

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018**

CE Name	CE No.	Licence Type	Types of Regulated Activity
Aden Financial Group Limited	BMO727	Licensed Corporation	1, 2
Advent Corporate Finance Limited	BMV734	Licensed Corporation	6
Aspex Management (HK) Limited	BNF562	Licensed Corporation	9
BAN LOONG ASSET MANAGEMENT LIMITED	BMV320	Licensed Corporation	4, 9
Billion Capital Partners Limited	BNC742	Licensed Corporation	9
CNCBI Asset Management Limited	BMQ774	Licensed Corporation	4, 9
Dolo Financial Group Limited	BMZ403	Licensed Corporation	1
GCI Asset Management, HK Limited	BNG130	Licensed Corporation	9
Green Park Corporate Finance Co., Limited	BMZ527	Licensed Corporation	6
Green Park Financial Co., Limited	BMZ533	Licensed Corporation	1, 4, 9
Hongkong Yifu International Futures Limited	BMN652	Licensed Corporation	2
ICAP Securities Hong Kong Limited - ICAP Equities Asia Limited (ARN042) and ICAP Securities Hong Kong Limited (AME961) amalgamated on 1 October 2018, pursuant to the relevant provisions of the Companies Ordinance (Chapter 622). The amalgamated company is ICAP Securities Hong Kong Limited (BNL468).	BNL468	Licensed Corporation	1, 2, 7
International Standard Resources Securities Limited	BJX588	Licensed Corporation	1, 4
Minmetals & Jingyi Financial Services Limited	BMV692	Licensed Corporation	2, 5
Parchment Capital Limited	BMR314	Licensed Corporation	4, 9
Parchment Investment Limited	BMR317	Licensed Corporation	1
Patria Investments Hong Kong Limited	BML420	Licensed Corporation	1
Uni-Century International Asset Management Limited	BMX859	Licensed Corporation	4, 9
Uni-Century International Securities Limited	BMX861	Licensed Corporation	1
Venus Sky Investment Limited	BNB391	Licensed Corporation	1
WE Securities Limited	BMQ962	Licensed Corporation	1
Xin Yongan International Securities Company Limited	BNB381	Licensed Corporation	1
ABRAMOWITZ Alex Myles	BNN479	Licensed Representative	1, 4, 6
ADAMS Michael Geoffrey William	AVO883	Licensed Representative	1, 6
AHN Hyung Tae	BDZ932	Licensed Representative	1, 4, 6
ALEXANDER Laurence	BNL492	Licensed Representative	4
AMIN Biren Navnit	BNL370	Licensed Representative	4
ANG King Lun	BNL406	Licensed Representative	1, 2
ARIMA Daiki	BNL586	Licensed Representative	1, 4, 6
ARIMITSU Ken	ATI163	Licensed Representative	9
ARTHUR Anthony James	BNM919	Licensed Representative	1, 4
ASHWORTH William David	BBN729	Licensed Representative	1, 4
AU Ka Chun	BNO609	Licensed Representative	1
AU Sau Ha	BNO579	Licensed Representative	1, 4
AU YEUNG Ting	BNL447	Licensed Representative	1
BAI Jie	BGB222	Licensed Representative	1, 4, 6
BANGLANI Saurabh	BNN025	Licensed Representative	1
BAO Jing	BNK500	Licensed Representative	1
BAO Shijie	BNN323	Licensed Representative	4, 5, 9
BAO Weiwei	AYS974	Licensed Representative	4, 9
BAUER Brandon Charles	BNI755	Licensed Representative	1, 6
BERDER Antoine Eric Francois Marie	BNN308	Licensed Representative	1, 4, 9
BHAMMER Vishal Mahendra Kumar	AHF765	Licensed Representative	9
BI Chen	BNF184	Licensed Representative	1, 4
BLEHAUT Gabriel Rong Yun	BNM173	Licensed Representative	1, 4
BOSMA Wouter Hendrikus Nicolaas	BNO573	Licensed Representative	1, 2, 7
BRACH Loic Yves Aurelien	BNP881	Licensed Representative	1, 4, 9
BRAND Mathieu Edouard Nicolas	BNO502	Licensed Representative	6

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018**

CE Name	CE No.	Licence Type	Types of Regulated Activity
BROWN Mark Sturdevant	BNH642	Licensed Representative	4
CAI Han	BNO401	Licensed Representative	4
CAI Ziqi	BNM212	Licensed Representative	1, 2
CAO Yutian	BNJ876	Licensed Representative	6
CHAN Alison Chiu Yu	BNO027	Licensed Representative	1, 4
CHAN Hop Keung	AND455	Licensed Representative	1
CHAN Janice Wing Yee	APV159	Licensed Representative	4, 9
CHAN Ka Chun	BNK737	Licensed Representative	6
CHAN Ka Kit	BNO619	Licensed Representative	1, 6
CHAN Lai Yin	BNN404	Licensed Representative	1, 2, 3
CHAN Mo Tze, Wendy	AFL901	Licensed Representative	1, 4
CHAN Sau Shan	AGC410	Licensed Representative	4, 9
CHAN Shiu Chung	AXR111	Licensed Representative	4, 9
CHAN Suet Kwan Joyce	BNP457	Licensed Representative	1
CHAN Tsz Him	BNJ067	Licensed Representative	1, 4
CHAN Wai Man	BFH414	Licensed Representative	1, 2
CHAN Woei	BFA802	Licensed Representative	9
CHAN Yin Yin	AME419	Licensed Representative	1, 4
CHAN Zhen Wei	BGH259	Licensed Representative	1, 2, 9
CHANG Chih Jou	BNP020	Licensed Representative	1, 4, 6
CHAO Yi	BNI723	Licensed Representative	1, 4
CHAROENSIDDHI Kasemsak	AML175	Licensed Representative	4, 9
CHEN Agnes	AWB693	Licensed Representative	1, 4, 9
CHEN Boyu	BKQ751	Licensed Representative	1, 4, 6
CHEN CEN Charlis	BNQ742	Licensed Representative	1
CHEN Da	BNL355	Licensed Representative	1, 4, 6
CHEN Jie	BND493	Licensed Representative	1, 2
CHEN Jingyao	BNK372	Licensed Representative	1
CHEN Juncheng	BNF077	Licensed Representative	1, 4
CHEN Long	BNN107	Licensed Representative	1, 4, 9
CHEN Minzhi	AYJ302	Licensed Representative	1, 4
CHEN Ping	AIB835	Licensed Representative	1, 2, 4, 5
CHEN Shengda	BNK583	Licensed Representative	1, 4
CHEN Shizhe	BMR357	Licensed Representative	1, 2
CHEN Sijia	BGL658	Licensed Representative	1, 4, 6
CHEN Tianyun	BNI800	Licensed Representative	1, 2, 9
CHEN Wei Lun	BNP013	Licensed Representative	1, 4, 6
CHEN Wenbo	BNK779	Licensed Representative	1, 4
CHEN Xi	BDA727	Licensed Representative	1
CHEN Xu	BNO684	Licensed Representative	4
CHEN Yau Ming	BNM201	Licensed Representative	1, 4, 6
CHEN Yifei	BNJ939	Licensed Representative	1, 2
CHEN Yilan	BNP022	Licensed Representative	1, 4, 6
CHEN Yingyong	BNL497	Licensed Representative	9
CHEN Yiping	AYT134	Licensed Representative	1, 4, 9
CHEN Zhenyang	BNE480	Licensed Representative	1, 4
CHEN Zhipeng	BNL458	Licensed Representative	1, 4
CHENG Ka Yan	BNO768	Licensed Representative	2, 5
CHENG Karen	BNP158	Licensed Representative	1, 6
CHENG Mee Mee, Lina	ASY524	Licensed Representative	9
CHENG Wai Bun	ARD828	Licensed Representative	2
CHENG Xiaohan	BNO501	Licensed Representative	4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
CHENG Yin-Ting	BNO320	Licensed Representative	1, 4, 9
CHEUNG Chi Shan	BNN918	Licensed Representative	9
CHEUNG Hor Yee, Patricia	ANE746	Licensed Representative	1, 4, 9
CHEUNG Ka Yue	BNL368	Licensed Representative	1
CHEUNG Nga Wun Amanda	BNP733	Licensed Representative	1, 6
CHEUNG Ngai Yin	BNK571	Licensed Representative	10
CHEUNG Siu Man	BNO146	Licensed Representative	1, 4
CHEUNG Tsung On Steven	BNN717	Licensed Representative	1, 4
CHEUNG Tsz Lam	BNJ550	Licensed Representative	1, 4
CHEUNG Wing Ho, Billy	ACJ034	Licensed Representative	1, 4
CHEUNG Yau Lung	AQA982	Licensed Representative	1, 9
CHEUNG Yee Shun, Wilson	AOJ215	Licensed Representative	1
CHIANG Chung Yin	BNO489	Licensed Representative	1
CHIN Sze Wei	BNN527	Licensed Representative	1, 4, 9
CHIRAPAISARNKUL Arin	BNK986	Licensed Representative	1, 2
CHIU Chun Yu	BNO286	Licensed Representative	1, 2
CHIU Kai Hei	BNL469	Licensed Representative	6
CHIU Lai Tim	BNO181	Licensed Representative	1
CHOI Ming Tai	BDC658	Licensed Representative	1, 4
CHOI Yu Pong	BNN718	Licensed Representative	1
CHONG Eileen	BDZ111	Licensed Representative	1
CHOU Yun-san	BNN782	Licensed Representative	1, 6
CHOW Kin Fei	BNO120	Licensed Representative	4, 9
CHOW Lok Yim	BNN348	Licensed Representative	1, 4, 6
CHOW Wing Nga Alison	AXR663	Licensed Representative	1
CHOW Yuen Lam, Sharon	ANE701	Licensed Representative	1
CHU Kai Lun	BNJ736	Licensed Representative	1
CHU Shan Shan, Marjorie	AJK801	Licensed Representative	1, 4, 9
CHUI Lai Chun Hadiee	BKK042	Licensed Representative	1
CHUI Sin Ying	BNP730	Licensed Representative	1, 4, 9
CHUNG Chi Ho	BNP023	Licensed Representative	1
CHUNG Ka Yu	BNO907	Licensed Representative	10
CHUNG Yan Yee, Andrew	ACW577	Licensed Representative	4
COCHI Xavier Claude Andre	BNM946	Licensed Representative	1, 2
COULDREY Ranulf David Richard	BKY385	Licensed Representative	1, 4
DAYAL Rohan	BNO412	Licensed Representative	1, 6
DENG Qitong	BNJ835	Licensed Representative	1, 2
DENG Yu	BNP015	Licensed Representative	1, 2
DING Ning	BNN540	Licensed Representative	1, 4
DONG Jianqiang	BNG685	Licensed Representative	1
DONG Jingjing	BNN569	Licensed Representative	1, 4, 6
DONG Michael	BNN820	Licensed Representative	1, 6
DONG Xuqing	BNK123	Licensed Representative	1, 4, 9
DU Haiyang	BNO911	Licensed Representative	6
DU PLESSIS Kim Alexandra	BNO800	Licensed Representative	1, 4
DUAN Sinong	BNI585	Licensed Representative	1
DUBIN Jared Ross	BNN537	Licensed Representative	9
FAN Fei Fei, Philip	BGJ235	Licensed Representative	9
FAN Jingran	BNB893	Licensed Representative	1, 6
FAN Shuai	BNN360	Licensed Representative	4
FAN Xinyun	BNE419	Licensed Representative	4, 9
FAN Ying	AZN142	Licensed Representative	9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018**

CE Name	CE No.	Licence Type	Types of Regulated Activity
FANG Fang	BFX004	Licensed Representative	9
FENG Jiarui	BNH515	Licensed Representative	4
FENG Yu	BNO848	Licensed Representative	9
FOK Chun Mun	BNJ993	Licensed Representative	1
FONG Yuen Yi	BNO898	Licensed Representative	10
FRAIRE Matias Gabriel	BNM192	Licensed Representative	1, 6
FU Zhu	BNH893	Licensed Representative	1, 4, 9
FUNG Bo Yi	BNP169	Licensed Representative	1, 2, 4, 5
FUNG Sin Ling	BKT266	Licensed Representative	9
GAO Boyang	BNO889	Licensed Representative	10
GAO Lu	BNO307	Licensed Representative	1, 2
GAO Tianhang	BNH282	Licensed Representative	4
GENG Jiachen	BNM912	Licensed Representative	1, 4, 9
GOH WEE LIAM	BNK731	Licensed Representative	1, 4, 9
GOMA Joseph Auger	BKC778	Licensed Representative	1
GOUGH James Ashley Peter	AHJ403	Licensed Representative	1, 2, 7
GRAFTON James Christopher	AQJ413	Licensed Representative	1
GREEN Roni Simon	BNL480	Licensed Representative	9
GU Lijian	BNO716	Licensed Representative	1, 4, 6
GU Wentian	BNH300	Licensed Representative	4
GUO Xinlong	BNN747	Licensed Representative	1, 4, 9
GUO Yifan	BNG244	Licensed Representative	4
GUO Yingqiang	BNO844	Licensed Representative	1, 4
GUO Zhang	BNL519	Licensed Representative	1
GUO Zhen	BNN906	Licensed Representative	4
GUPTA Pushkar	BNP768	Licensed Representative	1, 2
HAO Boyuan	BNM908	Licensed Representative	1, 4, 9
HAO Jimmy Hsien Yi	ATM933	Licensed Representative	1, 4, 9
HARVIE Andrew Charles	BMH512	Licensed Representative	1
HAYASHI Ryoichi	BBW086	Licensed Representative	4, 9
HE Lizhou	BNP170	Licensed Representative	1, 4, 9
HE Min	AZK772	Licensed Representative	1, 4
HE Muzhi	BND561	Licensed Representative	1, 6
HE Shan	BNO483	Licensed Representative	1, 4
HE Sida	BNN764	Licensed Representative	1, 6
HE Tian	BNO861	Licensed Representative	4, 9
HE Yingzhuang	BNO186	Licensed Representative	1, 2, 4
HE Ziyu	BNF440	Licensed Representative	1, 4
HILL Jason Henry Carleton	BNJ019	Licensed Representative	1, 9
HIROKAWA Yu	BNO582	Licensed Representative	9
HIRST Linmarie	BNM172	Licensed Representative	2, 5
HO Choy Mei Sarah	BNO303	Licensed Representative	1, 2
HO Kar Ming	BNN793	Licensed Representative	1, 2
HO Kin Ning	BNO846	Licensed Representative	1
HO Lok Lam	BNN771	Licensed Representative	1
HO Ting Lok	BNN775	Licensed Representative	1, 4
HO Wing Shan	AED583	Licensed Representative	1, 4
HOGAN George Timothy	AQA108	Licensed Representative	4
HOLMES John Palmer	ADW190	Licensed Representative	4, 9
HON Chun Jenny	BJW876	Licensed Representative	1, 4
HONG Shaohuai	ATI031	Licensed Representative	4
HONG Yufan	BNK793	Licensed Representative	2

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018**

CE Name	CE No.	Licence Type	Types of Regulated Activity
HOU Linlin	BNO901	Licensed Representative	4, 9
HSIA Wucheng	BNJ760	Licensed Representative	4
HSU Tsung En	BNO998	Licensed Representative	1, 4
HU Hanwen	BDZ919	Licensed Representative	1, 6
HU Huiying	BNF828	Licensed Representative	4, 9
HU Qiuyu	BNM917	Licensed Representative	4
HU Wai Lee, Barry	AHF352	Licensed Representative	1
HU Zhen	BNM906	Licensed Representative	4, 5, 9
HU Zhihui	BNN545	Licensed Representative	9
HUA Shitian	BMQ575	Licensed Representative	1, 4, 6
HUANG Chao	BGT763	Licensed Representative	1, 4, 9
HUANG Haole	BNO868	Licensed Representative	1
HUANG Kaihong	BNJ746	Licensed Representative	4
HUANG Kunqi	BNN760	Licensed Representative	1, 6
HUANG Sunpeng	BNH090	Licensed Representative	1, 6
HUANG Xin	BMV679	Licensed Representative	6
HUANG Xinyi	BNC835	Licensed Representative	1, 6
HUANG Xudong	BND548	Licensed Representative	1, 4
HUANG Ying	BNP292	Licensed Representative	1, 2, 4
HUANG Yingcong	BNN967	Licensed Representative	1
HUANG Yunlu	BNI811	Licensed Representative	1, 2, 7
HUANG Zheng	BNF933	Licensed Representative	1, 2
HUI Kwun Sing	BND854	Licensed Representative	9
HUNG Chun Ho	BNO534	Licensed Representative	1, 2
HUNG Pui Lam	BNN072	Licensed Representative	1
HUNG Shu Ying	BIA120	Licensed Representative	4
HUO Dengjin	BAN046	Licensed Representative	6
IWAO Kentaro	BLC869	Licensed Representative	1, 2
IYER Ananthanarayan Srinivasan	BNL005	Licensed Representative	2, 5
JAIN Shubham	BNM913	Licensed Representative	9
JIAN Carson Shaoyang	BNF871	Licensed Representative	1, 4, 9
JIAN Qiao	BNO564	Licensed Representative	1, 4, 6
JIANG Di	BNN791	Licensed Representative	1, 6
JIANG Haiyuan	BNK729	Licensed Representative	1, 2, 4
JIANG Ran	BNL365	Licensed Representative	1, 4, 6
JIANG Xuefeng	BNN572	Licensed Representative	1, 4
JIM Lik Fu	BNN109	Licensed Representative	1, 4, 5, 9
JIN Jiaqi	BNN353	Licensed Representative	1, 2
JO Kwon Il	BNM951	Licensed Representative	4, 9
JUAN Hillary Ching	BNM921	Licensed Representative	1, 4
JUNG Yi-Ping	ATR846	Licensed Representative	4
KAN Ching Fai, Mark	BNN853	Licensed Representative	1, 4, 9
KANG Haojun	BNO596	Licensed Representative	1, 4
KANG Lin	BNN786	Licensed Representative	4, 9
KANG Seung Yeon	BNN541	Licensed Representative	1, 4
KANG You Jin	BNN544	Licensed Representative	1, 4
KEDIA Prashant Alok	BNN909	Licensed Representative	1
KELLY	BMT541	Licensed Representative	1, 4
KIM Joon Young	BNJ268	Licensed Representative	1, 4
KIM Min Soo	BNP458	Licensed Representative	4, 9
KIM Warren Ho-Joong	AVY508	Licensed Representative	1
KLEIN TANK Alexander Henricus Antonius	BNN177	Licensed Representative	9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018**

CE Name	CE No.	Licence Type	Types of Regulated Activity
KO Wing Man	BNO090	Licensed Representative	9
KONG Kerwin Uy	BNK688	Licensed Representative	6
KOSOWSKY Tamara Terri	BNI737	Licensed Representative	1
KOTECHA Anuj Ashok	AXS269	Licensed Representative	1
KOTWAL Rahul	BNO319	Licensed Representative	1, 4
KUBOTA Akiko	BNJ337	Licensed Representative	1, 2, 9
KURODA Atsushi	BNN818	Licensed Representative	10
KWAN Kai Yiu	AVH540	Licensed Representative	1, 2
KWOK Hang Kin	BNN101	Licensed Representative	1, 2
KWOK Ka Wo	BNN289	Licensed Representative	1, 2
KWOK Tony	BNO302	Licensed Representative	4, 9
KWONG Ben Man Yip	AUS297	Licensed Representative	4, 9
LAI Ting Yuen	BNP268	Licensed Representative	4, 5, 9
LAI Tung Shing	BNP374	Licensed Representative	1, 2
LAI Yi-Ting	BNP460	Licensed Representative	1, 2, 7
LAI Yuen Yan	BNK568	Licensed Representative	1
LAM Choi Ha	AED681	Licensed Representative	1, 4
LAM Chuen	ARN384	Licensed Representative	1
LAM Gary Kang Wai	BAT451	Licensed Representative	1, 4, 9
LAM Ka Yiu	BNL134	Licensed Representative	1, 4
LAM Kong Wah	BNO549	Licensed Representative	1, 2, 4, 9
LAM Lam	BNO498	Licensed Representative	1, 4, 9
LAM Sen Yong	BMQ515	Licensed Representative	1, 2, 9
LAM Wing Sze	BAK601	Licensed Representative	1
LAM Yuk Shing	BNO273	Licensed Representative	1, 6
LAMARLERE Remy	BNP304	Licensed Representative	9
LAU Hoi Chi	BGN354	Licensed Representative	3
LAU Chi Hong	BNO588	Licensed Representative	4, 9
LAU Chun Ho	BNO450	Licensed Representative	1
LAU Kwan Lok Locky	BNN600	Licensed Representative	4, 9
LAU Sam Ying, Lilian	ALB597	Licensed Representative	1
LAU Tung Tung, Christy	BNK697	Licensed Representative	1, 4, 9
LAU Yu Leong	BNL309	Licensed Representative	1, 2
LAW Chu Lok	BNP526	Licensed Representative	4
LAW Yin Ling	BNO055	Licensed Representative	4, 9
LE Xuan Hai Au	BNN722	Licensed Representative	1, 3
LEE Chia-Hao	BNP707	Licensed Representative	1, 2, 4
LEE Ching Hin	BNP855	Licensed Representative	1, 2
LEE Eun Young	APW799	Licensed Representative	4
LEE Heng Wei	BNN844	Licensed Representative	1, 6
LEE Jamin	BNN326	Licensed Representative	1
LEE Ka Yee, Jacqueline	AOS409	Licensed Representative	1, 2
LEE Man Yee Cynthia	BNO494	Licensed Representative	1
LEE Pei Zhen Shynna April	BNJ257	Licensed Representative	1, 2, 3
LEE Siu Lun, Stanley	BKZ014	Licensed Representative	1, 2
LEE Wai Ling	BNM266	Licensed Representative	4
LEE Wai Tung	BNI042	Licensed Representative	1
LEE Wing Man	BNN318	Licensed Representative	1
LEIGH Anthony Ronald	AFP275	Licensed Representative	1, 4
LEUNG Chi Man Fred	BNN959	Licensed Representative	1, 2, 4
LEUNG Hoi Yan	BNO070	Licensed Representative	1, 2
LEUNG Ka Sin	BNI693	Licensed Representative	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018**

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEUNG Kai Pong	BCG375	Licensed Representative	1
LEUNG Kiu Sum	ALI069	Licensed Representative	9
LEUNG Tak Wei, Kelvin	BKB282	Licensed Representative	1, 4
LEUNG Wai Chung	BNO002	Licensed Representative	1, 2, 3, 4
LEUNG Wing Shan	BNM218	Licensed Representative	4
LI Alan Meng	BNL366	Licensed Representative	1, 4, 6
LI Cheng	BNO577	Licensed Representative	1, 4
LI Chi Wa	BNN723	Licensed Representative	1, 2
LI Dengji	BMT588	Licensed Representative	1, 6
LI Jiewei	BNL142	Licensed Representative	1
LI Jingyi	BNN754	Licensed Representative	1, 6
LI Mengjie	BNG397	Licensed Representative	4, 9
LI Mengzhu	BNM966	Licensed Representative	1, 6
LI Min	BNN624	Licensed Representative	9
LI Qiao	BNO029	Licensed Representative	1, 2
LI Renwang	BNN146	Licensed Representative	4, 9
LI Rong	BNF381	Licensed Representative	1, 2
LI Seymour	BNO608	Licensed Representative	1, 6
LI Shenghan	BNO957	Licensed Representative	1, 4, 9
LI Shilin	BNJ940	Licensed Representative	1
LI Shuangxing	BGC184	Licensed Representative	1, 9
LI Shuhao	BNO837	Licensed Representative	6
LI Siying	BHU352	Licensed Representative	1
LI Xiangda	BND696	Licensed Representative	1
LI Xianglong	BNN295	Licensed Representative	1, 4
LI Xiao	BBR333	Licensed Representative	9
LI Yan Ying	BNL092	Licensed Representative	1
LI Yang	BNG506	Licensed Representative	1, 6
LI Yi	BNJ999	Licensed Representative	1, 6
LI Yidian	BNJ737	Licensed Representative	4
LI Yin Ting Martin	BNP406	Licensed Representative	1, 2, 9
LI Ying	BEJ773	Licensed Representative	1
LI Yishan	BNK001	Licensed Representative	4
LI Yuanshan	BNN773	Licensed Representative	1
LI Yuk Kwan	BNM904	Licensed Representative	6
LI Yunlu	BNN133	Licensed Representative	1, 4, 6
LI Yuyang	BNN892	Licensed Representative	1, 4, 6
LI Zicong	BNK081	Licensed Representative	1, 6
LI Zining	BNL590	Licensed Representative	1, 4, 9
LI Ziyi	BNP008	Licensed Representative	4, 9
LI Ziyue	BNO562	Licensed Representative	1, 4
LIAN Minwei	BFF356	Licensed Representative	1
LIANG Qingyang	BNN877	Licensed Representative	1, 6
LIANG Shiyuan	BNJ578	Licensed Representative	9
LIANG Weiyuan	BNF426	Licensed Representative	4, 9
LIANG Yuanyuan	BNL111	Licensed Representative	10
LIAO Ling	BNH159	Licensed Representative	4
LIAO Shuchen	BNF982	Licensed Representative	1, 4, 6
LIAO Yixing	BNN849	Licensed Representative	1, 6
LIN Ang-Chi	BNJ382	Licensed Representative	4
LIN Binbin	BFY985	Licensed Representative	1, 6
LIN Huijuan	BNM899	Licensed Representative	4, 9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018**

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIN Tong	BNO843	Licensed Representative	6
LIN Xinxiang, Leroy	BNL351	Licensed Representative	2, 5
LING Gabriel Shui Cheung	BNL459	Licensed Representative	10
LING Yee Man, May	ANG116	Licensed Representative	1, 2
LIU Chang	BNB249	Licensed Representative	1, 2, 4
LIU Chengxuan	BNL367	Licensed Representative	1, 4, 6
LIU Feiyang	BNJ990	Licensed Representative	1, 6
LIU Guanqi	BNL452	Licensed Representative	1, 4, 6
LIU Ho Fung Alvin	BNN657	Licensed Representative	9
LIU Jia	BNB004	Licensed Representative	4
LIU Jingyi	BNO058	Licensed Representative	1, 2
LIU Jining	BNK980	Licensed Representative	1, 6
LIU Lianrui	BNO584	Licensed Representative	1, 6
LIU Ning	BNO014	Licensed Representative	1, 6
LIU Qiong	BNN250	Licensed Representative	4, 5, 9
LIU Ruiqi	BNF981	Licensed Representative	1, 4, 6
LIU Sha	BNG756	Licensed Representative	1, 4, 6
LIU Shangquan	BNG036	Licensed Representative	1, 6
LIU Shuyi	BNL432	Licensed Representative	1, 4
LIU Wai Hing, William	AEQ575	Licensed Representative	1, 4, 9
LIU Wujun	BNL008	Licensed Representative	1, 4, 6
LIU Xi	BKW445	Licensed Representative	1, 4, 6
LIU Xiyu	BNN606	Licensed Representative	4, 9
LIU Xiyuan	BNO403	Licensed Representative	1, 4
LIU Yang	BNO842	Licensed Representative	1, 6
LIU Yicheng	BNI544	Licensed Representative	1, 6
LIU Yinan	BNF250	Licensed Representative	1, 6
LIU Yixi	BNN401	Licensed Representative	1
LIU Zhengye	BNN048	Licensed Representative	1, 4, 6
LIU Zongyuan	BNO601	Licensed Representative	6
LO Wai Ho	BEG043	Licensed Representative	1, 4
LONG Felicity Louise	BNO374	Licensed Representative	1
LONG Siyu	BNL068	Licensed Representative	1, 4, 6
LOO Hung Wai Francis	BNO484	Licensed Representative	1
LOU Yilin	BNO289	Licensed Representative	1, 6
LU Cong	BNN864	Licensed Representative	4, 6
LU Peiting	BNH086	Licensed Representative	4, 9
LU Shuai	BNO894	Licensed Representative	1, 4, 9
LU Zhuoyi	BNP024	Licensed Representative	1, 6
LUDEMA Gregory Alan	BNO917	Licensed Representative	1, 2
LUI Hiu Wah Della	BNO616	Licensed Representative	1
LUN Nga See	BNL195	Licensed Representative	1, 4, 6
LUO Cheng	BNO774	Licensed Representative	1, 4, 6
LUO Chenyan	BND569	Licensed Representative	1, 6
LUO Sha	BNL483	Licensed Representative	1, 4, 6
LUO Zhenyu	AHL732	Licensed Representative	4, 9
LYU Jinling	BNN450	Licensed Representative	1, 6
MA Chun Hang Henry	BNL143	Licensed Representative	1, 2
MA Chun Yiu Ronald	BNO839	Licensed Representative	4, 9
MA Ka Wai Ivy	BNN400	Licensed Representative	1
MA Li	BNN862	Licensed Representative	1, 6
MA Teng	BFC772	Licensed Representative	4



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018**

CE Name	CE No.	Licence Type	Types of Regulated Activity
MADIOUNI Yassine	BNL369	Licensed Representative	9
MAHIDHARA Radhika	BNN231	Licensed Representative	1
MALIK Osman Riaz	ARD259	Licensed Representative	1, 4
MAN Chi Hung	BNO069	Licensed Representative	1, 2, 4
MCWILLIAM James Richard	AVY126	Licensed Representative	1
MELHUIHSH Alexandra Hero Catriona	BNN589	Licensed Representative	1, 4
MEN Zhaoyang	BNG215	Licensed Representative	9
MILLS Michael Jeffrey	AOY777	Licensed Representative	1, 4, 9
MITSUSADA Kenji	BAC404	Licensed Representative	1, 9
MOON James Roderick	AYI545	Licensed Representative	4
MOTWANI Romil Ramesh	BNO709	Licensed Representative	1
MU Yihan	BNN643	Licensed Representative	4, 9
MUI Jonathan	BNN406	Licensed Representative	1, 4, 9
NAGAI Yuichiro	BNK088	Licensed Representative	4
NAM Dong Wan	BJI771	Licensed Representative	1, 2
NAN Wanjiao	BNJ752	Licensed Representative	1, 4, 6
NATALE Allison Marie	BNO124	Licensed Representative	1, 9
NG Ka Pik, Betty	AAJ686	Licensed Representative	1, 4, 5
NG Kwok Lam	APM132	Licensed Representative	9
NG Tsun Ming	BNN908	Licensed Representative	1
NG Yingjie Nigel	BNJ875	Licensed Representative	9
NG Yu Hei	BNP568	Licensed Representative	9
NG Yuen Ki	BFB096	Licensed Representative	9
NGOH You'an	AWB831	Licensed Representative	9
NIAN Rongxin	BNN204	Licensed Representative	2
OH Sang Hoon	AXH658	Licensed Representative	4
OHATA Tomonori	BNK090	Licensed Representative	4
OU Wenxu	BNO293	Licensed Representative	1, 4, 9
PAN Jie	BNO111	Licensed Representative	1
PAN Ninghe	BNH310	Licensed Representative	4
PAN Ying	BGE440	Licensed Representative	4
PAN Yongyong	BNK769	Licensed Representative	1, 4
PANG Kwan	AWN156	Licensed Representative	1, 4, 9
PANG-STOCKFORD Sonja Casey	BNN170	Licensed Representative	1, 4, 9
PARK Min	AFA670	Licensed Representative	1, 2, 4, 5
PARK Yona	BNP415	Licensed Representative	1, 4
PARK Yoo Bin	BNO892	Licensed Representative	1, 2, 7
PATEL Ajay Bhupendra	BNN966	Licensed Representative	1
PENG Jianhong	BNN213	Licensed Representative	4, 9
PENG Jun	BNN837	Licensed Representative	9
PHAN Nang Dat	BNM909	Licensed Representative	1, 2
POON Ha Fung	AFK090	Licensed Representative	1
PRACHAKIT Lalitta	BNN396	Licensed Representative	1
PU Der-Yu	BNO719	Licensed Representative	4
PUN Yu San	AXT651	Licensed Representative	1, 2
QI Linna	BNO050	Licensed Representative	6
QIAN Yewen	ASP246	Licensed Representative	1, 6
QIN Yu	BNL547	Licensed Representative	1, 6
QU Jiaqi	BGH782	Licensed Representative	1, 6
QU Jiaxin	BNJ302	Licensed Representative	1, 6
RAFIE ELIZEI Alborz	BAA270	Licensed Representative	9
RAMAMOORTHY Swapna	BNH701	Licensed Representative	1, 2

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018**

CE Name	CE No.	Licence Type	Types of Regulated Activity
RATHI Sourabh	BNN322	Licensed Representative	1, 2, 7
REN Danlin	BNF068	Licensed Representative	1, 4
RU Tao	AZP245	Licensed Representative	1, 6
SADHUKHAN Subhankar	BNH967	Licensed Representative	9
SAHAGHIAN Antoine Gael	BNO673	Licensed Representative	1, 2
SANTOSH KAMAT Karthik	BNN560	Licensed Representative	1, 2
SASAJIMA Katsuhito	BNN182	Licensed Representative	1
SAXENA Akshay	BNO869	Licensed Representative	1, 4, 6
SHAM Che Wai	BNO062	Licensed Representative	6
SHANG Ning	AVL540	Licensed Representative	1, 4, 9
SHANG Quanxi	BIQ844	Licensed Representative	4, 9
SHEN Jinting	BNP257	Licensed Representative	4, 9
SHEN Sicong	BNF348	Licensed Representative	4
SHI Chen	BNP131	Licensed Representative	1, 6
SHI Ruichao	ATH910	Licensed Representative	9
SHI Zhiming	BNB758	Licensed Representative	1
SHU Yinglan	BNJ980	Licensed Representative	4
SIT Leung Mo Derek	BNO587	Licensed Representative	4, 9
SIT Yuk Mui	AFN163	Licensed Representative	1
SIU Chan U	BNJ804	Licensed Representative	1, 9
SIU Shui Wai	BNH566	Licensed Representative	4, 9
SMITH Robert Adam	BCB694	Licensed Representative	1, 4
SONG Hyun Mok	BNM211	Licensed Representative	1, 4, 6
SONG Jian	BMV912	Licensed Representative	4
SONG Xin	ANA840	Licensed Representative	1, 2, 4, 9
SU Dong	BNO602	Licensed Representative	1, 4
SU Jiarui	BNP783	Licensed Representative	1, 4
SUBRAMONIAM Sunita	BDZ962	Licensed Representative	1, 4
SUEN Chak Yeung	AUB978	Licensed Representative	1, 2, 4
SUEN Kar Man Christine	BNO921	Licensed Representative	4, 9
SUEN Tin Yan	AXR986	Licensed Representative	1, 4
SUM Sung Fung	BNO988	Licensed Representative	9
SUN Chao	BNF102	Licensed Representative	9
SUN Qiong	BNJ871	Licensed Representative	1, 2
SUN Siqi	BNJ900	Licensed Representative	1
SUN Xinyue	BNN093	Licensed Representative	1, 4
SUNDARAM Santhosh Kumar	BNO086	Licensed Representative	1, 4
SUNG Chih Ling	BNK730	Licensed Representative	1, 4
SURANA Sandeep	BNO018	Licensed Representative	1, 2
SUZUKI Yuta	BNP860	Licensed Representative	6
TAI Te-Chun	AIB824	Licensed Representative	1, 2, 4, 5
TAI Wai Lok	BNO979	Licensed Representative	1
TAKAMI Yuta	BNK971	Licensed Representative	1, 2
TAM Justin Man Ho	BNN907	Licensed Representative	1, 2
TAM Pak Chuen	AAE860	Licensed Representative	1
TAM Tsz Cheong	BNK220	Licensed Representative	1, 4
TAN Deyi	APZ847	Licensed Representative	1, 4, 5
TAN Hui Shang Serene	BNO561	Licensed Representative	1
TAN Jeffrey Wei Sing	AGN701	Licensed Representative	1, 4
TAN Shin Wei	BNN611	Licensed Representative	4
TAN Tian	BNJ761	Licensed Representative	1, 4
TAN Wei Chong Fabian	BNO712	Licensed Representative	6

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018**

CE Name	CE No.	Licence Type	Types of Regulated Activity
TAN Zhuo	BNM972	Licensed Representative	4
TANAKA Eiji	AQY208	Licensed Representative	1, 4, 9
TANG Kwan Pang	BNL243	Licensed Representative	1
TANG Lai Woon	BNO297	Licensed Representative	1
TANG Long Yin	BNL491	Licensed Representative	4
TANG Sai Chung	BBE593	Licensed Representative	1, 2
TANG Tat Hung Darren	BKA592	Licensed Representative	1, 2
TANG Wai Yau	BHS911	Licensed Representative	1, 6
TANG Wing Cheong	BND201	Licensed Representative	1, 2
TANG Yu Kwan	BNO819	Licensed Representative	1, 6
TAO Xiaojue	BNI839	Licensed Representative	1, 2, 4, 5
THEAGESAN Sumita	BNO593	Licensed Representative	1, 4
TIAN Yu	BNM995	Licensed Representative	1, 6
TO Ka Yan	BHP839	Licensed Representative	9
TO Yan Yin	BJY924	Licensed Representative	1, 9
TO Yuen Ting	BIS544	Licensed Representative	1, 4, 9
TONG Cheng	BNM260	Licensed Representative	1, 4, 9
TONG Heyang	BNG509	Licensed Representative	1, 6
TOW Wen Wei	BNM175	Licensed Representative	1, 4, 9
TOWNSEND Tomasz Gary	BNN795	Licensed Representative	1
TSAI Che Nan	BNN713	Licensed Representative	9
TSAI Song-Yi	BNP094	Licensed Representative	1, 2
TSAI Tsung Lin	BNO015	Licensed Representative	1, 4
TSANG Ching Fai	AIO026	Licensed Representative	1, 4
TSANG Ka Ho	BGB435	Licensed Representative	1, 2
TSANG Michelle Pui Man	BNO687	Licensed Representative	6
TSANG Sek Yan	BFK484	Licensed Representative	10
TSANG Tak Hei	BNK468	Licensed Representative	1, 2
TSE Ka Shing Jason	BNO867	Licensed Representative	1, 4, 6
TSE Kit Yuk	ATO410	Licensed Representative	1, 4
TSUI Ming Chun	BNK546	Licensed Representative	1
TURNER Alexandra Louise	BGM618	Licensed Representative	1
VEYRET Pierre	BNO571	Licensed Representative	1, 2
VINCENT Pierre-victor Nicolas Marie	BNO849	Licensed Representative	2
WAI Ching Kit Ashley	BNO854	Licensed Representative	4, 9
WAN Pak Hang	BNC266	Licensed Representative	9
WANG Huijing	BND383	Licensed Representative	1, 4
WANG James Zong Hao	BNP171	Licensed Representative	4
WANG Jiayu	BNG707	Licensed Representative	1, 6
WANG Jierong	BNN873	Licensed Representative	1, 4, 9
WANG Lili	BMQ113	Licensed Representative	1, 4
WANG Luoduan	BNO717	Licensed Representative	1, 4, 6
WANG Mengyao	BNO283	Licensed Representative	1, 4, 6
WANG Ping	BND413	Licensed Representative	1, 4, 9
WANG Ruoyu	BNF032	Licensed Representative	1, 6
WANG Shiyan	BDP424	Licensed Representative	4
WANG Shujie	BNP253	Licensed Representative	4
WANG Tai Jen	BNP287	Licensed Representative	1
WANG Tianfang	BNN586	Licensed Representative	1, 6
WANG Ting	BBP537	Licensed Representative	1, 6
WANG Wenwen	BNH260	Licensed Representative	1, 6
WANG Xiaolei	BNN901	Licensed Representative	1, 4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018**

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Yanping	AWO201	Licensed Representative	1
WANG Yichen	BNJ874	Licensed Representative	1, 2, 7
WANG Ying	BNN043	Licensed Representative	4, 9
WANG Yueh-wen	BNL353	Licensed Representative	2, 5
WANG Yuning	BNH429	Licensed Representative	1, 2, 4
WANG Yusi	BNJ267	Licensed Representative	1, 6
WANG Yuwei	BNK960	Licensed Representative	9
WANG Yuxuan	AVS196	Licensed Representative	9
WANG Zhaoqiang	BNM252	Licensed Representative	4, 9
WANG Zhuyan	BND729	Licensed Representative	1, 6
WANG Zihao	BNM203	Licensed Representative	4, 9
WANG Zuxian	BNG488	Licensed Representative	1
WAT Tsun Tao	BNO906	Licensed Representative	1, 4
WEE Liang Sin	AHK048	Licensed Representative	1, 4
WEI Bo	BNJ747	Licensed Representative	1
WEI Chao	BNO688	Licensed Representative	1, 2
WEI Chi Wen	AOA830	Licensed Representative	1
WEI Xianyong	BNG029	Licensed Representative	1, 6
WEN Qiaojun	BNI358	Licensed Representative	4
WONG Cheuk Kwan	BCT992	Licensed Representative	9
WONG Cheuk San	BNO179	Licensed Representative	9
WONG Chi Pong Kenneth	BNR679	Licensed Representative	1
WONG Chi San, Allan	AAI951	Licensed Representative	4, 9
WONG Chi Tung, Sam	BFU597	Licensed Representative	1
WONG Ching Yi	BIE418	Licensed Representative	3
WONG Chong Fai	BND280	Licensed Representative	1, 4
WONG Chun Hei	BNP562	Licensed Representative	1, 6
WONG Fong Ki	BNN662	Licensed Representative	1, 4
WONG George Ki Sam	BNO718	Licensed Representative	4, 9
WONG Hau Ting Shirley	BNN912	Licensed Representative	1, 2
WONG Ka Hang	BNM994	Licensed Representative	1
WONG Man Fong	BNP514	Licensed Representative	1
WONG Pui Kwan	AYX916	Licensed Representative	1
WONG Siu Yue Michael	BNO859	Licensed Representative	4, 9
WONG Tak Sing	BNH917	Licensed Representative	1, 4, 6
WONG Tsz Pan	AHR462	Licensed Representative	1
WONG Tsz Sum	BNO490	Licensed Representative	1, 4
WONG Wai Chung	AXT241	Licensed Representative	9
WONG Wai Shan	AUA951	Licensed Representative	9
WONG Wing Keung	BNC249	Licensed Representative	1, 4
WONG Yuen Ting, Brenda	BNO001	Licensed Representative	1, 4, 9
WONG Yuk Sheung	BNO695	Licensed Representative	1, 4
WU Shuang	BNO745	Licensed Representative	1
WU Wanfei	BNJ803	Licensed Representative	9
WU Xiyu	BNN577	Licensed Representative	1, 4, 6
WU Xuotong	BNN583	Licensed Representative	4, 6
WU Yanchao	BNF174	Licensed Representative	1, 6
WU Yi	BDP233	Licensed Representative	1
WU Yushan	BIG145	Licensed Representative	1, 2
WU Zhenbang	BNN055	Licensed Representative	1, 4, 6
WU Zhounan	BNP014	Licensed Representative	9
WU Ziqing	BNO978	Licensed Representative	4, 9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018**

CE Name	CE No.	Licence Type	Types of Regulated Activity
XIA Gaohua	BNO603	Licensed Representative	6
XIAN Junqi	BNM265	Licensed Representative	1, 4
XIANG Zhen	BNL498	Licensed Representative	1, 6
XIAO Di	BNH359	Licensed Representative	1, 2
XIAO Jiaqi	BNO685	Licensed Representative	1, 2
XIAO Wen	BJU213	Licensed Representative	1, 4, 9
XIAO Zhuoheng	BNN875	Licensed Representative	1, 4, 6
XU Diyu	BNN874	Licensed Representative	1, 4, 6
XU Junjie	BNL583	Licensed Representative	1, 4, 9
XU Li	BNF087	Licensed Representative	1
XU Ning	BNP715	Licensed Representative	6
XU Siyang	BNI569	Licensed Representative	1, 6
XU Xiao	BNM996	Licensed Representative	1
XU Xiaoxi	ANR491	Licensed Representative	1, 4, 9
XU Xiuqi	BNO488	Licensed Representative	1
XU Yina	BNO566	Licensed Representative	4
XU Yingfei	BNO572	Licensed Representative	1, 9
XU Yining	BNO527	Licensed Representative	1, 4, 6
XUE Yuan	BNF072	Licensed Representative	1, 4
YAJIMA Tomoki	BNP002	Licensed Representative	1, 4
YAMAUCHI Natsumu	BNP383	Licensed Representative	1
YAN Jiahui	BNF177	Licensed Representative	1, 4
YAN Ka Chun	BNO585	Licensed Representative	1
YANG Bojun	BNO404	Licensed Representative	1, 6
YANG Jae Kyoo	BCE785	Licensed Representative	1, 4, 9
YANG Jingxi	BNN299	Licensed Representative	1, 4, 6
YANG Kaisheng	BNN233	Licensed Representative	1, 2, 7
YANG Liyun	BNC798	Licensed Representative	6
YANG Xuping	ALO476	Licensed Representative	1
YANG Yi	BNM123	Licensed Representative	4, 9
YANG Yunfan	BNI529	Licensed Representative	1, 6
YANGYANG Hanguang	BNN302	Licensed Representative	1
YAO Lan Mai, Margaret	ACG637	Licensed Representative	1, 4
YAU Chung Hei, Tiffany	BNL466	Licensed Representative	1, 4
YAU Kwun Chi Eric	BNN260	Licensed Representative	1, 4
YAU Shun Bun, Timothy	BID682	Licensed Representative	1
YAU Wai Ching	ASZ106	Licensed Representative	1, 4, 9
YE Dazheng	BNP400	Licensed Representative	1, 6
YE Lin	BNN692	Licensed Representative	1, 4
YE Qiaoling	BNJ186	Licensed Representative	1, 6
YEUNG Chi Wai Andy	BNN902	Licensed Representative	1, 4
YEUNG Hiu Wai	BNN157	Licensed Representative	1, 6
YEUNG Po Yin Poey	BNO292	Licensed Representative	1, 4, 9
YIN Haochuan	BNP854	Licensed Representative	1, 2, 7
YIN Zeyu	BNO373	Licensed Representative	1, 4
YING Jian Ling James	BNP759	Licensed Representative	4, 9
YING Zhijie	BNO299	Licensed Representative	6
YIP Cheuk Lai	BEZ009	Licensed Representative	1, 4, 9
YIP Chun Pang	BNJ918	Licensed Representative	1, 4
YIP Keiann	BNH901	Licensed Representative	1
YIP Ki	AUF153	Licensed Representative	1, 2, 4, 5
YIP Sin Wa	BNO475	Licensed Representative	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018**

CE Name	CE No.	Licence Type	Types of Regulated Activity
YIU Hoi Yip	BNL488	Licensed Representative	1, 4, 6
YOSHIDA Aki-Joe	BNi677	Licensed Representative	1, 4
YOSHIDA Natsumi	BNO110	Licensed Representative	1, 4, 6
YOU Hanqian	BNO515	Licensed Representative	9
YU Chenxing	BNM204	Licensed Representative	4
YU Fang	BNP252	Licensed Representative	4
YU Fei	BNL476	Licensed Representative	1, 6
YU Lina	BNN590	Licensed Representative	6
YU Pedro	BNO056	Licensed Representative	1, 6
YUAN Jing	AQF656	Licensed Representative	6
YUAN Xiang	BNK935	Licensed Representative	1
YUEN Ka Man	BNO811	Licensed Representative	1, 4
YUNG Jacqueline Wai See	BNO482	Licensed Representative	2, 5
YUNG Wai Ting Winnie	BNL323	Licensed Representative	10
ZENG Yuexin	BIN294	Licensed Representative	1, 2, 7
ZHAN Hua	BNK310	Licensed Representative	2
ZHANG Binmei	BND809	Licensed Representative	4
ZHANG Hanzhu	BNO985	Licensed Representative	1, 2
ZHANG Jiaying	BNN287	Licensed Representative	1, 4, 9
ZHANG Jie	BNH373	Licensed Representative	1, 4, 6
ZHANG Jing	BNG711	Licensed Representative	1, 6
ZHANG Jingting	BNO703	Licensed Representative	6
ZHANG Kun	BNL518	Licensed Representative	1, 2
ZHANG Peng	BNF922	Licensed Representative	1, 6
ZHANG Quan	BEM321	Licensed Representative	1, 6
ZHANG Ruixin	BNN881	Licensed Representative	1, 6
ZHANG Shiyu	BNO193	Licensed Representative	4
ZHANG Siran	BNE925	Licensed Representative	1, 4
ZHANG Teng	BNL453	Licensed Representative	6
ZHANG Tian	BNO780	Licensed Representative	1, 4, 9
ZHANG Xia	BNN534	Licensed Representative	1, 6
ZHANG Xiaobei	BEZ151	Licensed Representative	1, 4
ZHANG Xiaofei	BND704	Licensed Representative	4
ZHANG Xiaojun	BCC256	Licensed Representative	1, 4
ZHANG Xiaotong	BNO491	Licensed Representative	4
ZHANG Xinru	BNN851	Licensed Representative	1, 6
ZHANG Xuebin	BNH087	Licensed Representative	1
ZHANG Xueyu	BNO595	Licensed Representative	1, 4, 6
ZHANG YAN	BNO557	Licensed Representative	6
ZHANG Yan	BND553	Licensed Representative	1, 6
ZHANG Yi	AXQ878	Licensed Representative	4, 9
ZHANG Yi Jie	BNF430	Licensed Representative	4, 9
ZHANG Yi Xian	BBU663	Licensed Representative	1
ZHANG Yige	BNK785	Licensed Representative	1, 6
ZHANG Yongcheng	BNO698	Licensed Representative	1, 2, 4
ZHANG Zhao	BNH608	Licensed Representative	4
ZHANG Zhenyi	BNL577	Licensed Representative	4, 9
ZHAO Anbo	BNN592	Licensed Representative	4
ZHAO He	BNO455	Licensed Representative	9
ZHAO Jianan	BNL487	Licensed Representative	4
ZHAO Juyi	BNO905	Licensed Representative	10
ZHAO Mengdi	BNO845	Licensed Representative	6

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018**

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHAO Wenbo	BNI561	Licensed Representative	1, 6
ZHAO Xinyue	BNN872	Licensed Representative	1, 4
ZHAO Zeping	BNM248	Licensed Representative	4
ZHENG Xiaoling	BNH663	Licensed Representative	1, 6
ZHENG Xiaowei	BNN087	Licensed Representative	9
ZHENG Yulu	BNL148	Licensed Representative	1
ZHOU Mengxuan	BNN282	Licensed Representative	1, 4, 9
ZHOU Mingda	BND394	Licensed Representative	1
ZHOU Ran	BNN005	Licensed Representative	1, 4, 9
ZHOU Yang	BNF447	Licensed Representative	1, 6
ZHOU Yang	BNJ698	Licensed Representative	1, 2
ZHOU Yanru	BNN868	Licensed Representative	1, 6
ZHU Guoyi	BNN524	Licensed Representative	4, 9
ZHU Lizheng	BNN848	Licensed Representative	1, 4
ZHU Yifan	BNP001	Licensed Representative	1, 6
ZHU Yuchen	BJY722	Licensed Representative	1, 6
ZHUANG Dong	BNN869	Licensed Representative	1, 6
ZHUANG Jiejing	BKF285	Licensed Representative	1, 2
ZHUANG Lingyi	BMV470	Licensed Representative	1, 9
ZONG Yuzhang	BNO311	Licensed Representative	1, 4, 9
ZOU Qihan	BNP172	Licensed Representative	6
ZOU Wei	BNN604	Licensed Representative	4
ZUO Mingzhi	BNN320	Licensed Representative	6
BLANCHARD Dominique Jean Rene	AUX224	Licensed Representative - Responsible Officer	1, 4
CHAN Angie	ADN450	Licensed Representative - Responsible Officer	1, 9
CHAN Wah Lee	AWJ776	Licensed Representative - Responsible Officer	4, 9
CHANG Kuo Wei, Kevin	ADA219	Licensed Representative - Responsible Officer	4, 9
CHEN Fan	BNC876	Licensed Representative - Responsible Officer	4, 9
CHEN Zhang	BEV005	Licensed Representative - Responsible Officer	4, 9
CHEUNG Yiu Kei Philip	AFB068	Licensed Representative - Responsible Officer	1, 4, 9
CHIA Min Chong	BMR829	Licensed Representative - Responsible Officer	9
CHOI Wai King	ACL677	Licensed Representative - Responsible Officer	4, 9
CHOON Yew Khee	AFR464	Licensed Representative - Responsible Officer	1
CHU Siu Ling	ARG250	Licensed Representative - Responsible Officer	4, 9
DE CAMARGO PENALVA Andre Mauricio	BMQ621	Licensed Representative - Responsible Officer	1
DENG KaiXiang	BNN936	Licensed Representative - Responsible Officer	9
DOU Jianjun	BMV458	Licensed Representative - Responsible Officer	9
GAO Wei	BNH459	Licensed Representative - Responsible Officer	1, 4
GUAN Zhouhai	BME270	Licensed Representative - Responsible Officer	9
HO Kai Yip	AVC717	Licensed Representative - Responsible Officer	1, 4
HONG Xinyu	BNG390	Licensed Representative - Responsible Officer	9
HU Jianfeng	BBZ806	Licensed Representative - Responsible Officer	1
KWAN Lim Pong	ALL139	Licensed Representative - Responsible Officer	2, 5
LAW Kin Wah, Kenneth	ANY057	Licensed Representative - Responsible Officer	1, 4, 9
LI Gang	AOU897	Licensed Representative - Responsible Officer	2, 5
LIN Hung Yuan	AOA593	Licensed Representative - Responsible Officer	1
LIU Sit Lun	ANY108	Licensed Representative - Responsible Officer	1, 4, 9
LIU Wen Feng	BNH003	Licensed Representative - Responsible Officer	1
MA Kam Chuen	AAW418	Licensed Representative - Responsible Officer	9
PIMPAO MERHY Patryck	BMQ617	Licensed Representative - Responsible Officer	1
POON Ka Ho	AWT305	Licensed Representative - Responsible Officer	9
SAKAGUCHI Junichi	BNL516	Licensed Representative - Responsible Officer	1, 4, 9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018**

CE Name	CE No.	Licence Type	Types of Regulated Activity
SHAO Weiliang	BMV934	Licensed Representative - Responsible Officer	2, 5
SHEN Yanjie	BNF270	Licensed Representative - Responsible Officer	1, 4, 9
SHENG Bing	ARR762	Licensed Representative - Responsible Officer	1, 4
SHULAKOV Denis Vladimirovich	BFN842	Licensed Representative - Responsible Officer	1, 4
SUN Ge	BNH009	Licensed Representative - Responsible Officer	4, 9
SUN Wing Man, Doris	ACQ917	Licensed Representative - Responsible Officer	1
TAM Chung Him	AQZ882	Licensed Representative - Responsible Officer	4, 9
TANG Oi Ying	BKG376	Licensed Representative - Responsible Officer	1
WANG Can	BLV227	Licensed Representative - Responsible Officer	4, 9
WANG Xuhua	BMP523	Licensed Representative - Responsible Officer	1
WONG Cheung Ki, Parish	ABY243	Licensed Representative - Responsible Officer	9
XIE Donghai	BKR380	Licensed Representative - Responsible Officer	4, 9
YAMAMOTO Kyo	BNG371	Licensed Representative - Responsible Officer	9
YU Jing	BDU557	Licensed Representative - Responsible Officer	1
ZUO Lesi	AJK660	Licensed Representative - Responsible Officer	1
ABBOTT Giles David Cameron	AVQ399	Licensed Representative (Provisional Licence)	1, 4
AGUSTIN Scott-Jarryd	BNS030	Licensed Representative (Provisional Licence)	1, 4, 6
AMMANN Christopher Emil	BNS037	Licensed Representative (Provisional Licence)	1, 4, 6
ANLIKER Christoph	BNR531	Licensed Representative (Provisional Licence)	1
ARIMITSU Ken	ATI163	Licensed Representative (Provisional Licence)	9
ASTHANA Nakul	BNR896	Licensed Representative (Provisional Licence)	1, 4, 6
BAINBRIDGE Christopher Lawrence	BNP137	Licensed Representative (Provisional Licence)	1, 2
BAKER Joseph Gerard	BNR700	Licensed Representative (Provisional Licence)	1
BEAUPEUX Mathias Thomas Xavier	BNP474	Licensed Representative (Provisional Licence)	1, 2
BELCEA Andrada	BNR250	Licensed Representative (Provisional Licence)	2
BEVEVINO Zach Barton	BNC709	Licensed Representative (Provisional Licence)	1, 4, 9
BHAMMER Vishal Mahendra Kumar	AHF765	Licensed Representative (Provisional Licence)	9
BI Mingqiang	BNP254	Licensed Representative (Provisional Licence)	6
BIAN Shihui	BNS181	Licensed Representative (Provisional Licence)	1, 2, 4
BLEHAUT Gabriel Rong Yun	BNM173	Licensed Representative (Provisional Licence)	1, 4
BOUVARD Caroline Marie Eugenie Renee	AUX261	Licensed Representative (Provisional Licence)	1, 4
BRACH Loic Yves Aurelien	BNP881	Licensed Representative (Provisional Licence)	1, 4, 9
CAI Jun Lei	BNR188	Licensed Representative (Provisional Licence)	1, 4
CARTWRIGHT Olivia Lucy	APA549	Licensed Representative (Provisional Licence)	2, 5
CASEY Richard Stephan	BNR270	Licensed Representative (Provisional Licence)	1
CHAN Ching Sang	BNN930	Licensed Representative (Provisional Licence)	1, 4
CHAN Crystal Fu	BNQ619	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Lai Chi	BNQ614	Licensed Representative (Provisional Licence)	9
CHAN Lam	BNS709	Licensed Representative (Provisional Licence)	1
CHAN Lui	AVD266	Licensed Representative (Provisional Licence)	2
CHAN Matthew	BFG309	Licensed Representative (Provisional Licence)	4, 9
CHAN Pak Yan Cherry	BNR759	Licensed Representative (Provisional Licence)	6
CHAN Sai Keung	BNR456	Licensed Representative (Provisional Licence)	2
CHAN Wai Yin	BNS341	Licensed Representative (Provisional Licence)	1
CHAN Wing Yiu	BNR194	Licensed Representative (Provisional Licence)	1, 2
CHAN Yan Yu	BNS683	Licensed Representative (Provisional Licence)	1
CHAN Ying Kit	BNS676	Licensed Representative (Provisional Licence)	1, 4
CHANG Li Hao	BNQ978	Licensed Representative (Provisional Licence)	4, 9
CHANG Xinyun	BNR636	Licensed Representative (Provisional Licence)	1, 4
CHAU Pak Ming, Patrick	APZ542	Licensed Representative (Provisional Licence)	1, 2
CHEN Binghua	BNS262	Licensed Representative (Provisional Licence)	4, 9
CHEN Naiyuan	BNR281	Licensed Representative (Provisional Licence)	1, 4, 6



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
CHEN Shimeng	BNQ702	Licensed Representative (Provisional Licence)	1, 6
CHEN Sida	BNR417	Licensed Representative (Provisional Licence)	9
CHEN Xiaodan	BNN434	Licensed Representative (Provisional Licence)	1
CHEN Xu	BNO684	Licensed Representative (Provisional Licence)	4
CHEN Zhang	BNR831	Licensed Representative (Provisional Licence)	1, 6
CHEN Zhipeng	BNL458	Licensed Representative (Provisional Licence)	1, 4
CHENG Ho Cheong	BKB947	Licensed Representative (Provisional Licence)	1
CHEUNG Chun Sing Jacky	BNR070	Licensed Representative (Provisional Licence)	4
CHEUNG Fei Hung	BNR251	Licensed Representative (Provisional Licence)	2
CHEUNG Kei Kong	BNP597	Licensed Representative (Provisional Licence)	1, 6
CHEUNG Nga Wun Amanda	BNP733	Licensed Representative (Provisional Licence)	1, 6
CHING Kam Hung	BNR367	Licensed Representative (Provisional Licence)	1, 6
CHIU Yuen	AVI626	Licensed Representative (Provisional Licence)	1, 6
CHOI Chung Wang	BNS145	Licensed Representative (Provisional Licence)	1, 4
CHOW Ho Shan, Vanessa	ATY491	Licensed Representative (Provisional Licence)	9
CHOW In Kam	BNP480	Licensed Representative (Provisional Licence)	6
CHOW Ka Lap	BNS274	Licensed Representative (Provisional Licence)	1
CHOW Ka Wang	BNS879	Licensed Representative (Provisional Licence)	1
CHU Ching Kwan, Mavis	BNS230	Licensed Representative (Provisional Licence)	1, 2, 3, 4
CHU Wing Kit	BHJ981	Licensed Representative (Provisional Licence)	1, 4, 5, 9
CHU Yuan Chen	BNT780	Licensed Representative (Provisional Licence)	1, 2
CHUA Kam Yi	BNQ733	Licensed Representative (Provisional Licence)	1
CHUANG Albert	BNQ616	Licensed Representative (Provisional Licence)	4, 9
CHUI Sin Ying	BNP730	Licensed Representative (Provisional Licence)	1, 4, 9
CHUNG Hang Man	BGH966	Licensed Representative (Provisional Licence)	4, 9
CHUNG Kuo Wei William	BNR816	Licensed Representative (Provisional Licence)	1, 2
CHUNG Soon Hyung	AWJ606	Licensed Representative (Provisional Licence)	1, 4, 9
CHUNG Yoon Chul	BNR805	Licensed Representative (Provisional Licence)	1, 2
CLARK Peter Tilman	BNS935	Licensed Representative (Provisional Licence)	1
CROSSWHITE Wyatt William	ALD641	Licensed Representative (Provisional Licence)	1, 2
CROWLEY Christina Marion	BNP565	Licensed Representative (Provisional Licence)	9
CUI Yiyi	BNR308	Licensed Representative (Provisional Licence)	4
DAI Chennan	BNR108	Licensed Representative (Provisional Licence)	4
DENG Huang	BNQ698	Licensed Representative (Provisional Licence)	1, 2
DENG Shi	BNR836	Licensed Representative (Provisional Licence)	1
DHUNDASS Younes	BNQ670	Licensed Representative (Provisional Licence)	1, 2, 4, 5
DONG Michael	BNN820	Licensed Representative (Provisional Licence)	1, 6
DONG Yi	BNO030	Licensed Representative (Provisional Licence)	1, 4, 6
DONG Youyuan	BNS437	Licensed Representative (Provisional Licence)	1, 2, 4
DOU Xiaoqin	AVS907	Licensed Representative (Provisional Licence)	4
DOU Yang	BNO053	Licensed Representative (Provisional Licence)	1, 6
ENGELHARDT Thaddaeus	BNN888	Licensed Representative (Provisional Licence)	1
FAN Ka Wai	BNR833	Licensed Representative (Provisional Licence)	1, 4
FAN Ying	AZN142	Licensed Representative (Provisional Licence)	9
FENG Jin	BNR341	Licensed Representative (Provisional Licence)	1, 4
FENG Sisi	BNS776	Licensed Representative (Provisional Licence)	1, 6
FENG Ziang	BNR290	Licensed Representative (Provisional Licence)	1, 4, 9
FLORENTIN Clement	BNQ440	Licensed Representative (Provisional Licence)	1, 2, 4
FU Shuman	BNS715	Licensed Representative (Provisional Licence)	1, 4
FU Tianmin	BNS368	Licensed Representative (Provisional Licence)	1, 6
FU Wai Ling, Ehsernta	BNQ953	Licensed Representative (Provisional Licence)	4
FUNG Bo Yi	BNP169	Licensed Representative (Provisional Licence)	1, 2, 4, 5

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018**

CE Name	CE No.	Licence Type	Types of Regulated Activity
FUNG Ching Siu	BNO746	Licensed Representative (Provisional Licence)	1, 4
FUNG Chun Yuen	BNR004	Licensed Representative (Provisional Licence)	6
FURTADO Udhay Anton Dos Remedios	AFG848	Licensed Representative (Provisional Licence)	1, 6
GAO Xiong	BNS044	Licensed Representative (Provisional Licence)	1, 6
GAO Yunzhu	BNR003	Licensed Representative (Provisional Licence)	6
GE Peng	BNQ602	Licensed Representative (Provisional Licence)	1, 4, 9
GLORIE Willem Jan Lieve	BNO903	Licensed Representative (Provisional Licence)	1, 4, 9
GOMA Joseph Auger	BKC778	Licensed Representative (Provisional Licence)	1
GONG Xiaoman	BNR624	Licensed Representative (Provisional Licence)	1, 4, 9
GOYAL Priyal	BNS046	Licensed Representative (Provisional Licence)	1, 2
GU Huanhao	BNR192	Licensed Representative (Provisional Licence)	1, 2, 4
GU Lan Jiang	BNP499	Licensed Representative (Provisional Licence)	1, 2
GU Yiwei	BNS285	Licensed Representative (Provisional Licence)	6
GUO Jingning	BNL416	Licensed Representative (Provisional Licence)	1
GUO Yichen	BNR536	Licensed Representative (Provisional Licence)	4
GUO Yingqiang	BNO844	Licensed Representative (Provisional Licence)	1, 4
GUPTA Pushkar	BNP768	Licensed Representative (Provisional Licence)	1, 2
HARVIE Andrew Charles	BMH512	Licensed Representative (Provisional Licence)	1
HATTORI Akito	BNN819	Licensed Representative (Provisional Licence)	1
HE Jamie Ruo Xin	BNS468	Licensed Representative (Provisional Licence)	4
HE Linwei	BNP105	Licensed Representative (Provisional Licence)	1, 4, 9
HE Tian	BNR765	Licensed Representative (Provisional Licence)	4, 9
HEUNG Fu Nang, Martin	BNR040	Licensed Representative (Provisional Licence)	4
HIROKAWA Yu	BNO582	Licensed Representative (Provisional Licence)	9
HO Kin Chung, Kelvin	AFR360	Licensed Representative (Provisional Licence)	1, 4
HO Pui Lam	ARP168	Licensed Representative (Provisional Licence)	1, 2, 4
HOCKLEY Richard John	BNR462	Licensed Representative (Provisional Licence)	4
HONG Liu	BNR256	Licensed Representative (Provisional Licence)	1, 4
HONG Timothy Kai-chun	BNQ720	Licensed Representative (Provisional Licence)	1
HORIGUCHI Seiji	BEC557	Licensed Representative (Provisional Licence)	1, 2
HOU Xiaoning	BMB739	Licensed Representative (Provisional Licence)	1, 4, 6
HSU Hui Yi	BNR785	Licensed Representative (Provisional Licence)	1
HU Bin	BNQ784	Licensed Representative (Provisional Licence)	5
HU Jiani	BKA435	Licensed Representative (Provisional Licence)	1, 4
HU Xuedong	BDI148	Licensed Representative (Provisional Licence)	6
HUANG Chao-sheng	AEP568	Licensed Representative (Provisional Licence)	1, 4, 9
HUANG Chon Pio	BNQ527	Licensed Representative (Provisional Licence)	1, 6
HUANG Dongni	BNQ647	Licensed Representative (Provisional Licence)	1, 4
HUANG Yushan	BNS469	Licensed Representative (Provisional Licence)	1, 2
HUI Kin Wai	ANT508	Licensed Representative (Provisional Licence)	1, 4, 9
HUI Kwok Kin	BNS461	Licensed Representative (Provisional Licence)	1, 4
HUI Mui Sum	BNR193	Licensed Representative (Provisional Licence)	1
HUNG Tsz Ying	BNP229	Licensed Representative (Provisional Licence)	9
IP Tze Kai, Brian	BNR260	Licensed Representative (Provisional Licence)	9
IP Wing Shan	AFA844	Licensed Representative (Provisional Licence)	1, 4, 9
ISRAEL DA VEIGA PEREIRA Jose Thomaz	BNP291	Licensed Representative (Provisional Licence)	1, 4
JAMES Richard Alan	BNR278	Licensed Representative (Provisional Licence)	1, 4, 6
JAMMAL Joseph Nicholas	BNQ444	Licensed Representative (Provisional Licence)	1, 2
JIANG Bowen	BNR216	Licensed Representative (Provisional Licence)	1, 2, 4
JIANG Weisong Christian	AQF552	Licensed Representative (Provisional Licence)	4, 9
JIANG Yihong	BNR178	Licensed Representative (Provisional Licence)	9
JIN Siyi	BNO613	Licensed Representative (Provisional Licence)	1, 6

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
KAMATH Pallavi Umesh	BNP711	Licensed Representative (Provisional Licence)	1, 2, 4
KE Hai	BNS313	Licensed Representative (Provisional Licence)	1, 2, 9
KHANDELWAL Amit	BNR233	Licensed Representative (Provisional Licence)	1
KIANG Vincent Ming Ruey	BNQ629	Licensed Representative (Provisional Licence)	1, 2, 7
KIM Gun Hee	BNN077	Licensed Representative (Provisional Licence)	1
KOTWAL Rahul	BNO319	Licensed Representative (Provisional Licence)	1, 4
KWAN Chun Yue, Patrick	ACN915	Licensed Representative (Provisional Licence)	1, 4, 6
KWAN Hoi Ngai, Michael	ANT542	Licensed Representative (Provisional Licence)	1, 2
KWAN Hung Chun Curtus	BNQ997	Licensed Representative (Provisional Licence)	6
KWAN Kai Yiu	AVH540	Licensed Representative (Provisional Licence)	1, 2
KWAN Suk Wah, Tiffany	AVQ820	Licensed Representative (Provisional Licence)	1
KWOK Wa Yan, Irene	AYR945	Licensed Representative (Provisional Licence)	1, 4, 6
KWOK Yu Ho	BNP444	Licensed Representative (Provisional Licence)	1, 2
LAI Chi lan	BNO157	Licensed Representative (Provisional Licence)	1, 6
LAI Wai Tsz	ACU891	Licensed Representative (Provisional Licence)	1, 2, 7
LAI Yi-Ting	BNP460	Licensed Representative (Provisional Licence)	1, 2, 7
LAM Lok Fung	BNQ450	Licensed Representative (Provisional Licence)	1, 6
LAM Yuk Shing	BNO273	Licensed Representative (Provisional Licence)	1, 6
LAMARLERE Remy	BNP304	Licensed Representative (Provisional Licence)	9
LAU Chi Kwan, Regina	BNP805	Licensed Representative (Provisional Licence)	1
LAU Chun Hang	AQI516	Licensed Representative (Provisional Licence)	1, 4
LAU Hiu Ying Virginia	BNO093	Licensed Representative (Provisional Licence)	1, 4
LAU Hon Wei	AHX658	Licensed Representative (Provisional Licence)	1, 4
LAU Kan Wah	ABT973	Licensed Representative (Provisional Licence)	1, 4, 9
LAU Tsz Ching	BNP581	Licensed Representative (Provisional Licence)	1
LAU Yi	BNQ530	Licensed Representative (Provisional Licence)	1, 2
LAU Yu Wing	BAM863	Licensed Representative (Provisional Licence)	1, 4
LAW Kin Hang	BNR911	Licensed Representative (Provisional Licence)	6
LAW Man Ying	BNR513	Licensed Representative (Provisional Licence)	1, 2
LECOQ Charles Makoto	AXA178	Licensed Representative (Provisional Licence)	2, 5
LEE Chia-Hao	BNP707	Licensed Representative (Provisional Licence)	1, 2, 4
LEE Ching Cherus	BNO594	Licensed Representative (Provisional Licence)	1
LEE Ching Hin	BNP855	Licensed Representative (Provisional Licence)	1, 2
LEE Da Wei	BNR475	Licensed Representative (Provisional Licence)	1, 4
LEE Dong Hoon	BNO888	Licensed Representative (Provisional Licence)	4, 9
LEE Ge Kit, Jackie	BNO945	Licensed Representative (Provisional Licence)	1
LEE Jamin	BNN326	Licensed Representative (Provisional Licence)	1
LEE Ji Hyung	BNP265	Licensed Representative (Provisional Licence)	1, 4
LEE Ka Yee, Jacqueline	AOS409	Licensed Representative (Provisional Licence)	1, 2
LEE Kai Chung	BIV157	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LEE Ryan Chun Yin	BNP599	Licensed Representative (Provisional Licence)	1
LEE Sooho	BNO784	Licensed Representative (Provisional Licence)	1, 4
LEE Wing Him	BNR581	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Wing Kin	BNP634	Licensed Representative (Provisional Licence)	1, 4
LEE Wing Man	BNN318	Licensed Representative (Provisional Licence)	1
LEE Xiao Qin Ervin	BNR822	Licensed Representative (Provisional Licence)	1, 4, 6
LEE Yan Kak Brian	BNR145	Licensed Representative (Provisional Licence)	4
LEE Yao-Jung	BNP708	Licensed Representative (Provisional Licence)	1
LEI Ming	BNR457	Licensed Representative (Provisional Licence)	4
LEIGH Anthony Ronald	AFP275	Licensed Representative (Provisional Licence)	1, 4
LEUNG Lok Hang	BGG664	Licensed Representative (Provisional Licence)	1, 6
LEUNG Pak Hin	BMV366	Licensed Representative (Provisional Licence)	1, 2

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
LEUNG Sze Yan	BNQ998	Licensed Representative (Provisional Licence)	6
LEUNG Tak Fung	BNR704	Licensed Representative (Provisional Licence)	1
LEUNG Wing Hung, Toby	BGA638	Licensed Representative (Provisional Licence)	1
LEWIS Janet Barbara	ASL090	Licensed Representative (Provisional Licence)	4
LI Anni	BNS382	Licensed Representative (Provisional Licence)	1
LI Junfeng	BNR187	Licensed Representative (Provisional Licence)	1, 2, 7
LI Ka Tsun	BNR840	Licensed Representative (Provisional Licence)	1, 2, 4
LI Kangning	BNP418	Licensed Representative (Provisional Licence)	9
LI Peiyu	BNN823	Licensed Representative (Provisional Licence)	1, 6
LI Qiao	BNO029	Licensed Representative (Provisional Licence)	1, 2
LI Ran	BNR699	Licensed Representative (Provisional Licence)	1, 4, 6
LI Shenghan	BNO957	Licensed Representative (Provisional Licence)	1, 4, 9
LI Si	BMV409	Licensed Representative (Provisional Licence)	6
LI Sihua	BNQ514	Licensed Representative (Provisional Licence)	4, 9
LI Tianyuan	BNR828	Licensed Representative (Provisional Licence)	1
LI Xiao	BNR010	Licensed Representative (Provisional Licence)	1, 2
LI Yin Ting Martin	BNP406	Licensed Representative (Provisional Licence)	1, 2, 9
LI Zhuyu	AOG668	Licensed Representative (Provisional Licence)	6
LI Ziyi	BNP008	Licensed Representative (Provisional Licence)	4, 9
LIANG Weiyuan	BNF426	Licensed Representative (Provisional Licence)	4, 9
LIAO Yuan-Ching	BNQ806	Licensed Representative (Provisional Licence)	4, 9
LIEN Sarah G	BNR053	Licensed Representative (Provisional Licence)	1, 4
LIKASITH Pakitta	BNP042	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LIN Chin-Yu	BJC342	Licensed Representative (Provisional Licence)	1
LIN Cuiyu	BNR962	Licensed Representative (Provisional Licence)	9
LIN Dezhi	BNR198	Licensed Representative (Provisional Licence)	3
LIN Feng	BNQ697	Licensed Representative (Provisional Licence)	1, 4
LIN Pingyi	BNP827	Licensed Representative (Provisional Licence)	4, 9
LIN Xinyue	BNS772	Licensed Representative (Provisional Licence)	1, 4, 9
LING Qian	BNQ548	Licensed Representative (Provisional Licence)	1, 6
LIU Chang-Yu	BNP684	Licensed Representative (Provisional Licence)	10
LIU Chen-Hwa	BNQ515	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
LIU Hoi Yan	BNP168	Licensed Representative (Provisional Licence)	1
LIU Hongkun	BNS453	Licensed Representative (Provisional Licence)	1, 2
LIU Mengqian	BNQ709	Licensed Representative (Provisional Licence)	1, 2
LIU Ning	BNO014	Licensed Representative (Provisional Licence)	1, 6
LIU Ruiqi	BNF981	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Tao	BFJ984	Licensed Representative (Provisional Licence)	2, 5
LIU Xi	BKW445	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Xinzhu	BNR338	Licensed Representative (Provisional Licence)	4
LIU Xiujie	BNS434	Licensed Representative (Provisional Licence)	1, 6
LIU Yuhong	BNQ447	Licensed Representative (Provisional Licence)	1, 4, 6
LO Lai Lai	BNR433	Licensed Representative (Provisional Licence)	1, 2, 4
LU Yingtao	BNQ523	Licensed Representative (Provisional Licence)	1, 4, 9
LU Zhaoying	BGI558	Licensed Representative (Provisional Licence)	1, 4, 9
LUI Hiu Wah Della	BNO616	Licensed Representative (Provisional Licence)	1
LUI King Shing Jason	BNQ656	Licensed Representative (Provisional Licence)	1
LUM Shing Hin Wilbert	BNS722	Licensed Representative (Provisional Licence)	6
LUNG Wing Hong	AVP246	Licensed Representative (Provisional Licence)	1, 2
LUO Shihao	BNO936	Licensed Representative (Provisional Licence)	1, 2
LYU Zheng	BNQ382	Licensed Representative (Provisional Licence)	1, 6
MA Chun Man	BNO822	Licensed Representative (Provisional Licence)	1, 4, 9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018**

CE Name	CE No.	Licence Type	Types of Regulated Activity
MA Mui Chu	AAA013	Licensed Representative (Provisional Licence)	1
MA Teng	BFC772	Licensed Representative (Provisional Licence)	4
MAK Lok Yin	BNP627	Licensed Representative (Provisional Licence)	1, 4, 6
MAO Zhe	BNT074	Licensed Representative (Provisional Licence)	1, 4
MARCELLE Nicholas Paul	BNP396	Licensed Representative (Provisional Licence)	2
MATHEN Lijo Johnson	BNQ610	Licensed Representative (Provisional Licence)	1, 6
MILLS Michael Jeffrey	AOY777	Licensed Representative (Provisional Licence)	1, 4, 9
MIZUTANI Tatsuya	BNR524	Licensed Representative (Provisional Licence)	1
MO Lai Nga	ANT662	Licensed Representative (Provisional Licence)	1
MORITA Keisuke	BNP212	Licensed Representative (Provisional Licence)	1, 9
NAKAZAWA Keisuke	BNO285	Licensed Representative (Provisional Licence)	9
NARAYAN Shashank	BNP372	Licensed Representative (Provisional Licence)	1, 4
NATALE Allison Marie	BNO124	Licensed Representative (Provisional Licence)	1, 9
NG Cheuk Man	BNP500	Licensed Representative (Provisional Licence)	1, 4
NG Chi Pong	BNO048	Licensed Representative (Provisional Licence)	6
NG Ka Pik, Betty	AAJ686	Licensed Representative (Provisional Licence)	1, 4, 5
NG Kin Wah	AVI806	Licensed Representative (Provisional Licence)	1
NG Kwok Lam	APM132	Licensed Representative (Provisional Licence)	9
NG Li Huei	AZJ948	Licensed Representative (Provisional Licence)	1, 2
NG Sin Yee Crystal	BNQ699	Licensed Representative (Provisional Licence)	4, 9
NG Wing Yu	BNP600	Licensed Representative (Provisional Licence)	10
NIELSEN Andrew Stephen	BNR019	Licensed Representative (Provisional Licence)	1, 4, 9
OMURO Tomoyoshi	APP829	Licensed Representative (Provisional Licence)	4
OUYANG Tangke	BNQ579	Licensed Representative (Provisional Licence)	1, 6
PANG Kwan	AWN156	Licensed Representative (Provisional Licence)	1, 4, 9
PANG-STOCKFORD Sonja Casey	BNN170	Licensed Representative (Provisional Licence)	1, 4, 9
PARK Jung-yun	BNM915	Licensed Representative (Provisional Licence)	1, 4, 9
PARSONS Stephen James	BNS038	Licensed Representative (Provisional Licence)	1, 4, 6
POITOUT Thomas Jean Francois	BNP473	Licensed Representative (Provisional Licence)	1, 2, 7
PU Han	BNR210	Licensed Representative (Provisional Licence)	1, 4
PURI Ruchit	BJG651	Licensed Representative (Provisional Licence)	1, 2
QUINT Pascal Michael	BNP735	Licensed Representative (Provisional Licence)	1, 2, 4, 5
RABIER Adrien Guy	BNR825	Licensed Representative (Provisional Licence)	1
RAFIE ELIZEI Alborz	BAA270	Licensed Representative (Provisional Licence)	9
RAN Yuhang	BNS759	Licensed Representative (Provisional Licence)	4
ROBERTS Jordan	BNP529	Licensed Representative (Provisional Licence)	1, 2
RUSSELL-DOWE Michelle Lyn	BNN942	Licensed Representative (Provisional Licence)	1
RYBAK Gregory	BNR279	Licensed Representative (Provisional Licence)	1, 4, 6
SAHAGHIAN Antoine Gael	BNO673	Licensed Representative (Provisional Licence)	1, 2
SALGAONKAR Sonali	BNT029	Licensed Representative (Provisional Licence)	4
SAPKOTA Shikta	BNS716	Licensed Representative (Provisional Licence)	1
SEMBOMMATSU Akira	BNG703	Licensed Representative (Provisional Licence)	1, 2
SHANG Ziyou	BNS040	Licensed Representative (Provisional Licence)	1, 2
SHAO Junxi	BNR215	Licensed Representative (Provisional Licence)	4
SHEA Agnes Wan Suen	ANV160	Licensed Representative (Provisional Licence)	1, 4
SHEN Lihua	BNS150	Licensed Representative (Provisional Licence)	1
SHEN Zhifeng	BNQ994	Licensed Representative (Provisional Licence)	4
SHENG Xia	BNR434	Licensed Representative (Provisional Licence)	6
SHETH Kunal Hasvant	BNR241	Licensed Representative (Provisional Licence)	1, 4, 9
SHI Xiaomeng	BNN042	Licensed Representative (Provisional Licence)	4, 9
SHOU Chen	BLK919	Licensed Representative (Provisional Licence)	1, 2, 4
SIN Wai Ki	BNR978	Licensed Representative (Provisional Licence)	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
SIU Chi Shing	BNP475	Licensed Representative (Provisional Licence)	1, 2
SONG Hyun Mok	BNM211	Licensed Representative (Provisional Licence)	1, 4, 6
SONG Jin	BNS837	Licensed Representative (Provisional Licence)	1, 4, 6
SONG Wenqian	BNP483	Licensed Representative (Provisional Licence)	6
SONOGAN Mitchell Hugh	BNS222	Licensed Representative (Provisional Licence)	4
STEWART Jordan Daniel	BNR086	Licensed Representative (Provisional Licence)	4, 5, 9
SU Jiarui	BNP783	Licensed Representative (Provisional Licence)	1, 4
SU Lin	BNS371	Licensed Representative (Provisional Licence)	4
SU Xin	BNO900	Licensed Representative (Provisional Licence)	6
SUN Guoying	APJ352	Licensed Representative (Provisional Licence)	4
SUN MATTISON Linda Wu	BBF677	Licensed Representative (Provisional Licence)	1, 4
SUN Qirong	BNR195	Licensed Representative (Provisional Licence)	1, 4, 9
SUN Siqi	BNJ900	Licensed Representative (Provisional Licence)	1
SUNG Chih Ling	BNK730	Licensed Representative (Provisional Licence)	1, 4
SURANA Sandeep	BNO018	Licensed Representative (Provisional Licence)	1, 2
SUZUKI Yuta	BNP860	Licensed Representative (Provisional Licence)	6
TAM Yat Kit	AYP278	Licensed Representative (Provisional Licence)	1, 4, 9
TAN Hui Shang Serene	BNO561	Licensed Representative (Provisional Licence)	1
TAN Lei	BNR837	Licensed Representative (Provisional Licence)	10
TAN May Ee	BNP080	Licensed Representative (Provisional Licence)	1, 2
TAN Wan Sun	BNR253	Licensed Representative (Provisional Licence)	1, 4
TANAKA Eiji	AQY208	Licensed Representative (Provisional Licence)	1, 4, 9
TANG Aaron Jian Ye	BNS476	Licensed Representative (Provisional Licence)	1
TANG Wai Yau	BHS911	Licensed Representative (Provisional Licence)	1, 6
TANG Yat Sing	BNS762	Licensed Representative (Provisional Licence)	4
TANG Yujia	BNR318	Licensed Representative (Provisional Licence)	4, 9
TANG Ziqing	BNR776	Licensed Representative (Provisional Licence)	1, 6
TAU Weihua Robert	ARN628	Licensed Representative (Provisional Licence)	9
THILL Brent John	BEW121	Licensed Representative (Provisional Licence)	4
THORNE Giles Devenish	BNS459	Licensed Representative (Provisional Licence)	4
TORRES Phillip Allen	BNP858	Licensed Representative (Provisional Licence)	1, 4
TSAI Ching Hong	BNQ906	Licensed Representative (Provisional Licence)	1, 2
TSANG Eric Fan Zee	BNR255	Licensed Representative (Provisional Licence)	1, 2, 4, 5
TSANG Ling Him, Michael	ACX825	Licensed Representative (Provisional Licence)	9
TSE Chi Ling, Agnes	ASF705	Licensed Representative (Provisional Licence)	2, 5
TSE Chun Fan	BNR807	Licensed Representative (Provisional Licence)	1, 4
TSE Hok Man	BNR213	Licensed Representative (Provisional Licence)	1, 4
TSE Ka Wing	BLI086	Licensed Representative (Provisional Licence)	1
UEDA Koki	BNP454	Licensed Representative (Provisional Licence)	9
WAI Ching Kit Ashley	BNO854	Licensed Representative (Provisional Licence)	4, 9
WAN Nga Sze Janice	BNO895	Licensed Representative (Provisional Licence)	9
WANG Chuan	BNP734	Licensed Representative (Provisional Licence)	4, 9
WANG Dongzhe	BNT132	Licensed Representative (Provisional Licence)	1, 4
WANG He	BNQ521	Licensed Representative (Provisional Licence)	1, 6
WANG Junru	BNP842	Licensed Representative (Provisional Licence)	1, 4
WANG Kaixu	BNR667	Licensed Representative (Provisional Licence)	1, 6
WANG Lanzhou	BNP156	Licensed Representative (Provisional Licence)	1, 6
WANG Mengwen	BNS223	Licensed Representative (Provisional Licence)	4
WANG Shunyao	BNQ553	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Siqi	BNQ529	Licensed Representative (Provisional Licence)	1
WANG Teng	AXK862	Licensed Representative (Provisional Licence)	4
WANG Weijie	BNQ701	Licensed Representative (Provisional Licence)	4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
WANG Xichen	BNO523	Licensed Representative (Provisional Licence)	1, 6
WANG Xueqing	BNQ652	Licensed Representative (Provisional Licence)	6
WANG Youli	BNR007	Licensed Representative (Provisional Licence)	6
WANG Yufei	BNO772	Licensed Representative (Provisional Licence)	1, 4
WANG Yuxuan	AVS196	Licensed Representative (Provisional Licence)	9
WEI Ran	BNS446	Licensed Representative (Provisional Licence)	1, 2
WENG Dongzhen	BNS036	Licensed Representative (Provisional Licence)	1, 4, 6
WISSINK Stephanie Schiller	BNR485	Licensed Representative (Provisional Licence)	4
WONG Ho Kwan	BNP810	Licensed Representative (Provisional Licence)	1, 6
WONG Ho Yin	BNN673	Licensed Representative (Provisional Licence)	1, 2
WONG Kin Tao Jonathan	BNR392	Licensed Representative (Provisional Licence)	1
WONG Man Fong	BNP514	Licensed Representative (Provisional Licence)	1
WONG Mei Keung	AEA002	Licensed Representative (Provisional Licence)	1, 6
WONG Miu Wan	BNR830	Licensed Representative (Provisional Licence)	1, 4
WONG Sio Pang	BNS456	Licensed Representative (Provisional Licence)	1, 2
WONG Teck Ming Maverick	BNQ628	Licensed Representative (Provisional Licence)	1, 4
WONG Wai Chung	AXT241	Licensed Representative (Provisional Licence)	9
WONG Wai Yeung	BNR663	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Wing	ASK570	Licensed Representative (Provisional Licence)	1, 6
WONG Yau Hong Alex	BNQ625	Licensed Representative (Provisional Licence)	1, 4
WOO Ayane	BNR604	Licensed Representative (Provisional Licence)	1, 2
WU Chun Lai	AUW915	Licensed Representative (Provisional Licence)	1, 4
WU Ronald Ka Wai	BNS228	Licensed Representative (Provisional Licence)	1, 4, 6
WU Shuang	BNO745	Licensed Representative (Provisional Licence)	1
WU Yi Ting	BNS681	Licensed Representative (Provisional Licence)	1, 4
WU Yuchen	BNS723	Licensed Representative (Provisional Licence)	1
WU Yuting	BNR698	Licensed Representative (Provisional Licence)	1, 2, 4
XI Qi	BNQ524	Licensed Representative (Provisional Licence)	1, 6
XIAN Yingtong	BNQ934	Licensed Representative (Provisional Licence)	4, 9
XIANG Peipei	BNR398	Licensed Representative (Provisional Licence)	1, 2, 4
XIE Shengsi	BNR161	Licensed Representative (Provisional Licence)	1, 2, 4
XING Yanran	BNQ623	Licensed Representative (Provisional Licence)	4
XIONG Zhuang	BNR017	Licensed Representative (Provisional Licence)	1, 9
XU Chendi	AWL336	Licensed Representative (Provisional Licence)	1, 4, 9
XU Diyu	BNN874	Licensed Representative (Provisional Licence)	1, 4, 6
XU Fan	BNS113	Licensed Representative (Provisional Licence)	1, 6
XU Mengyang	BNS259	Licensed Representative (Provisional Licence)	1, 4, 6
XU Ning	BNP715	Licensed Representative (Provisional Licence)	6
XU Weijia	BNQ445	Licensed Representative (Provisional Licence)	4
XU Yining	BNO527	Licensed Representative (Provisional Licence)	1, 4, 6
XU Youwu	BKC183	Licensed Representative (Provisional Licence)	1, 2
XU Zhe	BNQ764	Licensed Representative (Provisional Licence)	1, 4
YAJIMA Tomoki	BNP002	Licensed Representative (Provisional Licence)	1, 4
YAMAUCHI Natsumu	BNP383	Licensed Representative (Provisional Licence)	1
YAN Dong	BNP764	Licensed Representative (Provisional Licence)	4, 9
YAN Guicheng	BNS315	Licensed Representative (Provisional Licence)	4
YANG Jufan	BNP676	Licensed Representative (Provisional Licence)	4
YANG Liu	BNP290	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Shanshan	BNP726	Licensed Representative (Provisional Licence)	10
YANG Sharon	AUX301	Licensed Representative (Provisional Licence)	1, 4
YANG Xue	BNO304	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Yafei	BNQ561	Licensed Representative (Provisional Licence)	1, 6

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
YANG Yufei	BNR142	Licensed Representative (Provisional Licence)	1, 4, 6
YE Dazheng	BNP400	Licensed Representative (Provisional Licence)	1, 6
YEE Michael Jonathan	BNQ737	Licensed Representative (Provisional Licence)	4
YEH Samuel	BNQ778	Licensed Representative (Provisional Licence)	1
YEUNG Hoi Ching, Joyce	BNR834	Licensed Representative (Provisional Licence)	1
YIN Haochuan	BNP854	Licensed Representative (Provisional Licence)	1, 2, 7
YIN Shengmei	BNQ603	Licensed Representative (Provisional Licence)	1, 6
YIN Yanhang	BNR675	Licensed Representative (Provisional Licence)	1, 6
YIN Yuran	BIG092	Licensed Representative (Provisional Licence)	4, 9
YING Jian Ling James	BNP759	Licensed Representative (Provisional Licence)	4, 9
YIP Mei Na	BAZ555	Licensed Representative (Provisional Licence)	1, 2
YIP Pui King	BHZ318	Licensed Representative (Provisional Licence)	1
YIU Ka Lai	AGG807	Licensed Representative (Provisional Licence)	1, 4
YIU Koon Lok, Marcus	BNO495	Licensed Representative (Provisional Licence)	1, 4
YIU Kwok To	BGZ156	Licensed Representative (Provisional Licence)	1
YIU Wing Yi	BNR005	Licensed Representative (Provisional Licence)	6
YOUNG Chiu Yin Edward	BNP242	Licensed Representative (Provisional Licence)	4, 9
YU Hao	BNP558	Licensed Representative (Provisional Licence)	4, 9
YUAN Ningyao	BAV325	Licensed Representative (Provisional Licence)	1, 6
ZDUN Greg Paul	BNF052	Licensed Representative (Provisional Licence)	1, 4, 6
ZENG Lingzi	BNP106	Licensed Representative (Provisional Licence)	1
ZENG Yu Kwan, Andrew	BNQ708	Licensed Representative (Provisional Licence)	1, 4, 6
ZHAI JIN	BNQ849	Licensed Representative (Provisional Licence)	10
ZHAN Chong	BHT580	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Dongyi	BNP551	Licensed Representative (Provisional Licence)	4, 9
ZHANG Geng Fan	BAP181	Licensed Representative (Provisional Licence)	1, 2, 4
ZHANG Jiahao	BNS460	Licensed Representative (Provisional Licence)	1, 2
ZHANG Jingzhong	BNP233	Licensed Representative (Provisional Licence)	1, 2, 7
ZHANG Lewei	BNQ646	Licensed Representative (Provisional Licence)	1, 6
ZHANG Liangzhi	BNR138	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Mingzhao	BNP871	Licensed Representative (Provisional Licence)	1, 4
ZHANG Qi	BNG080	Licensed Representative (Provisional Licence)	1
ZHANG Tao	BNN675	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Tianfei	BEV696	Licensed Representative (Provisional Licence)	4, 5, 9
ZHANG Tuofu	BNP604	Licensed Representative (Provisional Licence)	9
ZHANG Xinyue	BNP163	Licensed Representative (Provisional Licence)	1, 2
ZHANG Xu	BNP379	Licensed Representative (Provisional Licence)	1, 6
ZHANG Yi	BNR542	Licensed Representative (Provisional Licence)	1, 2
ZHANG Yunyan	BNP154	Licensed Representative (Provisional Licence)	9
ZHAO Dong	BNP778	Licensed Representative (Provisional Licence)	1, 4
ZHAO Guodong	BNF142	Licensed Representative (Provisional Licence)	4, 9
ZHAO Jean	BNR185	Licensed Representative (Provisional Licence)	1, 4
ZHAO Yuhao	BNO617	Licensed Representative (Provisional Licence)	1, 2
ZHAO Ziyi	BNR609	Licensed Representative (Provisional Licence)	1, 6
ZHENG Long	AYA192	Licensed Representative (Provisional Licence)	1, 4
ZHENG Qiaoshi	BNR824	Licensed Representative (Provisional Licence)	1, 9
ZHENG Ruimin	BNS350	Licensed Representative (Provisional Licence)	1, 4
ZHONG Bin	BCW456	Licensed Representative (Provisional Licence)	9
ZHOU Bo	BFD603	Licensed Representative (Provisional Licence)	1, 4
ZHOU Qiyuan	BNQ505	Licensed Representative (Provisional Licence)	4, 9
ZHOU Sen	BNQ630	Licensed Representative (Provisional Licence)	1, 4, 9
ZHOU Weihang	BNS273	Licensed Representative (Provisional Licence)	6



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
ZHOU Yuting	BNQ425	Licensed Representative (Provisional Licence)	1, 4, 9
ZHU Ning	BNS680	Licensed Representative (Provisional Licence)	1, 4
ZHU Xiaofei	BNQ604	Licensed Representative (Provisional Licence)	1, 6
ZHUANG Ziyang	BNO755	Licensed Representative (Provisional Licence)	10
ZONG Jiaying	BNR199	Licensed Representative (Provisional Licence)	4
ZOU Chuan	AVZ312	Licensed Representative (Provisional Licence)	1, 4
AHMAD HASHIM Noor Hashima Binti	BNP595	Licensed Representative (Temporary Licence)	1
AREVALO Alejandro	BME537	Licensed Representative (Temporary Licence)	1
ARROWSMITH Natalie Jane	AIG958	Licensed Representative (Temporary Licence)	1
ATLEE Brian Scott	BNS808	Licensed Representative (Temporary Licence)	1, 4
AUBIN Edouard Jean Laurent	BLT655	Licensed Representative (Temporary Licence)	1, 4
AVERILL John Franklin	BEJ063	Licensed Representative (Temporary Licence)	1, 4
BABBER ANUJ	BNQ949	Licensed Representative (Temporary Licence)	1
BACOW Jay Fleet	BNQ664	Licensed Representative (Temporary Licence)	1, 4, 5
BAKHURU Girish	BMX520	Licensed Representative (Temporary Licence)	4
BARRETT Lisa Dawn	BNR463	Licensed Representative (Temporary Licence)	10
BI Yan	BJS087	Licensed Representative (Temporary Licence)	1, 2
BRAIN Paul Robert	BLD597	Licensed Representative (Temporary Licence)	1, 4
BRIGHTON Todd Matthew	BNP332	Licensed Representative (Temporary Licence)	4
BURBANO Esteban	BLD950	Licensed Representative (Temporary Licence)	1, 4
BUTCHER Penelope Jane	ANG299	Licensed Representative (Temporary Licence)	1, 4
BUTTERWORTH Gregory John	ANJ643	Licensed Representative (Temporary Licence)	1
BYRNE Eric Charles Sprague	BII787	Licensed Representative (Temporary Licence)	1, 4
BYRNE James Robert	BNP385	Licensed Representative (Temporary Licence)	4
CAMPOS DIAZ Adrian Giancarlo	BHD137	Licensed Representative (Temporary Licence)	1
CAPANNA Derek Emmett	ARJ060	Licensed Representative (Temporary Licence)	1
CEREZO Rafael Alejandro	BMD882	Licensed Representative (Temporary Licence)	1
CHALOKEPUNRAT Sirichai	BGX415	Licensed Representative (Temporary Licence)	4
CHASE Brian Fitzgerald	BNQ779	Licensed Representative (Temporary Licence)	1, 4
CHEANG Olivia Tong	BNP613	Licensed Representative (Temporary Licence)	4
CHIA Kiara Kim Szu Shyan	AVT057	Licensed Representative (Temporary Licence)	4
CHING Yi Edmund	AKU003	Licensed Representative (Temporary Licence)	4
CHUA Minguang Donald	BEF380	Licensed Representative (Temporary Licence)	4
CHUKIAT Nicholas Wynn	BGA519	Licensed Representative (Temporary Licence)	1, 4
CLAIRON Adrien Henri Arturo	BNG157	Licensed Representative (Temporary Licence)	1, 2
COGHILL James Arthur Lawrence	AWC079	Licensed Representative (Temporary Licence)	4
COHEN Darren	AZF261	Licensed Representative (Temporary Licence)	1
CREPERIO Graziano	BNR752	Licensed Representative (Temporary Licence)	1
CROSS Sebastien Andrew	BML626	Licensed Representative (Temporary Licence)	4
CUMERLATO Riccardo	BNS403	Licensed Representative (Temporary Licence)	1
DIGENAN Thomas	BHD359	Licensed Representative (Temporary Licence)	1, 4
DUNNETT Brian David	BBH893	Licensed Representative (Temporary Licence)	1
ENOMOTO Takashi	BAZ545	Licensed Representative (Temporary Licence)	4
ESER Anton Norman Karl	BNO583	Licensed Representative (Temporary Licence)	1
EZAWA Kota	BDY402	Licensed Representative (Temporary Licence)	4
FAIRCLOUGH Jason Robert	BFP935	Licensed Representative (Temporary Licence)	4
FAN Jim Shujun	BNO496	Licensed Representative (Temporary Licence)	2, 5
FAN Yun-Chieh	BNO886	Licensed Representative (Temporary Licence)	1
FOX Glenn Michael	BIZ968	Licensed Representative (Temporary Licence)	1
FREMDER Dean Jason	BKE230	Licensed Representative (Temporary Licence)	1
GOONAN Anthony John	BMF399	Licensed Representative (Temporary Licence)	1
GRIFFITHS Matthew William Wilson	BLI070	Licensed Representative (Temporary Licence)	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
GUERRINI Gerard Alix	BNP243	Licensed Representative (Temporary Licence)	1, 4
GUISSI Mehdi	BNP420	Licensed Representative (Temporary Licence)	1
GUPTA Ashwin	BNQ654	Licensed Representative (Temporary Licence)	1, 2
GUTMAN Simeon Ari	BFO614	Licensed Representative (Temporary Licence)	1, 4
HADLEY Paul Jason	ANU724	Licensed Representative (Temporary Licence)	1
HAGIWARA Koichiro	BHH064	Licensed Representative (Temporary Licence)	4
HANDA Saurabh	BFA991	Licensed Representative (Temporary Licence)	4
HARADA Jun	AOR374	Licensed Representative (Temporary Licence)	4
HARIDASAN Pranav	BNR540	Licensed Representative (Temporary Licence)	1
HARNED Douglas Stuart	AWE188	Licensed Representative (Temporary Licence)	4
HARRISON Matthew Kelsey	BEZ680	Licensed Representative (Temporary Licence)	1, 4
HARTING Morgan Clothier	AXT012	Licensed Representative (Temporary Licence)	1
HASHIMOTO Takashi	BIJ499	Licensed Representative (Temporary Licence)	4
HE Cheng	BNS842	Licensed Representative (Temporary Licence)	1, 4
HEO Na Rae	BNR821	Licensed Representative (Temporary Licence)	4
HEYES Richard James	BIJ198	Licensed Representative (Temporary Licence)	1
HILL John Andrew	BMT336	Licensed Representative (Temporary Licence)	1
HIRATA Shingo	AYG042	Licensed Representative (Temporary Licence)	4
HSU Chen-Chih	BEE281	Licensed Representative (Temporary Licence)	4
HWANG Seung Hyun	AVA346	Licensed Representative (Temporary Licence)	1
IKEDA Yusuke	BHB831	Licensed Representative (Temporary Licence)	4
ISON Jonathan Alexander Nicholas	BNQ658	Licensed Representative (Temporary Licence)	1, 2
IWASAKI Kaori	BNO785	Licensed Representative (Temporary Licence)	4
JACKSON Paul James	BLF141	Licensed Representative (Temporary Licence)	1, 4
JAIN Aditya	BNQ984	Licensed Representative (Temporary Licence)	4
JAMES Robert	BNR791	Licensed Representative (Temporary Licence)	1
JANSEN Michael	BEI461	Licensed Representative (Temporary Licence)	1, 2
JIVRAJ Farouk Tazdin	BNR804	Licensed Representative (Temporary Licence)	1
JOHNSON Alexander George	BMS279	Licensed Representative (Temporary Licence)	4
JONES Benjamin Charles	BNP284	Licensed Representative (Temporary Licence)	1
KANGVANPANICH Pontip	BNO939	Licensed Representative (Temporary Licence)	4
KANNOURAKIS Joshua Charles	BMT559	Licensed Representative (Temporary Licence)	4
KAWAMOTO Hisae	BNP794	Licensed Representative (Temporary Licence)	4
KHOO-AIDELOJE Eileen Ai Yin	BAD617	Licensed Representative (Temporary Licence)	1, 4
KINOSHITA Yoshiyuki	BBL047	Licensed Representative (Temporary Licence)	4
KITANO Daisaku	BKL416	Licensed Representative (Temporary Licence)	1
KLEIN Jordan Michael	BNO493	Licensed Representative (Temporary Licence)	4
KONG Robert Patrick	BDQ917	Licensed Representative (Temporary Licence)	4
KUMAR Pallav	BNR358	Licensed Representative (Temporary Licence)	1
KURIHARA Satoshi	BJF229	Licensed Representative (Temporary Licence)	4
KURZ Peter Michael	ACN979	Licensed Representative (Temporary Licence)	4
LEAHY Timothy James	AWB788	Licensed Representative (Temporary Licence)	1
LEE Katryna Anne	BFA316	Licensed Representative (Temporary Licence)	1
LEE Moses	BDE482	Licensed Representative (Temporary Licence)	2, 5
LEE Seok Won	BNN710	Licensed Representative (Temporary Licence)	1
LEE Su Sie	BFU417	Licensed Representative (Temporary Licence)	4
LEE Young Gon	BNO013	Licensed Representative (Temporary Licence)	1, 2
LENVALSKAIA Uliana	BKZ557	Licensed Representative (Temporary Licence)	4
LI Fangzhou	BNP714	Licensed Representative (Temporary Licence)	1, 2
LIN Chia-Hua	BNN330	Licensed Representative (Temporary Licence)	1
LIPTON Matthew Thomas	BNI289	Licensed Representative (Temporary Licence)	1, 4
LIU Chiung-Fang	BMV071	Licensed Representative (Temporary Licence)	4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018**

CE Name	CE No.	Licence Type	Types of Regulated Activity
MACDONALD Robert Cumming	ACF190	Licensed Representative (Temporary Licence)	1
MACLEAN Murdo Alexander	BGK887	Licensed Representative (Temporary Licence)	1, 4
MAHAJAN Arjun Yash	BMV172	Licensed Representative (Temporary Licence)	1, 4
MAIR Hamish John Garrow	BNP041	Licensed Representative (Temporary Licence)	1
MALCOLM James David	BJL213	Licensed Representative (Temporary Licence)	4
MANZONE Jaime Che	BMU994	Licensed Representative (Temporary Licence)	4
MARTIN Robert Franklin	BNQ392	Licensed Representative (Temporary Licence)	4
MARTIN Troy Richard William	BHG437	Licensed Representative (Temporary Licence)	1
MATHRANI Nilima Ajay	BMT591	Licensed Representative (Temporary Licence)	1, 4
MAYNARD Ian Robert	BGR018	Licensed Representative (Temporary Licence)	1, 2
MCBRIDE Ryan Michael	BLD306	Licensed Representative (Temporary Licence)	1, 2
MCCASKER Grant David	ATL666	Licensed Representative (Temporary Licence)	4
MEHTA Rishi Kumar	BNP224	Licensed Representative (Temporary Licence)	1, 2
MIAH Thashmim	BNO741	Licensed Representative (Temporary Licence)	1
MILNE Anne Jean	BLE943	Licensed Representative (Temporary Licence)	4
MIVELAZ Gregoire Bernard	ASD683	Licensed Representative (Temporary Licence)	1
MOBLEY Amina Erica	BJG670	Licensed Representative (Temporary Licence)	1, 2
MOON Chung Joo	BNP182	Licensed Representative (Temporary Licence)	1, 4
MORA Nicolas Jean Marie	BNQ666	Licensed Representative (Temporary Licence)	1, 4
MORTON Michael Paul	BNO486	Licensed Representative (Temporary Licence)	4
MULHOLLAND David Terence	BMJ692	Licensed Representative (Temporary Licence)	4
MUNAKATA Minami	BIX260	Licensed Representative (Temporary Licence)	4
MURATA Alfred Taketo	BLE987	Licensed Representative (Temporary Licence)	1, 4
NAKATOMI Ryosuke	BKV309	Licensed Representative (Temporary Licence)	1
NARUSAWA Koh	AZK463	Licensed Representative (Temporary Licence)	1
NEUMAYER Elliott Richard	BMV317	Licensed Representative (Temporary Licence)	1
OKI Keiko	BNP005	Licensed Representative (Temporary Licence)	1, 2
ONG Li Ting Clarinda	BMD904	Licensed Representative (Temporary Licence)	1
OSIANDER Ernst Josef	BEE962	Licensed Representative (Temporary Licence)	1
PARANTHOIENE Andrew Leigh	BNR464	Licensed Representative (Temporary Licence)	10
PASQUALE Michael David	BIC448	Licensed Representative (Temporary Licence)	1
PATEL Sharad Nainesh	BNQ911	Licensed Representative (Temporary Licence)	1, 2
PATWARI Priyank	BHK693	Licensed Representative (Temporary Licence)	1
PHELPS Mark Ivor	AZE639	Licensed Representative (Temporary Licence)	1
PINEDA Arthur San Diego	AGL866	Licensed Representative (Temporary Licence)	4
PLUMBE Timothy James Samuel	BIM306	Licensed Representative (Temporary Licence)	4
POOL Jonathan Michael	AMU497	Licensed Representative (Temporary Licence)	1
POOLE Gregory Hauston	BIW523	Licensed Representative (Temporary Licence)	1
PRADINES Romain	BNS864	Licensed Representative (Temporary Licence)	1, 4
PRUNRATANAMALA Kasem	BMW395	Licensed Representative (Temporary Licence)	4
REEMER Jeffrey Ross	BIR381	Licensed Representative (Temporary Licence)	1, 4
ROMERO Julian Paul Juan Edouard	BNP755	Licensed Representative (Temporary Licence)	1
RUSSELL Matthew Alan	BMR122	Licensed Representative (Temporary Licence)	1
SABNIS Avadhoot Madhukar	BNR384	Licensed Representative (Temporary Licence)	4
SAFFAYE George Christopher	BNM174	Licensed Representative (Temporary Licence)	1, 4
SAIFEE Hussaini	BJV163	Licensed Representative (Temporary Licence)	4
SAIZ NICOLAS Jose Maria	BHQ100	Licensed Representative (Temporary Licence)	4
SALES Michael James	BEZ878	Licensed Representative (Temporary Licence)	1
SAMBOR Jean-Charles Pierre	BIQ355	Licensed Representative (Temporary Licence)	4
SAMPAT Hemen Bhupendra	BMR822	Licensed Representative (Temporary Licence)	1, 4
SATKO Erjon	BNQ789	Licensed Representative (Temporary Licence)	4
SCHAUFELBERGER Marie-Laure	BNR635	Licensed Representative (Temporary Licence)	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
SCICOLONE David Samuel	BMR946	Licensed Representative (Temporary Licence)	1, 4
SEO Jong Dae	AUV972	Licensed Representative (Temporary Licence)	2
SET Augustus	BNS626	Licensed Representative (Temporary Licence)	1, 4
SHAH Sneha	BNR366	Licensed Representative (Temporary Licence)	1
SHIBANO Masahiro	BFN431	Licensed Representative (Temporary Licence)	4
SHIEH Ming-Yung	AY1567	Licensed Representative (Temporary Licence)	1
SHUKLA Manish Bhupendra	BKJ049	Licensed Representative (Temporary Licence)	4
SINISI Vincent James	BNP241	Licensed Representative (Temporary Licence)	1, 4
SPODEK Stuart	BBL378	Licensed Representative (Temporary Licence)	1, 4
SPREADBURY Gary	BNP240	Licensed Representative (Temporary Licence)	1
STIMPSON Andrew David	BGM799	Licensed Representative (Temporary Licence)	4
SUTHERLAND Mary James	BNS618	Licensed Representative (Temporary Licence)	1
TAKEHANA Takatoshi	BNP701	Licensed Representative (Temporary Licence)	1
TANAKA Chikai	AWO799	Licensed Representative (Temporary Licence)	4
TANTISUWANNAKUL Uraiwan	BNP395	Licensed Representative (Temporary Licence)	4
TAYAL Kunal	BBL045	Licensed Representative (Temporary Licence)	4
THAPAR Vinay	BNR482	Licensed Representative (Temporary Licence)	1
THOMAS Frederic Francois Mathieu	BJN830	Licensed Representative (Temporary Licence)	1
TRUELOVE Keith Alan	AWF626	Licensed Representative (Temporary Licence)	1
TSANG Siu Hin, Gary	BJG568	Licensed Representative (Temporary Licence)	4
VANDERPUMP William James	BEG371	Licensed Representative (Temporary Licence)	4
VERBERK Victor	BNO793	Licensed Representative (Temporary Licence)	1
WANG Haoming	BNP232	Licensed Representative (Temporary Licence)	1, 2
WARD Peter Gerard	BNP036	Licensed Representative (Temporary Licence)	2, 5
WATANABE Ritsuo	BAZ846	Licensed Representative (Temporary Licence)	4
WHITE Tamera Jane	AVQ210	Licensed Representative (Temporary Licence)	1
WHITTON Zoe Anna	BNR248	Licensed Representative (Temporary Licence)	4
WILDGEN Isabelle	BEO904	Licensed Representative (Temporary Licence)	1, 4
WOOD Andrew Dennis	AYM450	Licensed Representative (Temporary Licence)	4
WOOD Robert Philip	BNR607	Licensed Representative (Temporary Licence)	1, 4
WRENN James Joseph	BJT987	Licensed Representative (Temporary Licence)	1
YADAV Prashant	BKC039	Licensed Representative (Temporary Licence)	1
YAMASHITA Chihiro	BNE474	Licensed Representative (Temporary Licence)	1
YAMAUCHI Riki	BNQ777	Licensed Representative (Temporary Licence)	1
YAZHARI Hootan	BLV945	Licensed Representative (Temporary Licence)	4
ZHA Wenyi	BJP471	Licensed Representative (Temporary Licence)	1
ZHANG Luxi	BNQ400	Licensed Representative (Temporary Licence)	1, 4