

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURIN	1		
CE Name	CE No.	Licence Type	Types of Regulated Activity
AngelHub Limited	BLO833	Licensed Corporation	1, 4
Angelo, Gordon Hong Kong Limited	BNS940	Licensed Corporation	1
China Shandong International Asset Management Limited	BNH481	Licensed Corporation	4, 9
CPPIB Asia Inc.	BNX446	Licensed Corporation	1
Daintree Partners Limited	BNP767	Licensed Corporation	1, 4, 9
Gennex Capital Advisers Limited	BOA891	Licensed Corporation	9
Golden Asset Management Co., Limited	BNU616	Licensed Corporation	4, 9
Greater Bay Area Development Fund Management Limited	BMR569	Licensed Corporation	1, 4, 9
Hefeng Family Office (Hong Kong) Limited	BNP575	Licensed Corporation	4, 9
Hereditas Capital Management (Asia) Limited	BOB497	Licensed Corporation	4, 9
HTF Securities Limited	BNO909	Licensed Corporation	1, 4, 9
IM Capital Partners Limited	BNN719	Licensed Corporation	4, 9
O F Capital (Hong Kong) Co., Limited	BNV041	Licensed Corporation	1, 4, 9
Panda Securities Company Limited	BNC380	Licensed Corporation	1
Paragon Asset Management Limited	BNS287	Licensed Corporation	4, 9
QuadReal HK Services Limited	BNX794	Licensed Corporation	1, 4
Season Asset Management Limited	BOC105	Licensed Corporation	4, 9
Seeds International Asset Management Limited	BNZ010	Licensed Corporation	4, 9
Shenzhen Capital (International) Asset Management Company Limited	BNU659	Licensed Corporation	9
Tang Yin International Asset Management Company Limited	BMN649	Licensed Corporation	9
Tanjn International Limited	BNV052	Licensed Corporation	1, 4
WeShare Securities Limited	BNC862	Licensed Corporation	1, 6
Yue Xiu Capital Limited	BOA034	Licensed Corporation	6
ALTIERI Mario Letterio	BOE412	Licensed Representative	1, 2
ANG Chiu On	BOE755	Licensed Representative	1, 4
ANSARI Saira Abul	BOF645	Licensed Representative	1
ASVATHITANONTA Kamolwan	BOG394	Licensed Representative	1
AU Wilson Wai Kwan	ATY188	Licensed Representative	1, 4, 9
AUBIN Edouard Jean Laurent	BLT655	Licensed Representative	1, 4
AU-YEUNG Kin Hang	AXI948	Licensed Representative	1
BAI Yang	BOE426		1, 6
		Licensed Representative	
BERNARDO Jessie	BAM369	Licensed Representative	1, 4
BI Congyang	BNJ708	Licensed Representative	9
BING Zhujun	BOF315	Licensed Representative	1, 4, 9
CEREDA PARINI Flavio Romano Achille	BDD072	Licensed Representative	4
CHADHA Prashant	BGQ083	Licensed Representative	9
CHAN Ernest	BOF190	Licensed Representative	2, 5
CHAN Hiu Yung Crystal	BOE956	Licensed Representative	4, 9
CHAN Ka Wai	BOF448	Licensed Representative	1, 4, 9
CHAN Kwun Pan	BOF049	Licensed Representative	1, 4
CHAN Lily	BOF423	Licensed Representative	1
CHAN Lit Yee	BOF249	Licensed Representative	1, 4, 9
CHAN Ryan Yin Chun	BOF797	Licensed Representative	1, 2
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CHAN Suet Sze	BOF035	Licensed Representative	1
CHAN Suet Ying	BOF948	Licensed Representative	1, 4, 9
CHAN Ut leong	AWF498	Licensed Representative	1, 4, 9
CHAN Wang Wai	BOE732	Licensed Representative	1, 2
CHAN Wing Wa	BOF278	Licensed Representative	1, 4
CHANG Shu Jung	AIJ811	Licensed Representative	9
CHAO Yee Hung	BOG041	Licensed Representative	1, 4
CHAUDHURI Kaustav	BOE528	Licensed Representative	1, 2
CHEN Chucan	BOC035	Licensed Representative	4
CHEN Han	BOE243	Licensed Representative	1
CHEN Hui	BOE948	Licensed Representative	1, 4, 9
CHEN Li	BOE948 BOF799	Licensed Representative	
			1, 6
CHEN Mu	BOA754	Licensed Representative	9
CHEN Phieby Si	BOD274	Licensed Representative	1, 4
CHEN Shaowen	BOF188	Licensed Representative	1, 2, 9
CHEN Wei	BOE543	Licensed Representative	4, 9
CHEN Weibin	BOD694	Licensed Representative	4
CHEN Wuhao	BOF915	Licensed Representative	1, 4
CHEN Xiao	AVA602	Licensed Representative	1, 4
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ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURIN			
CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Xiaohua	BAM436	Licensed Representative	1, 2
CHEN Yilun	BOF343	Licensed Representative	1
CHEN Zhe	BOG853	Licensed Representative	1, 2
CHEN Zhexin	BOD028	Licensed Representative	1, 4, 6
CHENG Haiwen	BIN077	Licensed Representative	1, 4
CHENG Sze Lok	BOD979	Licensed Representative	1
CHEUNG Fei Chau	AGC679	Licensed Representative	1
CHEUNG Ming Fai	BOG198	Licensed Representative	4, 9
CHEUNG Tsz Sin	BOC691	Licensed Representative	1
CHEW Cheng Yuan	BNV903	Licensed Representative	1, 4, 6
CHHOA Catherine	BOC710	Licensed Representative	6
CHI Chao	BOF142	Licensed Representative	1, 4, 9
CHIN Yao Chou	BOD846	Licensed Representative	1, 2, 4
CHING Mung Kiu	BOF397	Licensed Representative	1
CHIU Hiu Lam Emily	BOE627	Licensed Representative	1, 4, 9
CHOI Ho Jun	BOF337	Licensed Representative	1
CHOI Lai Chuen	BOE246	Licensed Representative	1
CHOI Michael Chung Hang	BOC692	Licensed Representative	1
CHOI Tung Yin, Patrick	AVA754	Licensed Representative	1, 2
CHOU Tsz Yeung	BFT505	Licensed Representative	1
CHOW Chung, Apollo	BOF134	Licensed Representative	1, 4, 9
CHOW Sheung Heung	BOF774	Licensed Representative	1, 4
CHOY Chi Hai, Calvin	AUA252	Licensed Representative	1, 2, 4, 5, 9
CHOY Yee Ting	BOE740	Licensed Representative	3
CHU Chung Lim	BOE385	Licensed Representative	1, 2, 9
CHU Hoi Man Jolly	BBW390	Licensed Representative	1
CHU Kin Chiu	BOF222	Licensed Representative	1,9
CHUI Suk Yin, Carolyn	AAK271	Licensed Representative	9
CHUNG Chi Kit	BOE388	Licensed Representative	6
CHUNG Chi Ming	BOD505	Licensed Representative	4, 9
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CHUNG So Young	BOD537	Licensed Representative	
CLARK Miranda Helen	BOD965	Licensed Representative	4
CONG Mingshu	BOF147	Licensed Representative	1
COPERTI Giulio Giacomo	BOG205	Licensed Representative	6
DAN Hiroyuki	BOF345	Licensed Representative	1, 4, 6
DEMAIO Thomas Vincent	AML645	Licensed Representative	9
DI FUCCI John Stephen	BOG204	Licensed Representative	4
DICKERSON Joseph Eric	BOG047	Licensed Representative	4
DILLARD Brian Wesley	BOF093	Licensed Representative	1, 4
DING Mao	BGI315	Licensed Representative	1
DOULL Matthew William Monteith	BOC819	Licensed Representative	1, 4
DUAN Yanyan	BOD921	Licensed Representative	1
ELIE TANUJAYA Kevin	BEF300	Licensed Representative	1, 2, 4, 5, 9
ENLUND Dianna	APU795	Licensed Representative	1, 4, 9
ER Wilson			
	APK732	Licensed Representative	1, 4, 9
FAN Buwei	BOF775	Licensed Representative	1, 4
FAN Cheuk Yin	BOF139	Licensed Representative	4
FAN Ka Wai	BOD914	Licensed Representative	1, 4, 9
FANG Hsieh Shen	AAC133	Licensed Representative	9
FANG Shuang	BOE652	Licensed Representative	4, 9
FASSO Anthony Gerard	ACR987	Licensed Representative	1, 4, 9
FENG Chongguang	BOD615	Licensed Representative	4
FENG Xiao	BOA927	Licensed Representative	1, 4, 9
FENG Yutong	BOF258	Licensed Representative	4
FENG Zhangpeng	BOE863	Licensed Representative	4, 9
FISHMAN Edward Laurence	BOF167	Licensed Representative	1
FREEMAN Sebastian Oliver	BOC820	Licensed Representative	1, 2
FU Yu	BOE212	Licensed Representative	1, 4, 5, 9
FUNG Wing Ping	AAC015	Licensed Representative	1
GAO Xu	BOH068	Licensed Representative	1, 4
GAO Xu GARG Mukul			4
	BOD966	Licensed Representative	
GE Mier	BOC131	Licensed Representative	1, 6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING		• • · · · · · · · · · ·	
CE Name	CE No.	Licence Type	Types of Regulated Activity
GLAISNER Quentin	BOE206	Licensed Representative	1, 2, 7
GUO Shiyi	BOE952	Licensed Representative	1, 4
GUO Weiwei	BOG386	Licensed Representative	1, 2, 4, 5
HAGIWARA Kenichi	BKF268	Licensed Representative	9
HAM Tae Gyu	BOF166	Licensed Representative	1, 4
HAO Yanhui	BOD167	Licensed Representative	4
HAU Wai Tak	BKV826	Licensed Representative	4, 9
HAYMAN Grace Susan	BOF496	Licensed Representative	1
HE Cheng	BMH301	Licensed Representative	1, 9
HEWITT David James	APG723	Licensed Representative	4
HILL Daniel William	BFY595	Licensed Representative	9
HINDLE Leon Miller	AIN992		9
HITCHENS Paul Brian Pym	AWX518	•	4
HO Ka Lun	BIN776	Licensed Representative	1, 4
HO Siu Man, Gideon	AOR642		4, 9
HO Sui Yi	BOC629	Licensed Representative	1, 4
HON Siu Hong	AFT493	Licensed Representative	1
HON Wing Lun	AVP857	Licensed Representative	4
HONG Shaozhi	BOE497		4, 9
HOU Jiaqi	BOF906	Licensed Representative	1, 4
HOU Yiyun	BIU737	Licensed Representative	1
HOU Yu	BOE022	Licensed Representative	9
HOUCHOIS Philippe Jean	AZK752	Licensed Representative	4
HSU Chiao Chih	AXW468	Licensed Representative	4
HU Deyue	BOF356	Licensed Representative	1,4
HU Wanli	BOF614	Licensed Representative	1, 4
HU Wengin	BFQ045	Licensed Representative	1,6
HUANG Chengxian	BOG385		4, 9
HUANG Hantao	BOF082	Licensed Representative	1, 4
HUANG Shangting	BFV013	Licensed Representative	9
HUANG Xinyi	BOE864	Licensed Representative	1,6
HUANG Xinyue	BOF252	Licensed Representative	1, 4
HUANG Zhenkai	BOD548	Licensed Representative	1, 6
HUDSON Alexander Jo	BOD306	Licensed Representative	1,7
HUH Samuel	BOD124	Licensed Representative	1, 4, 9
HUI Jie	BIJ550	Licensed Representative	1, 4
HUMAN Brandt Van Aardt	BOF850	Licensed Representative	1
INDERST Andreas	BOE621	Licensed Representative	4
IVANOVSKA Violeta Dimitrova	BOG079	Licensed Representative	1
JENKINS Benjamin Taylor	BOF078	Licensed Representative	1
JI Yuanyuan	AYI807	Licensed Representative	1
JIANG Hao	BOE811	Licensed Representative	1, 6
JIANG Xinhao	BOE414	Licensed Representative	1, 4
JIANG Yann	BOF574	Licensed Representative	1, 4, 6
JIN Xiumin	BOD004	Licensed Representative	1, 2
KANG Puay Ju	BJB545	Licensed Representative	1
KATZ David Brian	BOD520	•	4
KAWAMOTO Yushi	BOF144		4
KELLY Robert James	BOD307	•	1,7
KO Sze Chai, Joyce	BOD909	Licensed Representative	1, 4, 9
KO Wing Leong Algie	BOD909 BOD857		9
	ADL513		
KOO Hung Jun, Lawrence		Licensed Representative	1,4
KUAN Sai In	BOE912		1, 4, 6
KUO Che Lin	BOD138	Licensed Representative	1
KWAN Irene Michelle	BOF426	•	9
KWEK Li Ern Joy	ANQ606	Licensed Representative	6
KWOK Ka Huen	BGJ110	Licensed Representative	6
KWOK Kin	BOF020	Licensed Representative	1
KWOK Luk Pun	BGB730	Licensed Representative	1, 4
LAI Chun Kit	BBS902	Licensed Representative	1, 4
LAI Man Ling	AWX953	Licensed Representative	4
LAI Tianxing	BOE375		1, 6
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CE NameCenterPype of Negation 4.4 of Negati	ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURIN	G 04/2019		1
LAM Dr PrigBPU-88Loorend SpremethinI.4.6LAM Dr Dr MuBPU-98Loorend StreptentineI.LAM Dr Dr MuBPU-98Loorend RepresentanceI.5LAM Ara IncBPU-19Loorend RepresentanceI.2LAM Ara AraBPU-19Loorend RepresentanceI.2LAM Ara AraBPU-19Loorend RepresentanceI.2LAM Ara AraBPU-19Loorend RepresentanceI.4LAM Ara AraBPU-19Loorend RepresentanceI.4LAU Ara AraBPU-19Loorend Representa	CE Name	CE No.	Licence Type	Types of Regulated Activity
LAM OP PaiMURDEJuncase Segmentations1LAM Ner Drag58/900Juncases Segmentations1LAM Ner Drag96/875Juncases Segmentations1.2LAM Ner DragADX31Juncases Segmentations1.4LAM Ner DragBODCH0Juncases Segmentations1.2LAM Ner Drag <t< td=""><td>LAM Chak Yu</td><td>BOE255</td><td>Licensed Representative</td><td>1, 4, 9</td></t<>	LAM Chak Yu	BOE255	Licensed Representative	1, 4, 9
DM Bung Jany B 4990 Journess Representation 1 DAM Han Tang B 107151 Lansand Representation 6 LAM Ka Ma B 001151 Lansand Representation 1.2 LAM Ka Ma B 001161 Lansand Representation 0 LAM Ka Ma D 001161 Lansand Representation 1.4 LAM Ka Ka Ma D 001161 Lansand Representation 1.4 LAM Ka Ka Ma D 001161 Lansand Representation 1.4 LAM Ka Ka Ma D 001161 Lansand Representation 1.4 LAM Ka Ma Ting Lansand D 001627 Lansand Representation 1.4 LAM Man Ting Lansand D 001627 Lansand Representation 1.4 LAM Mang Tan Mang D 001627 Lansand Representation 1.4 LAM Mang Tan Mang D 001627 Lansand Representation 1.4 LAM Mang Tan Mang D 001627 Lansand Representation 1.4 LAM Mang Tan Mang D 001627 Lansand Representation 1.2 LAM Mang Tan Mang D 001651 Lansand Representation	LAM Chi Kong	BOF458	Licensed Representative	1, 4, 6
LAM Num Ang.BPCP764Lowned Representation6LAM Are hanBPCP764Lowned Representation1.2LAM Are KVIAPX257Lowned Representation1.4LAM Are KVIBPCP764Lowned Representation1.4LAM Net KVIBPCP774Lowned Representation1.4LAM Net KVIARX0000Lowned Representation1.4LAM Net KVIARX0000Lowned Representation1.4LAM Net KVIBPCP774Lowned Representation1.2LAW Net KVIBPCP774Lowned Representation1.2LAW Net KVIADX0011Lowned Representation1.2LE COMP VersionBPCP744Lowned Representation1.2LE COMP VersionBPCP744Lowned Representation1.2LE COMP VersionBPCP744Lowned Representation1.4LE COMP VersionBPCP744Lowned Representation1.4LE COMP VersionBPCP744Lowned Representation1.4LE COMP Ver	LAM Chi Pui	BID982	Licensed Representative	1
LAM Num Ang.BPCP764Lowned Representation6LAM Are hanBPCP764Lowned Representation1.2LAM Are KVIAPX257Lowned Representation1.4LAM Are KVIBPCP764Lowned Representation1.4LAM Net KVIBPCP774Lowned Representation1.4LAM Net KVIARX0000Lowned Representation1.4LAM Net KVIARX0000Lowned Representation1.4LAM Net KVIBPCP774Lowned Representation1.2LAW Net KVIBPCP774Lowned Representation1.2LAW Net KVIADX0011Lowned Representation1.2LE COMP VersionBPCP744Lowned Representation1.2LE COMP VersionBPCP744Lowned Representation1.2LE COMP VersionBPCP744Lowned Representation1.4LE COMP VersionBPCP744Lowned Representation1.4LE COMP VersionBPCP744Lowned Representation1.4LE COMP Ver	LAM Chun Shing Jerry	BJK930	Licensed Representative	1
LAM tehDBCH75LUnsets Bygensetable1.2LAM tehAXX257Linnesd Signsamtahe3.2LAM tehMAX2677Linnesd Signsamtahe3.2LAM tehBAC161Linnesd Signsamtahe1.4LAM tehBAC162Linnesd Signsamtahe1.4LAM hak SignsamtaheBAC163Linnesd Signsamtahe4.4LAM hak TurBAC161Linnesd Signsamtahe9LAM hak TurBAC163Linnesd Signsamtahe4.4LAM har TurBAC163Linnesd Signsamtahe1.4LAM Wing Yea ReneBAC162Linnesd Signsamtahe1.4LAU har TurBAC162Linnesd Signsamtahe1.4LAU har TurBAC162Linnesd Signsamtahe1.4LAU har TurBAC162Linnesd Signsamtahe1.4LAU har TurBAC162Linnesd Signsamtahe1.2LAU har TurBAC162Linnesd Signsamtahe1.2LAU har TurBAC163Linnesd Signsamtahe1.4LAU har TurBAC163Linnesd Signsamtahe1.2LAU har Tur				1.6
LAM BY N ADXID1 Locreal Representation 1 LAM Kook Val BOP115 Locreal Representation 1 LAM Kook Val BOCK22 Locreal Representation 1 LAM Kook Val BOCK22 Locreal Representation 1 LAM Kook Val BOCK22 Locreal Representation 1 LAM Yang Chi BOCK22 Locreal Representation 1 LAM Yang Chi BOCK22 Locreal Representation 1 LAM Yang Yan Reine BOCK25 Locreal Representation 1 LAM Yang Yan Reine BOCK27 Locreal Representation 1 LAU Yan Yang BOCK27 Locreal Representation 1 LAU Yan Yang BOCK27 Locreal Representation 1 LAU Yan Yang Yang BOCK27 Locreal Representation 1 LE Van Yang Yan				
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LAM Kak Wai DDT 16 Licensed Representative 1 LAM Kak Sir Chutsin BOCV10 Licensed Representative 1.4 LAM Wat Sir Chutsin BOCV10 Licensed Representative 1.4 LAM Wat Chu BOCV10 Licensed Representative 1.4 LAM Wat Chu BOCS01 Licensed Representative 1.4 LAM Wat Chu BOCS01 Licensed Representative 1.4 LAM Wat Chu BOCS27 Licensed Representative 1 LAM Wat Chu BOCS37 Licensed Representative 1 LAU Var Ting BOCS37 Licensed Representative 1 LAW Var Ting BOCS37 Licensed Representative 1 LAW Var Ting BOCS37 Licensed Representative 1 LAW Var Stein BOCS16 Licensed Representative 1 LAW Va				
LAM Mos Sin Chrosin900322Unsered Representative1.4.9LAM Tau Tra LoloB07790Lonsted Representative6LAM Yan Tra LoloB07790Lonsted Representative9LAM Yang ChiAN0300Lonsted Representative1.4LAM Yang Yee ReiveB00512Lonsted Representative1.4LAU Uhu TragB00522Lonsted Representative1LAU Uhu TragB00522Lonsted Representative1LAU Uhu TragB00532Lonsted Representative1LAU Staff Ta MagB00579Lonsted Representative1LAU Yan MeB00579Lonsted Representative1LAU Yan MeB00579Lonsted Representative1LAU Yan MeB00579Lonsted Representative1LAU Yan MenaB00579Lonsted Representative1LAU Yan MenaB00579Lonsted Representative1LAU Yan MenaB00579Lonsted Representative1LE Gorp Yannik ChristianA00577Lonsted Representative1LE Conste Representative127LE Conste Representative111LE Conste Representative1	LAM Kit Yin	ANV267	Licensed Representative	9
LAM Sau Yan B06400 Loansed Representative 1.4 LAM Yang Cho ANO201 Lornsed Representative 9 LAM Wang Cho B00051 Lornsed Representative 9 LAM Wang Cho B000521 Lornsed Representative 1.4 LAM Unit Prog B00527 Lornsed Representative 1 LAU Ivan Ta Mang B00527 Lornsed Representative 1 LAU Van Ta Mang B00527 Lornsed Representative 1 LAU Van Ta Mang B00537 Lornsed Representative 1 LAV Van Yang B00537 Lornsed Representative 1 LAV Van Yang B00507 Lornsed Representative 1 LAW Yang Yano Kontai B00642 Lornsed Representative 1 2 LE Contry Yannok Christian B06404 Lornsed Representative 1 2 LE Contry Yannok Christian AV0542 Lornsed Representative 1 2 LE Contry Yannok Christian AV0542 Lornsed Representative 1 2 LE Contry Yannok Christian	LAM Kwok Wai	BOF116	Licensed Representative	1
LAM Tory LouisDOT 20Lonsex Representative9LAM Vary ChAXX030Lonsex Representative1.4LAW Vary Tee NeneBOOS12Lonsex Representative1.4LAU LIN IngBODS2Lonsex Representative1LAU LIN IngBODS2Lonsex Representative6LUI Var ManBODS2Lonsex Representative6LAU Var ManBODS2Lonsex Representative1LAU Var ManBODS2Lonsex Representative1LAU Var ManBODS2Lonsex Representative1LAV Var ManBODS2Lonsex Representative1.2LAV Var ManBODS2Lonsex Representative1.2LED OFT Varinis ChristenAD0542Lonsex Representative1.2LED OFT Varinis ChristenAD0537Lonsex Representative1.4LED OFT Varinis ChristenAD0537Lonsex Representative1.4LED Const StargAD1525Lonsex Representative1.4LED Const StargAD1525Lonsex Representative1.4LED Const StargAD1525Lonsex Representative1.4LED Const StargAD1525Lonsex Representative1.4LEE AS BigBODS40Lonsex Representative1.4LEE StargBODS67Lonsex Representative1.4LEE Ka SiaAD1524Lonsex Representative1.4LEE Var Man, ChristenAD2642Lonsex Representative1.4LEE Var Man, ChristenAD2645Lonsex Representative1.2 <t< td=""><td>LAM Nok Sze Christie</td><td>BOC922</td><td>Licensed Representative</td><td>1, 4, 9</td></t<>	LAM Nok Sze Christie	BOC922	Licensed Representative	1, 4, 9
LAM Voing Yean GainAXXXXXAXXXXXX9LAM Voing Yean GainBOGD51Lorncad Representative1.4LAU Unar ProgBOGD52Lorncad Representative1.4LAU Unar TragBOGD52Lorncad Representative1LAU NarthBOGD53Lorncad Representative1LAU VinBOGD53Lorncad Representative1LAU VinBOGD53Lorncad Representative1LAU VinBOGD57Lorncad Representative2LAW Vin	LAM Sau Yan	BOG410	Licensed Representative	1, 4
LAM Wing Yee Reine 800031 Loarsed Representative 1,4 LAU Chill Yang 800132 Loarsed Representative 1,4 LAU Jum Pang 800232 Lorrend Representative 1 LAU Jum Pang 800286 Lorrend Representative 6 LAU Vin Yu 800331 Lorrend Representative 1 LAW Yu 800331 Lorrend Representative 1 LAW Yu Mer 8005161 Lorrend Representative 4 LAW Yah Yu 800543 Lorrend Representative 1.2 LEW Yah Yan Yorna 800542 Lorrend Representative 1.2 LEW Grap Yang AX0337 Lorrend Representative 1.2 LE Contry Yang AX1132 Lorrend Representative 1.2 LE Le Natal Sang AV0337 Lorrend Representative 1.4 LE Kasing 800544 Lorrend Representative 1.2 LE Kasing 80030 Lorrend Representative 1.4 LE Kasing 80030 Lorrend Representative 1 LE Kasing	LAM Tsun Ting Louis	BOF790	Licensed Representative	6
LVU Char Porog 10.01152 Learned Representative 1,4,9 LVI Ha Trig BDE257 Learned Representative 1 LVI Van Ming BDG2373 Learned Representative 1 LVI Van Ming BDG333 Learned Representative 1 LVI Van Ming BDG7496 Learned Representative 1 LVV Van Shan BDG7496 Learned Representative 1,2 LE CORP Yamids Christian ADG7424 Learned Representative 1,2 LE CORP Yamids Christian ADG7424 Learned Representative 1,2 LE CORP Yamids Christian ADG7424 Learned Representative 1,4,9 LE Corls Yamids Christian ADG7424 Learned Representative 1,4,9 LE E Conto Yamids Christian ADG7424 Learned Representative 1,4,9 LE E Nort Christian ADG7424 Learned Representative 1,4,9 LE Kons Christian ADG7444 Learned Representative 1,6 LE Kons Christian ADG7424 Learned Representative 1,6 LE Kons Christian ADG7424	LAM Wang Chi	AKX039	Licensed Representative	9
LVU Char Porog 10.01152 Learned Representative 1,4,9 LVI Ha Trig BDE257 Learned Representative 1 LVI Van Ming BDG2373 Learned Representative 1 LVI Van Ming BDG333 Learned Representative 1 LVI Van Ming BDG7496 Learned Representative 1 LVV Van Shan BDG7496 Learned Representative 1,2 LE CORP Yamids Christian ADG7424 Learned Representative 1,2 LE CORP Yamids Christian ADG7424 Learned Representative 1,2 LE CORP Yamids Christian ADG7424 Learned Representative 1,4,9 LE Corls Yamids Christian ADG7424 Learned Representative 1,4,9 LE E Conto Yamids Christian ADG7424 Learned Representative 1,4,9 LE E Nort Christian ADG7424 Learned Representative 1,4,9 LE Kons Christian ADG7444 Learned Representative 1,6 LE Kons Christian ADG7424 Learned Representative 1,6 LE Kons Christian ADG7424	LAM Wing Yee Reine	BOG051	Licensed Representative	1, 4
LVI Un Trag BOE257 Learned Representative 1 LAU Jonatha Tat Ming BO05251 Learned Representative 6 LAU Yu BOG313 Learned Representative 1 LAU Yun Mei BWY379 Learned Representative 9 LAW Hung Yun Verona BOF616 Learned Representative 9 LAW Yun Shan BOG412 Learned Representative 1.2 LAW Yun Shan BOG421 Learned Representative 1.2 LEE Charp Mang AX0537 Learned Representative 1.2.3.4 LEE Dawn BOG444 Learned Representative 1.4.6 LEE Charp Mang AV1132 Learned Representative 1.4.6 LEE Dawn BOG651 Learned Representative 1.4.6 LEE Roak Sang BO0066 Learned Representative 1 LEE Roak Chu BBG511 Learned Representative 1 LEE Roak Chu BOG667 Learned Representative 1 LEE Roak Chu BOG667 Learned Representative 1 LEE Yar Charg Representative 1.6				
LAU Jonstham Tat Ming BODR26 Licensed Representative 6 LAU Yu BODR31 Licensed Representative 1 LAU Yu BODR30 Licensed Representative 1 LAW Han Yon Neona BODR30 Licensed Representative 4 LAW Yux Shan BODR30 Licensed Representative 4 LW Vax Shan BODR32 Licensed Representative 1.2 LE Cherg Hung AXOS37 Licensed Representative 1.2 LE Cherg Hung AXOS37 Licensed Representative 1.4.0 LE Donal Sang ALT685 Licensed Representative 1.4.9 LE Kask Sing BOCR000 Licensed Representative 1.4.9 LE Kask Sing ALT685 Licensed Representative 1 LE Kask Chu BBCB31 Licensed Representative 1 LE Kask Chu				
LAU Yu BOG313 Liansed Representative 1 LAU Yu BWY579 Liansed Representative 9 LAW Hung Yan Veona BOF165 Liansed Representative 9 LAW Wing Yan Veona BOF165 Liansed Representative 4 LAW Yuk Shan BO6423 Liansed Representative 1.2 LEG Orly Ming AX0537 Liansed Representative 1.2 LEE Comp Mung AX0537 Liansed Representative 1.2 LEE Donald Sang AUT132 Liansed Representative 1.4 6 LEE Ko Sing BO0098 Liansed Representative 1.4 6 LEE Ko Sing BO0098 Liansed Representative 1 1 LEE Ko Sing BO0096 Liansed Representative 1 1 LEE Ko Sing BO00				•
AU Yun Mei BNV570 Lensed Representative 9 LAW Sum Yee BCO171 Lensed Representative 4 AW Sum Yee BCO0471 Lensed Representative 4 LAW Sum Yee BCO0472 Lensed Representative 1, 2 LE Conp Hung ASO423 Lensed Representative 1, 2 LE Conp Hung ASO437 Lensed Representative 1, 2, 3, 4 LE Deand BOF 404 Lensed Representative 1, 4, 6 LE Donald Sang AUT132 Lensed Representative 1, 4, 9 LE Many Jung AUT685 Lensed Representative 1 LE Kak Sang BCD006 Lensed Representative 1 LE Kak Sang BCD006 Lensed Representative 1 LE Kak Du BBC031 Lensed Representative 1 LE Kak Du BBC032 Lensed Representative 1 LE Kak Du BBC032 Lensed Representative 1 LE Kak Du BBC032 <td></td> <td></td> <td></td> <td></td>				
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Li HairongBOD027Licensed Representative1.4Li HelenANS159Licensed Representative6Li JiamingBOE640Licensed Representative4Li JingAMI391Licensed Representative4,9Li JingyouBOG863Licensed Representative1,6Li Man LukAWZ595Licensed Representative1,4,9Li MengBOF794Licensed Representative1,2,4Li MengxuanBDR393Licensed Representative1,4,9Li ShuguoBOF54Licensed Representative9Li ShuguoBOF554Licensed Representative4Li ShuguoBOF554Licensed Representative4Li ShuguoBOF554Licensed Representative4Li ShuguoBOF534Licensed Representative6Li TongAZL265Licensed Representative6Li WeiBOC574Licensed Representative4,9Li Wing LamBNC987Licensed Representative1Li XinBNY209Licensed Representative4,9Li XinranBOF623Licensed Representative4,9Li XinranBOF623Licensed Representative4,9Li XinranBOF623Licensed Representative4,9Li XinranBOF623Licensed Representative4,9Li XueqingBOC638Licensed Representative4,9Li XueqingBOC638Licensed Representative4,9Li YuingBOC634Licensed Representative6 <td>LI Chun Lun</td> <td>BOD303</td> <td>Licensed Representative</td> <td>1, 2</td>	LI Chun Lun	BOD303	Licensed Representative	1, 2
Li HelenANS159Licensed Representative6Li JiamingBOE640Licensed Representative4Li JingAMI391Licensed Representative4, 9Li JingyouBOG863Licensed Representative1, 6Li Man LukAWZ595Licensed Representative1, 4, 9Li MengBOF794Licensed Representative1, 2, 4Li MengxuanBDR393Licensed Representative1, 4, 9Li MingzheBOE754Licensed Representative9Li ShuguoBOF754Licensed Representative4Li ShuguoBOF550Licensed Representative6Li TongAZL265Licensed Representative6Li WeiBOC574Licensed Representative4, 9Li Wing LamBNC987Licensed Representative1Li XinBNC987Licensed Representative4, 9Li XinranBOF623Licensed Representative1Li XinranBOF623Licensed Representative1Li XinranBOC658Licensed Representative4, 9Li XueqingBOC658Licensed Representative6Li YitingBOC658Licensed Representative6	LI Dan	ARA997	Licensed Representative	1, 4, 9
Ll JiamingBOE640Licensed Representative4Ll JingAMI391Licensed Representative4, 9Ll JingyouBOG863Licensed Representative1, 6Ll Man LukAWZ595Licensed Representative1, 4, 9Ll MengBOF794Licensed Representative1, 2, 4Ll MengxuanBDR393Licensed Representative1, 4, 9Ll MingzheBOF754Licensed Representative9Ll ShuguoBOF250Licensed Representative4Ll ShuguoBOF250Licensed Representative6Ll TongAZL265Licensed Representative6Ll WeiBOC574Licensed Representative1Ll Wing LamBNC987Licensed Representative4, 9Ll XinBNC987Licensed Representative1Ll XinranBOF623Licensed Representative1Ll XueqingBOC858Licensed Representative1Ll YitingBOC643Licensed Representative6	LI Hairong	BOD027	Licensed Representative	1, 4
Ll JiamingBOE640Licensed Representative4Ll JingAMI391Licensed Representative4, 9Ll JingyouBOG863Licensed Representative1, 6Ll Man LukAWZ595Licensed Representative1, 4, 9Ll MengBOF794Licensed Representative1, 2, 4Ll MengxuanBDR393Licensed Representative1, 4, 9Ll MingzheBOF754Licensed Representative9Ll ShuguoBOF250Licensed Representative4Ll ShuguoBOF250Licensed Representative6Ll TongAZL265Licensed Representative6Ll WeiBOC574Licensed Representative1Ll Wing LamBNC987Licensed Representative4, 9Ll XinBNC987Licensed Representative1Ll XinranBOF623Licensed Representative1Ll XueqingBOC858Licensed Representative1Ll YitingBOC643Licensed Representative6	LI Helen	ANS159	Licensed Representative	6
Li JingAMI391Licensed Representative4, 9Li JingyouBOG863Licensed Representative1, 6Li Man LukAWZ595Licensed Representative1, 4, 9Li MengBOF794Licensed Representative1, 2, 4Li MengxuanBDR393Licensed Representative1, 4, 9Li MingzheBOE754Licensed Representative9Li ShuguoBOF534Licensed Representative9Li ShuguoBOF534Licensed Representative4Li ShuguoBOF534Licensed Representative6Li VeiBOF534Licensed Representative6Li VeiBOC574Licensed Representative6Li VeiBOC574Licensed Representative1Li VeiBOC574Licensed Representative1Li Wing LamBNC987Licensed Representative1Li XinBNY209Licensed Representative1Li XinanBOF623Licensed Representative1Li XueqingBOC858Licensed Representative10Li YitingBOG043Licensed Representative6				
Li JingyouBOG863Licensed Representative1, 6Li Man LukAWZ595Licensed Representative1, 4, 9Li MengBOF794Licensed Representative1, 2, 4Li MengxuanBDR393Licensed Representative1, 4, 9Li MingzheBOE754Licensed Representative9Li ShuguoBOF54Licensed Representative4Li ShuguoBOF534Licensed Representative6Li TongAZL265Licensed Representative6Li WeiBOC574Licensed Representative4, 9Li Wing LamBNC987Licensed Representative1Li Xin andBNY209Licensed Representative1Li XinranBOF623Licensed Representative4, 9Li XueqingBOC858Licensed Representative10Li YtingBOC043Licensed Representative6				
Li Man LukAWZ595Licensed Representative1, 4, 9Li MengBOF794Licensed Representative1, 2, 4Li MengxuanBDR393Licensed Representative1, 4, 9Li MingzheBOE754Licensed Representative9Li ShuguoBOF250Licensed Representative4Li ShuguoBOF534Licensed Representative6Li TongAZL265Licensed Representative6Li WeiBOC574Licensed Representative4, 9Li Wing LamBNC987Licensed Representative1Li XinBNY209Licensed Representative1Li XinranBOF623Licensed Representative4, 9Li XinranBOF623Licensed Representative1Li XueqingBOC858Licensed Representative4, 9Li YtingBOC834Licensed Representative6				
LI MengBOF794Licensed Representative1, 2, 4LI MengxuanBDR393Licensed Representative1, 4, 9LI MingzheBOE754Licensed Representative9LI ShuguoBOF250Licensed Representative4LI ShuguoBOF534Licensed Representative6LI ShuguoBOF534Licensed Representative6LI ShuguoBOF534Licensed Representative6LI ShuguoBOF534Licensed Representative6LI VoigAZL265Licensed Representative6LI WeiBOC574Licensed Representative1LI Wing LamBNC987Licensed Representative1LI XinranBNY209Licensed Representative1LI XinranBOF623Licensed Representative4, 9LI XueqingBOC858Licensed Representative4, 9LI YtingBOC043Licensed Representative6				
LI MengxuanBDR393Licensed Representative1, 4, 9LI MingzheBOE754Licensed Representative9LI ShuguoBOF250Licensed Representative4LI ShughanBOF534Licensed Representative6LI TongAZL265Licensed Representative6LI WeiBOC574Licensed Representative4, 9LI Wing LamBNC987Licensed Representative1LI XinranBNY209Licensed Representative1LI XinranBOF623Licensed Representative4, 9LI XueqingBOC858Licensed Representative6LI YtingBOC943Licensed Representative6				
LI MingzheBOE754Licensed Representative9LI ShuguoBOF250Licensed Representative4LI ShuohanBOF534Licensed Representative6LI TongAZL265Licensed Representative6LI WeiBOC574Licensed Representative4, 9LI Wing LamBNC987Licensed Representative1LI XinBNY209Licensed Representative1LI XinranBOF623Licensed Representative4, 9LI XueqingBOC858Licensed Representative6				
LI ShuguoBOF250Licensed Representative4LI ShuohanBOF534Licensed Representative6LI TongAZL265Licensed Representative6LI WeiBOC574Licensed Representative4, 9LI Wing LamBNC987Licensed Representative1LI XinBNY209Licensed Representative1LI XinranBOF623Licensed Representative4, 9LI XueqingBOC858Licensed Representative10LI YtingBOG043Licensed Representative6				
LI ShuohanBOF534Licensed Representative6LI TongAZL265Licensed Representative6LI WeiBOC574Licensed Representative4, 9LI Wing LamBNC987Licensed Representative1LI XinBNY209Licensed Representative1LI XinranBOF623Licensed Representative4, 9LI XueqingBOC858Licensed Representative10LI YitingBOG043Licensed Representative6	LI Mingzhe	BOE754	Licensed Representative	9
LI TongAZL265Licensed Representative6LI WeiBOC574Licensed Representative4, 9LI Wing LamBNC987Licensed Representative1LI XinBNY209Licensed Representative1LI XinranBOF623Licensed Representative4, 9LI XueqingBOC858Licensed Representative10LI YitingBOG043Licensed Representative6	LI Shuguo	BOF250	Licensed Representative	4
LI Wei BOC574 Licensed Representative 4, 9 LI Wing Lam BNC987 Licensed Representative 1 LI Xin BNY209 Licensed Representative 1 LI Xinran BOF623 Licensed Representative 4, 9 LI Xueqing BOC858 Licensed Representative 10 LI Yting BOG043 Licensed Representative 6	LI Shuohan	BOF534	Licensed Representative	6
Ll Wing Lam BNC987 Licensed Representative 1 Ll Xin BNY209 Licensed Representative 1 Ll Xinran BOF623 Licensed Representative 4, 9 Ll Xueqing BOC858 Licensed Representative 10 Ll Ytling BOG043 Licensed Representative 6	LI Tong	AZL265	Licensed Representative	6
Ll Wing Lam BNC987 Licensed Representative 1 Ll Xin BNY209 Licensed Representative 1 Ll Xinran BOF623 Licensed Representative 4, 9 Ll Xueqing BOC858 Licensed Representative 10 Ll Ytling BOG043 Licensed Representative 6	LI Wei	BOC574	Licensed Representative	4, 9
LI Xin BNY209 Licensed Representative 1 LI Xinran BOF623 Licensed Representative 4, 9 LI Xueqing BOC858 Licensed Representative 10 LI Yiting BOG043 Licensed Representative 6				
LI Xinran BOF623 Licensed Representative 4, 9 LI Xueqing BOC858 Licensed Representative 10 LI Ytting BOG043 Licensed Representative 6				•
LI Xueqing BOC858 Licensed Representative 10 LI Yiting BOG043 Licensed Representative 6				•
LI Yiting BOG043 Licensed Representative 6				
LI Yizhen BOB293 Licensed Representative 9	LI Yizhen	BOB293	Licensed Representative	9
LIANG lianjin BOE506 Licensed Representative 4, 9	LIANG lianjin	BOE506	Licensed Representative	4, 9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURIN CE Name	CE No.		Types of Regulated Activity
LIANG Rong	BOE547	Licence Type Licensed Representative	1, 4, 9
LIANG Yizhou	BOE347 BOE419	Licensed Representative	9
			1
	BOE086	Licensed Representative	•
LIAO MingXi	BOF461	Licensed Representative	4, 5
LIN Disheng	BOD554	Licensed Representative	1
LIN Quan	BOE947	Licensed Representative	2, 5
LIN Wenjing	BOF231	Licensed Representative	1
LIN Xiayuan	BFN094	Licensed Representative	1, 4
LIN Yang	BOE403	Licensed Representative	4, 9
LIN Yongxue	BOD836	Licensed Representative	1, 2
LING Ka Kin	AOM643	Licensed Representative	1, 4, 9
LIU Bohong	BOF017	Licensed Representative	1
LIU Chuanling	BOF635	Licensed Representative	6
LIU Guangyu	BOG300	Licensed Representative	1, 4, 6
LIU Jieying	BOD545	Licensed Representative	1, 6
LIU Jun	BOD394	Licensed Representative	1, 4, 6
LIU Ke Ru	BOG479	Licensed Representative	6
LIU Lingyu	BOF624	Licensed Representative	1, 2
LIU Luchan	BGQ378	Licensed Representative	4, 9
LIU Shunchang	BOD360	Licensed Representative	5
	BOE557		4, 9
LIU Xinyi		Licensed Representative	7, 0 4
LIU Yan Ying	BOD906	Licensed Representative	1
LIU Yaqing	BOE954	Licensed Representative	1, 2, 4
LIU Yirui	BOE480	Licensed Representative	1, 4
LIU Yixing	BIG093	Licensed Representative	1, 4, 9
LIU Yuqing	BOD559	Licensed Representative	1, 4, 9
LO Ka Yan	BOC179	Licensed Representative	6
LO Kin MIng	BOE930	Licensed Representative	1
LO Kit Mui	BOF326	Licensed Representative	4, 9
LO Lawrence	BOB793	Licensed Representative	4, 9
LO Wai Yee	BOE563	Licensed Representative	1
LO Yuk Fung	BOE831	Licensed Representative	1,6
LOK Man Kit	BOF165	Licensed Representative	1
LONGO Anthony	BOE827	Licensed Representative	4
LOUIE Leung Kwan	AQE309	Licensed Representative	6
LOW Andrew Donald	AKJ197	Licensed Representative	1, 4, 6
LU Jiehao	BOF154	Licensed Representative	1, 4, 9
LU Liangjie	BOE900	Licensed Representative	4, 9
	AXG549		
LUI Ching Yan	APY610	Licensed Representative	1, 2
LUI Sai Man		Licensed Representative	1, 4
LUK Hing Fung	BOF810	Licensed Representative	1, 4
LUNG Him Yick Matthew	BOD645	Licensed Representative	1, 4
LUO Bing	AVK660	Licensed Representative	1, 4
MA Ho Lun	BOF292	Licensed Representative	1, 2
MA Ho Yin	ANY141	Licensed Representative	1, 4
MA Tsz Hin	AYA935	Licensed Representative	1, 4
MA Zhan	BOE153	Licensed Representative	9
MADDOCK Warwick Curtis Lee	BOF087	Licensed Representative	1, 4, 6
MAK Wai Yip	BOD544	Licensed Representative	4, 9
MAN Ho Fung	BOC698	Licensed Representative	1, 4
MANCUSO Henry Sullivan	BFK140	Licensed Representative	9
MARDJUKI Jonathan Steven	BOF045	Licensed Representative	4
MEHTA Saurabh	BOD903	Licensed Representative	1, 4
MEPANI Pravin	ALW554	Licensed Representative	1, 2
MIURA Naoya	BOF203	Licensed Representative	4
			4
MO Man Chun	BUERSE	Licensed Representative	-
MO Man Chun	BOE636	Licensed Berrosentetine	1.0
MO Man Yi	BCZ767	Licensed Representative	1,2
MO Man Yi MO XIANJUE	BCZ767 BOG034	Licensed Representative	1
MO Man Yi MO XIANJUE MOK Ian Ka Yat	BCZ767 BOG034 BOD623		1 1, 9
MO Man Yi MO XIANJUE	BCZ767 BOG034 BOD623 BOE966	Licensed Representative	1
MO Man Yi MO XIANJUE MOK Ian Ka Yat	BCZ767 BOG034 BOD623	Licensed Representative Licensed Representative	1 1, 9



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CE Name	CE No.	Licence Type	Types of Regulated Activity
NG Ngan Ting	BOE937	Licensed Representative	9
NG Siu Lung, Derek	AFG442	Licensed Representative	1, 4, 9
NG Sze Man	AMN571	Licensed Representative	9
NG Wing Chun	BOF255	Licensed Representative	1, 4
NG Yu Man	BOF141	Licensed Representative	9
NI Siliang	BOF053	Licensed Representative	1, 2
NIP Yu Wang Victor	BOF140	Licensed Representative	1, 4, 6
NISHI Ako	BOD407	Licensed Representative	1, 2, 9
NOH James Hyon	BKG328	Licensed Representative	1, 2, 7
OHLWEILER Jeffrey Lyon	AQN994	Licensed Representative	4
			4
OMURA Shunta	BBH669	Licensed Representative	1
PAK Chung Kan	BOD555	Licensed Representative	6
PAN Jihao	BOG015	Licensed Representative	6
PAN Yuan	BOE995	Licensed Representative	1, 4, 6
PANG Chi Ling	BNZ316	Licensed Representative	1
PANG Fong Ching	BOF430	Licensed Representative	1, 9
PANG Man Wai	ARD488	Licensed Representative	1
PARK Jung Ah	BAE816	Licensed Representative	4
PAULIN Gary David	BBY517	Licensed Representative	1
PENG Yu-Chia	BOF450	Licensed Representative	1
POON Lai Yin, Michael	AHU071	Licensed Representative	6
POON Pui Ling	BOD390	Licensed Representative	1, 2, 4, 9
POON See Man Simon	BOE367	Licensed Representative	1, 4, 9
POTDAR Parth Suresh	BOF967	Licensed Representative	6
QI Jiawen	BOE919	Licensed Representative	1, 6
QI Mingyu	BNZ982	Licensed Representative	1,2
QIU Changwei	BOE192	Licensed Representative	4
QIU Qingzi	BOE232	Licensed Representative	1,6
QUE Weichen			
	BOE862	Licensed Representative	1, 6
RA A Ram	BOD907	Licensed Representative	•
REN sisi	BOD202	Licensed Representative	1, 4
RUAN Pengyu	BOE527	Licensed Representative	9
SALCEDO Andres Fabrizio	BOG356	Licensed Representative	1
SATO Hiroyuki	BOE376	Licensed Representative	1, 4, 6
SHAH Sanjay Bharat	BOE770	Licensed Representative	1, 4, 9
SHAM Kai Yu	BOE374	Licensed Representative	4
SHENG Xu	BOF257	Licensed Representative	4
SHIN Suk Hyun	BOF229	Licensed Representative	1
SHUM Kam Chi, Eric			
 	ADF451	Licensed Representative	6
SIT Tak Li	ADF451 BOF245	Licensed Representative Licensed Representative	6
			6 1 9
SIT Tak Li	BOF245	Licensed Representative	1
SIT Tak Li SIU Ming	BOF245 BOE955	Licensed Representative Licensed Representative	1 9
SIT Tak Li SIU Ming SIU Sing Man, Joanna	BOF245 BOE955 ADX868	Licensed Representative Licensed Representative Licensed Representative	1 9 1, 2, 4
SIT Tak Li SIU Ming SIU Sing Man, Joanna SLIM Arnaud Amaury Alex SO Lai Shan, Jennifer	BOF245 BOE955 ADX868 BOD508 AHA295	Licensed Representative Licensed Representative Licensed Representative Licensed Representative Licensed Representative	1 9 1, 2, 4 1, 2, 7
SIT Tak Li SIU Ming SIU Sing Man, Joanna SLIM Arnaud Amaury Alex SO Lai Shan, Jennifer SO Lydia Wing	BOF245 BOE955 ADX868 BOD508 AHA295 BOG389	Licensed Representative Licensed Representative Licensed Representative Licensed Representative Licensed Representative Licensed Representative	1 9 1, 2, 4 1, 2, 7 4, 9 1
SIT Tak Li SIU Ming SIU Sing Man, Joanna SLIM Arnaud Amaury Alex SO Lai Shan, Jennifer SO Lydia Wing SONG Aolin	BOF245 BOE955 ADX868 BOD508 AHA295 BOG389 BOE496	Licensed Representative Licensed Representative Licensed Representative Licensed Representative Licensed Representative Licensed Representative Licensed Representative	1 9 1, 2, 4 1, 2, 7 4, 9 1 4, 9
SIT Tak Li SIU Ming SIU Sing Man, Joanna SLIM Arnaud Amaury Alex SO Lai Shan, Jennifer SO Lydia Wing SONG Aolin SONG Lingshuang	BOF245 BOE955 ADX868 BOD508 AHA295 BOG389 BOE496 BOG262	Licensed Representative Licensed Representative Licensed Representative Licensed Representative Licensed Representative Licensed Representative Licensed Representative	1 9 1, 2, 4 1, 2, 7 4, 9 1 4, 9 1, 2
SIT Tak Li SIU Ming SIU Sing Man, Joanna SLIM Arnaud Amaury Alex SO Lai Shan, Jennifer SO Lydia Wing SONG Aolin SONG Lingshuang SONG Xiaoling	BOF245 BOE955 ADX868 BOD508 AHA295 BOG389 BOE496 BOG262 BOB922	Licensed Representative Licensed Representative Licensed Representative Licensed Representative Licensed Representative Licensed Representative Licensed Representative Licensed Representative	1 9 1, 2, 4 1, 2, 7 4, 9 1 4, 9 1, 2 1, 2 1, 4, 6
SIT Tak Li SIU Ming SIU Sing Man, Joanna SLIM Arnaud Amaury Alex SO Lai Shan, Jennifer SO Lydia Wing SONG Aolin SONG Lingshuang SONG Xiaoling SONG Xiaoling	BOF245 BOE955 ADX868 BOD508 AHA295 BOG389 BOE496 BOG262 BOB922 BBE458	Licensed Representative Licensed Representative Licensed Representative Licensed Representative Licensed Representative Licensed Representative Licensed Representative Licensed Representative Licensed Representative	1 9 1, 2, 4 1, 2, 7 4, 9 1 4, 9 1, 2 1, 4, 6 1, 4
SIT Tak Li SIU Ming SIU Sing Man, Joanna SLIM Arnaud Amaury Alex SO Lai Shan, Jennifer SO Lydia Wing SONG Aolin SONG Aolin SONG Lingshuang SONG Xiaoling SONG Xiaoling SONG Xiaoling	BOF245 BOE955 ADX868 BOD508 AHA295 BOG389 BOE496 BOG262 BOB922 BBE458 BOD682	Licensed Representative Licensed Representative Licensed Representative Licensed Representative Licensed Representative Licensed Representative Licensed Representative Licensed Representative Licensed Representative Licensed Representative	1 9 1, 2, 4 1, 2, 7 4, 9 1 4, 9 1, 2 1, 4, 6 1, 4 1, 4
SIT Tak Li SIU Ming SIU Sing Man, Joanna SLIM Arnaud Amaury Alex SO Lai Shan, Jennifer SO Lydia Wing SONG Aolin SONG Aing SONG Lingshuang SONG Xiaoling SONG Xiaoling SONG Xiaoling STEINER Fabien Angelo SU Akimi	BOF245 BOE955 ADX868 BOD508 AHA295 BOG389 BOE496 BOG262 BOB922 BBE458 BOD682 BOE421	Licensed Representative Licensed Representative	1 9 1, 2, 4 1, 2, 7 4, 9 1 4, 9 1, 2 1, 4, 6 1, 4 1, 4 1, 2, 9
SIT Tak Li SIU Ming SIU Sing Man, Joanna SLIM Arnaud Amaury Alex SO Lai Shan, Jennifer SO Lydia Wing SONG Aolin SONG Lingshuang SONG Xiaoling SONG Xiaoling SONG Xiaoling SUR Xiaoling SUR Xiaoling SUR Xiaoling SUR Xiaoling SUR Xiaoling SUR Xiaoling SUR Xiaoling SUN Jing	BOF245 BOE955 ADX868 BOD508 AHA295 BOG389 BOE496 BOG262 BOB922 BBE458 BOD682 BOE421 BOE387	Licensed Representative Licensed Representative	1 9 1, 2, 4 1, 2, 7 4, 9 1 4, 9 1, 2 1, 4, 6 1, 4 1, 4 1, 2, 9 6
SIT Tak Li SIU Ming SIU Sing Man, Joanna SLIM Arnaud Amaury Alex SO Lai Shan, Jennifer SO Lydia Wing SONG Aolin SONG Aolin SONG Lingshuang SONG Xiaoling SONG Xiaoling SONG Xiaoling STEINER Fabien Angelo SU Akimi SUN Jing SUN Mingjun	BOF245 BOE955 ADX868 BOD508 AHA295 BOG389 BOE496 BOG262 BBE458 BOD682 BOE421 BOE387 BOD873	Licensed Representative Licensed Representative	1 9 1, 2, 4 1, 2, 7 4, 9 1 4, 9 1, 2 1, 4, 6 1, 4 1, 4 1, 2, 9
SIT Tak Li SIU Ming SIU Sing Man, Joanna SLIM Arnaud Amaury Alex SO Lai Shan, Jennifer SO Lydia Wing SONG Aolin SONG Lingshuang SONG Xiaoling SONG Xiaoling SONG Xiaoling SUR Xiaoling SUR Xiaoling SUR Xiaoling SUR Xiaoling SUR Xiaoling SUR Xiaoling SUR Xiaoling SUN Jing	BOF245 BOE955 ADX868 BOD508 AHA295 BOG389 BOE496 BOG262 BOB922 BBE458 BOD682 BOE421 BOE387	Licensed Representative Licensed Representative	1 9 1, 2, 4 1, 2, 7 4, 9 1 4, 9 1, 2 1, 4, 6 1, 4 1, 4 1, 2, 9 6
SIT Tak Li SIU Ming SIU Sing Man, Joanna SLIM Arnaud Amaury Alex SO Lai Shan, Jennifer SO Lydia Wing SONG Aolin SONG Aolin SONG Lingshuang SONG Xiaoling SONG Xiaoling SONG Xiaoling STEINER Fabien Angelo SU Akimi SUN Jing SUN Mingjun	BOF245 BOE955 ADX868 BOD508 AHA295 BOG389 BOE496 BOG262 BBE458 BOD682 BOE421 BOE387 BOD873	Licensed Representative Licensed Representative	1 9 1, 2, 4 1, 2, 7 4, 9 1 4, 9 1, 2 1, 4, 6 1, 4 1, 2, 9 6 1, 4, 9
SIT Tak Li SIU Ming SIU Sing Man, Joanna SLIM Arnaud Amaury Alex SO Lai Shan, Jennifer SO Lydia Wing SONG Aolin SONG Aolin SONG Lingshuang SONG Xiaoling SONG Xiaoling SONG Xiaoling SUNG Xiaoling SUN Kimi SUN Jing SUN Mingjun SZETO Wing Ho	BOF245 BOE955 ADX868 BOD508 AHA295 BOG389 BOE496 BOG262 BOB922 BBE458 BOD682 BOE387 BOD873 BOF200	Licensed Representative Licensed Representative	1 9 1, 2, 4 1, 2, 7 4, 9 1 4, 9 1, 2 1, 4, 6 1, 4 1, 2, 9 6 1, 4, 9 1, 4, 9 1, 4, 9 1, 4, 9 1, 4, 9 1, 4
SIT Tak Li SIU Ming SIU Sing Man, Joanna SLIM Arnaud Amaury Alex SO Lai Shan, Jennifer SO Lydia Wing SONG Aolin SONG Aolin SONG Lingshuang SONG Xiaoling SONG Xiaoling SONG Xiaoling SUN Kimi SUN Fabien Angelo SU Akimi SUN Jing SUN Mingjun SZETO Wing HO TAKAHASHI Kei	BOF245 BOE955 ADX868 BOD508 AHA295 BOG389 BOE496 BOG262 BOB922 BBE458 BOD682 BOE387 BOD873 BOF200 AOQ614	Licensed Representative Licensed Representative	1 9 1, 2, 4 1, 2, 7 4, 9 1 4, 9 1, 2 1, 4, 6 1, 4 1, 2, 9 6 1, 4, 9 1, 4 4, 9
SIT Tak Li SIU Ming SIU Sing Man, Joanna SLIM Arnaud Amaury Alex SO Lai Shan, Jennifer SO Lydia Wing SONG Aolin SONG Aolin SONG Lingshuang SONG Xiaoling SONG Xiaoling SONG Xiaoling SONG Xiukui STEINER Fabien Angelo SU Akimi SUN Jing SUN Mingjun SZETO Wing Ho TAKAHASHI Kei TAM Ying Wi	BOF245 BOE955 ADX868 BOD508 AHA295 BOG389 BOE496 BOG262 BOB922 BBE458 BOD682 BOE387 BOD873 BOF200 AOQ614 BAI117	Licensed Representative	1 9 1, 2, 4 1, 2, 7 4, 9 1 4, 9 1, 2 1, 4, 6 1, 4 1, 2, 9 6 1, 4, 9 1, 4, 9 1, 4, 9 1, 2, 9 6 1, 4, 9 1, 2, 4, 9
SIT Tak Li SIU Ming SIU Sing Man, Joanna SLIM Arnaud Amaury Alex SO Lai Shan, Jennifer SO Lydia Wing SONG Aolin SONG Aolin SONG Lingshuang SONG Xiaoling SONG Xiaoling SONG Xiaoling SUN STEINER Fabien Angelo SU Akimi SUN Jing SUN Mingjun SZETO Wing Ho TAKAHASHI Kei TAM Ying Wi TAN Valerie Tsz Kwan	BOF245 BOE955 ADX868 BOD508 AHA295 BOG389 BOE496 BOG262 BOB922 BBE458 BOD682 BOE387 BOD873 BOF200 AOQ614 BAI117 BOF241	Licensed Representative	1 9 1, 2, 4 1, 2, 7 4, 9 1 4, 9 1, 2 1, 4, 6 1, 4 1, 2, 9 6 1, 4, 9 1, 4, 9 1, 4, 9 1, 2, 4, 9 1, 2, 4, 9 1, 4, 9
SIT Tak Li SIU Ming SIU Sing Man, Joanna SIU Sing Man, Joanna SLIM Arnaud Amaury Alex SO Lai Shan, Jennifer SO Lydia Wing SONG Aolin SONG Aolin SONG Lingshuang SONG Xiaoling SONG Xiaoling SUN Xiaoling SUN Kimi SUN Jing SUN Mingjun SZETO Wing Ho TAKAHASHI Kei TAM Ying Wi TAN Valerie Tsz Kwan TANAKA Chikai	BOF245 BOE955 ADX868 BOD508 AHA295 BOG389 BOE496 BOG262 BOB922 BBE458 BOD682 BOE387 BOD873 BOF200 AOQ614 BAI117 BOF241 AWO799	Licensed Representative	1 9 1, 2, 4 1, 2, 7 4, 9 1 4, 9 1, 2 1, 4, 6 1, 4 1, 2, 9 6 1, 4, 9 1, 4, 9 1, 2, 4, 9 1, 4, 9 1, 4, 9 1, 4, 9 1, 4, 9 1, 4, 9 4 4 4 4
SIT Tak Li SIU Ming SIU Sing Man, Joanna SLIM Arnaud Amaury Alex SO Lai Shan, Jennifer SO Lydia Wing SONG Aolin SONG Aolin SONG Lingshuang SONG Xiaoling SONG Xiaoling SONG Xiaoling SONG Xiakui STEINER Fabien Angelo SU Akimi SUN Jing SUN Mingjun SZETO Wing Ho TAKAHASHI Kei TAM Ying Wi TAN Valerie Tsz Kwan TANAKA Chikai TANG Chi To	BOF245 BOE955 ADX868 BOD508 AHA295 BOG389 BOE496 BOG262 BOB922 BBE458 BOD682 BOE421 BOE387 BOD733 BOF200 AOQ614 BAI117 BOF241 AWO799 BOG044	Licensed Representative Licens	1 9 1, 2, 4 1, 2, 7 4, 9 1 4, 9 1, 2 1, 4, 6 1, 4 1, 2, 9 6 1, 4, 9 1, 4, 9 1, 2, 9, 9 6 1, 4, 9 1, 4, 9 1, 4, 9 1, 4, 9 1, 4, 9 1, 4, 9 1
SIT Tak Li SIU Ming SIU Sing Man, Joanna SLIM Arnaud Amaury Alex SO Lai Shan, Jennifer SO Lydia Wing SONG Aolin SONG Aolin SONG Lingshuang SONG Xiaoling SONG Xiaoling SONG Xiaoling SONG Xiakui STEINER Fabien Angelo SU Akimi SUN Jing SUN Mingjun SZETO Wing Ho TAKAHASHI Kei TAM Ying Wi TAN Valerie Tsz Kwan TANVAL Chikai TANG Chi To TANG Chun Ki	BOF245 BOE955 ADX868 BOD508 AHA295 BOG389 BOE496 BOG262 BOB922 BBE458 BOD682 BOE421 BOE387 BOP500 AOQ614 BAI117 BOF241 AWO799 BOG044 BOF259	Licensed Representative	1 9 1, 2, 4 1, 2, 7 4, 9 1 4, 9 1, 2 1, 4, 6 1, 4 1, 2, 9 6 1, 4, 9 1, 4, 9 1, 2, 4, 9 1, 4, 9 1, 4, 9 1, 4, 9 1, 1, 4, 9 1 1, 4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURIN	1		1
CE Name	CE No.	Licence Type	Types of Regulated Activity
THAM Gui Dan	BOE566	Licensed Representative	1, 4
THETHI Amarinder Singh Ajit Singh	BDA820	Licensed Representative	1, 4, 6
TIN King	BOE896	Licensed Representative	1
TING Wing Kit, Zarus	BKA871	Licensed Representative	9
TONG Jason C Y	BNC826	Licensed Representative	6
TRISNO Merlissa Paramitha	BBU408	Licensed Representative	4
TSANG Andrew In Man	BOG056		6
		Licensed Representative	
TSANG Carol Ching Tak	BOD552	Licensed Representative	1
TSANG Chun Ki Timothy	BHF974	Licensed Representative	9
TSANG Ernest Zhi-wing	BOF243	Licensed Representative	1, 4, 9
TSANG Kai Ho	BOF507	Licensed Representative	1, 2, 3, 4
TSANG Tsz Yan	BFW666	Licensed Representative	1, 4
TSANG Wai Yan, Wayne	AIH007	Licensed Representative	9
TSE Kai Ming	BOF347	Licensed Representative	1, 2
TSUI Ho Chuen, Samson	BHB670	Licensed Representative	1, 2
TSUI Mei Fung	BOE404	Licensed Representative	1, 9
UDAGAWA Hiroshi	AVQ207	Licensed Representative	1, 4
WAN Tsun Kan	BKA500	Licensed Representative	1, 6
WANG Chun Chin	BOC709	Licensed Representative	9
WANG Dien	BOE236	Licensed Representative	4
WANG Fan	BOE906	Licensed Representative	1, 4, 6
WANG Jingqin	BOE123	Licensed Representative	6
WANG Jun	BOG384	Licensed Representative	10
WANG Letian	BOC069	Licensed Representative	4, 9
WANG Linjie	BEQ920	Licensed Representative	6
WANG Mingzan	BOD845	Licensed Representative	1, 4, 6
WANG Ruokun	BOE567	Licensed Representative	1, 4
WANG Siyan	BOE433	Licensed Representative	1, 2, 4
WANG Tian	BOF904	Licensed Representative	1, 4
WANG Zhen	BOC036	Licensed Representative	4
WEI Dejun	BOE745	Licensed Representative	1, 6
WEYNS Guy Joseph M.	BLM858	Licensed Representative	4
WIBOWO Matthew	BOF909	Licensed Representative	1
WONG Calvin Chee Jun	AYF520	Licensed Representative	4
WONG Chin Ho	BNY278	Licensed Representative	6
WONG Gabrielle	BOE457	Licensed Representative	1,6
WONG Ho Ki, Nick	ADR217	Licensed Representative	1, 2
WONG Ho Yin	BOG383	Licensed Representative	10
WONG Hoi Ying Christy	BOC582	Licensed Representative	1, 4
WONG Kar Fai Alan	BEA777	Licensed Representative	1, 4, 6
WONG Long Hin Ambrose	BOF441	Licensed Representative	1, 4
WONG Man Chiu	BOF490	Licensed Representative	1, 4
WONG Man Hei Katrina	BOD930	Licensed Representative	1
WONG Man Tai	BGY959	Licensed Representative	1
WONG Ming Yeung, Anthony	BOG409	Licensed Representative	1
WONG Sau Yip	BOG792	Licensed Representative	1
WONG Tsz Fung	BOE233	Licensed Representative	1
WONG Tung Chie	AEN615		
		Licensed Representative	1, 4, 6
WONG Wai Ching	BLI488	Licensed Representative	1, 2
WONG Wai Yee Betty	BOE526	Licensed Representative	1, 4
WONG Yan Kei Rachel	BOC693	Licensed Representative	1
WU Hsiang Yun	BOE758	Licensed Representative	1, 4, 9
WU Jiajia	BMH821	Licensed Representative	1
WU Kiu Fung	APJ914	Licensed Representative	1, 2, 9
WU Mengqi	BGJ341	Licensed Representative	1, 4, 9
WU Tianhao	BOF052	Licensed Representative	1, 6
		Licensed Representative	1
IWU Xi	BOE603		1.
WU Xi	BOE603	Liconsod Boprosoptativa	4.0
WU Xiyang	BFB077	Licensed Representative	4, 9
WU Xiyang WU Yen Sheng	BFB077 BMG512	Licensed Representative	4, 9
WU Xiyang WU Yen Sheng WU Yichao	BFB077 BMG512 AVI107	Licensed Representative Licensed Representative	4, 9 4, 9
WU Xiyang WU Yen Sheng	BFB077 BMG512	Licensed Representative	4, 9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURI			
CE Name	CE No.	Licence Type	Types of Regulated Activity
XIA Danyi	BOE213	Licensed Representative	3
XIA Yuezhu	BOB258	Licensed Representative	10
XIAO Senhan	BOE455	Licensed Representative	1, 4
XIE Wangqin	BOE435	Licensed Representative	1, 6
XIE Zhiqiu	BOH179	Licensed Representative	1, 4, 9
XIONG Wenyu	BOF732	Licensed Representative	1, 6
XU Lan	BOE103	Licensed Representative	1, 4
XU Lu	BOC876	Licensed Representative	1, 4, 6
XU Pei	BOC286	Licensed Representative	1, 6
XU Shaohong, Alex	ARG166	Licensed Representative	1, 4
XU Xinru	BOG497	Licensed Representative	1, 2, 9
XU Yizhe	BOF546	Licensed Representative	1,6
YAN Charlie Cong	BOG407	Licensed Representative	1
YANG Buyun	BDQ418	Licensed Representative	1, 4, 6
YANG Daidong	BOG279	Licensed Representative	4
•			
YANG Jieyu	BOE258	Licensed Representative	1,6
YANG William Wenhan	BOE633	Licensed Representative	1, 4
YANG Yen-Ju	ARV136	Licensed Representative	1, 2
YANG Yuwen	BOD549	Licensed Representative	1
YANG Zuhua	BOF048	Licensed Representative	1, 4, 6
YE Bo	BOD134	Licensed Representative	9
YE Qingxin	BFZ056	Licensed Representative	9
YE Yile	BOD968	Licensed Representative	1
YE Yuhan	BOG321	Licensed Representative	4
YEUNG Sze Win	BOD824	Licensed Representative	1
YI Shilei	BOF339	Licensed Representative	4, 5
YONEYA Taichi	BOF973	Licensed Representative	9
YOON Seok Bae	BCA484	Licensed Representative	1, 4
YOSHIOKA Takahiro	BOD267	Licensed Representative	9
YU Adrian Wing Tak	BLW778	Licensed Representative	1
YU Jia	BJQ980	Licensed Representative	4
YU Jiaolong	BOE957	Licensed Representative	1, 2, 4
•	AFZ615	Licensed Representative	
YU Lai Man, Tonnie			1, 4
YU Xinning	BOE725	Licensed Representative	1, 2, 7
YUE Ho Kei	AFJ021	Licensed Representative	1
YUE Siu Chun	BKB839	Licensed Representative	9
YUEH Mu Hua	BOE261	Licensed Representative	1, 4
YUEN Ronald	BOE223	Licensed Representative	6
YUEN Tak Wai, Alexander	ASP425	Licensed Representative	1, 4, 9
YUM Hoi Ling	BOD648	Licensed Representative	1, 4
ZENG Fanyuan	BOD551	Licensed Representative	1, 6
ZHAN Ni	BOF583	Licensed Representative	4
ZHANG Beibei	BOE216	Licensed Representative	1, 2, 4
ZHANG Chong	BOF047	Licensed Representative	1, 4, 6
ZHANG Hongjun	BOE873	Licensed Representative	2
ZHANG Jiabin	ARA230	Licensed Representative	1, 4
ZHANG Jiang	BAU245	Licensed Representative	4
ZHANG Lei	BOF318	Licensed Representative	1, 4
ZHANG Lei	BOE538	Licensed Representative	6
ZHANG Kenyong ZHANG Wenhui	BOE558	Licensed Representative	1,6
ZHANG Xiaoyi	BOF077	Licensed Representative	1,6
ZHANG Yiting	BOF097	Licensed Representative	1, 2
ZHAO Bingnan	BOF532	Licensed Representative	4
ZHAO Di	BOG045	Licensed Representative	1, 4, 9
ZHAO Jiaqi	BOE936	Licensed Representative	1, 6
	DOESSO		
ZHAO Jinghe	BOC694	Licensed Representative	1
•		Licensed Representative Licensed Representative	1 1, 4, 6
ZHAO Jinghe	BOC694		1 1, 4, 6 6
ZHAO Jinghe ZHAO Kang	BOC694 BOG308	Licensed Representative	
ZHAO Jinghe ZHAO Kang ZHAO Meiling	BOC694 BOG308 BOE386	Licensed Representative Licensed Representative	6
ZHAO Jinghe ZHAO Kang ZHAO Meiling ZHAO Qingling	BOC694 BOG308 BOE386 BOA539	Licensed Representative Licensed Representative Licensed Representative	6 2, 5



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURIN			
CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHOU Fan	BOF086	Licensed Representative	1
ZHOU Jingdong	BNY670	Licensed Representative	1, 4, 6
ZHU Biyun	BOE742	Licensed Representative	1, 4, 6
ZHU Kai	BOE523	Licensed Representative	1, 4, 9
ZHU Minyue	AUE243	Licensed Representative	1, 2, 4
ZHU Rongzhen	BMP062	Licensed Representative	1, 2
ZHU Yuhua	BLV919	Licensed Representative	1, 4, 9
ZHUO Ruolin	BOF162	Licensed Representative	1, 4
ZOU Chengwei	BOE210	Licensed Representative	4, 9
BELIN Karena Uta	BLO838	Licensed Representative - Responsible Officer	1, 4
CHAN Ramand Wai Ming	ANS053	Licensed Representative - Responsible Officer	1, 4, 9
CHAN Sing Ho, Joseph	AAI566	Licensed Representative - Responsible Officer	4, 9
CHAN Tsang	AOR054	Licensed Representative - Responsible Officer	9
CHAN Yan Wing, Andy	ADJ208	Licensed Representative - Responsible Officer	2,5
CHAWDHRY Ajay Sankar	BNR801	Licensed Representative - Responsible Officer	1, 4
CHEN Geng	AOY114	Licensed Representative - Responsible Officer	9
CHENG Xiongfei	BNL345	Licensed Representative - Responsible Officer	9
CHIEW Bee Geok Alina	ALA864	Licensed Representative - Responsible Officer	1
CORTINA Faustino	BNR806	Licensed Representative - Responsible Officer	1, 4
DASANI Hiren Harishbhai	BOA473	Licensed Representative - Responsible Officer	1, 4, 9
FARZAM Karen Germaine Marie	BLO836	Licensed Representative - Responsible Officer	1, 4
GHUMAN Jagdeep Singh	BNV380	Licensed Representative - Responsible Officer	9
HO Wang Wai	BNZ088	Licensed Representative - Responsible Officer	9
HU Letian	BDI847	Licensed Representative - Responsible Officer	1, 4, 9
JE Siu Ming	BGX730	Licensed Representative - Responsible Officer	1, 4, 9
JIANG Songcheng	BKD806	Licensed Representative - Responsible Officer	4, 9
KIM Dongil	AOP098		1, 4
		Licensed Representative - Responsible Officer	
KIM Su Yi	BNX513	Licensed Representative - Responsible Officer	1
KINGCOTT Jacqueline	BNU910	Licensed Representative - Responsible Officer	4, 9
KODA Ritsubun	AGE030	Licensed Representative - Responsible Officer	1, 4, 9
KUANG Xiaoming	BNW127	Licensed Representative - Responsible Officer	1, 4, 9
KWOK Dik Sze, Daisy	ALJ957	Licensed Representative - Responsible Officer	1, 4
LAN Shunxiang	ANW227	Licensed Representative - Responsible Officer	9
LAU Chun Sing	ANQ367	Licensed Representative - Responsible Officer	9
LEE Theodore Frederick	AFT555	Licensed Representative - Responsible Officer	1
LI Wanli	ARV790	Licensed Representative - Responsible Officer	1
LIU Chun Ho, Justin	ANQ253	Licensed Representative - Responsible Officer	4, 9
LIU Louis Feng	BNC928	Licensed Representative - Responsible Officer	1
LIU Xi	AUQ721	Licensed Representative - Responsible Officer	9
LO Kam Yan, Helen	AOS365	Licensed Representative - Responsible Officer	4, 9
LOVEDAY Damien	BNV092	Licensed Representative - Responsible Officer	1
MOSHER Matthew Craig	BGT025	Licensed Representative - Responsible Officer	10
MURATA Takashi	BOA247	Licensed Representative - Responsible Officer	1, 2
MURATA Yukihisa	BNY037	Licensed Representative - Responsible Officer	1, 4
NG Siu Fai, Stephen	ATX477	Licensed Representative - Responsible Officer	1, 4, 9
ONG Hsao Fung, Kelvin	BNY626	Licensed Representative - Responsible Officer	9
ORR Joseph Wai Shing	AHF354	Licensed Representative - Responsible Officer	9
PARKER Jawan Shamel	BGJ234	Licensed Representative - Responsible Officer	9
PAU Tin Fung, Stephen	AJB414	Licensed Representative - Responsible Officer	4, 9
PHUA Yong Gim Jimmy	ARD614	Licensed Representative - Responsible Officer	1
PIDDEN Andrew Frederick Peter	ABR702	Licensed Representative - Responsible Officer	1, 4, 9
POON Ho Ming Samuel	BBQ618	Licensed Representative - Responsible Officer	4, 9
QIAN Hua	BME617	Licensed Representative - Responsible Officer	1, 4, 9
RANA Manjot	BNX521	Licensed Representative - Responsible Officer	1
SITTAS Georgios	BDO990	Licensed Representative - Responsible Officer	9
SU Zhao	BNX515	Licensed Representative - Responsible Officer	1
SUN Kin Nam, Jenny	AGZ149	Licensed Representative - Responsible Officer	9
TANDIONO Agus	ADB362	Licensed Representative - Responsible Officer	1
TIEN Joseph Chi	BAV205	Licensed Representative - Responsible Officer	1
TSO Yan Yee	ACD710	Licensed Representative - Responsible Officer	1, 4, 9
	1		
WANG Jing	AUQ482	Licensed Representative - Responsible Officer	1
WANG Jing WAT Chi Ming	AUQ482 ADU895	Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURIN	G 04/2019	I	
CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Pui Lun, Alan	ALN588	Licensed Representative - Responsible Officer	4, 9
YU Shusheng	BNV525	Licensed Representative - Responsible Officer	9
YUE Wei	ANY425	Licensed Representative - Responsible Officer	1, 4, 6
ZHANG Yi, Kevin	ACN914	Licensed Representative - Responsible Officer	4, 9
ZHANG Yuhuan	BOA169	Licensed Representative - Responsible Officer	9
ZHOU Yunfu	AWD552	Licensed Representative - Responsible Officer	9
BASSOLINO Gaetano	BNW211	Licensed Representative (Provisional Licence)	6
		,	
BEAK Russell Stuart	BOF484	Licensed Representative (Provisional Licence)	1, 4, 9
BEDNARSKI Derek Joseph Lee	BKA610	Licensed Representative (Provisional Licence)	9
BHAGAT Abbas Taher	BOG354	Licensed Representative (Provisional Licence)	1, 4, 6
BO Qiaochu	AZJ491	Licensed Representative (Provisional Licence)	1, 6
BROWNELL James Llewellyn	BOI146	Licensed Representative (Provisional Licence)	1, 2
BUCKBY Guy Christopher	BOG073	Licensed Representative (Provisional Licence)	1, 4
BUCKINGHAM Geraldine Joyce	BNY930	Licensed Representative (Provisional Licence)	1, 2, 4, 6, 9
BURMAN Nilisha	BOG729	Licensed Representative (Provisional Licence)	1
BUSIRI Muhammad Zakky	BOG749	Licensed Representative (Provisional Licence)	1, 2
BUT Ming Sze	BOG288	Licensed Representative (Provisional Licence)	4,9
	BOF908		
CAI Yuxiang		Licensed Representative (Provisional Licence)	1, 4, 6
CAO Jian	BOG527	Licensed Representative (Provisional Licence)	4, 9
CHAN Chi Wing	BOG901	Licensed Representative (Provisional Licence)	1
CHAN Ka Ho	BBI021	Licensed Representative (Provisional Licence)	1, 2
CHAN Kwan Yin	BNY274	Licensed Representative (Provisional Licence)	1, 2
CHAN Lily	BOF423	Licensed Representative (Provisional Licence)	1
CHAN Ramand Wai Ming	ANS053	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Ryan Yin Chun	BOF797	Licensed Representative (Provisional Licence)	1, 2
CHAN Shih Huai	BOH184	Licensed Representative (Provisional Licence)	9
CHAN Tin Ki	BOG714	Licensed Representative (Provisional Licence)	1, 4
CHAN Wing Lam	BKJ927	Licensed Representative (Provisional Licence)	1, 4, 6
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CHANG Gary Yu Chia	BEM480	Licensed Representative (Provisional Licence)	9
CHAO Yee Hung	BOG041	Licensed Representative (Provisional Licence)	1, 4
CHEN Li	BOF799	Licensed Representative (Provisional Licence)	1, 6
CHEN Po-Liang	BOG416	Licensed Representative (Provisional Licence)	9
CHEN Tzu Yuan	BOG778	Licensed Representative (Provisional Licence)	4, 9
CHEN Wen	BOF580	Licensed Representative (Provisional Licence)	1
CHEN Zhe	BOG853	Licensed Representative (Provisional Licence)	1, 2
CHEN Zhenhong	BOG688	Licensed Representative (Provisional Licence)	1, 4
CHEN Zhexu	BOG046	Licensed Representative (Provisional Licence)	1, 4
CHENG Wanting	BOF396	Licensed Representative (Provisional Licence)	1,2
CHETWODE Sharmini Priya	BOG864	Licensed Representative (Provisional Licence)	1, 4
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CHEUK Hiu Shui Akiho Amy	BOH326	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Kam Wai	AWL269	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Kevin	BOI082	Licensed Representative (Provisional Licence)	4, 9
CHEUNG Kin Hang, Kenneth	BEW358	Licensed Representative (Provisional Licence)	1
CHIA Jonathan Kuen Hung	BOG760	Licensed Representative (Provisional Licence)	1, 4, 9
CHIANG Kuan-ting	BOH028	Licensed Representative (Provisional Licence)	1
CHONG Wing Hong	BKN190	Licensed Representative (Provisional Licence)	1
CHOW Chi Wai	BOG069	Licensed Representative (Provisional Licence)	6
CHOW Ting Fai, Stephen Benny	ANE749	Licensed Representative (Provisional Licence)	9
CHUM Po San	AQE973	Licensed Representative (Provisional Licence)	1
CHUNG Tin Lok			
	BOH049	Licensed Representative (Provisional Licence)	6
COPERTI Giulio Giacomo	BOG205	Licensed Representative (Provisional Licence)	6
DAI Chunhua	BOI339	Licensed Representative (Provisional Licence)	6
DAI Gaogeng	BOD913	Licensed Representative (Provisional Licence)	1, 2
DAN Hiroyuki	BOF345	Licensed Representative (Provisional Licence)	1, 4, 6
DANG Xinlong	BOH002	Licensed Representative (Provisional Licence)	1, 4
DEGOY Olivier Jean- Baptiste Marie	BOH045	Licensed Representative (Provisional Licence)	1, 2
DENG Ziyu	BOI116	Licensed Representative (Provisional Licence)	1, 4, 6
DING Mao	BGI315	Licensed Representative (Provisional Licence)	1
DING Mao DUAN Lian	BGI315 BOH861	Licensed Representative (Provisional Licence)	
DUAN Lian	BOH861	Licensed Representative (Provisional Licence)	4
DUAN Lian FAN Chik Chuen, Daniel	BOH861 AAY753	Licensed Representative (Provisional Licence) Licensed Representative (Provisional Licence)	4 1, 4
DUAN Lian	BOH861	Licensed Representative (Provisional Licence)	4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DU		··· -	
CE Name	CE No.	Licence Type	Types of Regulated Activity
FENG Xue	AQA574	Licensed Representative (Provisional Licence)	1, 6
FUKUSHIMA Teruhisa	BOC947	Licensed Representative (Provisional Licence)	1
FUQUA Joseph Ben	BOF969	Licensed Representative (Provisional Licence)	9
GALVIN Simon Stephen	AXY950	Licensed Representative (Provisional Licence)	6
GAO Xu	BOH068	Licensed Representative (Provisional Licence)	1, 4
GONG Yue	BOH928	Licensed Representative (Provisional Licence)	1, 4, 9
GONG Yuelai	BOI129	Licensed Representative (Provisional Licence)	4
GOTO Masahiro	BOG007	Licensed Representative (Provisional Licence)	1, 4, 6
GU Siyu	BOG548	Licensed Representative (Provisional Licence)	1, 6
GUO Weiwei	BOG386	Licensed Representative (Provisional Licence)	1, 2, 4, 5
GUPTA Pooja	BIC159	Licensed Representative (Provisional Licence)	9
HADIWIDJOJO Pramadwiandika	BEV992	Licensed Representative (Provisional Licence)	1
HAN Bowen	BHV171	Licensed Representative (Provisional Licence)	1, 4
HAO Xingzhou	BOH484	Licensed Representative (Provisional Licence)	1, 4, 6
HARASHIMA Kenji	APB637	Licensed Representative (Provisional Licence)	1, 2
HE Bitao	BOF744	Licensed Representative (Provisional Licence)	1
HE Xiaoshen	BOF577	Licensed Representative (Provisional Licence)	1, 2
HENELY Lee Paul	BHH489	Licensed Representative (Provisional Licence)	1, 4, 6
HENELY Lee Paul HENG Chi Hao Derrick		, ,	4
	BOH556	Licensed Representative (Provisional Licence)	
HINDLE Leon Miller	AIN992	Licensed Representative (Provisional Licence)	9
HO Cheuk Kei	BIS728	Licensed Representative (Provisional Licence)	1, 4
HO Kenneth Kar-hin	BOG656	Licensed Representative (Provisional Licence)	1, 4
HO Pui Sai, Josephine	BOG569	Licensed Representative (Provisional Licence)	1, 2
HOU Yiyun	BIU737	Licensed Representative (Provisional Licence)	1
HSU Chun-Yuan	ASD142	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
HUANG Guanyu	BOF489	Licensed Representative (Provisional Licence)	1, 4, 6
HUANG Hongjiang	BOH725	Licensed Representative (Provisional Licence)	1, 2
HUANG JIN	BOF429	Licensed Representative (Provisional Licence)	1, 6
HUANG Lijie	BOG075	Licensed Representative (Provisional Licence)	1, 9
HUANG Wei	AVF558	Licensed Representative (Provisional Licence)	9
HUANG Xin	BEK861	Licensed Representative (Provisional Licence)	1, 2
HUANG Yi	BOG447	Licensed Representative (Provisional Licence)	1, 6
HUANG Yilong	BOG576	Licensed Representative (Provisional Licence)	1, 4
HUMAN Brandt Van Aardt	BOF850	Licensed Representative (Provisional Licence)	1
IGARASHI Akihiro	BOG495	Licensed Representative (Provisional Licence)	1, 4, 6
P Hon Lam, Hiram	AIB932	Licensed Representative (Provisional Licence)	1, 4
SKANDAR Boris	BOG068	Licensed Representative (Provisional Licence)	1,2
TO Shunsuke	BOG068 BOG750		
		Licensed Representative (Provisional Licence)	1, 4, 6
VANOVSKA Violeta Dimitrova	BOG079	Licensed Representative (Provisional Licence)	1
JAIN Purvi	BOG860	Licensed Representative (Provisional Licence)	1, 2
JIANG Da	BOG352	Licensed Representative (Provisional Licence)	1, 4, 6
JIANG Shangnan	BOH532	Licensed Representative (Provisional Licence)	1, 2
JIANG Yann	BOF574	Licensed Representative (Provisional Licence)	1, 4, 6
KAN Pui Yee	BOH927	Licensed Representative (Provisional Licence)	1, 4
KIM Young Wook	BOF298	Licensed Representative (Provisional Licence)	2, 5
KO Sze Chai, Joyce	BOD909	Licensed Representative (Provisional Licence)	1, 4, 9
KONG Tik Yee	BOH721	Licensed Representative (Provisional Licence)	1, 4
KOO Hung Jun, Lawrence	ADL513	Licensed Representative (Provisional Licence)	1, 4
KUAN Sai In	BOE912	Licensed Representative (Provisional Licence)	1, 4, 6
KUO Cheung	ACZ555	Licensed Representative (Provisional Licence)	9
KURIHARA Yuji	BOF765	Licensed Representative (Provisional Licence)	1, 4, 6
KWON Taeyoun	BOF420	Licensed Representative (Provisional Licence)	1
-Al Chun Wai	BCE967	Licensed Representative (Provisional Licence)	1, 4
Al Chun Ho	BOH524	Licensed Representative (Provisional Licence)	1
			1
Al Kam Hei	BOG573	Licensed Representative (Provisional Licence)	4
Al Mei Han	BCZ362	Licensed Representative (Provisional Licence)	1
_AM Kwok Wai	BOF116	Licensed Representative (Provisional Licence)	1
_AM Yuen Hei	AXF651	Licensed Representative (Provisional Licence)	9
	5.01/0.5/	Licensed Representative (Provisional Licence)	1, 2
AU Cheuk Yin, Jacqueline	BGY951		
	BGY951 BFK205	Licensed Representative (Provisional Licence)	1, 4
LAU Cheuk Yin, Jacqueline LAU Gwen LAU Kai Chung Kelvin			1, 4 1, 2, 4, 5



CF NomCF No.Lensor TypeType StragulateCA Win NameB01525Usersort Representative (Provisional Listerce)1LAW AkasB01471Usersort Representative (Provisional Listerce)1LAW YakasB01472Usersort Representative (Provisional Listerce)1LAW YakasB00423Usersort Representative (Provisional Listerce)1LE Gring InformationB00424Usersort Representative (Provisional Listerce)1LE Cring InformationB01424Usersort Representative (Provisional Listerce)1LE Cring InformationB01477Usersort Representative (Provisional Listerce)1LE Cring InformationB01478Usersort Representative (Provisional Listerce)1LE Cring InformationB01471Usersort Representative (Provisional Listerce)1LE Cring InformationB01472Usersort Representative (Provisional Listerce)1LE TotalinB01473Usersort Representat	
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NG Yu Hin John ATW740 Licensed Representative (Provisional Licence) 1, 4	
NGAN Tsung Wen, Melody AJL556 Licensed Representative (Provisional Licence) 6	
NIE Tianyuan BOG396 Licensed Representative (Provisional Licence) 4, 9	
NIETSCH Eric Clark BIA055 Licensed Representative (Provisional Licence) 10	



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURIN	G 04/2019		
CE Name	CE No.	Licence Type	Types of Regulated Activity
NOUJAIM Serge	BOH043	Licensed Representative (Provisional Licence)	1, 2
OBERG Daniel Geoffrey	BOF792	Licensed Representative (Provisional Licence)	1, 4
ONO Takaaki	BOH802	Licensed Representative (Provisional Licence)	1, 4
ORR Joseph Wai Shing	AHF354	Licensed Representative (Provisional Licence)	9
PARK Bum Chul	BOG746	Licensed Representative (Provisional Licence)	1, 4
POON Chun Ning, Linus	BJO277	Licensed Representative (Provisional Licence)	1, 2
QI Nan	BIC343	Licensed Representative (Provisional Licence)	1, 4
SCHULZ Kristan	BOG774	Licensed Representative (Provisional Licence)	1, 2
SHARMA Saumya	BOG275	Licensed Representative (Provisional Licence)	1, 4, 6
SHEN Hanxiao	BOI232	Licensed Representative (Provisional Licence)	4
SHEN Tianyi	BOH680	Licensed Representative (Provisional Licence)	4, 9
SHEN Zuiyi	BOG697	Licensed Representative (Provisional Licence)	1, 4, 9
SHI Feng	BOG670	Licensed Representative (Provisional Licence)	1, 4, 6
SHIMIZU Akiko	BOG502	Licensed Representative (Provisional Licence)	1, 4, 6
SHIRAIWA Chiyuki	BOG725	Licensed Representative (Provisional Licence)	1, 4
SHUM Kam Chi, Eric	ADF451	Licensed Representative (Provisional Licence)	6
SIT Chi Hang	APK619	Licensed Representative (Provisional Licence)	1, 2
SMITH Andrew Peter	BOH855	Licensed Representative (Provisional Licence)	1, 2
SONG Jin	BOH029	Licensed Representative (Provisional Licence)	1
SONG Lingshuang	BOG262	Licensed Representative (Provisional Licence)	1, 2
SONG Xiukui	BBE458	Licensed Representative (Provisional Licence)	1, 4
STIMSON Mark Grant	APK595	Licensed Representative (Provisional Licence)	1, 4, 6
SUEN Wo Ping, Shofia	AFU310	Licensed Representative (Provisional Licence)	1, 2
SUN Lian	BOF968	Licensed Representative (Provisional Licence)	9
SUN Yu	BOH047	Licensed Representative (Provisional Licence)	1, 6
SZE Wing Man	BOH847	Licensed Representative (Provisional Licence)	1
TAI Wing Sum, Winson	AOV063	Licensed Representative (Provisional Licence)	9
TAM Siu Ling	BOF193	Licensed Representative (Provisional Licence)	1, 6
TANAKA Chikai	AWO799	Licensed Representative (Provisional Licence)	4
TANG Chung Shun, Isaac	BOF043	Licensed Representative (Provisional Licence)	2, 5
TANG Sing Lung	BMW207	Licensed Representative (Provisional Licence)	4, 9
TANG Vivian Lok Sze	BOH648	Licensed Representative (Provisional Licence)	1, 4, 9
TEO Zhaoxiong Kelvin	BOC268	Licensed Representative (Provisional Licence)	1, 4, 9
THONG Bo Wei	BOH796	Licensed Representative (Provisional Licence)	1,4
TJIA Novita	BOG817	Licensed Representative (Provisional Licence)	1
TU Zhengfeng	BOF927	Licensed Representative (Provisional Licence)	1, 4, 6
UEMURA Tai	BOH784	Licensed Representative (Provisional Licence)	1
ULPO Lodevico Jr. Mabunga	BOH399	Licensed Representative (Provisional Licence)	4, 9
URQUIDI Pedro	ADU888	Licensed Representative (Provisional Licence)	1, 4, 9
VASILESCU Laurent Andre	BOG562	Licensed Representative (Provisional Licence)	4
WANG Changhao	BOF494	Licensed Representative (Provisional Licence)	1, 4
WANG Changli	BOI236	Licensed Representative (Provisional Licence)	1
WANG Fan	BOE906	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Fei	BOG390	Licensed Representative (Provisional Licence)	1
WANG Han	BOH007	Licensed Representative (Provisional Licence)	4
WANG Juntao	BOI064	Licensed Representative (Provisional Licence)	1
WANG Ling Yun	BOH801	Licensed Representative (Provisional Licence)	1, 4
WANG Lu	AXX186	Licensed Representative (Provisional Licence)	1, 4
WANG Tian	BOF904	Licensed Representative (Provisional Licence)	1, 4
WANG Tsai Yun	ARF694	Licensed Representative (Provisional Licence)	1
WANG Yong	BOG558	Licensed Representative (Provisional Licence)	1, 4
WANG Zhihe	BOG689	Licensed Representative (Provisional Licence)	1
WEE Li Feng, Janice	BOG446	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Adrian Kin Man	BOH818	Licensed Representative (Provisional Licence)	1, 2
WONG Cheuk Man Clement	BOG762	Licensed Representative (Provisional Licence)	1, 2, 3, 4, 5
WONG Chi Leong	BOH572	Licensed Representative (Provisional Licence)	1, 2, 3, 4
WONG Ching Wai	BOG074	Licensed Representative (Provisional Licence)	1
WONG Chun Man	BOH369	Licensed Representative (Provisional Licence)	1, 2
WONG Hiu Chun	BBA877	Licensed Representative (Provisional Licence)	1
WONG Ho Ki, Nick	ADR217	Licensed Representative (Provisional Licence)	1, 2
WONG Ho Cheung	BOH836	Licensed Representative (Provisional Licence)	1, 4, 9
	BOH836 BOH714	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Ka Lok			1.1.43



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS		L	
CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Ken	BDG904	Licensed Representative (Provisional Licence)	1, 4
WONG Lung Chun	BOI020	Licensed Representative (Provisional Licence)	1, 4
WONG Ming Yeung, Anthony	BOG409	Licensed Representative (Provisional Licence)	1
WONG Mo Pun	BOG491	Licensed Representative (Provisional Licence)	1
WONG Nga Yan	BOG151	Licensed Representative (Provisional Licence)	1, 2, 9
WONG Sze Ngar	AQS366	Licensed Representative (Provisional Licence)	1
WONG Yik Man	BKN823	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Yuen Ling Sheila	BOH517	Licensed Representative (Provisional Licence)	1, 4
WONK-URAI Weerapat	BOH307	Licensed Representative (Provisional Licence)	4
WU Jiaying	BOF644	Licensed Representative (Provisional Licence)	1, 4, 9
WU Jing	BOA536	Licensed Representative (Provisional Licence)	9
WU Yaguang	BOH365	Licensed Representative (Provisional Licence)	1, 4, 6
WU Ziyin	BMV591	Licensed Representative (Provisional Licence)	1
XIA GUANGMIN	BOE427	,	
		Licensed Representative (Provisional Licence)	4, 9
XIAO Xiao	BOH759	Licensed Representative (Provisional Licence)	4
XIONG Yangli	BLY016	Licensed Representative (Provisional Licence)	10
XU Chanjuan	BOI213	Licensed Representative (Provisional Licence)	1, 4
XU Keyue	BOH251	Licensed Representative (Provisional Licence)	1,6
XU Lu	AYE980	Licensed Representative (Provisional Licence)	1
XU Xinru	BOG497	Licensed Representative (Provisional Licence)	1, 2, 9
YAMAGUCHI Ryunosuke	BOF594	Licensed Representative (Provisional Licence)	1, 2
YANG Yiwen	BOH230	Licensed Representative (Provisional Licence)	1, 4
YANG Zhao	BOC022	Licensed Representative (Provisional Licence)	1, 2
YANG Zuhua	BOF048	Licensed Representative (Provisional Licence)	1, 4, 6
YATSU Naoki	BOH697	Licensed Representative (Provisional Licence)	1, 9
YE Yuhan	BOG321	Licensed Representative (Provisional Licence)	4
YEUNG Ka Ho	BOI246	Licensed Representative (Provisional Licence)	1, 4
YONG Jocelyn Huey Yee	BFJ362	Licensed Representative (Provisional Licence)	1, 4, 6
YOON Seok Bae	BCA484	Licensed Representative (Provisional Licence)	1, 4
YU Feng	BOF949	Licensed Representative (Provisional Licence)	4, 9
YU Na	BOG685	Licensed Representative (Provisional Licence)	10
		,	
YUAN Jing	BOI253	Licensed Representative (Provisional Licence)	6
YUE Ho Kei	AFJ021	Licensed Representative (Provisional Licence)	1
YUEN Man In	BOH542	Licensed Representative (Provisional Licence)	1, 4, 9
YUEN Tsz Lung	ATI409	Licensed Representative (Provisional Licence)	1, 2
YUN Tsz Chun	BOH294	Licensed Representative (Provisional Licence)	1, 2
ZENG Zhihui	BOH860	Licensed Representative (Provisional Licence)	4
ZHANG Chi	BOE432	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Huizhong	BOH197	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Jiang	BAU245	Licensed Representative (Provisional Licence)	4
ZHANG Ruxin	BOH822	Licensed Representative (Provisional Licence)	4
ZHANG Shuqin	BOH321	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Yiwen	BOG776	Licensed Representative (Provisional Licence)	1, 2
ZHANG Yuehua	BOG559	Licensed Representative (Provisional Licence)	1, 2
ZHAO Co Co Ke	BOH322	Licensed Representative (Provisional Licence)	1,6
ZHAO Wei	BEE813	Licensed Representative (Provisional Licence)	4, 9
ZHAO Xiaorui	BOH225	Licensed Representative (Provisional Licence)	1, 4
ZHAO Yiaoful		Licensed Representative (Provisional Licence)	
	AXD838	,	1, 6
ZHAO Yu Qian, Rachel	AKI199	Licensed Representative (Provisional Licence)	4
ZHOU Jingdong	BNY670	Licensed Representative (Provisional Licence)	1, 4, 6
ZHOU Jun	BOH662	Licensed Representative (Provisional Licence)	4, 9
ZHU Qian	BOG200	Licensed Representative (Provisional Licence)	4, 9
ZHU Qin	BOH821	Licensed Representative (Provisional Licence)	4, 9
ZHU Shuai	BOG690	Licensed Representative (Provisional Licence)	4
ZHU Yixiao	BOG754	Licensed Representative (Provisional Licence)	1, 4
ZHU Yunlong	BOF634	Licensed Representative (Provisional Licence)	10
ZU Guopeng	AYV659	Licensed Representative (Provisional Licence)	4
	BIJ836	Licensed Representative (Temporary Licence)	1
ARCHIBALD Stuart James			
ARCHIBALD Stuart James BARRINEAU James William	BAR106	Licensed Representative (Temporary Licence)	1
	BAR106 BOG064		4
BARRINEAU James William		Licensed Representative (Temporary Licence) Licensed Representative (Temporary Licence) Licensed Representative (Temporary Licence)	



CE Name BYRNE James Robert CHANDRAN Prashant CHEN Yen-Hung CHHUGANI Gautam Prakash	CE No. BNP385 BEQ178	Licence Type Licensed Representative (Temporary Licence)	Types of Regulated Activity 4
CHANDRAN Prashant CHEN Yen-Hung		Licensed Representative (Temporary Licence)	4
CHEN Yen-Hung	BEO178		
		Licensed Representative (Temporary Licence)	1
CHHUGANI Gautam Prakash	BOG521	Licensed Representative (Temporary Licence)	1
	BIO676	Licensed Representative (Temporary Licence)	4
CHO Chulhee	BEX932	Licensed Representative (Temporary Licence)	4
CHOI Hongkyu	BOG980	Licensed Representative (Temporary Licence)	1
CONDE Lisa Ann Myers	BOG084	Licensed Representative (Temporary Licence)	1, 2
ENOMOTO Takashi	BAZ545	Licensed Representative (Temporary Licence)	4
EZAWA Kota	BDY402	Licensed Representative (Temporary Licence)	4
FELICES SAAVEDRA Guillermo Jose	BOF312	Licensed Representative (Temporary Licence)	4
GARRE Venugopal	AML716	Licensed Representative (Temporary Licence)	4
	BNK022		
GEORGE Jacob		Licensed Representative (Temporary Licence)	1, 2
GOYAL Megha	BKR107	Licensed Representative (Temporary Licence)	1
GREEN Nicholas James	BHT971	Licensed Representative (Temporary Licence)	4
GREENBURG Evan David	BMQ334	Licensed Representative (Temporary Licence)	1, 4
HADLEY Paul Jason	ANU724	Licensed Representative (Temporary Licence)	1
HATTEM Jack Lloyd	BOF752	Licensed Representative (Temporary Licence)	1, 4
HILL Brian Thomas	BFS625	Licensed Representative (Temporary Licence)	1
HIRAKAWA Mikio	BBT553	Licensed Representative (Temporary Licence)	4
JAIPURIAR Priyesh	BDY980	Licensed Representative (Temporary Licence)	1, 2
JUNG Ho Yoon	BOH718	Licensed Representative (Temporary Licence)	4
KAMIJO Hiroko	BMY265	Licensed Representative (Temporary Licence)	1
KATO Takaaki	ATE766	Licensed Representative (Temporary Licence)	1
KAWAMOTO Hisae	BNP794	Licensed Representative (Temporary Licence)	4
KHINDA Navdip Singh	BGA869	Licensed Representative (Temporary Licence)	1
KIM John	BOG701	Licensed Representative (Temporary Licence)	4
KIM Junghwan	BOG943	Licensed Representative (Temporary Licence)	4
KINOSHITA Yoshiyuki	BBL047	Licensed Representative (Temporary Licence)	4
KWEK Kevin Siew Beng	AZN097	Licensed Representative (Temporary Licence)	4
-	BEM899		1
LAM Karen Antonia		Licensed Representative (Temporary Licence)	
LAUGHLIN Justin John	AWV278	Licensed Representative (Temporary Licence)	2,5
LEE Young Gon	BNO013	Licensed Representative (Temporary Licence)	1, 2
LEGGATE Douglas George Blyth	BCZ763	Licensed Representative (Temporary Licence)	4
LIN Li-Chun	BIG303	Licensed Representative (Temporary Licence)	4
MAIR Melodie Nicola	BOF497	Licensed Representative (Temporary Licence)	1, 6
MANSELL Steven Robert	BEI891	Licensed Representative (Temporary Licence)	4
MARX Christopher William	AXS989	Licensed Representative (Temporary Licence)	1
MISH Matthew Joseph	AYT895	Licensed Representative (Temporary Licence)	4
MONTALTI Giovanni	BOG224	Licensed Representative (Temporary Licence)	4
MOULIN Christophe, Pierre, Guillaume	BOF900	Licensed Representative (Temporary Licence)	4
MUNAKATA Minami	BIX260	Licensed Representative (Temporary Licence)	4
NAITO Takayuki	BMJ733	Licensed Representative (Temporary Licence)	4
NAKATOMI Ryosuke	BKV309	Licensed Representative (Temporary Licence)	1
NEOGY Abhijeet	AYN498	Licensed Representative (Temporary Licence)	1
OLIVER Niki	BEN874	Licensed Representative (Temporary Licence)	4
OSTERN Michael	BFK472	Licensed Representative (Temporary Licence)	1
PATEL Divyen Jayantibhai	BOG769	Licensed Representative (Temporary Licence)	1,6
PATULE Division Sayantibinat	BHK693	Licensed Representative (Temporary Licence)	1
PAUL Gordon Bruce	BHD845	Licensed Representative (Temporary Licence)	2, 5
			4
PINEDA Arthur San Diego	AGL866	Licensed Representative (Temporary Licence)	
POOL Jonathan Michael	AMU497	Licensed Representative (Temporary Licence)	1
RAMAKRISHNAN Karthik Narayan	BMU958	Licensed Representative (Temporary Licence)	1
RANGAN Kasthuri Gopalan	BOG753	Licensed Representative (Temporary Licence)	4
RASMUSSEN Peter	BOG983	Licensed Representative (Temporary Licence)	1, 2
REDMAN Simon David	BLF884	Licensed Representative (Temporary Licence)	1, 4
REISZ Paul William	BHQ136	Licensed Representative (Temporary Licence)	1, 4
SABNIS Avadhoot Madhukar	BNR384	Licensed Representative (Temporary Licence)	4
SAIFEE Hussaini	BJV163	Licensed Representative (Temporary Licence)	4
SASAKI Futoshi	BEZ608	Licensed Representative (Temporary Licence)	4
SCHILS Victoria Elizabeth	BOF398	Licensed Representative (Temporary Licence)	1, 4
SEKI Atsushi	BIB389	Licensed Representative (Temporary Licence)	4
SELBY Paul John	AWA565	Licensed Representative (Temporary Licence)	1



CE Name	CE No.	Licence Type	Types of Regulated Activity
SHAH Niraj Samip	BBE387	Licensed Representative (Temporary Licence)	1, 4
SHAO Jiangchen	BMU959	Licensed Representative (Temporary Licence)	1
SIHLER Joerg Stefan	BOH035	Licensed Representative (Temporary Licence)	1, 4
SMITH Nicholas James	BOG119	Licensed Representative (Temporary Licence)	1
SPEETJENS Richard Petrus Elisabeth Gerardus	BFD237	Licensed Representative (Temporary Licence)	1
SUN Xilun Denys Qiao Qiao	BOG982	Licensed Representative (Temporary Licence)	1, 2
SUTTON Alexander Luke	BOG067	Licensed Representative (Temporary Licence)	1
SUZUKI Misato	BOG810	Licensed Representative (Temporary Licence)	1
TAN Hsiang Lin, Alicia	BOG444	Licensed Representative (Temporary Licence)	1
TANG Yin Kin Polo	AZW790	Licensed Representative (Temporary Licence)	4
TNG Boon Chong, William	BOG554	Licensed Representative (Temporary Licence)	4
TOLLARDO Neri	BMG825	Licensed Representative (Temporary Licence)	1, 4
VIRGO Alexander Stewart	BLI749	Licensed Representative (Temporary Licence)	4
WALLACE Ashley Dorothy	BFJ978	Licensed Representative (Temporary Licence)	4
WARBURTON Bradford Timothy	BOG429	Licensed Representative (Temporary Licence)	1, 6
WARDLE Matthew James	BOH240	Licensed Representative (Temporary Licence)	1
WELLS Justin Derek	BGK594	Licensed Representative (Temporary Licence)	1
WILKIE Robert Malcolm	BHB487	Licensed Representative (Temporary Licence)	1
WILLIAMSON Joshua David	BIN735	Licensed Representative (Temporary Licence)	4
WOOLFORD Craig John	BFD306	Licensed Representative (Temporary Licence)	4
XIA Yinyin	BJQ416	Licensed Representative (Temporary Licence)	4
YAMASHITA Chihiro	BNE474	Licensed Representative (Temporary Licence)	1
YOSHIKAWA Ken	BMX497	Licensed Representative (Temporary Licence)	1
YUNG-HOK Peter Stephen	BDL617	Licensed Representative (Temporary Licence)	2, 5