

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
AngelHub Limited	BL0833	Licensed Corporation	1, 4
Angelo, Gordon Hong Kong Limited	BNS940	Licensed Corporation	1
China Shandong International Asset Management Limited	BNH481	Licensed Corporation	4, 9
CPPIB Asia Inc.	BNX446	Licensed Corporation	1
Daintree Partners Limited	BNP767	Licensed Corporation	1, 4, 9
Gennex Capital Advisers Limited	BOA891	Licensed Corporation	9
Golden Asset Management Co., Limited	BNU616	Licensed Corporation	4, 9
Greater Bay Area Development Fund Management Limited	BMR569	Licensed Corporation	1, 4, 9
Hefeng Family Office (Hong Kong) Limited	BNP575	Licensed Corporation	4, 9
Hereditas Capital Management (Asia) Limited	BOB497	Licensed Corporation	4, 9
HTF Securities Limited	BNO909	Licensed Corporation	1, 4, 9
IM Capital Partners Limited	BNN719	Licensed Corporation	4, 9
O F Capital (Hong Kong) Co., Limited	BNV041	Licensed Corporation	1, 4, 9
Panda Securities Company Limited	BNC380	Licensed Corporation	1
Paragon Asset Management Limited	BNS287	Licensed Corporation	4, 9
QuadReal HK Services Limited	BNX794	Licensed Corporation	1, 4
Season Asset Management Limited	BOC105	Licensed Corporation	4, 9
Seeds International Asset Management Limited	BNZ010	Licensed Corporation	4, 9
Shenzhen Capital (International) Asset Management Company Limited	BNU659	Licensed Corporation	9
Tang Yin International Asset Management Company Limited	BMN649	Licensed Corporation	9
Tanjn International Limited	BNV052	Licensed Corporation	1, 4
WeShare Securities Limited	BNC862	Licensed Corporation	1, 6
Yue Xiu Capital Limited	BOA034	Licensed Corporation	6
ALTIERI Mario Letterio	BOE412	Licensed Representative	1, 2
ANG Chiu On	BOE755	Licensed Representative	1, 4
ANSARI Saira Abul	BOF645	Licensed Representative	1
ASVATHITANONTA Kamolwan	BOG394	Licensed Representative	1
AU Wilson Wai Kwan	ATY188	Licensed Representative	1, 4, 9
AUBIN Edouard Jean Laurent	BLT655	Licensed Representative	1, 4
AU-YEUNG Kin Hang	AXI948	Licensed Representative	1
BAI Yang	BOE426	Licensed Representative	1, 6
BERNARDO Jessie	BAM369	Licensed Representative	1, 4
BI Congyang	BNJ708	Licensed Representative	9
BING Zhujun	BOF315	Licensed Representative	1, 4, 9
CEREDA PARINI Flavio Romano Achille	BDD072	Licensed Representative	4
CHADHA Prashant	BGQ083	Licensed Representative	9
CHAN Ernest	BOF190	Licensed Representative	2, 5
CHAN Hiu Yung Crystal	BOE956	Licensed Representative	4, 9
CHAN Ka Wai	BOF448	Licensed Representative	1, 4, 9
CHAN Kwun Pan	BOF049	Licensed Representative	1, 4
CHAN Lily	BOF423	Licensed Representative	1
CHAN Lit Yee	BOF249	Licensed Representative	1, 4, 9
CHAN Ryan Yin Chun	BOF797	Licensed Representative	1, 2
CHAN Suet Sze	BOF035	Licensed Representative	1
CHAN Suet Ying	BOF948	Licensed Representative	1, 4, 9
CHAN Ut leong	AWF498	Licensed Representative	1, 4, 9
CHAN Wang Wai	BOE732	Licensed Representative	1, 2
CHAN Wing Wa	BOF278	Licensed Representative	1, 4
CHANG Shu Jung	AJ811	Licensed Representative	9
CHAO Yee Hung	BOG041	Licensed Representative	1, 4
CHAUDHURI Kaustav	BOE528	Licensed Representative	1, 2
CHEN Chucan	BOC035	Licensed Representative	4
CHEN Han	BOE243	Licensed Representative	1
CHEN Hui	BOE948	Licensed Representative	1, 4, 9
CHEN Li	BOF799	Licensed Representative	1, 6
CHEN Mu	BOA754	Licensed Representative	9
CHEN Phieby Si	BOD274	Licensed Representative	1, 4
CHEN Shaowen	BOF188	Licensed Representative	1, 2, 9
CHEN Wei	BOE543	Licensed Representative	4, 9
CHEN Weibin	BOD694	Licensed Representative	4
CHEN Wuhao	BOF915	Licensed Representative	1, 4
CHEN Xiao	AVA602	Licensed Representative	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Xiaohua	BAM436	Licensed Representative	1, 2
CHEN Yilun	BOF343	Licensed Representative	1
CHEN Zhe	BOG853	Licensed Representative	1, 2
CHEN Zhixin	BOD028	Licensed Representative	1, 4, 6
CHENG Haiwen	BIN077	Licensed Representative	1, 4
CHENG Sze Lok	BOD979	Licensed Representative	1
CHEUNG Fei Chau	AGC679	Licensed Representative	1
CHEUNG Ming Fai	BOG198	Licensed Representative	4, 9
CHEUNG Tsz Sin	BOC691	Licensed Representative	1
CHEW Cheng Yuan	BNV903	Licensed Representative	1, 4, 6
CHHOA Catherine	BOC710	Licensed Representative	6
CHI Chao	BOF142	Licensed Representative	1, 4, 9
CHIN Yao Chou	BOD846	Licensed Representative	1, 2, 4
CHING Mung Kiu	BOF397	Licensed Representative	1
CHIU Hiu Lam Emily	BOE627	Licensed Representative	1, 4, 9
CHOI Ho Jun	BOF337	Licensed Representative	1
CHOI Lai Chuen	BOE246	Licensed Representative	1
CHOI Michael Chung Hang	BOC692	Licensed Representative	1
CHOI Tung Yin, Patrick	AVA754	Licensed Representative	1, 2
CHOU Tsz Yeung	BFT505	Licensed Representative	1
CHOW Chung, Apollo	BOF134	Licensed Representative	1, 4, 9
CHOW Sheung Heung	BOF774	Licensed Representative	1, 4
CHOY Chi Hai, Calvin	AUA252	Licensed Representative	1, 2, 4, 5, 9
CHOY Yee Ting	BOE740	Licensed Representative	3
CHU Chung Lim	BOE385	Licensed Representative	1, 2, 9
CHU Hoi Man Jolly	BBW390	Licensed Representative	1
CHU Kin Chiu	BOF222	Licensed Representative	1, 9
CHUI Suk Yin, Carolyn	AAK271	Licensed Representative	9
CHUNG Chi Kit	BOE388	Licensed Representative	6
CHUNG Chi Ming	BOD505	Licensed Representative	4, 9
CHUNG So Young	BOD537	Licensed Representative	1
CLARK Miranda Helen	BOD965	Licensed Representative	4
CONG Mingshu	BOF147	Licensed Representative	1
COPERTI Giulio Giacomo	BOG205	Licensed Representative	6
DAN Hiroyuki	BOF345	Licensed Representative	1, 4, 6
DEMAIO Thomas Vincent	AML645	Licensed Representative	9
DI FUCCI John Stephen	BOG204	Licensed Representative	4
DICKERSON Joseph Eric	BOG047	Licensed Representative	4
DILLARD Brian Wesley	BOF093	Licensed Representative	1, 4
DING Mao	BGI315	Licensed Representative	1
DOULL Matthew William Monteith	BOC819	Licensed Representative	1, 4
DUAN Yanyan	BOD921	Licensed Representative	1
ELIE TANUJAYA Kevin	BEF300	Licensed Representative	1, 2, 4, 5, 9
ENLUND Dianna	APU795	Licensed Representative	1, 4, 9
ER Wilson	APK732	Licensed Representative	1, 4, 9
FAN Buwei	BOF775	Licensed Representative	1, 4
FAN Cheuk Yin	BOF139	Licensed Representative	4
FAN Ka Wai	BOD914	Licensed Representative	1, 4, 9
FANG Hsieh Shen	AAC133	Licensed Representative	9
FANG Shuang	BOE652	Licensed Representative	4, 9
FASSO Anthony Gerard	ACR987	Licensed Representative	1, 4, 9
FENG Chongguang	BOD615	Licensed Representative	4
FENG Xiao	BOA927	Licensed Representative	1, 4, 9
FENG Yutong	BOF258	Licensed Representative	4
FENG Zhangpeng	BOE863	Licensed Representative	4, 9
FISHMAN Edward Laurence	BOF167	Licensed Representative	1
FREEMAN Sebastian Oliver	BOC820	Licensed Representative	1, 2
FU Yu	BOE212	Licensed Representative	1, 4, 5, 9
FUNG Wing Ping	AAC015	Licensed Representative	1
GAO Xu	BOH068	Licensed Representative	1, 4
GARG Mukul	BOD966	Licensed Representative	4
GE Mier	BOC131	Licensed Representative	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2019

CE Name	CE No.	License Type	Types of Regulated Activity
GLAISNER Quentin	BOE206	Licensed Representative	1, 2, 7
GUO Shiyi	BOE952	Licensed Representative	1, 4
GUO Weiwei	BOG386	Licensed Representative	1, 2, 4, 5
HAGIWARA Kenichi	BKF268	Licensed Representative	9
HAM Tae Gyu	BOF166	Licensed Representative	1, 4
HAO Yanhui	BOD167	Licensed Representative	4
HAU Wai Tak	BKV826	Licensed Representative	4, 9
HAYMAN Grace Susan	BOF496	Licensed Representative	1
HE Cheng	BMH301	Licensed Representative	1, 9
HEWITT David James	APG723	Licensed Representative	4
HILL Daniel William	BFY595	Licensed Representative	9
HINDLE Leon Miller	AIN992	Licensed Representative	9
HITCHENS Paul Brian Pym	AWX518	Licensed Representative	4
HO Ka Lun	BIN776	Licensed Representative	1, 4
HO Siu Man, Gideon	AOR642	Licensed Representative	4, 9
HO Sui Yi	BOC629	Licensed Representative	1, 4
HON Siu Hong	AFT493	Licensed Representative	1
HON Wing Lun	AVP857	Licensed Representative	4
HONG Shaozhi	BOE497	Licensed Representative	4, 9
HOU Jiaqi	BOF906	Licensed Representative	1, 4
HOU Yiyun	BIU737	Licensed Representative	1
HOU Yu	BOE022	Licensed Representative	9
HOUCHOIS Philippe Jean	AZK752	Licensed Representative	4
HSU Chiao Chih	AXW468	Licensed Representative	4
HU Deyue	BOF356	Licensed Representative	1, 4
HU Wanli	BOF614	Licensed Representative	1, 4
HU Wenqin	BFQ045	Licensed Representative	1, 6
HUANG Chengxian	BOG385	Licensed Representative	4, 9
HUANG Hantao	BOF082	Licensed Representative	1, 4
HUANG Shangting	BFV013	Licensed Representative	9
HUANG Xinyi	BOE864	Licensed Representative	1, 6
HUANG Xinyue	BOF252	Licensed Representative	1, 4
HUANG Zhenkai	BOD548	Licensed Representative	1, 6
HUDSON Alexander Jo	BOD306	Licensed Representative	1, 7
HUH Samuel	BOD124	Licensed Representative	1, 4, 9
HUI Jie	BIJ550	Licensed Representative	1, 4
HUMAN Brandt Van Aardt	BOF850	Licensed Representative	1
INDERST Andreas	BOE621	Licensed Representative	4
IVANOVSKA Violeta Dimitrova	BOG079	Licensed Representative	1
JENKINS Benjamin Taylor	BOF078	Licensed Representative	1
JI Yuanyuan	AY1807	Licensed Representative	1
JIANG Hao	BOE811	Licensed Representative	1, 6
JIANG Xinhao	BOE414	Licensed Representative	1, 4
JIANG Yann	BOF574	Licensed Representative	1, 4, 6
JIN Xiumin	BOD004	Licensed Representative	1, 2
KANG Puay Ju	BJB545	Licensed Representative	1
KATZ David Brian	BOD520	Licensed Representative	4
KAWAMOTO Yushi	BOF144	Licensed Representative	4
KELLY Robert James	BOD307	Licensed Representative	1, 7
KO Sze Chai, Joyce	BOD909	Licensed Representative	1, 4, 9
KO Wing Leong Algie	BOD857	Licensed Representative	9
KOO Hung Jun, Lawrence	ADL513	Licensed Representative	1, 4
KUAN Sai In	BOE912	Licensed Representative	1, 4, 6
KUO Che Lin	BOD138	Licensed Representative	1
KWAN Irene Michelle	BOF426	Licensed Representative	9
KWEK Li Ern Joy	ANQ606	Licensed Representative	6
KWOK Ka Huen	BGJ110	Licensed Representative	6
KWOK Kin	BOF020	Licensed Representative	1
KWOK Luk Pun	BGB730	Licensed Representative	1, 4
LAI Chun Kit	BBS902	Licensed Representative	1, 4
LAI Man Ling	AWX953	Licensed Representative	4
LAI Tianxing	BOE375	Licensed Representative	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAM Chak Yu	BOE255	Licensed Representative	1, 4, 9
LAM Chi Kong	BOF458	Licensed Representative	1, 4, 6
LAM Chi Pui	BID982	Licensed Representative	1
LAM Chun Shing Jerry	BJK930	Licensed Representative	1
LAM Hiu Tung	BOF254	Licensed Representative	1, 6
LAM Ka Ho	BOE175	Licensed Representative	1, 2
LAM Kin Sang	AOX351	Licensed Representative	1, 2
LAM Kit Yin	ANV267	Licensed Representative	9
LAM Kwok Wai	BOF116	Licensed Representative	1
LAM Nok Sze Christie	BOC922	Licensed Representative	1, 4, 9
LAM Sau Yan	BOG410	Licensed Representative	1, 4
LAM Tsun Ting Louis	BOF790	Licensed Representative	6
LAM Wang Chi	AKX039	Licensed Representative	9
LAM Wing Yee Reine	BOG051	Licensed Representative	1, 4
LAU Chun Pong	BOD152	Licensed Representative	1, 4, 9
LAU Hiu Ting	BOE257	Licensed Representative	1
LAU Jonathan Tat Ming	BOD826	Licensed Representative	6
LAU Yu	BOG313	Licensed Representative	1
LAU Yun Mei	BNV579	Licensed Representative	1
LAW Hung Yan Verona	BOF186	Licensed Representative	9
LAW Sum Yee	BOG017	Licensed Representative	4
LAW Yuk Shan	BOG423	Licensed Representative	1, 2
LE GOFF Yannick Christian	ASO642	Licensed Representative	1, 2
LEE Ching Hung	AXX537	Licensed Representative	1, 2, 3, 4
LEE Daeun	BOF404	Licensed Representative	1, 4, 6
LEE Donald Sang	AUT132	Licensed Representative	1, 2, 7
LEE Jenny Jaung	ALF685	Licensed Representative	1, 4, 9
LEE Ka Sing	BOD096	Licensed Representative	9
LEE Kwok Chu	BBG831	Licensed Representative	1
LEE Ngai Sun	AHV646	Licensed Representative	1
LEE Shi Hyun	BFT723	Licensed Representative	1, 6
LEE Yat Ching Brigid	BNZ860	Licensed Representative	1
LEE Yee Man, Cheris	AOV542	Licensed Representative	1, 4, 9
LEI Shuxun	BOE887	Licensed Representative	4, 9
LEONG Wai Ip	AFV784	Licensed Representative	1
LEOW Wei Heng, Timothy	BOC065	Licensed Representative	1, 4, 6
LEUNG Ka Ho	BOD757	Licensed Representative	1, 9
LEUNG Kenrick Kwong Wick	ADG978	Licensed Representative	9
LEUNG Shing Shan	BOF348	Licensed Representative	1
LEUNG Sze Wan	BOD304	Licensed Representative	1, 2
LI Chun Lun	BOD303	Licensed Representative	1, 2
LI Dan	ARA997	Licensed Representative	1, 4, 9
LI Hairong	BOD027	Licensed Representative	1, 4
LI Helen	ANS159	Licensed Representative	6
LI Jiaming	BOE640	Licensed Representative	4
LI Jing	AMI391	Licensed Representative	4, 9
LI Jingyou	BOG863	Licensed Representative	1, 6
LI Man Luk	AWZ595	Licensed Representative	1, 4, 9
LI Meng	BOF794	Licensed Representative	1, 2, 4
LI Mengxuan	BDR393	Licensed Representative	1, 4, 9
LI Mingzhe	BOE754	Licensed Representative	9
LI Shuguo	BOF250	Licensed Representative	4
LI Shuohan	BOF534	Licensed Representative	6
LI Tong	AZL265	Licensed Representative	6
LI Wei	BOC574	Licensed Representative	4, 9
LI Wing Lam	BNC987	Licensed Representative	1
LI Xin	BNY209	Licensed Representative	1
LI Xinran	BOF623	Licensed Representative	4, 9
LI Xueqing	BOC858	Licensed Representative	10
LI Yiting	BOG043	Licensed Representative	6
LI Yizhen	BOB293	Licensed Representative	9
LIANG lianjin	BOE506	Licensed Representative	4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIANG Rong	BOE547	Licensed Representative	1, 4, 9
LIANG Yizhou	BOE419	Licensed Representative	9
LIAO Mingwei	BOE086	Licensed Representative	1
LIAO Mingxi	BOF461	Licensed Representative	4, 5
LIN Disheng	BOD554	Licensed Representative	1
LIN Qun	BOE947	Licensed Representative	2, 5
LIN Wenjing	BOF231	Licensed Representative	1
LIN Xiayuan	BFN094	Licensed Representative	1, 4
LIN Yang	BOE403	Licensed Representative	4, 9
LIN Yongxue	BOD836	Licensed Representative	1, 2
LING Ka Kin	AOM643	Licensed Representative	1, 4, 9
LIU Bohong	BOF017	Licensed Representative	1
LIU Chuanling	BOF635	Licensed Representative	6
LIU Guangyu	BOG300	Licensed Representative	1, 4, 6
LIU Jieying	BOD545	Licensed Representative	1, 6
LIU Jun	BOD394	Licensed Representative	1, 4, 6
LIU Ke Ru	BOG479	Licensed Representative	6
LIU Lingyu	BOF624	Licensed Representative	1, 2
LIU Luchan	BGQ378	Licensed Representative	4, 9
LIU Shunchang	BOD360	Licensed Representative	5
LIU Xinyi	BOE557	Licensed Representative	4, 9
LIU Yan Ying	BOD906	Licensed Representative	1
LIU Yaqing	BOE954	Licensed Representative	1, 2, 4
LIU Yirui	BOE480	Licensed Representative	1, 4
LIU Yixing	BIG093	Licensed Representative	1, 4, 9
LIU Yuqing	BOD559	Licensed Representative	1, 4, 9
LO Ka Yan	BOC179	Licensed Representative	6
LO Kin Mng	BOE930	Licensed Representative	1
LO Kit Mui	BOF326	Licensed Representative	4, 9
LO Lawrence	BOB793	Licensed Representative	4, 9
LO Wai Yee	BOE563	Licensed Representative	1
LO Yuk Fung	BOE831	Licensed Representative	1, 6
LOK Man Kit	BOF165	Licensed Representative	1
LONGO Anthony	BOE827	Licensed Representative	4
LOUIE Leung Kwan	AQE309	Licensed Representative	6
LOW Andrew Donald	AKJ197	Licensed Representative	1, 4, 6
LU Jiehao	BOF154	Licensed Representative	1, 4, 9
LU Liangjie	BOE900	Licensed Representative	4, 9
LUI Ching Yan	AXG549	Licensed Representative	1, 2
LUI Sai Man	APY610	Licensed Representative	1, 4
LUK Hing Fung	BOF810	Licensed Representative	1, 4
LUNG Him Yick Matthew	BOD645	Licensed Representative	1, 4
LUO Bing	AVK660	Licensed Representative	1, 4
MA Ho Lun	BOF292	Licensed Representative	1, 2
MA Ho Yin	ANY141	Licensed Representative	1, 4
MA Tsz Hin	AYA935	Licensed Representative	1, 4
MA Zhan	BOE153	Licensed Representative	9
MADDOCK Warwick Curtis Lee	BOF087	Licensed Representative	1, 4, 6
MAK Wai Yip	BOD544	Licensed Representative	4, 9
MAN Ho Fung	BOC698	Licensed Representative	1, 4
MANCUSO Henry Sullivan	BFK140	Licensed Representative	9
MARDJUKI Jonathan Steven	BOF045	Licensed Representative	4
MEHTA Saurabh	BOD903	Licensed Representative	1, 4
MEPANI Pravin	ALW554	Licensed Representative	1, 2
MIURA Naoya	BOF203	Licensed Representative	4
MO Man Chun	BOE636	Licensed Representative	4
MO Man Yi	BCZ767	Licensed Representative	1, 2
MO XIANJUE	BOG034	Licensed Representative	1
MOK Ian Ka Yat	BOD623	Licensed Representative	1, 9
MUNDORF Philipp William	BOE966	Licensed Representative	9
NAM Chun Ho	BOG203	Licensed Representative	1, 2
NG Man Yee Vivian	BOE489	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
NG Ngan Ting	BOE937	Licensed Representative	9
NG Siu Lung, Derek	AFG442	Licensed Representative	1, 4, 9
NG Sze Man	AMN571	Licensed Representative	9
NG Wing Chun	BOF255	Licensed Representative	1, 4
NG Yu Man	BOF141	Licensed Representative	9
NI Siliang	BOF053	Licensed Representative	1, 2
NIP Yu Wang Victor	BOF140	Licensed Representative	1, 4, 6
NISHI Ako	BOD407	Licensed Representative	1, 2, 9
NOH James Hyon	BKG328	Licensed Representative	1, 2, 7
OHLWEILER Jeffrey Lyon	AQN994	Licensed Representative	4
OMURA Shunta	BBH669	Licensed Representative	1
PAK Chung Kan	BOD555	Licensed Representative	6
PAN Jihao	BOG015	Licensed Representative	6
PAN Yuan	BOE995	Licensed Representative	1, 4, 6
PANG Chi Ling	BNZ316	Licensed Representative	1
PANG Fong Ching	BOF430	Licensed Representative	1, 9
PANG Man Wai	ARD488	Licensed Representative	1
PARK Jung Ah	BAE816	Licensed Representative	4
PAULIN Gary David	BBY517	Licensed Representative	1
PENG Yu-Chia	BOF450	Licensed Representative	1
POON Lai Yin, Michael	AHU071	Licensed Representative	6
POON Pui Ling	BOD390	Licensed Representative	1, 2, 4, 9
POON See Man Simon	BOE367	Licensed Representative	1, 4, 9
POTDAR Parth Suresh	BOF967	Licensed Representative	6
QI Jiawen	BOE919	Licensed Representative	1, 6
QI Mingyu	BNZ982	Licensed Representative	1, 2
QIU Changwei	BOE192	Licensed Representative	4
QIU Qingzi	BOE232	Licensed Representative	1, 6
QUE Weichen	BOE862	Licensed Representative	1, 6
RA A Ram	BOD907	Licensed Representative	1
REN sisi	BOD202	Licensed Representative	1, 4
RUAN Pengyu	BOE527	Licensed Representative	9
SALCEDO Andres Fabrizio	BOG356	Licensed Representative	1
SATO Hiroyuki	BOE376	Licensed Representative	1, 4, 6
SHAH Sanjay Bharat	BOE770	Licensed Representative	1, 4, 9
SHAM Kai Yu	BOE374	Licensed Representative	4
SHENG Xu	BOF257	Licensed Representative	4
SHIN Suk Hyun	BOF229	Licensed Representative	1
SHUM Kam Chi, Eric	ADF451	Licensed Representative	6
SIT Tak Li	BOF245	Licensed Representative	1
SIU Ming	BOE955	Licensed Representative	9
SIU Sing Man, Joanna	ADX868	Licensed Representative	1, 2, 4
SLIM Arnaud Amaury Alex	BOD508	Licensed Representative	1, 2, 7
SO Lai Shan, Jennifer	AHA295	Licensed Representative	4, 9
SO Lydia Wing	BOG389	Licensed Representative	1
SONG Aolin	BOE496	Licensed Representative	4, 9
SONG Lingshuang	BOG262	Licensed Representative	1, 2
SONG Xiaoling	BOB922	Licensed Representative	1, 4, 6
SONG Xiukui	BBE458	Licensed Representative	1, 4
STEINER Fabien Angelo	BOD682	Licensed Representative	1, 4
SU Akimi	BOE421	Licensed Representative	1, 2, 9
SUN Jing	BOE387	Licensed Representative	6
SUN Mingjun	BOD873	Licensed Representative	1, 4, 9
SZETO Wing Ho	BOF200	Licensed Representative	1, 4
TAKAHASHI Kei	AOQ614	Licensed Representative	4
TAM Ying Wi	BAI117	Licensed Representative	1, 2, 4, 9
TAN Valerie Tsz Kwan	BOF241	Licensed Representative	1, 4, 9
TANAKA Chikai	AWO799	Licensed Representative	4
TANG Chi To	BOG044	Licensed Representative	1
TANG Chun Ki	BOF259	Licensed Representative	1, 4
TANG Hoy Chun Mervyn Joseph	BER817	Licensed Representative	10
TANG Xinqing	BOF145	Licensed Representative	1, 4, 6

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CE Name	CE No.	Licence Type	Types of Regulated Activity
THAM Gui Dan	BOE566	Licensed Representative	1, 4
THETHI Amarinder Singh Ajit Singh	BDA820	Licensed Representative	1, 4, 6
TIN King	BOE896	Licensed Representative	1
TING Wing Kit, Zarus	BKA871	Licensed Representative	9
TONG Jason C Y	BNC826	Licensed Representative	6
TRISNO Merlissa Paramitha	BBU408	Licensed Representative	4
TSANG Andrew In Man	BOG056	Licensed Representative	6
TSANG Carol Ching Tak	BOD552	Licensed Representative	1
TSANG Chun Ki Timothy	BHF974	Licensed Representative	9
TSANG Ernest Zhi-wing	BOF243	Licensed Representative	1, 4, 9
TSANG Kai Ho	BOF507	Licensed Representative	1, 2, 3, 4
TSANG Tsz Yan	BFW666	Licensed Representative	1, 4
TSANG Wai Yan, Wayne	AIH007	Licensed Representative	9
TSE Kai Ming	BOF347	Licensed Representative	1, 2
TSUI Ho Chuen, Samson	BHB670	Licensed Representative	1, 2
TSUI Mei Fung	BOE404	Licensed Representative	1, 9
UDAGAWA Hiroshi	AVQ207	Licensed Representative	1, 4
WAN Tsun Kan	BKA500	Licensed Representative	1, 6
WANG Chun Chin	BOC709	Licensed Representative	9
WANG Dien	BOE236	Licensed Representative	4
WANG Fan	BOE906	Licensed Representative	1, 4, 6
WANG Jingqin	BOE123	Licensed Representative	6
WANG Jun	BOG384	Licensed Representative	10
WANG Letian	BOC069	Licensed Representative	4, 9
WANG Linjie	BEQ920	Licensed Representative	6
WANG Mingzan	BOD845	Licensed Representative	1, 4, 6
WANG Ruokun	BOE567	Licensed Representative	1, 4
WANG Siyan	BOE433	Licensed Representative	1, 2, 4
WANG Tian	BOF904	Licensed Representative	1, 4
WANG Zhen	BOC036	Licensed Representative	4
WEI Dejun	BOE745	Licensed Representative	1, 6
WEYNS Guy Joseph M.	BLM858	Licensed Representative	4
WIBOWO Matthew	BOF909	Licensed Representative	1
WONG Calvin Chee Jun	AYF520	Licensed Representative	4
WONG Chin Ho	BNY278	Licensed Representative	6
WONG Gabrielle	BOE457	Licensed Representative	1, 6
WONG Ho Ki, Nick	ADR217	Licensed Representative	1, 2
WONG Ho Yin	BOG383	Licensed Representative	10
WONG Hoi Ying Christy	BOC582	Licensed Representative	1, 4
WONG Kar Fai Alan	BEA777	Licensed Representative	1, 4, 6
WONG Long Hin Ambrose	BOF441	Licensed Representative	1, 4
WONG Man Chiu	BOF490	Licensed Representative	1, 4
WONG Man Hei Katrina	BOD930	Licensed Representative	1
WONG Man Tai	BGY959	Licensed Representative	1
WONG Ming Yeung, Anthony	BOG409	Licensed Representative	1
WONG Sau Yip	BOG792	Licensed Representative	1
WONG Tsz Fung	BOE233	Licensed Representative	1
WONG Tung Chie	AEN615	Licensed Representative	1, 4, 6
WONG Wai Ching	BLI488	Licensed Representative	1, 2
WONG Wai Yee Betty	BOE526	Licensed Representative	1, 4
WONG Yan Kei Rachel	BOC693	Licensed Representative	1
WU Hsiang Yun	BOE758	Licensed Representative	1, 4, 9
WU Jiajia	BMH821	Licensed Representative	1
WU Kiu Fung	APJ914	Licensed Representative	1, 2, 9
WU Mengqi	BGJ341	Licensed Representative	1, 4, 9
WU Tianhao	BOF052	Licensed Representative	1, 6
WU Xi	BOE603	Licensed Representative	1
WU Xiyang	BFB077	Licensed Representative	4, 9
WU Yen Sheng	BMG512	Licensed Representative	4, 9
WU Yichao	AVI107	Licensed Representative	4, 9
WU Yuen Ling	AOE790	Licensed Representative	9
XI Wang	BOE256	Licensed Representative	1, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
XIA Danyi	BOE213	Licensed Representative	3
XIA Yuezhu	BOB258	Licensed Representative	10
XIAO Senhan	BOE455	Licensed Representative	1, 4
XIE Wangqin	BOE435	Licensed Representative	1, 6
XIE Zhiqiu	BOH179	Licensed Representative	1, 4, 9
XIONG Wenyu	BOF732	Licensed Representative	1, 6
XU Lan	BOE103	Licensed Representative	1, 4
XU Lu	BOC876	Licensed Representative	1, 4, 6
XU Pei	BOC286	Licensed Representative	1, 6
XU Shaohong, Alex	ARG166	Licensed Representative	1, 4
XU Xinru	BOG497	Licensed Representative	1, 2, 9
XU Yizhe	BOF546	Licensed Representative	1, 6
YAN Charlie Cong	BOG407	Licensed Representative	1
YANG Buyun	BDQ418	Licensed Representative	1, 4, 6
YANG Daidong	BOG279	Licensed Representative	4
YANG Jieyu	BOE258	Licensed Representative	1, 6
YANG William Wenhan	BOE633	Licensed Representative	1, 4
YANG Yen-Ju	ARV136	Licensed Representative	1, 2
YANG Yuwen	BOD549	Licensed Representative	1
YANG Zuhua	BOF048	Licensed Representative	1, 4, 6
YE Bo	BOD134	Licensed Representative	9
YE Qingxin	BFZ056	Licensed Representative	9
YE Yile	BOD968	Licensed Representative	1
YE Yuhan	BOG321	Licensed Representative	4
YEUNG Sze Win	BOD824	Licensed Representative	1
YI Shilei	BOF339	Licensed Representative	4, 5
YONEYA Taichi	BOF973	Licensed Representative	9
YOON Seok Bae	BCA484	Licensed Representative	1, 4
YOSHIOKA Takahiro	BOD267	Licensed Representative	9
YU Adrian Wing Tak	BLW778	Licensed Representative	1
YU Jia	BJQ980	Licensed Representative	4
YU Jiaolong	BOE957	Licensed Representative	1, 2, 4
YU Lai Man, Tinnie	AFZ615	Licensed Representative	1, 4
YU Xinning	BOE725	Licensed Representative	1, 2, 7
YUE Ho Kei	AFJ021	Licensed Representative	1
YUE Siu Chun	BKB839	Licensed Representative	9
YUEH Mu Hua	BOE261	Licensed Representative	1, 4
YUEN Ronald	BOE223	Licensed Representative	6
YUEN Tak Wai, Alexander	ASP425	Licensed Representative	1, 4, 9
YUM Hoi Ling	BOD648	Licensed Representative	1, 4
ZENG Fanyuan	BOD551	Licensed Representative	1, 6
ZHAN Ni	BOF583	Licensed Representative	4
ZHANG Beibei	BOE216	Licensed Representative	1, 2, 4
ZHANG Chong	BOF047	Licensed Representative	1, 4, 6
ZHANG Hongjun	BOE873	Licensed Representative	2
ZHANG Jiabin	ARA230	Licensed Representative	1, 4
ZHANG Jiang	BAU245	Licensed Representative	4
ZHANG Lei	BOF318	Licensed Representative	1, 4
ZHANG Renyong	BOE538	Licensed Representative	6
ZHANG Wenhui	BOE558	Licensed Representative	1, 6
ZHANG Xiaoyi	BOF077	Licensed Representative	1, 6
ZHANG Yiting	BOF097	Licensed Representative	1, 2
ZHAO Bingnan	BOF532	Licensed Representative	4
ZHAO Di	BOG045	Licensed Representative	1, 4, 9
ZHAO Jiaqi	BOE936	Licensed Representative	1, 6
ZHAO Jinghe	BOC694	Licensed Representative	1
ZHAO Kang	BOG308	Licensed Representative	1, 4, 6
ZHAO Meiling	BOE386	Licensed Representative	6
ZHAO Qingling	BOA539	Licensed Representative	2, 5
ZHAO Xiaohan	BOF377	Licensed Representative	4, 9
ZHENG Jingting	BOF788	Licensed Representative	4, 9
ZHENG Lang	BOF945	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHOU Fan	BOF086	Licensed Representative	1
ZHOU Jingdong	BNY670	Licensed Representative	1, 4, 6
ZHU Biyun	BOE742	Licensed Representative	1, 4, 6
ZHU Kai	BOE523	Licensed Representative	1, 4, 9
ZHU Minyue	AUE243	Licensed Representative	1, 2, 4
ZHU Rongzhen	BMP062	Licensed Representative	1, 2
ZHU Yuhua	BLV919	Licensed Representative	1, 4, 9
ZHUO Ruolin	BOF162	Licensed Representative	1, 4
ZOU Chengwei	BOE210	Licensed Representative	4, 9
BELIN Karena Uta	BL0838	Licensed Representative - Responsible Officer	1, 4
CHAN Ramand Wai Ming	ANS053	Licensed Representative - Responsible Officer	1, 4, 9
CHAN Sing Ho, Joseph	AAI566	Licensed Representative - Responsible Officer	4, 9
CHAN Tsang	AOR054	Licensed Representative - Responsible Officer	9
CHAN Yan Wing, Andy	ADJ208	Licensed Representative - Responsible Officer	2, 5
CHAWDHRY Ajay Sankar	BNR801	Licensed Representative - Responsible Officer	1, 4
CHEN Geng	AOY114	Licensed Representative - Responsible Officer	9
CHENG Xiongfei	BNL345	Licensed Representative - Responsible Officer	9
CHIEW Bee Geok Alina	ALA864	Licensed Representative - Responsible Officer	1
CORTINA Faustino	BNR806	Licensed Representative - Responsible Officer	1, 4
DASANI Hiren Harishbhai	BOA473	Licensed Representative - Responsible Officer	1, 4, 9
FARZAM Karen Germaine Marie	BLO836	Licensed Representative - Responsible Officer	1, 4
GHUMAN Jagdeep Singh	BNV380	Licensed Representative - Responsible Officer	9
HO Wang Wai	BNZ088	Licensed Representative - Responsible Officer	9
HU Letian	BDI847	Licensed Representative - Responsible Officer	1, 4, 9
JE Siu Ming	BGX730	Licensed Representative - Responsible Officer	1, 4, 9
JIANG Songcheng	BKD806	Licensed Representative - Responsible Officer	4, 9
KIM Dongil	AOP098	Licensed Representative - Responsible Officer	1, 4
KIM Su Yi	BNX513	Licensed Representative - Responsible Officer	1
KINGCOTT Jacqueline	BNU910	Licensed Representative - Responsible Officer	4, 9
KODA Ritsubun	AGE030	Licensed Representative - Responsible Officer	1, 4, 9
KUANG Xiaoming	BNW127	Licensed Representative - Responsible Officer	1, 4, 9
KWOK Dik Sze, Daisy	ALJ957	Licensed Representative - Responsible Officer	1, 4
LAN Shunxiang	ANW227	Licensed Representative - Responsible Officer	9
LAU Chun Sing	ANQ367	Licensed Representative - Responsible Officer	9
LEE Theodore Frederick	AFT555	Licensed Representative - Responsible Officer	1
LI Wanli	ARV790	Licensed Representative - Responsible Officer	1
LIU Chun Ho, Justin	ANQ253	Licensed Representative - Responsible Officer	4, 9
LIU Louis Feng	BNC928	Licensed Representative - Responsible Officer	1
LIU Xi	AUQ721	Licensed Representative - Responsible Officer	9
LO Kam Yan, Helen	AOS365	Licensed Representative - Responsible Officer	4, 9
LOVEDAY Damien	BNV092	Licensed Representative - Responsible Officer	1
MOSHER Matthew Craig	BGT025	Licensed Representative - Responsible Officer	10
MURATA Takashi	BOA247	Licensed Representative - Responsible Officer	1, 2
MURATA Yukihisa	BNY037	Licensed Representative - Responsible Officer	1, 4
NG Siu Fai, Stephen	ATX477	Licensed Representative - Responsible Officer	1, 4, 9
ONG Hsao Fung, Kelvin	BNY626	Licensed Representative - Responsible Officer	9
ORR Joseph Wai Shing	AHF354	Licensed Representative - Responsible Officer	9
PARKER Jawan Shamel	BGJ234	Licensed Representative - Responsible Officer	9
PAU Tin Fung, Stephen	AJB414	Licensed Representative - Responsible Officer	4, 9
PHUA Yong Gim Jimmy	ARD614	Licensed Representative - Responsible Officer	1
PIDDEN Andrew Frederick Peter	ABR702	Licensed Representative - Responsible Officer	1, 4, 9
POON Ho Ming Samuel	BBQ618	Licensed Representative - Responsible Officer	4, 9
QIAN Hua	BME617	Licensed Representative - Responsible Officer	1, 4, 9
RANA Manjot	BNX521	Licensed Representative - Responsible Officer	1
SITTAS Georgios	BDO990	Licensed Representative - Responsible Officer	9
SU Zhao	BNX515	Licensed Representative - Responsible Officer	1
SUN Kin Nam, Jenny	AGZ149	Licensed Representative - Responsible Officer	9
TANDIONO Agus	ADB362	Licensed Representative - Responsible Officer	1
TIEN Joseph Chi	BAV205	Licensed Representative - Responsible Officer	1
TSO Yan Yee	ACD710	Licensed Representative - Responsible Officer	1, 4, 9
WANG Jing	AUQ482	Licensed Representative - Responsible Officer	1
WAT Chi Ming	ADU895	Licensed Representative - Responsible Officer	1, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2019

CE Name	CE No.	License Type	Types of Regulated Activity
WONG Pui Lun, Alan	ALN588	Licensed Representative - Responsible Officer	4, 9
YU Shusheng	BNV525	Licensed Representative - Responsible Officer	9
YUE Wei	ANY425	Licensed Representative - Responsible Officer	1, 4, 6
ZHANG Yi, Kevin	ACN914	Licensed Representative - Responsible Officer	4, 9
ZHANG Yuhuan	BOA169	Licensed Representative - Responsible Officer	9
ZHOU Yunfu	AWD552	Licensed Representative - Responsible Officer	9
BASSOLINO Gaetano	BNW211	Licensed Representative (Provisional Licence)	6
BEAK Russell Stuart	BOF484	Licensed Representative (Provisional Licence)	1, 4, 9
BEDNARSKI Derek Joseph Lee	BKA610	Licensed Representative (Provisional Licence)	9
BHAGAT Abbas Taher	BOG354	Licensed Representative (Provisional Licence)	1, 4, 6
BO Qiaochu	AZJ491	Licensed Representative (Provisional Licence)	1, 6
BROWNELL James Llewellyn	BOI146	Licensed Representative (Provisional Licence)	1, 2
BUCKBY Guy Christopher	BOG073	Licensed Representative (Provisional Licence)	1, 4
BUCKINGHAM Geraldine Joyce	BNY930	Licensed Representative (Provisional Licence)	1, 2, 4, 6, 9
BURMAN Nilisha	BOG729	Licensed Representative (Provisional Licence)	1
BUSIRI Muhammad Zakky	BOG749	Licensed Representative (Provisional Licence)	1, 2
BUT Ming Sze	BOG288	Licensed Representative (Provisional Licence)	4, 9
CAI Yuxiang	BOF908	Licensed Representative (Provisional Licence)	1, 4, 6
CAO Jian	BOG527	Licensed Representative (Provisional Licence)	4, 9
CHAN Chi Wing	BOG901	Licensed Representative (Provisional Licence)	1
CHAN Ka Ho	BI021	Licensed Representative (Provisional Licence)	1, 2
CHAN Kwan Yin	BNY274	Licensed Representative (Provisional Licence)	1, 2
CHAN Lily	BOF423	Licensed Representative (Provisional Licence)	1
CHAN Ramand Wai Ming	ANS053	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Ryan Yin Chun	BOF797	Licensed Representative (Provisional Licence)	1, 2
CHAN Shih Huai	BOH184	Licensed Representative (Provisional Licence)	9
CHAN Tin Ki	BOG714	Licensed Representative (Provisional Licence)	1, 4
CHAN Wing Lam	BKJ927	Licensed Representative (Provisional Licence)	1, 4, 6
CHANG Gary Yu Chia	BEM480	Licensed Representative (Provisional Licence)	9
CHAO Yee Hung	BOG041	Licensed Representative (Provisional Licence)	1, 4
CHEN Li	BOF799	Licensed Representative (Provisional Licence)	1, 6
CHEN Po-Liang	BOG416	Licensed Representative (Provisional Licence)	9
CHEN Tzu Yuan	BOG778	Licensed Representative (Provisional Licence)	4, 9
CHEN Wen	BOF580	Licensed Representative (Provisional Licence)	1
CHEN Zhe	BOG853	Licensed Representative (Provisional Licence)	1, 2
CHEN Zhenhong	BOG688	Licensed Representative (Provisional Licence)	1, 4
CHEN Zhexu	BOG046	Licensed Representative (Provisional Licence)	1, 4
CHENG Wanting	BOF396	Licensed Representative (Provisional Licence)	1, 2
CHETWODE Sharmini Priya	BOG864	Licensed Representative (Provisional Licence)	1, 4
CHEUK Hiu Shui Akiho Amy	BOH326	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Kam Wai	AWL269	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Kevin	BOI082	Licensed Representative (Provisional Licence)	4, 9
CHEUNG Kin Hang, Kenneth	BEW358	Licensed Representative (Provisional Licence)	1
CHIA Jonathan Kuen Hung	BOG760	Licensed Representative (Provisional Licence)	1, 4, 9
CHIANG Kuan-ting	BOH028	Licensed Representative (Provisional Licence)	1
CHONG Wing Hong	BKN190	Licensed Representative (Provisional Licence)	1
CHOW Chi Wai	BOG069	Licensed Representative (Provisional Licence)	6
CHOW Ting Fai, Stephen Benny	ANE749	Licensed Representative (Provisional Licence)	9
CHUM Po San	AQE973	Licensed Representative (Provisional Licence)	1
CHUNG Tin Lok	BOH049	Licensed Representative (Provisional Licence)	6
COPERTI Giulio Giacomo	BOG205	Licensed Representative (Provisional Licence)	6
DAI Chunhua	BOI339	Licensed Representative (Provisional Licence)	6
DAI Gaogeng	BOD913	Licensed Representative (Provisional Licence)	1, 2
DAN Hiroyuki	BOF345	Licensed Representative (Provisional Licence)	1, 4, 6
DANG Xinlong	BOH002	Licensed Representative (Provisional Licence)	1, 4
DEGOY Olivier Jean- Baptiste Marie	BOH045	Licensed Representative (Provisional Licence)	1, 2
DENG Ziyu	BOI116	Licensed Representative (Provisional Licence)	1, 4, 6
DING Mao	BGI315	Licensed Representative (Provisional Licence)	1
DUAN Lian	BOH861	Licensed Representative (Provisional Licence)	4
FAN Chik Chuen, Daniel	AA753	Licensed Representative (Provisional Licence)	1, 4
FANG Bin	BNF090	Licensed Representative (Provisional Licence)	1, 2
FANG Yingping	BOF903	Licensed Representative (Provisional Licence)	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2019

CE Name	CE No.	License Type	Types of Regulated Activity
FENG Xue	AQA574	Licensed Representative (Provisional Licence)	1, 6
FUKUSHIMA Teruhisa	BOC947	Licensed Representative (Provisional Licence)	1
FUQUA Joseph Ben	BOF969	Licensed Representative (Provisional Licence)	9
GALVIN Simon Stephen	AXY950	Licensed Representative (Provisional Licence)	6
GAO Xu	BOH068	Licensed Representative (Provisional Licence)	1, 4
GONG Yue	BOH928	Licensed Representative (Provisional Licence)	1, 4, 9
GONG Yuelai	BOI129	Licensed Representative (Provisional Licence)	4
GOTO Masahiro	BOG007	Licensed Representative (Provisional Licence)	1, 4, 6
GU Siyu	BOG548	Licensed Representative (Provisional Licence)	1, 6
GUO Weiwei	BOG386	Licensed Representative (Provisional Licence)	1, 2, 4, 5
GUPTA Pooja	BIC159	Licensed Representative (Provisional Licence)	9
HADIWIDJOJO Pramadwandika	BEV992	Licensed Representative (Provisional Licence)	1
HAN Bowen	BHV171	Licensed Representative (Provisional Licence)	1, 4
HAO Xingzhou	BOH484	Licensed Representative (Provisional Licence)	1, 4, 6
HARASHIMA Kenji	APB637	Licensed Representative (Provisional Licence)	1, 2
HE Bitao	BOF744	Licensed Representative (Provisional Licence)	1
HE Xiaoshen	BOF577	Licensed Representative (Provisional Licence)	1, 2
HENELY Lee Paul	BHH489	Licensed Representative (Provisional Licence)	1, 4, 6
HENG Chi Hao Derrick	BOH556	Licensed Representative (Provisional Licence)	4
HINDLE Leon Miller	AIN992	Licensed Representative (Provisional Licence)	9
HO Cheuk Kei	BIS728	Licensed Representative (Provisional Licence)	1, 4
HO Kenneth Kar-hin	BOG656	Licensed Representative (Provisional Licence)	1, 4
HO Pui Sai, Josephine	BOG569	Licensed Representative (Provisional Licence)	1, 2
HOU Yiyun	BIU737	Licensed Representative (Provisional Licence)	1
HSU Chun-Yuan	ASD142	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
HUANG Guanyu	BOF489	Licensed Representative (Provisional Licence)	1, 4, 6
HUANG Hongjiang	BOH725	Licensed Representative (Provisional Licence)	1, 2
HUANG JIN	BOF429	Licensed Representative (Provisional Licence)	1, 6
HUANG Lijie	BOG075	Licensed Representative (Provisional Licence)	1, 9
HUANG Wei	AVF558	Licensed Representative (Provisional Licence)	9
HUANG Xin	BEK861	Licensed Representative (Provisional Licence)	1, 2
HUANG Yi	BOG447	Licensed Representative (Provisional Licence)	1, 6
HUANG Yilong	BOG576	Licensed Representative (Provisional Licence)	1, 4
HUMAN Brandt Van Aardt	BOF850	Licensed Representative (Provisional Licence)	1
IGARASHI Akihiro	BOG495	Licensed Representative (Provisional Licence)	1, 4, 6
IP Hon Lam, Hiram	AIB932	Licensed Representative (Provisional Licence)	1, 4
ISKANDAR Boris	BOG068	Licensed Representative (Provisional Licence)	1, 2
ITO Shunsuke	BOG750	Licensed Representative (Provisional Licence)	1, 4, 6
IVANOVSKA Violeta Dimitrova	BOG079	Licensed Representative (Provisional Licence)	1
JAIN Purvi	BOG860	Licensed Representative (Provisional Licence)	1, 2
JIANG Da	BOG352	Licensed Representative (Provisional Licence)	1, 4, 6
JIANG Shangnan	BOH532	Licensed Representative (Provisional Licence)	1, 2
JIANG Yann	BOF574	Licensed Representative (Provisional Licence)	1, 4, 6
KAN Pui Yee	BOH927	Licensed Representative (Provisional Licence)	1, 4
KIM Young Wook	BOF298	Licensed Representative (Provisional Licence)	2, 5
KO Sze Chai, Joyce	BOD909	Licensed Representative (Provisional Licence)	1, 4, 9
KONG Tik Yee	BOH721	Licensed Representative (Provisional Licence)	1, 4
KOO Hung Jun, Lawrence	ADL513	Licensed Representative (Provisional Licence)	1, 4
KUAN Sai In	BOE912	Licensed Representative (Provisional Licence)	1, 4, 6
KUO Cheung	ACZ555	Licensed Representative (Provisional Licence)	9
KURIHARA Yuji	BOF765	Licensed Representative (Provisional Licence)	1, 4, 6
KWON Taeyoun	BOF420	Licensed Representative (Provisional Licence)	1
LAI Chun Wai	BCE967	Licensed Representative (Provisional Licence)	1, 4
LAI Chun Ho	BOH524	Licensed Representative (Provisional Licence)	1
LAI Kam Hei	BOG573	Licensed Representative (Provisional Licence)	1
LAI Mei Han	BCZ362	Licensed Representative (Provisional Licence)	1
LAM Kwok Wai	BOF116	Licensed Representative (Provisional Licence)	1
LAM Yuen Hei	AXF651	Licensed Representative (Provisional Licence)	9
LAU Cheuk Yin, Jacqueline	BGY951	Licensed Representative (Provisional Licence)	1, 2
LAU Gwen	BFK205	Licensed Representative (Provisional Licence)	1, 4
LAU Kai Chung Kelvin	BOG518	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LAU Tak Wai	BOH199	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAU Yin Kwan	BOH328	Licensed Representative (Provisional Licence)	1, 4
LAW Alice	BOG528	Licensed Representative (Provisional Licence)	1
LAW Cheuk Yan, Vanessa	BOH187	Licensed Representative (Provisional Licence)	1, 4
LAW Yuk Shan	BOG423	Licensed Representative (Provisional Licence)	1, 2
LE BINH Khoi	BKP233	Licensed Representative (Provisional Licence)	9
LEE Chi Wei	BOG482	Licensed Representative (Provisional Licence)	1, 2
LEE Ching Hung	AXX537	Licensed Representative (Provisional Licence)	1, 2, 3, 4
LEE Ching Lam Matthew	BOH420	Licensed Representative (Provisional Licence)	1, 2
LEE Chun Man	BAL777	Licensed Representative (Provisional Licence)	1, 4
LEE Daeun	BOF404	Licensed Representative (Provisional Licence)	1, 4, 6
LEE Donald Sang	AUT132	Licensed Representative (Provisional Licence)	1, 2, 7
LEE Hee Sung	BOG908	Licensed Representative (Provisional Licence)	1, 4
LEE Ho Yin	BCI442	Licensed Representative (Provisional Licence)	6
LEE Kwok Chu	BBG831	Licensed Representative (Provisional Licence)	1
LEE Thurston R	BOG360	Licensed Representative (Provisional Licence)	1, 4
LEUNG Brian Dik-Lun	BOG779	Licensed Representative (Provisional Licence)	1
LEUNG Chun Yik	BOF926	Licensed Representative (Provisional Licence)	1, 4, 9
LEUNG Hoi Kin Kurtis	BOH170	Licensed Representative (Provisional Licence)	1, 4
LEUNG Pak Kan	AFU746	Licensed Representative (Provisional Licence)	1
LI Hualin	BOH730	Licensed Representative (Provisional Licence)	1, 4
LI Siqi	BOF938	Licensed Representative (Provisional Licence)	1, 4, 6
LI Ying	BOH935	Licensed Representative (Provisional Licence)	1, 4, 9
LI Zhuoli	BOH660	Licensed Representative (Provisional Licence)	4
LIANG Shuming	BOI062	Licensed Representative (Provisional Licence)	1, 4, 6
LIN Tsz Wai	BOH627	Licensed Representative (Provisional Licence)	1, 4
LIN Yang	BOE403	Licensed Representative (Provisional Licence)	4, 9
LING Yue	BOH780	Licensed Representative (Provisional Licence)	9
LIOU Wen Lan	BOG083	Licensed Representative (Provisional Licence)	1
LIPSCHITZ David Abraham	BOD849	Licensed Representative (Provisional Licence)	4
LIU Hui	BOG884	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Ke Ru	BOG479	Licensed Representative (Provisional Licence)	6
LIU Shucen	BOH435	Licensed Representative (Provisional Licence)	1, 6
LIU Wenxi	BOG293	Licensed Representative (Provisional Licence)	6
LIU Xuyang	BOG135	Licensed Representative (Provisional Licence)	1, 6
LIU YEH Li-chen, Holly	AHU954	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Zhendong	BOH046	Licensed Representative (Provisional Licence)	1, 6
LO Chris Cze Wai	BOG658	Licensed Representative (Provisional Licence)	1, 6
LO Lok Sum	BOH647	Licensed Representative (Provisional Licence)	1
LO Ming Chin	BOG851	Licensed Representative (Provisional Licence)	1, 4
LOK Tsan Yeung	BOG929	Licensed Representative (Provisional Licence)	1, 4
LONG Yu	BOG511	Licensed Representative (Provisional Licence)	4
LUI Ho Ming	BOH856	Licensed Representative (Provisional Licence)	1, 6
LUO Chendi	BGU665	Licensed Representative (Provisional Licence)	1, 4
LUO Chuyan	BOG507	Licensed Representative (Provisional Licence)	1, 4, 6
LUO Wenting	BOG866	Licensed Representative (Provisional Licence)	1, 4
MA Ming Yau Sharif	BOH817	Licensed Representative (Provisional Licence)	1, 4
MA Yeja	BOG865	Licensed Representative (Provisional Licence)	1, 6
MAI Xiaomin	BOG763	Licensed Representative (Provisional Licence)	1, 4
MAK Hoi See	AFG868	Licensed Representative (Provisional Licence)	1, 4
MAN Kin Kau	AXH501	Licensed Representative (Provisional Licence)	1
MEHTA Saurabh	BOD903	Licensed Representative (Provisional Licence)	1, 4
MIN Bryan Byungsuk	BOH022	Licensed Representative (Provisional Licence)	1, 4
MIN Jung-Ki	BOH711	Licensed Representative (Provisional Licence)	1, 6
MO Han	BOG355	Licensed Representative (Provisional Licence)	1, 6
MOU Zhengwen	BOG478	Licensed Representative (Provisional Licence)	6
NG Chi To, Graham	AAI128	Licensed Representative (Provisional Licence)	1, 2, 4, 5
NG Ho Yin	BOG485	Licensed Representative (Provisional Licence)	9
NG Tsz Wing	BOI100	Licensed Representative (Provisional Licence)	1, 6
NG Yu Hin John	ATW740	Licensed Representative (Provisional Licence)	1, 4
NGAN Tsung Wen, Melody	AJL556	Licensed Representative (Provisional Licence)	6
NIE Tianyuan	BOG396	Licensed Representative (Provisional Licence)	4, 9
NIETSCH Eric Clark	BIA055	Licensed Representative (Provisional Licence)	10

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2019

CE Name	CE No.	License Type	Types of Regulated Activity
NOUJAIM Serge	BOH043	Licensed Representative (Provisional Licence)	1, 2
OBBERG Daniel Geoffrey	BOF792	Licensed Representative (Provisional Licence)	1, 4
ONO Takaaki	BOH802	Licensed Representative (Provisional Licence)	1, 4
ORR Joseph Wai Shing	AHF354	Licensed Representative (Provisional Licence)	9
PARK Bum Chul	BOG746	Licensed Representative (Provisional Licence)	1, 4
POON Chun Ning, Linus	BJO277	Licensed Representative (Provisional Licence)	1, 2
QI Nan	BIC343	Licensed Representative (Provisional Licence)	1, 4
SCHULZ Kristan	BOG774	Licensed Representative (Provisional Licence)	1, 2
SHARMA Saumya	BOG275	Licensed Representative (Provisional Licence)	1, 4, 6
SHEN Hanxiao	BOI232	Licensed Representative (Provisional Licence)	4
SHEN Tianyi	BOH680	Licensed Representative (Provisional Licence)	4, 9
SHEN Zuiyi	BOG697	Licensed Representative (Provisional Licence)	1, 4, 9
SHI Feng	BOG670	Licensed Representative (Provisional Licence)	1, 4, 6
SHIMIZU Akiko	BOG502	Licensed Representative (Provisional Licence)	1, 4, 6
SHIRAIWA Chiyuki	BOG725	Licensed Representative (Provisional Licence)	1, 4
SHUM Kam Chi, Eric	ADF451	Licensed Representative (Provisional Licence)	6
SIT Chi Hang	APK619	Licensed Representative (Provisional Licence)	1, 2
SMITH Andrew Peter	BOH855	Licensed Representative (Provisional Licence)	1, 2
SONG Jin	BOH029	Licensed Representative (Provisional Licence)	1
SONG Lingshuang	BOG262	Licensed Representative (Provisional Licence)	1, 2
SONG Xiukui	BBE458	Licensed Representative (Provisional Licence)	1, 4
STIMSON Mark Grant	APK595	Licensed Representative (Provisional Licence)	1, 4, 6
SUEN Wo Ping, Shofia	AFU310	Licensed Representative (Provisional Licence)	1, 2
SUN Lian	BOF968	Licensed Representative (Provisional Licence)	9
SUN Yu	BOH047	Licensed Representative (Provisional Licence)	1, 6
SZE Wing Man	BOH847	Licensed Representative (Provisional Licence)	1
TAI Wing Sum, Winson	AOV063	Licensed Representative (Provisional Licence)	9
TAM Siu Ling	BOF193	Licensed Representative (Provisional Licence)	1, 6
TANAKA Chikai	AWO799	Licensed Representative (Provisional Licence)	4
TANG Chung Shun, Isaac	BOF043	Licensed Representative (Provisional Licence)	2, 5
TANG Sing Lung	BMW207	Licensed Representative (Provisional Licence)	4, 9
TANG Vivian Lok Sze	BOH648	Licensed Representative (Provisional Licence)	1, 4, 9
TEO Zhaoxiang Kelvin	BOC268	Licensed Representative (Provisional Licence)	1, 4, 9
THONG Bo Wei	BOH796	Licensed Representative (Provisional Licence)	1, 4
TJIA Novita	BOG817	Licensed Representative (Provisional Licence)	1
TU Zhengfeng	BOF927	Licensed Representative (Provisional Licence)	1, 4, 6
UEMURA Tai	BOH784	Licensed Representative (Provisional Licence)	1
ULPO Lodevico Jr. Mabunga	BOH399	Licensed Representative (Provisional Licence)	4, 9
URQUIDI Pedro	ADU888	Licensed Representative (Provisional Licence)	1, 4, 9
VASILESCU Laurent Andre	BOG562	Licensed Representative (Provisional Licence)	4
WANG Changhao	BOF494	Licensed Representative (Provisional Licence)	1, 4
WANG Changli	BOI236	Licensed Representative (Provisional Licence)	1
WANG Fan	BOE906	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Fei	BOG390	Licensed Representative (Provisional Licence)	1
WANG Han	BOH007	Licensed Representative (Provisional Licence)	4
WANG Juntao	BOI064	Licensed Representative (Provisional Licence)	1
WANG Ling Yun	BOH801	Licensed Representative (Provisional Licence)	1, 4
WANG Lu	AXX186	Licensed Representative (Provisional Licence)	1, 4
WANG Tian	BOF904	Licensed Representative (Provisional Licence)	1, 4
WANG Tsai Yun	ARF694	Licensed Representative (Provisional Licence)	1
WANG Yong	BOG558	Licensed Representative (Provisional Licence)	1, 4
WANG Zhihe	BOG689	Licensed Representative (Provisional Licence)	1
WEE Li Feng, Janice	BOG446	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Adrian Kin Man	BOH818	Licensed Representative (Provisional Licence)	1, 2
WONG Cheuk Man Clement	BOG762	Licensed Representative (Provisional Licence)	1, 2, 3, 4, 5
WONG Chi Leong	BOH572	Licensed Representative (Provisional Licence)	1, 2, 3, 4
WONG Ching Wai	BOG074	Licensed Representative (Provisional Licence)	1
WONG Chun Man	BOH369	Licensed Representative (Provisional Licence)	1, 2
WONG Hiu Chun	BBA877	Licensed Representative (Provisional Licence)	1
WONG Ho Ki, Nick	ADR217	Licensed Representative (Provisional Licence)	1, 2
WONG Hoi Cheung	BOH836	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Ka Lok	BOH714	Licensed Representative (Provisional Licence)	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Ken	BDG904	Licensed Representative (Provisional Licence)	1, 4
WONG Lung Chun	BOI020	Licensed Representative (Provisional Licence)	1, 4
WONG Ming Yeung, Anthony	BOG409	Licensed Representative (Provisional Licence)	1
WONG Mo Pun	BOG491	Licensed Representative (Provisional Licence)	1
WONG Nga Yan	BOG151	Licensed Representative (Provisional Licence)	1, 2, 9
WONG Sze Ngai	AQS366	Licensed Representative (Provisional Licence)	1
WONG Yik Man	BKN823	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Yuen Ling Sheila	BOH517	Licensed Representative (Provisional Licence)	1, 4
WONK-URAI Weerapat	BOH307	Licensed Representative (Provisional Licence)	4
WU Jiaying	BOF644	Licensed Representative (Provisional Licence)	1, 4, 9
WU Jing	BOA536	Licensed Representative (Provisional Licence)	9
WU Yaguang	BOH365	Licensed Representative (Provisional Licence)	1, 4, 6
WU Ziyin	BMV591	Licensed Representative (Provisional Licence)	1
XIA GUANGMIN	BOE427	Licensed Representative (Provisional Licence)	4, 9
XIAO Xiao	BOH759	Licensed Representative (Provisional Licence)	4
XIONG Yangli	BLY016	Licensed Representative (Provisional Licence)	10
XU Chanjuan	BOI213	Licensed Representative (Provisional Licence)	1, 4
XU Keyue	BOH251	Licensed Representative (Provisional Licence)	1, 6
XU Lu	AYE980	Licensed Representative (Provisional Licence)	1
XU Xinru	BOG497	Licensed Representative (Provisional Licence)	1, 2, 9
YAMAGUCHI Ryunosuke	BOF594	Licensed Representative (Provisional Licence)	1, 2
YANG Yiwen	BOH230	Licensed Representative (Provisional Licence)	1, 4
YANG Zhao	BOC022	Licensed Representative (Provisional Licence)	1, 2
YANG Zuhua	BOF048	Licensed Representative (Provisional Licence)	1, 4, 6
YATSU Naoki	BOH697	Licensed Representative (Provisional Licence)	1, 9
YE Yuhan	BOG321	Licensed Representative (Provisional Licence)	4
YEUNG Ka Ho	BOI246	Licensed Representative (Provisional Licence)	1, 4
YONG Jocelyn Huey Yee	BFJ362	Licensed Representative (Provisional Licence)	1, 4, 6
YOON Seok Bae	BCA484	Licensed Representative (Provisional Licence)	1, 4
YU Feng	BOF949	Licensed Representative (Provisional Licence)	4, 9
YU Na	BOG685	Licensed Representative (Provisional Licence)	10
YUAN Jing	BOI253	Licensed Representative (Provisional Licence)	6
YUE Ho Kei	AFJ021	Licensed Representative (Provisional Licence)	1
YUEN Man In	BOH542	Licensed Representative (Provisional Licence)	1, 4, 9
YUEN Tsz Lung	ATI409	Licensed Representative (Provisional Licence)	1, 2
YUN Tsz Chun	BOH294	Licensed Representative (Provisional Licence)	1, 2
ZENG Zhihui	BOH860	Licensed Representative (Provisional Licence)	4
ZHANG Chi	BOE432	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Huizhong	BOH197	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Jiang	BAU245	Licensed Representative (Provisional Licence)	4
ZHANG Ruxin	BOH822	Licensed Representative (Provisional Licence)	4
ZHANG Shuqin	BOH321	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Yiwen	BOG776	Licensed Representative (Provisional Licence)	1, 2
ZHANG Yuehua	BOG559	Licensed Representative (Provisional Licence)	1, 2
ZHAO Co Co Ke	BOH322	Licensed Representative (Provisional Licence)	1, 6
ZHAO Wei	BEE813	Licensed Representative (Provisional Licence)	4, 9
ZHAO Xiaorui	BOH225	Licensed Representative (Provisional Licence)	1, 4
ZHAO Yi	AXD838	Licensed Representative (Provisional Licence)	1, 6
ZHAO Yu Qian, Rachel	AKI199	Licensed Representative (Provisional Licence)	4
ZHOU Jingdong	BNY670	Licensed Representative (Provisional Licence)	1, 4, 6
ZHOU Jun	BOH662	Licensed Representative (Provisional Licence)	4, 9
ZHU Qian	BOG200	Licensed Representative (Provisional Licence)	4, 9
ZHU Qin	BOH821	Licensed Representative (Provisional Licence)	4, 9
ZHU Shuai	BOG690	Licensed Representative (Provisional Licence)	4
ZHU Yixiao	BOG754	Licensed Representative (Provisional Licence)	1, 4
ZHU Yunlong	BOF634	Licensed Representative (Provisional Licence)	10
ZU Guopeng	AYV659	Licensed Representative (Provisional Licence)	4
ARCHIBALD Stuart James	BIJ836	Licensed Representative (Temporary Licence)	1
BARRINEAU James William	BAR106	Licensed Representative (Temporary Licence)	1
BRENNAN-CHONG James Yuwen	BOG064	Licensed Representative (Temporary Licence)	4
BROWN Richard John Russell	BKR647	Licensed Representative (Temporary Licence)	1, 4
BUTTERWORTH Gregory John	ANJ643	Licensed Representative (Temporary Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
BYRNE James Robert	BNP385	Licensed Representative (Temporary Licence)	4
CHANDRAN Prashant	BEQ178	Licensed Representative (Temporary Licence)	1
CHEN Yen-Hung	BOG521	Licensed Representative (Temporary Licence)	1
CHHUGANI Gautam Prakash	BIO676	Licensed Representative (Temporary Licence)	4
CHO Chulhee	BEX932	Licensed Representative (Temporary Licence)	4
CHOI Hongkyu	BOG980	Licensed Representative (Temporary Licence)	1
CONDE Lisa Ann Myers	BOG084	Licensed Representative (Temporary Licence)	1, 2
ENOMOTO Takashi	BAZ545	Licensed Representative (Temporary Licence)	4
EZAWA Kota	BDY402	Licensed Representative (Temporary Licence)	4
FELICES SAAVEDRA Guillermo Jose	BOF312	Licensed Representative (Temporary Licence)	4
GARRE Venugopal	AML716	Licensed Representative (Temporary Licence)	4
GEORGE Jacob	BNK022	Licensed Representative (Temporary Licence)	1, 2
GOYAL Megha	BKR107	Licensed Representative (Temporary Licence)	1
GREEN Nicholas James	BHT971	Licensed Representative (Temporary Licence)	4
GREENBURG Evan David	BMQ334	Licensed Representative (Temporary Licence)	1, 4
HADLEY Paul Jason	ANU724	Licensed Representative (Temporary Licence)	1
HATTEM Jack Lloyd	BOF752	Licensed Representative (Temporary Licence)	1, 4
HILL Brian Thomas	BFS625	Licensed Representative (Temporary Licence)	1
HIRAKAWA Mikio	BBT553	Licensed Representative (Temporary Licence)	4
JAIPURIAR Priyesh	BDY980	Licensed Representative (Temporary Licence)	1, 2
JUNG Ho Yoon	BOH718	Licensed Representative (Temporary Licence)	4
KAMJO Hiroko	BMV265	Licensed Representative (Temporary Licence)	1
KATO Takaaki	ATE766	Licensed Representative (Temporary Licence)	1
KAWAMOTO Hisae	BNP794	Licensed Representative (Temporary Licence)	4
KHINDA Navdip Singh	BGA869	Licensed Representative (Temporary Licence)	1
KIM John	BOG701	Licensed Representative (Temporary Licence)	4
KIM Junghwan	BOG943	Licensed Representative (Temporary Licence)	4
KINOSHITA Yoshiyuki	BBL047	Licensed Representative (Temporary Licence)	4
KWEK Kevin Siew Beng	AZN097	Licensed Representative (Temporary Licence)	4
LAM Karen Antonia	BEM899	Licensed Representative (Temporary Licence)	1
LAUGHLIN Justin John	AWV278	Licensed Representative (Temporary Licence)	2, 5
LEE Young Gon	BNQ013	Licensed Representative (Temporary Licence)	1, 2
LEGGATE Douglas George Blyth	BCZ763	Licensed Representative (Temporary Licence)	4
LIN Li-Chun	BIG303	Licensed Representative (Temporary Licence)	4
MAIR Melodie Nicola	BOF497	Licensed Representative (Temporary Licence)	1, 6
MANSELL Steven Robert	BEI891	Licensed Representative (Temporary Licence)	4
MARX Christopher William	AXS989	Licensed Representative (Temporary Licence)	1
MISH Matthew Joseph	AYT895	Licensed Representative (Temporary Licence)	4
MONTALTI Giovanni	BOG224	Licensed Representative (Temporary Licence)	4
MOULIN Christophe, Pierre, Guillaume	BOF900	Licensed Representative (Temporary Licence)	4
MUNAKATA Minami	BIX260	Licensed Representative (Temporary Licence)	4
NAITO Takayuki	BMJ733	Licensed Representative (Temporary Licence)	4
NAKATOMI Ryosuke	BKV309	Licensed Representative (Temporary Licence)	1
NEOGY Abhijeet	AYN498	Licensed Representative (Temporary Licence)	1
OLIVER Niki	BEN874	Licensed Representative (Temporary Licence)	4
OSTERN Michael	BFK472	Licensed Representative (Temporary Licence)	1
PATEL Divyen Jayantibhai	BOG769	Licensed Representative (Temporary Licence)	1, 6
PATWARI Priyank	BHK693	Licensed Representative (Temporary Licence)	1
PAUL Gordon Bruce	BHD845	Licensed Representative (Temporary Licence)	2, 5
PINEDA Arthur San Diego	AGL866	Licensed Representative (Temporary Licence)	4
POOL Jonathan Michael	AMU497	Licensed Representative (Temporary Licence)	1
RAMAKRISHNAN Karthik Narayan	BMU958	Licensed Representative (Temporary Licence)	1
RANGAN Kasthuri Gopalan	BOG753	Licensed Representative (Temporary Licence)	4
RASMUSSEN Peter	BOG983	Licensed Representative (Temporary Licence)	1, 2
REDMAN Simon David	BLF884	Licensed Representative (Temporary Licence)	1, 4
REISZ Paul William	BHQ136	Licensed Representative (Temporary Licence)	1, 4
SABNIS Avadhoot Madhukar	BNR384	Licensed Representative (Temporary Licence)	4
SAIFEE Hussaini	BJV163	Licensed Representative (Temporary Licence)	4
SASAKI Futoshi	BEZ608	Licensed Representative (Temporary Licence)	4
SCHILS Victoria Elizabeth	BOF398	Licensed Representative (Temporary Licence)	1, 4
SEKI Atsushi	BIB389	Licensed Representative (Temporary Licence)	4
SELBY Paul John	AWA565	Licensed Representative (Temporary Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
SHAH Niraj Samip	BBE387	Licensed Representative (Temporary Licence)	1, 4
SHAO Jiangchen	BMU959	Licensed Representative (Temporary Licence)	1
SIHLER Joerg Stefan	BOH035	Licensed Representative (Temporary Licence)	1, 4
SMITH Nicholas James	BOG119	Licensed Representative (Temporary Licence)	1
SPEETJENS Richard Petrus Elisabeth Gerardus	BFD237	Licensed Representative (Temporary Licence)	1
SUN Xilun Denys Qiao Qiao	BOG982	Licensed Representative (Temporary Licence)	1, 2
SUTTON Alexander Luke	BOG067	Licensed Representative (Temporary Licence)	1
SUZUKI Misato	BOG810	Licensed Representative (Temporary Licence)	1
TAN Hsiang Lin, Alicia	BOG444	Licensed Representative (Temporary Licence)	1
TANG Yin Kin Polo	AZW790	Licensed Representative (Temporary Licence)	4
TNG Boon Chong, William	BOG554	Licensed Representative (Temporary Licence)	4
TOLLARDO Neri	BMG825	Licensed Representative (Temporary Licence)	1, 4
VIRGO Alexander Stewart	BLI749	Licensed Representative (Temporary Licence)	4
WALLACE Ashley Dorothy	BFJ978	Licensed Representative (Temporary Licence)	4
WARBURTON Bradford Timothy	BOG429	Licensed Representative (Temporary Licence)	1, 6
WARDLE Matthew James	BOH240	Licensed Representative (Temporary Licence)	1
WELLS Justin Derek	BGK594	Licensed Representative (Temporary Licence)	1
WILKIE Robert Malcolm	BHB487	Licensed Representative (Temporary Licence)	1
WILLIAMSON Joshua David	BIN735	Licensed Representative (Temporary Licence)	4
WOOLFORD Craig John	BFD306	Licensed Representative (Temporary Licence)	4
XIA Yinyin	BJQ416	Licensed Representative (Temporary Licence)	4
YAMASHITA Chihiro	BNE474	Licensed Representative (Temporary Licence)	1
YOSHIKAWA Ken	BMX497	Licensed Representative (Temporary Licence)	1
YUNG-HOK Peter Stephen	BDL617	Licensed Representative (Temporary Licence)	2, 5