

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2019

CE Name	CE No.	License Type	Types of Regulated Activity
AnglePoint Asset Management Limited	BNW979	Licensed Corporation	9
Apax Partners Hong Kong Limited	AQA408	Licensed Corporation	1
Apollo Capital Management Limited	BOI554	Licensed Corporation	4, 9
BKT Asset Management HK Limited	BOL522	Licensed Corporation	4, 9
Brawn Capital Management Limited	BOF848	Licensed Corporation	9
Cepaalliance Asset Management Limited	BOJ357	Licensed Corporation	9
CONTINENTAL Securities Limited	BOP015	Licensed Corporation	1
Grand Capital Futures Limited	BOM175	Licensed Corporation	2, 5
Grand Capital Securities Limited	BOM170	Licensed Corporation	1, 4, 9
Interarch Asset Management Limited	BOB012	Licensed Corporation	1, 4, 9
Pan View Capital Limited	BOM746	Licensed Corporation	9
Sumitomo Mitsui DS Asset Management (Hong Kong) Limited - Daiwa SB Investments (HK) Limited (AAB217) and Sumitomo Mitsui Asset Management (Hong Kong) Limited (AAK014) amalgamated on 1 October 2019, pursuant to the relevant provisions of the Companies Ordinance (Chapter 622). The amalgamated company is Daiwa SB Investments (HK) Limited (BOT526).	BOT526	Licensed Corporation	1, 4, 9
TCA Investments (Hong Kong) Limited	BOJ450	Licensed Corporation	4, 9
Vanward International Securities Limited	BOC314	Licensed Corporation	1
Willowbridge Investment Corporation (Asia) Limited	BOC194	Licensed Corporation	9
Zhengqi International Asset Management Limited	BOL393	Licensed Corporation	1, 4, 9
AGRAWAL Kamlesh	BOW448	Licensed Representative	4
AHN Soo Yong	ASM065	Licensed Representative	1, 4
ALBUQUERQUE Dinesh Jeremy	BOU437	Licensed Representative	1, 4, 6
ANCHALA Nishanth Sai	BOR522	Licensed Representative	1, 2
ANNABI Omar	BOT412	Licensed Representative	1, 2
AO IEONG Wai Chi	BOU592	Licensed Representative	1, 2, 4, 5
AU YEUNG Wing Lam	BOF638	Licensed Representative	1
AVERY Benjamin Peter	BOU119	Licensed Representative	4, 9
BANSAL Isha	BOU630	Licensed Representative	1, 4
BOKOBZA Dylan Joseph Nathanael	BOU052	Licensed Representative	1, 2
BOURKE Cillian Anthony	AXY252	Licensed Representative	1, 2
BUNCOMBE Andrew Kent	BOU549	Licensed Representative	4
CAI Gaoxiang	BOT234	Licensed Representative	2
CAI Xiangbin	BOW512	Licensed Representative	1
CAI Xinxin	BOR182	Licensed Representative	1, 2
CAI Yongjun	BOT306	Licensed Representative	1, 2
CAMPBELL Job Ezra	AFU889	Licensed Representative	9
CAO Xinyu	BOU081	Licensed Representative	1, 6
CAO Xinyue	BOW552	Licensed Representative	1, 4, 6
CAO Yu	BOS198	Licensed Representative	1, 4, 9
CAO Ziling	AZL396	Licensed Representative	1, 6
CHAN Chi Long Ronald	BHC980	Licensed Representative	1
CHAN Chun Ming Jimmy	BIS678	Licensed Representative	6
CHAN Chun Tat	BOU344	Licensed Representative	2
CHAN Ka Chun, Karl	AOY782	Licensed Representative	3
CHAN Ka Yu Candy	BOS638	Licensed Representative	9
CHAN Kin Man	ALG202	Licensed Representative	1, 4
CHAN King Hong	BFX167	Licensed Representative	1, 2
CHAN King Man	BOU122	Licensed Representative	1, 4, 6
CHAN Lai Yung	APN870	Licensed Representative	1
CHAN Lim Tak, Teresa	AXC310	Licensed Representative	1
CHAN Lok Ching	BOW610	Licensed Representative	6
CHAN Man Ling Joyce	BOU555	Licensed Representative	1, 6
CHAN Ngai Kwong	BOU778	Licensed Representative	10
CHAN Po Yee, Mabel	BOR147	Licensed Representative	1
CHAN Siu Ki Lincoln	BOT912	Licensed Representative	1, 4
CHAN Tak On	BOX448	Licensed Representative	1
CHAN Wing Sum	ASY722	Licensed Representative	1
CHAN Yue Tat Andy	BHY880	Licensed Representative	1, 4
CHANG Peter	AFY053	Licensed Representative	1
CHAU Ka Yue Kenneth	BEG049	Licensed Representative	9
CHAU Sin Yi Mabel	BOU714	Licensed Representative	4, 9
CHAWLA Ajay	BOH042	Licensed Representative	1, 2
CHEN Yu-Ting	BOS777	Licensed Representative	1
CHEN Ao	BOT886	Licensed Representative	4
CHEN Bolun	BOU604	Licensed Representative	1, 4, 9
CHEN Cong	BOW637	Licensed Representative	4
CHEN John Po-chuan	ANU569	Licensed Representative	4, 9
CHEN Ke	BOT251	Licensed Representative	1, 2, 4
CHEN Kunyu	BOS515	Licensed Representative	1, 6
CHEN Qi	BOT095	Licensed Representative	1
CHEN Sichao	BOW553	Licensed Representative	4
CHEN Tse-Wei	BOT134	Licensed Representative	1, 4
CHEN Xiaomin	BOZ659	Licensed Representative	1, 4, 6
CHEN Yilin	BOT294	Licensed Representative	1
CHEN Yu	BFD957	Licensed Representative	1, 2, 4, 5
CHEN Yung Hsin	BOT262	Licensed Representative	10

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Yunyang	BOX139	Licensed Representative	4
CHENG Hiu Man	BOT253	Licensed Representative	1, 4, 9
CHENG Nga Man	BOS613	Licensed Representative	1, 4
CHENG Patricia Pan	BEW967	Licensed Representative	1, 4, 6
CHENG Pengfei	BOV379	Licensed Representative	1, 6
CHENG Sze Man	BMX684	Licensed Representative	9
CHENG Wai Hong	AAK494	Licensed Representative	1, 2
CHENG Weizheng	BOU486	Licensed Representative	1
CHEUNG Andrew Tinkee	BMV787	Licensed Representative	1, 4, 5, 9
CHEUNG Chi	BNX580	Licensed Representative	1, 2
CHEUNG Ching Man	BOT894	Licensed Representative	1
CHEUNG Danny Tsz Chung	ADB418	Licensed Representative	1
CHEUNG Hiu Tung	AEA520	Licensed Representative	4, 9
CHEUNG Ka Ho	BOS843	Licensed Representative	10
CHEUNG Kam Hong	BOX063	Licensed Representative	9
CHIA Chin Ping	BOT947	Licensed Representative	1, 4, 9
CHIANG Rwei-cheng	BOZ703	Licensed Representative	1, 6
CHIO Mei Seong	AKQ627	Licensed Representative	1
CHIU Camen Gar Min	BOU551	Licensed Representative	1
CHIU Long Ying Edith	BOT954	Licensed Representative	4, 9
CHIU Ming Chi	BOW540	Licensed Representative	1, 6
CHIU Nga Shu Queenie	BOZ725	Licensed Representative	1
CHO Yong Duk	BOR286	Licensed Representative	1, 4, 9
CHOI Barrie Lok-hay	BOU807	Licensed Representative	1, 2
CHOI Jin Woo	BOU649	Licensed Representative	1, 4, 6
CHOW Hin Ting	BMG814	Licensed Representative	9
CHOW Wai Man, Grace	AHV295	Licensed Representative	1, 4
CHOW Wing Chun, Alexander	BEK765	Licensed Representative	1
CHOY Kit Hung	ALB340	Licensed Representative	9
CHU Yeuk Mong	BOR817	Licensed Representative	4, 9
CHUN Ka Wing	BOT644	Licensed Representative	1, 2
CHUNG Chiao Ling	BOU156	Licensed Representative	1
CHUNG Hiu Fai	BOU783	Licensed Representative	1, 4
CNN Lakshmen	BOT966	Licensed Representative	1, 2
COOK JR William P	BOT791	Licensed Representative	1
CRAAGG Calvin Russell	BOT284	Licensed Representative	1
CUI Tong	BOS633	Licensed Representative	1, 4
CUI Youmao	BOX018	Licensed Representative	4, 9
DAI Junhao	BOT796	Licensed Representative	1, 2
DAVEY Alexander Rupert Gabriel	AQS278	Licensed Representative	1, 4, 9
DE BEJARRY Guillaume, Jean-Pierre, Claude	BOT658	Licensed Representative	1
DEHESH Farhad	BKQ460	Licensed Representative	1
DENG Kaiyuan	BOT497	Licensed Representative	1
DIANE Idriss	BOU198	Licensed Representative	1, 2
DIGBY Thomas Geoffrey	BOK886	Licensed Representative	1, 4
DING Lawrence Zheming	BOW531	Licensed Representative	1, 4, 6
DONG Jingyuan	BOU606	Licensed Representative	1
DONG Mirzhuo	BOX021	Licensed Representative	1, 4, 6
DONG Xiao	BEJ224	Licensed Representative	4, 9
DONG Yun	BOW609	Licensed Representative	1, 6
DUBEAUX Francois Aime Rene	BOT737	Licensed Representative	1, 2
ELBAZ Eric Mikael	BOT269	Licensed Representative	1, 2, 4, 5
EOH You Loong	BOT018	Licensed Representative	1, 2, 3
FAN Haishuo	BAX762	Licensed Representative	4
FANG Cao	APR657	Licensed Representative	9
FAVRE Robin Georges Flavien	ANP295	Licensed Representative	9
FENG Yanyan	BOU561	Licensed Representative	1, 4, 6
FENG Zicai	AWU832	Licensed Representative	1
FITENY Mark Joseph	BOS837	Licensed Representative	1, 4, 6
FOK Yu Man	BOX236	Licensed Representative	1, 2
FUNG Siu Ling	BOT534	Licensed Representative	1
FURUKAWA Ai	BMS642	Licensed Representative	1, 4
GAN Seem	BOS604	Licensed Representative	1, 4, 9
GIRI Deepak Kumar	BOU571	Licensed Representative	9
GONG Fei	BOT346	Licensed Representative	1
GOPALANI Ansh	BOQ049	Licensed Representative	1, 4
GREENBERG Matthew Bailey	AVT233	Licensed Representative	9
GU Kaiqi	BOU750	Licensed Representative	2
GUHATHAS Rogaan	BNU064	Licensed Representative	1, 4, 9
GUO Yutao	BOP021	Licensed Representative	6
GUPTA Sumit	BOW725	Licensed Representative	4
HAN Han	BOU583	Licensed Representative	4
HAN Kunlun	BOU083	Licensed Representative	1, 4, 6
HAN Sheng	BOW500	Licensed Representative	1, 2
HAN Yijia	BOT963	Licensed Representative	4
HAO Wenjing	BOW958	Licensed Representative	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
HARPER Matthew Robert	BOG771	Licensed Representative	9
HARRISON Matthew Kelsey	BEZ680	Licensed Representative	1, 4
HASEGAWA Hikari	BOU816	Licensed Representative	1, 4
HE Mu	BOU421	Licensed Representative	4, 9
HE Shuai	BOS301	Licensed Representative	1, 4, 6
HE Zhun	AVG355	Licensed Representative	1, 4, 9
HEUNG Ting Yin	BOU079	Licensed Representative	1, 4
HIRATA Shingo	AYG042	Licensed Representative	4
HO Siu Lam Timothy	BOS108	Licensed Representative	1
HO Wing Hong	BOS863	Licensed Representative	4, 9
HO Wing Tao Martin	BOT858	Licensed Representative	9
HO Ying Wo	BDL567	Licensed Representative	1, 4
HONG Seung Bok	ACS880	Licensed Representative	1, 4
HONG Yaxin	BOG800	Licensed Representative	1, 4, 9
HU David Boxi	BOR605	Licensed Representative	1, 2
HU Qianqi	BOS945	Licensed Representative	10
HU Xiao	BOU094	Licensed Representative	1, 6
HU Xinyu	BOW506	Licensed Representative	1
HU Xiuyi	BDZ169	Licensed Representative	1, 2
HUANG Honghan	BOW643	Licensed Representative	1, 4, 6
HUANG Naikang	BAT756	Licensed Representative	4, 9
HUANG Shu Yin	BOU142	Licensed Representative	1, 6
HUANG Tao	BOX216	Licensed Representative	9
HUANG Xuwen	BOU558	Licensed Representative	4
HUANG Ying	BOU280	Licensed Representative	4, 9
HUBERT Marc William	ANX233	Licensed Representative	9
HUI Ka Wai	BOT345	Licensed Representative	4, 9
HUNT Ross Anthony	ATD971	Licensed Representative	1, 2
HUTAURUK Montassya Uly Basa	BEO582	Licensed Representative	1, 2
ISAEV Pavel	BOQ039	Licensed Representative	1, 4
IWASAKI Kaori	BNO785	Licensed Representative	4
IZAWA Hiroyuki	BOT930	Licensed Representative	9
Ji Kaiqiang	BOW615	Licensed Representative	4, 9
JIA Wei	BOT047	Licensed Representative	1, 4, 9
JIANG Li	BOP624	Licensed Representative	4, 9
JIING Tian Wen	BJW733	Licensed Representative	1, 4, 9
JIN Lumeng	BOT763	Licensed Representative	1, 6
JOUGUET Paul Christian Marie	BOT984	Licensed Representative	1, 2, 7
JU Xi	BOT965	Licensed Representative	4
KALRO Naveen Prakash	BOX235	Licensed Representative	1, 2
KAPOOR Harit	BOV374	Licensed Representative	4
KARKER Radhika Shirish	BOR249	Licensed Representative	1
KARLO Krzysztof	BOU802	Licensed Representative	1, 4
KASAI Taku	BOZ247	Licensed Representative	9
KATSUYAMA Arisa	BOU173	Licensed Representative	1, 4
KAWAMOTO Yuta	BOS813	Licensed Representative	1, 9
KHAN Hammad Ahmad	BOS601	Licensed Representative	9
KIM Heejung	BOS906	Licensed Representative	1, 2
KIM Ji Yang	BOU051	Licensed Representative	1, 2
KIM Jin Soo	BOU749	Licensed Representative	1
KIM Pau Lam	BBM050	Licensed Representative	9
KOELBEL Eric William	BOZ705	Licensed Representative	1
KONG Chi Ho	BOU720	Licensed Representative	4, 9
KUAN Chio Chit	BOL385	Licensed Representative	6
KWAN Ching Yi	BOT543	Licensed Representative	1, 2
KWAN Ting Gee	AUR559	Licensed Representative	1, 4
KWAN Yee Mui Tonette	AWK323	Licensed Representative	1, 4, 9
KWOK Tin Wai Angel	BOI577	Licensed Representative	1
LAI Qiaochu	BOT896	Licensed Representative	1, 4, 9
LAI Yeuk Ho Hardy	BFM552	Licensed Representative	1, 4, 9
LAI Yi-Che	AGH216	Licensed Representative	4
LAIGNEL Nicolas Anthony Lucien	BOT879	Licensed Representative	1, 2
LAM Chi Chun	BOU219	Licensed Representative	4
LAM Ching Po	BDM079	Licensed Representative	9
LAM Chui Yin	BOT762	Licensed Representative	1
LAM Fung Yeung Jacqueline	BKP015	Licensed Representative	1, 6
LAM Lai Ting, Claude	BOU217	Licensed Representative	9
LAM Tau Hing	BOT764	Licensed Representative	4, 9
LAM Wai Shing	AYJ113	Licensed Representative	1, 2, 4, 5
LAM Yat Shing Kenny	BOT760	Licensed Representative	6
LANGFORD Stephen Richard	BKI493	Licensed Representative	1
LAU Chi Fung	BOS999	Licensed Representative	1
LAU Hor Yan, Pauline	APW586	Licensed Representative	1
LAU Sheung Wing, Michael	BOW614	Licensed Representative	4, 9
LAU Ying Tung	ATD665	Licensed Representative	1, 4
LAVATELLI Francesco	BOT058	Licensed Representative	2, 5

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2019

CE Name	CE No.	License Type	Types of Regulated Activity
LAW Ka Fai	BOU084	Licensed Representative	1, 4
LAW Kin Fat	ABL850	Licensed Representative	9
Law Lai Fun	ATE979	Licensed Representative	1
LAW Yat Yin	BOQ999	Licensed Representative	1, 2
LEE Eun Sem	BOT072	Licensed Representative	1, 4
LEE Kwing Nam	BOU111	Licensed Representative	1, 4
LEE Kyle Alexander	BOQ887	Licensed Representative	6
LEE Yea Sul	BOT696	Licensed Representative	1, 4, 6
LEE Yu Yen	BOU339	Licensed Representative	1, 6
LEM Sze Mun	BOT156	Licensed Representative	1, 7
LERMUSIAUX David Patrick Gregoire	BOU504	Licensed Representative	9
LEUNG Chak Ming	BOU043	Licensed Representative	1
LEUNG Choon Long, Justin	BOT726	Licensed Representative	1, 4
LEUNG Gary	BOV363	Licensed Representative	1, 2
LEUNG Hoi Wai	BOS588	Licensed Representative	1, 2, 3, 4
LEUNG Ka Man	ARW215	Licensed Representative	1
LEUNG Kin Yip	AWH336	Licensed Representative	1, 4, 9
LEUNG Mei Sze, Kennis	AFU704	Licensed Representative	1, 4, 9
LEUNG Nga Wing	BOU493	Licensed Representative	1
LEUNG Sin Hang Calvin	BOW724	Licensed Representative	4
LEUNG Tak Ming	ACJ959	Licensed Representative	1
LEUNG Wing Sze	BOT159	Licensed Representative	6
LI Binqing	BFT568	Licensed Representative	2
LI Chak Fun	BOW503	Licensed Representative	1, 2
LI Chung Yin	BOS914	Licensed Representative	1, 2
LI Chunyu	BOW501	Licensed Representative	1, 4
LI Dan	BOU347	Licensed Representative	1, 6
LI Hiu Yan	BOS574	Licensed Representative	1, 4
LI Ho Yin	BOT045	Licensed Representative	1
LI Jingyi	BGH363	Licensed Representative	9
LI Ka Wai	BOT810	Licensed Representative	1, 2
LI Lu	BOJ360	Licensed Representative	1
LI Luxi	BOU624	Licensed Representative	1, 4
LI Luzi	BOP511	Licensed Representative	4
LI Qiwei	BOW736	Licensed Representative	1, 6
LI Siu Fai	BOU230	Licensed Representative	1, 4
LI Tian-hong	BOT258	Licensed Representative	10
LI Wenfeng	BOT632	Licensed Representative	1, 4, 9
LI Yang	BOD322	Licensed Representative	1, 4, 9
LI Yuet Yan	BOS762	Licensed Representative	1
LIANG Qjian	BOT293	Licensed Representative	1, 6
LIANG Shuang	BOU692	Licensed Representative	1, 6
LIANG Ziyang	BOT071	Licensed Representative	9
LIAO Weicong	BOW492	Licensed Representative	1, 4
LIEN Hsiang Pu	BOU704	Licensed Representative	1, 4
LIN Chenyueya	BOS821	Licensed Representative	4
LIN Po Yin	BOT983	Licensed Representative	1, 2, 9
LIN Pui Yin	BOS913	Licensed Representative	1, 4
LIN Xiaowei	BOX534	Licensed Representative	4
LIU Bingbing	BON562	Licensed Representative	1, 6
LIU Chor Kiu Jonathan	BOW777	Licensed Representative	1, 4, 6
LIU Fung Ping	BOS654	Licensed Representative	1, 2, 3
LIU Minyi	BOR004	Licensed Representative	1, 6
LIU Qihui	BOW658	Licensed Representative	1
LIU Shun-Feng	BOT327	Licensed Representative	4
LIU Tianxiang	BOU728	Licensed Representative	1, 6
LIU Yi	BOT758	Licensed Representative	1, 4
LIU Yingxi	BOU607	Licensed Representative	1
LIU Yishan	BOW729	Licensed Representative	1, 4
LIU Yu-chun	BOS653	Licensed Representative	1
LIU Zhaoming	BOS533	Licensed Representative	1
LIU Zhe	BOU144	Licensed Representative	9
LIU Zhen	BOT041	Licensed Representative	1, 4, 6
LIU Zhiqi	BOT241	Licensed Representative	4
LIU Ziyuan	BOT112	Licensed Representative	4, 9
LO Hoi Yee	ASE941	Licensed Representative	1, 4, 9
LO Hsiu-Ying	BON893	Licensed Representative	1
LO Kei Sze	BOT460	Licensed Representative	9
LOK Remus Chu Kit	AVI054	Licensed Representative	1, 4
LONG Lydia Soong Leng	BMM364	Licensed Representative	1
LOU Shang	BIJ684	Licensed Representative	1, 4, 9
LU Feng	BOT887	Licensed Representative	1, 9
LU Shiwei	BOT898	Licensed Representative	1, 4, 9
LU Steven Shiming	AQJ443	Licensed Representative	1, 2, 4, 5
LU Xi	BEY876	Licensed Representative	1
LU Yue	AGX670	Licensed Representative	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
LUN Choi Yee	BNB379	Licensed Representative	1, 4, 9
LUO Chuyan	BOG507	Licensed Representative	4, 9
LUO KAILUN	BOW449	Licensed Representative	1, 4, 9
LYU Juan	BOU764	Licensed Representative	4
MA Hung Fat	BOU105	Licensed Representative	4, 9
MA Jiayun	BOT353	Licensed Representative	1
MA Junjian	BOW559	Licensed Representative	1, 4
MA Liqun	BOT126	Licensed Representative	1, 4, 9
MADRANGE Thomas Jean Rene	BOU381	Licensed Representative	1, 2
MAI Yingyi	BFS280	Licensed Representative	1, 2
MAK Karen	AWH142	Licensed Representative	1
MAREACHEALEE Narendrasing	BMX877	Licensed Representative	1
MARTIN Troy Richard William	BHG437	Licensed Representative	1, 2, 7
MENG Shuai	BOT056	Licensed Representative	4, 9
MERCHANT Zeeshan	BOW456	Licensed Representative	1, 6
MIYAWAKI Takeru	BOT739	Licensed Representative	1, 4
MOWAT Adrian Alexander	AHV474	Licensed Representative	4
MU Haitian	BOU254	Licensed Representative	1, 4, 9
NG Jin Ho Simon	BOW814	Licensed Representative	1, 4
NG Ka Wai	BLI361	Licensed Representative	1
NG Siu May, Michelle	ARR889	Licensed Representative	1, 4
NG Ting Wai	BOX505	Licensed Representative	1, 4
NG Wing Yue	BOW444	Licensed Representative	1, 4, 9
NGUYEN The-Xan-Dieu	BBM580	Licensed Representative	1, 2
OKADA Noriko	BOW959	Licensed Representative	1, 4, 9
OKEKE Larisa	BOT138	Licensed Representative	4
O'SULLIVAN Dara Constance	BOS842	Licensed Representative	3
OU Yitong	BOX234	Licensed Representative	6
PAN FENG	BOT967	Licensed Representative	9
PAN Tianyue	BOW636	Licensed Representative	1
PANG Kwai Lam	BOT793	Licensed Representative	4, 9
PAO Wai Man	ADS272	Licensed Representative	9
PAPALKAR Jai Pandurang	BOU194	Licensed Representative	9
PARK Min Ju	BOT669	Licensed Representative	4
PEREZ Samuel John	BOS449	Licensed Representative	4, 9
PI Xiaoping	BOS582	Licensed Representative	4
PICKWORTH Simon Murray	AWT354	Licensed Representative	1, 2
PING Xiaochuan	BOU803	Licensed Representative	9
POON Cho Fai	ADH524	Licensed Representative	1
PRESTON Benjamin	BOR888	Licensed Representative	1, 2
PU Frank Chang	BOT378	Licensed Representative	1, 6
QI Yihan	BOU004	Licensed Representative	1, 2, 4
QIAN Zhuangzhuang	BOS810	Licensed Representative	1, 4, 6
RAGUSA Francis Anthony	AFJ912	Licensed Representative	4, 9
RATHORE Alok Kumar	BOU257	Licensed Representative	1
REN Guohua	ADO422	Licensed Representative	1, 4, 6
REN Jingze	BOU388	Licensed Representative	1, 4, 9
RIX Adam	BOT524	Licensed Representative	4, 9
ROSENBLOOM Peter Gordon	BOR563	Licensed Representative	1, 4
RU Fei	BOT483	Licensed Representative	4
SALEMI Nina	BOT964	Licensed Representative	2
SCHNEIDERREIT Marc Daniel	BOT761	Licensed Representative	1, 2, 7
SESIK Raphael	BOQ965	Licensed Representative	1, 2
SHAH Nishant Krishnakumar	BOS833	Licensed Representative	4
SHAO Guanqi	BOT752	Licensed Representative	1, 6
SHAO Tianyi	BOU086	Licensed Representative	1, 2
SHE Changkai	BOU202	Licensed Representative	4, 9
SHE Weichao	AWL894	Licensed Representative	4
SHEN Chong	ATH920	Licensed Representative	9
SHEN Guangchen	BOT149	Licensed Representative	1, 6
SHEN Hua-Kun	BOT729	Licensed Representative	1
SHEN Shijun	BOW750	Licensed Representative	6
SHEN Xin	BOT305	Licensed Representative	1, 4
SHENG Yuan	BOU402	Licensed Representative	9
SHI Qi	BOS808	Licensed Representative	1, 4, 6
SHI Yanguan	BOS928	Licensed Representative	2, 5
SHI Zhuoyue	BOZ221	Licensed Representative	1, 6
SHIN Myungsoo	BOT695	Licensed Representative	1, 4, 6
SIM Chang Hyuk	BOT734	Licensed Representative	10
SIM JUN YI Elwin	BOJ651	Licensed Representative	1, 2
SIM Zheng Wei	BHD551	Licensed Representative	1
SO Tsz Yin	BID175	Licensed Representative	1
SOULAS CHRISTOPHER	BOT668	Licensed Representative	1, 7
SPELKER Matthew Alan	BOU805	Licensed Representative	1, 4
STANICA Adrian	ARE767	Licensed Representative	1
STANLEY Robert Charles	AOQ941	Licensed Representative	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
SUEN Wing Lam	BOT821	Licensed Representative	1, 2
SUN Chen	BOT283	Licensed Representative	1, 4
SUN Nan	BOU474	Licensed Representative	1, 4
SUN Rong	BOP962	Licensed Representative	1
SUN Ruotian	BOW546	Licensed Representative	1, 4, 6
SUN Ruoxi	BOS891	Licensed Representative	1, 2
SUN Yilin	BMW155	Licensed Representative	1, 4
SUPRANTO Suganda	AFB894	Licensed Representative	9
SZE Lap Sum	AVC721	Licensed Representative	1, 9
TAANK Divya Karsan	BOS947	Licensed Representative	1, 2, 7
TAGUCHI Hiroshi	BEJ873	Licensed Representative	4
TAKEMURA Chizuru	BOT854	Licensed Representative	1, 4
TAN Chih Jen	BCZ939	Licensed Representative	9
TAN Hui Hua	BKC670	Licensed Representative	1
TAN Hui Yi Rachel	BOU150	Licensed Representative	1, 4
TAN Johnny	AWP899	Licensed Representative	9
TAN Kuangming	BOC371	Licensed Representative	1, 4, 9
TAN Li	BOU237	Licensed Representative	1, 4, 9
TANG Anlan	BOT962	Licensed Representative	1, 6
TANG Chit	BOU265	Licensed Representative	1, 4
TANG Kerry Chen Nien	BOT067	Licensed Representative	1, 6
TANG Man Lei	AVR839	Licensed Representative	1, 2
TANG Shiyi	BOU069	Licensed Representative	1, 2
TANG Siu Yee Connie	AWJ624	Licensed Representative	1
TANG Tat Chi	BOT359	Licensed Representative	1, 2
TANG Wa Kin	BGM127	Licensed Representative	1
TANG Xiaodong	BMY838	Licensed Representative	1, 4, 9
TANG Yingxi	BOU137	Licensed Representative	1, 4
TAO Yue	BOU044	Licensed Representative	1
TEO Wan Ting Nico	BOQ016	Licensed Representative	1
TEOH Ronnie Chee Keong	BEH781	Licensed Representative	4, 6
TIAN Songen	BOS153	Licensed Representative	1, 4, 6
TONG Ka Keung	BOT566	Licensed Representative	1
TONG Tak Kin	BOT315	Licensed Representative	1, 2
TORMEY Stanley	BOW723	Licensed Representative	1
TOROICAN Alexandru-Ovidiu	BOW438	Licensed Representative	1
TSANG Yuk Fong, Ely	AEF141	Licensed Representative	1
TSE Tat Ki	AZH740	Licensed Representative	1
TSUI Suk Yan	BJY226	Licensed Representative	1
TSUI Wai Kei	AEF381	Licensed Representative	9
VINCENT David Robert John	BOU046	Licensed Representative	4
VON WOWERN Georg	BOU395	Licensed Representative	1, 2
WALKER Andrew Robert	BOR879	Licensed Representative	1, 2
WANG Andi	BOT295	Licensed Representative	1, 2
WANG Audrey Zhiji	BOT024	Licensed Representative	1
WANG Guanlong	BOT372	Licensed Representative	4, 9
WANG Jiajun	BOS376	Licensed Representative	1, 6
WANG Jiyu	ASH808	Licensed Representative	4, 9
WANG Kuangchen	BOU335	Licensed Representative	1, 6
WANG Liang	BOT094	Licensed Representative	1, 6
WANG Shian-tang	ARG435	Licensed Representative	1, 4
WANG Shuyi	BOR746	Licensed Representative	1, 6
WANG Shuyi	BOX537	Licensed Representative	1
WANG Shuyuan	BON563	Licensed Representative	1, 6
WANG Sichao	BOX011	Licensed Representative	1, 2, 7
WANG Sihan	BJT511	Licensed Representative	4, 9
WANG Xin	BOU787	Licensed Representative	1, 4
WANG Yajie	BGU758	Licensed Representative	1, 6
WANG Yaqing	BOT675	Licensed Representative	1, 4, 6
WANG Yihao	BAF155	Licensed Representative	1, 4
WANG Ying	BOW862	Licensed Representative	4, 9
WANG Yingjuan	BOR755	Licensed Representative	6
WANG Yuan	BOX126	Licensed Representative	4, 9
WANG Yufei	BOU128	Licensed Representative	1
WANG Zhi Yu	BMW825	Licensed Representative	1, 4, 6
WANG Zhixiang	BOT263	Licensed Representative	10
WANG Zi	BOU036	Licensed Representative	4, 6
WANG Zihui	BOT799	Licensed Representative	1
WANG Zixu	BOT482	Licensed Representative	1
WEI Jia	BCE780	Licensed Representative	1, 4, 9
WEI Wei	AWZ983	Licensed Representative	1, 2
WEI Wei	BOP628	Licensed Representative	1
WEI Wei	BOT313	Licensed Representative	4
WEN Jiayue	BOT884	Licensed Representative	1, 4, 6
WILSON Iain Patrick	BOT135	Licensed Representative	1, 2
WONG Beth Man	BOT693	Licensed Representative	1, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2019

CE Name	CE No.	License Type	Types of Regulated Activity
WONG Chiu Hoi, Frederick	ACG597	Licensed Representative	1
WONG Chun Him	BNH706	Licensed Representative	1
WONG Kai Hin	BOT978	Licensed Representative	1
WONG Ping Wai	AUV946	Licensed Representative	2, 5
WONG Ting Fung	BOU171	Licensed Representative	1
WONG Ying Kei Patrick	BOW912	Licensed Representative	1, 4
WU Georgia Yi	BOU059	Licensed Representative	1
WU Hao	BOW514	Licensed Representative	4, 9
WU Liwen	BOF361	Licensed Representative	9
WU Ruoying	BOU101	Licensed Representative	4
WU Sin Ting	BOW762	Licensed Representative	1
WU Xiaowei	BOW561	Licensed Representative	1
XI Jiangman	BOU469	Licensed Representative	2
XIA Gaofeng	BOW539	Licensed Representative	1, 6
XIA Guoxin	BMW245	Licensed Representative	1, 4, 9
XIANG Yaxian	BOU231	Licensed Representative	6
XIAO Guo Yuen	BOU212	Licensed Representative	4, 9
XIAO Jianan	BOT814	Licensed Representative	1, 2
XIE Hongbin	BOU172	Licensed Representative	4
XIE Tao	BOS512	Licensed Representative	1, 6
XU Da	BOU141	Licensed Representative	4
XU Haohan	BOU065	Licensed Representative	4
XU Haole	BOT128	Licensed Representative	1
XU Mengjiao	BOT275	Licensed Representative	1, 2, 3
XU Peisi	BAH394	Licensed Representative	1, 2
XU Yuncheng	BOH195	Licensed Representative	1, 4, 9
XU Yuying	BOU560	Licensed Representative	1, 4, 6
YAN Jinyu	BOS944	Licensed Representative	10
YANG Chen	BOT360	Licensed Representative	1, 6
YANG Chun Wai	BOT187	Licensed Representative	1, 6
YANG Da	BOS758	Licensed Representative	1, 4, 6
YANG Patrick Sing Yan	BOT544	Licensed Representative	1, 4, 6
YANG Wen-Hsin	BOW451	Licensed Representative	1
YANG Yinbo	BOT235	Licensed Representative	2
YANG Zhicheng	BOR216	Licensed Representative	1
YAO Heting	BOT470	Licensed Representative	1
YAO Yao	BOT634	Licensed Representative	1, 2, 4
YAU Mei Chi	BOS771	Licensed Representative	1, 4
YAU Winnie Wing Yee	BKS181	Licensed Representative	1
YE Ling	BOT893	Licensed Representative	9
YE Yin	BOT335	Licensed Representative	4
YE Ying	BOU116	Licensed Representative	1, 2, 4
YE Zhe	BOS544	Licensed Representative	1, 4, 9
YEUNG Huen Ting	BOW749	Licensed Representative	1
YEUNG Kwok Chu	BBW910	Licensed Representative	1, 4, 9
YEUNG Wing Yee Teresa	BON573	Licensed Representative	4, 9
YEUNG Yan Hang, David	BOU048	Licensed Representative	1, 2
YIN Haili	BOT778	Licensed Representative	1, 6
YIP Damon Manchun	APK748	Licensed Representative	1
YIP Man Yee Olivia	BNV481	Licensed Representative	9
YIU Nga Ki	BOT989	Licensed Representative	1, 4, 9
YIU Ting Kwan	BOT741	Licensed Representative	4, 9
YONG Ni	BOS177	Licensed Representative	2
YONG Qianyi	BOT381	Licensed Representative	1, 6
YOON Jong Hyeon	BOT416	Licensed Representative	4
YU Man Chi, Tiffany	BOT811	Licensed Representative	1, 2
YU William Norhan	BOU470	Licensed Representative	1, 4
YU Xiangzhi	BOW433	Licensed Representative	1, 2, 4, 5
YUAN Zhicheng	AXN964	Licensed Representative	4
YUEN Darren Kevin	BOS225	Licensed Representative	4, 9
YUEN Pui Ho	BOU260	Licensed Representative	1
ZENG Yushun	BOZ299	Licensed Representative	6
ZHANG Chi	BOT379	Licensed Representative	1, 6
ZHANG Chune	BOU062	Licensed Representative	1, 4
ZHANG Huirmin, Amy	BOT929	Licensed Representative	1, 4
ZHANG Liwen	BOT955	Licensed Representative	4, 9
ZHANG Na	AVJ731	Licensed Representative	1, 4, 9
ZHANG Shengmin	BOW850	Licensed Representative	6
ZHANG Xiangyu	BOW502	Licensed Representative	4, 9
ZHANG Xiaoyi	BOT054	Licensed Representative	4, 9
ZHANG Yi	BOU745	Licensed Representative	1, 6
ZHANG Yidong	BOS630	Licensed Representative	1, 4
ZHANG Yuebo	BOM680	Licensed Representative	4, 9
ZHAO Fanyue	BOU464	Licensed Representative	2
ZHAO Han	BOT624	Licensed Representative	1, 6
ZHAO Hongxi	BOX294	Licensed Representative	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHAO Yashi	BOW440	Licensed Representative	1, 4, 9
ZHAO Yundi	BOT985	Licensed Representative	1, 4, 9
ZHENG Jun	BOT236	Licensed Representative	2
ZHENG Kongdong	BFA756	Licensed Representative	4
ZHENG Zhiyin	BOM688	Licensed Representative	1, 2, 4, 5
ZHONG Jingcheng	BOT991	Licensed Representative	1, 6
ZHONG Ye	BDP512	Licensed Representative	1, 2
ZHOU Dadi	BOU127	Licensed Representative	1, 4, 6
ZHOU Hongyu	BOS249	Licensed Representative	4, 9
ZHOU Lin	BOT059	Licensed Representative	2
ZHOU Lingtao	BOU131	Licensed Representative	1
ZHOU Minghui	BOS488	Licensed Representative	1, 9
ZHOU Nanjun	BOT823	Licensed Representative	6
ZHOU Nuoya	BOU135	Licensed Representative	1, 4, 6
ZHOU Shijie	BOS887	Licensed Representative	1, 4, 6
ZHOU Tianyi	BOT057	Licensed Representative	1, 4, 6
ZHOU Xiaohui	BOS775	Licensed Representative	4, 9
ZHU Haiqin	ATS516	Licensed Representative	1, 4
ZHU Huian	BOT855	Licensed Representative	1, 4
ZHU Jingyan	BOU484	Licensed Representative	1, 4
ZOU deshi	BOR615	Licensed Representative	6
CHAN Chi Hung	AMN881	Licensed Representative - Responsible Officer	4, 9
CHEN Yibiao	AXN055	Licensed Representative - Responsible Officer	1, 4, 9
CHIN En Leong	ARW386	Licensed Representative - Responsible Officer	4, 9
CHONG Sue Ching	AQN999	Licensed Representative - Responsible Officer	4, 9
DONG Hao	BNY029	Licensed Representative - Responsible Officer	9
GAO Guanghua	BOD383	Licensed Representative - Responsible Officer	9
GILL Kevin	ANF176	Licensed Representative - Responsible Officer	9
GUO Congyang	BOR908	Licensed Representative - Responsible Officer	4, 9
HAN Lijun	BOM293	Licensed Representative - Responsible Officer	1, 4, 9
HETSCH Frederik	BOG462	Licensed Representative - Responsible Officer	9
HUANG Haiming	AWU883	Licensed Representative - Responsible Officer	1, 4, 9
HUANG Jianhong	BOP976	Licensed Representative - Responsible Officer	1
KWOK Ka Leung	BOP584	Licensed Representative - Responsible Officer	1
KWONG Hok Yan, Alexandra	ANT892	Licensed Representative - Responsible Officer	1
LEE Suet Kau Jojo	BIQ720	Licensed Representative - Responsible Officer	4, 9
LI Koon Lok	BFK460	Licensed Representative - Responsible Officer	9
LOVELL Peter Henry James	BBV036	Licensed Representative - Responsible Officer	4, 9
REINHART Scott Paul	AQH349	Licensed Representative - Responsible Officer	9
RYDQVIST Craig Ivan	BOM591	Licensed Representative - Responsible Officer	1
SANYAL Bratindra Saran	ALF293	Licensed Representative - Responsible Officer	9
SHENG Weiwen	BOI729	Licensed Representative - Responsible Officer	4, 9
SHI Faqi	BOM314	Licensed Representative - Responsible Officer	1, 4, 9
SHI Xiaoni	AMJ724	Licensed Representative - Responsible Officer	1
SIU Kai Chi, Jimmy	ADF274	Licensed Representative - Responsible Officer	1
WANG Jiyu	ASH808	Licensed Representative - Responsible Officer	1
XIAO Jian	BMJ775	Licensed Representative - Responsible Officer	1, 4, 9
YANG Ran	BOK126	Licensed Representative - Responsible Officer	9
YUEN Jack Chen Wen	BOD379	Licensed Representative - Responsible Officer	9
ZHANG Luo	AYQ825	Licensed Representative - Responsible Officer	9
ZHANG Richard Xike	AUJ912	Licensed Representative - Responsible Officer	1
ANCHALA Nishanth Sai	BOR522	Licensed Representative (Provisional Licence)	1, 2
AU Wing Yi	BPA070	Licensed Representative (Provisional Licence)	1, 2, 9
AVERY Benjamin Peter	BOU119	Licensed Representative (Provisional Licence)	4, 9
BAI Ge	BOW956	Licensed Representative (Provisional Licence)	4
BING Tongtong	BOX141	Licensed Representative (Provisional Licence)	1, 4, 9
BOUCHOT Samuel Yves Jean	BOX012	Licensed Representative (Provisional Licence)	1, 4
BREDICEANU Minhea Dan Petru	BOX114	Licensed Representative (Provisional Licence)	9
CAI Jiadian	BPB198	Licensed Representative (Provisional Licence)	1, 6
CHAN Cheung Kam	ASZ085	Licensed Representative (Provisional Licence)	1, 2, 4, 5
CHAN Chin Hong	BOZ412	Licensed Representative (Provisional Licence)	6
CHAN Chung Wing	BPB257	Licensed Representative (Provisional Licence)	1, 2, 3, 4
CHAN Hoi Yee	BKC604	Licensed Representative (Provisional Licence)	1
CHAN Ngai Kwong	BOU778	Licensed Representative (Provisional Licence)	10
CHAN Samuel Sek Wei	BOZ713	Licensed Representative (Provisional Licence)	1, 4
CHAN Suet Lin	BPB537	Licensed Representative (Provisional Licence)	1
CHAN Tak On	BOX448	Licensed Representative (Provisional Licence)	1
CHAN Wai Lin	AGM412	Licensed Representative (Provisional Licence)	1
CHANG Moon Sung	AQN365	Licensed Representative (Provisional Licence)	1
CHANG Ximeng	BOZ318	Licensed Representative (Provisional Licence)	1, 6
CHEE Daryl Tak-fung	BOZ567	Licensed Representative (Provisional Licence)	1, 2, 7
CHEN Carmen	BOW536	Licensed Representative (Provisional Licence)	1
CHEN Hang	BOX536	Licensed Representative (Provisional Licence)	4
CHEN Hsiao-wei	BPA136	Licensed Representative (Provisional Licence)	1, 2
CHEN Jing	BOW955	Licensed Representative (Provisional Licence)	1, 2, 4
CHEN Keru	BPA242	Licensed Representative (Provisional Licence)	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2019

CE Name	CE No.	License Type	Types of Regulated Activity
CHEN Qi	BOT095	Licensed Representative (Provisional Licence)	1
CHEN Xiaomin	BOZ659	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Yilun	BOF343	Licensed Representative (Provisional Licence)	1
CHEN Ying	BOT805	Licensed Representative (Provisional Licence)	4, 9
CHEN Yipeng	BOW747	Licensed Representative (Provisional Licence)	1
CHENG Hong Cheuk	BOZ236	Licensed Representative (Provisional Licence)	1, 6
CHENG Patricia Pan	BEW967	Licensed Representative (Provisional Licence)	1, 4, 6
CHENG Zhaomin	BOZ955	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Kwun Chin	BOW570	Licensed Representative (Provisional Licence)	1, 2, 4
CHEUNG Yim Yeung	AEQ239	Licensed Representative (Provisional Licence)	1
CHIANG Ruei-cheng	BOZ703	Licensed Representative (Provisional Licence)	1, 6
CHIOU Michael	BJJ587	Licensed Representative (Provisional Licence)	9
CHIU Christopher Ho Kwan	BPA226	Licensed Representative (Provisional Licence)	1, 4, 9
CHIU Ming Chi	BOW540	Licensed Representative (Provisional Licence)	1, 6
CHOI Jin Woo	BOU649	Licensed Representative (Provisional Licence)	1, 4, 6
CHOU Chih Yung	BOZ618	Licensed Representative (Provisional Licence)	4
CHOW Hin Ting	BMG814	Licensed Representative (Provisional Licence)	9
CHOW Leong Ying Charlotte	BOX248	Licensed Representative (Provisional Licence)	6
CHOW Poi Yu	BPA259	Licensed Representative (Provisional Licence)	1, 4
CHOW Wai Man, Grace	AHV295	Licensed Representative (Provisional Licence)	1, 4
CHUA Si Ming Gordon	BPA519	Licensed Representative (Provisional Licence)	1
CHUNG Wai Sze, Grace	AEQ447	Licensed Representative (Provisional Licence)	4, 9
COBO PIEKENBROCK Alejandro	BOZ411	Licensed Representative (Provisional Licence)	1, 2, 7
CUI Xinyuan	BOZ996	Licensed Representative (Provisional Licence)	1, 2, 4
CUNNINGHAM Nicholas James	AWY119	Licensed Representative (Provisional Licence)	1, 4
DEBOO Martin John	BPA006	Licensed Representative (Provisional Licence)	4
DHAMI Jastej Singh	BOX022	Licensed Representative (Provisional Licence)	2, 5
DIANE Idriss	BOU198	Licensed Representative (Provisional Licence)	1, 2
DOBINSON James	BOZ619	Licensed Representative (Provisional Licence)	1, 2
DONG Mirzhuo	BOX021	Licensed Representative (Provisional Licence)	1, 4, 6
DU Qingwen	ATB497	Licensed Representative (Provisional Licence)	1, 2
EMAMI NEJAD Ario	BOZ453	Licensed Representative (Provisional Licence)	1
FANG Jiaying	BCD190	Licensed Representative (Provisional Licence)	1
FAVRE Robin Georges Flavien	ANP295	Licensed Representative (Provisional Licence)	9
FEIGE Gregor Collins	BOZ832	Licensed Representative (Provisional Licence)	1, 4, 6
FENG Jie	BOW989	Licensed Representative (Provisional Licence)	1, 4, 9
FOK Yu Man	BOX236	Licensed Representative (Provisional Licence)	1, 2
FU Kang	BOW571	Licensed Representative (Provisional Licence)	1, 2
FUKUSHI Ryosuke	BOB141	Licensed Representative (Provisional Licence)	1, 2
FUKUYAMA Kunishige	BOU459	Licensed Representative (Provisional Licence)	1, 4, 6
GAO Xuyang	BOZ651	Licensed Representative (Provisional Licence)	6
GE Lei	BOU627	Licensed Representative (Provisional Licence)	1
GUAN Si Quan	BNI857	Licensed Representative (Provisional Licence)	9
GUO Qilin	BPB801	Licensed Representative (Provisional Licence)	1, 6
GUO Yujia	BPA439	Licensed Representative (Provisional Licence)	1, 2
HAO Wenjing	BOW958	Licensed Representative (Provisional Licence)	1, 2
HAO Zhennan	BOZ927	Licensed Representative (Provisional Licence)	1
HAU Ging Wah, Terence	BBQ445	Licensed Representative (Provisional Licence)	1, 9
HAYASHIBARA Yuka	BOZ289	Licensed Representative (Provisional Licence)	4
HE Liu	BII019	Licensed Representative (Provisional Licence)	1
HE Yunfei	ARP954	Licensed Representative (Provisional Licence)	1
HIROSE MAKOTO	BOZ178	Licensed Representative (Provisional Licence)	1, 4
HO Ting Kai	BPA248	Licensed Representative (Provisional Licence)	1, 4
HO Yin	AOG767	Licensed Representative (Provisional Licence)	1
HOU Ming-Hsiao	AXW841	Licensed Representative (Provisional Licence)	4
HSIAO Chih Fang	BOW957	Licensed Representative (Provisional Licence)	2
HU Jie	BOW927	Licensed Representative (Provisional Licence)	1, 4, 6
HUANG Jingjing	BDJ463	Licensed Representative (Provisional Licence)	1, 4, 9
HUANG Xiren	BOX461	Licensed Representative (Provisional Licence)	1, 4, 6
HUANG Ying	BOU280	Licensed Representative (Provisional Licence)	4, 9
HUANG yu	BOX115	Licensed Representative (Provisional Licence)	4
HUI Chun Lai Edmund Lister	BPA074	Licensed Representative (Provisional Licence)	1, 4, 9
HUNG Chin Chi	BML862	Licensed Representative (Provisional Licence)	4, 9
HUNG Chun Leong	BDH011	Licensed Representative (Provisional Licence)	3
IP Chung Hin	AGD557	Licensed Representative (Provisional Licence)	1
Ji Kaiqiang	BOW615	Licensed Representative (Provisional Licence)	4, 9
JIA Mengyao	BOZ732	Licensed Representative (Provisional Licence)	1, 4
JIANG Anduo	BOX456	Licensed Representative (Provisional Licence)	1
JIANG Kang	BOZ983	Licensed Representative (Provisional Licence)	9
JOHNSON Matthew Coogan	BBU784	Licensed Representative (Provisional Licence)	4
KALRO Naveen Prakash	BOX235	Licensed Representative (Provisional Licence)	1, 2
KAMATA Toru	BOL511	Licensed Representative (Provisional Licence)	1, 4, 6
KASAI Taku	BOZ247	Licensed Representative (Provisional Licence)	9
KILPELAINEN Taavi Kuutti Aapeli	BOZ243	Licensed Representative (Provisional Licence)	1, 6
KIM Han Joon	BPA029	Licensed Representative (Provisional Licence)	4
KIM Jin Soo	BOU749	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2019

CE Name	CE No.	License Type	Types of Regulated Activity
KIM Pau Lam	BBM050	Licensed Representative (Provisional Licence)	9
KO Ka Chun	BOZ986	Licensed Representative (Provisional Licence)	1, 4, 9
KOELBEL Eric William	BOZ705	Licensed Representative (Provisional Licence)	1
KOTHARI Viral	BPA217	Licensed Representative (Provisional Licence)	9
KUAN Chio Chit	BOL385	Licensed Representative (Provisional Licence)	6
KULKARNI Vishal Gundopant	BOV381	Licensed Representative (Provisional Licence)	4
KUM Young Woon	BOU200	Licensed Representative (Provisional Licence)	1, 4, 6
KUNG Yuet Mei	BNB411	Licensed Representative (Provisional Licence)	4, 9
KWAN Wang Chi, Beryl	BAD777	Licensed Representative (Provisional Licence)	1, 4, 9
KWAN Yee Ching	BPA374	Licensed Representative (Provisional Licence)	1, 2
KWAN Yuk Fai	BPA270	Licensed Representative (Provisional Licence)	1, 4, 9
KWOK Tin Wai Angel	BOI577	Licensed Representative (Provisional Licence)	1
LACZNY Piotr	BPA001	Licensed Representative (Provisional Licence)	1, 7
LAI Chun Hing	AVM461	Licensed Representative (Provisional Licence)	1
LAM Ching Hong	BPA247	Licensed Representative (Provisional Licence)	1, 4
LAM Ka Wai	BGO474	Licensed Representative (Provisional Licence)	1, 2
LAM Wai Shing	AYJ113	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LAN Weiho	BIA001	Licensed Representative (Provisional Licence)	2, 5
LANGFORD Stephen Richard	BKI493	Licensed Representative (Provisional Licence)	1
LAU Chun Sing	BOW446	Licensed Representative (Provisional Licence)	4
LAU Hor Yan, Pauline	APW586	Licensed Representative (Provisional Licence)	1
LAU Sheung Wing, Michael	BOW614	Licensed Representative (Provisional Licence)	4, 9
LAW Sau Fong	ARK831	Licensed Representative (Provisional Licence)	1
LEE Dong Hyun	BPA213	Licensed Representative (Provisional Licence)	9
LEE Ka Wa	BOZ271	Licensed Representative (Provisional Licence)	1, 2
LEE Yin Hai	BOW982	Licensed Representative (Provisional Licence)	9
LEE Yin Kiu	BOU278	Licensed Representative (Provisional Licence)	1, 4, 9
LEGREZ Paul Vincent Marie	BOW874	Licensed Representative (Provisional Licence)	1, 2, 7
LEUNG Mei Sze, Kennis	AFU704	Licensed Representative (Provisional Licence)	1, 4, 9
LEUNG Yuet Fei	BPA220	Licensed Representative (Provisional Licence)	2
LEUNG Yuk Ying	BOX062	Licensed Representative (Provisional Licence)	4, 9
LI Denghui	BOZ845	Licensed Representative (Provisional Licence)	1, 4, 6
LI Jiayuan	BOZ224	Licensed Representative (Provisional Licence)	1, 4
LI Linfeng	BOZ834	Licensed Representative (Provisional Licence)	1, 4, 6
LI Longxi	BOW524	Licensed Representative (Provisional Licence)	1, 4, 6
LI Xiangguo	BPB184	Licensed Representative (Provisional Licence)	1, 4, 6
LI Xuanren	BPA548	Licensed Representative (Provisional Licence)	1, 4
LI Zhenchao	BOX465	Licensed Representative (Provisional Licence)	1, 4, 6
LI Zhuoyuan	BOU195	Licensed Representative (Provisional Licence)	1, 4, 9
LIM Wen Yao, Lester	BEB082	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Jianwen	BPA132	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Langsi	BOZ971	Licensed Representative (Provisional Licence)	1, 9
LIN Xingyang	BOU163	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Yangzi	BOZ838	Licensed Representative (Provisional Licence)	1
LING Kin Shun, Anne	ACX120	Licensed Representative (Provisional Licence)	4
LIU Ching Shyang	BOZ990	Licensed Representative (Provisional Licence)	1, 2
LIU Hui	BPA155	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Qingting	BPA456	Licensed Representative (Provisional Licence)	1
LIU Weiwen	BPA182	Licensed Representative (Provisional Licence)	6
LIU Zhaoli	BOZ592	Licensed Representative (Provisional Licence)	2
LO Hsiu-Ying	BON893	Licensed Representative (Provisional Licence)	1
LO Lap Bond James	BBJ189	Licensed Representative (Provisional Licence)	1, 2, 3
LOK Ka Ying	BOU167	Licensed Representative (Provisional Licence)	1, 4
LOO Tze Hui	BFZ515	Licensed Representative (Provisional Licence)	1
LU Chia-lin	AWB680	Licensed Representative (Provisional Licence)	4
LU Ling	BOW739	Licensed Representative (Provisional Licence)	2, 5
LU Steven Shiming	AOJ443	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LU Wanting	BOZ660	Licensed Representative (Provisional Licence)	1, 4, 6
LU Yang	BPB343	Licensed Representative (Provisional Licence)	1, 4, 9
LU Yu	BOZ981	Licensed Representative (Provisional Licence)	9
LUKIC Mirko	BPB676	Licensed Representative (Provisional Licence)	1, 2, 4, 5
MA Yi Hing	BOZ819	Licensed Representative (Provisional Licence)	1
MAHFOUDA Michael	BPA106	Licensed Representative (Provisional Licence)	9
MAK Chi Ho	ATN700	Licensed Representative (Provisional Licence)	4, 6, 9
MEGAN Sossi Amirian	BOM238	Licensed Representative (Provisional Licence)	1
MENG ZIXIANG	BOT913	Licensed Representative (Provisional Licence)	3
MERCHANT Zeeshan	BOW456	Licensed Representative (Provisional Licence)	1, 6
NAKAMURA Ken	BPB370	Licensed Representative (Provisional Licence)	1, 2
NARVEKAR Kalpit Manish	BOU780	Licensed Representative (Provisional Licence)	4, 9
NATSUME Takayuki	BOX303	Licensed Representative (Provisional Licence)	9
NG Chi Cheong, Allen	AIC644	Licensed Representative (Provisional Licence)	4, 9
NG Jin Ho Simon	BOW814	Licensed Representative (Provisional Licence)	1, 4
NG Ting Wai	BOX505	Licensed Representative (Provisional Licence)	1, 4
NGAI Kwok Pan	AWE460	Licensed Representative (Provisional Licence)	1, 2, 3, 4
NI Qingyun	BPB441	Licensed Representative (Provisional Licence)	1, 4, 9
NORTON-KNIGHT Thomas Andrew	BCS959	Licensed Representative (Provisional Licence)	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2019

CE Name	CE No.	License Type	Types of Regulated Activity
OHKOSHI Takaki	BOZ661	Licensed Representative (Provisional Licence)	9
OU Yitong	BOX234	Licensed Representative (Provisional Licence)	6
PAL Nikhil Alexander	AVN594	Licensed Representative (Provisional Licence)	1
PAN Yingzhu	BOZ771	Licensed Representative (Provisional Licence)	1, 4
PANG Walter	BOZ994	Licensed Representative (Provisional Licence)	1
PANG Yuk Hung	BPB277	Licensed Representative (Provisional Licence)	4
PARK Hong Cheol	BEX842	Licensed Representative (Provisional Licence)	1, 4
PARK Min Ju	BOT669	Licensed Representative (Provisional Licence)	4
PATEL Jaymin	BOZ978	Licensed Representative (Provisional Licence)	1, 2
PAU Hui Yan	BPA073	Licensed Representative (Provisional Licence)	1
POON Tak Po	BOX138	Licensed Representative (Provisional Licence)	1, 4, 9
PRAKASH Sharad	BIR484	Licensed Representative (Provisional Licence)	1, 2
QIAN Qiong	BPA135	Licensed Representative (Provisional Licence)	1
QIAN Yangde	BPB182	Licensed Representative (Provisional Licence)	4
QIAN Zhuangzhuang	BOS810	Licensed Representative (Provisional Licence)	1, 4, 6
QIN Fen	BGA853	Licensed Representative (Provisional Licence)	1, 4, 6
QUANG Dani Louis Dharma	BOZ918	Licensed Representative (Provisional Licence)	2, 5
REYNOLDS Matthew Michael	BPB852	Licensed Representative (Provisional Licence)	1
SAMSON Ian Alexander	BOZ457	Licensed Representative (Provisional Licence)	4, 9
SEREGIN Arseni Vladimirovich	BOZ643	Licensed Representative (Provisional Licence)	1, 2
SHAM Ka Lok	BER999	Licensed Representative (Provisional Licence)	1, 2, 4, 9
SHENG Jie	BOX017	Licensed Representative (Provisional Licence)	1, 2, 7
SHI Haiyang	BPB346	Licensed Representative (Provisional Licence)	1
SHI Zhuoyue	BOZ221	Licensed Representative (Provisional Licence)	1, 6
SHIN Yeeun	BOU812	Licensed Representative (Provisional Licence)	1, 4, 9
SHING King Wa	BOU782	Licensed Representative (Provisional Licence)	1, 4, 9
SHU Hoi Li, Karie	AFA354	Licensed Representative (Provisional Licence)	1, 4
SO Ka Hei	BOZ912	Licensed Representative (Provisional Licence)	1
SOULAS CHRISTOPHER	BOT668	Licensed Representative (Provisional Licence)	1, 7
SUMMERSALL Thomas Alexander	BOX481	Licensed Representative (Provisional Licence)	2, 5
SUN Hutao	BPA258	Licensed Representative (Provisional Licence)	1, 6
SVIRIN Anton	BOT414	Licensed Representative (Provisional Licence)	1, 2
SY-SIONG Francisco Villapa	BPA234	Licensed Representative (Provisional Licence)	1, 4, 9
TAKAHASHI Kohei	BOZ662	Licensed Representative (Provisional Licence)	9
TAN Johnny	AWP899	Licensed Representative (Provisional Licence)	9
TAN Kher Khang Colin	BOZ655	Licensed Representative (Provisional Licence)	9
TANG Tian	BOZ722	Licensed Representative (Provisional Licence)	1, 6
TAYLOR Robert James	BOZ928	Licensed Representative (Provisional Licence)	1, 2
TENG Shuwen	BOX140	Licensed Representative (Provisional Licence)	4, 9
TING Ka Shun	BOZ727	Licensed Representative (Provisional Licence)	4
TO Ching	BOW991	Licensed Representative (Provisional Licence)	1, 4, 9
TONG Kit Ling, Michelle	ACB541	Licensed Representative (Provisional Licence)	1, 4, 9
TOTLA Vaibhav Vishnudas	ALZ933	Licensed Representative (Provisional Licence)	1
TSANG Tin Chun	BOZ979	Licensed Representative (Provisional Licence)	1, 2
VASKO Michael Joseph	BOX084	Licensed Representative (Provisional Licence)	1, 2
VENKATESAN Baskar	AXF926	Licensed Representative (Provisional Licence)	1, 2
WAITE Ill Norman	AEI859	Licensed Representative (Provisional Licence)	1, 4
WALEED-IRFAN-BHATTI -	BOW012	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Jiekun	BOZ603	Licensed Representative (Provisional Licence)	1, 4
WANG Jing	BPB507	Licensed Representative (Provisional Licence)	1
WANG Shian-tang	ARG435	Licensed Representative (Provisional Licence)	1, 4
WANG Shibo	BOU785	Licensed Representative (Provisional Licence)	4, 9
WANG Shuangfei	BPA306	Licensed Representative (Provisional Licence)	1
WANG Sichao	BOX011	Licensed Representative (Provisional Licence)	1, 2, 7
WANG Songying	BPA547	Licensed Representative (Provisional Licence)	6
WANG Yaqing	BOT675	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Ying	BPB298	Licensed Representative (Provisional Licence)	1, 2
WANG Yuan	BOX126	Licensed Representative (Provisional Licence)	4, 9
WANG Zhi Yu	BMW825	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Zhuoran	BAC306	Licensed Representative (Provisional Licence)	1, 4
WANG Zi	BOU036	Licensed Representative (Provisional Licence)	4, 6
WANG Zwei	BOZ639	Licensed Representative (Provisional Licence)	1, 6
WEI Wei	BOT313	Licensed Representative (Provisional Licence)	4
WONG Cheuk Hin	BOU404	Licensed Representative (Provisional Licence)	3
WONG Eugene	AMH294	Licensed Representative (Provisional Licence)	1, 4
WONG Hong Yin	BOZ926	Licensed Representative (Provisional Licence)	1
WONG Isabella	BOX064	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Jackie Kin Fung	AST362	Licensed Representative (Provisional Licence)	1, 2
WONG Pek U	BOZ745	Licensed Representative (Provisional Licence)	1, 4
WONG Wai Kwan	BOZ993	Licensed Representative (Provisional Licence)	2, 5
WRIGLEY Stuart James	BPB295	Licensed Representative (Provisional Licence)	1, 4
WU Georgia Yi	BOU059	Licensed Representative (Provisional Licence)	1
WU Haoye	BPA616	Licensed Representative (Provisional Licence)	4, 9
WU James Hin Nam	BOZ357	Licensed Representative (Provisional Licence)	1, 6
WU Minfeng	BOZ165	Licensed Representative (Provisional Licence)	1, 4
XIA Gaofeng	BOW539	Licensed Representative (Provisional Licence)	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2019

CE Name	CE No.	License Type	Types of Regulated Activity
XIONG Yan	BPA567	Licensed Representative (Provisional Licence)	1
XU Beike	BOU361	Licensed Representative (Provisional Licence)	1
XU Jing	BOW598	Licensed Representative (Provisional Licence)	1
XU Yiji	BAH694	Licensed Representative (Provisional Licence)	4, 5, 9
XU Yuncheng	BOH195	Licensed Representative (Provisional Licence)	1, 4, 9
YAN Kei Shui, Leo	AOG060	Licensed Representative (Provisional Licence)	4, 9
YANG Feng	BOT856	Licensed Representative (Provisional Licence)	4
YANG Jin	BOZ177	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Lu	BOZ759	Licensed Representative (Provisional Licence)	4
YANG Mao	BOZ843	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Siqi	BJN135	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Wen-Hsin	BOW451	Licensed Representative (Provisional Licence)	1
YANG Yiran	BOZ723	Licensed Representative (Provisional Licence)	4
YAO Xinchun	BOZ623	Licensed Representative (Provisional Licence)	1, 4
YE Dan	BOZ788	Licensed Representative (Provisional Licence)	1, 2, 4
YE Si Cen	BPB512	Licensed Representative (Provisional Licence)	1
YE Xi	BOZ272	Licensed Representative (Provisional Licence)	1, 4
YEUNG Chui Ying	BOW883	Licensed Representative (Provisional Licence)	1, 4, 9
YEUNG Kei Chi	BOZ823	Licensed Representative (Provisional Licence)	1, 2
YEUNG Kwong Hing	BNF966	Licensed Representative (Provisional Licence)	1
YEUNG Wing Chun	BPB323	Licensed Representative (Provisional Licence)	1, 4
YI Feng	BOZ847	Licensed Representative (Provisional Licence)	1, 4, 6
YIM Tsz Kwan	BOW578	Licensed Representative (Provisional Licence)	10
YOO Jesang	BPA031	Licensed Representative (Provisional Licence)	1, 4, 9
YU Jiang	BOX256	Licensed Representative (Provisional Licence)	1, 4, 6
YU King Leung	APK782	Licensed Representative (Provisional Licence)	1, 4
YU Pak Ho, Nicholas	BOA925	Licensed Representative (Provisional Licence)	9
YU Yiu Kuen Stephen	BOW457	Licensed Representative (Provisional Licence)	1, 4
YUNG Chi Yeung	BPB536	Licensed Representative (Provisional Licence)	1, 4, 6
ZENG Yewen	BOZ821	Licensed Representative (Provisional Licence)	6
ZENG Yushun	BOZ299	Licensed Representative (Provisional Licence)	6
ZHAI Xiang	BOZ988	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Hao	ASW837	Licensed Representative (Provisional Licence)	1, 2, 4, 5
ZHANG Kuo	BNY965	Licensed Representative (Provisional Licence)	1, 2, 4, 5
ZHANG Peter Sihao	BOC242	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Shengmin	BOW850	Licensed Representative (Provisional Licence)	6
ZHANG Yann	BPA133	Licensed Representative (Provisional Licence)	1, 4
ZHANG Yu Lin	BOZ724	Licensed Representative (Provisional Licence)	1
ZHANG Yumeng	BOU817	Licensed Representative (Provisional Licence)	4, 9
ZHANG Zeyu	BPA360	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Ziqi	BPB595	Licensed Representative (Provisional Licence)	4
ZHAO Hongxi	BOX294	Licensed Representative (Provisional Licence)	1, 6
ZHAO Kangxin	BOZ833	Licensed Representative (Provisional Licence)	6
ZHAO Ronghua	BOZ719	Licensed Representative (Provisional Licence)	1
ZHAO Yihan	BOZ366	Licensed Representative (Provisional Licence)	1
ZHAO Yu Qian, Rachel	AKI199	Licensed Representative (Provisional Licence)	1
ZHENG Hao	BOZ767	Licensed Representative (Provisional Licence)	1, 4
ZHENG Jieyu Joyce	BPA608	Licensed Representative (Provisional Licence)	1, 2
ZHENG Xinhuang	BPB538	Licensed Representative (Provisional Licence)	1, 4
ZHONG Jingcheng	BOT991	Licensed Representative (Provisional Licence)	1, 6
ZHOU Feng	BOX016	Licensed Representative (Provisional Licence)	4, 9
ZHOU Qiuyuan	BPA218	Licensed Representative (Provisional Licence)	6
ZHU Jinglin	BOX480	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Shenggang	BMY004	Licensed Representative (Provisional Licence)	9
ZHU Zhengqiang	BOZ840	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Zhouchen	BOZ931	Licensed Representative (Provisional Licence)	1, 2, 4
ZHUGE Chang	BLJ126	Licensed Representative (Provisional Licence)	1, 4
ZOU Wenjia	BOX305	Licensed Representative (Provisional Licence)	1
ZOU Zhenyu	BOZ583	Licensed Representative (Provisional Licence)	1, 2, 4, 5
ZUO Xipeng	BOX135	Licensed Representative (Provisional Licence)	4
AGARWAL Rupal	BOJ101	Licensed Representative (Temporary Licence)	4
AGARWAL Virat	BGU565	Licensed Representative (Temporary Licence)	1
ALBERS Marie Caroline	BMZ599	Licensed Representative (Temporary Licence)	1
ANTONELLI Timothy Paschal	BPA257	Licensed Representative (Temporary Licence)	1, 4
ARAI Tatsuyuki	BOF225	Licensed Representative (Temporary Licence)	4
BAKER Andrew Lester	BOW770	Licensed Representative (Temporary Licence)	4
BAKHURU Girish	BMX520	Licensed Representative (Temporary Licence)	4
BARRON Jason	BFI936	Licensed Representative (Temporary Licence)	1
BASTIANPILLAI Anjali	BOA494	Licensed Representative (Temporary Licence)	1
BAXTER Melinda Kate	BCP840	Licensed Representative (Temporary Licence)	4
BLAKE Elliott Dorian	BEI895	Licensed Representative (Temporary Licence)	1
BOWLER Benjamin Latimer	BNF008	Licensed Representative (Temporary Licence)	4
BUCKLAND Robert Alexander Chilide	BNS960	Licensed Representative (Temporary Licence)	4
CAPANNA Derek Emmett	ARJ060	Licensed Representative (Temporary Licence)	1
CAPRIO Stephen Louis	BOX347	Licensed Representative (Temporary Licence)	4
CARLE Gregor	AHM442	Licensed Representative (Temporary Licence)	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2019

CE Name	CE No.	License Type	Types of Regulated Activity
CARPENTER Seth Bernard	BLO850	Licensed Representative (Temporary Licence)	4
CHAMBERLAYNE Hamish Tankerville	BNU112	Licensed Representative (Temporary Licence)	1, 4
CHEVELEY Richard George Castelfranc	AQF001	Licensed Representative (Temporary Licence)	4
CHHUGANI Gautam Prakash	BIO676	Licensed Representative (Temporary Licence)	4
CHING Yi Edmund	AKU003	Licensed Representative (Temporary Licence)	4
CHOONAWAT Kaseedit	AOY790	Licensed Representative (Temporary Licence)	4
COULTER Nicholas David	BOW447	Licensed Representative (Temporary Licence)	4
DE GUZMAN Anthony Romeo	BKK013	Licensed Representative (Temporary Licence)	1
DEB Abhinandan	BJQ825	Licensed Representative (Temporary Licence)	4
DEFISE Alain Nsiona Kuzubula	BOZ772	Licensed Representative (Temporary Licence)	1
DU Yi	BEB003	Licensed Representative (Temporary Licence)	1
ELLIOTT Geoffrey	BOU417	Licensed Representative (Temporary Licence)	4
ELMS David John	BOZ376	Licensed Representative (Temporary Licence)	1, 4
ENOMOTO Takashi	BAZ545	Licensed Representative (Temporary Licence)	4
EZAWA Kota	BDY402	Licensed Representative (Temporary Licence)	4
FARINA Boris Carlo	BOX339	Licensed Representative (Temporary Licence)	1, 2
GRUNS Andrew Arnold	BAM236	Licensed Representative (Temporary Licence)	1
GUBNER Adam Lance	BOZ764	Licensed Representative (Temporary Licence)	1, 4
GUEZOUR Abdallah	BOZ768	Licensed Representative (Temporary Licence)	1
HADASSIN Saul	BNP164	Licensed Representative (Temporary Licence)	4
HAMBRO Evy Piers George	AOR313	Licensed Representative (Temporary Licence)	1, 4
HARADA Jun	AOR374	Licensed Representative (Temporary Licence)	4
HARNED Douglas Stuart	AWE188	Licensed Representative (Temporary Licence)	4
HASHIMOTO Takashi	BIJ499	Licensed Representative (Temporary Licence)	4
HATCH Jonathan George	AZH060	Licensed Representative (Temporary Licence)	4
HELLES Peter Harald	BOU215	Licensed Representative (Temporary Licence)	4
HEYES Richard James	BIJ198	Licensed Representative (Temporary Licence)	1
HIRAKAWA Mikio	BBT553	Licensed Representative (Temporary Licence)	4
HUTCHINSON Lorraine Corinne	BGL062	Licensed Representative (Temporary Licence)	4
HWANG Seung Hyun	AVA346	Licensed Representative (Temporary Licence)	1
ICHIKAWA Eriko	BNH011	Licensed Representative (Temporary Licence)	1
IKEDA Atsushi	BEM322	Licensed Representative (Temporary Licence)	4
IKEDA Yusuke	BHB831	Licensed Representative (Temporary Licence)	4
IWAI Takashi	BOX097	Licensed Representative (Temporary Licence)	4
JAMES Robert	BNR791	Licensed Representative (Temporary Licence)	1
JIVRAJ Farouk Tazdin	BNR804	Licensed Representative (Temporary Licence)	1
KALBREIER Malte Manuel	BIL892	Licensed Representative (Temporary Licence)	1, 4
KANG Chang Joo	BGV243	Licensed Representative (Temporary Licence)	1, 4
KANNOURAKIS Joshua Charles	BMT559	Licensed Representative (Temporary Licence)	4
KATO Takaaki	ATE766	Licensed Representative (Temporary Licence)	1
KAWAMOTO Hisae	BNP794	Licensed Representative (Temporary Licence)	4
KAZANTSEV Andrey	BPA610	Licensed Representative (Temporary Licence)	1, 2
KEENE Michael Donald	BOZ245	Licensed Representative (Temporary Licence)	1
KIM Jungwhan	BOG943	Licensed Representative (Temporary Licence)	4
KIM Robert Duho	BMR539	Licensed Representative (Temporary Licence)	4
KOK Wai Fai	BJQ184	Licensed Representative (Temporary Licence)	4
KOK Wai Fai	BJQ184	Licensed Representative (Temporary Licence)	4
KOO Bonil	BBE974	Licensed Representative (Temporary Licence)	1
KUBOTA Masashi	BBA891	Licensed Representative (Temporary Licence)	4
KWEK Kevin Siew Beng	AZN097	Licensed Representative (Temporary Licence)	4
LAM Kwok Chung Ivan	BLL048	Licensed Representative (Temporary Licence)	1, 2
LEACH Brian Robert	BOZ820	Licensed Representative (Temporary Licence)	1, 4
LEE Doyeon	BFO638	Licensed Representative (Temporary Licence)	4
LEE Katryna Anne	BFA316	Licensed Representative (Temporary Licence)	1
LIN Ming-De	BEC282	Licensed Representative (Temporary Licence)	4
LIU Chung-Fang	BMU071	Licensed Representative (Temporary Licence)	4
LOPEZ Todd Damon	BJP602	Licensed Representative (Temporary Licence)	1, 2
LOW Bruce Charles	BOX444	Licensed Representative (Temporary Licence)	4
MACLELLAN Christopher Scott	BOE764	Licensed Representative (Temporary Licence)	1, 4
MCCARTHY Sean Joseph	AWI446	Licensed Representative (Temporary Licence)	1
MUKHJA Tanuj	BJA905	Licensed Representative (Temporary Licence)	4
MULHOLLAND David Terence	BMJ692	Licensed Representative (Temporary Licence)	4
MURATA Alfred Taketo	BLE987	Licensed Representative (Temporary Licence)	1, 4
NIWA Koichi	AUW702	Licensed Representative (Temporary Licence)	4
OHMES Robert Frederick	BGK749	Licensed Representative (Temporary Licence)	4
PARKER Keith Joseph	BNP181	Licensed Representative (Temporary Licence)	4
PASQUALE Michael David	BIC448	Licensed Representative (Temporary Licence)	1
PAULSON Mark Ellis	AYK942	Licensed Representative (Temporary Licence)	1
PINEDA Arthur San Diego	AGL866	Licensed Representative (Temporary Licence)	4
PLUMBE Timothy James Samuel	BIM306	Licensed Representative (Temporary Licence)	4
PONT Nicholas James	BOZ956	Licensed Representative (Temporary Licence)	1
POOL Jonathan Michael	AMU497	Licensed Representative (Temporary Licence)	1
POOLE Gregory Hauston	BIW523	Licensed Representative (Temporary Licence)	1
RATH Stefanie	BOZ614	Licensed Representative (Temporary Licence)	1
ROBINSON Geoffrey Robert Anthony	BHX552	Licensed Representative (Temporary Licence)	4
SAIFEE Hussaini	BJV163	Licensed Representative (Temporary Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
SET Augustus	BNS626	Licensed Representative (Temporary Licence)	1, 4
SHAH Amish Chandrakant	AUW978	Licensed Representative (Temporary Licence)	4
SHERIDAN Matthew S	BOB459	Licensed Representative (Temporary Licence)	4
SHOOKUS Jeffrey David	BFA606	Licensed Representative (Temporary Licence)	1
SMITH Jonathan Edward	BOZ972	Licensed Representative (Temporary Licence)	1
SOLCA Luca Giuseppe	AUT772	Licensed Representative (Temporary Licence)	4
STIMPSON Andrew David	BGM799	Licensed Representative (Temporary Licence)	4
SWAN Dominic Noel	AUQ036	Licensed Representative (Temporary Licence)	1
TAN Yawen	BJP932	Licensed Representative (Temporary Licence)	4
TANOUE Minami	BIX260	Licensed Representative (Temporary Licence)	4
TEASDEL Joel Hamish Gregory John	BOL757	Licensed Representative (Temporary Licence)	1
TEO Erwin Hsien Wei	BOK259	Licensed Representative (Temporary Licence)	1
THOMAS Frederic Francois Mathieu	BJN830	Licensed Representative (Temporary Licence)	1
TRUELOVE Keith Alan	AWF626	Licensed Representative (Temporary Licence)	1
TSUMURA TOMOKI	BOU460	Licensed Representative (Temporary Licence)	4
UETTWILLER Guillaume Jean Jacques Marie	BOX479	Licensed Representative (Temporary Licence)	1
VERSTAPPEN Theodorus Mechtildis Franciscus	BEW818	Licensed Representative (Temporary Licence)	1
WADEWITZ Thomas Richard	BGL493	Licensed Representative (Temporary Licence)	4
WATANABE Ritsuo	BAZ846	Licensed Representative (Temporary Licence)	4
WEEPLE Stephen Anthony	BOX412	Licensed Representative (Temporary Licence)	1, 4
WEI Daren	BOI623	Licensed Representative (Temporary Licence)	1
WRENN James Joseph	BJT987	Licensed Representative (Temporary Licence)	1
YAGERMAN Justin Barnaby	BPA121	Licensed Representative (Temporary Licence)	1, 4
YAMAGUCHI Kei	BGA734	Licensed Representative (Temporary Licence)	1, 2
YANG Junjun Michelle	BOX432	Licensed Representative (Temporary Licence)	4
YANG Xiaohong	BOW763	Licensed Representative (Temporary Licence)	1, 2