

Exemptions granted under section 309(2) of the Securities and Futures Ordinance (Cap. 571)

Updated as at
31 October 2019

Exemptions granted in respect of (i) corporations issuing securities listed on The Stock Exchange of Hong Kong Limited and (ii) other persons are set out below. These exemptions are granted to (in respect of (i)) the corporations, their substantial shareholders, directors and chief executives and (in respect of (ii)) other persons, from compliance with Part XV of the Securities and Futures Ordinance in respect of the obligations that derive from their interests in the securities listed on The Stock Exchange of Hong Kong Limited. This list is updated every month.

Guidelines setting out the basis on which exemptions are granted under s.309 of the SFO are published on the Part XV page of the SFC's website. The exemptions available fall into 5 categories, which are described in the Guidelines.

Category 1 partial exemptions for corporations whose shares are listed on The Stock Exchange of Hong Kong Limited and on another stock exchange

Name	SEHK stock codes	
1 Manulife Financial Corporation	0945	
2 Standard Chartered PLC	2888	(and 4305, 5348, 5890 and 5986)
3 Prudential plc	2378	
4 Fast Retailing Co., Ltd. - DR	6288	(only applies to Fast Retailing Co., Ltd and its substantial shareholders)

Category 2 exemptions for corporations none of whose shares are listed on The Stock Exchange of Hong Kong Limited

(a) Category 2 exemptions for corporations (none of whose shares are listed on The Stock Exchange of Hong Kong Limited) in respect of their structured products which are currently listed on The Stock Exchange of Hong Kong Limited

Name	Period of exemption (Note 1)	
	Commencing on	Ending on
1 Bank Vontobel AG	31 May 2019	30 May 2020
2 BOCI Aisa Limited	21 December 2007	20 December 2019
3 BNP Paribas Issuance B.V.	21 December 2007	20 December 2019
4 Citigroup Global Markets Europe AG	1 November 2019	31 October 2020
5 Credit Suisse AG	21 December 2007	20 December 2019
6 Goldman Sachs Structured Products (Asia) Limited	21 December 2007	20 December 2019
7 Guotai Junan Securities (Hong Kong) Limited	28 October 2019	27 October 2020
8 Haitong International Securities Company Limited (Note 2)	16 March 2017	15 March 2020
9 J.P. Morgan Structured Products B.V.	21 December 2007	20 December 2019
10 Macquarie Bank Limited	1 January 2008	31 December 2019
11 Morgan Stanley Asia Products Limited	22 July 2019	21 July 2020
12 SG Issuer	1 April 2016	31 March 2020
13 The Hongkong and Shanghai Banking Corporation Ltd	23 December 2007	22 December 2019
14 UBS AG	21 December 2007	20 December 2019

Note 1: Exemptions for these corporations are granted for periods of 12 months (or less) and exempt structured products issued and became listed on The Stock Exchange of Hong Kong Limited during the period of exemption for the life of the structured products.

Note 2: A previous exemption granted to Haitong International Securities Company Limited expired on 6 March 2017 but continues to apply with respect to all structured products issued by Haitong International Securities Company Limited and became listed on The Stock Exchange of Hong Kong Limited between 7 March 2016 and 6 March 2017.

Note 3: Exemptions previously granted to Citigroup Global Markets Holdings Inc., Daiwa Capital Markets Hong Kong Limited, Nomura International plc, SGA Société Générale Acceptance N.V. and Standard Chartered Bank expired on 14 June 2015, 19 July 2016, 9 December 2015, 20 December 2016 and 27 June 2016 respectively. Structured products that were issued by these corporations during the relevant periods had expired.

(b) Category 2 exemptions for corporations (none of whose shares are listed on The Stock Exchange of Hong Kong Limited) in respect of their debt securities issued under debt programmes and listed on The Stock Exchange of Hong Kong Limited

Name	Programme
1 AirAsia Global Notes Limited	US\$1,000,000,000 Multicurrency Medium Term Note Programme
2 Amipeace Ltd.	HK\$10,000,000,000 Medium Term Note Programme
3 AMTD Group Company Limited	U.S.\$500,000,000 Medium Term Note Programme
4 AVIC International Leasing Co., Ltd.	US\$500,000,000 Medium Term Note Programme
5 AVIC International Leasing Co., Ltd.	U.S.\$500,000,000 Medium Term Note Programme
6 Azure Nova International Finance Limited	U.S.\$10,000,000,000 Medium Term Note Programme
7 Azure Orbit II International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
8 Azure Orbit IV International Finance Limited	U.S.\$7,000,000,000 Medium Term Note Programme
9 Bank of China (Luxembourg) S.A.	US\$30,000,000,000 Medium Term Note Programme
10 Beijing Capital Polaris Investment Co. Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
11 Bocom Leasing Management Hong Kong Company Limited	US\$3,000,000,000 Medium Term Note Programme
12 Boom Up Investments Limited	US\$1,000,000,000 Medium Term Note Programme
13 CALC Bonds Limited	US\$3,000,000,000 Medium Term Note Programme
14 Castle Peak Power Finance Company Limited	U.S.\$2,000,000,000 Medium Term Note Programme
15 Cathay Pacific MTN Financing (HK) Limited	US\$2 billion Medium Term Note Programme
16 CCBL (Cayman) 1 Corporation Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
17 CDBI Treasure I Limited	US\$500,000,000 Medium Term Note Programme
18 CDBL Funding 1	U.S.\$3,000,000,000 Medium Term Note Programme
19 CDBL Funding 1	US\$3,000,000,000 Medium Term Note Programme

20	CDBL Funding 2	US\$3,000,000,000 Medium Term Note Programme
21	Central Plaza Development Limited	US\$2,000,000,000 Guaranteed Medium Term Programme
22	CGNPC INTERNATIONAL LIMITED	US\$2,000,000,000 Guaranteed Medium Term Note Programme
23	Champion MTN Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
24	Charming Light Investments Ltd. and United Wealth Development Ltd.	US\$8,000,000,000 Medium Term Note Programme
25	Cheung Kong Finance (MTN) Limited and Joynote Ltd	US\$2,000,000,000 Medium Term Note Programme
26	Cheung Kong Infrastructure Finance (BVI) Limited	US\$2,000,000,000 Euro Medium Term Note Programme
27	China Cinda Finance (2015) I Limited and China Cinda Finance (2015) II Limited	US\$3,000,000,000 Guaranteed Medium Term Note Programme
28	China Cinda Finance (2017) I Limited and China Cinda Finance (2017) II Limited	US\$10,000,000,000 Medium Term Note Programme
29	China Construction Bank (Asia) Corporation Limited	US\$5,000,000,000 Medium Term Note Programme
30	China Development Bank Corporation and China Development Bank Corporation Hong Kong Branch	US\$30,000,000,000 Debt Issuance Programme
31	China Great Wall International Holdings III Limited	U.S.\$6,500,000,000 Medium Term Note Programme
32	China Overseas Finance (Cayman) VIII Limited	US\$2.5 billion medium note programme
33	CICC Hong Kong Finance 2016 MTN Limited	US\$3,000,000,000 Guaranteed Medium Term Note Programme
34	CITIC Securities Finance MTN Co., Ltd.	US\$3 billion Medium Term Note Programme
35	CK Property Finance (MTN) Limited	US\$5,000,000,000 Euro Medium Term Note Programme
36	CMB International Leasing Management Limited	US\$20,000,000,000 Medium Term Note Programme
37	CMB Wing Lung Bank Limited	U.S. \$2,000,000,000 Euro Medium Term Note Programme
38	CMBLEMTN1 Limited	U.S.\$5,000,000,000 Medium Term Note Programme
39	CNPC General Capital Limited	US\$7,000,000,000 Euro Medium Term Note Programme
40	Connery Capital Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
41	COSL Singapore Capital Ltd.	US\$3,500,000,000 Euro Medium Term Note Programme
42	DianJian Haiyu Limited, Dianjian International Finance Limited, Leader Goal International Limited, SEPCO Virgin Limited	U.S.\$2,500,000,000 Medium Term Note and Senior Perpetual Securities Programme
43	Eastern Creation II Investment Holdings Ltd.	US\$6,000,000,000 Guaranteed Euro Medium Term Note Programme
44	Everbright SHK (BVI) Limited	US\$1,000,000,000 Medium Term Note Programme
45	FEC Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
46	Franshion Brilliant Limited	US\$2,000,000,000 Medium Term Note Programme
47	Future Days Limited	US\$3,000,000,000 Medium Term Note Programme
48	GLP China Holdings Limited	HK\$20,000,000,000 Medium Term Note Programme
49	Goodman HK Finance	US\$2,000,000,000 Medium Term Note Programme
50	Greenland Global Investment Limited	US\$5,000,000,000 Guaranteed Medium Term Notes Programme
51	Guangzhou Metro Investment Finance (BVI) Ltd.	US\$3,000,000,000 Guaranteed Medium Term Note Programme
52	HKCG (Finance) Limited	US\$3,000,000,000 Medium Term Note Programme
53	HLP Finance Limited	US\$3 billion Medium Term Note Programme
54	Hong Kong Science and Technology Parks Corporation	HK\$1,707,000,000 Guaranteed Medium Term Note Programme
55	Horse Gallop Finance Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
56	Horsepower Finance Limited	US \$1,200,000,000 Medium Term Note Programme
57	Huarong Finance 2017 Co., Ltd.	U.S.\$14,500,000,000 Medium Term Note Programme
58	Huarong Finance 2019 Co., Ltd.	US\$2,900,000,000 Medium Term Note Programme
59	Huarong Finance II Co., Ltd	US\$11,000,000,000 Medium Term Note Programme
60	Hysan (MTN) Limited	US\$2,500,000,000 Medium Term Note Programme
61	ICBCIL Finance Co. Limited	US\$20,000,000,000 Medium Term Note Programme
62	Industrial and Commercial Bank of Asia (China) Limited	U.S.\$6,000,000,000 Medium Term Note Programme
63	Industrial Bank Co., Ltd.	U.S.\$5,000,000,000 Medium Term Note Programme
64	Inventive Global Investments Limited	U.S.\$3,500,000,000 Medium Term Note Programme
65	Joyous Glory Group Limited	US\$1,000,000,000 Medium Term Note Programme
66	K.Wah International Financial Services Limited	US\$1,000,000,000 Medium Term Note Programme
67	Kunming Traffic Investment Co., Ltd.	US\$2,000,000,000 Medium Term Note Programme
68	Midea Investment Development Company Limited	US\$3,000,000,000 Medium Term Note Programme
69	Modern Terminals Capital Limited	US\$1 billion Medium Term Note Programme
70	MTR Corporation (C.I.) Limited	US\$4 billion Medium Term Note Programme
71	New World China Land Limited	US\$2,000,000,000 Medium Term Note Programme
72	NWD (MTN) Limited	US\$4,000,000,000 Medium Term Note Programme
73	NWS (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
74	PETRONAS Capital Limited	US\$15,000,000,000 Medium Term Note Programme
75	Power Assets Finance Limited	U.S.\$2,000,000,000 Medium Term Note Programme
76	Proven Glory Capital Limited	US\$5,000,000,000 Medium Term Note Programme
77	R-REIT International Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
78	RH International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
79	Rongshi International Finance Limited	US\$3,000,000,000 Medium Term Note Programme
80	Shanghai Pudong Development Bank Co., Ltd., Hong Kong Branch	US\$5,000,000,000 Euro Medium Term Note Programme
81	Sichuan Development Holding Co., Ltd. and Yieldking Investment Limited	US\$2,000,000,000 Medium Term Note Programme
82	Silverbell Asia Limited	US\$1,000,000,000 Medium Term Note Programme
83	Sino (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
84	Sinochem Offshore Capital Company Limited	US\$5,000,000,000 Global Medium Term Note Programme
85	Soar Great Limited	US\$1,500,000,000 Guaranteed Medium Term Note Programme
86	Soar Wise Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
87	Splendid International Investment Limited	U.S.\$1,500,000,000 Medium Term Note Programme
88	Standard Chartered Bank (Hong Kong) Limited and Standard Chartered Bank	US\$50,000,000,000 Debt Issuance Programme
89	State Elite Global Limited	US\$2,700,000,000 Medium Term Note Programme
90	State Grid Overseas Investment (2016) Limited	US\$10,000,000,000 Medium Term Note Programme
91	Sun Hung Kai Properties (Capital Market) Limited	US\$7,000,000,000 Medium Term Note Programme
92	Sunlight REIT MTN Limited	US\$1,000,000,000 Medium Term Note Programme
93	Swire Pacific MTN Financing (HK) Limited	US\$5,000,000,000 Medium Term Note Programme
94	Swire Pacific MTN Financing Limited	US\$5,000,000,000 Medium Term Note Programme
95	Swire Properties MTN Financing Limited	US\$3,000,000,000 Medium Term Note Programme

96	The Hongkong and Shanghai Banking Corporation Limited	US\$20,000,000,000 Medium Term Note Programme
97	The Hong Kong Mortgage Corporation Limited	US\$6,000,000,000 Medium Term Note Programme
98	The Link Finance (Cayman) 2009 Limited	US\$5,000,000,000 Guaranteed Euro Medium Term Note Programme
99	Unican Limited	US\$1,000,000,000 Guaranteed Euro Medium Term Note Programme
100	Urban Renewal Authority	US\$1 billion Medium Term Note Programme
101	Vanke Real Estate (Hong Kong) Company Limited	US\$9,500,000,000 Medium Term Note Programme
102	Westwood Group Holdings Limited	US\$3,000,000,000 Medium Term Note Programme
103	Wharf Finance (BVI) Limited, Wharf Finance (BVI) No.1 Limited, Wharf Finance (No.1) Limited and Wharf Finance Limited	US\$7 billion Medium Term Note Programme
104	Wharf REIC Finance (BVI) Limited	U.S.\$3,000,000,000 Medium Term Note Programme
105	Wheelock Finance (BVI) Limited, Wheelock MTN (BVI) Limited	US\$5 billion Medium Term Note Programme
106	Wuhan Metro Group Co., Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
107	Yuexiu REIT MTN Company Limited	US\$1,500,000,000 Medium Term Note Programme
108	Zhejiang Energy International Limited	USD2,000,000,000 Medium Term Note Programme

(c) Category 2 exemptions for corporations (none of whose shares are listed on The Stock Exchange of Hong Kong Limited) in respect of their particular issue of debt securities which are currently listed on The Stock Exchange of Hong Kong Limited

Name	SEHK Stock codes
1 ABCL Glory Capital Limited	5677
2 Agricultural Development Bank of China	5180
3 Agricultural Development Bank of China	5455
4 Agricultural Development Bank of China	85737
5 Agricultural Development Bank of China	85744
6 Agricultural Development Bank of China	85901
7 Alibaba Group Holding Limited	5842
8 Alibaba Group Holding Limited	5843
9 Alibaba Group Holding Limited	5844
10 Alibaba Group Holding Limited	5845
11 Amber Circle Funding Limited	4586
12 Amber Treasure Ventures Limited	5209
13 Amber Treasure Ventures Limited	5243
14 Anhui Provincial Investment Group Holding Co., Ltd.	5186
15 Anhui Transportation Holding Group (H.K.) Limited	5158
16 Avenue International Holding Limited	40037
17 AVIC International Finance & Investment Limited	4497
18 AVIC International Finance & Investment Limited	5966
19 Axiata SPV1 (Labuan) Limited	4320
20 Azure Orbit III International Finance Limited	5620
21 Azure Orbit III International Finance Limited	5621
22 Azure Orbit International Finance Limited	5909
23 Bank of China (Hong Kong) Limited	4316
24 Baoji Investment (Group) Co., Ltd.	5492
25 Baosteel Financing 2015 Pty Ltd	5866
26 BCEG (HongKong) Company Limited	5145
27 Beijing Enterprises Water Capital Management Limited	4480
28 Beijing Gas Singapore Capital Corporation	5213
29 Beijing State-Owned Assets Management (Hong Kong) Company Limited	5520
30 Beijing State-Owned Assets Management (Hong Kong) Company Limited	5521
31 Bi Hai Co., Ltd.	5185
32 Blue Skyview Company Limited	5364
33 Bluestar Finance Holdings Limited	4550
34 Bluestar Finance Holdings Limited	4556
35 Bluestar Finance Holdings Limited	5089
36 Bluestar Finance Holdings Limited	5599
37 Bluestar Finance Holdings Limited	4487
38 BNY Trust Company of Australia Limited	5766
39 Boom Up Investments Limited	5544
40 Boxinyuan International Co., Ltd.	40036
41 Bright Galaxy International Limited	5927
42 Bright Scholar Education Holdings Limited	5615
43 Cable and Wireless International Finance B.V.	1077
44 Cable and Wireless International Finance B.V.	1078
45 Caiyun International Investment Limited	5947
46 CALC Bond 2 Limited	4300
47 CALC Bond 3 Limited	5390
48 CALC Bond 3 Limited	5391
49 CCB Life Insurance Company Limited	5437
50 CCBL (Cayman) Corporation Limited	5548
51 CCCI Treasure Limited	5893
52 CCTI 2017 Ltd	5258
53 CDBL Funding 1	5849
54 CDBL Funding 1	5850
55 Celestial Dynasty Limited	5594
56 CELESTIAL MILES LIMITED	5706
57 Central Plaza Development Ltd.	5919
58 CGNPC International Limited	5058
59 CGNPC International Limited	5059
60 CGNPC International Limited	5153
61 CGNPC International Limited	5154

62	CGNPC International Limited	5519
63	Chalco Hong Kong Investment Company Limited	5151
64	Chalco Hong Kong Investment Company Limited	5920
65	Chalco Hong Kong Investment Company Limited	5727
66	Chalco Hong Kong Investment Company Limited	5985
67	Chalieco Hong Kong Corporation Limited	5321
68	Chalieco Hong Kong Corporation Limited	5702
69	Chang Development International Limited	5090
70	Chang Development International Limited	5345
71	Chang Development International Limited	5744
72	Changde Economic Construction Investment Group Co., Ltd.	5642
73	Changde Urban Construction and Investment Group Co., Ltd.	5328
74	Changde Urban Construction and Investment Group Co., Ltd.	5509
75	Changde Urban Construction and Investment Group Co., Ltd.	5959
76	Changsha Pilot Investment Holdings Co., Ltd.	5315
77	Charter Style International Limited	85993
78	Chengdu Airport Xingcheng Investment Group Co., Ltd.	5201
79	Chengdu Communications Investment Group Corporation Limited	5062
80	Chengdu Communications Investment Group Corporation Limited	5493
81	Chengdu Economic and Technological Development Zone Construction and Development Co., Ltd.	5714
82	Chengdu Economic and Technological Development Zone State-Owned Assets Investment Co., Ltd.	5751
83	Chengdu Hi-tech Investment Group Co., Ltd.	5206
84	Chengdu Tianfu New Area Investment Group Co., Ltd.	6011
85	Chengdu Xingcheng Investment Group Co., Ltd.	5314
86	Cheung Kong Bond Securities (02) Limited	4559
87	Cheung Kong Bond Securities (03) Limited	4595
88	Cheung Kong Infrastructure Finance (BVI) Limited	5060
89	China Bright (Hong Kong) Limited	5937
90	China Cinda Finance (2014) Limited	5748
95	China CITIC Bank International Limited	4594
91	China CITIC Bank International Limited	5199
92	China CITIC Bank International Limited	5728
93	China CITIC Bank International Limited	5831
94	China CITIC Bank International Limited	5992
96	China Clean Energy Development Limited	5568
97	China Development Bank Corporation	85904
98	China Development Bank Corporation	85905
99	China Development Bank Corporation	85934
100	China Energy Reserve and Chemicals Group Holding Limited	5639
101	China Energy Reserve and Chemicals Group Overseas Company Limited	5503
102	China Great Wall International Holdings IV Limited	5617
103	China Great Wall International Holdings IV Limited	5620
104	China Huadian Overseas Development 2018 Limited	4491
105	China Huadian Overseas Development Management Company Limited	4533
106	China Life Insurance (Overseas) Co., Ltd	5250
107	China Merchants Finance Company Limited	4548
108	China Merchants Finance Company Limited	5554
109	China Merchants Finance Company Limited	5555
110	China Minmetals Corporation	4442
111	China Minmetals Corporation	5009
112	China Overseas Finance (Cayman) II Limited	4503
113	China Overseas Finance (Cayman) III Limited	5988
114	China Overseas Finance (Cayman) III Limited	5989
115	China Overseas Finance (Cayman) V Limited	4579
116	China Overseas Finance (Cayman) V Limited	4580
117	China Overseas Finance (Cayman) VI Limited	5746
118	China Overseas Finance (Cayman) VI Limited	5760
119	China Overseas Finance (Cayman) VII Limited	4475
120	China Overseas Finance (Cayman) VII Limited	4476
121	China Overseas Finance Investment (Cayman) V Limited	5600
122	China Overseas Grand Oceans Finance IV (Cayman) Limited	5106
123	China Railway Resources Huitung Limited	5904
124	China Railway XunJie Co., Limited	5244
125	China Railway XunJie Co., Limited	5692
126	China Resources Power East Foundation Co., Ltd.	4516
127	China Shenhua Overseas Capital Company Limited	5862
128	China Shenhua Overseas Capital Company Limited	5863
129	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5166
130	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5167
131	China Southern Power Grid International Finance (BVI) Co., Limited	4583
132	China Southern Power Grid International Finance (BVI) Co., Limited	4598
133	China State Construction Finance (Cayman) I Limited	5462
134	China State Construction Finance (Cayman) II Limited	5037
135	China State Construction Finance (Cayman) II Limited	5038
136	China Taiping Capital Limited	4582
137	China Taiping New Horizon Limited	5984
138	China Yangtze Power International (BVI) 1 Limited	5300
139	China Yangtze Power International (BVI) 2 Limited	5301
140	Chinalco Capital Holdings Limited	4301
141	Chinalco Capital Holdings Limited	5438
142	Chinalco Capital Holdings Limited	5745
143	Chinalco Finance Holdings Limited	5854
144	Chiyu Banking Corporation Limited	5034
145	Chongqing Casin Group Co., Ltd	5595
146	Chongqing Energy Investment Group Co., Ltd.	5898
147	Chongqing Hechuan City Construction Investment (Group) Co., Ltd.	5088

148	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	4562
149	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	5488
150	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	5699
151	Chongqing Wansheng Economic and Technological Development Zone Development & Investment Group Co., Ltd.	5157
152	Chouzhou International Investment Limited	4542
153	Chouzhou International Investment Limited	5056
154	CITIC Telecom International Finance Limited	5908
155	CLP Power HK Finance Ltd	40044
156	CLP Power HK Finance Ltd.	5740
157	CLP Power Hong Kong Financing Limited	4306
158	CLP Power Hong Kong Financing Limited	4318
159	CMHI Finance (BVI) Co., Ltd	5134
160	CMHI Finance (BVI) Co., Ltd	5135
161	CMOC Capital Limited	5722
162	CNI Capital Limited	85725
163	CNI Capital Limited	85908
164	CNOOC Curtis Funding No. 1 Pty Ltd	5972
165	CNOOC Curtis Funding No. 1 Pty Ltd	5973
166	CNOOC Finance (2003) Limited	2568
167	CNOOC Finance (2011) Limited	4506
168	CNOOC Finance (2011) Limited	4507
169	CNOOC Finance (2012) Limited	4546
170	CNOOC Finance (2012) Limited	4547
171	CNOOC Finance (2013) Limited	5941
172	CNOOC Finance (2013) Limited	5942
173	CNOOC Finance (2013) Limited	40016
174	CNOOC Finance (2015) Australia Pty Ltd	5506
175	CNOOC Finance (2015) Australia Pty Ltd	5507
176	CNOOC Finance (2015) U.S.A. LLC	4478
177	CNOOC Finance (2015) U.S.A. LLC	4479
178	CNOOC Finance (2015) U.S.A. LLC	5508
179	CNOOC Nexen Finance (2014) ULC	5738
180	CNOOC Nexen Finance (2014) ULC	5739
181	CNPC (HK) Overseas Capital Ltd.	4513
182	CNPC (HK) Overseas Capital Ltd.	4514
183	CNPC General Capital Limited	4544
184	CNPC General Capital Limited	5923
185	Coastal Emerald Limited	5529
186	COSCO Pacific Finance (2013) Company Limited	5900
187	COSL Finance (BVI) Limited	4563
188	Cosmos Boom Investment Limited	5534
189	CRCC Chengan Limited	5581
190	CRCC Yupeng Limited	5778
191	CRCC Yuxiang Limited	5945
192	CSCEC Finance (Cayman) I Limited	5574
193	CSCEC Finance (Cayman) II Limited	5232
194	CSCEC Finance (Cayman) II Limited	5233
195	CSCEC Finance (Cayman) II Limited	5669
196	CSCI Finance (2015) Co. Ltd	5564
197	CSSC Capital One Limited	5181
198	CSSC Capital Two Limited	5182
199	Dah Sing Bank, Limited	5316
200	Dah Sing Bank, Limited	5524
201	Dah Sing Bank, Limited	6021
202	Dalian Deta Holding Co., Ltd.	5608
203	Dangdai International Investments Ltd	5027
204	Dawn Victor Limited	5409
205	Dianjian Haixing Limited	5816
206	Dongxing Voyage Company Limited	5643
207	Double Rosy Limited	5829
208	DYNAMIC MISSION INVESTMENTS LIMITED	5386
209	Ease Trade Global Limited	4458
210	Eastern Air Overseas (Hong Kong) Corporation Limited	5022
211	ENN CLEAN ENERGY INTERNATIONAL INVESTMENT LIMITED	5814
212	Excel Capital Global Limited	4496
213	Expand Lead Limited	5165
214	First FZ Bond Limited	5275
215	Fita International Limited	4315
216	FPC Capital Limited	5107
217	FPC Treasury Limited	5924
218	Franshion Brilliant Limited	5030
219	Franshion Brilliant Limited	5229
220	Franshion Brilliant Limited	5289
221	Franshion Brilliant Limited	5347
222	Franshion Brilliant Limited	5385
223	Franshion Brilliant Limited	5447
224	Franshion Brilliant Limited	5472
225	Fujian Zhanglong Group Co., Ltd.	5331
226	Fujian Zhanglong Group Co., Ltd.	5700
227	Full Dragon (Hong Kong) International Development Limited	4428
228	FWD Group Limited	4403
229	FWD Group Limited	5019
230	FWD Group Limited	5221
231	FWD Group Limited	5793
232	FWD Limited	4416

233	FWD Limited	5351
234	FWD Limited	5803
235	Gansu Province Electric Power Investment Group Co., Ltd.	5466
236	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5057
237	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5132
238	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5304
239	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5999
240	Gaoxin International Investment Co., Ltd	5335
241	Gemdale Ever Prosperity Investment Limited	4578
242	GET International Investment Holdings Limited	5381
243	GOHL Capital Limited	5352
244	GRAM International Limited	5587
245	Granda Century Limited	5152
246	Green Fortune Capital Limited	40010
247	Greenland Global Investment Limited	5769
248	Guang Ying Investment Limited	4492
249	Guangsha Holding Group Co., Ltd.	5456
250	Guangxi Communications Investment Group Corporation. Ltd	5880
251	Guangxi Communications Investment Group Corporation. Ltd	5921
252	Guangxi Financial Investment Group Co., Ltd.	5098
253	Guangxi Liuzhou Dongcheng Investment Development Group Co., Ltd.	40013
254	GUANGZHOU Silk Road Investment Limited	5323
255	Guohui International (BVI) Co., Ltd.	6002
256	Guotai Junan Holdings Limited	5853
257	Haichuan International Investment Co., Ltd.	5460
258	Haiguo Xintai Capital Limited	40011
259	Haimen Zhongnan Investment Development (International) Co., Ltd.	4585
260	Haitian (BVI) International Investment Development Limited	5061
261	Haitong International Finance 2015 Limited	5867
262	Haitong International Finance Holdings 2015 Limited	5892
263	Hangzhou Fuyang Chengtong Group (HK) Limited	5469
264	Hanhui International Limited	5657
265	Hanrui Overseas Investment Co Ltd	5081
266	Harvest International Company	5024
267	Hesteel Hong Kong Co., Limited	5417
268	Hong Kong Red Star Macalline Universal Home Furnishings Limited	5278
269	Hong Kong Sukuk 2015 Limited	4226
270	Hong Kong Sukuk 2017 Limited	4233
271	Hongkong Baorong Development Limited	5465
272	Hongkong Electric Finance Limited	4505
273	Hongkong Ideal Investment Limited	40019
274	Hongkong International (Qingdao) Company Limited	5023
275	Hongkong International (Qingdao) Company Limited	5048
276	Hongkong International (Qingdao) Company Limited	5874
277	Hongkong International (Qingdao) Company Limited	5875
278	Hongkong International (Qingdao) Company Limited	40017
279	HongKong Xiangyu Investment Co., Limited	4409
280	Huafa 2019 I Company Limited	5976
281	Huafa Group 2018 I Company Limited	5150
282	Huai'an Traffic Holding Co., Ltd.	6006
283	Huai'an Water Conservancy Holding Group Co., Ltd.	6009
284	Huaibei City Construction Investment Holding Group Company Limited	5474
285	Huaibei City Construction Investment Holding Group Company Limited	5946
286	Huaneng Hong Kong Capital Limited	5000
287	Huantaihu International investment Co., Ltd	5413
288	Huasing International Holdings Co., Limited	4448
289	Huasing International Holdings Co., Limited	4449
290	Huaxin Pharmaceutical (Hong Kong) Co., Ltd.	4447
291	Huaxing Investment Holding Company Limited	5363
292	Huayi Finance I Ltd.	5847
293	Huayi Finance I Ltd.	40042
294	HUAYUAN PROPERTY CO., LTD	5922
295	Huayuan Property Co., Ltd.	5239
296	Hubei Science & Technology Investment Group (Hong Kong) Company Limited	4431
297	Hunan Xiangjiang New Area Development Group Co Ltd	5223
298	Huzhou City Investment Development Group Co., Ltd.	5074
299	Huzhou Communications Investment Group Co., Ltd.	85740
300	ICBCIL Finance Co. Limited	5884
301	IFC Development (Corporate Treasury) Limited	5715
302	IFC Development (Corporate Treasury) Limited	5756
303	IFC Development (Corporate Treasury) Limited	5757
304	IFC Development (Corporate Treasury) Limited	6000
305	Industrial and Commercial Bank of China (Asia) Limited	5686
306	Industrial and Commercial Bank of China (Asia) Limited	5976
307	Industrial and Commercial Bank of China (Macau) Limited	5750
308	Industrial and Commercial Bank of China (Macau) Limited	5797
309	Intellect Aim Management Ltd.	6003
310	Jiangsu Zhongguancun Holding Group (International) Co Ltd	5956
311	Jiangsu Zhongguancun Holding Group (International) Co Ltd	40038
312	Jiangxi Railway Investment Group Corporation	5770
313	JIC Zhixin Limited	5031
314	JIC Zhixin Limited	5032
315	Jinan Hi-tech Holding Group Co., Ltd.	5481
316	Jinan Hi-tech Holding Group Co., Ltd.	40018
317	Jinan West City Investment and Development Group Co., Ltd.	4588
318	Jinke Property Group Co., Ltd.	5292

319	Jinshine International Co., Ltd.	4451
320	Jisco SR Pearl Ltd.	5953
321	Jiuding Group Finance Co., Ltd	5246
322	Joy Treasure Assets Holdings Inc.	5901
323	Joy Treasure Assets Holdings Inc.	5903
324	Joy Treasure Assets Holdings Inc.	6027
325	Joy Treasure Assets Holdings Inc.	40000
326	Keen Idea Global Limited	5393
327	King Power Capital Ltd.	5822
328	King Power Capital Ltd.	5823
329	King Talent Management Limited	5026
330	Korean Reinsurance Company	5815
331	Kunming Dianchi Investment Co. Ltd.	5569
332	Kunming Municipal Urban Construction Investment & Development Co., Ltd.	40026
333	Kunzhi Limited	4464
334	Kunzhi Limited	5100
335	Lai Fung Bonds (2018) Limited	5087
336	Legend Fortune Limited	5128
337	Liang Shan International Development Limited	5585
338	Lingang Wings Inc	5149
339	Liuzhou Dongtong Investment & Development Co., Ltd.	4329
340	LS Finance (2017) Limited	4471
341	LS Finance (2022) Limited	4571
342	LS Finance (2025) Limited	5535
343	LSD Bonds (2017) Limited	5270
344	Luso International Banking Limited	5078
345	Malaysia Sovereign Sukuk Berhad	5894
346	Malaysia Sovereign Sukuk Berhad	5895
347	Malaysia Sukuk Global Berhad	5633
348	Malaysia Sukuk Global Berhad	5634
349	MCC Holding (Hong Kong) Corporation Limited	4483
350	MCC Holding (Hong Kong) Corporation Limited	5210
351	Mei Nian Investment Limited	5932
352	Meilun (BVI) Limited	5625
353	Mianyang Investment Holding (Group) Co., Ltd.	40039
354	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5552
355	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5553
356	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5688
357	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5689
358	Minmetals Land Capital Limited	5933
359	Mudanjiang City Investment Group Co., Ltd.	5297
360	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd	5053
361	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd	5054
362	Nanyang Commercial Bank, Limited	5214
363	New Lion Bridge Company Limited	5290
364	New Momentum International Limited	5997
365	New Rose Investments Limited	5344
366	Nimble City Limited	5144
367	Nuoxi Capital Limited	4401
368	Nuoxi Capital Limited	4402
369	Nuoxi Capital Limited	5365
370	Nuoxi Capital Limited	5436
371	Nuoxi Capital Limited	5484
372	Nuoxi Capital Limited	5704
373	Nuoxi Capital Limited	5996
374	NWD Finance (BVI) Limited	4561
375	NWD Finance (BVI) Limited	5856
376	Oceanwide Holdings International 2015 Co., Limited	5557
377	Oceanwide Holdings International 2017 Co., Limited	5251
378	Oceanwide Holdings International Development III Co., Ltd.	4532
379	Oceanwide Holdings International Development III Co., Ltd.	5196
380	Orient Huizhi Limited	5041
381	Oriental Capital Company Limited	5305
382	Oriental Capital Company Limited	40025
383	Oversea-Chinese Banking Corporation Limited	85912
384	OVPH Limited	5614
385	PB Issuer (No.4) Limited	5525
386	PCGI Intermediate Limited	40040
387	Peixian State-owned Assets Management Company Limited	5336
388	Perfect Point Ventures Limited	4566
389	Petron Corporation	5905
390	PETRONAS Global Sukuk Ltd.	5882
391	PHBS Limited	4325
392	Phoenix Charm International Investment Limited	5332
393	Phoenix Lead Limited	5262
394	Pingdingshan Tianan Coal Mining Co., Ltd.	6004
395	Pingxiang Urban Construction Investment Developing Company	4535
396	Pioneer Reward Limited	4530
397	Poly Real Estate Finance Ltd	4417
398	Poly Real Estate Finance Ltd	5164
399	Poly Real Estate Finance Ltd	5916
400	Power Best Global Investments Limited	5940
401	Powerchina Real Estate Group Ltd.	5471
402	Press Metal (Labuan) Ltd.	5299
403	Prosperous Ray Limited	5994
404	Proven Honour Capital Limited	5516

405	Proven Honour Capital Limited	5641
406	Pterosaur Capital Co., Limited	6021
407	PTT Public Company Limited	2527
408	Qingdao Jiaozhou Bay Development Co., Ltd.	5117
409	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5951
410	Qingdao Jimo District Urban Development Investment Co., Ltd.	5658
411	Qinghai Provincial Investment Group Co., Ltd.	4450
412	Qinghai Provincial Investment Group Co., Ltd.	5380
413	Radiant Access Limited	5205
414	Rail Transit International Investment Company Limited	5644
415	Reward International Investment Limited	5360
416	RKI Overseas Finance 2016 (B) Limited	4309
417	Rongshi International Finance Limited	5448
418	Rongshi International Finance Limited	5449
419	Rosy Delta Investments Limited	5097
420	Rugao Economic and Trade Development Company	40041
421	SCIG International Financial Limited	5174
422	SDG FINANCE I LIMITED	4539
423	SDG FINANCE I LIMITED	5192
424	SDSC Global Finance Limited	5303
425	SDSC International Development Limited	40022
426	SDSC International Finance Limited	5827
427	SF Holding Investment Limited	5130
428	Shaanxi Xixian New Area Fengxi New City Development and Construction (Group) Co., Ltd.	5317
429	Shaanxi Xixian New Area Fengxi New City Development and Construction (Group) Co., Ltd.	5504
430	Shaanxi Xixian New Area Qinhan New City Development and Construction Group Co., Ltd.	5935
431	Shandong Commercial Group Co., Ltd.	5512
432	Shandong Energy Australia Pty Ltd	5248
433	Shandong Iron and Steel Xinheng International Company Limited	4453
434	Shandong Iron and Steel Xinheng International Company Limited	5063
435	Shandong Iron and Steel Xinheng International Company Limited	5470
436	Shandong Iron and Steel Xinheng International Company Limited	5601
437	Shandong Iron and Steel Xinheng International Company Limited	40003
438	Shanghai Commercial Bank Limited	5043
439	Shanghai Commercial Bank Limited	5530
440	Shanghai Electric Power Finance Limited	5558
441	Shanghai Port Group (BVI) Development Co., Limited	4587
442	Shanghai Port Group (BVI) Development Co., Limited	5734
443	Shanghai Port Group (BVI) Development Co., Limited	5741
444	Shanghai Port Group (BVI) Holding Co., Limited	5255
445	Shanghai Port Group (BVI) Holding Co., Limited	5256
446	Shangrao Investment Holdings International Company Limited	4429
447	Shanghai (Hong Kong) International Investments Limited	5435
448	Shanghai (Hong Kong) International Investments Limited	40009
449	Shaoxing City Investment Group Limited	5489
450	Shuifa International Holdings (BVI) Co., Ltd.	5992
451	Si Fa Investment Limited	5490
452	Sichuan Communications Overseas Development Co., Ltd.	5399
453	Sichuan Railway Investment Group Co., Ltd.	5575
454	SIHC International Capital Ltd	5176
455	SIHC International Capital Ltd	5177
456	Sino Trendy Investment Limited	4407
457	Sinochem Global Capital Co., Ltd.	5935
458	Sinochem Overseas Capital Company Limited	4501
459	Sinochem Overseas Capital Company Limited	4502
460	Sino-Ocean Land Treasure Finance I Limited	5782
461	Sino-Ocean Land Treasure Finance II Limited	5868
462	Sino-Ocean Land Treasure Finance II Limited	5869
463	Sino-Ocean Land Treasure III Limited	5276
464	Sino-Ocean Land Treasure IV Limited	5131
465	Sino-Ocean Land Treasure IV Limited	5623
466	Sino-Ocean Land Treasure IV Limited	5712
467	Skyland Mining (BVI) Limited	5228
468	Skysea International Capital Management Limited	4529
469	SmarTone Finance Limited	5917
470	Societe Generale	5947
471	Societe Generale	5959
472	Societe Generale	5960
473	Societe Generale	5961
474	Societe Generale	5962
475	SPIC 2016 US dollar Bond Company Limited	5324
476	SPIC 2016 US dollar Bond Company Limited	5325
477	SPIC 2018 USD Senior Perpetual Bond Company Limited	5453
478	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l.	5193
479	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l.	5194
480	State Grid Overseas Investment (2013) Limited	5954
481	State Grid Overseas Investment (2013) Limited	5955
482	State Grid Overseas Investment (2014) Limited	5742
483	State Grid Overseas Investment (2014) Limited	5743
484	State Grid Overseas Investment (2016) Limited	5649
485	State Grid Overseas Investment (2016) Limited	5650
486	State Grid Overseas Investment (2016) Limited	5651
487	State Grid Overseas Investment (2016) Limited	5652
488	Strategic International Group Limited	5241
489	Sunny Express Enterprises Corp.	5906
490	Sunny Express Enterprises Corp.	40030

491	Sunny Express Enterprises Corp.	40031
492	Sunshine Life Insurance Corporation Limited	5628
493	Sunshine Life Insurance Corporation Limited	5629
494	Suqian Economic Development (BVI) Co., Limited	5302
495	Suqian Economic Development (BVI) Co., Limited	40034
496	Suzhou City Construction Investment Group (Holding) Co., Ltd.	5723
497	Taikang Insurance Group Inc.	5349
498	Taiyuan State-owned Investment Group Company Limited	6005
499	Taizhou Infrastructure Construction Investment Group Co., Ltd	5197
500	The Hongkong and Shanghai Banking Corporation Limited	4323
501	Tianjin Lingang Investment Holding Co., Ltd.	5716
502	Tianjin Real Estate Group Co., Ltd	5286
503	Tianqi Finco Co., Ltd	5036
504	Tibet Financial Leasing Co., Ltd.	5707
505	Tongfang Aqua 2017 Limited	4454
506	Tongfang Aqua Limited	6001
507	Top Luxury Investment Limited	5598
508	Top Wise Excellence Enterprise Co., Ltd.	5402
509	Towngas (Finance) Limited	5749
510	Towngas (Finance) Limited	6018
511	Trade Horizon Global Limited	5133
512	Treasure Generator Limited	5709
513	Triceratops Capital Co., Limited	4408
514	Tsinghua Unic Limited	4413
515	Tsinghua Unic Limited	4414
516	Tsinghua Unic Limited	4415
517	Tuspark Forward Ltd.	4599
518	Tuspark Forward Ltd.	5143
519	Tuspark Forward Ltd.	5857
520	TVB Finance Limited	4577
521	UHI Capital Ltd.	4572
522	Unigroup International Holdings Ltd.	5597
523	Union Life Insurance Co., Ltd.	4322
524	Urumqi Gaoxin Investment and Development Group Co., Ltd.	5073
525	Vertex Capital Investment Limited	5931
526	Wakala Global Sukuk Berhad	4519
527	Wanda Group Overseas Limited	5599
528	Wanda Properties Global Co. Limited	5835
529	Wanda Properties International Co. Limited	6023
530	Want Want China Finance Limited	5446
531	Weifang Urban Construction and Development Investment Group Co., Ltd.	5189
532	Wuhan Financial Holdings (Group) Co., Ltd.	5498
533	Wuhan Metro Group Co., Ltd.	5467
534	Wuhan Metro Group Co., Ltd.	5939
535	Wuhan Real Estate Development & Investment Group Co., Ltd.	5139
536	Wuhan State-owned Assets Management Limited Company	5070
537	Xi Yang Overseas Limited	4565
538	Xi'an Qujiang Culture Holdings Co., Ltd.	40002
539	Xiangxing Overseas Investment Co., Ltd.	5329
540	Xiangyu Investment (BVI) Co., Ltd.	5572
541	Xihui Haiwai I Investment Holdings Co., Limited	5990
542	Xin Fu (BVI) Co., Limited	5985
543	Xingang International Holding Limited	5330
544	Xingsheng (BVI) Company Limited	5172
545	Xingsheng (BVI) Company Limited	5606
546	Xinhu (BVI) 2018 Holding Company Limited	4569
547	Xinhu (BVI) 2018 Holding Company Limited	5497
548	Xinhu (BVI) 2018 Holding Company Limited	5889
549	Xinhu (BVI) Holding Company Limited	5382
550	Xinjiang Financial Investment Co., Ltd.	5907
551	Xinjiang Transportation Construction Investment Holding Co. Ltd.	5570
552	Xinjiang Transportation Construction Investment Holding Co. Ltd.	5952
553	Xinjiang Zhongtai (Group) Co., Ltd.	4567
554	Xinxing Industry Investment Company Limited	5065
555	Yancoal International Resources Development Co., Limited	4552
556	Yancoal International Resources Development Co., Limited	5424
557	Yancoal International Resources Development Co., Ltd	5461
558	Yancoal International Trading Co., Limited	5753
559	Yango (Cayman) Investment Limited	4470
560	Yango (Cayman) Investment Limited	5414
561	Yangzhou Economic and Technological Development Zone Development Corporation	5513
562	Yangzhou Urban Construction State-Owned Assets Holding (Group) Co., Ltd.	5072
563	Yankuang Group (Cayman) Limited	5044
564	Yankuang Group (Cayman) Limited	5705
565	Yichang High-Tech Investment Development Co., Ltd.	5495
566	Yihua Overseas Investment Ltd	5293
567	Yinchuan Tonglian Capital Investment Operation Co., Ltd.	5247
568	Yingde Gases Investment Limited	5793
569	YONGDA Investment Limited	5543
570	Yunnan Energy Investment Overseas Finance Company Ltd.	5012
571	Yunnan Energy Investment Overseas Finance Company Ltd.	5013
572	Yunnan Energy Investment Overseas Finance Company Ltd.	5326
573	Yunnan Energy Investment Overseas Finance Company Ltd.	5327
574	Yunnan Energy Investment Overseas Finance Company Ltd.	5457
575	Yuyao Economic Development Zone Construction Investment and Development Co., Ltd	5494
576	Yuyao Economic Development Zone Construction Investment and Development Co., Ltd	5998

577	Zhangzhou Jiulongjiang Group Co., Ltd.	5730
578	Zhangzhou Transportation Development Group Co., Ltd.	5584
579	Zhaobing Investment (BVI) Limited	6018
580	Zhaohai Investment (BVI) Limited	5547
581	Zhaojin Mining International Finance Limited	5851
582	Zhejiang Baron (BVI) Company Limited	5146
583	Zhengzhou Metro Group Co., Ltd.	5496
584	Zhengzhou Real Estate Group Co., Ltd.	40014
585	Zhenjiang Cultural Tourism Industry Group Co., Ltd.	5319
586	Zhongtai International Bond 2017 (BVI) Company Limited	5263
587	Zhongtai International Finance (BVI) Company Limited	5919
588	Zhongyuan Sincere Investment Co. Ltd.	5095
589	Zhongyuan Sincere Investment Co. Ltd.	5596
590	Zhoushan City Investment Group Corporation Limited	5487
591	Zhuji Development Limited	5480
592	Zhuzhou City Construction Development Group Co., Ltd.	4531
593	Zijin International Capital Company Limited	5188

Category 3 exemptions for open-ended CIS in corporate form whose shares are listed on The Stock Exchange of Hong Kong Limited

	Name	SEHK Stock codes
1	Xtrackers FTSE Vietnam Swap UCITS ETF*	3087
2	Xtrackers FTSE China 50 UCITS ETF	3007
3	Xtrackers MSCI Brazil UCITS ETF	3048
4	Xtrackers MSCI Korea UCITS ETF	2848
5	Xtrackers MSCI Pacific ex Japan UCITS ETF	3043
6	Xtrackers MSCI Russia Capped Swap UCITS ETF*	3027
7	Xtrackers MSCI Taiwan UCITS ETF	3036
8	Xtrackers MSCI USA Swap UCITS ETF*	3020
9	Xtrackers MSCI World Swap UCITS ETF*	3019
10	Xtrackers Nifty 50 Swap UCITS ETF*	3015
11	Xtrackers CSI300 Swap UCITS ETF*	3049
12	Xtrackers MSCI China UCITS ETF	3055
13	Xtrackers MSCI Indonesia Swap UCITS ETF*	3099
14	Xtrackers MSCI Malaysia UCITS ETF	3082
15	Xtrackers MSCI Thailand UCITS ETF	3092
16	Xtrackers MSCI Philippines UCITS ETF	3016
17	Xtrackers MSCI Singapore UCITS ETF	3065

* This is a synthetic ETF

Category 4 exemptions for exchange participants of The Stock Exchange of Hong Kong Limited

	Name
1	China Innovation Market Service Company Limited
2	China Investment Information Services Limited

Category 5 exemptions for clearing houses that are clearing participants

	Name
1	China Securities Depository and Clearing Corporation Limited

Other exemptions granted under section 309(2) of the Securities and Futures Ordinance (Cap.571)

	Name
1	Budweiser Brewing Company APAC Limited