

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
Blooming Securities Limited	BNM922	Licensed Corporation	1
Coller Capital (Hong Kong) Limited	BOK410	Licensed Corporation	1, 4
Do Trusty Investment Management Limited	BOD498	Licensed Corporation	1, 4, 9
Fengde Capital Limited	BNY321	Licensed Corporation	1, 4, 9
Grand Rich Asset Management Limited	BJM460	Licensed Corporation	9
Hung Sing Asset Management Limited	BOA282	Licensed Corporation	4, 9
JD Advisory Hong Kong Limited	BOI169	Licensed Corporation	4, 9
Kinlou Wealth Management Limited	BOC091	Licensed Corporation	4, 9
Long Corridor Asset Management Limited	BMW115	Licensed Corporation	9
Merdeka Corporate Finance Limited	BOJ397	Licensed Corporation	6
Pantheum Partners Limited	BOI660	Licensed Corporation	9
Partners Bay (HK) Limited	BOK501	Licensed Corporation	9
Primas Wealth Advisors Limited	BOG966	Licensed Corporation	1, 4, 9
Sunfine Asset Management (Hong Kong) Limited	BNN078	Licensed Corporation	9
VS Capital Limited	BNJ121	Licensed Corporation	6
Yonghe Asset Management (Hong Kong) Limited	BOH563	Licensed Corporation	4, 9
Yunrui Securities Limited	BOF288	Licensed Corporation	1
ACTON Daniel Thomas	BOM505	Licensed Representative	2, 5
ANTONELLI Christopher George	AQJ320	Licensed Representative	9
AOYAMA Yoshihiko	AZT327	Licensed Representative	1
AU Kai Man	BHY489	Licensed Representative	4
BAHL Alok	BCH641	Licensed Representative	2, 5
BAI Yiyang	BOR008	Licensed Representative	4
BHALLA Sumeet Kumar	BLH891	Licensed Representative	4, 9
BUNN Malcolm	AQM778	Licensed Representative	1, 4
CABANNES Robin Claude Louis	BOP236	Licensed Representative	1, 2
CAI Jiapan	BOP942	Licensed Representative	1, 2
CAI Lingfeng	BOM499	Licensed Representative	4
CAI Mingzhu	BON486	Licensed Representative	2, 5
CAI Yinan	BOP963	Licensed Representative	1, 6
CHAK Wai Hung	BDY891	Licensed Representative	9
CHAK Wai Nga	BOO797	Licensed Representative	1
CHAN Bella Huiyin	BOR369	Licensed Representative	1, 6
CHAN Chi Yin	BOP754	Licensed Representative	1, 2
CHAN Cho Sin	BOP244	Licensed Representative	1, 4
CHAN Emily Mei-Yee	AHN696	Licensed Representative	1
CHAN Hiang Wei, Elgin	AOV932	Licensed Representative	1, 4
CHAN Ka Long	BOF642	Licensed Representative	4
CHAN Ka Man Carmen	BOR397	Licensed Representative	1, 6
CHAN Ka Yi	BOP045	Licensed Representative	1, 2, 4
CHAN Kam Lung	BOP663	Licensed Representative	1, 2
CHAN Kin Lun	BDZ946	Licensed Representative	1
CHAN King Fong	BOP353	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Kit Man Grace Christina	BOP226	Licensed Representative	1, 4
CHAN Kwun San	BOO702	Licensed Representative	6
CHAN Lee Man	BIH923	Licensed Representative	1
CHAN Pui Man	AUT998	Licensed Representative	1, 4, 9
CHAN Scott Tsz Kwong	BOO164	Licensed Representative	9
CHAN Stefanie	BIJ806	Licensed Representative	4, 9
CHAN Tin Chi	BOQ042	Licensed Representative	6
CHAN Toi Kin	BNL529	Licensed Representative	1, 4, 9
CHAN Wa Sing	BOJ094	Licensed Representative	2
CHAN Wing Lok	BOH807	Licensed Representative	9
CHANG Bernard Yee Tak	BON685	Licensed Representative	1, 6
CHANG Cheng Yu	BOP561	Licensed Representative	1, 4
CHANG Ching-Yu	BON947	Licensed Representative	1
CHAWLA RAM-RAJ ROBERT	BOP163	Licensed Representative	9
CHE Ka Cheung	BOP651	Licensed Representative	1
CHE Xiaoya	BOP150	Licensed Representative	4
CHEN Fei	AYB045	Licensed Representative	4, 9
CHEN Haofei	AJD676	Licensed Representative	4
CHEN Jiadian	BOP349	Licensed Representative	1
CHEN Jiaying	BOP063	Licensed Representative	9
CHEN Li	BOQ080	Licensed Representative	1, 4
CHEN Mengzhou	BON839	Licensed Representative	1, 4
CHEN Qi	BFR575	Licensed Representative	4
CHEN Ruijing	BOP902	Licensed Representative	1, 6
CHEN Wangyu	ATL492	Licensed Representative	1, 4
CHEN Xiaoheng	BOP735	Licensed Representative	2, 5
CHEN Yi	BON686	Licensed Representative	4, 5
CHEN Yuhang	BHB750	Licensed Representative	1, 6
CHEN Yuhu	BOR694	Licensed Representative	1, 4, 6
CHEN Zihan	BOP985	Licensed Representative	1, 6
CHENG Kwan Ngai	BOR347	Licensed Representative	4, 9
CHENG Sze Man	BOO286	Licensed Representative	9
CHENG Tsz Hin	BOO417	Licensed Representative	1
CHENG Wai Yi	BOQ051	Licensed Representative	1
CHENG Yu	BON965	Licensed Representative	1, 4
CHEUNG Chung Yan	AFC555	Licensed Representative	4
CHEUNG Edward	BOP546	Licensed Representative	1
CHEUNG Ho Loon Alan	BOL668	Licensed Representative	1, 2
CHEUNG Ho Wan	BFM417	Licensed Representative	1
CHEUNG Man Kit	BBU621	Licensed Representative	1, 2
CHEUNG Ngan Ying	BMV784	Licensed Representative	1, 4
CHEUNG Siu Kong	BON966	Licensed Representative	1
CHEUNG Wing Sing	ATM574	Licensed Representative	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHIA Li An	BOP904	Licensed Representative	1, 2
CHIN Yat Kiu	BON636	Licensed Representative	1, 2
CHIU Chi Yuen	BOQ852	Licensed Representative	1, 4, 9
CHIU Ka Wik Galric	BOQ901	Licensed Representative	1
CHIU Kuo Hao	BNY934	Licensed Representative	1, 2, 5
CHIU Yin Shan	BOP046	Licensed Representative	1
CHO Taejung	BKM785	Licensed Representative	1
CHOI Da Eun	BCZ340	Licensed Representative	1
CHOI Kai Hang	AWP243	Licensed Representative	1, 9
CHOI Shu Ki	BOP900	Licensed Representative	1, 2
CHONG Yuk Ting	BEJ355	Licensed Representative	1, 2
CHOW Chun On	BOR167	Licensed Representative	1
CHOW Fong Tat	BON652	Licensed Representative	1, 2
CHOW Suk Yan	BOO197	Licensed Representative	1, 4
CHU Kwok Hang	BOL829	Licensed Representative	1, 4, 9
CHU Wing See, Winnie	BJP793	Licensed Representative	1
CHUA Ian Yong Kwang	BNX268	Licensed Representative	6
CHUN Kin Sung	BOR292	Licensed Representative	1, 4
CHUNG King Cheuk	BOO443	Licensed Representative	2, 5
CLEMENTS Robert Scott	BOP659	Licensed Representative	1, 4
CUI Yue	BOP952	Licensed Representative	4, 9
CURLEY Jaclyn Elaine	BOP564	Licensed Representative	1, 4
D'ANETHAN Justin Jean F.	BOP856	Licensed Representative	1, 2
DAUREL Thomas	BOO147	Licensed Representative	9
DEATON Adam Angus	BOR725	Licensed Representative	1
DING Shijie	BOP538	Licensed Representative	4
DING Zixuan	BOP617	Licensed Representative	1, 6
DOMMENGKE Kevin Michael	APF352	Licensed Representative	9
DONG Hao	BOI846	Licensed Representative	1, 4
DONG Yixiao	BOR814	Licensed Representative	1, 2, 7
FAN Lijin	BON632	Licensed Representative	4
FAN Yu Shen	BOP727	Licensed Representative	1, 4
FENG Shu	BOO677	Licensed Representative	1, 4, 9
FENG Yihui	BOP028	Licensed Representative	1, 9
FENG Zhenglin	BOQ060	Licensed Representative	1, 4
FONG Hon Kwan	BOO886	Licensed Representative	1, 2
FONG William	AVM672	Licensed Representative	9
FRANCIS Adam James Antony	BFY018	Licensed Representative	9
FRANCOIS Paul	BOL447	Licensed Representative	1, 2
FU Han	BFM817	Licensed Representative	4
FU Kun	BOP240	Licensed Representative	1
FU Rulin	BOO629	Licensed Representative	1
FULTON Guy Charles Jeffreys	ARV592	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
GAI Benyang	BOP110	Licensed Representative	2, 5
GHANEKAR Aayesha Anil	BOO869	Licensed Representative	9
GHIM Taek Min	BOP172	Licensed Representative	1, 4, 9
GOULD III Richard Gerardus	BOC417	Licensed Representative	1, 4, 7
GOYAL Nayan Mahendra	BON973	Licensed Representative	1, 4, 9
GRZINIC James Robert	BOR034	Licensed Representative	4
GUO Chaofan	BOO769	Licensed Representative	1, 4, 6
GUO Linfeng	BOO899	Licensed Representative	6
GUO Siyuan	BOQ059	Licensed Representative	1
GUO Xuanhong	BOQ796	Licensed Representative	6
GUO Yanchao	BOP991	Licensed Representative	1, 6
GUPTA Vikram	BOM634	Licensed Representative	1
GURNANI Jitesh Motiram	BOM523	Licensed Representative	1, 4, 6
HABERZETTL Martin Seung Yann	BOM053	Licensed Representative	1
HAI Yangguangyi	BOP825	Licensed Representative	4, 6, 9
HALLEGUEN Yann	ARS440	Licensed Representative	1, 2
HAN Chin Tang	AVH609	Licensed Representative	1, 4, 9
HAN Siyu	BOP777	Licensed Representative	4
HAN Xiao	BOO400	Licensed Representative	1, 6
HAN Xinyue	BOQ098	Licensed Representative	1, 6
HAN Yi	BDO027	Licensed Representative	9
HAN Zhirui	BOR164	Licensed Representative	1, 4, 6
HANAMURA Keiyo	BOR365	Licensed Representative	1
HARTNETT Rory Patrick	BOO711	Licensed Representative	1, 2, 9
HARYANI Jatin Shyamlal	BOQ841	Licensed Representative	1, 4, 9
HATADA Makoto	BML436	Licensed Representative	1, 4
HE Benjin	BOM906	Licensed Representative	1, 4
HE Jinchang	BEC496	Licensed Representative	4, 9
HE Ruoheng	BOP885	Licensed Representative	1, 6
HE Wenqing	BML595	Licensed Representative	1, 2
HEALY Geoffrey	BOM260	Licensed Representative	1, 9
HECHT John Douglas	BOO434	Licensed Representative	4
HEGARTY Samuel Paul	BOL450	Licensed Representative	1, 4
HO Lap Wai, Daniel	ADC339	Licensed Representative	1, 4
HO Ting Him	BOQ826	Licensed Representative	1, 2, 9
HO Winston Hon Tao	BDK999	Licensed Representative	1, 2
HON Po Sang	BOQ090	Licensed Representative	1
HONG Runze	BOJ612	Licensed Representative	1
HONG Tianze	BOM092	Licensed Representative	1, 4, 6
HORI Mikinao	BOM644	Licensed Representative	1
HSIAO Irena	AGC715	Licensed Representative	1
HSIEH Pei-Chun	BNY932	Licensed Representative	1, 2, 5
HSU Chih Kang	BOP781	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
HU Anqi	BOP256	Licensed Representative	4
HU Baifeng	BOO676	Licensed Representative	1, 4, 9
HU Huiying	BOP002	Licensed Representative	1, 4, 9
HU Jie	AWQ290	Licensed Representative	1, 4
HU Ping	BME684	Licensed Representative	2
HU Rui	BOP983	Licensed Representative	1, 6
HU Saiya	BON680	Licensed Representative	1
HUANG Cuijie	BOO407	Licensed Representative	1, 4
HUANG Jiayan	BOP961	Licensed Representative	1, 6
HUANG Jing	BEM790	Licensed Representative	1, 4, 6
HUANG Li-Fen	AXY660	Licensed Representative	1
HUANG Sheng-Hsiang	ASH561	Licensed Representative	4
HUANG Xiaoyi	BOM845	Licensed Representative	1
HUANG Xinzhe	BOP111	Licensed Representative	1, 4, 6
HUI Yuen Fai, Mannix	BON629	Licensed Representative	1, 4, 9
HUTCHINSON James Allen	BOM511	Licensed Representative	1
HWANG Kyusan	BOM397	Licensed Representative	1, 2
IP Siu Yan	BON584	Licensed Representative	1, 2
ITZHAKI Savion	BOP827	Licensed Representative	1, 2
IZUMI Yoshiharu	BOK094	Licensed Representative	1, 4
JAIN Abhinandan	BOP547	Licensed Representative	1, 6
JAS Bir Kaur Lol Singh	BOO215	Licensed Representative	1, 4, 9
JEN Robert	BOG975	Licensed Representative	1
JEON Jong Min	BOO898	Licensed Representative	1, 4, 9
JI Xuan	BON850	Licensed Representative	1, 4
JIANG Ming Han	BOR229	Licensed Representative	9
JIANG Xiaole	BON526	Licensed Representative	4
JIANG Xiaonan	BOP324	Licensed Representative	1, 4
JIANG Yining	BOP986	Licensed Representative	1, 6
JIANG Ziyang	BOP911	Licensed Representative	1, 6
JIN Xiafei	BOP563	Licensed Representative	2
JING Pengxin	BOP918	Licensed Representative	4, 9
KAM Lam, Gordon	BII907	Licensed Representative	9
KEANEY Jonathan	BOO277	Licensed Representative	1, 7
KIM Ji Eum	BOP662	Licensed Representative	1, 2
KIM Joohyung	BOP067	Licensed Representative	1, 4
KIM Si Hyun	BOP326	Licensed Representative	1, 4
KIM Young Rin	BLZ368	Licensed Representative	4
KIYOTA Akira	BOL508	Licensed Representative	1, 4, 6
KO Ka Long	BOP235	Licensed Representative	1, 4, 9
KOHLI Sneha Shah	AVP148	Licensed Representative	1
KONG Xiaoqin	BOQ992	Licensed Representative	9
KOO Dawson	AQV537	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
KOO Hung Yuan, Kevin	AFE094	Licensed Representative	4, 9
KU Ho	BCY406	Licensed Representative	9
KUNG Pei Hsuan	AVW189	Licensed Representative	1
KWAN Suk On, Maria	ARO740	Licensed Representative	4, 9
KWOK Hoi Kwan	BOP498	Licensed Representative	6
KWOK Hon Pan	BDT789	Licensed Representative	4, 9
KWOK Ka Shing	BOP314	Licensed Representative	1, 2
KWOK Yee Huen	BOP344	Licensed Representative	1, 4
LAI Kam Chiu	BON812	Licensed Representative	1, 4, 9
LAI Tak Pui	BOQ911	Licensed Representative	1, 4, 6
LAM Ho Bun	BOR451	Licensed Representative	1, 4, 9
LAM Andrew Koon Ning	BGV313	Licensed Representative	9
LAM Kwan Chor	BOL501	Licensed Representative	6
LAM Tai Tak	BOR093	Licensed Representative	1
LAM Tsz Ting	BOL454	Licensed Representative	1, 4
LARSEN Nathaniel Jay	BOR342	Licensed Representative	1, 6
LAU Chun Wing	BOP085	Licensed Representative	1
LAU Ho Man Joey	BOG745	Licensed Representative	1, 4
LAU Sing Chong	AOE376	Licensed Representative	1, 4, 9
LAU Yim Ling, Elaine	AAJ813	Licensed Representative	1, 2, 4
LAW Chun Man	BOP241	Licensed Representative	1, 4, 9
LAW Siu Chung	AKO881	Licensed Representative	1, 4
LAW Ting Hin	BOP803	Licensed Representative	1, 2
LAW Wing Yee	BOP165	Licensed Representative	1, 4
LEE Chee Wai Ivan	BOR478	Licensed Representative	1, 4
LEE Elizabeth Yi Lin	AXU784	Licensed Representative	9
LEE Halim	BOP329	Licensed Representative	1, 4
LEE Ho Leung, William	AEL247	Licensed Representative	6
LEE Huk Bun	ADZ881	Licensed Representative	4, 9
LEE Jaehun	BON487	Licensed Representative	1, 4, 9
LEE Ka Chun Robin James	BOP967	Licensed Representative	1
LEE Ka Ming	BOP928	Licensed Representative	1, 2, 4
LEE Kai Chung	BOL449	Licensed Representative	10
LEE Keen Yong Dick	BON975	Licensed Representative	1, 6
LEE Ming Yong, Kenny	AVT520	Licensed Representative	9
LEE Sze Wai Matthew	BOM764	Licensed Representative	1, 4
LEE Yu-Feng	BOQ102	Licensed Representative	1, 2
LEI Baoxi	AQA678	Licensed Representative	1, 4, 9
LEUNG Lai Ling	BJX874	Licensed Representative	1, 4, 9
LEUNG Long Hin	BOP346	Licensed Representative	1
LEUNG Matthew Ting Pok	BOP252	Licensed Representative	6
LEUNG Wing Yee	BOP023	Licensed Representative	1
LI Bei	AUX239	Licensed Representative	9

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CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Gen	BOP352	Licensed Representative	1, 4, 6
LI Hengzhao	BOP548	Licensed Representative	4
LI Meng	APQ664	Licensed Representative	1, 4
LI Meng	BOP307	Licensed Representative	1, 9
LI Ming Gang	BOP843	Licensed Representative	1, 2
LI Shijiao	BOR366	Licensed Representative	1, 2, 4
LI Tieqiang	BJT718	Licensed Representative	4, 9
LI Xiaoye	BOR341	Licensed Representative	1, 2, 7
LI Xiuyan	BOQ014	Licensed Representative	2
LI Xiyin	BHN672	Licensed Representative	1, 2
LI Yuan	BOP982	Licensed Representative	1, 6
LIAN Foo Kean, Paul	BOO766	Licensed Representative	1, 6
LIANG Wei Zhong Marcus	BOL869	Licensed Representative	1, 6
LIANG Zhao	BOP908	Licensed Representative	1, 4, 9
LIM San Seng	BOP228	Licensed Representative	1, 4, 9
LIM Tow Lee	AOW936	Licensed Representative	1, 2
LIN Danwei	BOQ910	Licensed Representative	1
LIN Hsueh Fen	AGI775	Licensed Representative	1
LIN Shing Chun, Ambrose	BOP726	Licensed Representative	2, 5
LIN Xiaoling	APH362	Licensed Representative	9
LIN Yin hong	BON559	Licensed Representative	1
LIU Hong	ARI623	Licensed Representative	9
LIU Jason Jie Sheng	BIY813	Licensed Representative	4
LIU Peng	BOP136	Licensed Representative	4
LIU Ruby Ching Yee	BOP849	Licensed Representative	1, 4, 9
LIU Ruisi	BND559	Licensed Representative	9
LIU Yaokun	BJI665	Licensed Representative	4
LIU Yilin	BOR899	Licensed Representative	1
LUK Sze Ip Lydia	BOP455	Licensed Representative	1, 2
LUO Lingxiao	BOP535	Licensed Representative	1, 4, 9
LUO Ming Kuang	BNY329	Licensed Representative	1
LUO Ran	BOP517	Licensed Representative	1, 2
MA Ying	BOP135	Licensed Representative	2, 5
MACK May Ling, Astley	BAU742	Licensed Representative	1
MACKLEM Richard Gray	BON634	Licensed Representative	1
MADERNA Pankaj	BOP582	Licensed Representative	1, 2
MAKPOLO Marleeka Keyala Brodie	BMN881	Licensed Representative	1
MATSUOKA Mikihiro	BOJ438	Licensed Representative	1, 4
MCCASKER Grant David	ATL666	Licensed Representative	4
MOHIDEEN Khaleelur Rahman	ABM107	Licensed Representative	1, 2
MOK Ka Hong	BOQ916	Licensed Representative	1, 4
MU Lei	BOM498	Licensed Representative	4
NAN Nan	AXG319	Licensed Representative	4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
NAYYAR Varun	BON694	Licensed Representative	9
NG Chi Kwan	BOP920	Licensed Representative	1, 2, 4
NG Ching Yin	BOO394	Licensed Representative	1
NG Chun Lok	BOP168	Licensed Representative	1, 6
NG Lai Ying	BOM227	Licensed Representative	1, 4, 6
NG Ming Hui Sancia	BGS699	Licensed Representative	1, 2
NG Wai Kit	ABW873	Licensed Representative	1, 4, 9
NG Yao Lin Calvin	BOO529	Licensed Representative	4
NG Yu Chun David	BOO984	Licensed Representative	1
NI Chen	BAD740	Licensed Representative	1
NIU Yafang	BON854	Licensed Representative	4, 9
NUNO DA SILVA FREYRE-SANDERS Simon Dominic	BOR179	Licensed Representative	1
OKITA Kazuki	BOM838	Licensed Representative	1, 4
ONG Yan Han Sherman	BOM349	Licensed Representative	9
ONG Zi Hao	BOP402	Licensed Representative	1, 2
O'SULLIVAN Maurice	BON494	Licensed Representative	2, 5
PAN Yangyang	BOM085	Licensed Representative	4
PANG Man Lee	BKR252	Licensed Representative	1
PANG Qianqian	BON635	Licensed Representative	4
PANG Yuting	BOO863	Licensed Representative	1, 2
PARK Sunyeol	BOP725	Licensed Representative	1, 2
PEI Yining	BOP921	Licensed Representative	1, 2
PINSET Victor Balthazar	ARQ494	Licensed Representative	9
PORT Ronald Erich Konrad	BOL664	Licensed Representative	4, 9
QIAN Cheng	BOO785	Licensed Representative	1, 6
QIN Bo	BOO258	Licensed Representative	4, 9
QIN Meng	BOP524	Licensed Representative	1
QU Simon Tao	AFN658	Licensed Representative	1, 4
RAMADASAN Mullikkottu Veetil	ANT736	Licensed Representative	1, 4
RAMAKRISHNAN Kaushik	BOR175	Licensed Representative	9
ROBERTS Wei Lim	BOP287	Licensed Representative	1, 4, 9
ROBINSON Gregory Alexander	AXH226	Licensed Representative	1, 4
RU Patrick Cheng	BOO472	Licensed Representative	1, 4, 9
SAMESHIMA Toyoki	AMY453	Licensed Representative	1, 4
SARR Mamadou-Abou	BOO653	Licensed Representative	1
SASAKI Daisuke	BOJ795	Licensed Representative	1, 4, 6
SATO Wakako	BBI874	Licensed Representative	1
SEE Wee Ping, Eric	AQU543	Licensed Representative	1
SHAN Miao	AUT412	Licensed Representative	6
SHARMA Naveen Prakash	ANW221	Licensed Representative	1, 2
SHE Pui Ka	BOO733	Licensed Representative	1, 4, 9
SHEN Chen	BOP987	Licensed Representative	1, 6
SHEN Di	BOP465	Licensed Representative	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
SHEN Kaiying	BOR276	Licensed Representative	1, 6
SHEN Yangwen	BOM502	Licensed Representative	1
SHEN Yiqing	BKW685	Licensed Representative	1, 4, 6
SHI Huijun, Michelle	AMW880	Licensed Representative	1
SHI Zhenchun	BOO987	Licensed Representative	1, 2, 4
SHIU Susan Woon Ying	AUA244	Licensed Representative	1, 4
SIDDHARTH Rahul	BOP861	Licensed Representative	1
SIOUTI Charles	BOP323	Licensed Representative	1, 2, 7
SMITH Fraser Clyde Mclellan	BOM753	Licensed Representative	4, 9
SU Zongpei	BOQ844	Licensed Representative	1, 4, 6
SUBRAHMANIAN Karthik	BOP776	Licensed Representative	1, 4
SUN Changyu	BES708	Licensed Representative	1, 6
SUN Xin	BOP483	Licensed Representative	1, 4
SUN Yang	BOI804	Licensed Representative	1, 4
SUN Yile	BOQ096	Licensed Representative	1, 6
SUNDERLAND Bradford Morimura	BOR107	Licensed Representative	1
SY Michelle	BOM827	Licensed Representative	1, 6
TAM Ka Chun	BIS737	Licensed Representative	1, 2, 4, 5
TAM Sui Hei	BHT517	Licensed Representative	1, 4, 9
TAM Wai Kei	AVH671	Licensed Representative	1
TAN Qiyao	BOP442	Licensed Representative	1, 4
TAN Tan	BMR356	Licensed Representative	4, 9
TANG Chun Kit	BIX477	Licensed Representative	6
TANG Ka Wai	BOR154	Licensed Representative	6
TANG Lok Chi	BOO535	Licensed Representative	9
TANG Qiang	BOO796	Licensed Representative	9
TANG Xiaodan	BOM624	Licensed Representative	6
TAO Xiangrui	BOP401	Licensed Representative	1, 6
TAY Chin Khim	BOQ052	Licensed Representative	1
TECHASOMBOON Dollapon	BOO308	Licensed Representative	1
TEE Brian Benedict Oh	AXP239	Licensed Representative	1, 4
TERNG Ke Tian Christine	BOP866	Licensed Representative	1, 4
TEY Khang Yee	BOP469	Licensed Representative	1, 2
THEUNISSEN Jennifer Zelena	BFC242	Licensed Representative	1, 9
TIAN Dan	BOP614	Licensed Representative	1, 6
TIAN Guanhua	BOP471	Licensed Representative	1, 6
TING Shu Hao	BOO993	Licensed Representative	4
TONG Chin Pang	BON483	Licensed Representative	2
TSANG Jason Siu Hang	BOM563	Licensed Representative	1, 2
TSANG Tak Ki	BMC017	Licensed Representative	1, 2
TSE Man Hon, Matthew	BCJ632	Licensed Representative	1
TSO Yun Tak	BON846	Licensed Representative	4
TSUI Ka Wai	AIX296	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
TUNG Tsz Kiu Jacky	BOR395	Licensed Representative	1, 6
UREN Paul Stephen James	BON475	Licensed Representative	1, 4, 6
WAN Lai Sze	BOP273	Licensed Representative	1
WAN Li	BOO731	Licensed Representative	1
WAN Lik Kuen	ANX818	Licensed Representative	1, 4, 9
WANG Fan	BOP759	Licensed Representative	1, 2, 4
WANG Hongyan	BOM631	Licensed Representative	1, 2
WANG Jiyuan	BOP922	Licensed Representative	1
WANG Ling	BOS134	Licensed Representative	4
WANG Liyao	BOQ035	Licensed Representative	1
WANG Peijia	BON561	Licensed Representative	1
WANG Qianying	BON844	Licensed Representative	4
WANG Qingyang	BOR256	Licensed Representative	9
WANG Qiong	BJW110	Licensed Representative	6
WANG Shiao Ling	BOL471	Licensed Representative	1, 4, 9
WANG Xi	BOK305	Licensed Representative	1
WANG Yiheng	BOP544	Licensed Representative	4
WANG Yiping	BOR238	Licensed Representative	1, 4
WANG Yuyang	BOP434	Licensed Representative	4
WANG Zhao	BOP251	Licensed Representative	1, 6
WEI Lulu	BOM881	Licensed Representative	1, 4
WEI Yumou	BLJ441	Licensed Representative	4, 9
WEI Yuzhen	BOP658	Licensed Representative	1, 4
WENG Chih-yu	BOQ019	Licensed Representative	4
WILSON JACK HEDLEY	BON545	Licensed Representative	2
WONG Cheuk Fan	AOY976	Licensed Representative	1, 9
WONG Cheuk Yin	AXS455	Licensed Representative	1
WONG Chi Ming	ANN089	Licensed Representative	4
WONG Hang Sze	BOO309	Licensed Representative	1
WONG Hiu Lam	BOP492	Licensed Representative	1
WONG Hoi Yin	BOP149	Licensed Representative	4
WONG Hon Yeung	BMN644	Licensed Representative	1, 9
WONG Ka Wai, Kavi	ALQ402	Licensed Representative	4, 9
WONG Lap Wai	AOX339	Licensed Representative	1
WONG Michael Chen Tsuan	ANE886	Licensed Representative	4, 9
WONG On leng	BOP629	Licensed Representative	1, 4, 9
WONG Timothy Mun Tim	BNB054	Licensed Representative	1, 2
WONG Wai Fu	BOP660	Licensed Representative	1, 4
WONG Wing Kin, Ricky	AQQ122	Licensed Representative	1, 2, 3
WONG Yin Yin	BOP804	Licensed Representative	1, 2
WU Jiayi	BON836	Licensed Representative	1, 4, 9
WU Kaiyi	BOP351	Licensed Representative	4
WU Kelvin Chih Wei	AMB765	Licensed Representative	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
WU Shuk Ching	BOM052	Licensed Representative	1, 2
WU Wing Sze	ANH710	Licensed Representative	1
WU Yanjun	BON649	Licensed Representative	1, 4
WU Yao	BOP984	Licensed Representative	1, 6
WU Yixin	BNS047	Licensed Representative	9
XIA Shujie	BOP734	Licensed Representative	2, 5
XIE Ci	BOO281	Licensed Representative	1, 6
XIE Yayi	BFD654	Licensed Representative	1, 2, 4, 5
XIONG Jing	BGH119	Licensed Representative	9
XU Weichang	BDR768	Licensed Representative	1, 4, 6, 9
XU Weiyang	BOQ045	Licensed Representative	4, 9
YAM Lap Fan, Fanny	AFR221	Licensed Representative	1
YAN Samuel C	AEH944	Licensed Representative	9
YANG Geyue	BHV155	Licensed Representative	1, 4, 9
YANG Hao	BOO280	Licensed Representative	1, 4, 9
YANG Hesu	BOM802	Licensed Representative	1, 6
YANG Liu	BOM685	Licensed Representative	9
YANG Yatong	BOR250	Licensed Representative	1, 4
YANG Yongqing	BOM626	Licensed Representative	1
YANG Yuxuan	BOP151	Licensed Representative	1, 2, 4, 5
YAO Chen	BOP084	Licensed Representative	1, 2
YAU Ka Faat	BOQ868	Licensed Representative	1, 2
YAU Ka Kit	ADA377	Licensed Representative	1, 2
YEUNG Kwan Ching Robin	BOR307	Licensed Representative	4, 9
YEUNG Kwok Fai	AVQ425	Licensed Representative	1, 2
YEUNG Yuen Sum	BON746	Licensed Representative	9
YIN Yun	BGK396	Licensed Representative	4
YOUK Su Jeong	BLV881	Licensed Representative	1, 4
YU Kin Hang Oliver	BOR363	Licensed Representative	1, 6
YU Ming Yan	BID401	Licensed Representative	4, 9
YU Sai Wo	BON601	Licensed Representative	1
YU Zhonghai	BOP246	Licensed Representative	1, 4
YUEN Ching Ngai	BOO627	Licensed Representative	1, 2
ZANG Xiaojing	BOM392	Licensed Representative	2
ZENG Yu Kwan, Andrew	BNQ708	Licensed Representative	1, 4, 6
ZHAI Yu	BOL852	Licensed Representative	1, 4, 9
ZHANG Chunbing	BOJ751	Licensed Representative	1, 2
ZHANG Chunwang	BOQ932	Licensed Representative	2
ZHANG Hexiang	BOP543	Licensed Representative	1, 4
ZHANG Jingjing	BOP790	Licensed Representative	4
ZHANG Jinhong	BHR791	Licensed Representative	1, 4
ZHANG Motong	BOO377	Licensed Representative	1, 4
ZHANG Pengfei	BOL221	Licensed Representative	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHANG Siran	BOQ929	Licensed Representative	2, 5
ZHANG Xinyi	BOP593	Licensed Representative	1
ZHANG Yashen	BGM926	Licensed Representative	4
ZHAO Gangyi	BOR688	Licensed Representative	4, 6
ZHAO Juyi	BNO905	Licensed Representative	1, 2, 7
ZHAO Wenjing	BOP310	Licensed Representative	1, 4, 6
ZHAO Yang	BOP069	Licensed Representative	4, 9
ZHAO Yixuan	BOQ982	Licensed Representative	4, 9
ZHENG Dongying	BOP959	Licensed Representative	6
ZHENG Linhong	BOP888	Licensed Representative	1
ZHENG Yang	BAA900	Licensed Representative	1, 4
ZHENG Zhixiao	BOI559	Licensed Representative	1
ZHONG Xiaoyu	BOP317	Licensed Representative	1, 4, 6
ZHOU Fuyan	BOR262	Licensed Representative	1, 4, 6
ZHOU Huanjie	BJE019	Licensed Representative	2
ZHOU Jia	BOM781	Licensed Representative	1, 6
ZHOU Pengpeng	BOO584	Licensed Representative	1, 2
ZHOU Shiyu	BOP250	Licensed Representative	1, 4, 6
ZHU Lin	BOP079	Licensed Representative	1, 2
ZHU Yingdi	BBZ083	Licensed Representative	1, 4
ZHU Yuxiang	BER747	Licensed Representative	2
ZHUO Le	BOO006	Licensed Representative	2
ZUO Yiming	BOO827	Licensed Representative	10
AKIYAMA Fumihito	BNY477	Licensed Representative - Responsible Officer	4, 9
BIGGS Adam Marc	BNY063	Licensed Representative - Responsible Officer	1, 2
CHEN Lei	BOK235	Licensed Representative - Responsible Officer	1, 4, 9
CHEUNG Hoi Wa, Howard	BNY336	Licensed Representative - Responsible Officer	1, 4, 9
COYLE John D	BOH593	Licensed Representative - Responsible Officer	9
FAN Hua	BOK396	Licensed Representative - Responsible Officer	9
GOUGH Simon	BOG543	Licensed Representative - Responsible Officer	1, 4, 9
HEE Huan Wei	AVD514	Licensed Representative - Responsible Officer	9
JOBBER Stephen James	BOE938	Licensed Representative - Responsible Officer	1
KEARY Craig William	BJW224	Licensed Representative - Responsible Officer	1, 4, 9
LAMB Helen Louise	BOK409	Licensed Representative - Responsible Officer	1, 4
LEE Kang-hee	BOE738	Licensed Representative - Responsible Officer	1, 4
LI Hongxian	BOF972	Licensed Representative - Responsible Officer	4, 9
LI Katherine Bei	AJO552	Licensed Representative - Responsible Officer	4, 9
LI Weiwei	AXR088	Licensed Representative - Responsible Officer	9
LIN Chi Long, Danny	ADD804	Licensed Representative - Responsible Officer	4, 9
LOONG Soo Yong	ARE928	Licensed Representative - Responsible Officer	4, 9
LUO Ming Kuang	BNY329	Licensed Representative - Responsible Officer	4, 9
MORI Hiroshi	BOK212	Licensed Representative - Responsible Officer	4
NOBLES IV William Daniel	BOK249	Licensed Representative - Responsible Officer	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
SHIOTANI Keita	AOV815	Licensed Representative - Responsible Officer	9
SPENCE Kevin	BOH486	Licensed Representative - Responsible Officer	1, 9
SREEKUMAR Anuroop	BOL247	Licensed Representative - Responsible Officer	9
WANG Julius	AEB169	Licensed Representative - Responsible Officer	1, 4, 9
WEI Jian	BDW783	Licensed Representative - Responsible Officer	1, 4
WONG Kin Hang	BKB381	Licensed Representative - Responsible Officer	4, 9
WU Hongping	BOH489	Licensed Representative - Responsible Officer	4
XUE Jing	BOK191	Licensed Representative - Responsible Officer	9
XUE Yonghong	BOK454	Licensed Representative - Responsible Officer	4, 5, 9
YANG Zhan	AXR824	Licensed Representative - Responsible Officer	1, 4
YE Guofeng	AXG034	Licensed Representative - Responsible Officer	1, 4, 9
ZHANG Chunbing	BOJ751	Licensed Representative - Responsible Officer	4, 9
ZHANG Haimo	BNV344	Licensed Representative - Responsible Officer	4, 5, 9
ZHANG Peiguo	BOJ263	Licensed Representative - Responsible Officer	9
ZHANG Xiao	BNN373	Licensed Representative - Responsible Officer	9
ZHANG Youjun	AEP924	Licensed Representative - Responsible Officer	1
ZHAO Tong	BHR770	Licensed Representative - Responsible Officer	1, 4, 9
ZHAO Wenguang	BNN371	Licensed Representative - Responsible Officer	9
ZHU Jianbiao	BNN367	Licensed Representative - Responsible Officer	9
AHN Soo Yong	ASM065	Licensed Representative (Provisional Licence)	1, 4
ANGLIN Thomas William	AVI264	Licensed Representative (Provisional Licence)	1, 2
AO Ran	BOR742	Licensed Representative (Provisional Licence)	1, 4, 6
AOYAMA Yoshihiko	AZT327	Licensed Representative (Provisional Licence)	1
AU Chee Wah Patricia	BOS908	Licensed Representative (Provisional Licence)	1, 2
AU Chiu Fai	BOQ097	Licensed Representative (Provisional Licence)	1
BACKWELL Michael Charles	BOR335	Licensed Representative (Provisional Licence)	1
BAHL Alok	BCH641	Licensed Representative (Provisional Licence)	2, 5
BAI Yanan	BOS478	Licensed Representative (Provisional Licence)	4, 9
BAI Yang	BOR612	Licensed Representative (Provisional Licence)	1, 4, 6
BATTEN Jason	BOR489	Licensed Representative (Provisional Licence)	1, 2
BATUNSKIY Mark Vladimir	BOR364	Licensed Representative (Provisional Licence)	1
BU Yunzhi	BOS102	Licensed Representative (Provisional Licence)	1, 6
CABANNES Robin Claude Louis	BOP236	Licensed Representative (Provisional Licence)	1, 2
CAO Li	BOS632	Licensed Representative (Provisional Licence)	1, 2, 4
CAO Yu	BOS198	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Bella Huiyin	BOR369	Licensed Representative (Provisional Licence)	1, 6
CHAN Chiao Fen	BOR225	Licensed Representative (Provisional Licence)	1, 4, 6
CHAN Chun Wang Simon	BCP548	Licensed Representative (Provisional Licence)	1, 2
CHAN Jacky Ka Ki	AVF622	Licensed Representative (Provisional Licence)	4
CHAN Ka Ho	BOP869	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Ka Man Carmen	BOR397	Licensed Representative (Provisional Licence)	1, 6
CHAN King Hong	BFX167	Licensed Representative (Provisional Licence)	1, 2
CHAN Kwok Kin	BOS587	Licensed Representative (Provisional Licence)	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Min Sheng	BOR258	Licensed Representative (Provisional Licence)	4
CHAN Nam Tau	BOS146	Licensed Representative (Provisional Licence)	1, 2
CHAN Reuben Junren	BOR459	Licensed Representative (Provisional Licence)	1, 6
CHAN Stefanie	BIJ806	Licensed Representative (Provisional Licence)	4, 9
CHAN Tat Wai	AFS989	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Tsz Kei	BOS056	Licensed Representative (Provisional Licence)	1, 2, 9
CHAN Wai Hin	BOR220	Licensed Representative (Provisional Licence)	1, 4, 6
CHAN Wai Sun	BJG673	Licensed Representative (Provisional Licence)	6
CHAN Yan Wa	BOR219	Licensed Representative (Provisional Licence)	1, 4, 9
CHANG Ching-Yu	BON947	Licensed Representative (Provisional Licence)	1
CHANG Peter Cheng Pang	BOR325	Licensed Representative (Provisional Licence)	1, 6
CHAU Kwai Mui	BOS338	Licensed Representative (Provisional Licence)	1, 4
CHAU Wai Man, Josephine	AXD293	Licensed Representative (Provisional Licence)	1
CHAU Yi Yeung	BOS649	Licensed Representative (Provisional Licence)	1, 4, 6
CHAWLA Ajay	BOH042	Licensed Representative (Provisional Licence)	1, 2
CHEN Allen	BOQ989	Licensed Representative (Provisional Licence)	1, 6
CHEN Chin-Yi	BAT983	Licensed Representative (Provisional Licence)	4
CHEN Du	BOQ853	Licensed Representative (Provisional Licence)	1, 6
CHEN Fei	AYB045	Licensed Representative (Provisional Licence)	4, 9
CHEN Na	BOM818	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Rujun	BOR747	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Simin	BOS815	Licensed Representative (Provisional Licence)	1, 2
CHEN Xiaoheng	BOP735	Licensed Representative (Provisional Licence)	2, 5
CHEN Xinquan	BOR543	Licensed Representative (Provisional Licence)	4, 5, 9
CHEN Yang	BKL280	Licensed Representative (Provisional Licence)	9
CHEN Yang	BOQ086	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Yi	BOP678	Licensed Representative (Provisional Licence)	2, 5
CHEN Yi	BOR533	Licensed Representative (Provisional Licence)	1, 4
CHEN Yi-chung	AZZ228	Licensed Representative (Provisional Licence)	1, 6
CHEN Yuhu	BOR694	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Zhiyong	BOT250	Licensed Representative (Provisional Licence)	1, 6
CHEN Zilin	BOS492	Licensed Representative (Provisional Licence)	1, 6
CHENG Michael Siang Chai	BOS498	Licensed Representative (Provisional Licence)	1, 4
CHENG Yu	BOR982	Licensed Representative (Provisional Licence)	1, 6
CHEUK Fei Fan	ANE903	Licensed Representative (Provisional Licence)	1
CHEUNG Chi	BNX580	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Hoi Man Michelle	BOS272	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Man Kit	BBU621	Licensed Representative (Provisional Licence)	1, 2
CHIANG Che An	BOP887	Licensed Representative (Provisional Licence)	1
CHIU Hsin Hsun	ARP100	Licensed Representative (Provisional Licence)	1
CHIU Wei Chia	BOQ895	Licensed Representative (Provisional Licence)	1, 2
CHIU Wing Hong David	BOQ897	Licensed Representative (Provisional Licence)	9
CHLADEK Thomas Francois	BOR733	Licensed Representative (Provisional Licence)	4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHOI Shu Ki	BOP900	Licensed Representative (Provisional Licence)	1, 2
CHOI Tak On	BOR708	Licensed Representative (Provisional Licence)	1, 4
CHOW Gilles Alexander	BOP509	Licensed Representative (Provisional Licence)	1
CHOW Ka Yuet, Kathy	BOR403	Licensed Representative (Provisional Licence)	1, 4
CHU Yin Lam, Fannie	BOR159	Licensed Representative (Provisional Licence)	1, 4, 9
CHUNG Chor Kiu, Janice	BHY506	Licensed Representative (Provisional Licence)	1, 6
CHUNG Ian	BOR246	Licensed Representative (Provisional Licence)	9
COHEN Adrien Aaron	BOO313	Licensed Representative (Provisional Licence)	9
COTTEAU Guewen Remy Brian	AWV230	Licensed Representative (Provisional Licence)	1, 2
CUI Zijian	BOQ926	Licensed Representative (Provisional Licence)	1, 4, 6
DAI Baiquan	BNW181	Licensed Representative (Provisional Licence)	9
DAI Chuan	BOS186	Licensed Representative (Provisional Licence)	4
DANG Wenjie	BOP992	Licensed Representative (Provisional Licence)	1, 6
DEATON Adam Angus	BOR725	Licensed Representative (Provisional Licence)	1
DENG Shuxing	BOS269	Licensed Representative (Provisional Licence)	1, 4, 9
DENG Zhixing	BOR748	Licensed Representative (Provisional Licence)	1
DING Xuan	BOR743	Licensed Representative (Provisional Licence)	1, 2
DING Zixuan	BOP617	Licensed Representative (Provisional Licence)	1, 6
DONG Hao	BOI846	Licensed Representative (Provisional Licence)	1, 4
DONG Yixiao	BOR814	Licensed Representative (Provisional Licence)	1, 2, 7
DOUGLAS Natsuko	BOR425	Licensed Representative (Provisional Licence)	4
EE Daryl Hock Heng	ARR388	Licensed Representative (Provisional Licence)	1, 4
ELBAZ Eric Mikael	BOT269	Licensed Representative (Provisional Licence)	1, 2, 4, 5
EUGSTER Samuel	BOS155	Licensed Representative (Provisional Licence)	1, 2
FAN Haishuo	BAX762	Licensed Representative (Provisional Licence)	4
FEI Meiling	BOQ807	Licensed Representative (Provisional Licence)	1, 4
FENG Shu	BOO677	Licensed Representative (Provisional Licence)	1, 4, 9
FLORIN Benjamin Harry	BOS187	Licensed Representative (Provisional Licence)	2, 5
FU Bingrun	BOS817	Licensed Representative (Provisional Licence)	1, 6
FU Sixia	BOQ856	Licensed Representative (Provisional Licence)	1, 6
FU Yuen Yeh	BOS479	Licensed Representative (Provisional Licence)	1, 4, 6
FUNG Yiu Ping	BOR984	Licensed Representative (Provisional Licence)	1, 2
GAO Feng	BOR209	Licensed Representative (Provisional Licence)	1, 4, 6
GAO Xinhong	BOS740	Licensed Representative (Provisional Licence)	4
GE Xueting	BOS651	Licensed Representative (Provisional Licence)	1, 4, 6
GOMEZ Bienvenido Antonio Monponbanua	BOR296	Licensed Representative (Provisional Licence)	1
GONG Fei	BOT346	Licensed Representative (Provisional Licence)	1
GOVIND Eric Rishi	BOR602	Licensed Representative (Provisional Licence)	1, 2, 7
GU Xiaochen	BOR420	Licensed Representative (Provisional Licence)	1, 6
GUO Chaofan	BOO769	Licensed Representative (Provisional Licence)	1, 4, 6
GUO Jia	BOT043	Licensed Representative (Provisional Licence)	1, 4
GUO Xuanhong	BOQ796	Licensed Representative (Provisional Licence)	6
GUO Yilai	BOR871	Licensed Representative (Provisional Licence)	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
HABRE Adel Nicolas	BOP901	Licensed Representative (Provisional Licence)	9
HALLEGUEN Yann	ARS440	Licensed Representative (Provisional Licence)	1, 2
HAN Yi	BDO027	Licensed Representative (Provisional Licence)	9
HAN Zhirui	BOR164	Licensed Representative (Provisional Licence)	1, 4, 6
HANAMURA Keiyo	BOR365	Licensed Representative (Provisional Licence)	1
HARIMOTO Yoshinari	BOR433	Licensed Representative (Provisional Licence)	1, 4, 6
HARTER James Hassett	BOR939	Licensed Representative (Provisional Licence)	1, 6
HAW Peter Han Beng	BOR458	Licensed Representative (Provisional Licence)	1, 2
HE Jia	AZL185	Licensed Representative (Provisional Licence)	1
HE Zhanghong	BOR343	Licensed Representative (Provisional Licence)	9
HEALY Geoffrey	BOM260	Licensed Representative (Provisional Licence)	1, 9
HO Cheuk Wing	BOR240	Licensed Representative (Provisional Licence)	1, 2
HO Kok Kyu	AYS138	Licensed Representative (Provisional Licence)	1
HO Lam Hoi	BOP788	Licensed Representative (Provisional Licence)	1
HO Siu Lam Timothy	BOS108	Licensed Representative (Provisional Licence)	1
HO Siu Wai	BOP905	Licensed Representative (Provisional Licence)	1, 4
HO Ting Him	BOQ826	Licensed Representative (Provisional Licence)	1, 2, 9
HO Yee Wah	BOR153	Licensed Representative (Provisional Licence)	4, 9
HONG Tianze	BOM092	Licensed Representative (Provisional Licence)	1, 4, 6
HONG Ying Hui	AWT694	Licensed Representative (Provisional Licence)	2, 5
HOU Yutong	BDO983	Licensed Representative (Provisional Licence)	4, 9
HSU An Hua	BOP065	Licensed Representative (Provisional Licence)	1
HU Baifeng	BOO676	Licensed Representative (Provisional Licence)	1, 4, 9
HU David Boxi	BOR605	Licensed Representative (Provisional Licence)	1, 2
HU Jingyi	BOQ993	Licensed Representative (Provisional Licence)	1, 6
HU Liang	BOO997	Licensed Representative (Provisional Licence)	1, 4, 6
HU Na	BOR741	Licensed Representative (Provisional Licence)	1, 2
HU Ping	BME684	Licensed Representative (Provisional Licence)	2
HU Xiuyi	BDZ169	Licensed Representative (Provisional Licence)	1, 2
HUA Xueyi	BOS306	Licensed Representative (Provisional Licence)	1, 2
HUANG Chuan	BOQ810	Licensed Representative (Provisional Licence)	1, 4, 9
HUANG Daru	BOS309	Licensed Representative (Provisional Licence)	1, 4, 6
HUANG Jialin	BOR942	Licensed Representative (Provisional Licence)	1, 2
HUANG Shan	BOQ899	Licensed Representative (Provisional Licence)	10
HUANG Wenyi	BOP722	Licensed Representative (Provisional Licence)	2, 5
HUANG XIAOYI	BOR781	Licensed Representative (Provisional Licence)	1
HUANG Yujiao	BOS985	Licensed Representative (Provisional Licence)	1, 4
HUGHES Simon Austin	BOR495	Licensed Representative (Provisional Licence)	1, 2
HUI Ching Hang Ruby	BOS349	Licensed Representative (Provisional Licence)	1, 4
HUI Fung	BJK855	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
IKEDA-THEW Kosuke Robert	BIC279	Licensed Representative (Provisional Licence)	1, 9
IP Wing Lam	AQU167	Licensed Representative (Provisional Licence)	1, 6
ITZHAKI Savion	BOP827	Licensed Representative (Provisional Licence)	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
JAIN Divakar	BOJ873	Licensed Representative (Provisional Licence)	1, 2
JI Qingzhou	BOR856	Licensed Representative (Provisional Licence)	1, 2, 3
JIA Hui	BOS827	Licensed Representative (Provisional Licence)	1, 4
JIA Wei	BOT047	Licensed Representative (Provisional Licence)	1, 4, 9
JIANG Wenjia	BOS356	Licensed Representative (Provisional Licence)	1
JIANG Yiqin	BOQ101	Licensed Representative (Provisional Licence)	1, 6
JIN Yi	BOR293	Licensed Representative (Provisional Licence)	1, 4
JUNG Young Il	BOQ990	Licensed Representative (Provisional Licence)	1, 6
KAM Man Sze, Jennifer	APC387	Licensed Representative (Provisional Licence)	1
KANG So Yeon	BOR776	Licensed Representative (Provisional Licence)	1
KAO Tze Jing	BOS059	Licensed Representative (Provisional Licence)	1, 6
KARKER Radhika Shirish	BOR249	Licensed Representative (Provisional Licence)	1
KAWAMOTO Yuta	BOS813	Licensed Representative (Provisional Licence)	1, 9
KE Yuqiao	BOR751	Licensed Representative (Provisional Licence)	1, 4, 6
KEARY Craig William	BJW224	Licensed Representative (Provisional Licence)	1, 4, 9
KELLEHER Rebecca Therese May	BCH440	Licensed Representative (Provisional Licence)	1, 2
KHAIRPURI Prashant Kumar	BOQ804	Licensed Representative (Provisional Licence)	1, 2
KIHARA Takao	BOP616	Licensed Representative (Provisional Licence)	1, 4, 6
KIM Si Hyun	BOP326	Licensed Representative (Provisional Licence)	1, 4
KNIGHT James	BON642	Licensed Representative (Provisional Licence)	4
KO Minhyeok	BOQ944	Licensed Representative (Provisional Licence)	1, 6
KO Sung Hoon	BOQ930	Licensed Representative (Provisional Licence)	1, 4, 6
KONG Zhengqiao	BOR810	Licensed Representative (Provisional Licence)	1
KOO Bon Soo	BOR645	Licensed Representative (Provisional Licence)	1, 4
KUANG Xiaofei	BOP622	Licensed Representative (Provisional Licence)	1, 4, 9
KWAN Wing Yee Vivian	BOS534	Licensed Representative (Provisional Licence)	1, 4
KWOK Po Shan Christine	BOQ100	Licensed Representative (Provisional Licence)	1, 4
LAI Hei Ting	BOQ020	Licensed Representative (Provisional Licence)	1, 4, 9
LAI Yu Kuang	BOQ994	Licensed Representative (Provisional Licence)	1, 6
LALOOBHAI DHARMA KISHOR BHAKABHAI	BOR398	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Ho Bun	BOR451	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Chloe Tak Yee	BOR194	Licensed Representative (Provisional Licence)	1
LAM Chun Ho	BOP792	Licensed Representative (Provisional Licence)	1
LAM Kwok Kei, Franco	AMI313	Licensed Representative (Provisional Licence)	10
LAM On Lok	BOS975	Licensed Representative (Provisional Licence)	1, 4, 6
LAM Pak Wing	BOR667	Licensed Representative (Provisional Licence)	1
LAM Yuen Ho	BOS276	Licensed Representative (Provisional Licence)	1, 4
LAO Jiewen	BOP791	Licensed Representative (Provisional Licence)	1, 2
LARSEN Nathaniel Jay	BOR342	Licensed Representative (Provisional Licence)	1, 6
LAU Ka Chun, Ringo	AEL837	Licensed Representative (Provisional Licence)	1
LAW Chung Ho Colin	BOR943	Licensed Representative (Provisional Licence)	1
LAW Ka Yan	AYD030	Licensed Representative (Provisional Licence)	1
Law Lai Fun	ATE979	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAW Wai Ping	AFJ941	Licensed Representative (Provisional Licence)	9
LEE Chee Wai Ivan	BOR478	Licensed Representative (Provisional Licence)	1, 4
LEE Joon Woo	BOO623	Licensed Representative (Provisional Licence)	1, 4, 6
LEE Yee Wah Cindy	BOR766	Licensed Representative (Provisional Licence)	1, 4
LEUNG Chi Kan	BOP837	Licensed Representative (Provisional Licence)	1, 4, 6
LEUNG Hoi Wai	BOS588	Licensed Representative (Provisional Licence)	1, 2, 3, 4
LEUNG Long Hay Charlton	BOQ798	Licensed Representative (Provisional Licence)	1, 2
LEUNG On Ki	BOR127	Licensed Representative (Provisional Licence)	1
LEUNG Po Yan	BOR541	Licensed Representative (Provisional Licence)	1
LEUNG Siu Fung	BOR536	Licensed Representative (Provisional Licence)	1, 4
LEUNG Yun Bo	BOP789	Licensed Representative (Provisional Licence)	1
LI Chengxi	BOQ996	Licensed Representative (Provisional Licence)	1, 6
LI Chung Yin	BOS914	Licensed Representative (Provisional Licence)	1, 2
LI Cuifan	BOR609	Licensed Representative (Provisional Licence)	9
LI Ho Yin	BOT045	Licensed Representative (Provisional Licence)	1
LI Jiaheng	BOR665	Licensed Representative (Provisional Licence)	1
LI Meng	APQ664	Licensed Representative (Provisional Licence)	1, 4
LI Meng	BOR408	Licensed Representative (Provisional Licence)	1, 4, 9
LI Quentin Chun Yin	BOS053	Licensed Representative (Provisional Licence)	1, 2, 9
LI Runxing	BOR990	Licensed Representative (Provisional Licence)	1, 2
LI Shijiao	BOR366	Licensed Representative (Provisional Licence)	1, 2, 4
LI Shuo	BOS628	Licensed Representative (Provisional Licence)	1, 4
LI Tong	BOR141	Licensed Representative (Provisional Licence)	6
LI Weidong	BOS568	Licensed Representative (Provisional Licence)	1, 4
LI Wenxin	BOR554	Licensed Representative (Provisional Licence)	6
LI Xianqi	BOS183	Licensed Representative (Provisional Licence)	1, 6
LI Xiaoye	BOR341	Licensed Representative (Provisional Licence)	1, 2, 7
LI Yanting	BOB078	Licensed Representative (Provisional Licence)	9
LI Yuemin	BOS230	Licensed Representative (Provisional Licence)	1, 6
LIANG Danhui	BOR212	Licensed Representative (Provisional Licence)	9
LIAO Gehan	BOP001	Licensed Representative (Provisional Licence)	1, 4, 6
LIAO Yuan	BOO625	Licensed Representative (Provisional Licence)	1, 4, 6
LIM Wen-tzen	ALN484	Licensed Representative (Provisional Licence)	1, 4
LIN Chenyueya	BOS821	Licensed Representative (Provisional Licence)	4
LIN Hongwei	BOR991	Licensed Representative (Provisional Licence)	4
LIN Man Shan, Mason	BOR900	Licensed Representative (Provisional Licence)	1, 2
LIN Shing Chun, Ambrose	BOP726	Licensed Representative (Provisional Licence)	2, 5
LIN Weijia	BOP932	Licensed Representative (Provisional Licence)	1, 6
LIN Xiaodong	BOS553	Licensed Representative (Provisional Licence)	1
LIU Feihua	BOS055	Licensed Representative (Provisional Licence)	1, 6
LIU Hong	ARI623	Licensed Representative (Provisional Licence)	9
LIU Jason Jie Sheng	BIY813	Licensed Representative (Provisional Licence)	4
LIU Jie	BOS505	Licensed Representative (Provisional Licence)	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Xiaowen	BOR663	Licensed Representative (Provisional Licence)	1
LIU Ye	BOQ988	Licensed Representative (Provisional Licence)	1, 6
LIU Yewei	AZM917	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Yijun	BOQ791	Licensed Representative (Provisional Licence)	1
LIU Yilin	BOR899	Licensed Representative (Provisional Licence)	1
LIU Yilu	BOS357	Licensed Representative (Provisional Licence)	1, 6
LIU Zhanpeng	BOR927	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Zhen	BOT041	Licensed Representative (Provisional Licence)	1, 4, 6
LO Hoi Yee	ASE941	Licensed Representative (Provisional Licence)	1, 4, 9
LO Ki Cheung	BIN969	Licensed Representative (Provisional Licence)	6
LO Wai Chun	BOP760	Licensed Representative (Provisional Licence)	1, 4, 9
LOCK Wing To	BOS193	Licensed Representative (Provisional Licence)	2, 5
LOKE Phui Meng Wendy	BOS634	Licensed Representative (Provisional Licence)	1, 4
LU Ertai	BOR368	Licensed Representative (Provisional Licence)	9
LU Siyu	BOQ997	Licensed Representative (Provisional Licence)	1, 6
LU Xi	BEY876	Licensed Representative (Provisional Licence)	1
LU Yi	BOR941	Licensed Representative (Provisional Licence)	1
LUK Kai Man Victor	BOO790	Licensed Representative (Provisional Licence)	1, 4, 6
LUM Paula	BOJ356	Licensed Representative (Provisional Licence)	1
LUNG Tsz Him	BOR218	Licensed Representative (Provisional Licence)	1, 4
LUO Jiake	BOS021	Licensed Representative (Provisional Licence)	1, 6
LUO Jiaren	BON493	Licensed Representative (Provisional Licence)	1, 4
LUO Jiarong	BOR756	Licensed Representative (Provisional Licence)	4
LUO Jing	BOS477	Licensed Representative (Provisional Licence)	1, 6
LUO Qian	BOS552	Licensed Representative (Provisional Licence)	1
MA Buyun	BOS189	Licensed Representative (Provisional Licence)	4
MA Chi Chung	BOS334	Licensed Representative (Provisional Licence)	1, 2
MA Fung Chiu	AVI659	Licensed Representative (Provisional Licence)	1, 4
MAK Ho Ming	BAJ419	Licensed Representative (Provisional Licence)	4, 9
MAK Kin Bond	BOS652	Licensed Representative (Provisional Licence)	1, 4
MAK Wing Ho	BOR214	Licensed Representative (Provisional Licence)	1, 4
MAO Linze	BIB161	Licensed Representative (Provisional Licence)	1, 2, 3
MEDNIKOV Ivan	BOR757	Licensed Representative (Provisional Licence)	1, 2, 7
MEHTA Rahil Rakesh	BOR764	Licensed Representative (Provisional Licence)	1, 4, 9
MICHAELSON Edward David	BOO913	Licensed Representative (Provisional Licence)	9
MODI Harsh Wardhan	BOR210	Licensed Representative (Provisional Licence)	4
MOK Ka Hong	BOQ916	Licensed Representative (Provisional Licence)	1, 4
MOROTA Toshiharu	BOS374	Licensed Representative (Provisional Licence)	1, 4
MOUNTFORD Nicholas William	BOR486	Licensed Representative (Provisional Licence)	1, 2
NG Yau Chung	BOP626	Licensed Representative (Provisional Licence)	9
NISHIZAWA Arashi	BOR475	Licensed Representative (Provisional Licence)	4
NUNO DA SILVA FREYRE-SANDERS Simon Dominic	BOR179	Licensed Representative (Provisional Licence)	1
OH Jee Seon	BOR658	Licensed Representative (Provisional Licence)	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
OH Kuan Yu	AUI149	Licensed Representative (Provisional Licence)	1, 4, 9
O'SULLIVAN Maurice	BON494	Licensed Representative (Provisional Licence)	2, 5
OTA Yuma	BOR428	Licensed Representative (Provisional Licence)	1, 4, 6
PAN Chenglin	BOS130	Licensed Representative (Provisional Licence)	1
PAN Deng	BOR075	Licensed Representative (Provisional Licence)	1, 6
PAN Jianhui	BOM819	Licensed Representative (Provisional Licence)	1, 4, 6
PAN Rong	BOS508	Licensed Representative (Provisional Licence)	1
PAN Wenqi	BOR702	Licensed Representative (Provisional Licence)	4
PANG Ming	BAH389	Licensed Representative (Provisional Licence)	4
PARK Guitae	BOQ848	Licensed Representative (Provisional Licence)	1, 6
PASHKOVA Natalia	BOQ040	Licensed Representative (Provisional Licence)	1, 4
PENG Hanchi	BOJ151	Licensed Representative (Provisional Licence)	1, 4
PENG Shuaijie	BNJ202	Licensed Representative (Provisional Licence)	1
PONG Wing In	BOS539	Licensed Representative (Provisional Licence)	9
PRAKASH Tapan	AVL496	Licensed Representative (Provisional Licence)	1, 2
QASMI Sajjad Ahmed	BOR270	Licensed Representative (Provisional Licence)	1
QI Xianqing	BOP956	Licensed Representative (Provisional Licence)	1, 6
QIN Yi	BOS493	Licensed Representative (Provisional Licence)	1, 6
QIU Xiaolin	BEE257	Licensed Representative (Provisional Licence)	1, 4
QUAH Timothy Luke Lai Hock	BOS528	Licensed Representative (Provisional Licence)	9
RAVEENDRAN Benoy	BOR070	Licensed Representative (Provisional Licence)	9
ROSENBLOOM Peter Gordon	BOR563	Licensed Representative (Provisional Licence)	1, 4
SEALEY Ian	BOR771	Licensed Representative (Provisional Licence)	4
SEKARIA Gauri	BOR921	Licensed Representative (Provisional Licence)	1, 9
SEKSIK Raphael	BOQ965	Licensed Representative (Provisional Licence)	1, 2
SHAH Amit	BOR097	Licensed Representative (Provisional Licence)	1, 2
SHAH Nishant Krishnakumar	BOS833	Licensed Representative (Provisional Licence)	4
SHANG Chong	BOR198	Licensed Representative (Provisional Licence)	2, 5
SHAO Jun Ning Wilfred	BOS635	Licensed Representative (Provisional Licence)	4, 9
SHAO Yuqing	BOR975	Licensed Representative (Provisional Licence)	1, 6
SHARMA Naveen Prakash	ANW221	Licensed Representative (Provisional Licence)	1, 2
SHE Weichao	AWL894	Licensed Representative (Provisional Licence)	4
SHEN Ao	BOR931	Licensed Representative (Provisional Licence)	1, 4
SHEN Kaiying	BOR276	Licensed Representative (Provisional Licence)	1, 6
SHEN Shuyuan	BOS494	Licensed Representative (Provisional Licence)	1, 6
SHEN Yiqing	BKW685	Licensed Representative (Provisional Licence)	1, 4, 6
SHI Dingding	BOS485	Licensed Representative (Provisional Licence)	4
SHI Jianan	ATH351	Licensed Representative (Provisional Licence)	4, 9
SHI Zhongwei	BOQ900	Licensed Representative (Provisional Licence)	1, 2
SHIN Yi-Seul	BOS535	Licensed Representative (Provisional Licence)	1, 4
SHU Jian	BOR730	Licensed Representative (Provisional Licence)	1, 4
SHUM Ching Leung	BOS303	Licensed Representative (Provisional Licence)	1, 4, 9
SIM JUN YI Elwin	BOJ651	Licensed Representative (Provisional Licence)	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
SOLLIER Florent Julien Anthony	BOS509	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
SON Benjamin Yong	BOR491	Licensed Representative (Provisional Licence)	1, 4, 6
SONG Haoze	BOR367	Licensed Representative (Provisional Licence)	1, 2, 4, 5
SONG Yanlan	BOT091	Licensed Representative (Provisional Licence)	1, 4, 9
SRIBALASUNDARAM Nathan	BOR792	Licensed Representative (Provisional Licence)	4, 9
SU Zongpei	BOQ844	Licensed Representative (Provisional Licence)	1, 4, 6
SUN Jiexi	BOR399	Licensed Representative (Provisional Licence)	1, 4, 9
SUN Jingfan	BOS196	Licensed Representative (Provisional Licence)	1, 4, 6
SUN Rong	BOP962	Licensed Representative (Provisional Licence)	1
SUN Ruoxi	BOS891	Licensed Representative (Provisional Licence)	1, 2
SUN Ximeng	BOQ099	Licensed Representative (Provisional Licence)	1, 6
SUN Yang	BOI804	Licensed Representative (Provisional Licence)	1, 4
SUNG Tsung Ping	AVD304	Licensed Representative (Provisional Licence)	1, 4, 9
TAM Tsz Tsung	BOO635	Licensed Representative (Provisional Licence)	1, 4, 6
TAM Tsz Yeung, Alan	ALI351	Licensed Representative (Provisional Licence)	4, 9
TAN Tan	BMR356	Licensed Representative (Provisional Licence)	4, 9
TAN Xinyi	BOS039	Licensed Representative (Provisional Licence)	1, 4, 6
TAN Yue	BOR500	Licensed Representative (Provisional Licence)	4
TANAKA Shun	BOS538	Licensed Representative (Provisional Licence)	1, 4
TANG Chung Kit Adrian	BOS589	Licensed Representative (Provisional Licence)	1
TANG Qiyue	BOQ820	Licensed Representative (Provisional Licence)	9
TANG Tsz Hin	BOS424	Licensed Representative (Provisional Licence)	1
TANG Wenhan	BOQ928	Licensed Representative (Provisional Licence)	1, 4, 6
TANG Yin Chung	BOS674	Licensed Representative (Provisional Licence)	6
TANIGAKI Hiroshi	BON950	Licensed Representative (Provisional Licence)	1, 4, 6
TAO Jiaxuan	BOR405	Licensed Representative (Provisional Licence)	1, 4, 9
TEE Xun Chun	BOP272	Licensed Representative (Provisional Licence)	1, 2
TEO Yung Keong	BFY076	Licensed Representative (Provisional Licence)	4
TONG Bizhan Modarressi	BOR349	Licensed Representative (Provisional Licence)	1, 4, 9
TONG Minlong	BOT267	Licensed Representative (Provisional Licence)	1, 2
TSANG Marie Ming Wai	BOP657	Licensed Representative (Provisional Licence)	1, 4, 9
TSANG Wai Han Christine	BOR696	Licensed Representative (Provisional Licence)	1, 4
TSANG Wai Lun Felix	BOT130	Licensed Representative (Provisional Licence)	1, 2
TSE Chau Yeung	BOR199	Licensed Representative (Provisional Licence)	1, 4
TSE Hillary Wai Yi	BOQ785	Licensed Representative (Provisional Licence)	1, 2
TSE Kit Ting, Monique	AFF236	Licensed Representative (Provisional Licence)	1, 4, 9
TSE Man Hon, Matthew	BCJ632	Licensed Representative (Provisional Licence)	1
TSE Man Yin, Jason	BOR763	Licensed Representative (Provisional Licence)	1
TSE Ying Kin	AXV656	Licensed Representative (Provisional Licence)	1
TSEUNG Wing Choi	BOP793	Licensed Representative (Provisional Licence)	1, 2
TSUI King Wai	BOR215	Licensed Representative (Provisional Licence)	1, 4
TU Yunsheng	BOR643	Licensed Representative (Provisional Licence)	1, 2, 4
TUNG Tsz Kiu Jacky	BOR395	Licensed Representative (Provisional Licence)	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
VIJVERBERG Jacob Cornelis	BOS128	Licensed Representative (Provisional Licence)	1, 4
WAN Chun Yat	BOQ925	Licensed Representative (Provisional Licence)	1, 4, 6
WAN Johnson	AQN189	Licensed Representative (Provisional Licence)	9
WANG Aiyi	BOM643	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Dongchen	AWX611	Licensed Representative (Provisional Licence)	4, 9
WANG Junbo	BOS359	Licensed Representative (Provisional Licence)	1, 6
WANG Liwen	BOS484	Licensed Representative (Provisional Licence)	4, 9
WANG Liyao	BOQ035	Licensed Representative (Provisional Licence)	1
WANG Po I	BOR976	Licensed Representative (Provisional Licence)	1
WANG Qi	BOS978	Licensed Representative (Provisional Licence)	6
WANG Qingyang	BOR256	Licensed Representative (Provisional Licence)	9
WANG Shasha	BOR137	Licensed Representative (Provisional Licence)	4
WANG Shengchang	BOT249	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Shuyi	BOR746	Licensed Representative (Provisional Licence)	1, 6
WANG Xiaorui	BOR577	Licensed Representative (Provisional Licence)	1, 4
WANG Xingjie	BIZ041	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Xingyuan	BOS314	Licensed Representative (Provisional Licence)	1, 6
WANG Xiyang	BOQ846	Licensed Representative (Provisional Licence)	1, 6
WANG Yaohui	BOS043	Licensed Representative (Provisional Licence)	1
WANG Yiping	BOR238	Licensed Representative (Provisional Licence)	1, 4
WANG Yiqi	BOR929	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Yiyu	BOR985	Licensed Representative (Provisional Licence)	1, 4
WANG Yufei	BOQ833	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Zaiyuan	BOO631	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Zhenni	BOP686	Licensed Representative (Provisional Licence)	1, 2
WANG Zhuoran	BOS532	Licensed Representative (Provisional Licence)	9
WEE Derrick Sih-Loong	BOS657	Licensed Representative (Provisional Licence)	1, 4
WEI Ningning	BOR811	Licensed Representative (Provisional Licence)	1, 4, 6
WEI Yuanqi	BON666	Licensed Representative (Provisional Licence)	1, 4, 6
WEN Jiannan	BOT084	Licensed Representative (Provisional Licence)	1
WEN Qiang	BOR190	Licensed Representative (Provisional Licence)	1, 4
WILSON Iain Patrick	BOT135	Licensed Representative (Provisional Licence)	1, 2
WONG Chi Wai	BOR623	Licensed Representative (Provisional Licence)	1, 2, 4
WONG Kin Tung Howard	BOQ943	Licensed Representative (Provisional Licence)	1, 6
WONG Kin Ying	BOS192	Licensed Representative (Provisional Licence)	1, 2
WONG Timothy Mun Tim	BNB054	Licensed Representative (Provisional Licence)	1, 2
WONG Tsz Wai	BOT090	Licensed Representative (Provisional Licence)	1
WONG Yin Yee	BNM902	Licensed Representative (Provisional Licence)	1
WONG Yong Wen	BOR400	Licensed Representative (Provisional Licence)	1, 4
WONG Yuk Wun	BKA888	Licensed Representative (Provisional Licence)	1
WU Qinxuan	BOS816	Licensed Representative (Provisional Licence)	1, 2, 9
WU Rong	BOS912	Licensed Representative (Provisional Licence)	1, 4, 6
WU Yuying	BOQ855	Licensed Representative (Provisional Licence)	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
XIA Shujie	BOP734	Licensed Representative (Provisional Licence)	2, 5
XIAO Chimin	BOS311	Licensed Representative (Provisional Licence)	1, 4, 6
XIE Di	BFY650	Licensed Representative (Provisional Licence)	1, 6
XIONG Ziyi	BOP927	Licensed Representative (Provisional Licence)	1, 6
XU Linglin	BOR138	Licensed Representative (Provisional Licence)	1, 4, 6
XU Menghan	BOS823	Licensed Representative (Provisional Licence)	1, 6
XU Qian	BGQ738	Licensed Representative (Provisional Licence)	9
XU Yifan	BOQ874	Licensed Representative (Provisional Licence)	2, 5
XU Ying	BOR618	Licensed Representative (Provisional Licence)	1, 2, 4
XU Zhichen	BOS280	Licensed Representative (Provisional Licence)	9
XUAN Jiayi	BOS482	Licensed Representative (Provisional Licence)	4
YAN Kaka Kar Wing	BOR739	Licensed Representative (Provisional Licence)	1, 2
YANG Da	BOS758	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Jiaying	BOR671	Licensed Representative (Provisional Licence)	1, 4
YANG Lei	BCY602	Licensed Representative (Provisional Licence)	1
YANG Liang	BOT039	Licensed Representative (Provisional Licence)	1, 4
YANG Mengjie	BOO896	Licensed Representative (Provisional Licence)	4
YANG Qing	ARO431	Licensed Representative (Provisional Licence)	1, 6
YANG Yatong	BOR250	Licensed Representative (Provisional Licence)	1, 4
YANG Yuxuan	BOP151	Licensed Representative (Provisional Licence)	1, 2, 4, 5
YANG Zejian	BOT143	Licensed Representative (Provisional Licence)	1, 6
YANG Zhicheng	BOR216	Licensed Representative (Provisional Licence)	1
YE Weisa	BOR745	Licensed Representative (Provisional Licence)	1
YEO Kevin Neng Kai	BOR340	Licensed Representative (Provisional Licence)	1, 6
YEUNG Lok Yiu Kelly	BOS246	Licensed Representative (Provisional Licence)	1
YEUNG Man Yuk	BOR894	Licensed Representative (Provisional Licence)	1
YI Jiaqi	BOR639	Licensed Representative (Provisional Licence)	1, 4, 6
YIN Zijia	BOS060	Licensed Representative (Provisional Licence)	1
YIP Brian Yeuk Lam	BOR576	Licensed Representative (Provisional Licence)	1, 6
YIP Ching Hung Paul	BOS222	Licensed Representative (Provisional Licence)	1, 4
YIP Sheung Fung	BOS266	Licensed Representative (Provisional Licence)	4
YIP Wai Fung, Andy	BOS590	Licensed Representative (Provisional Licence)	1, 4, 9
YIU Pui Pui, Maisy	ANU997	Licensed Representative (Provisional Licence)	1
YONG Ni	BOS177	Licensed Representative (Provisional Licence)	2
YOU Jiong	BOQ870	Licensed Representative (Provisional Licence)	9
YU Hainan	BOR421	Licensed Representative (Provisional Licence)	4
YU Kin Hang Oliver	BOR363	Licensed Representative (Provisional Licence)	1, 6
YU Lu	BOR940	Licensed Representative (Provisional Licence)	1, 2
YU Yisheng	BOR402	Licensed Representative (Provisional Licence)	1, 4, 6
YU Zhuoer	BOR226	Licensed Representative (Provisional Licence)	1, 4, 6
YUAN Hong	ASI356	Licensed Representative (Provisional Licence)	1, 2, 7
YUAN Jiasheng	BOQ845	Licensed Representative (Provisional Licence)	1, 6
YUAN Xiaodi	BOR657	Licensed Representative (Provisional Licence)	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
YUAN Xinyi	BOS179	Licensed Representative (Provisional Licence)	1, 4, 6
YUEN Wing Hei	BOT087	Licensed Representative (Provisional Licence)	1
YUK Christopher Chak Kwan	BHY517	Licensed Representative (Provisional Licence)	1, 6
ZANG Zheng	BOR945	Licensed Representative (Provisional Licence)	1, 6
ZENG Xing	BOR371	Licensed Representative (Provisional Licence)	1, 4, 6
ZENG Zizhang	BOS824	Licensed Representative (Provisional Licence)	1, 6
ZHANG Chibin	BOS543	Licensed Representative (Provisional Licence)	1, 2, 9
ZHANG David	AFM920	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Haimo	BNV344	Licensed Representative (Provisional Licence)	4, 5, 9
ZHANG Hongyi	BOR370	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Jingyi	BOO999	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Ke	BOP953	Licensed Representative (Provisional Licence)	1, 6
ZHANG Kexin	BOT103	Licensed Representative (Provisional Licence)	1, 4
ZHANG Pengfei	BOL221	Licensed Representative (Provisional Licence)	9
ZHANG Ruoyun	BOS360	Licensed Representative (Provisional Licence)	1, 6
ZHANG Siran	BOQ929	Licensed Representative (Provisional Licence)	2, 5
ZHANG Xichen	BOP231	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Xuanyang	BOR407	Licensed Representative (Provisional Licence)	4
ZHANG Zenghao	BOS058	Licensed Representative (Provisional Licence)	1
ZHANG Zezhou	BOP930	Licensed Representative (Provisional Licence)	1, 6
ZHANG Zhejia	BOT247	Licensed Representative (Provisional Licence)	1, 6
ZHAO Chenfan	AOS450	Licensed Representative (Provisional Licence)	1, 2
ZHAO Juyi	BNO905	Licensed Representative (Provisional Licence)	1, 2, 7
ZHAO Li	BOS054	Licensed Representative (Provisional Licence)	4
ZHEN Hao	BOR969	Licensed Representative (Provisional Licence)	1, 6
ZHENG Dan	BOR072	Licensed Representative (Provisional Licence)	4, 9
ZHENG Shen	BOS194	Licensed Representative (Provisional Licence)	1, 4, 6
ZHONG Xiaotong	BJM474	Licensed Representative (Provisional Licence)	1
ZHOU Chu Yan	BOR938	Licensed Representative (Provisional Licence)	1, 6
ZHOU Fuyan	BOR262	Licensed Representative (Provisional Licence)	1, 4, 6
ZHOU Jiawen	BOR735	Licensed Representative (Provisional Licence)	1
ZHOU Mengheng	BOO998	Licensed Representative (Provisional Licence)	4
ZHOU Shijie	BOS887	Licensed Representative (Provisional Licence)	1, 4, 6
ZHOU Sijia	BOS500	Licensed Representative (Provisional Licence)	1, 6
ZHOU Weishan	BOT352	Licensed Representative (Provisional Licence)	1, 2, 4
ZHOU Xiaohui	BOS775	Licensed Representative (Provisional Licence)	4, 9
ZHOU Yang	BOR624	Licensed Representative (Provisional Licence)	1, 6
ZHOU Yihan	BOP756	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Wenjia	BOQ998	Licensed Representative (Provisional Licence)	1, 6
ZHUANG Shenghao Eugene	BOP949	Licensed Representative (Provisional Licence)	1, 4
ZOU deshi	BOR615	Licensed Representative (Provisional Licence)	6
ALT Benjamin Jakob	BOP591	Licensed Representative (Temporary Licence)	1
ANDERSON Daniel Peter	BOJ183	Licensed Representative (Temporary Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
ANDERSON Gregory Paul	BMO234	Licensed Representative (Temporary Licence)	4
CHEN Wei	BOL386	Licensed Representative (Temporary Licence)	1
CHEN Wei	BOL386	Licensed Representative (Temporary Licence)	1
CHO Chulhee	BEX932	Licensed Representative (Temporary Licence)	4
CORK Jenna Louise	AVS375	Licensed Representative (Temporary Licence)	1
CORNELL Emily Lawless	BOJ674	Licensed Representative (Temporary Licence)	1
DAI Wei	BOL570	Licensed Representative (Temporary Licence)	1
DAI Wei	BOL570	Licensed Representative (Temporary Licence)	1
DESHMUKH Prasad Gulabrao	BAR266	Licensed Representative (Temporary Licence)	4
DIDELOT Nicolas Mathieu	BHO975	Licensed Representative (Temporary Licence)	1, 4
DUNNETT Brian David	BBH893	Licensed Representative (Temporary Licence)	1
ENGEL Olivia Jane	BIL522	Licensed Representative (Temporary Licence)	1, 4, 5
FANG Chenjun	BJQ180	Licensed Representative (Temporary Licence)	4
FIORITO Tyler James	BOR754	Licensed Representative (Temporary Licence)	1
FLETCHER David Alan Fraser	BOI772	Licensed Representative (Temporary Licence)	1, 4
GILL Barry John	BHP725	Licensed Representative (Temporary Licence)	1, 4
GILLESPIE Samuel Frederick	BOR389	Licensed Representative (Temporary Licence)	1
GOYAL Surendra Kumar	BEO301	Licensed Representative (Temporary Licence)	4
GUNDLAPALLE Pranav Dheeraj	BOQ958	Licensed Representative (Temporary Licence)	4
GUTMAN Simeon Ari	BFO614	Licensed Representative (Temporary Licence)	1, 4
HARMSTONE Andrew Thaddeus	ARW607	Licensed Representative (Temporary Licence)	1
HEO Na Rae	BNR821	Licensed Representative (Temporary Licence)	4
HOCHFELD Kim	BKV728	Licensed Representative (Temporary Licence)	1
KAJIYAMA Yohei	BOP676	Licensed Representative (Temporary Licence)	1
KAWAMOTO Hisae	BNP794	Licensed Representative (Temporary Licence)	4
KAWASAKI Satsuki	BJE606	Licensed Representative (Temporary Licence)	4
KEDIA Sahil Sudhir	AZW848	Licensed Representative (Temporary Licence)	4
KILLORAN Matthew David	BOA795	Licensed Representative (Temporary Licence)	1
KIM Gil Hyung	AUQ480	Licensed Representative (Temporary Licence)	4
KIM Jinwoo	BGY980	Licensed Representative (Temporary Licence)	4
KIM Joel	AIL394	Licensed Representative (Temporary Licence)	1
KINOSHITA Yoshiyuki	BBL047	Licensed Representative (Temporary Licence)	4
KONDO Masakatsu	BFA117	Licensed Representative (Temporary Licence)	1
KONG Robert Patrick	BDQ917	Licensed Representative (Temporary Licence)	4
LEE Doyeon	BFO638	Licensed Representative (Temporary Licence)	4
LEUCHTEN Michael	BKM728	Licensed Representative (Temporary Licence)	4
LIE Vivi Handoyo	BEN583	Licensed Representative (Temporary Licence)	4
LIN Li-Chun	BIG303	Licensed Representative (Temporary Licence)	4
MA Zhihan	BOR310	Licensed Representative (Temporary Licence)	4
MACLEAN Murdo Alexander	BGK887	Licensed Representative (Temporary Licence)	1, 4
MANOONPOL Alex	BOS797	Licensed Representative (Temporary Licence)	4
MC CLOSKEY Kevin Richard	BCU815	Licensed Representative (Temporary Licence)	4
MIURA Nobuyoshi	BDU297	Licensed Representative (Temporary Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
MOSSELAAR Jan Sijtze	BHH524	Licensed Representative (Temporary Licence)	1, 4
NA Eun Chae	BGC163	Licensed Representative (Temporary Licence)	4
NA PATTALOONG Natchutha	BIO006	Licensed Representative (Temporary Licence)	4
NAM Kee Hwa	BOR455	Licensed Representative (Temporary Licence)	1, 2
NEUMAYER Elliott Richard	BMV317	Licensed Representative (Temporary Licence)	1
PATKER Sameer Sudhir	BMB521	Licensed Representative (Temporary Licence)	4
PING Fan	BOR749	Licensed Representative (Temporary Licence)	4
PRAKASH Sharad	BIR484	Licensed Representative (Temporary Licence)	1
RAFFERTY Simon Michael	BJQ663	Licensed Representative (Temporary Licence)	1, 4
RAVI Ephrem	AVL880	Licensed Representative (Temporary Licence)	4
REDMAN Simon David	BLF884	Licensed Representative (Temporary Licence)	1, 4
RICCI Jason Steven	BJE668	Licensed Representative (Temporary Licence)	1, 2
SCHEDLICH Christopher Mumui Russell	BOS337	Licensed Representative (Temporary Licence)	1
SHIBANO Masahiro	BFN431	Licensed Representative (Temporary Licence)	4
SHROFF Abhijit	BOM516	Licensed Representative (Temporary Licence)	1
SILVERMAN Alexander Charles	BOS158	Licensed Representative (Temporary Licence)	1, 2
SON Teo	BOR876	Licensed Representative (Temporary Licence)	1, 2
SPENCER Raymond Mark	BNU220	Licensed Representative (Temporary Licence)	4
SWAMINATHAN Anand	AUW975	Licensed Representative (Temporary Licence)	4
TEASDEL Joel Hamish Gregory John	BOL757	Licensed Representative (Temporary Licence)	1
THIRU Kumaresh	BOR119	Licensed Representative (Temporary Licence)	1, 2
THOMAS Frederic Francois Mathieu	BJN830	Licensed Representative (Temporary Licence)	1
TOMIYASU Hiroki	BOR724	Licensed Representative (Temporary Licence)	1, 2
TRUELOVE Keith Alan	AWF626	Licensed Representative (Temporary Licence)	1
UKIM Hasan	BJR959	Licensed Representative (Temporary Licence)	1
WATROUS Andrew Martin	BOQ843	Licensed Representative (Temporary Licence)	1, 4, 5
WELCH Michael Godfrey	BGN066	Licensed Representative (Temporary Licence)	4
WONG Ferry Wijaya	ARB231	Licensed Representative (Temporary Licence)	4
XIE Jiaoyue	BHU354	Licensed Representative (Temporary Licence)	1, 4
YANG Jong In	BEX912	Licensed Representative (Temporary Licence)	4
YANG Yongsheng	BOS483	Licensed Representative (Temporary Licence)	4
YOO Jongwoo	BEX905	Licensed Representative (Temporary Licence)	4
YU Jiaqi	BOS486	Licensed Representative (Temporary Licence)	4
ZHANG Jin	BOQ913	Licensed Representative (Temporary Licence)	10
ZHANG Weidi	BMS521	Licensed Representative (Temporary Licence)	1
ZHOU Angela	BOQ914	Licensed Representative (Temporary Licence)	10