

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2019

CE Name	CE No.	License Type	Types of Regulated Activity
Actelligent Capital Management Limited	BOG820	Licensed Corporation	4, 9
Alphawise Asset Management Limited	BNV516	Licensed Corporation	9
AS Capital Limited	BNV995	Licensed Corporation	1
AVICT Global Asset Management Limited	BHF812	Licensed Corporation	4, 9
BS Securities Limited	BNN298	Licensed Corporation	1
CAS Capital Limited	BOF800	Licensed Corporation	1, 6
Cedar Asset Management (HK) Limited	BOI786	Licensed Corporation	9
China PA Asset Management (Hong Kong) Company Limited	BLY548	Licensed Corporation	4, 9
Cloud9 Asset Management Limited	BOB954	Licensed Corporation	4, 9
Crimson Capital Management Company Limited	BMX618	Licensed Corporation	9
Diginex Asset Management (Hong Kong) Limited	BNY650	Licensed Corporation	4, 9
Goldwin Global Capital Limited	BNV367	Licensed Corporation	4, 9
Gopher Redwoods Asset Management Limited	BOC542	Licensed Corporation	4, 9
Grand Oaks Asset Management Co., Limited	BOF004	Licensed Corporation	4, 9
High Wisdom Holdings Limited	BOC805	Licensed Corporation	4, 9
Hua Liang Asset Management Limited	BOC665	Licensed Corporation	4, 9
Hua Liang Securities Limited	BOC666	Licensed Corporation	1, 2, 4, 5
Innovax Futures Limited	BNS087	Licensed Corporation	2
Jun Hui International Finance Limited	BOE739	Licensed Corporation	6
Lifan International Asset Management Limited	BNV018	Licensed Corporation	4, 9
LVS Wealth Management Limited	BNJ921	Licensed Corporation	1, 4, 9
OLZ (Hong Kong) Limited	BOE730	Licensed Corporation	4, 9
Oswin Asset Management Limited	BOD681	Licensed Corporation	4, 9
Red Door Capital Management Limited	BNU285	Licensed Corporation	9
Regents Capital Limited	BNU303	Licensed Corporation	9
Rui Da International Asset Management (Hong Kong) Limited	BMJ340	Licensed Corporation	4, 9
Siguler Guff (Hong Kong) Limited	BOA238	Licensed Corporation	1
Swenson Asset Management Limited	BNX555	Licensed Corporation	4, 9
Swenson Capital Limited	BNY618	Licensed Corporation	1, 9
TANGSHANG FUTURES LIMITED	BNQ804	Licensed Corporation	2
Trends Asset Management Limited	BNX995	Licensed Corporation	4, 9
AMER HAMZAH Farahnaz Ireena Binti	BOJ286	Licensed Representative	1
ASHBOLT Daniel Jeffrey	BOJ547	Licensed Representative	1
ASO Hanae	BNX205	Licensed Representative	9
AU Pak Lun, Patrick	BID968	Licensed Representative	4, 9
AUBAGUE Adrien Pierre	BOJ031	Licensed Representative	1
BAEK June Seok	AJC640	Licensed Representative	1, 2
BAI Chong	BOI934	Licensed Representative	9
BAO Yuzhe	BOI293	Licensed Representative	1, 6
BERKANE LAVAUD Chiraz	AXG036	Licensed Representative	1, 2
BERLIA Manish	ATV437	Licensed Representative	1, 4, 6
BIYANI Rachna	BOI134	Licensed Representative	1
BORN Sebastian	BOH346	Licensed Representative	1, 2
BROADLY Timothy John	BOH810	Licensed Representative	1
CAI Min	BOG793	Licensed Representative	9
CAI Yijing	BOI991	Licensed Representative	4
CAI Yuanshan	BOJ246	Licensed Representative	1, 4, 6
CANUT Sylvain Vetea Steeven	BOJ320	Licensed Representative	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2019

CE Name	CE No.	License Type	Types of Regulated Activity
CAO Jiayu	BOK332	Licensed Representative	6
CHAI Jibang	BOI890	Licensed Representative	4
CHAN Hon Kwan	BBB332	Licensed Representative	1, 2, 9
CHAN Ka Ho	BBI021	Licensed Representative	1, 2
CHAN Lai Ying	BJM188	Licensed Representative	9
CHAN Lap Hang Nicholas	BOJ406	Licensed Representative	1, 6
CHAN Ngar Man Carmen	BKI678	Licensed Representative	1, 4, 9
CHAN Shing Yan	BFO686	Licensed Representative	1, 4
CHAN Shuk Fan	BOF446	Licensed Representative	1, 4
CHAN Siu Pang	BOJ868	Licensed Representative	1, 2
CHAN Siu Ting	BOF597	Licensed Representative	6
CHAN Siu Ying, Angel	BFH221	Licensed Representative	4, 9
CHAN Sze	BOK502	Licensed Representative	1, 4, 9
CHAN Tin Ki	BOG714	Licensed Representative	1, 4
CHAN Tin Yan	BFA431	Licensed Representative	1, 4
CHAN Tsz Yin	AYM927	Licensed Representative	1, 4, 9
CHAN Ying Kwan	BOJ181	Licensed Representative	4
CHAN Yui Wing	BOK053	Licensed Representative	6
CHANG Albert Yu Hwa	AOL058	Licensed Representative	6
CHANG Derek Xiao	ANU938	Licensed Representative	1, 2, 4
CHANG Hyun Kyu	ARU856	Licensed Representative	1
CHANG Sanggil	BOJ103	Licensed Representative	1, 6
CHEN Fan	BOI967	Licensed Representative	1, 2
CHEN Fenghua	BOC244	Licensed Representative	6
CHEN Hangjie	BOH726	Licensed Representative	1, 4
CHEN I Li	BNV442	Licensed Representative	1, 4, 9
CHEN Marvin Min-Kong	AXR279	Licensed Representative	4
CHEN Rui	BOH233	Licensed Representative	1, 4
CHEN Xiaobo	BOH826	Licensed Representative	4, 9
CHEN Yen Shuo	BOJ310	Licensed Representative	1, 2
CHENG Dan	BOI598	Licensed Representative	1
CHENG Hei Naat	ARO882	Licensed Representative	1, 4
CHENG Sin Yi	BOJ216	Licensed Representative	1
CHENG Tat Pan	BOJ410	Licensed Representative	1, 4
CHENG Wai Ming Andy	BOJ590	Licensed Representative	1, 4
CHENG Yu Shing	BME687	Licensed Representative	1, 2
CHEUNG Adelaide Tiffany	BOJ685	Licensed Representative	6
CHEUNG Chau Lik	BIW792	Licensed Representative	9
CHEUNG Chau Wan, Agatha	BDH663	Licensed Representative	1, 4, 6
CHEUNG Chun Wai	BMV688	Licensed Representative	2
CHEUNG Kwok Leung	BOI549	Licensed Representative	1
CHEUNG Wing Yi, Wing	BOI998	Licensed Representative	1
CHEUNG Yeung	AXT527	Licensed Representative	1, 4
CHEUNG Yin To	BOL064	Licensed Representative	1, 2
CHIN Man Yi	AMR267	Licensed Representative	1, 4
CHING Yuk Shan, Maggie	AGC976	Licensed Representative	1, 2, 4, 5
CHIO Kuan Heng	BOI130	Licensed Representative	1
CHIU Curtis Sean Wai Seen	BNX912	Licensed Representative	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2019

CE Name	CE No.	License Type	Types of Regulated Activity
CHIU Shi Yin	BOI494	Licensed Representative	1, 4
CHIU Yu Yuk, Leo	AHZ628	Licensed Representative	1
CHO Wing Sum	BOI993	Licensed Representative	1
CHOI Cheuk Hei	BOI193	Licensed Representative	1
CHONG Sum	BOH687	Licensed Representative	1
CHOW Hon Kei, Eric	BOI877	Licensed Representative	4, 9
CHOW Tsz Ki	BOI818	Licensed Representative	1, 4
CHOY Phoebe Sin Ting	AWJ867	Licensed Representative	1, 7
CHU Hei Ching	BOJ262	Licensed Representative	1
CHU Ka Hei	BFS475	Licensed Representative	1, 2
CHU Ki Tat	BBL825	Licensed Representative	1, 4
CHU Ngar See	BNX722	Licensed Representative	1, 4
CHU Siqi	BOJ195	Licensed Representative	4
CHU Yiu Fai	BOI194	Licensed Representative	1
CHUI Hing Cheung Ronald	BOJ266	Licensed Representative	1
CHUN Ka Lee Carrie	BBY790	Licensed Representative	4, 9
CHUNG Man Fai	BBX326	Licensed Representative	1
DAI Gaofeng	BOD913	Licensed Representative	1, 2
DAI Rui	BOG080	Licensed Representative	1, 4, 9
DAI Shuting	BOI195	Licensed Representative	1, 4
DARLING Scott Lee	AYC249	Licensed Representative	4
DENG Huang	BOH543	Licensed Representative	1
DENG Ling	BOG722	Licensed Representative	9
DING Chaoer	BOI286	Licensed Representative	1, 6
DING Xiaoke	BOE964	Licensed Representative	4, 9
DONG Dorothy J	BEE580	Licensed Representative	1
DONG Qianqian	BOI856	Licensed Representative	4, 9
DONG Sixie	BOJ947	Licensed Representative	1, 6
DONG Xun	BOK248	Licensed Representative	1, 4, 9
DUVALLON PIERRE-ANTOINE	BOJ326	Licensed Representative	9
DWIVEDI Vikas	BOI609	Licensed Representative	4
Emmanuel	BOJ032	Licensed Representative	6
FABRIS David Alexander James	BMR379	Licensed Representative	4
FAN Congmin	BOK617	Licensed Representative	1, 2, 7
FAN Lok Kay	BOJ042	Licensed Representative	1
FAN Qianzhu	BOJ408	Licensed Representative	6
FAN Xiaotong	BOI302	Licensed Representative	1, 4, 9
FEI Da	BOJ658	Licensed Representative	1
FORZY Albane Sophie Marie Odette	BOJ193	Licensed Representative	1, 2
FUNG Yi	AXU916	Licensed Representative	1, 4, 9
GAN Liang	BJW266	Licensed Representative	4, 9
GAO Yuran	BOJ029	Licensed Representative	1
GE Patrick Yuanning	BOJ663	Licensed Representative	4
GOORANAH Avrish	BNG841	Licensed Representative	2, 5
GOVINDAIAH Likith	BOI305	Licensed Representative	1, 2
GUAN Yaoyu	BOJ184	Licensed Representative	1
GUGUEN Bryan Hubert Yann	BFC654	Licensed Representative	3
GUO Ge	BNO197	Licensed Representative	4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2019

CE Name	CE No.	License Type	Types of Regulated Activity
GUO Lei	BNY419	Licensed Representative	4
GUO Peiyao	BOI388	Licensed Representative	1, 4
HAGUE Claire Elizabeth Logan	ALF606	Licensed Representative	1
HAMERSMA Sander Bastiaan	ADW037	Licensed Representative	1, 4, 6
HAN Yinqing	BOH196	Licensed Representative	1, 4, 6
HAO Boyuan	BNM908	Licensed Representative	1, 4, 9
HARIHARAN Gokul	BOI580	Licensed Representative	4
HE Feng	BGY654	Licensed Representative	9
HE Jingxi	BOJ609	Licensed Representative	2
HE Peng	AVI431	Licensed Representative	2, 5
HENG Soon Keat	BOI701	Licensed Representative	1, 2
HILL Victoria Margaret Nahathai	AOT983	Licensed Representative	1, 2
HO Hon Hoi	ANT410	Licensed Representative	1
HO Ngar Man	BOI319	Licensed Representative	1, 4
HO Sheung Man	BOH547	Licensed Representative	4, 9
HO Sum Yin	BOI937	Licensed Representative	1
HO Tsz Him	AVT617	Licensed Representative	1
HODGE Andrew John	BOK483	Licensed Representative	4
HSIAO Chih-yu	BOJ194	Licensed Representative	1, 4, 6
HU Jiaying	BOI705	Licensed Representative	1
HU Sijia	BOH786	Licensed Representative	1
HUANG Biao	BOK021	Licensed Representative	1
HUANG Ching-Feng	BJL041	Licensed Representative	1, 4
HUANG Lei	BOI962	Licensed Representative	1, 4, 9
HUANG Lijie	BOG075	Licensed Representative	1, 9
HUANG Qiuyun	BOL017	Licensed Representative	9
HUI Ling Yin Vincent	BOI707	Licensed Representative	1
HUI Pinky	BOJ489	Licensed Representative	1, 6
HUI Tak Yan	BOI539	Licensed Representative	1, 6
HUNG Alan Hing Lun	AEA163	Licensed Representative	9
HUNG Nga In	BOH677	Licensed Representative	4, 9
HUNG Shao Feng	BOJ331	Licensed Representative	1
HUO Sihao	BOK005	Licensed Representative	1
IP Sin Ying Amy	BOH696	Licensed Representative	4, 9
ITO Shunsuke	BOG750	Licensed Representative	1, 4, 6
JI Chengwei	BOI548	Licensed Representative	4
JI Wenrong	BOI037	Licensed Representative	9
KAN Pui Yee	BOH927	Licensed Representative	1, 4
KANG Jia Yi	BOH800	Licensed Representative	4
KANG Phil Goo	BOJ199	Licensed Representative	1, 4
KAUSHIVA Prakul	BOI702	Licensed Representative	1
KE Fengzhou	BOJ940	Licensed Representative	1, 6
KEENAN Russell Dennis	AOX305	Licensed Representative	9
KIDMAN Robin James	BOI737	Licensed Representative	1
KIM Dongsup	AVW692	Licensed Representative	4
KIM Minwook	BOI266	Licensed Representative	10
KIM Myungwook	BOI541	Licensed Representative	4
KIM Roy Yong	BOI855	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2019

CE Name	CE No.	License Type	Types of Regulated Activity
KIM Sang Hyun	BOJ479	Licensed Representative	1, 2
KIM Youngeun	BOI603	Licensed Representative	1
KO Hiu Wing	AXA472	Licensed Representative	1, 9
KOT Chin Wan	BOJ686	Licensed Representative	1
KURODA Kentaro	BOJ811	Licensed Representative	1, 4
KWON Heerak	BOJ289	Licensed Representative	1, 4
LA FABER Sean Michael	BOJ285	Licensed Representative	1
LAI Hoi Nam	BOJ202	Licensed Representative	1
LAM Brian	BOI591	Licensed Representative	1
LAM Chin Yin	BOJ407	Licensed Representative	1, 4
LAM Darren Shun Kit	BOJ062	Licensed Representative	1, 4
LAM Ka Bik, Rebecca	AGN145	Licensed Representative	1
LAM Ka Yu	BOI264	Licensed Representative	1, 4
LAM Siu Mei	BOJ463	Licensed Representative	1
LAM Sze Wing, Apple Ayumi	BKP017	Licensed Representative	1
LAM Tat Ngai	BOJ640	Licensed Representative	6
LAM Yi Fung	BOJ015	Licensed Representative	1, 4, 9
LAU Jing Yeung, William	ANV150	Licensed Representative	4, 9
LAU Ka Yi, Vera	AQW236	Licensed Representative	1, 4, 9
LAW Richard	BOK164	Licensed Representative	1
LAW Tsz Kit	BOJ325	Licensed Representative	2
LAW Wai Hin	BOJ260	Licensed Representative	1, 4, 9
LE BINH Khoi	BKP233	Licensed Representative	9
LE Yang	BOH934	Licensed Representative	10
LEACH Toni Jean	BOK260	Licensed Representative	4, 9
LEE Chun Man	BAL777	Licensed Representative	1, 4
LEE Chun Tak	BOK633	Licensed Representative	6
LEE Daycee Anaksia	BOF946	Licensed Representative	9
LEE Jung Yup	ALW175	Licensed Representative	1
LEE Seung Chul	BOJ024	Licensed Representative	1
LEE Wai Lun	BOI346	Licensed Representative	1, 4
LEE Woon Man Raymond	BOJ645	Licensed Representative	1, 2, 4
LEI Lai Lai, Katherine	AVW067	Licensed Representative	4
LEI Yu Ting	BNG991	Licensed Representative	4, 9
LEIFER Roman	BOI576	Licensed Representative	1
LEUNG Fuk Kuen, Eddie	AAH165	Licensed Representative	1, 4, 9
LEUNG Hei Chun Michael	BOI500	Licensed Representative	1, 2
LEUNG Man Yui	BOK131	Licensed Representative	1
LEUNG Ryan Wan Yan	BGT777	Licensed Representative	1
LEUNG Tze Kid	BOJ511	Licensed Representative	1
LEUNG Wai Yan	BOJ561	Licensed Representative	1, 4
LEUNG Yan Ki	BNT781	Licensed Representative	1, 2
LI Erhe	BOH048	Licensed Representative	1, 9
LI Hak Sun	BIW870	Licensed Representative	9
LI Jianqiu	BHS005	Licensed Representative	4, 9
LI Mao	BOI880	Licensed Representative	1, 4, 6
LI Rui	BOI475	Licensed Representative	1
LI Shangyi	BOI347	Licensed Representative	1, 2

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CE Name	CE No.	License Type	Types of Regulated Activity
LI Xiang	AZY902	Licensed Representative	1
LI Xiaojing Valerie	BOI452	Licensed Representative	1
LI Xinxin	BOI870	Licensed Representative	1, 4
LI Xinyi	BOH485	Licensed Representative	1, 2, 4, 5, 9
LI Xun	BOI538	Licensed Representative	1, 4, 9
LI Yiyi	BOI874	Licensed Representative	1, 2
LI Zhaoyi	BOH012	Licensed Representative	1, 6
LIANG Collin	BOI135	Licensed Representative	1
LIANG Shuming	BOI062	Licensed Representative	1, 4, 6
LIANG Yi	BKB760	Licensed Representative	9
LIANG Zhengwei	BOK497	Licensed Representative	4
LIAO Bob Hai Thang	BOH782	Licensed Representative	4
LIM Kai Ning Karen	BOJ405	Licensed Representative	1, 4, 6
LIM Kit Yng	APA092	Licensed Representative	1
LIM Nhokyeong	BOI573	Licensed Representative	1
LIN Chia-Hua	BNN330	Licensed Representative	1
LIN Kai	BOI312	Licensed Representative	4, 9
LIN Xiaohui	BOF862	Licensed Representative	4
LIU Chris Yang	AVE806	Licensed Representative	1
LIU Honghui	BOJ742	Licensed Representative	1
LIU Juncong	BEB632	Licensed Representative	1, 6
LIU Rongshan	BOI915	Licensed Representative	2
LIU Ruoyi	BFZ342	Licensed Representative	1
LIU Shuojia	BOK087	Licensed Representative	6
LIU Xian	BOG357	Licensed Representative	1, 4, 9
LIU Yat Sin	BJG879	Licensed Representative	4, 9
LIU Yiming	BOJ702	Licensed Representative	1, 2
LO Chiu-jung	BIM504	Licensed Representative	9
LOH Yiu Fai	ABN692	Licensed Representative	1, 2, 4, 5, 9
LU Junhan	BNS112	Licensed Representative	1, 4, 9
LUI Ho Kit	AYO352	Licensed Representative	1, 2
LUO Ao	BIZ162	Licensed Representative	4
LUO Hao	BOI964	Licensed Representative	9
LUO Yi-Lin	BOB660	Licensed Representative	1, 4
LYU Xingzhi	BOE860	Licensed Representative	2
MA Jiaao	BOK159	Licensed Representative	4, 9
MA Lee	AMF773	Licensed Representative	1, 4, 9
MA Shek Yee	AHX750	Licensed Representative	4, 9
MALEY Daniel Frank	BOJ067	Licensed Representative	1, 4
MARTIN Daniel	BOI355	Licensed Representative	10
MEERS William Neame	BID388	Licensed Representative	1
MENG Yi	BOK228	Licensed Representative	1, 6
MIAO Yifan	BHX474	Licensed Representative	4, 9
MILOVIDOVA Daria	BOK333	Licensed Representative	9
MIN Bryan Byungsuk	BOH022	Licensed Representative	1, 4
MIYAKE Hiroyuki	BJC697	Licensed Representative	1
MOOJEKIND YIN Jade Xuan	BOH827	Licensed Representative	4, 9
MORBAY Christopher David Bryan	BOK487	Licensed Representative	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2019

CE Name	CE No.	License Type	Types of Regulated Activity
MOSS Adam Alexander Guy	AEI504	Licensed Representative	1
MUKHI Atul Kumar	BOG613	Licensed Representative	1, 4, 7
NAKAMURA Kana	BOJ128	Licensed Representative	1, 6
NAKATSUKA Yusuke	BOI204	Licensed Representative	1, 4, 6
NAM Seung Hoon	BOJ061	Licensed Representative	1, 2
NG Ka Yin	BOD346	Licensed Representative	1
NG Sui Wing	BOI358	Licensed Representative	1, 4
NG Terry	BOJ797	Licensed Representative	4
NG Tsz Kin, William	BOJ972	Licensed Representative	1, 4, 5, 9
NG YIK YAU	BOJ022	Licensed Representative	1
NG Yin Ting Joyce	BKJ637	Licensed Representative	6
NING Hill Fung	BOK828	Licensed Representative	1, 4
NOLLEN Timothy Wilson	BOJ839	Licensed Representative	4
ONG Seah Tatt Jonah Dominc	BOH906	Licensed Representative	4, 9
PAN Ying	BOH023	Licensed Representative	1, 4, 9
PANG Chi Chung	BOI970	Licensed Representative	1, 6
PANG Hiu Ying	BEB419	Licensed Representative	1, 4
PANG Sin Chu	AFU665	Licensed Representative	1, 4
PAREKH Nikesh Yogesh	BOH835	Licensed Representative	1
PARK Sejun	BOJ261	Licensed Representative	1
PARK Soyup	BOI977	Licensed Representative	1
PASSERI Carlo Alberto	BOI947	Licensed Representative	4, 9
PATEL Nilay Madhusudan	BOI265	Licensed Representative	9
PENG An	BOH787	Licensed Representative	1, 4
PG OMAR Pg Md Noor Sufian	BNR516	Licensed Representative	1, 4
POON Lillian Man Yee	BOI595	Licensed Representative	1
PUN Wing Hong	BOI823	Licensed Representative	2
QALLAB Nizar Saleh Hamad	BNV417	Licensed Representative	6
QI Dechao	BNQ428	Licensed Representative	4, 9
QI Jiaqi	BOJ018	Licensed Representative	4, 9
QIN Chen	BOG595	Licensed Representative	1
REINHOLD Lasse	BOF485	Licensed Representative	1, 2, 3
REMMINGTON Miles Christian	ABI138	Licensed Representative	1
REN Qiang	BOJ521	Licensed Representative	1, 4, 9
REN Yuci	BOH862	Licensed Representative	1, 4, 6
RIBY Alexandre Francois	BOJ113	Licensed Representative	1, 4, 9
RONG Yi	AVP702	Licensed Representative	9
ROUZIER Tom Baptiste	BOJ264	Licensed Representative	1, 2, 7
ROY Ashwani Kumar	BOJ282	Licensed Representative	6
ROY Mohul	BNO309	Licensed Representative	6
RUAN Wenjun	ARE186	Licensed Representative	1
SANDAYA Hiroyoshi	BDD021	Licensed Representative	9
SANTORO Ivan,Al,Theo	BOI250	Licensed Representative	1
SARAF Karan	BEB070	Licensed Representative	1
SCHANTZ Andrew Robertson	AQY133	Licensed Representative	1
SENG Lai Kit, Victor	BCF869	Licensed Representative	1, 4, 9
SEOK Seung Wook	AWU650	Licensed Representative	1, 4
SHAO Yufang	BBS886	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2019

CE Name	CE No.	License Type	Types of Regulated Activity
SHARMA Tanmay	BOJ108	Licensed Representative	1, 4, 9
SHEN Hao	BGK734	Licensed Representative	1, 4, 6
SHEN Tianyi	BOH680	Licensed Representative	4
SHEN Yi	AZR706	Licensed Representative	1, 4
SHETH Mihir Milindra	ATO049	Licensed Representative	9
SHI Guyu	BOJ033	Licensed Representative	1
SHI Yunpeng	BOI128	Licensed Representative	1, 4, 6
SHIH Pei Yun	BOI208	Licensed Representative	1, 4
SIO Chan In Devin	BOF220	Licensed Representative	1, 9
SIOU Jia Keen	BCC461	Licensed Representative	1
SIT Cho Ying	BOK498	Licensed Representative	1
SO Pak To Plato	BOK171	Licensed Representative	1
SUH Dong Hyun	BOJ197	Licensed Representative	1, 2
SUN Charles Kar Jon	BGY848	Licensed Representative	1
SUN Chuan	BOK319	Licensed Representative	1, 6
SUN Lian	BOF968	Licensed Representative	9
SUN Miao	BMD847	Licensed Representative	4, 9
SUN Na	BOH565	Licensed Representative	1, 7
SUN Shu	BOJ152	Licensed Representative	1, 4, 9
SUN Xinnan	BOI333	Licensed Representative	6
SUO Yinchao	BOJ144	Licensed Representative	10
SYSTEMANS Cameron Thomas	BOF902	Licensed Representative	1, 4, 9
SZE Wing Man	BOH847	Licensed Representative	1
SZETO Carol Wen	BCY116	Licensed Representative	1
TAM Evon	AQE004	Licensed Representative	1, 4
TAM Hiu Tung	BOJ524	Licensed Representative	1, 4
TAM Ho Kwan	BOJ234	Licensed Representative	1
TAM Ming Kai	BOI155	Licensed Representative	1
TAM Siu Ling	BOF193	Licensed Representative	1, 6
TAN Chee Khoon Alvin	BOJ139	Licensed Representative	1, 4
TAN Kor Heng David	BOH783	Licensed Representative	1
TANG Dorothy Hai-man	BOI438	Licensed Representative	1
TANG Kwok Yee, Christine	BOI943	Licensed Representative	1, 4, 9
TANG Qiao	BOI427	Licensed Representative	1
TANUJAYA Michael	BOI430	Licensed Representative	1
TAO Michael	BOJ019	Licensed Representative	1
TENG Wan Fei	BOI571	Licensed Representative	1, 4
TEO Chien Liang, Alvin	BOI301	Licensed Representative	1, 4
THORNDIKE Richard Daniel	BOH727	Licensed Representative	1
THORPE Simon Charles	BOJ803	Licensed Representative	1
THYNNE Grenville Matthew	AEZ034	Licensed Representative	1, 6
TOH Fan	AMF170	Licensed Representative	1
TSANG Siu Ting Eric	BOI327	Licensed Representative	1, 4
TSE Chi Wai Danny	BOI149	Licensed Representative	6
TSE Si Man	BOK252	Licensed Representative	1, 2, 4, 5
TSE Wing Hong Vivian	ASU313	Licensed Representative	1, 4
VINNER Deep Singh	BOI736	Licensed Representative	1, 4, 9
WAN Cheuk Hei	BMF465	Licensed Representative	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2019

CE Name	CE No.	License Type	Types of Regulated Activity
WAN Chun Wah	AYZ607	Licensed Representative	1
WANG Changli	BOI236	Licensed Representative	1
WANG Dian	BCE889	Licensed Representative	1, 4, 9
WANG Han	BOH007	Licensed Representative	4
WANG Jun	BOI914	Licensed Representative	1, 4
WANG Lei	BOJ376	Licensed Representative	1, 6
WANG Runmeng	BOL170	Licensed Representative	4
WANG Shi Ying	BOI709	Licensed Representative	1
WANG Xinyue	BDY937	Licensed Representative	1
WANG Xuanren	BOK660	Licensed Representative	1
WANG Yue	BOI126	Licensed Representative	1, 4, 6
WANG Yueren	BOJ329	Licensed Representative	9
WATANABE Tetsuya	BOJ020	Licensed Representative	1
WEI Po	AVH892	Licensed Representative	4
WEI Ziyue	BOI188	Licensed Representative	9
WEN Yun, Rebecca	BOI532	Licensed Representative	4
WHITELAW Neil Stuart	BOI436	Licensed Representative	1, 2
WICKHAM Oliver Jeremy	AQC490	Licensed Representative	1
WIJERATHNA Praveen	BOI341	Licensed Representative	1, 2
WONG Che Hao, David	AIY651	Licensed Representative	9
WONG Chi Fung	BOK469	Licensed Representative	1, 6
WONG Chun Hung	BOH546	Licensed Representative	1
WONG Chun Man	BOH369	Licensed Representative	1, 2
WONG Hak Wai	AOL313	Licensed Representative	1, 9
WONG Ka Ho	AXX645	Licensed Representative	1
WONG Ka Ki	BOJ254	Licensed Representative	1
WONG Kit	BOI075	Licensed Representative	1, 2, 4
WONG Ming Nok	BOI700	Licensed Representative	4, 9
WONG On Yiu	BOL123	Licensed Representative	9
WONG Wai Yin Bonnie	BOJ461	Licensed Representative	1
WONG Yik Man	BKN823	Licensed Representative	1, 4, 9
WONG YUEN YU	BCN587	Licensed Representative	1, 2
WOO Siu Ki	AMR980	Licensed Representative	1, 4
WRIGHT Kim Samantha	AUW108	Licensed Representative	1
WU Bin	BOI385	Licensed Representative	1, 2, 9
WU Elaine	ARO386	Licensed Representative	4
WU Ren	BIJ837	Licensed Representative	9
WU Xiuyuan	BOJ028	Licensed Representative	1
XIA Zhen	BOC238	Licensed Representative	3
XIE Elise Mingyan	AZT347	Licensed Representative	1, 2
XING Tao	AXQ845	Licensed Representative	9
XING Wan Bin	BOI563	Licensed Representative	1
XIONG Jun	BOJ666	Licensed Representative	1, 6
XU Chao	BOG720	Licensed Representative	1, 4, 6
XU Chongfan	BBX582	Licensed Representative	4, 9
XU Jing	BOI443	Licensed Representative	1
XU Nan	ATM316	Licensed Representative	4
XU Xingjun	BOI544	Licensed Representative	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2019

CE Name	CE No.	License Type	Types of Regulated Activity
XU Xu	BOI802	Licensed Representative	1, 4
XU Zhongchao	BOI322	Licensed Representative	1, 4
XUE Jing	BJK794	Licensed Representative	1
YAGI Ryo	BOJ669	Licensed Representative	1
YAN Wujiuyi	BLZ741	Licensed Representative	1, 4, 9
YAN Xiaoyi	BOH347	Licensed Representative	1, 4
YANG Chengxiao	BOI391	Licensed Representative	4
YANG Chunxi	BJL681	Licensed Representative	4, 9
YANG Fangda	BOI143	Licensed Representative	10
YANG Haokun	BOH427	Licensed Representative	1, 4, 9
YANG Junjie	BOJ945	Licensed Representative	1, 4
YANG Peiling	BOI969	Licensed Representative	1, 4, 9
YANG Siwei	BOG506	Licensed Representative	1
YANG Tianzhao	BOI291	Licensed Representative	10
YANG Wendi	BOK581	Licensed Representative	1
YANG Xiting	BOJ549	Licensed Representative	1
YANG Yiran	BKU216	Licensed Representative	1
YAO Zefeng	BOH051	Licensed Representative	4
YAO Zheng	BOH389	Licensed Representative	4, 9
YAU Joe Yee Joseph	BOH588	Licensed Representative	1
YAU Kin Fai Gilbert	BOI197	Licensed Representative	1, 4, 9
YE Qing	AWS033	Licensed Representative	1
YE Shinian	BOI107	Licensed Representative	9
YE Wenhui	BOJ639	Licensed Representative	1, 2, 4
YEH Ivan Hanson Shing Yue	AMM375	Licensed Representative	1, 4
YEUNG Cheuk Ki	BDO775	Licensed Representative	1, 4, 9
YEUNG Ming Lung	BOI435	Licensed Representative	1, 2, 3
YEUNG Yi Yam	BEC498	Licensed Representative	1
YI Zhe	BOI764	Licensed Representative	1, 4, 9
YIN He	AJM689	Licensed Representative	4
YIP Melvin Yang Joon	AVP043	Licensed Representative	1
YIU Hung Han	BEU963	Licensed Representative	1
YIU Yan Ching	ALR855	Licensed Representative	1
YOON Jeong Su	BOK141	Licensed Representative	1
YOON Sanghwi	BAH367	Licensed Representative	9
YOUNG Nathan Danial	BEV962	Licensed Representative	9
YU Ho Leung	BOI816	Licensed Representative	4
YUAN Xi	BOJ575	Licensed Representative	1, 4
YUEN Pui Shan Theresa	BOJ252	Licensed Representative	1
YUI Hidenori	BOI445	Licensed Representative	1
ZHAI Yingxuan	BOJ259	Licensed Representative	4
ZHANG Lei	AZK162	Licensed Representative	1, 4
ZHANG Naitian	BOL292	Licensed Representative	4
ZHANG Qingyu	BNB378	Licensed Representative	1, 4, 6
ZHANG Wei	BOH843	Licensed Representative	1, 4, 9
ZHANG Xinyue	BOJ330	Licensed Representative	1, 4, 9
ZHANG Xun	BOH349	Licensed Representative	1, 4, 6
ZHANG Yuqing	BOI792	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2019

CE Name	CE No.	License Type	Types of Regulated Activity
ZHANG Zhengye	BOI426	Licensed Representative	1
ZHANG Ziqing	BOI938	Licensed Representative	6
ZHAO Bencai	BOG408	Licensed Representative	1, 4, 9
ZHAO Dan	BOI437	Licensed Representative	1
ZHAO Xiaorui	BOH225	Licensed Representative	1, 4
ZHAO Yining	BGC425	Licensed Representative	1, 4
ZHAO Yiting	BOH781	Licensed Representative	1, 4, 6
ZHEN Jiajia	BOH699	Licensed Representative	4, 9
ZHOU Long	BOJ160	Licensed Representative	1, 6
ZHOU Xuan	BOI483	Licensed Representative	1, 2
ZHOU Yanan	BIH827	Licensed Representative	1, 6
ZHU Xiaowei	BOK096	Licensed Representative	4
ZOU Siqin	BOJ065	Licensed Representative	4, 9
ZOU Yun	BOJ100	Licensed Representative	1, 6
ZUO Changhui	BOI196	Licensed Representative	1, 2
AN Dong	BOF111	Licensed Representative - Responsible Officer	9
BASSOLINO Gaetano	BNW211	Licensed Representative - Responsible Officer	6
BYWORTH Richard Anthony	AUF080	Licensed Representative - Responsible Officer	4, 9
CANG Biao	BOE269	Licensed Representative - Responsible Officer	9
CHAN Ding Bong, Andrew	AGL865	Licensed Representative - Responsible Officer	4, 9
CHAN Ho Wei, Robin	AFU627	Licensed Representative - Responsible Officer	1
CHANG Su-Yuan	BNH266	Licensed Representative - Responsible Officer	2, 5
CHEN Libing	AOY490	Licensed Representative - Responsible Officer	4, 9
CHIEN Chunwang	BNH267	Licensed Representative - Responsible Officer	2, 5
CHOO Chong Yao, Patrick	AEI068	Licensed Representative - Responsible Officer	4, 9
FU Biao	BMQ631	Licensed Representative - Responsible Officer	4, 9
FUNG Ka Chun, Gilbert	BDQ831	Licensed Representative - Responsible Officer	1, 4, 9
GOLDWYN Jeremy David	BJU244	Licensed Representative - Responsible Officer	2
GONG Bo	BOA768	Licensed Representative - Responsible Officer	1
GREEN Alexander Simon	BOB003	Licensed Representative - Responsible Officer	9
GUO Jianpeng	BAP669	Licensed Representative - Responsible Officer	4, 9
HOAD Benjamin Isaac	AMF268	Licensed Representative - Responsible Officer	1, 4
HORIIKE Atsushi	BOA774	Licensed Representative - Responsible Officer	1
HOROWITZ Barry Alan	AWC497	Licensed Representative - Responsible Officer	1
HUANG Hongyan	BCQ552	Licensed Representative - Responsible Officer	4, 9
JANSSEN Svend	BOE500	Licensed Representative - Responsible Officer	3
JOHANSSON Yngve Daniel	BNN858	Licensed Representative - Responsible Officer	4, 9
KOO Wing Tat, Vincent	ACW766	Licensed Representative - Responsible Officer	4, 9
KOOK Kyungoh	BOA773	Licensed Representative - Responsible Officer	1
KUAN Tse Jen	BEW932	Licensed Representative - Responsible Officer	4, 9
LAM Man Yi, Wendy	BKP665	Licensed Representative - Responsible Officer	9
LEE Ling-Feng	BNY024	Licensed Representative - Responsible Officer	4, 9
LEE Gee Eun	AXG142	Licensed Representative - Responsible Officer	1
LEE Yew Weng	BOF216	Licensed Representative - Responsible Officer	6
LEUNG Hong Kan	AZM887	Licensed Representative - Responsible Officer	1
LI Chunwang	BES126	Licensed Representative - Responsible Officer	9
LI Kwok Man	BKA616	Licensed Representative - Responsible Officer	1
LIN Chun-Chi	BNH268	Licensed Representative - Responsible Officer	2, 5

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2019

CE Name	CE No.	License Type	Types of Regulated Activity
LIN Zhi	BOI813	Licensed Representative - Responsible Officer	9
LING Cheuk Wing Henry	ASH431	Licensed Representative - Responsible Officer	9
LO Shuk Man Charmaine	ANT856	Licensed Representative - Responsible Officer	4, 9
ODORCZUK Adam Robert	AWZ027	Licensed Representative - Responsible Officer	1, 2
SEIF Padraig Johannes	BOF393	Licensed Representative - Responsible Officer	4, 9
SEMIONATO BERNARDES Eduardo Henrique	AUL622	Licensed Representative - Responsible Officer	1, 4
SHEN Jen Der	ALY713	Licensed Representative - Responsible Officer	1
SIT Wing Fai, Wilfred	ACJ160	Licensed Representative - Responsible Officer	1, 4, 5, 9
TSE Chiu Lai	BOD214	Licensed Representative - Responsible Officer	1, 4, 9
WALSH David John	AZP102	Licensed Representative - Responsible Officer	9
WANG Lijun	BOI833	Licensed Representative - Responsible Officer	9
WANG Dayong	BDN294	Licensed Representative - Responsible Officer	9
WONG Che Hao, David	AIY651	Licensed Representative - Responsible Officer	1, 4
WONG Shing Kwan	ACS352	Licensed Representative - Responsible Officer	1, 4, 9
WU Jianfei	BAZ794	Licensed Representative - Responsible Officer	9
WU Wenquan	APC267	Licensed Representative - Responsible Officer	4, 9
XU Jingwen	BOF170	Licensed Representative - Responsible Officer	4, 9
XU Yong	BMT490	Licensed Representative - Responsible Officer	1, 6
XUE Junwei	BNP667	Licensed Representative - Responsible Officer	1
YIP Hang Ting Abby	BOI799	Licensed Representative - Responsible Officer	9
YUAN Pengcheng	BOB930	Licensed Representative - Responsible Officer	4, 9
ZGRAGGEN Pius Alois	BOF394	Licensed Representative - Responsible Officer	4, 9
ZHANG Yumeng	BNV214	Licensed Representative - Responsible Officer	4, 9
ALLEN William David Macdonald	BBH972	Licensed Representative (Provisional Licence)	1
AUBAGUE Adrien Pierre	BOJ031	Licensed Representative (Provisional Licence)	1
AYADI Yassine	BOJ332	Licensed Representative (Provisional Licence)	1
BAN Chengwei	BOL644	Licensed Representative (Provisional Licence)	1, 4, 9
BHATTACHARYA Devraj	BOJ789	Licensed Representative (Provisional Licence)	1, 2
CAI Qiusi	BOJ455	Licensed Representative (Provisional Licence)	1, 4, 6
CAI Zhiwei	BOK250	Licensed Representative (Provisional Licence)	6
CHAN Ho Yin Ronald	BOL429	Licensed Representative (Provisional Licence)	1, 4
CHAN Hon Shui Leo	APG915	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Ming Yan	BOL140	Licensed Representative (Provisional Licence)	1, 4
CHAN Toi Kin	BNL529	Licensed Representative (Provisional Licence)	1, 4, 9
CHANG Hyun Kyu	ARU856	Licensed Representative (Provisional Licence)	1
CHANG Pui Shan, Melissa	ARN180	Licensed Representative (Provisional Licence)	1
CHEE Mauhoong	BOJ855	Licensed Representative (Provisional Licence)	3
CHEN Fang	BOK895	Licensed Representative (Provisional Licence)	1, 6
CHEN Ka Yip, Walter	AQW933	Licensed Representative (Provisional Licence)	9
CHEN Liujing	BOL202	Licensed Representative (Provisional Licence)	1
CHEN Rong	BJK421	Licensed Representative (Provisional Licence)	4
CHEN Wangyu	ATL492	Licensed Representative (Provisional Licence)	1, 4
CHEN Yongshan	BFZ092	Licensed Representative (Provisional Licence)	1, 4, 6
CHENG Chao	BOL366	Licensed Representative (Provisional Licence)	1, 6
CHENG Shun Yat Adrian	BOL068	Licensed Representative (Provisional Licence)	1, 4
CHENG Xi	BOL384	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Chor Hei	BOM283	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Yin To	BOL064	Licensed Representative (Provisional Licence)	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2019

CE Name	CE No.	License Type	Types of Regulated Activity
CHIANG Pei-Chia	BOL205	Licensed Representative (Provisional Licence)	9
CHING Yuk Shan, Maggie	AGC976	Licensed Representative (Provisional Licence)	1, 2, 4, 5
CHIU Kuo Hao	BNY934	Licensed Representative (Provisional Licence)	1, 2, 5
CHOI Sau Fong, Peggy	ALN485	Licensed Representative (Provisional Licence)	1
CHOI Steven Seungwook	BOK418	Licensed Representative (Provisional Licence)	1, 4, 9
CHOO Sung Min	BOJ583	Licensed Representative (Provisional Licence)	1, 2
CHOUGULE Swapnil	BOJ016	Licensed Representative (Provisional Licence)	1, 2
CHU Hei Ching	BOJ262	Licensed Representative (Provisional Licence)	1
CHU Ngar See	BNX722	Licensed Representative (Provisional Licence)	1, 4
CHU Siu Chung	BOK735	Licensed Representative (Provisional Licence)	1, 2
CHU Wai Luen	AHJ402	Licensed Representative (Provisional Licence)	1
CHUI Hing Cheung Ronald	BOJ266	Licensed Representative (Provisional Licence)	1
CHUNG Wing Yee	BOM558	Licensed Representative (Provisional Licence)	1
CHUNG Yee Sze	BOH785	Licensed Representative (Provisional Licence)	1
CINDRA	BOK593	Licensed Representative (Provisional Licence)	1, 2
COWNEY Nicholas Edward	BOL583	Licensed Representative (Provisional Licence)	1, 4, 9
CUI Hai	BOL294	Licensed Representative (Provisional Licence)	4, 9
CUSACK Andrew Mark	AZX878	Licensed Representative (Provisional Licence)	1
DECLIPPELEIR Olivier, Francois	BOL720	Licensed Representative (Provisional Licence)	2, 5
DENG Shaquan	BOM875	Licensed Representative (Provisional Licence)	1, 6
DENHOY Rajbir Singh	BOK488	Licensed Representative (Provisional Licence)	4
DHAND Vikram	BOL406	Licensed Representative (Provisional Licence)	9
DING Jiawen	BOK368	Licensed Representative (Provisional Licence)	4
DING Weiao	BOL432	Licensed Representative (Provisional Licence)	1, 2
DING Yiming	AXG896	Licensed Representative (Provisional Licence)	1, 4
DONG Xu	BOM884	Licensed Representative (Provisional Licence)	1, 4
DUNYACH Quentin Jean-jacques	BNP199	Licensed Representative (Provisional Licence)	1, 4
DUPONT-VECCHIERINI Victoire Anne Francine	BOL065	Licensed Representative (Provisional Licence)	1, 2
EDWARDS Matthew Scott	BCN449	Licensed Representative (Provisional Licence)	4, 9
ENGEL Josephine Elise Silvia	BOL195	Licensed Representative (Provisional Licence)	1, 4, 9
FAN Congmin	BOK617	Licensed Representative (Provisional Licence)	1, 2, 7
FENG Yangyang	BOM713	Licensed Representative (Provisional Licence)	4
FENG Yun	BOL022	Licensed Representative (Provisional Licence)	4, 9
FERGUSON Ewan James	BOM489	Licensed Representative (Provisional Licence)	1, 9
FLORENT Gabriel	BOJ010	Licensed Representative (Provisional Licence)	1, 2
FOLAN Gregory Edward	BOL435	Licensed Representative (Provisional Licence)	1
FOO Woon Howe	BOL201	Licensed Representative (Provisional Licence)	9
FU Choi Fung	BEA835	Licensed Representative (Provisional Licence)	1, 2
FUKASE Yoshiya	BOM120	Licensed Representative (Provisional Licence)	1, 4, 6
GAO Shengliang	BOL193	Licensed Representative (Provisional Licence)	1, 4, 6
GE Patrick Yuanning	BOJ663	Licensed Representative (Provisional Licence)	4
GOORANAH Avrish	BNG841	Licensed Representative (Provisional Licence)	2, 5
GU Haohan	BOI044	Licensed Representative (Provisional Licence)	1
GUO Peiyao	BOI388	Licensed Representative (Provisional Licence)	1, 4
HABERZETTL Martin Seung Yann	BOM053	Licensed Representative (Provisional Licence)	1
HARTLEY Andrew Eric	BOH914	Licensed Representative (Provisional Licence)	1, 4, 7
HE Binhui	AXL913	Licensed Representative (Provisional Licence)	1, 6
HE Jiajun	BFA671	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2019

CE Name	CE No.	License Type	Types of Regulated Activity
HE Munan	BOL046	Licensed Representative (Provisional Licence)	1, 2, 4
HE Peng	AVI431	Licensed Representative (Provisional Licence)	2, 5
HEGARTY Samuel Paul	BOL450	Licensed Representative (Provisional Licence)	1, 4
HENG Zhi Ying Genevieve	BOL394	Licensed Representative (Provisional Licence)	1
HILL John Andrew	BMT336	Licensed Representative (Provisional Licence)	1
HO Sai Wah	BOK128	Licensed Representative (Provisional Licence)	1
HO Siu Man	BHW617	Licensed Representative (Provisional Licence)	1
HO Yan Shing	BOM657	Licensed Representative (Provisional Licence)	1
HOAD Benjamin Isaac	AMF268	Licensed Representative (Provisional Licence)	1, 4
HODGE Andrew John	BOK483	Licensed Representative (Provisional Licence)	4
HONG Xinan	BOK055	Licensed Representative (Provisional Licence)	1, 2
HSIEH Pei-Chun	BNY932	Licensed Representative (Provisional Licence)	1, 2, 5
HU Xiaojun	BOM157	Licensed Representative (Provisional Licence)	1, 6
HUANG Daxin	BON566	Licensed Representative (Provisional Licence)	1, 6
HUANG Kangli	BOK655	Licensed Representative (Provisional Licence)	1, 4, 6
HUANG Rong	BNG235	Licensed Representative (Provisional Licence)	6
HUANG Sisi	BOJ037	Licensed Representative (Provisional Licence)	1
HUI Hiu Sum	BOM269	Licensed Representative (Provisional Licence)	2
HUNG Karrie Ka Ki	BOK601	Licensed Representative (Provisional Licence)	1, 6
HUNG Shao Feng	BOJ331	Licensed Representative (Provisional Licence)	1
HUNG Yin	BOL276	Licensed Representative (Provisional Licence)	1
HUO Yu Chen	BOK338	Licensed Representative (Provisional Licence)	4, 9
HWANG Kyusan	BOM397	Licensed Representative (Provisional Licence)	1, 2
IP Ka Ho	BOM542	Licensed Representative (Provisional Licence)	1, 2
ITO Akira	BOL204	Licensed Representative (Provisional Licence)	9
JANG Mustafa	BIY990	Licensed Representative (Provisional Licence)	4, 9
JANSSEN Remco	BNT196	Licensed Representative (Provisional Licence)	9
JIANG Guihong	BOL346	Licensed Representative (Provisional Licence)	1, 2, 9
JIANG Mengyi	BOL381	Licensed Representative (Provisional Licence)	6
JIANG Rongxianzi	BOK452	Licensed Representative (Provisional Licence)	1
JIANG Zhiyue	BKU700	Licensed Representative (Provisional Licence)	1, 4, 6
KHANOLKAR Rohan Kishor	BEY881	Licensed Representative (Provisional Licence)	4
KIM Jung-su	BOK336	Licensed Representative (Provisional Licence)	1
KIYOTA Akira	BOL508	Licensed Representative (Provisional Licence)	1, 4, 6
KOO Hung Yuan, Kevin	AFE094	Licensed Representative (Provisional Licence)	4, 9
KUANG Weijia	BOJ488	Licensed Representative (Provisional Licence)	1, 6
KURODA Kentaro	BOJ811	Licensed Representative (Provisional Licence)	1, 4
KWOK Chun Hei	APW669	Licensed Representative (Provisional Licence)	1, 4
KWONG Maisie Jenny	BOM902	Licensed Representative (Provisional Licence)	1, 2
LAI Chun Kit	BGH428	Licensed Representative (Provisional Licence)	4, 9
LAM Yi Fung	BOJ015	Licensed Representative (Provisional Licence)	1, 4, 9
LAU Alfred Ying	BOM451	Licensed Representative (Provisional Licence)	1, 2
LAU Chun Kit	BOM892	Licensed Representative (Provisional Licence)	1
LAU Chun Tung	AWB700	Licensed Representative (Provisional Licence)	1
LAU Hong	BOK451	Licensed Representative (Provisional Licence)	1
LAU Ka Ming	AGP191	Licensed Representative (Provisional Licence)	1
LAU King Yee	BOK401	Licensed Representative (Provisional Licence)	1
LAU Wing Lam	BOL345	Licensed Representative (Provisional Licence)	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2019

CE Name	CE No.	License Type	Types of Regulated Activity
LAU Yuk Hang Alberto	BOM750	Licensed Representative (Provisional Licence)	1, 2
LAW HILARY	BOK923	Licensed Representative (Provisional Licence)	1, 4
LAW Richard	BOK164	Licensed Representative (Provisional Licence)	1
LE Trung Cuong Steven	BOK430	Licensed Representative (Provisional Licence)	1, 2, 7
LEE Doohyun	BOM887	Licensed Representative (Provisional Licence)	1, 2, 7
LEE Ho Ting	BOM562	Licensed Representative (Provisional Licence)	3
LEE Jung Yup	ALW175	Licensed Representative (Provisional Licence)	1
LEE Kai Him	BON467	Licensed Representative (Provisional Licence)	1, 2
LEE Kyungjoon	BOJ333	Licensed Representative (Provisional Licence)	1, 4
LEE Siu Ki Ricky	BOL677	Licensed Representative (Provisional Licence)	1, 4
LEE Young Suk Julianne	AQS657	Licensed Representative (Provisional Licence)	1, 2
LEI Anran	BON535	Licensed Representative (Provisional Licence)	1, 4
LEI Chao	BOM745	Licensed Representative (Provisional Licence)	1, 4
LEUNG Chun Kit	BON564	Licensed Representative (Provisional Licence)	1
LEUNG Darren Tat-Lun	BOJ934	Licensed Representative (Provisional Licence)	4
LEUNG Kai Tong	ADU276	Licensed Representative (Provisional Licence)	4
LEUNG Kar Lak	BBF229	Licensed Representative (Provisional Licence)	1, 2
LEUNG Ryan Wan Yan	BGT777	Licensed Representative (Provisional Licence)	1
LEW Kyunghyun	BOK907	Licensed Representative (Provisional Licence)	1, 4, 9
LI Jingting	BKO985	Licensed Representative (Provisional Licence)	1, 4
LI Jizhe	BOM152	Licensed Representative (Provisional Licence)	1, 6
LI Kun-Chih	ARM569	Licensed Representative (Provisional Licence)	1, 4
LI Lok Hang	APF782	Licensed Representative (Provisional Licence)	4
LI Pik Fan	BOK833	Licensed Representative (Provisional Licence)	1
LI Tai	BOK534	Licensed Representative (Provisional Licence)	9
LI Xian	BOL428	Licensed Representative (Provisional Licence)	6
LI Xiang	AZY902	Licensed Representative (Provisional Licence)	1
LI Xin	BON648	Licensed Representative (Provisional Licence)	1, 4
LI Yilun	BOL472	Licensed Representative (Provisional Licence)	1
LI Yiyi	BOI874	Licensed Representative (Provisional Licence)	1, 2
LI Yu-yun	BJK904	Licensed Representative (Provisional Licence)	4
LIANG Danlin	BOK627	Licensed Representative (Provisional Licence)	9
LIANG Yabing	BOM625	Licensed Representative (Provisional Licence)	1
LIANG Zhengwei	BOK497	Licensed Representative (Provisional Licence)	4
LIAO Sishi	BOI902	Licensed Representative (Provisional Licence)	1
LIM Krystal Kian Peng	BOK902	Licensed Representative (Provisional Licence)	1
LIN Han	BFA306	Licensed Representative (Provisional Licence)	6
LIN Haohua	BOI926	Licensed Representative (Provisional Licence)	1, 4, 6
LIN Ke	BOL043	Licensed Representative (Provisional Licence)	1, 6
LIN Qinyao	BOM087	Licensed Representative (Provisional Licence)	1, 2
LIN Yaojie	BOH991	Licensed Representative (Provisional Licence)	1, 4, 6
LIN Yinhong	BON559	Licensed Representative (Provisional Licence)	1
LIU Gangxian	BOK824	Licensed Representative (Provisional Licence)	1, 4
LIU Jimmy Chenxi	BOG388	Licensed Representative (Provisional Licence)	1, 4
LIU Ka Fai	BOJ750	Licensed Representative (Provisional Licence)	1, 2, 9
LIU Ruoyi	BFZ342	Licensed Representative (Provisional Licence)	1
LIU Tang	BOL063	Licensed Representative (Provisional Licence)	1, 4
LIU Ye	BOM872	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2019

CE Name	CE No.	License Type	Types of Regulated Activity
LIU Yikai	BOM904	Licensed Representative (Provisional Licence)	4
LO Tsam Yi Sammie	BOL707	Licensed Representative (Provisional Licence)	1, 4
LOKE Chern Yang Ian	BOM372	Licensed Representative (Provisional Licence)	1, 4, 6
LU Chen	BKH244	Licensed Representative (Provisional Licence)	1, 4
LUI Ho Kit	AYO352	Licensed Representative (Provisional Licence)	1, 2
LUNG Tak Sau, Eric	AOV821	Licensed Representative (Provisional Licence)	1, 2, 7
LUO Xifeng	BOL638	Licensed Representative (Provisional Licence)	1, 6
LUO Zirong	BOL650	Licensed Representative (Provisional Licence)	1, 4, 6
MA Shek Yee	AHX750	Licensed Representative (Provisional Licence)	4, 9
MA Shuting	BOL304	Licensed Representative (Provisional Licence)	9
MA Xing	BOM109	Licensed Representative (Provisional Licence)	1, 4
MAGUIRE Ian Paul	BOL635	Licensed Representative (Provisional Licence)	1, 4, 9
MAK Kit Ying Winnie	BOM066	Licensed Representative (Provisional Licence)	1
MAOLANON Nond	BOL719	Licensed Representative (Provisional Licence)	1, 2, 4, 5
MARZOUQ H Ahamed	BOM546	Licensed Representative (Provisional Licence)	1, 4, 9
MENNESSON Thomas	AQX047	Licensed Representative (Provisional Licence)	1
MIROCHNIK Leon	BE0717	Licensed Representative (Provisional Licence)	4, 9
MOHTA Devvrat	BOL777	Licensed Representative (Provisional Licence)	9
MOK Tien Fong, Vanessa	BOL497	Licensed Representative (Provisional Licence)	1
MORBAY Christopher David Bryan	BOK487	Licensed Representative (Provisional Licence)	4
NAKATSUKASA Seiji	BOL278	Licensed Representative (Provisional Licence)	1, 4, 6
NEYRINCK Alexandre Francis Bernard	BOJ021	Licensed Representative (Provisional Licence)	1, 4
NG Fo Chun	BOL456	Licensed Representative (Provisional Licence)	9
NG Hoi Hin	BOK450	Licensed Representative (Provisional Licence)	1, 4
NG Ka Shun, Carson	ARE772	Licensed Representative (Provisional Licence)	4
NG Ling Chi	AIR476	Licensed Representative (Provisional Licence)	9
NG YIK YAU	BOJ022	Licensed Representative (Provisional Licence)	1
NGAI Ying Tim	BOK373	Licensed Representative (Provisional Licence)	4, 5, 9
NISHIMURA Shintaro	BOI034	Licensed Representative (Provisional Licence)	1, 4, 6
NOBLES IV William Daniel	BOK249	Licensed Representative (Provisional Licence)	9
ODORCZUK Adam Robert	AWZ027	Licensed Representative (Provisional Licence)	1, 2
OKUBO Kaori	BOM072	Licensed Representative (Provisional Licence)	1, 2, 4, 5
OUYANG Miao	BOJ110	Licensed Representative (Provisional Licence)	4
PAN Huiying	BOL180	Licensed Representative (Provisional Licence)	1, 2, 7
PAN Jian	BOL466	Licensed Representative (Provisional Licence)	4
PARK Sejun	BOJ261	Licensed Representative (Provisional Licence)	1
PAU William	APB248	Licensed Representative (Provisional Licence)	1, 4
PHAM John Le Hien	AXQ759	Licensed Representative (Provisional Licence)	9
POON Kit Ying	BOL513	Licensed Representative (Provisional Licence)	1
QI Dechao	BNQ428	Licensed Representative (Provisional Licence)	4, 9
QIAN Haochen	BOM673	Licensed Representative (Provisional Licence)	4, 9
QIU Kang	AQX035	Licensed Representative (Provisional Licence)	1
QIU Xiaochuan	BOI996	Licensed Representative (Provisional Licence)	9
QU Chenchen	BOL131	Licensed Representative (Provisional Licence)	4
QUMU Ake	BNT237	Licensed Representative (Provisional Licence)	1, 4
RONG Yi	AVP702	Licensed Representative (Provisional Licence)	9
ROUZIER Tom Baptiste	BOJ264	Licensed Representative (Provisional Licence)	1, 2, 7
SAKAMOTO Minoru	BOL098	Licensed Representative (Provisional Licence)	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2019

CE Name	CE No.	License Type	Types of Regulated Activity
SALATI Giasone Ulisse	BOI944	Licensed Representative (Provisional Licence)	4
SEE Wee Phing, Eric	AQU543	Licensed Representative (Provisional Licence)	1
SHAN Zhongbei	BOL151	Licensed Representative (Provisional Licence)	2
SHAO Yufang	BBS886	Licensed Representative (Provisional Licence)	1
SHETH Mihir Milindra	ATO049	Licensed Representative (Provisional Licence)	9
SHIU Susan Woon Ying	AUA244	Licensed Representative (Provisional Licence)	1, 4
SIRSALEWALA Mayank Sitaram	BOJ560	Licensed Representative (Provisional Licence)	1, 2
SIT Cho Ying	BOK498	Licensed Representative (Provisional Licence)	1
SON Do Un	BOK462	Licensed Representative (Provisional Licence)	1
SONG Pengcheng	BIO003	Licensed Representative (Provisional Licence)	4, 9
SONG Rui	BOL182	Licensed Representative (Provisional Licence)	4, 5, 9
SU Aiyu	BOL350	Licensed Representative (Provisional Licence)	1, 6
SU Guangya	BOK570	Licensed Representative (Provisional Licence)	1, 4, 9
SUBBARAMAN Sahana Lakshmi	BOK335	Licensed Representative (Provisional Licence)	1, 4
SUN Chi Keung, Alex	AMR298	Licensed Representative (Provisional Licence)	1, 4, 9
SUN Jia	BOL365	Licensed Representative (Provisional Licence)	4
SY Michelle	BOM827	Licensed Representative (Provisional Licence)	1, 6
SZETO Carol Wen	BCY116	Licensed Representative (Provisional Licence)	1
TAM Evon	AQE004	Licensed Representative (Provisional Licence)	1, 4
TAN Xianrong	BOK046	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 6, 9
TAO Michael	BOJ019	Licensed Representative (Provisional Licence)	1
TENG Wai Li	BOK328	Licensed Representative (Provisional Licence)	4, 9
TEO Ngee Kuan	AOA888	Licensed Representative (Provisional Licence)	9
THYNNE Grenville Matthew	AEZ034	Licensed Representative (Provisional Licence)	1, 6
TING Zaixiong Shaun	BOK227	Licensed Representative (Provisional Licence)	1
TO Kit Lam	BOL231	Licensed Representative (Provisional Licence)	1
TONG Junhao	BOM895	Licensed Representative (Provisional Licence)	1, 6
TSANG Hin Yip	BOM084	Licensed Representative (Provisional Licence)	1
TSANG Jason Siu Hang	BOM563	Licensed Representative (Provisional Licence)	1, 2
TSANG Kin Ki	BOL868	Licensed Representative (Provisional Licence)	1
TSE Shing Hin	BAV391	Licensed Representative (Provisional Licence)	9
TSO King On	BOH943	Licensed Representative (Provisional Licence)	1, 4
TSUI Ka Chun	BNE461	Licensed Representative (Provisional Licence)	1, 2
TU Shuying	BOK186	Licensed Representative (Provisional Licence)	1
UEMURA Ryuta	BOL603	Licensed Representative (Provisional Licence)	1
WAN Chun Sing	BOM747	Licensed Representative (Provisional Licence)	1, 2
WAN Chun Wah	AYZ607	Licensed Representative (Provisional Licence)	1
WAN Yim Na	AUX005	Licensed Representative (Provisional Licence)	1
WANG Bingjie	BOL715	Licensed Representative (Provisional Licence)	1, 4
WANG Chen	BOK395	Licensed Representative (Provisional Licence)	4
WANG Duan	BOM051	Licensed Representative (Provisional Licence)	1, 4
WANG Fei	BOK298	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Hongyan	BOM631	Licensed Representative (Provisional Licence)	1, 2
WANG Jie	BOL190	Licensed Representative (Provisional Licence)	1, 6
WANG Jingwen	BOK894	Licensed Representative (Provisional Licence)	1, 6
WANG Peijia	BON561	Licensed Representative (Provisional Licence)	1
WANG Pengfei	BOK901	Licensed Representative (Provisional Licence)	1, 6
WANG Pu	BOL362	Licensed Representative (Provisional Licence)	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2019

CE Name	CE No.	License Type	Types of Regulated Activity
WANG Qiupeng	BOL293	Licensed Representative (Provisional Licence)	3
WANG Ruizhi	BOL901	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Shuyuan	BON563	Licensed Representative (Provisional Licence)	1, 6
WANG YUAN	BOL438	Licensed Representative (Provisional Licence)	6
WANG Yujie	BOJ430	Licensed Representative (Provisional Licence)	4
WANG Ziqian	BOL740	Licensed Representative (Provisional Licence)	1, 4, 9
WATANABE Tetsuya	BOJ020	Licensed Representative (Provisional Licence)	1
WEI Ziqing	BOI575	Licensed Representative (Provisional Licence)	4
WELFORD Peter James	BOM089	Licensed Representative (Provisional Licence)	4
WEN Qingyuan	BOL383	Licensed Representative (Provisional Licence)	4, 9
WHALE Patrick Xavier	ARO879	Licensed Representative (Provisional Licence)	1, 2, 4, 5
WONG Chi Fung	BOK469	Licensed Representative (Provisional Licence)	1, 6
WONG Chi Man	BJY066	Licensed Representative (Provisional Licence)	1, 2
WONG Chun Tat	BOL059	Licensed Representative (Provisional Licence)	1, 6
WONG Ka Hei	BOJ191	Licensed Representative (Provisional Licence)	10
WONG Ka Yu	BLC844	Licensed Representative (Provisional Licence)	10
WONG Kim Ping	BOM671	Licensed Representative (Provisional Licence)	1, 4
WONG Kin Keung	AXO285	Licensed Representative (Provisional Licence)	1, 2
WONG On Yiu	BOL123	Licensed Representative (Provisional Licence)	9
WONG Po Shan, Rosana	BAH739	Licensed Representative (Provisional Licence)	1, 4
WONG Ting Ting	BOM090	Licensed Representative (Provisional Licence)	1
WONG Tsun Yip	BOL434	Licensed Representative (Provisional Licence)	1, 4
WONG Wai Lam	AEL134	Licensed Representative (Provisional Licence)	1, 2
WONG Wai Ming	ASD119	Licensed Representative (Provisional Licence)	1, 2
WONG Wai Tong, William	BOK274	Licensed Representative (Provisional Licence)	4, 9
WOO Yung Hong Kelly	BOF102	Licensed Representative (Provisional Licence)	9
WOODCOCK Morgan Anne	AXG147	Licensed Representative (Provisional Licence)	1
WU Hongping	BOH489	Licensed Representative (Provisional Licence)	4
WU Huijuan	BOM807	Licensed Representative (Provisional Licence)	1
WU Jie	BOI951	Licensed Representative (Provisional Licence)	1
WU Shuk Ching	BOM052	Licensed Representative (Provisional Licence)	1, 2
WU Xia	BOM890	Licensed Representative (Provisional Licence)	1, 4
WU Ying	BOJ939	Licensed Representative (Provisional Licence)	1
WU ZISANG	BOL198	Licensed Representative (Provisional Licence)	4, 9
XIANG Zihan	BON532	Licensed Representative (Provisional Licence)	4, 9
XIE Wenjun	BOK590	Licensed Representative (Provisional Licence)	1
XIE Yi	BOL641	Licensed Representative (Provisional Licence)	1
XIONG Jun	BOJ666	Licensed Representative (Provisional Licence)	1, 6
XU Yifeng	BOM893	Licensed Representative (Provisional Licence)	1, 6
XUE Yonghong	BOK454	Licensed Representative (Provisional Licence)	4, 5, 9
YAMAKAWA Masami	BOK402	Licensed Representative (Provisional Licence)	1, 4, 6
YAN Huichen	BOK817	Licensed Representative (Provisional Licence)	1, 4
YAN Kuo	BOM672	Licensed Representative (Provisional Licence)	1, 4, 7
YAN Wujuyi	BLZ741	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Bing	BOM868	Licensed Representative (Provisional Licence)	1, 4
YANG Haoming	BOK461	Licensed Representative (Provisional Licence)	1, 6
YANG Hesu	BOM802	Licensed Representative (Provisional Licence)	1, 6
YANG Sigao	BCV189	Licensed Representative (Provisional Licence)	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2019

CE Name	CE No.	License Type	Types of Regulated Activity
YAO Cheng	BOM288	Licensed Representative (Provisional Licence)	4
YAU Ka Man	BOK106	Licensed Representative (Provisional Licence)	4, 9
YE Guofeng	AXG034	Licensed Representative (Provisional Licence)	1, 4, 9
YE Shinian	BOI107	Licensed Representative (Provisional Licence)	9
YEO Cheng Hong, Alex	BOK517	Licensed Representative (Provisional Licence)	1, 4, 9
YEUNG Hei Chun	BOL793	Licensed Representative (Provisional Licence)	1
YEUNG Tak Yi	BOK641	Licensed Representative (Provisional Licence)	1
YI Weichen	BOL430	Licensed Representative (Provisional Licence)	1
YIM Chun Kit	BOM730	Licensed Representative (Provisional Licence)	1
YIP Kwan Tak	BOM501	Licensed Representative (Provisional Licence)	1, 2
YU Hongtao	BOM317	Licensed Representative (Provisional Licence)	1, 4
YU Ka Kit	BOM761	Licensed Representative (Provisional Licence)	1, 6
YU Pei Chin	BOL062	Licensed Representative (Provisional Licence)	1, 2
YU Sau Ling	BOM666	Licensed Representative (Provisional Licence)	9
YUAN Yingjun	AWT865	Licensed Representative (Provisional Licence)	1, 4
YUAN Zhefei	APL225	Licensed Representative (Provisional Licence)	1, 4
YUEN Kelvin Kwan Tai	BCZ313	Licensed Representative (Provisional Licence)	1, 4, 9
ZANG Xiaojing	BOM392	Licensed Representative (Provisional Licence)	2
ZHAI Yuja	BOK770	Licensed Representative (Provisional Licence)	1, 4, 6
ZHAN Xinyang	BOM762	Licensed Representative (Provisional Licence)	1
ZHANG Chunbing	BOJ751	Licensed Representative (Provisional Licence)	1, 2, 4, 9
ZHANG Han	BOJ588	Licensed Representative (Provisional Licence)	2, 5
ZHANG Keming	BOL768	Licensed Representative (Provisional Licence)	1
ZHANG Lunan	BOK331	Licensed Representative (Provisional Licence)	1
ZHANG Yixin	BOM527	Licensed Representative (Provisional Licence)	1, 4
ZHANG Ziran	BFC896	Licensed Representative (Provisional Licence)	1, 6
ZHAO Peilin	BOM281	Licensed Representative (Provisional Licence)	1, 2
ZHEN Jiajia	BOH699	Licensed Representative (Provisional Licence)	4, 9
ZHENG Shuwen	BOK574	Licensed Representative (Provisional Licence)	1, 4, 9
ZHONG Yiyang	BON565	Licensed Representative (Provisional Licence)	1, 6
ZHOU Huanjie	BJE019	Licensed Representative (Provisional Licence)	2
ZHOU Xiaowei	BOK594	Licensed Representative (Provisional Licence)	1
ZHOU Yi	BON542	Licensed Representative (Provisional Licence)	1, 4
ZHU Haibin	BMY274	Licensed Representative (Provisional Licence)	4
ZHU Jishun	BOI112	Licensed Representative (Provisional Licence)	1, 2
ABADA Mark Zayco	BHY238	Licensed Representative (Temporary Licence)	4
AGARWAL Rupal	BOJ101	Licensed Representative (Temporary Licence)	4
AGARWAL Virat	BGU565	Licensed Representative (Temporary Licence)	1
ANDERSON Joshua Michael	BOK681	Licensed Representative (Temporary Licence)	1, 4
AYRES Frank Alan	ADP222	Licensed Representative (Temporary Licence)	1
BALASUBRAMANIAM Venkatesh	BDT263	Licensed Representative (Temporary Licence)	4
BALDINGER Michael Werner	BOG033	Licensed Representative (Temporary Licence)	1, 4
BARRON Jason	BF1936	Licensed Representative (Temporary Licence)	1
BECKER William Joseph	BAI191	Licensed Representative (Temporary Licence)	1
BERTRAND Jean-Charles	AQU629	Licensed Representative (Temporary Licence)	1
BRENNAN Anthony Paul	BDU992	Licensed Representative (Temporary Licence)	4
BUTCHER Penelope Jane	ANG299	Licensed Representative (Temporary Licence)	1, 4
BUTLER David P	BJG359	Licensed Representative (Temporary Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2019

CE Name	CE No.	License Type	Types of Regulated Activity
CALICH Claudia	AOY027	Licensed Representative (Temporary Licence)	1
CAMP Tristan Hector	BDL303	Licensed Representative (Temporary Licence)	1
CAMPOS DIAZ Adrian Giancarlo	BHD137	Licensed Representative (Temporary Licence)	1
CAPANNA Derek Emmett	ARJ060	Licensed Representative (Temporary Licence)	1
CARCACHE Bill	BOJ244	Licensed Representative (Temporary Licence)	4
CARRIER Michael Roger	BDL874	Licensed Representative (Temporary Licence)	4
CHEEVAVICHAWALKUL Amornrat	AOX756	Licensed Representative (Temporary Licence)	4
CHEN Wei	BOL386	Licensed Representative (Temporary Licence)	1
CHEONG Taeyong	BGC155	Licensed Representative (Temporary Licence)	1
CHING Yi Edmund	AKU003	Licensed Representative (Temporary Licence)	4
CHOONG Andrea	BOK587	Licensed Representative (Temporary Licence)	4
CHOPRA Raashi	BEE682	Licensed Representative (Temporary Licence)	4
CHUA Minguang Donald	BEF380	Licensed Representative (Temporary Licence)	4
COLLINGE Edward James	BNV253	Licensed Representative (Temporary Licence)	1
DAI Wei	BOL570	Licensed Representative (Temporary Licence)	1
DARMONO Permadawani	BJH031	Licensed Representative (Temporary Licence)	4
DE GROOT Wilhelmina Annemarie	BMO316	Licensed Representative (Temporary Licence)	1
DEAKIN-BELL John Anthony	BNV617	Licensed Representative (Temporary Licence)	4
DIGBY Thomas Geoffrey	BOK886	Licensed Representative (Temporary Licence)	1, 4
ECKHOFF Simon Paul	BOK556	Licensed Representative (Temporary Licence)	1, 2
FALZON SANT MANDUCA Mark Andrew	BIK048	Licensed Representative (Temporary Licence)	4
FANG Chenjun	BJQ180	Licensed Representative (Temporary Licence)	4
FREDERICKS Michael John	BDF015	Licensed Representative (Temporary Licence)	1, 4
GIARRATANO James Robert	BOL534	Licensed Representative (Temporary Licence)	1
GONZALES Emeterio III David	AAI265	Licensed Representative (Temporary Licence)	4
GORDON-SMITH Clifford Ian	BJQ973	Licensed Representative (Temporary Licence)	1
GREYSON Matthew Ross	BOL870	Licensed Representative (Temporary Licence)	1, 2
HAIRE Geoffrey Robert	BLX953	Licensed Representative (Temporary Licence)	4
HARADA Jun	AOR374	Licensed Representative (Temporary Licence)	4
HASHIMOTO Takashi	BIJ499	Licensed Representative (Temporary Licence)	4
HEDBERG Jason Joseph	BMT577	Licensed Representative (Temporary Licence)	1
HENWOOD Julian Peter	AVC858	Licensed Representative (Temporary Licence)	1
HINDOCHA Raj Kumar	BOL529	Licensed Representative (Temporary Licence)	4
HIRATA Shingo	AYG042	Licensed Representative (Temporary Licence)	4
HONG Ying Hui	AWT694	Licensed Representative (Temporary Licence)	2, 5
HORNBAACH Matthew Thomas	AWZ985	Licensed Representative (Temporary Licence)	1, 4, 5
HORTON Natalie	BMW230	Licensed Representative (Temporary Licence)	1
HOWARD-JOHNSON Mark	BCA030	Licensed Representative (Temporary Licence)	1, 4
HSU Chen-Chih	BEE281	Licensed Representative (Temporary Licence)	4
HUANG Po-Wei	BNV921	Licensed Representative (Temporary Licence)	1
HUCKER Brett Andrew	BHH564	Licensed Representative (Temporary Licence)	1
HUTCHINS David Roger	BOL488	Licensed Representative (Temporary Licence)	4
IKEDA Atsushi	BEM322	Licensed Representative (Temporary Licence)	4
KALB Victoria Stacey	AHT205	Licensed Representative (Temporary Licence)	4
KANAPATHIPPILLAI Subash Efrem	BOK139	Licensed Representative (Temporary Licence)	4
KING Sean Roberts	BOL283	Licensed Representative (Temporary Licence)	4
KJAERGAARD Jorgen Nielsen	BFJ744	Licensed Representative (Temporary Licence)	4
KOK Wai Fai	BJQ184	Licensed Representative (Temporary Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2019

CE Name	CE No.	License Type	Types of Regulated Activity
KOOIJ Reijer Jan	BBQ185	Licensed Representative (Temporary Licence)	1, 4
KUBOTA Masashi	BBA891	Licensed Representative (Temporary Licence)	4
KUPLENT Christopher	BEX637	Licensed Representative (Temporary Licence)	4
LAN Yu-Han	BMS316	Licensed Representative (Temporary Licence)	10
LARKIN Anthony Gerrard	ABW349	Licensed Representative (Temporary Licence)	4
LAU Jianhong Larry	BOK731	Licensed Representative (Temporary Licence)	1, 4
LEE Kai An, Brandon (Li Kaian)	AXN040	Licensed Representative (Temporary Licence)	4
LI Yanying	APR655	Licensed Representative (Temporary Licence)	4
LIM Siew Khee	BMY539	Licensed Representative (Temporary Licence)	4
LIU Yaokun	BJI665	Licensed Representative (Temporary Licence)	4
MADDEN Patrick Martin	BOK366	Licensed Representative (Temporary Licence)	1, 2
MAJOR Daniel Edward	BMP227	Licensed Representative (Temporary Licence)	4
MALCOLM James David	BJL213	Licensed Representative (Temporary Licence)	4
MARIAPPAN Keerthana	BOL533	Licensed Representative (Temporary Licence)	1
MARKS Eric Michael	BOL836	Licensed Representative (Temporary Licence)	4
MARTINI Michael	BOK192	Licensed Representative (Temporary Licence)	1
MATOSHCHUK Iuliia	BOL678	Licensed Representative (Temporary Licence)	1, 4
MAYNARD Ian Robert	BGR018	Licensed Representative (Temporary Licence)	1, 2
MEGAN Sossi Amirian	BOM238	Licensed Representative (Temporary Licence)	1
MELENTYEV Oleg	BOL228	Licensed Representative (Temporary Licence)	4
MELLOR Christopher	BLF146	Licensed Representative (Temporary Licence)	1, 4
MURATA Alfred Taketo	BLE987	Licensed Representative (Temporary Licence)	1, 4
MUSFELDT Ashley Amanda	BOK489	Licensed Representative (Temporary Licence)	4
NAIR Girish Ravindran	AVI811	Licensed Representative (Temporary Licence)	4
NAIR Prashant Gopinathan	BEN187	Licensed Representative (Temporary Licence)	4
NIHONYANAGI Kei	BBP472	Licensed Representative (Temporary Licence)	4
NISHIGAKI Takeshi	BOC621	Licensed Representative (Temporary Licence)	1
NOWAK Brian Thomas	BAW836	Licensed Representative (Temporary Licence)	1, 4
OLSON Lauren Elizabeth	BOL387	Licensed Representative (Temporary Licence)	1
OOSTERWOUD Martijn Klaas	BOG063	Licensed Representative (Temporary Licence)	1, 4
O'REILLY Gerard Kieran	BLB714	Licensed Representative (Temporary Licence)	1
PERKS Edward Douglas	AXP594	Licensed Representative (Temporary Licence)	4
PERVEZ Ahsan	AJO361	Licensed Representative (Temporary Licence)	1, 4
PETROVCIK Kevin Patrick	AZL215	Licensed Representative (Temporary Licence)	1, 4
PHAM Duy Tue Edward	BOK370	Licensed Representative (Temporary Licence)	1, 4
PITTAWAY Nigel Clive	BEM323	Licensed Representative (Temporary Licence)	4
POON Hiu Mei Peggy	BOL067	Licensed Representative (Temporary Licence)	10
PUTRA Igor Nyoman	BDR834	Licensed Representative (Temporary Licence)	4
RAMAKRISHNAN Karthik Narayan	BMU958	Licensed Representative (Temporary Licence)	1
REDFERN William James	BGX417	Licensed Representative (Temporary Licence)	4
RUDLAND Michael James	BJD156	Licensed Representative (Temporary Licence)	1
SCHOLES Myron Samuel	BOJ627	Licensed Representative (Temporary Licence)	1, 4
SCOTT Andrew Geoffrey	BBE397	Licensed Representative (Temporary Licence)	1, 4
SECKER Robert Andrew	BNB154	Licensed Representative (Temporary Licence)	1
SHAH Sneha	BNR366	Licensed Representative (Temporary Licence)	1
SHEN Wei	BFM497	Licensed Representative (Temporary Licence)	4
SHROFF Abhijit	BOM516	Licensed Representative (Temporary Licence)	1
SIRIPASSORN Kritapas	BDQ319	Licensed Representative (Temporary Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
SMITH April Tam	BOL675	Licensed Representative (Temporary Licence)	1, 2
SMITH Karl Ben	BOK946	Licensed Representative (Temporary Licence)	1
TAPARIA Sourabh	BJQ979	Licensed Representative (Temporary Licence)	4
TEASDEL Joel Hamish Gregory John	BOL757	Licensed Representative (Temporary Licence)	1
TEVES Joni Mae	BFA936	Licensed Representative (Temporary Licence)	4
TIWARI Atul Kumar	BDT253	Licensed Representative (Temporary Licence)	4
TRUELOVE Keith Alan	AWF626	Licensed Representative (Temporary Licence)	1
TSURUO Mitsunobu	BHD023	Licensed Representative (Temporary Licence)	4
WEBB Charles Lawrence St John	BMZ532	Licensed Representative (Temporary Licence)	1, 4
WOLAVER Alexander U	BN123	Licensed Representative (Temporary Licence)	1, 2
WONG Amy Hin Kiu	BOL289	Licensed Representative (Temporary Licence)	4
WONG May-Li Melanie	BOJ484	Licensed Representative (Temporary Licence)	1, 4
WONG Pei Wei Sean	BOL640	Licensed Representative (Temporary Licence)	1
WU Han-Wen	BOM514	Licensed Representative (Temporary Licence)	1
XIA Yinyin	BJQ416	Licensed Representative (Temporary Licence)	4
XIE Dan	BHT121	Licensed Representative (Temporary Licence)	1
YAMADA Shusuke	BJG468	Licensed Representative (Temporary Licence)	4
YEE Henry Fan	BOK389	Licensed Representative (Temporary Licence)	1
ZHUANG Ruixian	BNV007	Licensed Representative (Temporary Licence)	4