

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2018**

| CE Name   | CE No. | License Type            | Types of Regulated Activity |
|---|--------|-------------------------|-----------------------------|
| AJ Corporate Finance Limited                    | BNG305 | Licensed Corporation    | 6                           |
| Alpine Corporate Finance Limited                | BNE664 | Licensed Corporation    | 6                           |
| Caitong International Capital Co., Limited      | BNO823 | Licensed Corporation    | 1, 6                        |
| Changfeng Capital Group Limited                 | BNH681 | Licensed Corporation    | 4, 9                        |
| Da Wan Asset Management Limited                 | BMZ538 | Licensed Corporation    | 4, 9                        |
| Dragon Bull Asset Management Limited            | BND311 | Licensed Corporation    | 4, 9                        |
| Elite Capital Management Limited                | BNJ945 | Licensed Corporation    | 4, 9                        |
| Excellent Success Investments Limited           | BIL855 | Licensed Corporation    | 1                           |
| GoldBlackBlue Investment Limited                | BMX556 | Licensed Corporation    | 1                           |
| Grandbay Capital Limited                        | BND576 | Licensed Corporation    | 4, 9                        |
| Hartford Capital Limited                        | BNN686 | Licensed Corporation    | 9                           |
| Hentech Global Management Company Limited       | BND716 | Licensed Corporation    | 9                           |
| iCapital Advisory Limited                       | BNN296 | Licensed Corporation    | 1, 4                        |
| LiZen Asset Management Limited                  | BNK797 | Licensed Corporation    | 4, 9                        |
| Navire International Investment Limited         | BNC457 | Licensed Corporation    | 4, 9                        |
| Octo Rivers Asset Management (HK) Limited       | BNI217 | Licensed Corporation    | 9                           |
| OIL Assets Securities Limited                   | BMW569 | Licensed Corporation    | 1                           |
| PATRIZIA Hong Kong Limited                      | BNN110 | Licensed Corporation    | 1, 4                        |
| Silk Road Construction Asset Management Limited | BNM924 | Licensed Corporation    | 4, 9                        |
| Super Harvest Securities and Futures Limited    | BNC350 | Licensed Corporation    | 1, 2                        |
| Synergy Futures Limited                         | BMV449 | Licensed Corporation    | 2                           |
| United Talent Ventures (Hong Kong) Limited      | BNG026 | Licensed Corporation    | 4, 9                        |
| Y-Intercept (Hong Kong) Limited                 | BND474 | Licensed Corporation    | 9                           |
| YONXI International Finance Holdings Limited    | BNC796 | Licensed Corporation    | 9                           |
| AGRAWAL Vishal Surajratan                       | BNS689 | Licensed Representative | 1, 4, 9                     |
| AU Pui Ho                                       | BNX486 | Licensed Representative | 1, 2, 7                     |
| AU Siu Kit                                      | BNU975 | Licensed Representative | 1                           |
| AU Wing Yan Marion                              | BNV458 | Licensed Representative | 1                           |
| BAGAN Joanne Fredell                            | BNU356 | Licensed Representative | 1                           |
| BAI yun   | BNU399 | Licensed Representative | 1, 4, 9                     |
| BI Mingqiang                                    | BNP254 | Licensed Representative | 1, 4, 6                     |
| BIAN Difei                                      | BNS305 | Licensed Representative | 4                           |
| BOND Phillip Matthew                            | AWZ057 | Licensed Representative | 1                           |
| CAI Jun   | BNU312 | Licensed Representative | 1, 4, 6                     |
| CAI Qian  | BNU529 | Licensed Representative | 4                           |
| CHAE Sun Byoung                                 | BNV267 | Licensed Representative | 1, 4, 9                     |
| CHAI Sheng Min                                  | BNV473 | Licensed Representative | 1, 2                        |
| CHAN Chak Ming                                  | AJM690 | Licensed Representative | 1, 4                        |
| CHAN Hung Fai                                   | BNQ607 | Licensed Representative | 4, 9                        |
| CHAN Kwun Yin Kenneth                           | BNW998 | Licensed Representative | 1, 4                        |
| CHAN Lam  | BNS709 | Licensed Representative | 1                           |
| CHAN Lui  | AVD266 | Licensed Representative | 2                           |
| CHAN Pak Yan Cherry                             | BNR759 | Licensed Representative | 6                           |
| CHAN Philip                                     | ACA716 | Licensed Representative | 1, 4                        |
| CHAN Pok Hei                                    | BNW897 | Licensed Representative | 4                           |
| CHAN Pui Hang Peri                              | BNV088 | Licensed Representative | 1, 9                        |
| CHAN Raymond Ho Ming                            | AQJ203 | Licensed Representative | 1, 2                        |
| CHAN Wai Yin                                    | BNG995 | Licensed Representative | 1                           |
| CHAN Wai Yin                                    | BNS341 | Licensed Representative | 1                           |
| CHAN Wing Sze                                   | BNS771 | Licensed Representative | 2                           |
| CHANG Kwok Ho                                   | ASD335 | Licensed Representative | 1                           |
| CHANG Mei-Lin                                   | BNU255 | Licensed Representative | 1                           |
| CHAU Chor Ping                                  | BNU876 | Licensed Representative | 1, 4                        |

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| <b>CE Name</b>            | <b>CE No.</b> | <b>License Type</b>     | <b>Types of Regulated Activity</b> |
|---------------------------|---------------|-------------------------|------------------------------------|
| CHEN Bingyao              | BNU664        | Licensed Representative | 9                                  |
| CHEN Hsiang Ling          | BNU547        | Licensed Representative | 1, 4, 9                            |
| CHEN Liguao               | BNU961        | Licensed Representative | 6                                  |
| CHEN Peijie               | BNU863        | Licensed Representative | 6                                  |
| CHEN Tianzhe              | BNI310        | Licensed Representative | 1, 4                               |
| CHEN Wei                  | BNU878        | Licensed Representative | 9                                  |
| CHEN Wenkai               | BNV274        | Licensed Representative | 1, 4                               |
| CHEN Xi                   | BNU854        | Licensed Representative | 4, 9                               |
| CHEN Xinchen              | BMT453        | Licensed Representative | 6                                  |
| CHEN Yifan                | BNU461        | Licensed Representative | 4, 5, 9                            |
| CHEN Yingchong            | BNV053        | Licensed Representative | 1, 4                               |
| CHEN Yiwen                | BNV506        | Licensed Representative | 1, 6                               |
| CHEN Yu                   | BEM653        | Licensed Representative | 1, 4, 9                            |
| CHENG Li                  | AZB216        | Licensed Representative | 4, 9                               |
| CHENG Mei Ting            | BNO681        | Licensed Representative | 1                                  |
| CHENG Wing Chung          | BNU320        | Licensed Representative | 1, 2                               |
| CHEUNG Alley              | BNS366        | Licensed Representative | 1, 4                               |
| CHEUNG Hoi Li             | BNU993        | Licensed Representative | 1, 2, 9                            |
| CHEUNG Jennifer Chu San   | BNS363        | Licensed Representative | 1                                  |
| CHEUNG Ka Tsing Veronica  | BNU451        | Licensed Representative | 6                                  |
| CHEUNG Kam San            | BJC186        | Licensed Representative | 6                                  |
| CHEUNG Man Ka             | BNR762        | Licensed Representative | 4                                  |
| CHEUNG Pui Chak Arthur    | BNU850        | Licensed Representative | 9                                  |
| CHEUNG Ronald Yat Sau     | AHJ471        | Licensed Representative | 1, 4, 9                            |
| CHIM Dixon                | BNV619        | Licensed Representative | 1, 4                               |
| CHIU Chung Ming           | BNU127        | Licensed Representative | 10                                 |
| CHIU Sui Ling             | BNV803        | Licensed Representative | 1, 9                               |
| CHOI Byungjun             | BNU508        | Licensed Representative | 4                                  |
| CHOI Chung Yan            | BFE049        | Licensed Representative | 1                                  |
| CHOI Kar Ki               | BNU245        | Licensed Representative | 4                                  |
| CHOI Mon Hon              | BNU088        | Licensed Representative | 1, 6                               |
| CHOW Kin Hang             | AZW587        | Licensed Representative | 1, 4, 9                            |
| CHOY Chun Leung           | BNV567        | Licensed Representative | 4, 9                               |
| CHOY Pui Shuen            | BNU916        | Licensed Representative | 1                                  |
| CHRYSSAFIS Jonathon       | BNU067        | Licensed Representative | 1, 2                               |
| CHU Ka Man                | BGK281        | Licensed Representative | 1, 4                               |
| CHU Kee Hing Angela       | BNS154        | Licensed Representative | 1, 4                               |
| CHU Mingyu                | BNV031        | Licensed Representative | 9                                  |
| CHU Yuan Chen             | BNT780        | Licensed Representative | 1, 2                               |
| CHUI Chi Kin              | BNU447        | Licensed Representative | 1, 4, 6                            |
| CHUI Yuk Kuen Cara        | BIQ885        | Licensed Representative | 9                                  |
| CHUN Chung Kit            | BNW258        | Licensed Representative | 1, 4                               |
| CHUNG Cheuk Wun Virginia  | BNU964        | Licensed Representative | 4                                  |
| CHUNG Hang Man            | BGH966        | Licensed Representative | 4, 9                               |
| CHUNG Siu Lun             | BNU893        | Licensed Representative | 9                                  |
| CHUNG Wing Leong, Kenneth | ALQ877        | Licensed Representative | 4, 9                               |
| CHUNG Yu Yeung            | BNU525        | Licensed Representative | 1, 6                               |
| CHUNG Zita Sze Dar        | AYJ572        | Licensed Representative | 4, 9                               |
| CLARK Peter Tilman        | BNS935        | Licensed Representative | 1                                  |
| CLARKE Andrew Kenneth     | ADT180        | Licensed Representative | 1                                  |
| CLISSOLD Samuel Dane      | BNU388        | Licensed Representative | 4                                  |
| COHEN Yoann Henri Aaron   | BNU725        | Licensed Representative | 1, 2, 7                            |
| CROSS Ian Cameron         | AQB165        | Licensed Representative | 1, 2                               |

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| CE Name                       | CE No. | License Type            | Types of Regulated Activity |
|-------------------------------|--------|-------------------------|-----------------------------|
| CUNDAPEN Nalini               | BNY380 | Licensed Representative | 4                           |
| DAI Min                       | BHQ112 | Licensed Representative | 1, 4, 5                     |
| DANIELS Andrew Scott          | BNP421 | Licensed Representative | 4                           |
| DENG Lunkun                   | BNU241 | Licensed Representative | 1                           |
| DENG Yuqun                    | BGG959 | Licensed Representative | 6                           |
| DHIR Sachin                   | ARF792 | Licensed Representative | 9                           |
| DONG Jizhou                   | BEW779 | Licensed Representative | 4                           |
| DONG Zhen                     | AMP550 | Licensed Representative | 9                           |
| DORR KEVIN                    | BNR608 | Licensed Representative | 1, 4                        |
| ENDER Rainer Markus           | BNU231 | Licensed Representative | 1                           |
| FAN Ning                      | BNV011 | Licensed Representative | 1, 6                        |
| FAN Tsun Wai                  | BJM251 | Licensed Representative | 6                           |
| FAN Xueling                   | BNU259 | Licensed Representative | 1, 2, 4                     |
| FAN Yi                        | AUV739 | Licensed Representative | 1                           |
| FERRET Thibaut                | BNU019 | Licensed Representative | 1, 4, 9                     |
| FINN Stuart Anthony           | BNV001 | Licensed Representative | 1, 4, 9                     |
| FU Man Kit                    | BNU100 | Licensed Representative | 9                           |
| FU Mengyu                     | BNR646 | Licensed Representative | 4, 9                        |
| FU Shubang                    | BNV515 | Licensed Representative | 6                           |
| FUNG Chun Ho                  | AVV720 | Licensed Representative | 9                           |
| FUNG Chun Nam                 | ANU964 | Licensed Representative | 9                           |
| FUNG Shing Wai                | AWJ859 | Licensed Representative | 2, 5                        |
| FUNG Siu Kwun Foster          | BNU555 | Licensed Representative | 6                           |
| GAO Gehong                    | BNT987 | Licensed Representative | 1, 4, 9                     |
| GAO Xiang                     | BNU645 | Licensed Representative | 1, 2, 4                     |
| GAO Xiong                     | BNS044 | Licensed Representative | 1, 6                        |
| GAO Yiyuan                    | BNU187 | Licensed Representative | 1, 4, 6                     |
| GAO Zhongyuan                 | BNU678 | Licensed Representative | 6                           |
| GONG Yuzhe                    | BNT819 | Licensed Representative | 1, 9                        |
| GOYAL Priyal                  | BNS046 | Licensed Representative | 1, 2                        |
| GU Fei                        | BNV539 | Licensed Representative | 1                           |
| GU Wanru                      | BNY043 | Licensed Representative | 4, 9                        |
| GUAN Peng                     | BNU877 | Licensed Representative | 4                           |
| GUHATHAS Rogaan               | BNU064 | Licensed Representative | 1, 4, 9                     |
| GUIOT Bertrand Renaud Georges | BNR252 | Licensed Representative | 1, 4                        |
| GUO Boyi                      | BNU690 | Licensed Representative | 4                           |
| GUO Yichen                    | BNR536 | Licensed Representative | 4                           |
| GUPTA Ateev                   | BNU646 | Licensed Representative | 1, 2, 4                     |
| HALLEY Keith Thomas           | BBQ714 | Licensed Representative | 1, 2, 4                     |
| HAN Bing                      | BNV044 | Licensed Representative | 4                           |
| HAN Shuai                     | BNU737 | Licensed Representative | 9                           |
| HAN Xuyi                      | BNT117 | Licensed Representative | 1, 2, 4, 5                  |
| HASSAN Glenn Perdana Firasli  | BNU062 | Licensed Representative | 1, 4, 6                     |
| HATTORI Akito                 | BNN819 | Licensed Representative | 1                           |
| HE Bo                         | BNR757 | Licensed Representative | 1, 4, 9                     |
| HE Jamie Ruo Xin              | BNS468 | Licensed Representative | 4                           |
| HE Qiliang                    | BNR809 | Licensed Representative | 1, 6                        |
| HE Sirong                     | BNP602 | Licensed Representative | 1, 4, 9                     |
| HE Xiaofei                    | BNU624 | Licensed Representative | 1, 4                        |
| HE Zirong                     | BNU189 | Licensed Representative | 1, 4                        |
| HEUNG Wing Sze, Wincy         | BNU326 | Licensed Representative | 1, 2                        |
| HIEW Juin Jye                 | BNV309 | Licensed Representative | 1, 4, 9                     |
| HO Sau Ping                   | BJH020 | Licensed Representative | 1, 4, 6                     |

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| CE Name                | CE No. | License Type            | Types of Regulated Activity |
|------------------------|--------|-------------------------|-----------------------------|
| HO Sheung Yin          | BNU559 | Licensed Representative | 1, 2                        |
| HO Tin Chuen Brian     | BNU092 | Licensed Representative | 1, 4, 9                     |
| HO Wing Yee, Winnie    | BNU415 | Licensed Representative | 1                           |
| HON Sin Man            | BNU527 | Licensed Representative | 1, 4, 9                     |
| HONG Renjun            | BNS788 | Licensed Representative | 1, 4, 9                     |
| HONG Tsz Leung         | ANG331 | Licensed Representative | 4, 9                        |
| HOWARD Matthew John    | BNU526 | Licensed Representative | 1, 4                        |
| HU Hongzhang           | BNU513 | Licensed Representative | 4, 9                        |
| HU Xuesen              | BNU537 | Licensed Representative | 4                           |
| HU Yuhao               | BNJ944 | Licensed Representative | 1, 4                        |
| HUANG Jianhong         | BNT924 | Licensed Representative | 1, 6                        |
| HUANG Qiliang          | BNU886 | Licensed Representative | 1, 6                        |
| HUANG Xinyi            | BNV279 | Licensed Representative | 1, 6                        |
| HUANG Yinjun           | BAI389 | Licensed Representative | 1, 4, 6                     |
| HUANG Yuelun           | BNV533 | Licensed Representative | 1, 4                        |
| HUANG Zenan            | BNV010 | Licensed Representative | 4, 9                        |
| HUANG Zhi              | BNU533 | Licensed Representative | 9                           |
| HUI Chun Him           | BNU680 | Licensed Representative | 6                           |
| HUI Kwok Kin           | BNS461 | Licensed Representative | 1, 4                        |
| HUI Mui Sum            | BNR193 | Licensed Representative | 1                           |
| HUI Ryan               | BNS261 | Licensed Representative | 1, 2, 3                     |
| HUI Sze Tat            | BNV085 | Licensed Representative | 1, 2                        |
| HUNG Pik Kwan          | AGF319 | Licensed Representative | 1, 4                        |
| HWANG Kyung Hyun       | BNU050 | Licensed Representative | 1                           |
| IM Hyejin              | BNU311 | Licensed Representative | 1, 4, 9                     |
| IP Stanley Ho-yin      | BNU401 | Licensed Representative | 1, 2                        |
| JAIN Vaibhav           | BNV479 | Licensed Representative | 4                           |
| JIN Ming               | BNS991 | Licensed Representative | 1, 4, 9                     |
| JO Kotaku              | BNU538 | Licensed Representative | 1, 4, 9                     |
| JOU Geoffrey           | BNS140 | Licensed Representative | 1, 2                        |
| KANAI Kentaro          | BNV101 | Licensed Representative | 4, 5, 9                     |
| KELLY Stephen Wood     | AQF041 | Licensed Representative | 2, 5                        |
| KELLY Violeta          | BNU075 | Licensed Representative | 1, 6                        |
| KEUNG Ki San           | BNT139 | Licensed Representative | 1, 4                        |
| KIM Gwi Chul           | BNU939 | Licensed Representative | 1, 4                        |
| KIM Jung Soo           | BNU531 | Licensed Representative | 1, 9                        |
| KIM Sung Hun           | BNU578 | Licensed Representative | 1, 4, 9                     |
| KOAY Yi Chuan          | BNO680 | Licensed Representative | 1, 4, 9                     |
| KU Peter Chun Chung    | BNV288 | Licensed Representative | 2                           |
| KUSUNOKI Hidenori      | BNR348 | Licensed Representative | 4                           |
| KWAN Chun Fei          | BNV561 | Licensed Representative | 4                           |
| KWOK Yui Fai           | AWP669 | Licensed Representative | 1, 4                        |
| KWONG Chi Kit, Dickson | BNU325 | Licensed Representative | 1, 4                        |
| LAI Ho Yi              | BNU702 | Licensed Representative | 4, 9                        |
| LAI Hoi Wah            | BNU553 | Licensed Representative | 6                           |
| LAI Qimei              | BNV338 | Licensed Representative | 1, 4, 6                     |
| LAI Wing Nga           | BNV512 | Licensed Representative | 1                           |
| LAM Jason Tze Hin      | BNR799 | Licensed Representative | 6                           |
| LAM Kwun Hei           | BNU676 | Licensed Representative | 6                           |
| LAM Tsun Kit           | BNU755 | Licensed Representative | 1, 4, 6                     |
| LAM Wing Chuen, Edward | AHN072 | Licensed Representative | 1                           |
| LAM Yik Man            | BNR320 | Licensed Representative | 1, 2                        |
| LANG Ning              | BNX456 | Licensed Representative | 6                           |

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| CE Name                 | CE No. | License Type            | Types of Regulated Activity |
|-------------------------|--------|-------------------------|-----------------------------|
| LAU Chi Him Christopher | BNV545 | Licensed Representative | 1, 4, 6                     |
| LAU Ka Yan              | BNU707 | Licensed Representative | 1, 4                        |
| LAU Kan Wah             | ABT973 | Licensed Representative | 1, 4, 9                     |
| LAU Nga Yeung           | ALQ199 | Licensed Representative | 1, 2                        |
| LAU Yu Wing             | BAM863 | Licensed Representative | 1, 4                        |
| LAW Ching Pui           | BNT065 | Licensed Representative | 1, 4, 9                     |
| LAW Mei Chi             | BNT060 | Licensed Representative | 1                           |
| LAW Patricia Oi Yee     | BNV861 | Licensed Representative | 9                           |
| LAW Pui Lam Charlotte   | BNU078 | Licensed Representative | 6                           |
| LEADSOM David James     | BNU517 | Licensed Representative | 1, 4                        |
| LEE Ai Ling             | BNU246 | Licensed Representative | 1, 2                        |
| LEE Bong Tae            | AQP646 | Licensed Representative | 1, 4                        |
| LEE Chang Cheng         | BNU261 | Licensed Representative | 2, 5                        |
| LEE Chi Hang, Henry     | BKG199 | Licensed Representative | 1                           |
| LEE Chun On John        | BNU213 | Licensed Representative | 1, 2, 9                     |
| LEE Chun Wing           | BNU558 | Licensed Representative | 9                           |
| LEE Joseph Won          | ANB457 | Licensed Representative | 1, 2, 7                     |
| LEE Kam In              | BNT045 | Licensed Representative | 1, 2, 4, 5                  |
| LEE Kin Sang, Kenneth   | BNU154 | Licensed Representative | 1, 4                        |
| LEE Seok Won            | BNN710 | Licensed Representative | 1                           |
| LEE Siu Hang Foster     | BML668 | Licensed Representative | 1                           |
| LEE Sze Pui             | BDJ753 | Licensed Representative | 1, 4                        |
| LEE Wing Ki             | BNU621 | Licensed Representative | 1, 2                        |
| LEE Yee Hang Bosco      | BNS662 | Licensed Representative | 1, 4, 9                     |
| LEE Yuan Tse, Edward    | AGF152 | Licensed Representative | 6                           |
| LEUNG Man Leong         | BNT863 | Licensed Representative | 1, 4                        |
| LEUNG Yuk Sim, Corinna  | AJD996 | Licensed Representative | 1                           |
| LI Doudou               | BNU242 | Licensed Representative | 4                           |
| LI Jing                 | AUG569 | Licensed Representative | 1, 4, 6                     |
| LI Jinglin              | BNR815 | Licensed Representative | 4                           |
| LI Junhui               | BKT337 | Licensed Representative | 1, 4, 9                     |
| LI Mengru               | BNS481 | Licensed Representative | 2, 5                        |
| LI Ruo Yu               | BNO559 | Licensed Representative | 4, 9                        |
| LI Yi                   | BNU058 | Licensed Representative | 1, 6                        |
| LI Zhuyu                | AOG668 | Licensed Representative | 6                           |
| LIANG Yuxuan            | BNX275 | Licensed Representative | 1, 6                        |
| LIAO Hanqing            | BNU673 | Licensed Representative | 1, 6                        |
| LIAO Yuan-Ching         | BNQ806 | Licensed Representative | 4, 9                        |
| LIAO Yunpeng            | BIR872 | Licensed Representative | 1, 4, 9                     |
| LIM Wei Han             | BNU272 | Licensed Representative | 1                           |
| LIN Chin-Yu             | BJC342 | Licensed Representative | 1                           |
| LIN Dezhi               | BNR198 | Licensed Representative | 3                           |
| LIN Tzu-Han             | BNU524 | Licensed Representative | 1, 4                        |
| LIN Wenting             | BNT058 | Licensed Representative | 6                           |
| LIN Yue                 | BNU010 | Licensed Representative | 1, 6                        |
| LIN Yu-Hsien            | BNV691 | Licensed Representative | 1                           |
| LIU Allen Weihan        | BNU872 | Licensed Representative | 4, 9                        |
| LIU Chang               | BNT779 | Licensed Representative | 1, 6                        |
| LIU Guangwei            | BNV593 | Licensed Representative | 1, 4, 9                     |
| LIU Han                 | AXC845 | Licensed Representative | 9                           |
| LIU Hongkun             | BNS453 | Licensed Representative | 1, 2                        |
| LIU Jiazhou             | BNU059 | Licensed Representative | 4                           |
| LIU Shuangfeng          | BNU539 | Licensed Representative | 4                           |

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|-----------------------------|--------|-------------------------|-----------------------------|
| LIU Sunliang                | BNU851 | Licensed Representative | 4                           |
| LIU Wai Yip                 | BJT487 | Licensed Representative | 1                           |
| LIU Xiaoning                | BNV582 | Licensed Representative | 4                           |
| LIU Xiujie                  | BNS434 | Licensed Representative | 1, 6                        |
| LIU Yixuan                  | BNU065 | Licensed Representative | 1, 6                        |
| LIU Yu Chun                 | BNU044 | Licensed Representative | 4, 9                        |
| LO Yuk Fai Jamin            | BNT198 | Licensed Representative | 1                           |
| LU Huali                    | BNV836 | Licensed Representative | 1                           |
| LU Yan                      | BNO497 | Licensed Representative | 1, 2                        |
| LU Zeyu                     | BNW967 | Licensed Representative | 1, 4                        |
| LUI Jonathan Ching-wo       | BNV043 | Licensed Representative | 9                           |
| LUK Hiu Man                 | BNW242 | Licensed Representative | 1, 2                        |
| LUK Tsz Ming                | BNQ785 | Licensed Representative | 5                           |
| LUM Wai Yee                 | BNS710 | Licensed Representative | 1                           |
| LUO Jiageng                 | BNU054 | Licensed Representative | 4                           |
| LUO Pei                     | BNV004 | Licensed Representative | 4, 9                        |
| MA Jiajia                   | BNU675 | Licensed Representative | 1, 6                        |
| MA Ka Man                   | BAC046 | Licensed Representative | 1, 4                        |
| MA Maurice Sze Chung        | BNT990 | Licensed Representative | 1, 2                        |
| MA Qiang                    | BNU271 | Licensed Representative | 1, 6                        |
| MA Xiuling                  | BNU421 | Licensed Representative | 2                           |
| MA Yilin                    | BNU395 | Licensed Representative | 1, 6                        |
| MAK Nelson Ngai Long        | BNV489 | Licensed Representative | 1, 6                        |
| MAO Zhe                     | BNT074 | Licensed Representative | 1, 4                        |
| MAO Zihan                   | BNU412 | Licensed Representative | 6                           |
| MAR Jonathan Haoyan         | BNT178 | Licensed Representative | 4, 9                        |
| MARKUS Paul Philip          | BNU773 | Licensed Representative | 1, 9                        |
| MATSUDA Keiya               | BNU699 | Licensed Representative | 1                           |
| MATSUNAGA Martin Hideyuki   | BNT940 | Licensed Representative | 1, 4, 6                     |
| MENG Songyu                 | BNV959 | Licensed Representative | 1, 4                        |
| MISTRY Sachin Rohit Shiam   | ARS999 | Licensed Representative | 9                           |
| MOK Ting Ting               | BNU216 | Licensed Representative | 1, 4                        |
| MOU Chung Him               | BNV585 | Licensed Representative | 1                           |
| MOULDER Anthony Bruce       | BFB113 | Licensed Representative | 4                           |
| MOUSSA Imene                | BNU976 | Licensed Representative | 1                           |
| NAKAGUCHI Michihiro         | BNR971 | Licensed Representative | 1, 2                        |
| NARISEPALLI Karthik         | BNU577 | Licensed Representative | 9                           |
| NG Chung Ming               | ACK075 | Licensed Representative | 3                           |
| NG Jun                      | BNV806 | Licensed Representative | 6                           |
| NG Li Sheng Jason           | BGG975 | Licensed Representative | 9                           |
| NG Man Hin                  | BNQ455 | Licensed Representative | 1, 4, 9                     |
| NG Shan Yung                | ALZ161 | Licensed Representative | 1                           |
| NGAI Shuk Wa Summy          | AQG751 | Licensed Representative | 1                           |
| NGO Hiu Fung                | BDX181 | Licensed Representative | 1, 4, 9                     |
| NOGRALES Marseille Navarro  | BNU774 | Licensed Representative | 1                           |
| NOZAKI Takuya               | BNT223 | Licensed Representative | 1, 4, 6                     |
| OH Soo Hoon                 | BNS482 | Licensed Representative | 1, 4                        |
| OH Steve Sang Jin           | BNV292 | Licensed Representative | 9                           |
| O'LEARY Jason Sean          | BNT993 | Licensed Representative | 1, 4                        |
| OR Lai Yung                 | APO047 | Licensed Representative | 6                           |
| OU Yingjie                  | BNO196 | Licensed Representative | 9                           |
| OUYANG Zimo                 | BNU405 | Licensed Representative | 1, 2, 6                     |
| PADER Stephane Michel Jacob | BNU917 | Licensed Representative | 1, 2                        |

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|------------------------------|--------|-------------------------|-----------------------------|
| PANG Ka-hin Adrian           | BMV671 | Licensed Representative | 9                           |
| PANG Shu Wai William         | AWY264 | Licensed Representative | 4, 9                        |
| PARK Jae Yong                | BNW924 | Licensed Representative | 1                           |
| PARKASH Ashvin               | BNU114 | Licensed Representative | 1                           |
| PATEL Anjali Mahendra        | BNV583 | Licensed Representative | 1, 4                        |
| PAU Tien Sum, Esme           | ATP176 | Licensed Representative | 4                           |
| PENG Elizabeth Jingxi        | BNP012 | Licensed Representative | 4                           |
| PENG Fei                     | BNU244 | Licensed Representative | 4                           |
| PO Choi Kwai                 | BMI091 | Licensed Representative | 1, 4                        |
| PONDOMATI CHANDRAPPA Sandeep | BNU249 | Licensed Representative | 1, 2                        |
| QIAN Congyi                  | BNU186 | Licensed Representative | 1, 4, 9                     |
| QIAN Yifei                   | BNU994 | Licensed Representative | 9                           |
| QIAO Zheyuan                 | BNW162 | Licensed Representative | 1                           |
| QIU Fenghao                  | BNU777 | Licensed Representative | 1                           |
| QIU Huanyuan                 | BNU250 | Licensed Representative | 1                           |
| QUACH Julien Stanislas       | BNU282 | Licensed Representative | 1, 9                        |
| QUINN Nicholas Francis       | BNU897 | Licensed Representative | 1                           |
| REN Mengqi                   | BBR070 | Licensed Representative | 1, 6                        |
| REN Xiaodong                 | BNS796 | Licensed Representative | 1, 4, 9                     |
| ROLLINS Stuart Liam          | BNU301 | Licensed Representative | 1, 4                        |
| RONG Yao                     | BNV445 | Licensed Representative | 6                           |
| RUDICK Todd David            | BNV844 | Licensed Representative | 1, 7                        |
| RUI Xue                      | BNU844 | Licensed Representative | 1, 4                        |
| SAITA Kenichi                | BNU514 | Licensed Representative | 4                           |
| SALGAONKAR Sonali            | BNT029 | Licensed Representative | 4                           |
| SAPKOTA Shikta               | BNS716 | Licensed Representative | 1                           |
| SARAF Shyam                  | BNU836 | Licensed Representative | 1, 6                        |
| SHANG Lei                    | BNH677 | Licensed Representative | 6                           |
| SHAO Dongni                  | BNU273 | Licensed Representative | 4                           |
| SHEA Miu Yu                  | ARP337 | Licensed Representative | 4, 9                        |
| SHEN Hung Yat, Allan         | AIC834 | Licensed Representative | 1, 2                        |
| SHEN Lihua                   | BNS150 | Licensed Representative | 1                           |
| SHI Haoshu                   | BNS906 | Licensed Representative | 6                           |
| SHI Hui                      | BJA032 | Licensed Representative | 1, 4, 6                     |
| SHOU Chen                    | BLK919 | Licensed Representative | 1, 2, 4                     |
| SIDOROV WONG Hiu Hung        | AYM838 | Licensed Representative | 1, 4                        |
| SIU Wing Sze                 | BFP892 | Licensed Representative | 1, 4                        |
| SO Kin Yip                   | AIU263 | Licensed Representative | 1                           |
| SO Vincent Hon Pong          | ADB610 | Licensed Representative | 1, 4, 9                     |
| SON Chin Hung                | BNX266 | Licensed Representative | 1, 4                        |
| SON Yong Ju                  | BNW163 | Licensed Representative | 1, 4                        |
| SONG Jiawei                  | BNU014 | Licensed Representative | 4                           |
| SONG Yihui                   | BNT240 | Licensed Representative | 6                           |
| SONNI Sean Sharath           | BNU972 | Licensed Representative | 1, 2, 4                     |
| SPENCE Jamie Seaton          | AST880 | Licensed Representative | 1                           |
| STEWART Jordan Daniel        | BNR086 | Licensed Representative | 4, 5, 9                     |
| SU Chang                     | BNT984 | Licensed Representative | 4, 9                        |
| SU Lin                       | BNS371 | Licensed Representative | 4                           |
| SU Shiwen                    | BNS444 | Licensed Representative | 1                           |
| SUH Joseph                   | BNU518 | Licensed Representative | 1, 6                        |
| SUN Jonathan Adrian          | BNT080 | Licensed Representative | 4                           |
| SUN MATTISON Linda Wu        | BBF677 | Licensed Representative | 1, 4                        |
| SUN Ze                       | BNV852 | Licensed Representative | 9                           |

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2018**

| CE Name                | CE No. | License Type            | Types of Regulated Activity |
|------------------------|--------|-------------------------|-----------------------------|
| TAI Jonathan Joseph    | BNR497 | Licensed Representative | 4, 6                        |
| TAM Chik Yeung Nicky   | BNU648 | Licensed Representative | 1                           |
| TAM Kin Yan            | BNU802 | Licensed Representative | 1                           |
| TAM Wing Hoi Season    | BMZ523 | Licensed Representative | 1, 4                        |
| TAN Lee Kwang          | BNU843 | Licensed Representative | 1, 6                        |
| TAN May Ee             | BNP080 | Licensed Representative | 1, 2                        |
| TAN Shu Xian Theresa   | BNU761 | Licensed Representative | 2                           |
| TANG Ho Ming           | BNU842 | Licensed Representative | 1, 4, 6                     |
| TANG Tak Leong Caesar  | BNQ541 | Licensed Representative | 1                           |
| TANG Yuewen            | BNU982 | Licensed Representative | 1, 4                        |
| THILL Brent John       | BEW121 | Licensed Representative | 4                           |
| THORNE Giles Devenish  | BNS459 | Licensed Representative | 4                           |
| TING Fu Wah            | AOE212 | Licensed Representative | 1, 4                        |
| TONG Tin Yau           | BFO842 | Licensed Representative | 4, 9                        |
| TSANG Hing Kit Johnny  | BNU661 | Licensed Representative | 1, 4                        |
| TSANG Shuk Mei         | BNS058 | Licensed Representative | 1, 4                        |
| TSANG Siu Kuen         | BNW210 | Licensed Representative | 1, 4                        |
| TSANG Siu Ting         | BGD646 | Licensed Representative | 1                           |
| TSE Po Wing            | ASD891 | Licensed Representative | 1                           |
| TSOI Ching Ying        | BNU277 | Licensed Representative | 4                           |
| TSUI Justin            | BNS707 | Licensed Representative | 2                           |
| TUNTONO Christiaan     | AEO918 | Licensed Representative | 4                           |
| VASUDHA Aishwarya      | BNU400 | Licensed Representative | 1, 2, 4                     |
| VERA Ethan John        | BNS983 | Licensed Representative | 1, 6                        |
| VERSACE Haryati Megumi | BNU704 | Licensed Representative | 1, 4, 9                     |
| WAN Yu                 | BNV286 | Licensed Representative | 1                           |
| WANG Chenyan           | BNS566 | Licensed Representative | 1                           |
| WANG Chun Wai          | BNU046 | Licensed Representative | 1, 4, 9                     |
| WANG Danning           | BNT182 | Licensed Representative | 1, 4, 6                     |
| WANG Dongzhe           | BNT132 | Licensed Representative | 1, 4                        |
| WANG Kaifan            | BNR810 | Licensed Representative | 4, 9                        |
| WANG Ke                | BNU853 | Licensed Representative | 9                           |
| WANG Lu                | BID335 | Licensed Representative | 4                           |
| WANG Ning              | BNV447 | Licensed Representative | 4                           |
| WANG Qijue             | BNU581 | Licensed Representative | 4                           |
| WANG Qing              | BNT150 | Licensed Representative | 1, 4, 9                     |
| WANG Ruhan             | BNV491 | Licensed Representative | 6                           |
| WANG Shali             | BMP755 | Licensed Representative | 4, 9                        |
| WANG Wei               | BNO306 | Licensed Representative | 4, 9                        |
| WANG Zichao            | BNV387 | Licensed Representative | 1, 4                        |
| WEI Like               | AWC816 | Licensed Representative | 1, 4                        |
| WEI Ran                | BNS446 | Licensed Representative | 1, 2                        |
| WEN Shan Tseng         | BNU567 | Licensed Representative | 1, 4                        |
| WEN Xuanhao            | BNU652 | Licensed Representative | 1, 4, 9                     |
| WENG Dongzhen          | BNS036 | Licensed Representative | 1, 4, 6                     |
| WENG Jie               | BNV039 | Licensed Representative | 9                           |
| WHEELER James Matthew  | BCK949 | Licensed Representative | 4                           |
| WINBUR Sarah Bethan    | BNT803 | Licensed Representative | 4, 9                        |
| WIRAWAN Ronald         | BNT214 | Licensed Representative | 1                           |
| WONG Chi Wai           | BNV802 | Licensed Representative | 1, 4, 9                     |
| WONG Ho Hin Jeremy     | BNR817 | Licensed Representative | 1                           |
| WONG Kim Hung          | AUY918 | Licensed Representative | 1, 4, 9                     |
| WONG Kit Yan, Cecilia  | ANN330 | Licensed Representative | 1, 4                        |

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2018**

| CE Name               | CE No. | License Type            | Types of Regulated Activity |
|-----------------------|--------|-------------------------|-----------------------------|
| WONG Ming Wah         | BNS217 | Licensed Representative | 1                           |
| WONG Pui Ki Doris     | BNU335 | Licensed Representative | 1, 4                        |
| WONG Shuk Man         | ALF799 | Licensed Representative | 1                           |
| WONG Suet Ying        | BIM582 | Licensed Representative | 1, 4, 6                     |
| WONG Tak Wing         | BBC903 | Licensed Representative | 1                           |
| WONG Tsz Ching        | BBH085 | Licensed Representative | 1                           |
| WONG Wai Bun Benson   | BNT804 | Licensed Representative | 1, 9                        |
| WONG Wai Wai          | BNV535 | Licensed Representative | 4, 5, 9                     |
| WONG Wai Yeung        | BNR663 | Licensed Representative | 1, 4, 6                     |
| WU Chun Lai           | AUW915 | Licensed Representative | 1, 4                        |
| WU Mike Quark Way     | BNU667 | Licensed Representative | 1, 6                        |
| WU Weijing            | BNU074 | Licensed Representative | 1, 6                        |
| WU Yi Ting            | BNS681 | Licensed Representative | 1, 4                        |
| WU YUE                | BNR818 | Licensed Representative | 1                           |
| WU Zexi               | BNU542 | Licensed Representative | 4                           |
| WU Zihao              | BNV276 | Licensed Representative | 1, 6                        |
| WYPRYSKY Victoria Ann | BNU293 | Licensed Representative | 1, 6                        |
| XIAO Yang             | BNU417 | Licensed Representative | 1                           |
| XIE Musha             | BNU359 | Licensed Representative | 1                           |
| XU Fan                | BNS113 | Licensed Representative | 1, 6                        |
| XU Jacqueline         | BNU515 | Licensed Representative | 1, 4, 6                     |
| XU Jie                | BNU965 | Licensed Representative | 4                           |
| XU Vincent            | AZF683 | Licensed Representative | 1                           |
| XU Xinhang            | BNU679 | Licensed Representative | 6                           |
| XU Zihan              | BGY750 | Licensed Representative | 4                           |
| YANG Chidie           | BNU521 | Licensed Representative | 1                           |
| YANG Liu              | BNU351 | Licensed Representative | 1, 6                        |
| YANG Timothy Tian     | BNT024 | Licensed Representative | 1                           |
| YANG Xin              | BNU267 | Licensed Representative | 1, 6                        |
| YANG Ying             | BNW927 | Licensed Representative | 1                           |
| YAO Yuan              | BNU358 | Licensed Representative | 9                           |
| YAP Soon Yeong        | BDY740 | Licensed Representative | 1, 4                        |
| YAU Siu Yin           | BNU185 | Licensed Representative | 1, 2                        |
| YE Junrui             | BNU268 | Licensed Representative | 4                           |
| YE Tong Hee           | BNV865 | Licensed Representative | 1                           |
| YE Zhiyuan            | BNU296 | Licensed Representative | 1, 4, 9                     |
| YEUNG Hiu Tung        | BNV186 | Licensed Representative | 1                           |
| YEUNG Sau Shan        | BNU890 | Licensed Representative | 1, 6                        |
| YEUNG Sze Nga         | BNT995 | Licensed Representative | 1                           |
| YEUNG Wing Nin        | BNW028 | Licensed Representative | 1                           |
| YIN Jia               | BNV874 | Licensed Representative | 4, 5, 9                     |
| YIP Chi Shing         | BNT021 | Licensed Representative | 1                           |
| YIP Chung Hang Sunny  | BNN487 | Licensed Representative | 4, 9                        |
| YIP Ho Man, Kirk      | AQN832 | Licensed Representative | 4, 9                        |
| YIP Mei Na            | BAZ555 | Licensed Representative | 1, 2                        |
| YIU Kwok To           | BGZ156 | Licensed Representative | 1                           |
| YOU Jiaxin            | BNV456 | Licensed Representative | 1, 6                        |
| YU Hui                | BNW047 | Licensed Representative | 1                           |
| YU Kwok Wah, Jackson  | AHC849 | Licensed Representative | 4, 9                        |
| YU Mengke             | BNU535 | Licensed Representative | 1, 4, 9                     |
| YU Wai Yan, Joanne    | BNU516 | Licensed Representative | 1                           |
| YU Wai Yat            | BNR811 | Licensed Representative | 9                           |
| YUEN Christie Diane   | BNV429 | Licensed Representative | 1                           |

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2018**

| CE Name                    | CE No. | Licence Type                                  | Types of Regulated Activity |
|----------------------------|--------|---|-----------------------------|
| YVER Charly Werner         | BNU457 | Licensed Representative                       | 9                           |
| ZANNINO Francesco          | APZ383 | Licensed Representative                       | 1, 2                        |
| ZHANG Bingqian             | BNU591 | Licensed Representative                       | 6                           |
| ZHANG Hanshu               | BNU396 | Licensed Representative                       | 9                           |
| ZHANG Huimin               | BNN661 | Licensed Representative                       | 9                           |
| ZHANG Jingwei              | AUG360 | Licensed Representative                       | 1, 6                        |
| ZHANG Kun                  | AYX057 | Licensed Representative                       | 1, 4                        |
| ZHANG Peng                 | BNU775 | Licensed Representative                       | 2, 5                        |
| ZHANG Ruoxing              | BNS690 | Licensed Representative                       | 1, 4                        |
| ZHANG Salinas Meng         | BNU589 | Licensed Representative                       | 4                           |
| ZHANG Tao                  | BNN675 | Licensed Representative                       | 1, 4, 6                     |
| ZHANG Xipeng               | BNT151 | Licensed Representative                       | 4, 9                        |
| ZHANG Yueru                | BNV454 | Licensed Representative                       | 4                           |
| ZHANG Yulu                 | BNU523 | Licensed Representative                       | 4                           |
| ZHENG Fei                  | BNS485 | Licensed Representative                       | 4                           |
| ZHENG Qiaoshi              | BNR824 | Licensed Representative                       | 1, 9                        |
| ZHENG Si Qi                | BNU650 | Licensed Representative                       | 4                           |
| ZHENG Xinmei               | BNW057 | Licensed Representative                       | 2                           |
| ZHOU Tianshu               | BNU414 | Licensed Representative                       | 1                           |
| ZHOU Xiaoning              | BNT765 | Licensed Representative                       | 1, 6                        |
| ZHOU Yuxin                 | BNU828 | Licensed Representative                       | 6                           |
| ZHOU Yuzhe                 | BNU269 | Licensed Representative                       | 1, 6                        |
| ZHU Kangping               | BNV564 | Licensed Representative                       | 9                           |
| ZHU Luying                 | BNT989 | Licensed Representative                       | 4                           |
| ZHU Ning                   | BNS680 | Licensed Representative                       | 1, 4                        |
| ZHU Xiaoqin                | BNU995 | Licensed Representative                       | 1, 4, 6                     |
| ZHU Yurui                  | BNV476 | Licensed Representative                       | 2                           |
| ZUO Sa                     | BNV280 | Licensed Representative                       | 1, 6                        |
| AN Yang                    | BDF640 | Licensed Representative - Responsible Officer | 1, 2, 9                     |
| CHAN Ching Sang            | BNN930 | Licensed Representative - Responsible Officer | 1, 4                        |
| CHANG Joong Ho             | BND816 | Licensed Representative - Responsible Officer | 9                           |
| CHEN Ke-Wei                | ANJ909 | Licensed Representative - Responsible Officer | 4, 9                        |
| CHEN Yu                    | AQS372 | Licensed Representative - Responsible Officer | 4, 9                        |
| CHOU Yu-Jung               | BNG225 | Licensed Representative - Responsible Officer | 4, 9                        |
| CHU Wing Lui Mabel         | ARI762 | Licensed Representative - Responsible Officer | 9                           |
| COLLARD Jeremy David       | AJC639 | Licensed Representative - Responsible Officer | 4, 9                        |
| DHOWN Rajiv                | BNN766 | Licensed Representative - Responsible Officer | 1, 4                        |
| FINKENZELLER Konrad Otto   | BNN206 | Licensed Representative - Responsible Officer | 1, 4                        |
| HALFORD Steven Thomas      | AWV229 | Licensed Representative - Responsible Officer | 1, 4                        |
| HIRSCHVOGEL Thomas Zunsang | BNN216 | Licensed Representative - Responsible Officer | 1, 4                        |
| HSU Tawei                  | AMS684 | Licensed Representative - Responsible Officer | 6                           |
| HUANG Chien-Jui            | AVA600 | Licensed Representative - Responsible Officer | 4, 9                        |
| HUNG Cheung Wai            | AUD695 | Licensed Representative - Responsible Officer | 9                           |
| KIM Hyung Joon             | AQU597 | Licensed Representative - Responsible Officer | 9                           |
| LAI Zi Yin, Wendy          | AIY638 | Licensed Representative - Responsible Officer | 1, 4                        |
| LAU Kam Shing Alex         | ACW590 | Licensed Representative - Responsible Officer | 1                           |
| LAW Kwok Fu                | AIZ441 | Licensed Representative - Responsible Officer | 1                           |
| LEE Daeyoung               | ARW396 | Licensed Representative - Responsible Officer | 9                           |
| LEE Henry Him Wai          | AEF855 | Licensed Representative - Responsible Officer | 1, 4, 9                     |
| LI Wai Sum                 | AVA618 | Licensed Representative - Responsible Officer | 4, 9                        |
| LOH Ngiap Chien, Derek     | ANS310 | Licensed Representative - Responsible Officer | 4, 9                        |
| LU Gang                    | BMJ407 | Licensed Representative - Responsible Officer | 9                           |
| NG Kin Wah                 | AVI806 | Licensed Representative - Responsible Officer | 1                           |

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2018**

| CE Name                       | CE No. | License Type                                  | Types of Regulated Activity |
|-------------------------------|--------|---|-----------------------------|
| NG Shan Yung                  | ALZ161 | Licensed Representative - Responsible Officer | 6                           |
| PARK Junyoep                  | AZJ786 | Licensed Representative - Responsible Officer | 9                           |
| REECE Steven Jonathan         | BNG872 | Licensed Representative - Responsible Officer | 9                           |
| SHEN Jie                      | BMR376 | Licensed Representative - Responsible Officer | 1                           |
| SPUNGIN Charles Adrian Edward | BNH017 | Licensed Representative - Responsible Officer | 1, 4, 9                     |
| TANG Wai Kay                  | AAJ573 | Licensed Representative - Responsible Officer | 4, 9                        |
| TSANG Kin Wah                 | ADP012 | Licensed Representative - Responsible Officer | 1, 4                        |
| WONG Howard                   | ADY281 | Licensed Representative - Responsible Officer | 9                           |
| XIE Jinhui                    | AQO522 | Licensed Representative - Responsible Officer | 4, 9                        |
| YAM Wai Yee                   | BJY151 | Licensed Representative - Responsible Officer | 1, 4, 9                     |
| YANG Xiuke                    | BAH518 | Licensed Representative - Responsible Officer | 4, 9                        |
| YEUNG Tsz Kit, Jacky          | ASZ509 | Licensed Representative - Responsible Officer | 4, 9                        |
| ZHOU Hongbo                   | BMK921 | Licensed Representative - Responsible Officer | 9                           |
| ACQUILLA Avaneesh John        | ARB905 | Licensed Representative (Provisional Licence) | 1, 4, 9                     |
| AKAO Reiko                    | BNY358 | Licensed Representative (Provisional Licence) | 1, 4                        |
| AN Ningning                   | BNW179 | Licensed Representative (Provisional Licence) | 4                           |
| AN Peng                       | BNW176 | Licensed Representative (Provisional Licence) | 4                           |
| AU Pui Ho                     | BNX486 | Licensed Representative (Provisional Licence) | 1, 2, 7                     |
| AU Shuk Ying                  | BNY420 | Licensed Representative (Provisional Licence) | 4, 9                        |
| BAI Jintao                    | BNW959 | Licensed Representative (Provisional Licence) | 1, 4, 6                     |
| BALAISYTE Jurgita             | BNY628 | Licensed Representative (Provisional Licence) | 4                           |
| BARWICK Richard John          | ART684 | Licensed Representative (Provisional Licence) | 4                           |
| BHEN Ka Ho                    | BNX272 | Licensed Representative (Provisional Licence) | 6                           |
| BIDASARIA Shrey               | BNX253 | Licensed Representative (Provisional Licence) | 1, 4, 6                     |
| BOUZENAD Antoine Merwan       | BNX453 | Licensed Representative (Provisional Licence) | 9                           |
| CAI Quan                      | BNV036 | Licensed Representative (Provisional Licence) | 1, 4                        |
| CANG Tianyang                 | BAZ566 | Licensed Representative (Provisional Licence) | 1, 2, 4                     |
| CAO Wei                       | BNY258 | Licensed Representative (Provisional Licence) | 1, 4, 6                     |
| CAO Yishan                    | AXI390 | Licensed Representative (Provisional Licence) | 1, 4, 9                     |
| CAO Ziyang                    | BNC628 | Licensed Representative (Provisional Licence) | 1, 4                        |
| CHAN Joseph                   | BNX362 | Licensed Representative (Provisional Licence) | 1, 6                        |
| CHAN Kevin Jun Xian           | BNX489 | Licensed Representative (Provisional Licence) | 4                           |
| CHAN Kwun Yin Kenneth         | BNW998 | Licensed Representative (Provisional Licence) | 1, 4                        |
| CHAN Nok Yan                  | BNX030 | Licensed Representative (Provisional Licence) | 1, 2                        |
| CHAN Po Lam                   | BNY394 | Licensed Representative (Provisional Licence) | 1, 2                        |
| CHAN Pok Hei                  | BNW897 | Licensed Representative (Provisional Licence) | 4                           |
| CHEN Ge                       | BNV441 | Licensed Representative (Provisional Licence) | 1, 6                        |
| CHEN Huanming                 | AIU879 | Licensed Representative (Provisional Licence) | 1, 4, 9                     |
| CHEN Kwok On                  | AOD820 | Licensed Representative (Provisional Licence) | 1, 2                        |
| CHEN Pei-Chi                  | BNX700 | Licensed Representative (Provisional Licence) | 1, 4                        |
| CHEN Siting                   | BNX002 | Licensed Representative (Provisional Licence) | 1, 4, 9                     |
| CHEN Suli                     | BNY373 | Licensed Representative (Provisional Licence) | 4, 9                        |
| CHEN Tian Yi                  | BNW184 | Licensed Representative (Provisional Licence) | 4, 5, 9                     |
| CHEN Tianzhe                  | BNI310 | Licensed Representative (Provisional Licence) | 1, 4                        |
| CHEN Yiwon                    | BNV506 | Licensed Representative (Provisional Licence) | 1, 6                        |
| CHEN Yu                       | BEM653 | Licensed Representative (Provisional Licence) | 1, 4, 9                     |
| CHEN Zehua                    | BNU835 | Licensed Representative (Provisional Licence) | 4                           |
| CHEN Zhong Wei                | AMM502 | Licensed Representative (Provisional Licence) | 1, 4, 9                     |
| CHENG Hiu Ying Karen          | BNW215 | Licensed Representative (Provisional Licence) | 1, 4, 6                     |
| CHENG Jing                    | BNV936 | Licensed Representative (Provisional Licence) | 1, 6                        |
| CHENG Tsang Shin              | BNW984 | Licensed Representative (Provisional Licence) | 1, 2                        |
| CHENG Tsz Lok                 | BHC891 | Licensed Representative (Provisional Licence) | 4, 9                        |
| CHENG Yakun                   | BNV265 | Licensed Representative (Provisional Licence) | 1, 4, 9                     |

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2018**

| CE Name                           | CE No. | License Type                                  | Types of Regulated Activity |
|-----------------------------------|--------|---|-----------------------------|
| CHENG Ya-wei                      | BNW174 | Licensed Representative (Provisional Licence) | 1, 2, 9                     |
| CHEUNG Ka Tsing Veronica          | BNU451 | Licensed Representative (Provisional Licence) | 6                           |
| CHEUNG Lo Yee Sandy               | BNY266 | Licensed Representative (Provisional Licence) | 1, 2                        |
| CHEUNG Yuet Hoi                   | BAI842 | Licensed Representative (Provisional Licence) | 1                           |
| CHI Cheng                         | BNY458 | Licensed Representative (Provisional Licence) | 1, 4, 6                     |
| CHIA Wei Chen                     | ALZ911 | Licensed Representative (Provisional Licence) | 9                           |
| CHINEN OKANO Vinicius             | BNW027 | Licensed Representative (Provisional Licence) | 1                           |
| CHING Wing Shan                   | AMO746 | Licensed Representative (Provisional Licence) | 1, 4                        |
| CHIU Lee Lee                      | BNW889 | Licensed Representative (Provisional Licence) | 1                           |
| CHOI Chin Chung                   | BNY031 | Licensed Representative (Provisional Licence) | 1, 2                        |
| CHOI Sanghyun                     | BNV870 | Licensed Representative (Provisional Licence) | 1, 2                        |
| CHOPRA Abhishek                   | BNU940 | Licensed Representative (Provisional Licence) | 2, 5                        |
| CHOW Wai Yip                      | AFE673 | Licensed Representative (Provisional Licence) | 1, 2                        |
| CHUNG Zita Sze Dar                | AYJ572 | Licensed Representative (Provisional Licence) | 4, 9                        |
| DAI Baiquan                       | BNW181 | Licensed Representative (Provisional Licence) | 9                           |
| DENG Tianhong                     | BNY951 | Licensed Representative (Provisional Licence) | 1, 6                        |
| DIAO Shuo                         | BGC143 | Licensed Representative (Provisional Licence) | 6                           |
| DONG Lei                          | BNX294 | Licensed Representative (Provisional Licence) | 1, 4, 9                     |
| DUAN Mohao                        | BNW282 | Licensed Representative (Provisional Licence) | 2                           |
| ESCANO Lorenzo Lim                | BAZ778 | Licensed Representative (Provisional Licence) | 2                           |
| FAN Xinyue                        | BNV693 | Licensed Representative (Provisional Licence) | 1, 6                        |
| FAN Zhongzhe                      | BNW951 | Licensed Representative (Provisional Licence) | 1, 4, 6                     |
| FANG Feiyun                       | BNX537 | Licensed Representative (Provisional Licence) | 4                           |
| FENG Xuezhi                       | BNX429 | Licensed Representative (Provisional Licence) | 4                           |
| FENG Yuchen                       | BNG655 | Licensed Representative (Provisional Licence) | 2                           |
| FINN Stuart Anthony               | BNV001 | Licensed Representative (Provisional Licence) | 1, 4, 9                     |
| FIRTH Charles Richard Bartholomew | AIR808 | Licensed Representative (Provisional Licence) | 1, 2, 4                     |
| FOK Man Chun                      | BNV262 | Licensed Representative (Provisional Licence) | 1, 4, 9                     |
| FONG Tsz Sin                      | BBU625 | Licensed Representative (Provisional Licence) | 4, 9                        |
| FOO Wen Yun                       | BNX010 | Licensed Representative (Provisional Licence) | 1                           |
| FUNG Kin Chueng                   | ALZ307 | Licensed Representative (Provisional Licence) | 1                           |
| FUNG Vincent Hector               | BNW864 | Licensed Representative (Provisional Licence) | 1                           |
| FUNG Wun Ming                     | BKK300 | Licensed Representative (Provisional Licence) | 1                           |
| GAGE Brett Tyler                  | BNY371 | Licensed Representative (Provisional Licence) | 1, 6                        |
| GAO Fang                          | BNY749 | Licensed Representative (Provisional Licence) | 1, 2, 4                     |
| GAO Ge                            | BNX136 | Licensed Representative (Provisional Licence) | 1, 4, 9                     |
| GAO Ruidong                       | BNX180 | Licensed Representative (Provisional Licence) | 4                           |
| GENG Yidan                        | BER115 | Licensed Representative (Provisional Licence) | 1, 4, 6                     |
| GHOSH Soujit                      | AOJ195 | Licensed Representative (Provisional Licence) | 1, 2, 4                     |
| GUO Jiahua                        | BHF803 | Licensed Representative (Provisional Licence) | 1, 6                        |
| GUO Peng                          | BNX688 | Licensed Representative (Provisional Licence) | 4                           |
| GUO Zhen                          | BNZ011 | Licensed Representative (Provisional Licence) | 1, 4                        |
| HAO Yu                            | BNX935 | Licensed Representative (Provisional Licence) | 1, 4, 9                     |
| HE Yulei                          | BNX569 | Licensed Representative (Provisional Licence) | 1, 2                        |
| HO Ho Yin                         | BNY370 | Licensed Representative (Provisional Licence) | 4                           |
| HO Ka Wing                        | BNU990 | Licensed Representative (Provisional Licence) | 1                           |
| HO Mei Lok                        | AMY548 | Licensed Representative (Provisional Licence) | 1                           |
| HONG Xueting                      | BGR488 | Licensed Representative (Provisional Licence) | 6, 9                        |
| HOWARD Matthew John               | BNU526 | Licensed Representative (Provisional Licence) | 1, 4                        |
| HOWE Nicholas Gregory Msitu       | BNV666 | Licensed Representative (Provisional Licence) | 9                           |
| HU Jie                            | BNV460 | Licensed Representative (Provisional Licence) | 1, 4                        |
| HU Li                             | BNV863 | Licensed Representative (Provisional Licence) | 1                           |
| HU Shutong                        | BNY725 | Licensed Representative (Provisional Licence) | 1, 4, 6                     |

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2018**

| CE Name                  | CE No. | License Type                                  | Types of Regulated Activity |
|--------------------------|--------|---|-----------------------------|
| HU Zhengyang             | BNV627 | Licensed Representative (Provisional Licence) | 4                           |
| HUA Changchun            | BBM630 | Licensed Representative (Provisional Licence) | 4                           |
| HUA Pengwei              | BNW178 | Licensed Representative (Provisional Licence) | 4                           |
| HUANG Ruolan             | BNW030 | Licensed Representative (Provisional Licence) | 1, 4                        |
| HUI Yuanyuan             | BNY635 | Licensed Representative (Provisional Licence) | 1                           |
| HUNG Peng-Sheng          | BNU789 | Licensed Representative (Provisional Licence) | 1, 2                        |
| IMAZU Nanako             | AVU642 | Licensed Representative (Provisional Licence) | 1, 2                        |
| INOUE Tetsuji            | BNU214 | Licensed Representative (Provisional Licence) | 4, 9                        |
| IP Ho Ting               | BNW289 | Licensed Representative (Provisional Licence) | 1, 4                        |
| JAIN Sanjay              | AUW893 | Licensed Representative (Provisional Licence) | 4                           |
| JIANG Lulu               | BNY838 | Licensed Representative (Provisional Licence) | 1, 4, 6                     |
| JU Guoxian               | BNW287 | Licensed Representative (Provisional Licence) | 4                           |
| KAKKAD Gaurang Udaykumar | BNV452 | Licensed Representative (Provisional Licence) | 4                           |
| KELLY Dylan Francis Tuck | BNX937 | Licensed Representative (Provisional Licence) | 4                           |
| KO Chon Man              | BMK670 | Licensed Representative (Provisional Licence) | 1, 4, 6                     |
| KO Kwai Lan              | BNY634 | Licensed Representative (Provisional Licence) | 1                           |
| KO Ming Fai              | BNP140 | Licensed Representative (Provisional Licence) | 1, 4, 9                     |
| KOO Chun Ho              | BAF365 | Licensed Representative (Provisional Licence) | 1                           |
| KURAHASHI Yumiko         | AGO357 | Licensed Representative (Provisional Licence) | 1, 4                        |
| KWAN Kin Shing           | BNW890 | Licensed Representative (Provisional Licence) | 1, 2                        |
| LAI Qimei                | BNV338 | Licensed Representative (Provisional Licence) | 1, 4, 6                     |
| LAI Shenhua              | BNW200 | Licensed Representative (Provisional Licence) | 1                           |
| LAM Sammi Ka Yan         | BNX160 | Licensed Representative (Provisional Licence) | 1                           |
| LAM Tak Wai, Derek       | BEZ160 | Licensed Representative (Provisional Licence) | 1, 4, 6                     |
| LAM Tsz Ching            | ASY518 | Licensed Representative (Provisional Licence) | 1, 9                        |
| LAM Wai Yee              | BNX898 | Licensed Representative (Provisional Licence) | 6                           |
| LAO Peiwen               | BNV650 | Licensed Representative (Provisional Licence) | 1, 4, 6                     |
| LAU Ka Yan               | BNU707 | Licensed Representative (Provisional Licence) | 1, 4                        |
| LAU Nga Pin              | BNU295 | Licensed Representative (Provisional Licence) | 1, 4                        |
| LAU Sum Yi               | BNW971 | Licensed Representative (Provisional Licence) | 1, 4                        |
| LAU Yuen Wai             | BNW041 | Licensed Representative (Provisional Licence) | 6                           |
| LEADSOM David James      | BNU517 | Licensed Representative (Provisional Licence) | 1, 4                        |
| LEE Jae Young            | BNU375 | Licensed Representative (Provisional Licence) | 2, 5                        |
| LEE Kar Ming Chris       | BNW053 | Licensed Representative (Provisional Licence) | 1                           |
| LEE Poh Sing             | BNV845 | Licensed Representative (Provisional Licence) | 1, 4                        |
| LEE Seungyoung           | BNY246 | Licensed Representative (Provisional Licence) | 1, 4                        |
| LEE Yuan Tse, Edward     | AGF152 | Licensed Representative (Provisional Licence) | 6                           |
| LEUNG Fionna Leony       | BNY526 | Licensed Representative (Provisional Licence) | 1, 4, 9                     |
| LEUNG Mei Yan            | BNV678 | Licensed Representative (Provisional Licence) | 1                           |
| LEUNG Wai Tung           | BNX270 | Licensed Representative (Provisional Licence) | 1                           |
| LEUNG Yuen Ting          | BNX539 | Licensed Representative (Provisional Licence) | 1, 6                        |
| LEUNG Yuk Sim, Corinna   | AJD996 | Licensed Representative (Provisional Licence) | 1                           |
| LI Chunliang             | AQA052 | Licensed Representative (Provisional Licence) | 4, 9                        |
| LI Jiachen               | BNY381 | Licensed Representative (Provisional Licence) | 1, 2                        |
| LI Kai Yuen              | BNY185 | Licensed Representative (Provisional Licence) | 1                           |
| LI Kit Yee Connie        | BNW205 | Licensed Representative (Provisional Licence) | 1, 4                        |
| LI Kwan Ho               | BNX690 | Licensed Representative (Provisional Licence) | 1, 6                        |
| LI Lyuzhi                | BNW037 | Licensed Representative (Provisional Licence) | 2                           |
| LI Pingping              | BNT032 | Licensed Representative (Provisional Licence) | 1                           |
| LI Xin                   | BNY209 | Licensed Representative (Provisional Licence) | 1                           |
| LI Yuan Hong             | BII903 | Licensed Representative (Provisional Licence) | 4, 9                        |
| LIANG Yuxuan             | BNX275 | Licensed Representative (Provisional Licence) | 1, 6                        |
| LIAO Yunpeng             | BIR872 | Licensed Representative (Provisional Licence) | 1, 4, 9                     |

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2018**

| CE Name                     | CE No. | License Type                                  | Types of Regulated Activity |
|-----------------------------|--------|---|-----------------------------|
| LIN Chien Nan               | BNW993 | Licensed Representative (Provisional Licence) | 1, 2                        |
| LIN Ruhan                   | BNX931 | Licensed Representative (Provisional Licence) | 4                           |
| LIN Yiwei                   | BNY408 | Licensed Representative (Provisional Licence) | 4, 9                        |
| LIN Yu-Hsien                | BNV691 | Licensed Representative (Provisional Licence) | 1                           |
| LIU Allen Weihan            | BNU872 | Licensed Representative (Provisional Licence) | 4, 9                        |
| LIU Dongxin                 | AMW737 | Licensed Representative (Provisional Licence) | 1                           |
| LIU Haoyu                   | BLC497 | Licensed Representative (Provisional Licence) | 1                           |
| LIU Liangping               | BCK257 | Licensed Representative (Provisional Licence) | 1                           |
| LIU Mengzhuo                | BNY348 | Licensed Representative (Provisional Licence) | 4                           |
| LIU Shuen Kong              | BNV062 | Licensed Representative (Provisional Licence) | 9                           |
| LIU Tianlin                 | BNX606 | Licensed Representative (Provisional Licence) | 4, 9                        |
| LIU Xiang                   | BNV575 | Licensed Representative (Provisional Licence) | 2                           |
| LIU Xuefeng                 | BNX004 | Licensed Representative (Provisional Licence) | 4                           |
| LIU Yiyao                   | BNY064 | Licensed Representative (Provisional Licence) | 1, 6                        |
| LO Ho Kwan                  | BNX552 | Licensed Representative (Provisional Licence) | 1, 2                        |
| LU Yilin                    | BNY463 | Licensed Representative (Provisional Licence) | 4                           |
| LU Zeyu                     | BNW967 | Licensed Representative (Provisional Licence) | 1, 4                        |
| LUK Hiu Man                 | BNW242 | Licensed Representative (Provisional Licence) | 1, 2                        |
| MA Ka Man                   | BAC046 | Licensed Representative (Provisional Licence) | 1, 4                        |
| MAK Nelson Ngai Long        | BNV489 | Licensed Representative (Provisional Licence) | 1, 6                        |
| MAK Yan Ling                | BNY434 | Licensed Representative (Provisional Licence) | 1, 4                        |
| MAO Dongjing                | BNY465 | Licensed Representative (Provisional Licence) | 1                           |
| MAO Yiyi                    | BNY155 | Licensed Representative (Provisional Licence) | 1, 4, 6                     |
| MARGO Jonathan Casper Colin | BNW249 | Licensed Representative (Provisional Licence) | 9                           |
| MENG Songyu                 | BNV959 | Licensed Representative (Provisional Licence) | 1, 4                        |
| MEPANI Pravin               | ALW554 | Licensed Representative (Provisional Licence) | 1, 2                        |
| MIAO Huaxin                 | BDE609 | Licensed Representative (Provisional Licence) | 4, 9                        |
| MICHELON Jeremie            | BNY491 | Licensed Representative (Provisional Licence) | 1, 2                        |
| MOK Oi Ying                 | BNW893 | Licensed Representative (Provisional Licence) | 1, 2                        |
| MONNET Arthur Benoit        | BNV078 | Licensed Representative (Provisional Licence) | 1, 4, 6                     |
| MOORE Christopher David     | BNY257 | Licensed Representative (Provisional Licence) | 1, 4                        |
| MUTO Jumpei                 | BNY640 | Licensed Representative (Provisional Licence) | 1, 2, 4                     |
| NAMIKI Yoshiyuki            | BNV342 | Licensed Representative (Provisional Licence) | 9                           |
| NEWMAN Neil Lionel          | ABF170 | Licensed Representative (Provisional Licence) | 4                           |
| NG Chung Ming               | ACK075 | Licensed Representative (Provisional Licence) | 3                           |
| NG Chung Tat Johnson        | BNW997 | Licensed Representative (Provisional Licence) | 1, 4                        |
| NG Shan Yung                | ALZ161 | Licensed Representative (Provisional Licence) | 1, 6                        |
| NG Yu Kwong                 | ARF648 | Licensed Representative (Provisional Licence) | 1, 4                        |
| OH Steve Sang Jin           | BNV292 | Licensed Representative (Provisional Licence) | 9                           |
| OOI Wei Ming                | BNX372 | Licensed Representative (Provisional Licence) | 1                           |
| PAREKH Tejas                | BNX028 | Licensed Representative (Provisional Licence) | 1, 2                        |
| PATEL Anjali Mahendra       | BNV583 | Licensed Representative (Provisional Licence) | 1, 4                        |
| PATHARAVANAKUL Vilailuck    | BNX269 | Licensed Representative (Provisional Licence) | 4                           |
| PFRANG Christian Werner     | BNX394 | Licensed Representative (Provisional Licence) | 9                           |
| PIM Jacob Samuel            | BNY556 | Licensed Representative (Provisional Licence) | 9                           |
| POH Tze Hua                 | ANZ960 | Licensed Representative (Provisional Licence) | 4, 9                        |
| QIAN Runmin                 | BNW937 | Licensed Representative (Provisional Licence) | 1, 4                        |
| QIAO Xiaowei                | BGQ506 | Licensed Representative (Provisional Licence) | 1, 6                        |
| QIAO Yijie                  | BNX896 | Licensed Representative (Provisional Licence) | 6                           |
| RUDICK Todd David           | BNV844 | Licensed Representative (Provisional Licence) | 1, 7                        |
| SAKURAI Aya                 | BNY395 | Licensed Representative (Provisional Licence) | 4                           |
| SALUJA Rohin                | BNY404 | Licensed Representative (Provisional Licence) | 1                           |
| SAN Wan Yan                 | BNU615 | Licensed Representative (Provisional Licence) | 1, 2, 3                     |

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2018**

| CE Name                   | CE No. | License Type                                  | Types of Regulated Activity |
|---------------------------|--------|---|-----------------------------|
| SHAO Jun                  | BNY837 | Licensed Representative (Provisional Licence) | 1, 4, 6                     |
| SHAO Yili                 | BNX607 | Licensed Representative (Provisional Licence) | 1, 2, 4                     |
| SINGH Nirbhay Kumar       | BNU579 | Licensed Representative (Provisional Licence) | 1                           |
| SO Ching Yu Calvin        | BNX953 | Licensed Representative (Provisional Licence) | 1, 9                        |
| SO Kwun Cheong            | BNX001 | Licensed Representative (Provisional Licence) | 1, 4                        |
| SO Man Ting               | BHM659 | Licensed Representative (Provisional Licence) | 1, 2                        |
| SON Yong Ju               | BNW163 | Licensed Representative (Provisional Licence) | 1, 4                        |
| SPENCE Jamie Seaton       | AST880 | Licensed Representative (Provisional Licence) | 1                           |
| SU Xiaobo                 | BNV856 | Licensed Representative (Provisional Licence) | 1, 4                        |
| SU Zijian                 | BNV821 | Licensed Representative (Provisional Licence) | 1, 6                        |
| SUN Jingxuan              | BNX657 | Licensed Representative (Provisional Licence) | 1, 6                        |
| SUN Tsai Hsuan            | BGY960 | Licensed Representative (Provisional Licence) | 9                           |
| SUN Xiaolin               | BNW056 | Licensed Representative (Provisional Licence) | 1, 4                        |
| SZE Kim Kwan              | BIZ382 | Licensed Representative (Provisional Licence) | 1, 2, 4                     |
| SZE Yau Nam               | BNY673 | Licensed Representative (Provisional Licence) | 1, 4                        |
| TAKESHIMA Tomoki          | BNW290 | Licensed Representative (Provisional Licence) | 4                           |
| TAM Cheuk Wai             | BNY532 | Licensed Representative (Provisional Licence) | 1                           |
| TAM Tak Man               | BNV462 | Licensed Representative (Provisional Licence) | 1, 4                        |
| TAM Wing Hoi Season       | BMZ523 | Licensed Representative (Provisional Licence) | 1, 4                        |
| TANG Dewen                | BNG653 | Licensed Representative (Provisional Licence) | 2                           |
| TANG Ho Ming              | BNU842 | Licensed Representative (Provisional Licence) | 1, 4, 6                     |
| TANG Kit Ha Alice         | BNY631 | Licensed Representative (Provisional Licence) | 1                           |
| TANG Kwan Lok             | BNV751 | Licensed Representative (Provisional Licence) | 1, 4                        |
| TANG Shu Haur             | BNY205 | Licensed Representative (Provisional Licence) | 4, 9                        |
| TANG Yinming              | BNY900 | Licensed Representative (Provisional Licence) | 1, 6                        |
| THEODORUS Billy           | BNW206 | Licensed Representative (Provisional Licence) | 1, 4, 6                     |
| TIAN Ce                   | BNX411 | Licensed Representative (Provisional Licence) | 1, 4, 9                     |
| TONG Yana                 | BNX559 | Licensed Representative (Provisional Licence) | 1, 4                        |
| TSE Ka Yi Nakita          | BKZ927 | Licensed Representative (Provisional Licence) | 1, 2                        |
| TSE Man Nga               | BNW855 | Licensed Representative (Provisional Licence) | 1, 4, 9                     |
| TUNG Ka Man               | BNW175 | Licensed Representative (Provisional Licence) | 1, 2                        |
| VANA Constantin Alexander | BNV416 | Licensed Representative (Provisional Licence) | 6                           |
| VANTARAKIS Jayden Cain    | AXX772 | Licensed Representative (Provisional Licence) | 4                           |
| VERSACE Haryati Megumi    | BNU704 | Licensed Representative (Provisional Licence) | 1, 4, 9                     |
| VISMARA Daniele           | BNV065 | Licensed Representative (Provisional Licence) | 1, 2                        |
| WAN Yin Chung             | AVK969 | Licensed Representative (Provisional Licence) | 1, 4                        |
| WANG Di                   | BNY966 | Licensed Representative (Provisional Licence) | 1, 6                        |
| WANG Hao                  | BMW948 | Licensed Representative (Provisional Licence) | 1, 2                        |
| WANG Hao                  | BNW052 | Licensed Representative (Provisional Licence) | 1                           |
| WANG Hui                  | BJI914 | Licensed Representative (Provisional Licence) | 1, 4                        |
| WANG Huiquan              | BNX003 | Licensed Representative (Provisional Licence) | 1, 2                        |
| WANG Miao                 | BNY284 | Licensed Representative (Provisional Licence) | 1, 4, 9                     |
| WANG Qing                 | BNT150 | Licensed Representative (Provisional Licence) | 1, 4, 9                     |
| WANG Shenyu               | BNX841 | Licensed Representative (Provisional Licence) | 1                           |
| WANG Tianyu               | BDD888 | Licensed Representative (Provisional Licence) | 1, 4, 9                     |
| WANG Xintian              | BNV028 | Licensed Representative (Provisional Licence) | 10                          |
| WANG Xu                   | BNX572 | Licensed Representative (Provisional Licence) | 1                           |
| WANG Yulong               | BNX005 | Licensed Representative (Provisional Licence) | 4                           |
| WANG Yuwei                | BNY470 | Licensed Representative (Provisional Licence) | 4                           |
| WINBUR Sarah Bethan       | BNT803 | Licensed Representative (Provisional Licence) | 4, 9                        |
| WONG Carlie               | BNY671 | Licensed Representative (Provisional Licence) | 1, 4, 9                     |
| WONG Chi Wai              | BNV802 | Licensed Representative (Provisional Licence) | 1, 4, 9                     |
| WONG Chun Hin             | BNX137 | Licensed Representative (Provisional Licence) | 1, 2                        |

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2018**

| CE Name                 | CE No. | License Type                                  | Types of Regulated Activity |
|-------------------------|--------|---|-----------------------------|
| WONG Chun Sang          | BNX602 | Licensed Representative (Provisional Licence) | 4                           |
| WONG Hur Ming           | BNU552 | Licensed Representative (Provisional Licence) | 1                           |
| WONG Suet Ying          | BIM582 | Licensed Representative (Provisional Licence) | 1, 4, 6                     |
| WONG Tsz Kwan           | BNV837 | Licensed Representative (Provisional Licence) | 1, 4                        |
| WONG Wai Wai            | BNV535 | Licensed Representative (Provisional Licence) | 4, 5, 9                     |
| WONG Yat Tim            | BNX336 | Licensed Representative (Provisional Licence) | 1                           |
| WONG Yin Ming           | BNW887 | Licensed Representative (Provisional Licence) | 1, 2                        |
| WU JR John              | BNV414 | Licensed Representative (Provisional Licence) | 1, 4                        |
| WU Yanwan               | BNY904 | Licensed Representative (Provisional Licence) | 1, 6                        |
| WU Zexi                 | BNU542 | Licensed Representative (Provisional Licence) | 4                           |
| WULF Finn-ole           | BNV674 | Licensed Representative (Provisional Licence) | 1, 4, 6                     |
| XIAN Zhiping            | BNY285 | Licensed Representative (Provisional Licence) | 1                           |
| XIANG Yuqiu             | ARF778 | Licensed Representative (Provisional Licence) | 4, 9                        |
| XIAO Zhuopu             | AVV209 | Licensed Representative (Provisional Licence) | 1                           |
| XIE Xingyun             | BNW032 | Licensed Representative (Provisional Licence) | 1, 6                        |
| XING Yifan              | BNV475 | Licensed Representative (Provisional Licence) | 1, 4                        |
| XU Aolei                | BNY224 | Licensed Representative (Provisional Licence) | 1, 4                        |
| XU Kuo                  | BNX682 | Licensed Representative (Provisional Licence) | 1, 6                        |
| YANG Qingqing           | BNV453 | Licensed Representative (Provisional Licence) | 1                           |
| YANG Yuting             | BNX861 | Licensed Representative (Provisional Licence) | 1, 4                        |
| YE Tong Hee             | BNV865 | Licensed Representative (Provisional Licence) | 1                           |
| YEUNG Cheung Ho Charles | BNX671 | Licensed Representative (Provisional Licence) | 1                           |
| YEUNG Chi Kit           | BNX448 | Licensed Representative (Provisional Licence) | 1                           |
| YEUNG Hoi Yu            | BNX686 | Licensed Representative (Provisional Licence) | 1, 4, 6                     |
| YEUNG On Lit            | AQE939 | Licensed Representative (Provisional Licence) | 1, 2, 3                     |
| YEUNG Suet Pan          | BNV621 | Licensed Representative (Provisional Licence) | 1, 2, 4                     |
| YIN Jia                 | BNV874 | Licensed Representative (Provisional Licence) | 4, 5, 9                     |
| YIP Chun                | BNW221 | Licensed Representative (Provisional Licence) | 1, 2                        |
| YU Gao                  | BNX006 | Licensed Representative (Provisional Licence) | 4                           |
| YU Miao                 | BNX631 | Licensed Representative (Provisional Licence) | 1                           |
| YU Mofan                | BNX262 | Licensed Representative (Provisional Licence) | 1, 6                        |
| YUAN Meng               | BNV835 | Licensed Representative (Provisional Licence) | 1, 6                        |
| YUAN Yuchen             | BNV055 | Licensed Representative (Provisional Licence) | 4                           |
| ZENG Yujie              | BNX151 | Licensed Representative (Provisional Licence) | 1                           |
| ZHANG Hongyang          | BGP504 | Licensed Representative (Provisional Licence) | 4                           |
| ZHANG Panbo             | BNY455 | Licensed Representative (Provisional Licence) | 1                           |
| ZHANG Shuping           | BNX787 | Licensed Representative (Provisional Licence) | 1, 4                        |
| ZHANG Tianyu            | BNX135 | Licensed Representative (Provisional Licence) | 1, 9                        |
| ZHAO Jiaqi              | BNV828 | Licensed Representative (Provisional Licence) | 1, 6                        |
| ZHAO Yunhan             | BNX276 | Licensed Representative (Provisional Licence) | 1, 4, 6                     |
| ZHENG Yan               | BNV818 | Licensed Representative (Provisional Licence) | 1, 6                        |
| ZHONG Mincong           | BNS394 | Licensed Representative (Provisional Licence) | 1, 4                        |
| ZHOU Yingjun            | BNX392 | Licensed Representative (Provisional Licence) | 1, 2                        |
| ZHU He                  | BEN694 | Licensed Representative (Provisional Licence) | 4                           |
| ZHU Shihao              | BNY733 | Licensed Representative (Provisional Licence) | 1, 6                        |
| ZHU Xu                  | BNV448 | Licensed Representative (Provisional Licence) | 1, 4, 9                     |
| ZHUO Shikai             | BNV463 | Licensed Representative (Provisional Licence) | 4                           |
| ZUO Peijing             | BNX233 | Licensed Representative (Provisional Licence) | 1, 2, 7                     |
| BRENNAN Anthony Paul    | BDU992 | Licensed Representative (Temporary Licence)   | 4                           |
| CHAN Simon Yuk Kwan     | BCT212 | Licensed Representative (Temporary Licence)   | 4                           |
| CHOONNAWAT Kaseedit     | AOY790 | Licensed Representative (Temporary Licence)   | 4                           |
| CHUA Ian Yong Kwang     | BNX268 | Licensed Representative (Temporary Licence)   | 6                           |
| CHUA Minguang Donald    | BEF380 | Licensed Representative (Temporary Licence)   | 4                           |

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2018**

| <b>CE Name</b>                     | <b>CE No.</b> | <b>Licence Type</b>                         | <b>Types of Regulated Activity</b> |
|------------------------------------|---------------|---|------------------------------------|
| COLLINGE Edward James              | BNV253        | Licensed Representative (Temporary Licence) | 1                                  |
| CORONES Georgia Kate               | BDV251        | Licensed Representative (Temporary Licence) | 1                                  |
| DEAKIN-BELL John Anthony           | BNV617        | Licensed Representative (Temporary Licence) | 4                                  |
| EBERLE JR Christopher George       | BNV341        | Licensed Representative (Temporary Licence) | 4                                  |
| GOLTSMAN Michael                   | BEO564        | Licensed Representative (Temporary Licence) | 4                                  |
| GREENBERGER Kimberly Conroy        | BBK170        | Licensed Representative (Temporary Licence) | 1, 4                               |
| HACKING Alexander Nicholas Anthony | BNU519        | Licensed Representative (Temporary Licence) | 4                                  |
| HAY Sarah Elizabeth                | BGW857        | Licensed Representative (Temporary Licence) | 1                                  |
| HIRATA Shingo                      | AYG042        | Licensed Representative (Temporary Licence) | 4                                  |
| HOHSUI Yukari                      | BNV875        | Licensed Representative (Temporary Licence) | 4                                  |
| HOROWITZ Keith Bennett             | BNU638        | Licensed Representative (Temporary Licence) | 4                                  |
| HUGHES Andrew William              | BBL143        | Licensed Representative (Temporary Licence) | 4                                  |
| HYDER Riaz                         | AUT957        | Licensed Representative (Temporary Licence) | 1                                  |
| IONOV Sergey                       | BNX026        | Licensed Representative (Temporary Licence) | 1, 2                               |
| KANG Daniel                        | BIF088        | Licensed Representative (Temporary Licence) | 4                                  |
| KAPTEIJN Arie Jacobus              | BIV026        | Licensed Representative (Temporary Licence) | 4                                  |
| KELLEY Mark Patrick                | BNV339        | Licensed Representative (Temporary Licence) | 4                                  |
| LAU Nigel Qing Xiang               | BNW025        | Licensed Representative (Temporary Licence) | 1, 4, 6                            |
| LIN Hanwei                         | BNL463        | Licensed Representative (Temporary Licence) | 6                                  |
| LOH Hui Ling Hailey                | BIE948        | Licensed Representative (Temporary Licence) | 1, 4                               |
| MACDONALD Robert Cumming           | ACF190        | Licensed Representative (Temporary Licence) | 1                                  |
| MARSH Christopher Ryan             | BNX187        | Licensed Representative (Temporary Licence) | 1                                  |
| MOSELAAR Jan Sijtze                | BHH524        | Licensed Representative (Temporary Licence) | 1                                  |
| NAITO Takayuki                     | BMJ733        | Licensed Representative (Temporary Licence) | 4                                  |
| NELSON Benjamin Raymond            | BMV899        | Licensed Representative (Temporary Licence) | 1                                  |
| PHILLIPS Daniel Jason              | BNV264        | Licensed Representative (Temporary Licence) | 1, 4                               |
| REDBOURN Paul Robert               | AFQ906        | Licensed Representative (Temporary Licence) | 1                                  |
| ROY Mohul                          | BNO309        | Licensed Representative (Temporary Licence) | 6                                  |
| SATHAWORAWONG Pimolrat             | BEM318        | Licensed Representative (Temporary Licence) | 4                                  |
| SAY Gui Hua Eugene                 | BNK025        | Licensed Representative (Temporary Licence) | 1, 6                               |
| SHAH Sneha                         | BNR366        | Licensed Representative (Temporary Licence) | 1                                  |
| SHANKER Ravi                       | BFM570        | Licensed Representative (Temporary Licence) | 1, 4                               |
| SHESHINSKI Amit                    | BNX029        | Licensed Representative (Temporary Licence) | 1, 6                               |
| SIRIPASSORN Kritapas               | BDQ319        | Licensed Representative (Temporary Licence) | 4                                  |
| SMITH Craig Anthony                | BNW196        | Licensed Representative (Temporary Licence) | 1, 6                               |
| TALHAOUI Ahmed                     | BEM800        | Licensed Representative (Temporary Licence) | 1, 4                               |
| TANNERS Timna Beth                 | BEJ877        | Licensed Representative (Temporary Licence) | 4                                  |
| TEMPLE Ronald Scott                | AFA186        | Licensed Representative (Temporary Licence) | 1, 4                               |
| TIWARI Atul Kumar                  | BDT253        | Licensed Representative (Temporary Licence) | 4                                  |
| TRUELOVE Keith Alan                | AWF626        | Licensed Representative (Temporary Licence) | 1                                  |
| YAU Hoe Kiat, Patrick              | AML197        | Licensed Representative (Temporary Licence) | 4                                  |
| YIN Jun                            | BFN301        | Licensed Representative (Temporary Licence) | 1                                  |