

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
Booming Asset Management Limited	BNN542	Licensed Corporation	4, 9
Brocade River Asset Management Limited	BNR589	Licensed Corporation	1, 4, 9
China Oil Gang Xin Asset Management Limited	BMV659	Licensed Corporation	4, 9
Cloudbull Limited	BNG700	Licensed Corporation	1
Da De Securities Limited	BNF723	Licensed Corporation	1, 2
Diligent Success Limited	BND656	Licensed Corporation	1, 4
Donvex Asia Capital Limited	BAV343	Licensed Corporation	9
Fuda Capital Limited	BNN563	Licensed Corporation	4, 9
GIS FS (HK) Limited	BLJ168	Licensed Corporation	1
GLAM Capital Limited	BNR298	Licensed Corporation	1, 4, 9
GLY CAPITAL MANAGEMENT LIMITED	BNG966	Licensed Corporation	1, 4, 9
Homaer Asset Management Company Limited	BNP732	Licensed Corporation	4, 9
International Capital (Hong Kong) Limited	BNP335	Licensed Corporation	1, 4, 6
Intewise Capital Management Limited	BNO812	Licensed Corporation	9
Numerical Universe Asset Management Limited	BNX086	Licensed Corporation	9
Olympus Partners Asia Limited	BNS042	Licensed Corporation	1, 4
OX Financial Assets Management Limited	BMV380	Licensed Corporation	4, 9
Rohula Limited	BKR288	Licensed Corporation	9
Seresia Asset Management Limited	BNI685	Licensed Corporation	4, 9
Shuang Ji (Hong Kong) Securities Limited	BNN852	Licensed Corporation	1, 4
Stonehorn Global Partners Limited	BNK899	Licensed Corporation	9
ABEYGUNAWARDANA Shihan Chamila	BOA865	Licensed Representative	4
ACQUILLA Avaneesh John	ARB905	Licensed Representative	1, 4, 9
AGARWAL Vishal	BOB456	Licensed Representative	1, 2
AHMED Alauddin	ACY793	Licensed Representative	9
ALLEN Benjamin Lang	BOB487	Licensed Representative	1, 4
AN Jiangze	BOA602	Licensed Representative	1, 2
ANDERSSON Magnus Carl Gustav	BNY357	Licensed Representative	1, 6
ANSPACH Krisztina Mirjam	BOA216	Licensed Representative	1
ASKHAM Craig Gerrard	BNY632	Licensed Representative	1, 4, 6
BABIDGE Alexander Jack	BOA746	Licensed Representative	1, 2
BAE Sung Hyeon Martin	BOA336	Licensed Representative	1
BAI Run Lin	BNY488	Licensed Representative	1
BAI Yang	BNZ129	Licensed Representative	9
BAO Yili	BOA506	Licensed Representative	4, 9
BEARDALL Jonathan Robert	BOB501	Licensed Representative	9
BEYNON Chad Charles	BNZ830	Licensed Representative	4
BHAGWATI Jahnvi	BOB064	Licensed Representative	1
BIAN Sijia	BOB217	Licensed Representative	1, 2
BOUDIER Julien Vincent	ATC527	Licensed Representative	1, 4
BOURHIS Damien Pierre Olivier	BAI360	Licensed Representative	1, 2
BOURMAYAN Vahe Olivier	AJD563	Licensed Representative	1, 2
BRAMLEY Stephen Lee	BNY937	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
BREWER James Christopher	BHB321	Licensed Representative	4, 9
BURMESTER Nicholas	BOB146	Licensed Representative	1, 4
CAI Danyun	BNZ096	Licensed Representative	1
CAI Tsz Yeung	AWH561	Licensed Representative	1
CAPELVENERE Phyllis Alison Crystal	BNM933	Licensed Representative	4, 9
CEN Jianghua	BOA253	Licensed Representative	1, 6
CHAI Yan Ho	BOB730	Licensed Representative	9
CHAI Yiqi	BOA221	Licensed Representative	1, 4, 9
CHAM Alvin Ho-Cheun	BOA745	Licensed Representative	1, 2
CHAN Belle	BOA033	Licensed Representative	1, 4, 6
CHAN Charles Yip Ming	BOB432	Licensed Representative	1
CHAN Cheuk Wei	BOA606	Licensed Representative	1, 2, 3
CHAN Ching Sze	BKC521	Licensed Representative	1, 4, 9
CHAN Hei Ming	BBA473	Licensed Representative	1
CHAN Ka Ki	BOA550	Licensed Representative	10
CHAN King Ping	BNZ999	Licensed Representative	1, 2, 9
CHAN Kuan Un	BNZ219	Licensed Representative	1, 4, 9
CHAN Lap Chung	AZM552	Licensed Representative	1
CHAN Wan Yin Ada	BOB271	Licensed Representative	4, 9
CHAN Wing Yi	BOA611	Licensed Representative	1, 2
CHAN Yip Chu	BOA792	Licensed Representative	4, 9
CHANG Cheok Hong	BNZ158	Licensed Representative	4
CHARPENTIER Laurane Morgane Milene	BOA489	Licensed Representative	1
CHARUIT Quentin Bruno Guy Georges	BOB436	Licensed Representative	1
CHAUDHRY Naveed Haider	BOA220	Licensed Representative	1
CHEN Bijun	BOA182	Licensed Representative	1
CHEN Han	BOC085	Licensed Representative	1
CHEN Juming	BNY744	Licensed Representative	1, 4
CHEN Longke	BOC119	Licensed Representative	6
CHEN Qi	BOB826	Licensed Representative	9
CHEN Suli	BNY373	Licensed Representative	4, 9
CHEN Wen	BNX571	Licensed Representative	9
CHEN XI	BNY354	Licensed Representative	4, 5
CHEN Yaochao	BOA062	Licensed Representative	1, 4
CHEN Yi	BNY397	Licensed Representative	4, 9
CHEN Yiyi	BOB375	Licensed Representative	1
CHENG Boya	BOA260	Licensed Representative	1
CHENG Wing Han	BOA685	Licensed Representative	1, 4
CHENG Wing Sze	BOA491	Licensed Representative	6
CHENG Yizhu	BNX140	Licensed Representative	1
CHEUNG Chi Pong Jack	BOA297	Licensed Representative	1
CHEUNG Chung Yin	BNZ123	Licensed Representative	1, 2
CHEUNG Chung Yin	BOB016	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEUNG Ka Kit	AOI950	Licensed Representative	9
CHEUNG Ngai Wa	BOB920	Licensed Representative	4, 9
CHEUNG Pik Ting	BOA765	Licensed Representative	1
CHEUNG Yuk Chu Helen	BHD184	Licensed Representative	1, 4, 9
CHING Wing Shan	AMO746	Licensed Representative	1, 4
CHOI O Hyun	AUM536	Licensed Representative	1, 2
CHOO John Matthew	BOA759	Licensed Representative	1
CHOW Rick Kaiwui	BCO960	Licensed Representative	9
CHOW Wing Yan	BOB123	Licensed Representative	1, 4, 9
CHU Yin Yin	BJX010	Licensed Representative	1, 9
CHUANG Shu Yan	BBE377	Licensed Representative	1
CHUI Ka Lung	BOA075	Licensed Representative	1, 6
DAI Kang	BOA313	Licensed Representative	4
DE CHABOT Florian Sebran Francois Jacques Marie	BBO920	Licensed Representative	1, 2
DELION Ludovic Jean-Louis Jean-rene J.	BOA766	Licensed Representative	1, 4, 9
DENG Daye David	BNY295	Licensed Representative	4, 9
DENG Tianhong	BNY951	Licensed Representative	1, 6
DIAO Roy Wei-Chien	ATI404	Licensed Representative	1, 4
DIAO Shuo	BGC143	Licensed Representative	6
DING Yan	BOA233	Licensed Representative	1, 6
DING Zhengning	BNW197	Licensed Representative	1, 4
DIU Felix Chi Ho	BOB732	Licensed Representative	1, 2
DONG Qize	BOB087	Licensed Representative	1, 6
DONG Yixiao	BOA872	Licensed Representative	1, 6
DRABKIN Mark Thompson	APL247	Licensed Representative	9
DUAN Haiwen	BOB001	Licensed Representative	9
DUAN Jie	BNV485	Licensed Representative	1
FAN Jianqiao	BOB392	Licensed Representative	9
FANG Shiwen	BOA781	Licensed Representative	1
FERNANDOPULLE Jonathan Jude	BNY391	Licensed Representative	9
FOO Kai-shen Isaac	BOB398	Licensed Representative	1, 4, 9
FOXCROFT John Spencer Hugh	BOA398	Licensed Representative	1, 2
FU Linzhao	BHC760	Licensed Representative	4
FU Pengkai	BNY736	Licensed Representative	1, 6
FUNG Wun Ming	BKK300	Licensed Representative	1
GABBAI Maurizio Mose	BIC486	Licensed Representative	1
GAO Baiwen	BMW176	Licensed Representative	1
GAO Chang	BNW240	Licensed Representative	1, 4, 9
GAYRAUD Charles Arthur Olivier	BOB163	Licensed Representative	1, 4
GIDWANI Varsha	BOA330	Licensed Representative	1
GOH Heston Xiu Hsien	BOA510	Licensed Representative	1, 4
GORO Harunobu	ALV033	Licensed Representative	4
GOUDZWAARD Bryan	BNZ166	Licensed Representative	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
GU Charles Liangcao	BOB716	Licensed Representative	1, 2, 9
GU Chen	BOA516	Licensed Representative	1, 4, 6
GU Hong	BNU766	Licensed Representative	4, 9
GURUNG Prashant	BNY519	Licensed Representative	9
HAN Dongfang	BNY976	Licensed Representative	6
HAN Meng	BOB845	Licensed Representative	1, 6
HAN Shengtao	BOB221	Licensed Representative	9
HANN Sai Wunn Max	BOA911	Licensed Representative	1, 2, 4, 5
HAO Yu	BNX935	Licensed Representative	1, 4, 9
HARTMANN Jacob David	BOA171	Licensed Representative	1, 6
HE Lin	APD379	Licensed Representative	4, 5, 9
HE Ruijia	BOB850	Licensed Representative	1
HE Ruizhe	BOA048	Licensed Representative	1, 6
HO Ka Kuen	BNY636	Licensed Representative	9
HONG Fei	BNA729	Licensed Representative	1
HOU Ho Wan	BOA854	Licensed Representative	1, 4
HU Hsiao Chieh	BOA199	Licensed Representative	1, 2, 4
HU Jin	BNY988	Licensed Representative	4
HU Li	BNV863	Licensed Representative	1
HU Xiaoling	BNX469	Licensed Representative	4, 9
HU Zhaoze	BOB676	Licensed Representative	6
HUANG Xiaomi	BOA522	Licensed Representative	1, 6
HUI Luk Shing	BGL182	Licensed Representative	1, 2, 3, 4
HUI Man Hon	BOB883	Licensed Representative	4, 9
HUI Ming Kit, Max	BOB463	Licensed Representative	1, 6
HUNG Kai Chi	BNZ085	Licensed Representative	4, 9
HUNG Sze Wai Alex	BNX755	Licensed Representative	1, 4
IP Jeremy Gar Yin	AFC747	Licensed Representative	4, 9
IP Sau Him	BOB380	Licensed Representative	9
JENG Tzung Tza	BOB237	Licensed Representative	4, 9
JIANG Chenyao	BIU554	Licensed Representative	1, 2, 9
JIANG Chun	BNZ796	Licensed Representative	6
JIANG Guifang	BLQ521	Licensed Representative	1
JIANG Lulu	BNY838	Licensed Representative	1, 4, 6
JIANG Nan	BOC243	Licensed Representative	6
JIE Jiangyi	BNZ878	Licensed Representative	1, 2
JIN Jia	AZJ097	Licensed Representative	1, 4
JIN Xiaolong	AWJ022	Licensed Representative	1, 4
JIN Ziwei	BOA864	Licensed Representative	1, 2, 4
JING Hao	BOB620	Licensed Representative	1, 4, 9
JONES Nicholas Paul	BBS616	Licensed Representative	1
KAM Chi Kai	BOA923	Licensed Representative	1, 6
KAM Yuen Ting	BNZ825	Licensed Representative	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
KAMARUZZAMAN Nor Hisham Bin	BNS445	Licensed Representative	1
KAN Ching-Jen	AOX099	Licensed Representative	4
KAN I Man	BGL399	Licensed Representative	1, 4
KAPUR Gautam Pradeep Kumar	BNY553	Licensed Representative	1, 7
KARANOVIC Vladimir	BOB372	Licensed Representative	1, 2, 4, 5
KE Rongxi	BOC316	Licensed Representative	1, 4
KEENAN Daniel Francis	BOA542	Licensed Representative	1, 2
KHOO Robin Kok Yeong	BOA612	Licensed Representative	2
KIM Kee Bum	BNY955	Licensed Representative	1, 4
KIM Minkyu	BEO107	Licensed Representative	1, 2
KU Chak Ying, Jenny	BNY351	Licensed Representative	1, 4
KUANG Tingting	BNX298	Licensed Representative	4, 9
KWAK Seung Ju	BOA240	Licensed Representative	4, 9
KWOK Chun To, Eric	BNY319	Licensed Representative	1, 4
LAI Chun Sang	BOB582	Licensed Representative	4, 9
LAI Honghui	BNY346	Licensed Representative	1, 4, 6
LAM Chi Wing	BOA315	Licensed Representative	10
LAM CHUN KIT	BOA519	Licensed Representative	1, 2
LAM Chun Yin Jason	BOA980	Licensed Representative	4, 9
LAM Chun Ying	BOA982	Licensed Representative	1, 2
LAM Fung Chun Byron	BOC950	Licensed Representative	4
LAM Ho Cheung	BOA255	Licensed Representative	4
LAM Hoi Kay Kate	BHX731	Licensed Representative	4
LAM Hong Yu	BOC005	Licensed Representative	1, 4
LAM Ka Yin	BOA194	Licensed Representative	1
LAM Wesley	BOA488	Licensed Representative	9
LAU Chiu Hung	BOA471	Licensed Representative	1
LAU Claudia Ka Man	BOA232	Licensed Representative	1
LAU Fu Keung	AVW356	Licensed Representative	1, 4, 9
LAU Kin Pui	BNZ898	Licensed Representative	1
LAU Lai Yin	BNZ092	Licensed Representative	1
LAU Loi Tat	BOA229	Licensed Representative	1
LAU Pui Shan	BNV862	Licensed Representative	1, 4
LEE Ho	BHN975	Licensed Representative	1, 2, 3
LEE Joon Mo	BNZ795	Licensed Representative	6
LEE Ju-hyun	BNU373	Licensed Representative	1, 4, 9
LEE Michel Kai Tai	AOJ780	Licensed Representative	1, 4
LEE Philip Thomas	BNZ009	Licensed Representative	4
LEE Sandra Soyong	AGD096	Licensed Representative	1, 4
LEE Sze Yin	BNY439	Licensed Representative	1
LEE Tze Hong Nathan	BNZ192	Licensed Representative	9
LEE Yeon Jae	BOB421	Licensed Representative	1, 2
LEE Yu Chuan Austin	BNZ198	Licensed Representative	1, 2, 7

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEI Man Hang	AVI279	Licensed Representative	9
LEI Xinwei	BNZ958	Licensed Representative	1, 4, 9
LENG Jing	BOA902	Licensed Representative	1, 6
LEUNG Cheuk Fung	BLT903	Licensed Representative	1
LEUNG Ka Wing	BNZ086	Licensed Representative	9
LEUNG Wai Tung	BNX270	Licensed Representative	1
LEUNG Yin Piu	BEE272	Licensed Representative	1, 4
LI Cheuk Wing Vivian	BOC266	Licensed Representative	1, 4
LI Hongfei	BOA605	Licensed Representative	1, 6
LI Hui	ATX931	Licensed Representative	1, 6
LI Jianchang	BOA888	Licensed Representative	1, 4, 9
LI Jing	BNY899	Licensed Representative	1, 4
LI Junxian	BNV483	Licensed Representative	1, 6
LI Kit Yee Connie	BNW205	Licensed Representative	1, 4
LI Mengyao	BOA453	Licensed Representative	9
LI Peng	BOA529	Licensed Representative	1, 4
LI Pingping	BNT032	Licensed Representative	1
LI Qiming	BNY279	Licensed Representative	1, 4, 9
LI Shiu On	BOA807	Licensed Representative	1, 4
LI Zimu	ANP911	Licensed Representative	4, 9
LIANG Chenxi	BOA859	Licensed Representative	1, 4, 9
LIANG Zhide	BOA511	Licensed Representative	1
LIN Chun Pan	AXO212	Licensed Representative	2
LIN Li	BOB598	Licensed Representative	1, 4, 6
LIN Rongye	BNY994	Licensed Representative	4
LIN Shu-Wei	BFD026	Licensed Representative	9
LIU Jia	BOA885	Licensed Representative	1, 6
LIU Ngai Kan	BOA983	Licensed Representative	1, 6
LIU Raymond Ken	BNY203	Licensed Representative	4, 9
LIU Xiang	BNV575	Licensed Representative	2
LIU Xiaoning	BFD449	Licensed Representative	9
LIU Xiaoyan	BNZ142	Licensed Representative	9
LIU Yueming	BOB659	Licensed Representative	1, 6
LIU Yujing	BHU905	Licensed Representative	1
LO Ming Cheung	BOB241	Licensed Representative	1
LO Siu Chung	BKE292	Licensed Representative	1
LO Yun-Hsi	AQU620	Licensed Representative	1
LOKESH Lekith Kumar Chikanayak	BOB235	Licensed Representative	1, 4
LU Kuen-Lin	ASD704	Licensed Representative	1, 4
LU Yuanyuan	BOB466	Licensed Representative	1, 6
LUK So Yi, Sylvia	BFY655	Licensed Representative	1
LUO Yigong	BNZ120	Licensed Representative	6
LYU Hui	BNZ181	Licensed Representative	6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
MA Oscar Ho-Hin	BNJ387	Licensed Representative	1, 4, 9
MA Tengying	AZD807	Licensed Representative	9
MAK Fu Tim	BOB579	Licensed Representative	1
MAK Georgia Wing Kam	BOB166	Licensed Representative	1
MAK Ho Fung	BEA927	Licensed Representative	1
MAK Ho Leung	BNQ526	Licensed Representative	6
MAK Pang Yan	BLK227	Licensed Representative	1
MAK Yan Ling	BNY434	Licensed Representative	1, 4
MANZONE Jaime Che	BMU994	Licensed Representative	4
MATTHEWS Adam Wells	AQI581	Licensed Representative	1, 4
MCCARTHY Conor William	BNA754	Licensed Representative	1, 4
MIKKILINENI Bharath	BOA108	Licensed Representative	1, 4, 6
MIRANDA Brett Andrew	BNV321	Licensed Representative	2
MO KHANT Phyu Hpone	BOB634	Licensed Representative	9
MO Yu Fung, Billy	BNS757	Licensed Representative	4, 9
MOK Ka Sha	BNZ935	Licensed Representative	1, 4
MU Zejun	BOB848	Licensed Representative	1, 4, 9
NAGATA Takuya	BOB989	Licensed Representative	1, 4
NAGATANI Toshitake	BNZ988	Licensed Representative	9
NG Chun Yuen, Alexander	ARQ354	Licensed Representative	1, 4
NG Jun Peng, Gabriel	AQN278	Licensed Representative	1, 4, 9
NIU Shih Hon	BKG707	Licensed Representative	1
ONG Jun You	BOA770	Licensed Representative	1, 2
PANG Pui Yue	BOA514	Licensed Representative	6
PANNELL Christian Jack	BOA474	Licensed Representative	1
PARK Eunshik	BNZ881	Licensed Representative	1
PATRON ORTIZ Alvaro Fernando	BOA027	Licensed Representative	1, 4, 6
PENG Zuncheng	BOC356	Licensed Representative	1
POON Chi Kai Dana May	BNZ136	Licensed Representative	9
POON King Tou, Ronald	ANH678	Licensed Representative	1, 4, 9
POON Ting	BOA050	Licensed Representative	1
QIAO Yong	BOA517	Licensed Representative	1, 4, 9
REN Xiaoyue	BNY490	Licensed Representative	9
RITEAU Alexandre Pierre	AXY666	Licensed Representative	4
RUAN Xiaonan	BOA720	Licensed Representative	1, 6
SAADANE Mohammed Fatih Zineddine	BNJ831	Licensed Representative	1, 2, 7
SALKOVIC Robert	BLX130	Licensed Representative	1
SALUJA Rohin	BNY404	Licensed Representative	1
SAMAMA Guillaume Marc	BNZ076	Licensed Representative	9
SAUDREAU Delphine Pantxika Marie	BOA513	Licensed Representative	1, 2
SAUNIER Eric Clement Rene	BOB038	Licensed Representative	1, 2
SEWARD Thomas William	BOA532	Licensed Representative	1, 4, 6
SHAO Jun	BNY837	Licensed Representative	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
SHI Bohu	BOA222	Licensed Representative	1, 6
SHORT Jonas Oliver	BNX609	Licensed Representative	1, 4
SHRIVASTAVA Ritu	BOB400	Licensed Representative	1, 2, 4
SIU Chun Fung	BKE439	Licensed Representative	1
SIU Sau Man	AGP751	Licensed Representative	1, 4
SIU Scottie King Chung	AZC908	Licensed Representative	1, 4, 9
SO Ting Hin	BOB733	Licensed Representative	1, 2
SU Mengze	BNZ121	Licensed Representative	6
SUM Pik Yee, Jenny	BOA609	Licensed Representative	1, 2, 3
SUN Shuren	BOB088	Licensed Representative	1, 6
SUN Tao	BNW051	Licensed Representative	9
TAN Wenxuan, Aaron	BOB886	Licensed Representative	1, 4, 9
TAN Xiaoyong	BOA243	Licensed Representative	1, 6
TAN Yong Sern	BOA815	Licensed Representative	1, 4, 9
TANG Dewen	BNG653	Licensed Representative	2
TANG Lanqu	BNO568	Licensed Representative	1, 4, 9
TANG Ni	BOA591	Licensed Representative	1, 6
TANG Simeng	BOC088	Licensed Representative	1, 4
TANG Wai Ho	BOA484	Licensed Representative	1
TANG Xun	BOA903	Licensed Representative	1, 6
TANG Yadi	BNY494	Licensed Representative	1, 4, 9
TANG Yinming	BNY900	Licensed Representative	1, 6
TEH Gim Aik	BNY708	Licensed Representative	1, 2, 4
TIAN Jiawei	BOB675	Licensed Representative	1, 2
TIAN Juan	BNZ160	Licensed Representative	1, 4, 9
TIN Chui Wa	BIG522	Licensed Representative	1
TONG Chun Ki	BOA424	Licensed Representative	1, 4, 6
TSAI Bin-Ju	BOA617	Licensed Representative	4
TSE Man Tik Mondy	BOA777	Licensed Representative	1, 6
TSO Yui Tak	BOB511	Licensed Representative	1
TSUI Tung Hang	BIY626	Licensed Representative	1, 2, 4
TSUI Wing Fat	BNY051	Licensed Representative	4, 9
UNSAL Salih	AZU989	Licensed Representative	1, 2
VANDERPUMP William James	BEG371	Licensed Representative	4
VANTARAKIS Jayden Cain	AXX772	Licensed Representative	4
VARADARAJAN Vivek	BNX511	Licensed Representative	1, 2
WALLER Brett Morris	BNY651	Licensed Representative	1, 4, 9
WAN Derek Chun Yip	BOA541	Licensed Representative	1, 2
WANE Mohamed Sada	BFD994	Licensed Representative	1, 2
WANG Aiqian	BOB754	Licensed Representative	1, 4
WANG Di	BNY966	Licensed Representative	1, 6
WANG Ding	BOB212	Licensed Representative	1, 4, 9
WANG Huangji	BNY649	Licensed Representative	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Hui	BJI914	Licensed Representative	1, 4
WANG Jia	BGQ084	Licensed Representative	1, 4
WANG Jian	BOA990	Licensed Representative	1, 6
WANG Jueyi	BDV681	Licensed Representative	9
WANG Ke	BOB557	Licensed Representative	1, 6
WANG Kunyang	BNZ845	Licensed Representative	4
WANG Lei	BNN451	Licensed Representative	1, 4
WANG Shaoyang	BNY997	Licensed Representative	1, 4, 9
WANG Wanting	BOA100	Licensed Representative	1, 6
WANG Xiaoxiao	BNY369	Licensed Representative	1, 2
WANG Xinyun	BOB960	Licensed Representative	6
WANG Yan	BOA764	Licensed Representative	4, 9
WANG Yuan Yuan	BNV900	Licensed Representative	1, 4, 9
WANG Zhi	BNY758	Licensed Representative	1, 6
WAT Hiu Ching	AMZ188	Licensed Representative	1, 2, 4, 9
WEN Jiajia	BKL277	Licensed Representative	1, 4, 9
WEN Jie	BOA467	Licensed Representative	4, 9
WHITLOCK Christopher Peter	AXW254	Licensed Representative	9
WILSON Sterling Charles	BNZ077	Licensed Representative	1, 6
WIRTZ Sebastian	BOB089	Licensed Representative	1, 4, 9
WONG Anthony Ming Hon	BHO427	Licensed Representative	1, 4, 9
WONG Chak Hin	BNY873	Licensed Representative	4
WONG Cheong Lok	BNZ867	Licensed Representative	1, 6
WONG Cheung Pui	BOA319	Licensed Representative	1, 6
WONG Ho Lam	BOB034	Licensed Representative	9
WONG Ka Kin	BOB689	Licensed Representative	4, 9
WONG Man Ming, Melinda	AIZ873	Licensed Representative	1, 6
WONG Ming Cheung	BCI344	Licensed Representative	9
WONG Sek Ming, Paul	AAV864	Licensed Representative	4, 5, 9
WONG Tang Kit	APP796	Licensed Representative	4
WONG Wai Kin	BOB036	Licensed Representative	1
WONG Yu Ming	BOB624	Licensed Representative	1, 2
WU Jinwen	BNZ183	Licensed Representative	6
WU Sai Ping	BNX467	Licensed Representative	1
WU Wenqi	BOB527	Licensed Representative	1, 4, 6
WU Yanlin	BNY806	Licensed Representative	10
WU Yanwan	BNY904	Licensed Representative	1, 6
WU Yilin	BOA252	Licensed Representative	1, 4
WU Zechun	BOA563	Licensed Representative	2
XI Jiahe	BCJ300	Licensed Representative	9
XIA Bingqing	BOA052	Licensed Representative	1, 4, 6
XIA Yicong	BOA579	Licensed Representative	4
XIANG Difei	BHA450	Licensed Representative	6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
XIAO Miaozen	BOA217	Licensed Representative	1
XIAO Shu	BNY741	Licensed Representative	1, 6
XIAO Zhuopu	AVV209	Licensed Representative	1
XIE Boji	BOA530	Licensed Representative	1, 4, 6
XIONG Youchan	BNF615	Licensed Representative	1, 6
XU Lu	BNY481	Licensed Representative	1, 4
XU Nuo	BOA935	Licensed Representative	1, 2
XU Zhijun	BOA244	Licensed Representative	1, 6
YAN Tao	BNY700	Licensed Representative	1, 2
YANG Chaozhong	BOB378	Licensed Representative	4, 9
YANG Haoruo	BOB119	Licensed Representative	1, 4
YANG Jie	BNY322	Licensed Representative	1, 4
YANG Sixiao	BNY690	Licensed Representative	1, 6
YAO Hsin Ting	BOA970	Licensed Representative	1, 4, 9
YAO Peirong	AXB357	Licensed Representative	1, 4, 6
YAO Xun	BOA225	Licensed Representative	1, 6
YAO Zheng	BNZ078	Licensed Representative	1, 2
YAU Wing Biu, Gilbert	AAD116	Licensed Representative	9
YAU Yuen Man	AET313	Licensed Representative	9
YE Lan	BOB583	Licensed Representative	4, 9
YE Liqi	BNX742	Licensed Representative	1, 2
YE Tong	BOA761	Licensed Representative	1, 2
YEUNG Tsz Lik	BNU778	Licensed Representative	6
YIM Pak Fai	BNY521	Licensed Representative	1
YING Ka Wai	BNZ056	Licensed Representative	1
YIP Timothy James	BOD908	Licensed Representative	4, 9
YIP Yuk Fai	AYP099	Licensed Representative	1
YOO Dayeon	BOB214	Licensed Representative	1, 4, 9
YOSHIMURA Takuya	BOA831	Licensed Representative	1, 4, 6
YU Miao	BOA584	Licensed Representative	1, 4, 6
YU Mou Chih	BOB158	Licensed Representative	4
YU Pak Ho, Nicholas	BOA925	Licensed Representative	9
YUAN Peiyun	BIX564	Licensed Representative	1, 6
ZHA Liyun	BOA256	Licensed Representative	1, 6
ZHANG Ao	BOA239	Licensed Representative	1, 6
ZHANG Han	BOA571	Licensed Representative	4
ZHANG Hongyang	BGP504	Licensed Representative	4
ZHANG Kuo	BNY965	Licensed Representative	1
ZHANG Ling	ALI450	Licensed Representative	1, 4, 6
ZHANG Ping	BOA899	Licensed Representative	1, 6
ZHANG Shuqi	BOC312	Licensed Representative	4, 9
ZHANG Xukun	BOA129	Licensed Representative	6
ZHANG Yifan	BOB273	Licensed Representative	6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHANG Yingyi	BOB980	Licensed Representative	4, 9
ZHANG Yuan	BOA246	Licensed Representative	1, 6
ZHANG Yueyan	BOB287	Licensed Representative	1, 4, 9
ZHAO Baoming	BNZ230	Licensed Representative	1, 6
ZHAO Wei	ALD676	Licensed Representative	1, 4
ZHENG Hairuo	BGC455	Licensed Representative	4, 9
ZHENG Zida	BNY356	Licensed Representative	1, 6
ZHONG Mincong	BNS394	Licensed Representative	1, 4
ZHU He	BEN694	Licensed Representative	4
ZHU Yingjin	BOC044	Licensed Representative	1, 2, 7
ZHU Yingjing	BOB162	Licensed Representative	1
ZWAANSTRA John	AFR443	Licensed Representative	4, 5, 9
BOSSHART Stephan Louis	BOB203	Licensed Representative - Responsible Officer	9
CHANG Yung Ching	AFF918	Licensed Representative - Responsible Officer	1, 4
CHENG Kam Wah, Conrad	ACT428	Licensed Representative - Responsible Officer	4, 9
CHENG Tak Ming, Tim	ALB234	Licensed Representative - Responsible Officer	4, 9
CHERYIL Thomas Philip	BNY038	Licensed Representative - Responsible Officer	1, 4
CHEUNG Nicholas Shiu Kwong	BDI998	Licensed Representative - Responsible Officer	4, 9
CHEUNG Wing Hung	APC061	Licensed Representative - Responsible Officer	1
CHING Ching	ALF582	Licensed Representative - Responsible Officer	9
CHOW Chun Leung	ADW654	Licensed Representative - Responsible Officer	4, 9
CHU Qin	AST767	Licensed Representative - Responsible Officer	9
DENG Jiaqi	BAF588	Licensed Representative - Responsible Officer	1
GAO Jinyi	BOB391	Licensed Representative - Responsible Officer	1, 4, 9
GUNN John William	BLJ171	Licensed Representative - Responsible Officer	1
HEIDT Thorsten	AZS159	Licensed Representative - Responsible Officer	1
JIA Yuzhe	BNW202	Licensed Representative - Responsible Officer	9
KAAN Saik Kong Thomas	AOE140	Licensed Representative - Responsible Officer	1, 4
KIM Jong Kuk	AFC107	Licensed Representative - Responsible Officer	1, 4
KRKALO Hrvoje	AJO212	Licensed Representative - Responsible Officer	1, 4, 9
KWOK Ka Lok	ACH783	Licensed Representative - Responsible Officer	1, 4, 9
LAI Cheuk Hung	ANX770	Licensed Representative - Responsible Officer	1
LEE Sze Chung, Henry	AWV262	Licensed Representative - Responsible Officer	1, 4, 9
LESTRADE Xavier Jean-Christophe	BJU570	Licensed Representative - Responsible Officer	1
LEUNG Kwok Wai	AFB193	Licensed Representative - Responsible Officer	9
LEUNG Shek Yin, Kevin	AAH500	Licensed Representative - Responsible Officer	4
LIN Verna Yu-ting	ASK367	Licensed Representative - Responsible Officer	1, 4
LIU Xiaolong	BHF617	Licensed Representative - Responsible Officer	9
LU Yijing	BJR429	Licensed Representative - Responsible Officer	9
LUO Xiaorui	BHC710	Licensed Representative - Responsible Officer	4, 9
MARCON Stephen James Neville	AAD512	Licensed Representative - Responsible Officer	1
MISAWA Shoji	BKQ718	Licensed Representative - Responsible Officer	1, 4
MU Guodong	BAR179	Licensed Representative - Responsible Officer	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
PAN Yongxu	BFP846	Licensed Representative - Responsible Officer	4, 9
PANCHAPAGESAN Arjun	BNY630	Licensed Representative - Responsible Officer	9
PU Meng	APP012	Licensed Representative - Responsible Officer	1
SUN Yuejun	BNL060	Licensed Representative - Responsible Officer	1, 4
SUN Yi	APZ227	Licensed Representative - Responsible Officer	9
SZE-TO May Ying, Gilda	ACG379	Licensed Representative - Responsible Officer	4, 9
WANG Yan	BNR283	Licensed Representative - Responsible Officer	9
WONG Chung Kee	AXS432	Licensed Representative - Responsible Officer	1, 2, 4, 9
XU Guobin	BNP647	Licensed Representative - Responsible Officer	9
YOON Sung Jin	AUN972	Licensed Representative - Responsible Officer	1, 4
ZHU Mengyu	BBS508	Licensed Representative - Responsible Officer	4, 9
ZHU Zhen	BKX030	Licensed Representative - Responsible Officer	3
AGARWAL Vishal	BOB456	Licensed Representative (Provisional Licence)	1, 2
AGRAWAL Anurag	BHN025	Licensed Representative (Provisional Licence)	1, 2
AN BOMEI	BOC541	Licensed Representative (Provisional Licence)	1, 4
ARORA Rohit	ART681	Licensed Representative (Provisional Licence)	4
AU Ying Man	BOD482	Licensed Representative (Provisional Licence)	1, 4
BAIRSTOW Hayden Peter	AUZ522	Licensed Representative (Provisional Licence)	4
BELL Matthew Tresilian	BOB148	Licensed Representative (Provisional Licence)	2, 5
BILGI Cem	BOC626	Licensed Representative (Provisional Licence)	1
BOOCOCK Patrick Matthew	BOA912	Licensed Representative (Provisional Licence)	4
CAI Anran	BOC563	Licensed Representative (Provisional Licence)	4
CHADHA Vaibhav	BOB427	Licensed Representative (Provisional Licence)	1, 4
CHAN Ho Fung	BOD961	Licensed Representative (Provisional Licence)	1
CHAN Pak Wai	BOD513	Licensed Representative (Provisional Licence)	1
CHAN Samantha Lisa	BOC259	Licensed Representative (Provisional Licence)	1, 2
CHAN Yik Pang	BOC128	Licensed Representative (Provisional Licence)	1, 2
CHANG Hsiang Jen	BOC432	Licensed Representative (Provisional Licence)	1, 4, 9
CHARUIT Quentin Bruno Guy Georges	BOB436	Licensed Representative (Provisional Licence)	1
CHEN Chucan	BOC035	Licensed Representative (Provisional Licence)	4
CHEN Fu	BOB667	Licensed Representative (Provisional Licence)	4
CHEN Kai	BOD382	Licensed Representative (Provisional Licence)	1, 2, 4
CHEN Ke	AZL562	Licensed Representative (Provisional Licence)	1
CHEN Kunjie	BOC807	Licensed Representative (Provisional Licence)	4
CHEN Longke	BOC119	Licensed Representative (Provisional Licence)	6
CHEN Qi	BOB826	Licensed Representative (Provisional Licence)	9
CHEN Qijian	BNH103	Licensed Representative (Provisional Licence)	1, 2
CHEN Yang	BOD220	Licensed Representative (Provisional Licence)	1
CHEN Yu Chun	BOC414	Licensed Representative (Provisional Licence)	1, 4, 9
CHENG Billy Chung Leung	AHY095	Licensed Representative (Provisional Licence)	1, 2
CHENG Chuhang	BOD630	Licensed Representative (Provisional Licence)	6
CHENG Haiwen	BIN077	Licensed Representative (Provisional Licence)	1, 4
CHENG Manli	ANH106	Licensed Representative (Provisional Licence)	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHENG Stephanie	BOD511	Licensed Representative (Provisional Licence)	1, 2
CHENG Tzu-Yu	BOD652	Licensed Representative (Provisional Licence)	1
CHEOK Sui Gake	ANW198	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Ka Chun, Desmond	ATO779	Licensed Representative (Provisional Licence)	1, 4, 9
CHEUNG Ka Yin Kelvin	BNU904	Licensed Representative (Provisional Licence)	1, 2, 4
CHEUNG Kar Ying	BOC354	Licensed Representative (Provisional Licence)	1, 4, 6
CHEUNG Sek Yuen, Martin	APH639	Licensed Representative (Provisional Licence)	1, 2
CHHOA Catherine	BOC710	Licensed Representative (Provisional Licence)	6
CHIN Kwan Yiu, Genny	BDY727	Licensed Representative (Provisional Licence)	4
CHITKARA Ajay Kumar	BOC026	Licensed Representative (Provisional Licence)	1
CHOE Sung Woo	BOC360	Licensed Representative (Provisional Licence)	1
CHOI John Kyu-seong	BCY954	Licensed Representative (Provisional Licence)	1, 4
CHOI O Hyun	AUM536	Licensed Representative (Provisional Licence)	1, 2
CHOONG Loke Hung	BOC437	Licensed Representative (Provisional Licence)	4
CHOPRA Anmol	BOC499	Licensed Representative (Provisional Licence)	1, 9
CHOU Chia-Wei	BOC939	Licensed Representative (Provisional Licence)	1, 2
CHOU Tsz Yeung	BFT505	Licensed Representative (Provisional Licence)	1
CHOW Chi Man	BOB978	Licensed Representative (Provisional Licence)	1, 4
CHUNG Wai Ting	BOB604	Licensed Representative (Provisional Licence)	9
CIACCIA Jessica Marie	BOC062	Licensed Representative (Provisional Licence)	1, 4, 6
COOPER Daniel Mark	BOC500	Licensed Representative (Provisional Licence)	1, 9
DENG Yuqing	BOC301	Licensed Representative (Provisional Licence)	1, 6
DIAO Roy Wei-Chien	ATI404	Licensed Representative (Provisional Licence)	1, 4
DINCER Ty	BOB738	Licensed Representative (Provisional Licence)	1
DING Jun	BNX725	Licensed Representative (Provisional Licence)	1, 2
DO Tanya Truc Thanh	BOA564	Licensed Representative (Provisional Licence)	1
DONG Fangyuan	BOB771	Licensed Representative (Provisional Licence)	1, 4, 9
DU Mingchong	BOC872	Licensed Representative (Provisional Licence)	1, 6
FAN Mei Kei, Maggie	BGP119	Licensed Representative (Provisional Licence)	1
FENG Zining	BOC162	Licensed Representative (Provisional Licence)	1, 2
FUNG Po Wun	BOB678	Licensed Representative (Provisional Licence)	1, 4, 5, 9
GALLAGHER Thomas Joseph	AJB335	Licensed Representative (Provisional Licence)	1, 4
GAO Xue	BOB035	Licensed Representative (Provisional Licence)	9
GAO Yuzhong	BOC287	Licensed Representative (Provisional Licence)	1, 4
GONG Shuai	BOB672	Licensed Representative (Provisional Licence)	4
GU Hao	BOB524	Licensed Representative (Provisional Licence)	9
GULATI Hitesh	BOB657	Licensed Representative (Provisional Licence)	4
GUO Tianxiao	BOC664	Licensed Representative (Provisional Licence)	6
HA Ming Chung	BBQ113	Licensed Representative (Provisional Licence)	1, 6
HAN Seung Soo	BOA480	Licensed Representative (Provisional Licence)	1, 4
HAN Yushan	BOD281	Licensed Representative (Provisional Licence)	4, 9
HAO Yanhui	BOD167	Licensed Representative (Provisional Licence)	4
HARVEY Cameron Philip	BOC215	Licensed Representative (Provisional Licence)	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
HITCHENS Paul Brian Pym	AWX518	Licensed Representative (Provisional Licence)	4
HO Wing Yan	ASH897	Licensed Representative (Provisional Licence)	1
HOUCHOIS Philippe Jean	AZK752	Licensed Representative (Provisional Licence)	4
HU Zhangyi	BOD135	Licensed Representative (Provisional Licence)	1, 4
HUANG Chutian	BOC568	Licensed Representative (Provisional Licence)	6
HUANG Xinyi	BOD558	Licensed Representative (Provisional Licence)	1, 4, 6
HUANG Yue	BJS142	Licensed Representative (Provisional Licence)	9
HUANG Yujian	BOC869	Licensed Representative (Provisional Licence)	1, 6
JIANG Qianlei	BHD907	Licensed Representative (Provisional Licence)	1, 4
JIANG Danqing	BOD769	Licensed Representative (Provisional Licence)	1, 4
JO Gil Won	BOA389	Licensed Representative (Provisional Licence)	10
JOSHI Omkar Hemant	BOB525	Licensed Representative (Provisional Licence)	9
KATZ David Brian	BOD520	Licensed Representative (Provisional Licence)	4
KE Rongxi	BOC316	Licensed Representative (Provisional Licence)	1, 4
KHOO Wei-Coln Ian	BOD547	Licensed Representative (Provisional Licence)	1, 4
KIJIMA Tsutomu	BOA534	Licensed Representative (Provisional Licence)	4
KIM Mi Hyun	BOC366	Licensed Representative (Provisional Licence)	4
KIM Seongjae	BOC107	Licensed Representative (Provisional Licence)	1
KIM Sungeun	BIE125	Licensed Representative (Provisional Licence)	4
KOESOEMO Mario	BOD638	Licensed Representative (Provisional Licence)	1, 2, 4
KOH Kai Loon	BOC391	Licensed Representative (Provisional Licence)	1
KONIK Randal Joseph	BOC881	Licensed Representative (Provisional Licence)	4
KWAN Po Shan	BOD890	Licensed Representative (Provisional Licence)	1
KWOK Chun Yiu	BOC489	Licensed Representative (Provisional Licence)	1
KWOK Wai Fai	BCR063	Licensed Representative (Provisional Licence)	1, 2, 4
LAI Chun Hung	BOC389	Licensed Representative (Provisional Licence)	1, 4
LAI Ka Tak, Albert	BHU911	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Fung Chun Byron	BOC950	Licensed Representative (Provisional Licence)	4
LAM Ka Ho	BOB970	Licensed Representative (Provisional Licence)	1, 2, 4
LAM Wai Kin	BOB982	Licensed Representative (Provisional Licence)	1, 9
LAU Chun Hung	BOB493	Licensed Representative (Provisional Licence)	6
LAU Chun Pong	BOD152	Licensed Representative (Provisional Licence)	1, 4, 9
LAU Yiu Ki	BOC423	Licensed Representative (Provisional Licence)	1, 4
LAW Chun Hin	BOC701	Licensed Representative (Provisional Licence)	9
LEE Ching Shun	BOC891	Licensed Representative (Provisional Licence)	1, 2, 4
LEE Jenny Jaung	ALF685	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Jin Won	BOB213	Licensed Representative (Provisional Licence)	1, 4
LEE Ka Sing	BOD096	Licensed Representative (Provisional Licence)	9
LEE Yeon Jae	BOB421	Licensed Representative (Provisional Licence)	1, 2
LEUNG Tsz Yan	BOD389	Licensed Representative (Provisional Licence)	1, 6
LEUNG Yu Hin Eugene	BOB544	Licensed Representative (Provisional Licence)	4
LI Chen	BOC669	Licensed Representative (Provisional Licence)	1, 4, 6
LI Chun Lun	BOD303	Licensed Representative (Provisional Licence)	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Feng	BOD543	Licensed Representative (Provisional Licence)	1, 6
LI Kong Ho	BOD301	Licensed Representative (Provisional Licence)	1
LI Mengyao	BOA453	Licensed Representative (Provisional Licence)	9
LI Ping	BOC782	Licensed Representative (Provisional Licence)	1, 4, 6
LI Shiue	BOD097	Licensed Representative (Provisional Licence)	1, 4, 6
LI Tak Hong	AOB022	Licensed Representative (Provisional Licence)	1, 4
LI Wei	BOC574	Licensed Representative (Provisional Licence)	4, 9
LI Wing Lam	BNC987	Licensed Representative (Provisional Licence)	1
LI Yihan	BOC772	Licensed Representative (Provisional Licence)	1, 4
LI Yunjun	BOB952	Licensed Representative (Provisional Licence)	1, 2
LIM Marco Jun Kit	AMM425	Licensed Representative (Provisional Licence)	4, 9
LIN Caihe	BOD917	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Chao	BOC157	Licensed Representative (Provisional Licence)	1
LIU Jieying	BOD545	Licensed Representative (Provisional Licence)	1, 6
LIU Jun	BOD394	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Lingge	BOC864	Licensed Representative (Provisional Licence)	1, 6
LIU Xinguo	BOD325	Licensed Representative (Provisional Licence)	4, 9
LIU Yuqing	BOD559	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Zhongyi	BOA823	Licensed Representative (Provisional Licence)	2, 5
LIU Zishan	BOA862	Licensed Representative (Provisional Licence)	1
LOK Chun Kit	BBH787	Licensed Representative (Provisional Licence)	1, 4, 6
LU Jiuzhou	ATR182	Licensed Representative (Provisional Licence)	1, 6
LU Yang	BOD373	Licensed Representative (Provisional Licence)	1, 4
LU Yuanyuan	BOB466	Licensed Representative (Provisional Licence)	1, 6
LUK Kar Yan	BOD514	Licensed Representative (Provisional Licence)	1, 4, 6
MA Tsz Hin	AYA935	Licensed Representative (Provisional Licence)	1, 4
MACARTHUR-STANHAM George James	BOA639	Licensed Representative (Provisional Licence)	1, 6
MAN Chi Hang	BOD299	Licensed Representative (Provisional Licence)	1
MANSOUR Charles Marcus	BNH611	Licensed Representative (Provisional Licence)	1, 9
MANZONE Jaime Che	BMU994	Licensed Representative (Provisional Licence)	4
MAO Deyi	BCC878	Licensed Representative (Provisional Licence)	1, 6
MILLER Jason Evans Billings	BOB307	Licensed Representative (Provisional Licence)	1, 4, 9
MOGI Yutaka	BOA593	Licensed Representative (Provisional Licence)	1, 4, 6
NAGATA Takuya	BOB989	Licensed Representative (Provisional Licence)	1, 4
NELSON Joel James	AQM059	Licensed Representative (Provisional Licence)	1
NEVATIA Sumangal Rajesh	BLK492	Licensed Representative (Provisional Licence)	4
NG Wen Ying	BOA465	Licensed Representative (Provisional Licence)	1, 6
NIU Ziming	BOD145	Licensed Representative (Provisional Licence)	1
OGINO REIJI	BOC299	Licensed Representative (Provisional Licence)	1
ONG Chin Aisling	AYE538	Licensed Representative (Provisional Licence)	1, 4
OU YONG Xuan Sheng	BOC428	Licensed Representative (Provisional Licence)	1, 4
PAJJURI Srinivas Reddy	BOC912	Licensed Representative (Provisional Licence)	4
PANG Karon Kin Lok	BOC623	Licensed Representative (Provisional Licence)	2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
PANNELL Christian Jack	BOA474	Licensed Representative (Provisional Licence)	1
PENG Zuncheng	BOC356	Licensed Representative (Provisional Licence)	1
PILCHER Bradford Larkin	BKQ459	Licensed Representative (Provisional Licence)	1
POLAK David Trevelyan	BOB979	Licensed Representative (Provisional Licence)	1
PRANATA Robert Paul	BOD099	Licensed Representative (Provisional Licence)	4
QIAN John Ziang	BOC241	Licensed Representative (Provisional Licence)	1, 2
SAADANE Mohammed Fatih Zineddine	BNJ831	Licensed Representative (Provisional Licence)	1, 2, 7
SANCHETI Abhishek	BOC285	Licensed Representative (Provisional Licence)	1, 2
SANGHRAJKA Satyan Arvind	BGB565	Licensed Representative (Provisional Licence)	1, 4
SETIADI Bob	BOB994	Licensed Representative (Provisional Licence)	4
SHEN Li	BOD923	Licensed Representative (Provisional Licence)	6
SHEN Shibai	BOC294	Licensed Representative (Provisional Licence)	1, 6
SHEN Xiaotong	AWU655	Licensed Representative (Provisional Licence)	1, 4, 6
SHI Zhensong	AUR648	Licensed Representative (Provisional Licence)	1, 2
SHRIVASTAVA Ritu	BOB400	Licensed Representative (Provisional Licence)	1, 2, 4
SHROFF Sammy Patrick	BOD357	Licensed Representative (Provisional Licence)	1
SLIM Arnaud Amaury Alex	BOD508	Licensed Representative (Provisional Licence)	1, 2, 7
SO Ting Hin	BOB733	Licensed Representative (Provisional Licence)	1, 2
SONG Xiaoling	BOB922	Licensed Representative (Provisional Licence)	1, 4, 6
SONG Yunzhe	BOC357	Licensed Representative (Provisional Licence)	1, 4, 9
ST JOHN Michael Joseph Beauchamp	BOC670	Licensed Representative (Provisional Licence)	1
SU SHU	BOB397	Licensed Representative (Provisional Licence)	10
SUN Eric Fu Yuan	BOC030	Licensed Representative (Provisional Licence)	1, 4
TAKALKAR Hemant Anil	BOB259	Licensed Representative (Provisional Licence)	1
TAM Yuet Fung	BAY295	Licensed Representative (Provisional Licence)	1
TAN Lucas Adnan Soen Hwat	ABQ528	Licensed Representative (Provisional Licence)	1
TAN Minling	BOB969	Licensed Representative (Provisional Licence)	4
TAN Weiqiang Alvin	BNO597	Licensed Representative (Provisional Licence)	6
TANG Hin Lun	BOD386	Licensed Representative (Provisional Licence)	1
TANG Ho Ming Edmond	BOB953	Licensed Representative (Provisional Licence)	4, 9
TANG Jing	BOD806	Licensed Representative (Provisional Licence)	1, 4, 6
TANG Simeng	BOC088	Licensed Representative (Provisional Licence)	1, 4
TIAN Yingyu	BOC129	Licensed Representative (Provisional Licence)	1, 2
TOMS Matthew Howard	BOA278	Licensed Representative (Provisional Licence)	1, 2
TREANOR Jonathan Anthony	BOD277	Licensed Representative (Provisional Licence)	1, 2
TSE Cheuk Lam	BOB004	Licensed Representative (Provisional Licence)	10
TSE Wing Lam, Michelle	AVB832	Licensed Representative (Provisional Licence)	6
TUSI Jessica Yijia	BOD510	Licensed Representative (Provisional Licence)	1, 2, 7
WAJNGARTEN Matthew Simon	BME751	Licensed Representative (Provisional Licence)	1, 2
WALUJO Richardo Putra	BOD324	Licensed Representative (Provisional Licence)	4
WANG Aiqian	BOB754	Licensed Representative (Provisional Licence)	1, 4
WANG Lan	BOB393	Licensed Representative (Provisional Licence)	10
WANG Letian	BOC069	Licensed Representative (Provisional Licence)	4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Luming	BOC117	Licensed Representative (Provisional Licence)	1
WANG Wenbei	BCS501	Licensed Representative (Provisional Licence)	6
WANG Xinyuan	BOD279	Licensed Representative (Provisional Licence)	1, 6
WANG Yangjunnan	BOC173	Licensed Representative (Provisional Licence)	1, 6
WANG Yongfeng	BOC780	Licensed Representative (Provisional Licence)	4
WANG Yuan Yuan	BNV900	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Zhen	BOC036	Licensed Representative (Provisional Licence)	4
WANG Ziang	BOD653	Licensed Representative (Provisional Licence)	1, 6
WINOTO Yusuf Ade	BOC205	Licensed Representative (Provisional Licence)	4
WONG Choi Mei	ABT161	Licensed Representative (Provisional Licence)	1, 2, 4, 9
WONG Hoi Ying Christy	BOC582	Licensed Representative (Provisional Licence)	1, 4
WONG Ka Long	BAR480	Licensed Representative (Provisional Licence)	1, 2
WONG Man Chan	BOB411	Licensed Representative (Provisional Licence)	6
WONG Tak Yan, Frances	ARP090	Licensed Representative (Provisional Licence)	1
WONG Yeung	BOB548	Licensed Representative (Provisional Licence)	1, 2
WONG Yuk Cheung	BOD886	Licensed Representative (Provisional Licence)	4, 9
WU Changxuan	BOC390	Licensed Representative (Provisional Licence)	1
WU Juanjuan	BOC032	Licensed Representative (Provisional Licence)	4, 9
WU Ying Kit	BOD098	Licensed Representative (Provisional Licence)	9
WU Yu-Huan	BOC024	Licensed Representative (Provisional Licence)	1, 6
WU Yuyang	BOC290	Licensed Representative (Provisional Licence)	1, 6
XIA Zhen	BOC238	Licensed Representative (Provisional Licence)	1
XIE Haituo	BOD009	Licensed Representative (Provisional Licence)	2
XIE Lijun	BNS465	Licensed Representative (Provisional Licence)	9
XU Nuo	BOA935	Licensed Representative (Provisional Licence)	1, 2
YAMAMURA Junko	BES915	Licensed Representative (Provisional Licence)	4
YAO Chunguan	BOB129	Licensed Representative (Provisional Licence)	1, 2
YE Dandan	BOD783	Licensed Representative (Provisional Licence)	1, 4, 9
YEO Sze Huai, Grace	BOC662	Licensed Representative (Provisional Licence)	1
YI Chen	BOC216	Licensed Representative (Provisional Licence)	1, 4, 6
YIN Hao Chi, Alec	ALZ060	Licensed Representative (Provisional Licence)	1, 4
YIN Jufeng	BOB625	Licensed Representative (Provisional Licence)	4
YOO Chan Ho	BOB149	Licensed Representative (Provisional Licence)	2, 5
YU Cong	BOC182	Licensed Representative (Provisional Licence)	1, 2
YU Jia	BJQ980	Licensed Representative (Provisional Licence)	4
YU Tao	BOC108	Licensed Representative (Provisional Licence)	5
YU Yan	BOC521	Licensed Representative (Provisional Licence)	1
YUNG Kwok Fai	BOC094	Licensed Representative (Provisional Licence)	1
ZENG Fanyuan	BOD551	Licensed Representative (Provisional Licence)	1, 6
ZHANG Dawei	BOD326	Licensed Representative (Provisional Licence)	1, 2
ZHANG Jianyu	BNY962	Licensed Representative (Provisional Licence)	4, 9
ZHANG Jinguo	BOB395	Licensed Representative (Provisional Licence)	10
ZHANG Kaiyi	BOC395	Licensed Representative (Provisional Licence)	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHANG Peter Sihao	BOC242	Licensed Representative (Provisional Licence)	1, 6
ZHANG Shuqi	BOC312	Licensed Representative (Provisional Licence)	4, 9
ZHANG Tailai	BOD343	Licensed Representative (Provisional Licence)	1, 2, 9
ZHANG Zhirou	BOC888	Licensed Representative (Provisional Licence)	6
ZHENG Kaien	BOB758	Licensed Representative (Provisional Licence)	4
ZHENG Ke	BET699	Licensed Representative (Provisional Licence)	1, 2, 7
ZHOU Yating	BOC810	Licensed Representative (Provisional Licence)	4
ZHU Yingjin	BOC044	Licensed Representative (Provisional Licence)	1, 2, 7
ZHU Yuhua	BLV919	Licensed Representative (Provisional Licence)	1, 4, 9
ZWAANSTRA John	AFR443	Licensed Representative (Provisional Licence)	4, 5, 9
ADEWALE Amanda Patricia	BBO925	Licensed Representative (Temporary Licence)	1
AHUJA Vivek Manohar	ARI512	Licensed Representative (Temporary Licence)	4
ALI Tawhid	BBP720	Licensed Representative (Temporary Licence)	4
ANG Beng Kiat Edwin	BOC606	Licensed Representative (Temporary Licence)	1
BABA Taichi	BAZ165	Licensed Representative (Temporary Licence)	1, 2
BAE Christopher Thomas	AQI792	Licensed Representative (Temporary Licence)	1, 4
BALASUBRAMANIAM Venkatesh	BDT263	Licensed Representative (Temporary Licence)	4
BANSAL Sukriti	BOB594	Licensed Representative (Temporary Licence)	4
BARUS Felicia Asrinanda	AUT955	Licensed Representative (Temporary Licence)	4
BASTIANPILLAI Anjali	BOA494	Licensed Representative (Temporary Licence)	1
BAXTER Melinda Kate	BCP840	Licensed Representative (Temporary Licence)	4
BENJAMIN William Anthony	BNK889	Licensed Representative (Temporary Licence)	1
BOOKER Lawrence Roger	BOB785	Licensed Representative (Temporary Licence)	1
BRICKER Christopher John	AXS488	Licensed Representative (Temporary Licence)	4
BROOKS Michael Robert	BOC777	Licensed Representative (Temporary Licence)	1
BUCHLER Marco Felix	BOC637	Licensed Representative (Temporary Licence)	1
CARLE Gregor	AHM442	Licensed Representative (Temporary Licence)	1, 4
CHADHA Dushyant	BIE137	Licensed Representative (Temporary Licence)	1
CHALOKEPUNRAT Sirichai	BGX415	Licensed Representative (Temporary Licence)	4
CHAN Kah Ling	AFW039	Licensed Representative (Temporary Licence)	10
CHANDREN Edi	BIX619	Licensed Representative (Temporary Licence)	4
CHAWLA Vanshika	BJP272	Licensed Representative (Temporary Licence)	1, 2
CHEN Mengxia	BLM904	Licensed Representative (Temporary Licence)	10
CHIA Joseph Kuok Lit	BLS724	Licensed Representative (Temporary Licence)	1, 2
CHIDGEY Kieren Joseph	BJX129	Licensed Representative (Temporary Licence)	4
CHING Yi Edmund	AKU003	Licensed Representative (Temporary Licence)	4
CHO Chulhee	BEX932	Licensed Representative (Temporary Licence)	4
CHOI Minha	BFC667	Licensed Representative (Temporary Licence)	4
CHOONNAWAT Kaseedit	AOY790	Licensed Representative (Temporary Licence)	4
CHOPRA Raashi	BEE682	Licensed Representative (Temporary Licence)	4
CHUA Minguang Donald	BEF380	Licensed Representative (Temporary Licence)	4
CLINT Oswald Conan	AVH615	Licensed Representative (Temporary Licence)	4
COGHILL James Arthur Lawrence	AWC079	Licensed Representative (Temporary Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
COLE Andrew Donald	BOC029	Licensed Representative (Temporary Licence)	1
DADABHOY Jamshed Rustom	BFK188	Licensed Representative (Temporary Licence)	4
DADAWALA Sanjena	BFE624	Licensed Representative (Temporary Licence)	4
DANGRA Abhishek Krishna Avtar	BOD244	Licensed Representative (Temporary Licence)	10
DARMONO Permadawani	BJH031	Licensed Representative (Temporary Licence)	4
DOLEV Dan	BOA586	Licensed Representative (Temporary Licence)	4
D'OLIER-LEES Trevor John Cathcart	BOD844	Licensed Representative (Temporary Licence)	10
FEDELI Fabiana	BMC997	Licensed Representative (Temporary Licence)	1
FERRARA William Stephen	BOD034	Licensed Representative (Temporary Licence)	1, 2
GIOVANNY Jovent	BMV728	Licensed Representative (Temporary Licence)	4
GOODMAN III Robert Campe	BNO751	Licensed Representative (Temporary Licence)	1, 4
GOULD III Richard Gerardus	BOC417	Licensed Representative (Temporary Licence)	1
HAGDRUP Fiona Mary	BOD253	Licensed Representative (Temporary Licence)	1
HANDA Saurabh	BFA991	Licensed Representative (Temporary Licence)	4
HANDERSON Timothy	BNJ858	Licensed Representative (Temporary Licence)	4
HANNA Andrew Patrick	BOD036	Licensed Representative (Temporary Licence)	1, 2
HEIDE Erin Darlene	BHM040	Licensed Representative (Temporary Licence)	1
HOCHFELD Kim	BKV728	Licensed Representative (Temporary Licence)	1
HOSANGADY Ranjit Rammohan	AVW682	Licensed Representative (Temporary Licence)	1
HUANG Ying	BLA672	Licensed Representative (Temporary Licence)	4
HUGHES JR William Terrance	BHG758	Licensed Representative (Temporary Licence)	1, 4
HWANG Paul	AMO577	Licensed Representative (Temporary Licence)	4
IWABUCHI Ryo	BOC284	Licensed Representative (Temporary Licence)	1, 2
IYER Parvathy	BMY079	Licensed Representative (Temporary Licence)	10
JIN Hong Gooek	BLD547	Licensed Representative (Temporary Licence)	4
JUNG Chungkoo Eugene	AWP250	Licensed Representative (Temporary Licence)	4
KANG Chang Joo	BGV243	Licensed Representative (Temporary Licence)	1, 4
KEDIA Sahil Sudhir	AZW848	Licensed Representative (Temporary Licence)	4
KHOO Phuay Gek, Eunice	BOC407	Licensed Representative (Temporary Licence)	1
KIESEL Mark Richard	BFN437	Licensed Representative (Temporary Licence)	1, 4
KIM Jinwoo	BGY980	Licensed Representative (Temporary Licence)	4
KIM Nara	BHO992	Licensed Representative (Temporary Licence)	1
KITAOKA Tomochika	AMM472	Licensed Representative (Temporary Licence)	4
KUMAR Shaleen	BJQ605	Licensed Representative (Temporary Licence)	4
KUZMIN Igor	BNE940	Licensed Representative (Temporary Licence)	1, 4
LEE Doyeon	BFO638	Licensed Representative (Temporary Licence)	4
LEE Kai An, Brandon (Li Kaian)	AXN040	Licensed Representative (Temporary Licence)	4
LEE Su Sie	BFU417	Licensed Representative (Temporary Licence)	4
LIN Li-Chun	BIG303	Licensed Representative (Temporary Licence)	4
LIN Ming-De	BEC282	Licensed Representative (Temporary Licence)	4
LINTON Charles Harold	BKM657	Licensed Representative (Temporary Licence)	4
LIUSUDARSO Justian Rama	BNH961	Licensed Representative (Temporary Licence)	4
LUENGNARUEMITCHAI Pipat	BOB967	Licensed Representative (Temporary Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
MACLEAN Murdo Alexander	BGK887	Licensed Representative (Temporary Licence)	1, 4
MALEK Charly	BDV245	Licensed Representative (Temporary Licence)	1
MATHUR Aditya	BEQ279	Licensed Representative (Temporary Licence)	4
MCBRIDE Ryan Michael	BLD306	Licensed Representative (Temporary Licence)	1, 2
MCDONALD Graeme Antony	ALA712	Licensed Representative (Temporary Licence)	4
MCDONALD Zachary Devin	BOC429	Licensed Representative (Temporary Licence)	1
MIVELAZ Gregoire Bernard	ASD683	Licensed Representative (Temporary Licence)	1
MOBLEY Amina Erica	BJG670	Licensed Representative (Temporary Licence)	1, 2
MOOKIM Sanjay Kumar	AUW976	Licensed Representative (Temporary Licence)	4
MORAN Craig	BOD266	Licensed Representative (Temporary Licence)	1
MORGAN Glenn David	AWK540	Licensed Representative (Temporary Licence)	1
MOWAT John George	BOD742	Licensed Representative (Temporary Licence)	1, 4
MUKHIJA Tanuj	BJA905	Licensed Representative (Temporary Licence)	4
NA PATTALOONG Natchutha	BIO006	Licensed Representative (Temporary Licence)	4
NAYLOR-LEYLAND Edward Frederick	BLG378	Licensed Representative (Temporary Licence)	1
NG Chiang Ling	AVC031	Licensed Representative (Temporary Licence)	1
NIETSCH Eric Clark	BIA055	Licensed Representative (Temporary Licence)	10
NISHIGAKI Takeshi	BOC621	Licensed Representative (Temporary Licence)	1
OLOFSSON Johan Thomas Leonard	BMP980	Licensed Representative (Temporary Licence)	1, 2
O'SULLIVAN RYAN CHARLES	BOD139	Licensed Representative (Temporary Licence)	1
PEREZ FERNANDEZ Juan Luis	BGE895	Licensed Representative (Temporary Licence)	4
PHRAWPHRAIKUL Charti	BGX420	Licensed Representative (Temporary Licence)	4
PHUA Yee Farn	BJD619	Licensed Representative (Temporary Licence)	10
PILLAY Aveshen	BLP055	Licensed Representative (Temporary Licence)	1, 2
PUTRA Igor Nyoman	BDR834	Licensed Representative (Temporary Licence)	4
RAMOS Axel Jean	BOC608	Licensed Representative (Temporary Licence)	1
RILEY Thomas Edward	BOC766	Licensed Representative (Temporary Licence)	1, 4
ROEHRIG Uwe	BOC421	Licensed Representative (Temporary Licence)	1, 4
SAFFAYE George Christopher	BNM174	Licensed Representative (Temporary Licence)	1, 4
SAUNDERS Philip Gordon Strobe	ADB492	Licensed Representative (Temporary Licence)	4
SCHNEIDER Jerome Michael	BOC265	Licensed Representative (Temporary Licence)	1, 4
SCHOENEBOERN TORSTEN RICHARD	BOD455	Licensed Representative (Temporary Licence)	1
SENKPIEL Mark Douglas	BOC392	Licensed Representative (Temporary Licence)	1, 4
SETH Neeraj	AYB919	Licensed Representative (Temporary Licence)	1, 4
SHAH Aarti Pramod	BLU258	Licensed Representative (Temporary Licence)	1, 4
SHAH Amish Chandrakant	AUW978	Licensed Representative (Temporary Licence)	4
SHARMA Arvind	BOA566	Licensed Representative (Temporary Licence)	4
SHASTRY MOHITH KESHAHA	BMW550	Licensed Representative (Temporary Licence)	1, 4
SHAW Lachlan John Rayner	BGL492	Licensed Representative (Temporary Licence)	4
SHEN Wei	BFM497	Licensed Representative (Temporary Licence)	4
SHUKLA Manish Bhupendra	BKJ049	Licensed Representative (Temporary Licence)	4
SLETTEVOLD John Henry	BLO129	Licensed Representative (Temporary Licence)	1
SOELAIMAN Janivan	BOC537	Licensed Representative (Temporary Licence)	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
SONG David	BOC427	Licensed Representative (Temporary Licence)	1
STUBBS Jonathan James	BNC792	Licensed Representative (Temporary Licence)	4
SUTTIKULPANICH Yossavee	BHN933	Licensed Representative (Temporary Licence)	4
SUZUKI Takayuki	AXL566	Licensed Representative (Temporary Licence)	1, 2
SWAMINATHAN Anand	AUW975	Licensed Representative (Temporary Licence)	4
TAN Cheng Soon	ALU098	Licensed Representative (Temporary Licence)	1
TEHRANI Rishad Purvez	BOC502	Licensed Representative (Temporary Licence)	1
TIWARI Atul Kumar	BDT253	Licensed Representative (Temporary Licence)	4
TSANG Siu Hin, Gary	BJG568	Licensed Representative (Temporary Licence)	4
TUCKER Mark Andrew	BOC945	Licensed Representative (Temporary Licence)	1
VACHIRAPONG Therapong	BGV252	Licensed Representative (Temporary Licence)	4
VAN DEN BERG Richard Johannes	BOD260	Licensed Representative (Temporary Licence)	1
VERSTAPPEN Theodorus Mechtildis Franciscus	BEW818	Licensed Representative (Temporary Licence)	1
VYVIAL Vanessa	BOB996	Licensed Representative (Temporary Licence)	4
WATANABE Hajime	BOD974	Licensed Representative (Temporary Licence)	1, 4
WHITE Tamera Jane	AVQ210	Licensed Representative (Temporary Licence)	1
WIDJAJA Fransisca	BNN762	Licensed Representative (Temporary Licence)	1
WOUTERS Anton Hendrik	AXG009	Licensed Representative (Temporary Licence)	4
XIE Jiaoyue	BHU354	Licensed Representative (Temporary Licence)	1, 4
YADAV Prashant	BKC039	Licensed Representative (Temporary Licence)	1
YAMAMOTO Koji	BOD516	Licensed Representative (Temporary Licence)	1, 2
YANG Zhao	BOC022	Licensed Representative (Temporary Licence)	1, 2
YEUNG Jessie	BOD302	Licensed Representative (Temporary Licence)	4
YIU Wong Ki	AYW262	Licensed Representative (Temporary Licence)	4
YOO Jae Hyuk	BBS475	Licensed Representative (Temporary Licence)	4
YOO Jongwoo	BEX905	Licensed Representative (Temporary Licence)	4
YOON Taeho	BEX939	Licensed Representative (Temporary Licence)	4
ZHU Yufei	BJQ977	Licensed Representative (Temporary Licence)	4
ZHUO Sophie Ya Li	BNV013	Licensed Representative (Temporary Licence)	1, 4