhou International Investment Limited	5056
163	Chouzhou International Investment Limited	40154
164	CITIC Telecom International Finance Limited	5908
165	City Development Company of Lan Zhou	40066
166	CLP Power HK Finance Ltd	40044
167	CLP Power HK Finance Ltd.	5740
168	CLP Power Hong Kong Financing Limited	4306
169	CMHI Finance (BVI) Co., Ltd	5134
170	CMHI Finance (BVI) Co., Ltd	5135
171	CMOG Capital Limited	5722
172	CNI Capital Limited	85908
173	CNOOC Curtis Funding No. 1 Pty Ltd	5972
174	CNOOC Curtis Funding No. 1 Pty Ltd	5973
175	CNOOC Finance (2003) Limited	2568
176	CNOOC Finance (2011) Limited	4506
177	CNOOC Finance (2011) Limited	4507
178	CNOOC Finance (2012) Limited	4546
179	CNOOC Finance (2012) Limited	4547
180	CNOOC Finance (2013) Limited	5941
181	CNOOC Finance (2013) Limited	5942
182	CNOOC Finance (2013) Limited	40016
183	CNOOC Finance (2015) Australia Pty Ltd	5506
184	CNOOC Finance (2015) Australia Pty Ltd	5507
185	CNOOC Finance (2015) U.S.A. LLC	4478
186	CNOOC Finance (2015) U.S.A. LLC	4479
187	CNOOC Finance (2015) U.S.A. LLC	5508
188	CNOOC Nexen Finance (2014) ULC	5738
189	CNOOC Nexen Finance (2014) ULC	5739
190	CNPC (HK) Overseas Capital Ltd.	4513
191	CNPC (HK) Overseas Capital Ltd.	4514
192	CNPC General Capital Limited	4544
193	CNPC General Capital Limited	5923
194	COSCO Pacific Finance (2013) Company Limited	5900
195	COSL Finance (BVI) Limited	4563
196	Cosmos Boom Investment Limited	5534
197	CRCC Chengan Limited	5581
198	CRCC Yupeng Limited	5778
199	CRCC Yuxiang Limited	5945
200	CSCEC Finance (Cayman) I Limited	5574
201	CSCEC Finance (Cayman) II Limited	5232
202	CSCEC Finance (Cayman) II Limited	5233
203	CSCEC Finance (Cayman) II Limited	5669
204	CSCI Finance (2015) Co. Ltd	5564
205	CSSC Capital 2015 Limited	40145
206	CSSC Capital 2015 Limited	40146
207	CSSC Capital One Limited	5181
208	CSSC Capital Two Limited	5182
209	Dah Sing Bank, Limited	5316
210	Dah Sing Bank, Limited	5524
211	Dah Sing Bank, Limited	6021
212	Dalian Deta Holding Co., Ltd.	5608
213	Dangdai International Investments Ltd	5027
214	Dangdai International Investments Ltd	40121
215	Dawn Victor Limited	5409
216	Dianjian Haixing Limited	5816
217	Dongxing Voyage Company Limited	5643
218	Ease Trade Global Limited	4458
219	Eastern Air Overseas (Hong Kong) Corporation Limited	5022
220	Elect Global Investments Limited	40173
221	ENN CLEAN ENERGY INTERNATIONAL INVESTMENT LIMITED	5814
222	Excel Capital Global Limited	4496
223	Expand Lead Limited	5165
224	First FZ Bond Limited	5275
225	FPC Capital Limited	5107
226	FPC Treasury Limited	5924

227	Franshion Brilliant Limited	5030
228	Franshion Brilliant Limited	5229
229	Franshion Brilliant Limited	5289
230	Franshion Brilliant Limited	5347
231	Franshion Brilliant Limited	5385
232	Franshion Brilliant Limited	5447
233	Franshion Brilliant Limited	5472
234	Fujian Zhanglong Group Co., Ltd.	5700
235	Full Dragon (Hong Kong) International Development Limited	4428
236	FWD Group Limited	4403
237	FWD Group Limited	5019
238	FWD Group Limited	5221
239	FWD Group Limited	5793
240	FWD Limited	4416
241	FWD Limited	5351
242	FWD Limited	5803
243	Gansu Province Electric Power Investment Group Co., Ltd.	5466
244	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5057
245	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5132
246	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5999
247	Gaoxin International Investment Co., Ltd	40081
248	Gemdale Ever Prosperity Investment Limited	4578
249	GET International Investment Holdings Limited	5381
250	GOHL Capital Limited	5352
251	GRAM International Limited	5587
252	Granda Century Limited	5152
253	Green Fortune Capital Limited	40010
254	Greenland Global Investment Limited	5769
255	Guang Ying Investment Limited	4492
256	Guangsha Holding Group Co., Ltd.	5456
257	Guangxi Communications Investment Group Corporation. Ltd	5880
258	Guangxi Financial Investment Group Co., Ltd.	5098
259	Guangxi Liuzhou Dongcheng Investment Development Group Co., Ltd.	40013
260	GUANGZHOU Silk Road Investment Limited	5323
261	Guofa Overseas Investment Company Limited	40098
262	Guohui International (BVI) Co., Ltd.	6002
263	Guotai Junan Holdings Limited	5853
264	Haichuan International Investment Co., Ltd.	5460
265	Haiguo Xintai Capital Limited	40011
266	Haimen Zhongnan Investment Development (International) Co., Ltd.	4585
267	Haitian (BVI) International Investment Development Limited	5061
268	Haitong International Finance 2015 Limited	5867
269	Haitong International Finance Holdings 2015 Limited	40186
270	Haitong International Finance Holdings 2015 Limited	5892
271	Hangzhou Fuyang Chengtuo Group (HK) Limited	5469
272	Hanhui International Limited	5657
273	Hanrui Overseas Investment Co Ltd	5081
274	Harvest International Company	5024
275	HBIS Group Hong Kong Co., Limited	40108
276	Hesteel Hong Kong Co., Limited	5417
277	HK Onlink Technology Co., Limited	40168
278	Hong Kong JY Flower Limited	40163
279	Hong Kong Red Star Macalline Universal Home Furnishings Limited	5278
280	Hong Kong Sukuk 2015 Limited	4226
281	Hong Kong Sukuk 2017 Limited	4233
282	Hong Seng Limited	40172
283	Hongkong Baorong Development Limited	5465
284	Hongkong Electric Finance Limited	4505
285	Hongkong Ideal Investment Limited	40019
286	Hongkong International (Qingdao) Company Limited	5023
287	Hongkong International (Qingdao) Company Limited	5048
288	Hongkong International (Qingdao) Company Limited	5875
289	Hongkong International (Qingdao) Company Limited	40017
290	Hongkong International (Qingdao) Company Limited	40053
291	HongKong Xiangyu Investment Co., Limited	4409
292	Huafa 2019 I Company Limited	5976
293	Huafa Group 2018 I Company Limited	5150
294	Huai'an Traffic Holding Co., Ltd.	6006
295	Huai'an Water Conservancy Holding Group Co., Ltd.	6009
296	Huaibei City Construction Investment Holding Group Company Limited	5474
297	Huaibei City Construction Investment Holding Group Company Limited	5946
298	Huaneng Hong Kong Capital Limited	5000
299	Huantaihu International investment Co., Ltd	40194
300	Huasing International Holdings Co., Limited	4448
301	Huasing International Holdings Co., Limited	4449
302	Huaxin Pharmaceutical (Hong Kong) Co., Ltd.	4447
303	Huayi Finance I Ltd.	40042
304	HUAYUAN PROPERTY CO., LTD	5922
305	Huayuan Property Co., Ltd.	5239
306	Hubei Science & Technology Investment Group (Hong Kong) Company Limited	4431
307	Hunan Xiangjiang New Area Development Group Co Ltd	5223
308	Huzhou City Investment Development Group Co., Ltd.	5074
309	Huzhou Communications Investment Group Co., Ltd.	85740
310	IFC Development (Corporate Treasury) Limited	5756
311	IFC Development (Corporate Treasury) Limited	5757
312	IFC Development (Corporate Treasury) Limited	6000

313	Industrial and Commercial Bank of China (Asia) Limited	5686
314	Industrial and Commercial Bank of China (Asia) Limited	5976
315	Industrial and Commercial Bank of China (Macau) Limited	5750
316	Industrial and Commercial Bank of China (Macau) Limited	5797
317	Intellect Aim Management Ltd.	6003
318	Jiangsu Zhongguancun Holding Group (International) Co Ltd	40038
319	Jiangxi Provincial Water Conservancy Investment Group (China) Limited	40086
320	Jiangxi Railway Investment Group Corporation	5770
321	JIC Zhixin Limited	5031
322	JIC Zhixin Limited	5032
323	Jinan Hi-tech Holding Group Co., Ltd.	5481
324	Jinan Hi-tech Holding Group Co., Ltd.	40018
325	Jinan West City Investment and Development Group Co., Ltd.	4588
326	Jinke Property Group Co., Ltd.	5292
327	Jinshine International Co., Ltd.	4451
328	Jisco SR Pearl Ltd.	5953
329	Jiuding Group Finance Co., Ltd	5246
330	Joy Treasure Assets Holdings Inc.	5901
331	Joy Treasure Assets Holdings Inc.	5903
332	Joy Treasure Assets Holdings Inc.	6027
333	Joy Treasure Assets Holdings Inc.	40000
334	King Power Capital Ltd.	5823
335	King Talent Management Limited	5026
336	Korean Reinsurance Company	5815
337	Kunming Dianchi Investment Co. Ltd.	5569
338	Kunming Municipal Urban Construction Investment & Development Co., Ltd.	40026
339	Kunming Rail Transit Group Co., Ltd.	40100
340	Kunming Rail Transit Group Co., Ltd.	40101
341	Kunzhi Limited	4464
342	Kunzhi Limited	5100
343	Lai Fung Bonds (2018) Limited	5087
344	Legend Fortune Limited	5128
345	Liang Shan International Development Limited	5585
346	Lingang Wings Inc	5149
347	LinJiang Investment and Development (BVI) Co., Ltd	40087
348	Liuzhou Dongtong Investment & Development Co., Ltd.	4329
349	LS Finance (2017) Limited	4471
350	LS Finance (2022) Limited	4571
351	LS Finance (2025) Limited	5535
352	LSD Bonds (2017) Limited	5270
353	Luso International Banking Limited	5078
354	Malaysia Sovereign Sukuk Berhad	5894
355	Malaysia Sovereign Sukuk Berhad	5895
356	Malaysia Sukuk Global Berhad	5633
357	Malaysia Sukuk Global Berhad	5634
358	MCC Holding (Hong Kong) Corporation Limited	4483
359	MCC Holding (Hong Kong) Corporation Limited	5210
360	MCC Holding (Hong Kong) Corporation Limited	40188
361	Mei Nian Investment Limited	5932
362	Meilun (BVI) Limited	5625
363	Mianyang Investment Holding (Group) Co., Ltd.	40039
364	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5552
365	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5553
366	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5688
367	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5689
368	Minmetals Bounteous Finance (BVI) Limited	40175
369	Minmetals Land Capital Limited	5933
370	Mudanjiang City Investment Group Co., Ltd.	5297
371	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd	5053
372	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd	5054
373	Nanyang Commercial Bank, Limited	5214
374	Nanyang Commercial Bank, Limited	40069
375	New Lion Bridge Company Limited	5290
376	New Momentum International Limited	5997
377	Nimble City Limited	5144
378	Nuoxi Capital Limited	4401
379	Nuoxi Capital Limited	4402
380	Nuoxi Capital Limited	5365
381	Nuoxi Capital Limited	5436
382	Nuoxi Capital Limited	5484
383	Nuoxi Capital Limited	5704
384	Nuoxi Capital Limited	5996
385	NWD Finance (BVI) Limited	4561
386	NWD Finance (BVI) Limited	5856
387	Oceanwide Holdings International 2015 Co., Limited	5557
388	Oceanwide Holdings International 2017 Co., Limited	5251
389	Oceanwide Holdings International Development III Co., Ltd.	4532
390	Oceanwide Holdings International Development III Co., Ltd.	5196
391	Orient Huizhi Limited	5041
392	Oriental Capital Company Limited	40025
393	OVPH Limited	5614
394	PB Issuer (No.4) Limited	5525
395	PB Issuer (No.5) Limited	40097
396	PCGI Intermediate Holdings (II) Limited	40074
397	PCGI Intermediate Limited	40040
398	PCGI Intermediate Limited	40040

399	Perfect Point Ventures Limited	4566
400	Petron Corporation	5905
401	PHBS Limited	4325
402	Phoenix Charm International Investment Limited	40058
403	Phoenix Charm International Investment Limited	40071
404	Phoenix Lead Limited	5262
405	Pingdingshan Tianan Coal Mining Co., Ltd.	6004
406	Pingxiang Urban Construction Investment Developing Company	4535
407	Pioneer Reward Limited	4530
408	Pioneer Reward Limited	40143
409	Poly Real Estate Finance Ltd	4417
410	Poly Real Estate Finance Ltd	5164
411	Poly Real Estate Finance Ltd	5916
412	Power Best Global Investments Limited	5940
413	Powerchina Real Estate Group Ltd.	5471
414	Press Metal (Labuan) Ltd.	5299
415	Prosperous Ray Limited	5994
416	Proven Honour Capital Limited	5516
417	Proven Honour Capital Limited	5641
418	Pterosaur Capital Co., Limited	6021
419	PTT Public Company Limited	2527
420	Qingdao Jiaozhou Bay Development Co., Ltd.	5117
421	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5951
422	Qingdao Jimo District Urban Development Investment Co., Ltd.	5658
423	Qinghai Provincial Investment Group Co., Ltd.	4450
424	Radiant Access Limited	5205
425	Rail Transit International Investment Company Limited	5644
426	RKI Overseas Finance 2016 (B) Limited	4309
427	Rongshi International Finance Limited	5448
428	Rongshi International Finance Limited	5449
429	Rosy Delta Investments Limited	5097
430	Rugao Economic and Trade Development Company	40041
431	Rugao Economic and Trade Development Company	40133
432	SCIG International Financial Limited	5174
433	SDG FINANCE I LIMITED	4539
434	SDG FINANCE I LIMITED	5192
435	SDSC Global Finance Limited	5303
436	SDSC International Development Limited	40022
437	SF Holding Investment Limited	5130
438	SF Holding Investment Limited	40152
439	Shaanxi Xixian New Area Fengxi New City Development and Construction (Group) Co., Ltd.	40073
440	Shaanxi Xixian New Area Fengxi New City Development and Construction (Group) Co., Ltd.	5504
441	Shaanxi Xixian New Area Qinhan New City Development and Construction Group Co., Ltd.	5935
442	Shandong Commercial Group Co., Ltd.	5512
443	Shandong Energy Australia Pty Ltd	5248
444	Shandong Iron and Steel Xinheng International Company Limited	5063
445	Shandong Iron and Steel Xinheng International Company Limited	5470
446	Shandong Iron and Steel Xinheng International Company Limited	5601
447	Shandong Iron and Steel Xinheng International Company Limited	40003
448	Shanghai Commercial Bank Limited	5043
449	Shanghai Commercial Bank Limited	5530
450	Shanghai Electric Power Finance Limited	5558
451	Shanghai Port Group (BVI) Development Co., Limited	4587
452	Shanghai Port Group (BVI) Development Co., Limited	5734
453	Shanghai Port Group (BVI) Development Co., Limited	5741
454	Shanghai Port Group (BVI) Holding Co., Limited	5255
455	Shanghai Port Group (BVI) Holding Co., Limited	5256
456	Shangrao Investment Holdings International Company Limited	4429
457	Shangrao Investment Holdings International Company Limited	40122
458	Shanghai (Hong Kong) International Investments Limited	5435
459	Shanghai (Hong Kong) International Investments Limited	40009
460	Shaoxing City Investment Group Limited	5489
461	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	40082
462	Shengzhou Investment Holdings Co., Ltd.	40119
463	Shuifa International Holdings (BVI) Co., Ltd.	5992
464	Si Fa Investment Limited	5490
465	Sichuan Communications Overseas Development Co., Ltd.	5399
466	Sichuan Gloport Investment Development Group Co., Ltd	40196
467	Sichuan Railway Investment Group Co., Ltd.	5575
468	SIHC International Capital Ltd	5176
469	SIHC International Capital Ltd	5177
470	Sino Trendy Investment Limited	4407
471	Sinochem Global Capital Co., Ltd.	5935
472	Sinochem Overseas Capital Company Limited	4501
473	Sinochem Overseas Capital Company Limited	4502
474	Sino-Ocean Land Treasure Finance I Limited	5782
475	Sino-Ocean Land Treasure Finance II Limited	5869
476	Sino-Ocean Land Treasure III Limited	5276
477	Sino-Ocean Land Treasure IV Limited	5131
478	Sino-Ocean Land Treasure IV Limited	5623
479	Sino-Ocean Land Treasure IV Limited	5712
480	Sino-Ocean Land Treasure IV Limited	40115
481	Sinosing Services Pte. Ltd.	40155
482	Sinosing Services Pte. Ltd.	40156
483	Skyland Mining (BVI) Limited	5228
484	Skysea International Capital Management Limited	4529



485	SmarTone Finance Limited	5917
486	Societe Generale	5947
487	Societe Generale	5959
488	Societe Generale	5960
489	Societe Generale	5961
490	Societe Generale	5962
491	SPIC 2016 US dollar Bond Company Limited	5324
492	SPIC 2016 US dollar Bond Company Limited	5325
493	SPIC 2018 USD Senior Perpetual Bond Company Limited	5453
494	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l.	5193
495	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l.	5194
496	State Grid Overseas Investment (2013) Limited	5954
497	State Grid Overseas Investment (2013) Limited	5955
498	State Grid Overseas Investment (2014) Limited	5742
499	State Grid Overseas Investment (2014) Limited	5743
500	State Grid Overseas Investment (2016) Limited	5649
501	State Grid Overseas Investment (2016) Limited	5650
502	State Grid Overseas Investment (2016) Limited	5651
503	State Grid Overseas Investment (2016) Limited	5652
504	Strategic International Group Limited	5241
505	Sunny Express Enterprises Corp.	5906
506	Sunny Express Enterprises Corp.	40030
507	Sunny Express Enterprises Corp.	40031
508	Sunshine Life Insurance Corporation Limited	5628
509	Sunshine Life Insurance Corporation Limited	5629
510	Suqian Economic Development (BVI) Co., Limited	40034
511	Suzhou City Construction Investment Group (Holding) Co., Ltd.	5723
512	Taikang Insurance Group Inc.	5349
513	Taiyuan State-owned Investment Group Company Limited	6005
514	Taizhou Infrastructure Construction Investment Group Co., Ltd	5197
515	The Hongkong and Shanghai Banking Corporation Limited	4323
516	Tianfeng Securities Co., Ltd.	40090
517	Tianjin Lingang Investment Holding Co., Ltd.	5716
518	Tianjin Real Estate Group Co., Ltd	5286
519	Tianjin State-owned Capital Investment and Management Co., Ltd.	40103
520	Tianjin State-owned Capital Investment and Management Co., Ltd.	40104
521	Tianjin State-owned Capital Investment and Management Co., Ltd.	40105
522	Tianjin State-owned Capital Investment and Management Co., Ltd.	40106
523	Tianqi Finco Co., Ltd	5036
524	Tibet Financial Leasing Co., Ltd.	5707
525	Tongfang Aqua 2017 Limited	4454
526	Tongfang Aqua Limited	6001
527	Tongling Development Investment Group Company Limited	40153
528	Top Luxury Investment Limited	5598
529	Towngas (Finance) Limited	5749
530	Towngas (Finance) Limited	6018
531	Trade Horizon Global Limited	5133
532	Treasure Generator Limited	5709
533	Triceratops Capital Co., Limited	4408
534	Tsinghua Unic Limited	4413
535	Tsinghua Unic Limited	4414
536	Tsinghua Unic Limited	4415
537	Tuspark Forward Ltd.	4599
538	Tuspark Forward Ltd.	5143
539	Tuspark Forward Ltd.	5857
540	TVB Finance Limited	4577
541	UHI Capital Ltd.	4572
542	Unigroup International Holdings Ltd.	5597
543	Union Life Insurance Co., Ltd.	4322
544	Urumqi Gaoxin Investment and Development Group Co., Ltd.	5073
545	Vertex Capital Investment Limited	5931
546	Viva Incubator Investment Management Limited	40144
547	Wakala Global Sukuk Berhad	4519
548	Wanda Group Overseas Limited	5599
549	Wanda Properties International Co. Limited	6023
550	Wanda Properties Overseas Limited	40088
551	Wanda Properties Overseas Limited	40139
552	Want Want China Finance Limited	5446
553	Weifang Urban Construction and Development Investment Group Co., Ltd.	5189
554	Wuhan Financial Holdings (Group) Co., Ltd.	5498
555	Wuhan Metro Group Co., Ltd.	5467
556	Wuhan Real Estate Development & Investment Group Co., Ltd.	5139
557	Wuhan State-owned Assets Management Limited Company	5070
558	Xi Hai An 2019 Limited	40067
559	Xi Yang Overseas Limited	4565
560	Xi'an Aerospace High-Tech Industry Development Co., Ltd	40187
561	Xi'an Qujiang Culture Holdings Co., Ltd.	40002
562	Xi'an Qujiang Culture Holdings Co., Ltd.	40183
563	Xiangyu Investment (BVI) Co., Ltd.	5572
564	Xihui Haiwai I Investment Holdings Co., Limited	5990
565	Xin Fu (BVI) Co., Limited	5985
566	Xingang International Holding Limited	40099
567	Xingsheng (BVI) Company Limited	5172
568	Xingsheng (BVI) Company Limited	5606
569	Xinhu (BVI) 2018 Holding Company Limited	4569
570	Xinhu (BVI) 2018 Holding Company Limited	5497

571	Xinhu (BVI) 2018 Holding Company Limited	5889
572	Xinjiang Financial Investment Co., Ltd.	5907
573	Xinjiang Transportation Construction Investment Holding Co. Ltd.	5570
574	Xinjiang Transportation Construction Investment Holding Co. Ltd.	5952
575	Xinjiang Zhongtai (Group) Co., Ltd.	4567
576	Xinxing Industry Investment Company Limited	5065
577	Yancoal International Resources Development Co., Limited	4552
578	Yancoal International Resources Development Co., Limited	5424
579	Yancoal International Resources Development Co., Ltd	5461
580	Yancoal International Trading Co., Limited	5753
581	Yango (Cayman) Investment Limited	4470
582	Yango (Cayman) Investment Limited	5414
583	Yangzhou Economic and Technological Development Zone Development Corporation	5513
584	Yangzhou Urban Construction State-Owned Assets Holding (Group) Co., Ltd.	5072
585	Yankuang Group (Cayman) Limited	5044
586	Yankuang Group (Cayman) Limited	5705
587	Yichang High-Tech Investment Development Co., Ltd.	5495
588	Yichun Development Investment HaiTong Co., Limited	40084
589	Yihua Overseas Investment Ltd	5293
590	Yinchuan Tonglian Capital Investment Operation Co., Ltd.	5247
591	YONGDA Investment Limited	5543
592	Yunnan Energy Investment Overseas Finance Company Ltd.	5012
593	Yunnan Energy Investment Overseas Finance Company Ltd.	5013
594	Yunnan Energy Investment Overseas Finance Company Ltd.	5327
595	Yunnan Energy Investment Overseas Finance Company Ltd.	5457
596	Yuyao Economic Development Zone Construction Investment and Development Co., Ltd	5494
597	Yuyao Economic Development Zone Construction Investment and Development Co., Ltd	5998
598	ZGC INTERNATIONAL INVESTMENT LIMITED	40140
599	Zhangzhou Jiulongjiang Group Co., Ltd.	5730
600	Zhangzhou Transportation Development Group Co., Ltd.	5584
601	Zhaobing Investment (BVI) Limited	6018
602	Zhaohai Investment (BVI) Limited	5547
603	Zhaojin Mining International Finance Limited	5851
604	Zhejiang Baron (BVI) Company Limited	5146
605	Zhengzhou Metro Group Co., Ltd.	5496
606	Zhengzhou Real Estate Group Co., Ltd.	40014
607	Zhengzhou Urban Construction Investment Group Co., Ltd.	40063
608	Zhengzhou Urban Construction Investment Group Co., Ltd.	40118
609	Zhenjiang Cultural Tourism Industry Group Co., Ltd.	40072
610	Zhongtai International Bond 2017 (BVI) Company Limited	5263
611	Zhongyuan Asset Management Co., Ltd	40078
612	Zhongyuan Sincere Investment Co. Ltd.	5095
613	Zhongyuan Sincere Investment Co. Ltd.	5596
614	Zhoushan City Investment Group Corporation Limited	5487
615	Zhuhai Da Heng Qin Investment Co., Ltd.	40080
616	Zhuhai Da Heng Qin Investment Co., Ltd.	85915
617	Zhuji Development Limited	5480
618	Zhuzhou City Construction Development Group Co., Ltd.	4531
619	Zijin International Capital Company Limited	5188

### Category 3 exemptions for open-ended CIS in corporate form whose shares are listed on The Stock Exchange of Hong Kong Limited

	Name	SEHK Stock codes
1	Xtrackers FTSE Vietnam Swap UCITS ETF*	3087
2	Xtrackers FTSE China 50 UCITS ETF	3007
3	Xtrackers MSCI Brazil UCITS ETF	3048
4	Xtrackers MSCI Korea UCITS ETF	2848
5	Xtrackers MSCI Pacific ex Japan UCITS ETF	3043
6	Xtrackers MSCI Russia Capped Swap UCITS ETF*	3027
7	Xtrackers MSCI Taiwan UCITS ETF	3036
8	Xtrackers MSCI USA Swap UCITS ETF*	3020
9	Xtrackers MSCI World Swap UCITS ETF*	3019
10	Xtrackers Nifty 50 Swap UCITS ETF*	3015
11	Xtrackers CSI300 Swap UCITS ETF*	3049
12	Xtrackers MSCI China UCITS ETF	3055
13	Xtrackers MSCI Indonesia Swap UCITS ETF*	3099
14	Xtrackers MSCI Malaysia UCITS ETF	3082
15	Xtrackers MSCI Thailand UCITS ETF	3092
16	Xtrackers MSCI Philippines UCITS ETF	3016
17	Xtrackers MSCI Singapore UCITS ETF	3065
18	Global X China Consumer Brand ETF	2806
19	Global X China Electric Vehicle ETF	2845
20	Global X China Clean Energy ETF	2809

\* This is a synthetic ETF

#### **Category 4 exemptions for exchange participants of The Stock Exchange of Hong Kong Limited**

**Name**

- 1 China Innovation Market Service Company Limited
- 2 China Investment Information Services Limited

#### **Category 5 exemptions for clearing houses that are clearing participants**

**Name**

- 1 China Securities Depository and Clearing Corporation Limited

#### **Other exemptions granted under section 309(2) of the Securities and Futures Ordinance (Cap.571)**

**Name**

- 1 Budweiser Brewing Company APAC Limited