

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2019**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
Ampere Partners Limited	BOP619	Licensed Corporation	1, 6
Anlly Asset Management Limited	BOM780	Licensed Corporation	4, 9
Cheng Chuang Fund Management Limited	BOQ888	Licensed Corporation	4, 9
China Merchants Land Asset Management Co., Limited	BOX529	Licensed Corporation	9
EG Management Limited	BOL775	Licensed Corporation	1, 4, 9
High-Flyer Capital Management (Hong Kong) Limited	BOP309	Licensed Corporation	9
Hua Ding International Asset Management Company Limited	BON865	Licensed Corporation	9
IronBirch Capital Limited	BOT052	Licensed Corporation	9
M Power Capital Asset Management Limited	BOJ056	Licensed Corporation	4, 9
MAP Partners Limited	BOL404	Licensed Corporation	4, 9
Maxa Capital Limited	BNO600	Licensed Corporation	1, 6
Metropoly Capital (HK) Limited	BON512	Licensed Corporation	9
New York Life Investment Management Hong Kong Limited	BOM315	Licensed Corporation	1
Outset Global (Hong Kong) Limited	BOS066	Licensed Corporation	1
Protocol Asset Management (HK) Limited	BNY289	Licensed Corporation	9
Stonepeak (Hong Kong) Limited	BOL015	Licensed Corporation	9
TSSP Hong Kong (A) Limited	BON951	Licensed Corporation	1, 4
WeGeneral Asset Management Limited	BOE775	Licensed Corporation	4, 9
Welwing Capital Group Limited	BOP594	Licensed Corporation	9
Zheng Da International Financial Holding Limited	BOP620	Licensed Corporation	2, 5
Zongyou Capital Co. Limited	BOP936	Licensed Corporation	4, 9
AHMAD Mateen	BOT988	Licensed Representative	1
AU Man Yu	BOX015	Licensed Representative	1, 6
AU Wing Yi	BPA070	Licensed Representative	1, 2, 9
BAI Ge	BOW956	Licensed Representative	4
BI Xinyu	BOW748	Licensed Representative	1
BING Tongtong	BOX141	Licensed Representative	1, 4, 9
BOUCHOT Samuel Yves Jean	BOX012	Licensed Representative	1, 4
BREDICEANU Minhea Dan Petru	BOX114	Licensed Representative	9
CAI Jiadian	BPB198	Licensed Representative	1, 6
CHAN Cheung Kam	ASZ085	Licensed Representative	1, 2, 4, 5
CHAN Hiu Tung	BOU273	Licensed Representative	9
CHAN Ho Yin	BPC182	Licensed Representative	1, 2, 9
CHAN Ka Wai	AGF175	Licensed Representative	1
CHAN Leong Ching	BMV634	Licensed Representative	1, 4
CHAN Sau Yuk Alice	BPA020	Licensed Representative	1, 2, 4
CHAN Tiffany Joice	BOW445	Licensed Representative	6
CHAN Wai Lin	AGM412	Licensed Representative	1
CHAN Wai Ming, Patrick	ANP492	Licensed Representative	3
CHAN Wing Sum	BOW861	Licensed Representative	1
CHANG Cheng Yao	BEN865	Licensed Representative	1
CHANG Ximeng	BOZ318	Licensed Representative	1, 6
CHEE Daryl Tak-fung	BOZ567	Licensed Representative	1, 2, 7
CHEN Carmen	BOW536	Licensed Representative	1
CHEN Chih Che	BIY473	Licensed Representative	1, 4, 9
CHEN Hang	BOX536	Licensed Representative	4
CHEN Hsiao-wei	BPA136	Licensed Representative	1, 2
CHEN Hui	BOX142	Licensed Representative	4
CHEN Jan	BPB344	Licensed Representative	1, 6
CHEN Jing	BOW955	Licensed Representative	1, 2, 4
CHEN Le	ARS239	Licensed Representative	4, 9
CHEN Xi	BPA221	Licensed Representative	1, 4
CHENG Hong Cheuk	BOZ236	Licensed Representative	1, 6
CHENG Zhaomin	BOZ955	Licensed Representative	1, 2
CHEUNG Ho Ming	BPA021	Licensed Representative	1
CHEUNG Kwun Chin	BOW570	Licensed Representative	1, 2, 4

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
CHEUNG Shuk Ping	BOW545	Licensed Representative	1, 4
CHEUNG Wing Tim	BCK618	Licensed Representative	4
CHEUNG Yim Yeung	AEQ239	Licensed Representative	1
CHIOU Michael	BJI587	Licensed Representative	9
CHIU Christopher Ho Kwan	BPA226	Licensed Representative	1, 4, 9
CHONG Moon Biu, William	AGA249	Licensed Representative	1
CHOU Chih Yung	BOZ618	Licensed Representative	4
CHOW Leong Ying Charlotte	BOX248	Licensed Representative	6
CHOW Shuk Yi Zoe	AVP865	Licensed Representative	4, 9
CHOY Shuk King	BJZ420	Licensed Representative	1, 4
CHUA Si Ming Gordon	BPA519	Licensed Representative	1
CHUNG Wai Sze, Grace	AEQ447	Licensed Representative	4, 9
COBO PIEKENBROCK Alejandro	BOZ411	Licensed Representative	1, 2, 7
COTTINGTON Jordan Maxwell	BPB762	Licensed Representative	9
CUI Jiaming	BOU586	Licensed Representative	1, 6
CUI Xinyuan	BOZ996	Licensed Representative	1, 2, 4
CUNNINGHAM Nicholas James	AWY119	Licensed Representative	1, 4
DHAMI Jastej Singh	BOX022	Licensed Representative	2, 5
DOBINSON James	BOZ619	Licensed Representative	1, 2
DONG Kejia	BNS638	Licensed Representative	1, 4, 9
DU Qing	AMT911	Licensed Representative	9
DU Qingwen	ATB497	Licensed Representative	1, 2
EMAMI NEJAD Ario	BOZ453	Licensed Representative	1
FANG Jiaying	BCD190	Licensed Representative	1
FANG Yinzhe	BPA620	Licensed Representative	1
FEIGE Gregor Collins	BOZ832	Licensed Representative	1, 4, 6
FENG Jie	BOW989	Licensed Representative	1, 4, 9
FREEMAN Rachel Marin	BOW915	Licensed Representative	1, 4, 6
FU Kang	BOW571	Licensed Representative	1, 2
FU Weiguo	BOW537	Licensed Representative	4, 9
GAN Zhonghui	BDA494	Licensed Representative	1, 4, 9
GAO Xuyang	BOZ651	Licensed Representative	6
GOH Benjamin, Jie Ming	BPD648	Licensed Representative	1, 4, 6
GUAN Si Quan	BNI857	Licensed Representative	9
GUO Yujia	BPA439	Licensed Representative	1, 2
HAN Ji Eun	BPB351	Licensed Representative	6
HAO Zhennan	BOZ927	Licensed Representative	1
HAYASHIBARA Yuka	BOZ289	Licensed Representative	4
HE Liu	BII019	Licensed Representative	1
HE Yunfei	ARP954	Licensed Representative	1
HEGARTY Matthew Donal	BON555	Licensed Representative	9
HENDERSON Iain Robert	BOU064	Licensed Representative	9
HIROSE MAKOTO	BOZ178	Licensed Representative	1, 4
HO Hoi To Charlie	BGL375	Licensed Representative	4, 9
HO Ka-man Carmen	BOW785	Licensed Representative	6
HO Ting Kai	BPA248	Licensed Representative	1, 4
HO Yin	AOG767	Licensed Representative	1
HOU Ming-Hsiao	AXW841	Licensed Representative	4
HSIAO Chih Fang	BOW957	Licensed Representative	2
HU Jie	BOW927	Licensed Representative	1, 4, 6
HU Zhongwen	BFU380	Licensed Representative	9
HUANG Chenxin	BOZ818	Licensed Representative	4, 9
HUANG Han-Ping	BNU192	Licensed Representative	10
HUANG Jingjing	BDJ463	Licensed Representative	1, 4, 9
HUANG Xiren	BOX461	Licensed Representative	1, 4, 6
HUANG yu	BOX115	Licensed Representative	4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2019**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
HUANG Zijun	BOT613	Licensed Representative	1, 4, 9
HUI Chun Lai Edmund Lister	BPA074	Licensed Representative	1, 4, 9
HUNG Chin Chi	BML862	Licensed Representative	4, 9
HUNG Chun Leong	BDH011	Licensed Representative	3
IP Chung Hin	AGD557	Licensed Representative	1
JIA Jingwei	BOZ929	Licensed Representative	10
JIAN Chengguang	BPB626	Licensed Representative	4
JIANG Jiayan	BES176	Licensed Representative	4, 9
JIANG Kang	BOZ983	Licensed Representative	9
JIANG Yiru	BPB606	Licensed Representative	1, 6
JOHNSON Matthew Coogan	BBU784	Licensed Representative	4
KAMATA Toru	BOL511	Licensed Representative	1, 4, 6
KILPELAINEN Taavi Kuutti Aapeli	BOZ243	Licensed Representative	1, 6
KIM Han Joon	BPA029	Licensed Representative	4
KIM William Hyongmin	BOW507	Licensed Representative	1, 4
KO Ka Chun	BOZ986	Licensed Representative	1, 4, 9
KOOPMANS Jean Paul Marie	BOU784	Licensed Representative	4, 9
KORNEVA Evgeniya	BOX404	Licensed Representative	1, 4, 6
KOTHARI Viral	BPA217	Licensed Representative	9
KU Sanqi	AZC762	Licensed Representative	4, 9
KULKARNI Vishal Gundopant	BOV381	Licensed Representative	4
KUM Young Woon	BOU200	Licensed Representative	1, 4, 6
KUNG Wang Leong	BLR820	Licensed Representative	1, 4, 9
KUNG Yuet Mei	BNB411	Licensed Representative	4, 9
KWAN Wang Chi, Beryl	BAD777	Licensed Representative	1, 4, 9
KWAN Yee Ching	BPA374	Licensed Representative	1, 2
KWAN Yuk Fai	BPA270	Licensed Representative	1, 4, 9
KWOK Chi Kit	BOX405	Licensed Representative	4, 9
KWOK Wai Ting, Wendy	BBF236	Licensed Representative	9
KWON Ji Won	BOZ962	Licensed Representative	1
LACZNY Piotr	BPA001	Licensed Representative	1, 7
LALAU Adrien Noel Dominique Marie	BOS224	Licensed Representative	1, 4
LAM Ching Hong	BPA247	Licensed Representative	1, 4
LAM Chun Long	BGL261	Licensed Representative	9
LAM Ka Chun	BOW602	Licensed Representative	1, 4, 9
LAN Weibo	BIA001	Licensed Representative	2, 5
LAU Chi Ming	AUW146	Licensed Representative	4, 9
LAU Chun Sing	BOW446	Licensed Representative	4
LAU Him Chun	BOU485	Licensed Representative	6
LAU Hong	BDY028	Licensed Representative	2
LAW Sau Fong	ARK831	Licensed Representative	1
LAW Wai Kit	BLP749	Licensed Representative	1
LEE Dong Hyun	BPA213	Licensed Representative	9
LEE Ka Wa	BOZ271	Licensed Representative	1, 2
LEE Sin Tung	BBQ225	Licensed Representative	1
LEE Wan Siu, Joyce	AGG841	Licensed Representative	1, 4
LEE Yin Hai	BOW982	Licensed Representative	9
LEE Yin Kiu	BOU278	Licensed Representative	1, 4, 9
LEGREZ Paul Vincent Marie	BOW874	Licensed Representative	1, 2, 7
LEI Zhengxia	AXZ297	Licensed Representative	9
LEUNG Chung Tak Wilson	BOT749	Licensed Representative	3
LEUNG Sharon	BPB219	Licensed Representative	1, 4, 9
LEUNG YEE MAN	BPB446	Licensed Representative	1
LEUNG Yu Tang	BPC725	Licensed Representative	1, 4, 6
LEUNG Yuet Fei	BPA220	Licensed Representative	2
LEUNG Yuk Ying	BOX062	Licensed Representative	4, 9

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
LEVI David Eric	BOZ251	Licensed Representative	2, 5
LI Chunyang	BOZ924	Licensed Representative	1, 4, 6
LI Denghui	BOZ845	Licensed Representative	1, 4, 6
LI Heng	BEA213	Licensed Representative	4
LI Jiayuan	BOZ224	Licensed Representative	1, 4
LI Kit	AOS495	Licensed Representative	1
LI Linfeng	BOZ834	Licensed Representative	1, 4, 6
LI Longxi	BOW524	Licensed Representative	1, 4, 6
LI Shuqi	BPA516	Licensed Representative	6
LI Weixuan	BPB916	Licensed Representative	1, 4, 9
LI Xiaoxi	BOT728	Licensed Representative	2
LI Yuan	BBT531	Licensed Representative	1
LI Zhenchao	BOX465	Licensed Representative	1, 4, 6
LI Zhuoyuan	BOU195	Licensed Representative	1, 4, 9
LIANG Dongxu	BPB612	Licensed Representative	4
LIAO Jung Tsen	ARG732	Licensed Representative	1, 4, 9
LIN Jianwen	BPA132	Licensed Representative	1, 4, 9
LIN Jiaxin	BPB690	Licensed Representative	9
LIN Langsi	BOZ971	Licensed Representative	1, 9
LIN Xingyang	BOU163	Licensed Representative	1, 4, 9
LING Kin Shun, Anne	ACX120	Licensed Representative	4
LIU Ching Shyang	BOZ990	Licensed Representative	1, 2
LIU Hui	BPA155	Licensed Representative	1, 4, 9
LIU Qingting	BPA456	Licensed Representative	1
LIU Weiwen	BPA182	Licensed Representative	6
LIU Zhaohui	BOZ447	Licensed Representative	4
LIU Zhaoli	BOZ592	Licensed Representative	2
LIU Zhen	BOZ399	Licensed Representative	4, 9
LO Lap Bond James	BBJ189	Licensed Representative	1, 2, 3
LOK Ka Ying	BOU167	Licensed Representative	1, 4
LOK Tung	BOZ737	Licensed Representative	1, 4, 9
LU Chia-lin	AWB680	Licensed Representative	4
LU Wanting	BOZ660	Licensed Representative	1, 4, 6
LU Yu	BOZ981	Licensed Representative	9
LU Zhengrong	BPB425	Licensed Representative	1, 4
LUK Fuk Wai	BPA011	Licensed Representative	1, 2
LUKIC Mirko	BPB676	Licensed Representative	1, 2, 4, 5
LUO Jingjin	BPB465	Licensed Representative	4, 9
MA Yi Hing	BOZ819	Licensed Representative	1
MEGAN Sossi Amirian	BOM238	Licensed Representative	1
MENG Ge	BOZ653	Licensed Representative	4, 9
MENG ZIXIANG	BOT913	Licensed Representative	3
NAKAMURA Masashi	BOZ448	Licensed Representative	1, 4, 6
NARVEKAR Kalpit Manish	BOU780	Licensed Representative	4, 9
NATSUME Takayuki	BOX303	Licensed Representative	9
NG Chi Cheong, Allen	AIC644	Licensed Representative	4, 9
NG Shun Heng, Stephan	BOZ613	Licensed Representative	4, 9
NGAI Kwok Pan	AWE460	Licensed Representative	1, 2, 3, 4
NORTON-KNIGHT Thomas Andrew	BCS959	Licensed Representative	1, 2
OHKOSHI Takaki	BOZ661	Licensed Representative	9
OHNUKI Duknam	BPA338	Licensed Representative	1, 4
PAL Nikhil Alexander	AVN594	Licensed Representative	1
PANG Yuk Hung	BPB277	Licensed Representative	4
PARK Hong Cheol	BEX842	Licensed Representative	1, 4
PARK Sangkyun	BPA426	Licensed Representative	1, 4, 6
PATEL Jaymin	BOZ978	Licensed Representative	1, 2

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
PAU Hui Yan	BPA073	Licensed Representative	1
POON Kai Yee	BPB392	Licensed Representative	9
POON Tak Po	BOX138	Licensed Representative	1, 4, 9
PORTER Nicholas Anthony Robin	BOW453	Licensed Representative	9
PRAKASH Sharad	BIR484	Licensed Representative	1, 2
QIAN Qiong	BPA135	Licensed Representative	1
QIAN Yangde	BPB182	Licensed Representative	4
QIN Fen	BGA853	Licensed Representative	1, 4, 6
QIN Xue Jian	BOZ548	Licensed Representative	1, 6
QUANG Dani Louis Dharma	BOZ918	Licensed Representative	2, 5
REYNOLDS Matthew Michael	BPB852	Licensed Representative	1
SAMSON Ian Alexander	BOZ457	Licensed Representative	4, 9
SEREGIN Arseni Vladimirovich	BOZ643	Licensed Representative	1, 2
SHAM Ka Lok	BER999	Licensed Representative	1, 2, 4, 9
SHENG Jie	BOX017	Licensed Representative	1, 2, 7
SHENG Yi	BOZ227	Licensed Representative	1, 2
SHI Haiyang	BPB346	Licensed Representative	1
SHI Zehua	BOZ842	Licensed Representative	1, 4, 6
SHIN Yeeun	BOU812	Licensed Representative	1, 4, 9
SHING King Wa	BOU782	Licensed Representative	1, 4, 9
SHU Hoi Li, Karie	AFA354	Licensed Representative	1, 4
SHUM Weng Hei	BOZ570	Licensed Representative	1, 4, 9
SIMPSON Patrick Dennis	BOW454	Licensed Representative	1, 7
SMITH Matthew Clive	BOZ742	Licensed Representative	4, 9
SO Chi Pan	AHH532	Licensed Representative	4, 9
SO Tze Ying Vivien	BOZ839	Licensed Representative	4, 9
SONG Shuo	BPB744	Licensed Representative	4
SUMMERSALL Thomas Alexander	BOX481	Licensed Representative	2, 5
SUN Hutao	BPA258	Licensed Representative	1, 6
SUN Kehui	BPA568	Licensed Representative	2, 5
SUN Yuanqi	BOW951	Licensed Representative	1, 4
SVIRIN Anton	BOT414	Licensed Representative	1, 2
SY-SIONG Francisco Villapa	BPA234	Licensed Representative	1, 4, 9
TAKAHASHI Kohei	BOZ662	Licensed Representative	9
TAM Man Yee	BPB742	Licensed Representative	1, 4
TAM Wan Yin Michael	BJU721	Licensed Representative	4, 9
TAN Chun Hing	BPA126	Licensed Representative	1
TAN Jo Hsi Cynthia	BOW437	Licensed Representative	1, 4, 9
TANG Chi Hong Terence	BCF214	Licensed Representative	1
TANG Jiarui	BPB602	Licensed Representative	4
TAYLOR Robert James	BOZ928	Licensed Representative	1, 2
TENG Shuwen	BOX140	Licensed Representative	4, 9
TING Catherine Kee Nam	BOZ522	Licensed Representative	1, 4
TING Ka Shun	BOZ727	Licensed Representative	4
TO Ching	BOW991	Licensed Representative	1, 4, 9
TONINE-DI MEGLIO Alix Marie Victorie	BPA569	Licensed Representative	2, 5
TSANG Tin Chun	BOZ979	Licensed Representative	1, 2
TSANG Wai Ki	BOZ709	Licensed Representative	4
TSAO Kuang Chih	BOU151	Licensed Representative	1, 4, 9
TU Chien Liang	BPB286	Licensed Representative	1, 4
TU Zhiheng	BOX205	Licensed Representative	1, 4, 9
VASKO Michael Joseph	BOX084	Licensed Representative	1, 2
VENKATESAN Baskar	AXF926	Licensed Representative	1, 2
WAITE III Norman	AEI859	Licensed Representative	1, 4
WALEED-IRFAN-BHATTI -	BOW012	Licensed Representative	1, 4, 9
WANG Ching Ping	BOU678	Licensed Representative	9

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
WANG Jiekun	BOZ603	Licensed Representative	1, 4
WANG Jin	BOT082	Licensed Representative	1, 4
WANG Jing	BPB507	Licensed Representative	1
WANG Liang	BFS478	Licensed Representative	4
WANG Qing	BOZ617	Licensed Representative	4, 9
WANG Shibo	BOU785	Licensed Representative	4, 9
WANG Songying	BPA547	Licensed Representative	6
WANG Tianle	BOX416	Licensed Representative	4
WANG Wenhao	BPB588	Licensed Representative	4, 9
WANG Ying	BPB298	Licensed Representative	1, 2
WANG Zheng	BNG656	Licensed Representative	9
WANG Zhuoran	BAC306	Licensed Representative	1, 4
WONG Cheuk Hin	BOU404	Licensed Representative	3
WONG Chi Chung	BOW495	Licensed Representative	1, 2
WONG Eugene	AMH294	Licensed Representative	1, 4
WONG Hoi Yi	BOZ938	Licensed Representative	1, 4
WONG Hong Yin	BOZ926	Licensed Representative	1
WONG Isabella	BOX064	Licensed Representative	1, 4, 9
WONG Jackie Kin Fung	AST362	Licensed Representative	1, 2
WONG Jie Min, Adrian	BPA383	Licensed Representative	1, 4, 6
WONG Kwok Sing	BHX703	Licensed Representative	1
WONG Man Kit	BPB197	Licensed Representative	9
WONG Pek U	BOZ745	Licensed Representative	1, 4
WONG Po	BPD513	Licensed Representative	1, 9
WONG Shui	BOU818	Licensed Representative	4
WONG Suk Wah, Joyce	AJ119	Licensed Representative	4, 9
WONG Tsz Yu	BOZ692	Licensed Representative	1, 2
WONG Wai Fai	BHB961	Licensed Representative	9
WONG Wai Kwan	BOZ993	Licensed Representative	2, 5
WRIGLEY Stuart James	BPB295	Licensed Representative	1, 4
WU Haoye	BPA616	Licensed Representative	4, 9
WU James Hin Nam	BOZ357	Licensed Representative	1, 6
WU Minfeng	BOZ165	Licensed Representative	1, 4
WU Wai Fat	BOZ518	Licensed Representative	1, 4
XIA Xiaoting	BHO438	Licensed Representative	1, 2
XIAO Jiajia	BOX069	Licensed Representative	1, 4
XIONG Yan	BPA567	Licensed Representative	1
XU Chang	BOX023	Licensed Representative	1, 4, 9
XU Jing	BOW598	Licensed Representative	1
XU Yixin	BOW962	Licensed Representative	4
XU Yiyi	BAH694	Licensed Representative	4, 5, 9
YANG Fan	BPA505	Licensed Representative	9
YANG Feng	BOT856	Licensed Representative	4
YANG Jin	BOZ177	Licensed Representative	1, 4, 9
YANG Lu	BOZ759	Licensed Representative	4
YANG Mao	BOZ843	Licensed Representative	1, 4, 6
YANG Siqi	BJN135	Licensed Representative	1, 4, 9
YANG Xuemin	BOQ037	Licensed Representative	4, 9
YANG Yiran	BOZ723	Licensed Representative	4
YAU Sze Kin	AHC181	Licensed Representative	1, 4, 9
YE Dan	BOZ788	Licensed Representative	1, 2, 4
YE Si Cen	BPB512	Licensed Representative	1
YE Xi	BOZ272	Licensed Representative	1, 4
YEUNG Chui Ying	BOW883	Licensed Representative	1, 4, 9
YEUNG Ho Yu Candy	BDQ503	Licensed Representative	1
YEUNG Kei Chi	BOZ823	Licensed Representative	1, 2

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
YIM Tsz Kwan	BOW578	Licensed Representative	10
YIU Chun Lung	AZG934	Licensed Representative	1
YOO Jesang	BPA031	Licensed Representative	1, 4, 9
YU Jiang	BOX256	Licensed Representative	1, 4, 6
YU Pak Ho, Nicholas	BOA925	Licensed Representative	9
YU Yiu Kuen Stephen	BOW457	Licensed Representative	1, 4
YU Yong Ying	BNO982	Licensed Representative	4, 6, 9
YUEN Chun Yiu, Curtis	AXH949	Licensed Representative	9
YUEN Ng Fung Kinky	BOU063	Licensed Representative	1
ZENG Yewen	BOZ821	Licensed Representative	6
ZHAI Xiang	BOZ988	Licensed Representative	1, 4, 6
ZHANG Bin	BOW513	Licensed Representative	1
ZHANG Chengchen	BOZ650	Licensed Representative	6
ZHANG Hao	ASW837	Licensed Representative	1, 2, 4, 5
ZHANG Kuo	BNY965	Licensed Representative	1, 2, 4, 5
ZHANG Miao	BOX399	Licensed Representative	9
ZHANG Peter Sihao	BOC242	Licensed Representative	1, 4, 6
ZHANG Yann	BPA133	Licensed Representative	1, 4
ZHANG Yu	BOZ531	Licensed Representative	4, 9
ZHANG Yu Lin	BOZ724	Licensed Representative	1
ZHANG Yumeng	BOU817	Licensed Representative	4, 9
ZHANG Yuwei	BOU266	Licensed Representative	1, 4, 6
ZHANG Zeyu	BPA360	Licensed Representative	1, 4, 6
ZHANG Zhiliu	BOW611	Licensed Representative	1
ZHAO Kangxin	BOZ833	Licensed Representative	6
ZHAO Ronghua	BOZ719	Licensed Representative	1
ZHAO Yu Qian, Rachel	AKI199	Licensed Representative	1
ZHEN Boya	BOW935	Licensed Representative	4
ZHENG Hao	BOZ767	Licensed Representative	1, 4
ZHENG Wing Ka	BOZ574	Licensed Representative	1
ZHENG Yuzheng	BPA565	Licensed Representative	1, 2, 3, 4, 5, 9
ZHOU Feng	BOX016	Licensed Representative	4, 9
ZHOU Haigen	BKB395	Licensed Representative	1, 4
ZHOU Qiuyuan	BPA218	Licensed Representative	6
ZHOU Yiming	BNG180	Licensed Representative	1, 4, 9
ZHOU Zhiting	BOZ569	Licensed Representative	1, 4, 9
ZHOU Zixiang	BOZ281	Licensed Representative	6
ZHU Jinglin	BOX480	Licensed Representative	1, 4, 6
ZHU Lingbo	BOZ914	Licensed Representative	1, 4, 6
ZHU Zhengqiang	BOZ840	Licensed Representative	1, 4, 6
ZHU Zhouchen	BOZ931	Licensed Representative	1, 2, 4
ZHUGE Chang	BLJ126	Licensed Representative	1, 4
ZOU Wenjia	BOX305	Licensed Representative	1
ZOU Zhenyu	BOZ583	Licensed Representative	1, 2, 4, 5
ZUO Xipeng	BOX135	Licensed Representative	4
ALLISON Michael Sean	BOL390	Licensed Representative - Responsible Officer	9
ANG Renyi	BAQ417	Licensed Representative - Responsible Officer	9
ASADA Yoshiaki	BOM342	Licensed Representative - Responsible Officer	1
CHEN Chao-Chuan	BOO835	Licensed Representative - Responsible Officer	4, 9
CHOW Alan Kai Yuu	BDL020	Licensed Representative - Responsible Officer	9
DUXFIELD Robin Malcolm	AQQ553	Licensed Representative - Responsible Officer	9
ECHEGORRI RODRIGUEZ Alejandro Elias	BOP427	Licensed Representative - Responsible Officer	4, 9
FAN Ye	AZB952	Licensed Representative - Responsible Officer	9
FLORO Jeff Rey	BGP818	Licensed Representative - Responsible Officer	4
GLOEL Markus Andreas	BOP425	Licensed Representative - Responsible Officer	1, 4
GUO Jin	APL205	Licensed Representative - Responsible Officer	9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2019**

<b>CE Name</b>	<b>CE No.</b>	<b>License Type</b>	<b>Types of Regulated Activity</b>
HEW Yew Wah	AWE266	Licensed Representative - Responsible Officer	1
JIN Xiaojing	BIG115	Licensed Representative - Responsible Officer	9
JORDAAN Stefan	BDM960	Licensed Representative - Responsible Officer	1, 4, 9
KU Sanqi	AZC762	Licensed Representative - Responsible Officer	1
LAI Shuk Ting	AVD128	Licensed Representative - Responsible Officer	9
LI Jingchao	BDO488	Licensed Representative - Responsible Officer	1, 4
LI John Xun	APC368	Licensed Representative - Responsible Officer	4, 9
LIANG Lihua	BNZ091	Licensed Representative - Responsible Officer	1, 2
LIN Bing	ALF643	Licensed Representative - Responsible Officer	9
MA Sai Wun, Ginny	AXL657	Licensed Representative - Responsible Officer	4, 9
MOORREES Robert Henri	BBQ884	Licensed Representative - Responsible Officer	1
NAGHDY Hajir	BGM160	Licensed Representative - Responsible Officer	9
NAUMANN Holger Wilhelm	BOM081	Licensed Representative - Responsible Officer	1, 4, 9
NG Kok Siong	BMX083	Licensed Representative - Responsible Officer	9
NI Yu-Chun	BOO856	Licensed Representative - Responsible Officer	4, 9
SILVA Joseph Edward	AAI904	Licensed Representative - Responsible Officer	9
SO Chi Keung, Jeffrey	AMC258	Licensed Representative - Responsible Officer	6
SULLIVAN Andrew Michael	AEV843	Licensed Representative - Responsible Officer	1, 4
TESHIGAWARA Jun	AIU519	Licensed Representative - Responsible Officer	1, 4
TONG Ho Yin	AQH049	Licensed Representative - Responsible Officer	1, 2, 9
WANG Jinsong	BOX301	Licensed Representative - Responsible Officer	6
WEEMHOFF Jeremy Christopher	BOT131	Licensed Representative - Responsible Officer	1
WONG Che Hang Samuel	BNF431	Licensed Representative - Responsible Officer	4, 9
XIN Hongling	BOP013	Licensed Representative - Responsible Officer	9
YAN HAN	AZN801	Licensed Representative - Responsible Officer	9
YE Peng	BOO616	Licensed Representative - Responsible Officer	4, 9
YIONG Hoi San	AGT132	Licensed Representative - Responsible Officer	4, 9
YUAN Feng	BOR729	Licensed Representative - Responsible Officer	3
ZHANG David	AFM920	Licensed Representative - Responsible Officer	1, 4, 9
ZHONG Ning	BOZ841	Licensed Representative - Responsible Officer	9
AGARWAL Rohit	BPC293	Licensed Representative (Provisional Licence)	1
BAI Xue	BPD570	Licensed Representative (Provisional Licence)	1
BHAT Sundeep	BPE313	Licensed Representative (Provisional Licence)	4
BOBBARADA Sree Sudha	BPD893	Licensed Representative (Provisional Licence)	1, 2
BREWER James Christopher	BHB321	Licensed Representative (Provisional Licence)	6
CADE Natalie Margaret	BPD453	Licensed Representative (Provisional Licence)	4
CAI Tsz Yeung	AWH561	Licensed Representative (Provisional Licence)	1
CAO Ying	BPE391	Licensed Representative (Provisional Licence)	4
CARRIG Brendan Stephen	BPD474	Licensed Representative (Provisional Licence)	4
CHAN Hiu Sze	BOZ947	Licensed Representative (Provisional Licence)	6
CHAN Hoi Po	BPE611	Licensed Representative (Provisional Licence)	1, 2
CHAN Ka Ming	BPB672	Licensed Representative (Provisional Licence)	1
CHAN Ryan Gregory Li-Yen	BOZ950	Licensed Representative (Provisional Licence)	6
CHAN Wai Ying	BPD391	Licensed Representative (Provisional Licence)	1
CHAU Yu Fung	BPB955	Licensed Representative (Provisional Licence)	1
CHEN Churan	BPD646	Licensed Representative (Provisional Licence)	1, 4
CHEN Hsiao Ju	AWS022	Licensed Representative (Provisional Licence)	1, 2, 9
CHEN Jan	BPB344	Licensed Representative (Provisional Licence)	1, 6
CHEN Jieyi	BPC480	Licensed Representative (Provisional Licence)	1, 2, 4, 9
CHEN Junliang	BPB885	Licensed Representative (Provisional Licence)	4
CHEN Letian	BPB753	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Qi	BPD536	Licensed Representative (Provisional Licence)	4
CHEN SHIDIE	BPB749	Licensed Representative (Provisional Licence)	10
CHEN Ting	BPC503	Licensed Representative (Provisional Licence)	1
CHEN Xiaoguang	BPB915	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Yaning	BPD580	Licensed Representative (Provisional Licence)	1, 4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2019**

<b>CE Name</b>	<b>CE No.</b>	<b>License Type</b>	<b>Types of Regulated Activity</b>
CHEN Yanjie	BPE440	Licensed Representative (Provisional Licence)	1, 4
CHEN Yi-Lin	AWC188	Licensed Representative (Provisional Licence)	4
CHEN Zai	BPD429	Licensed Representative (Provisional Licence)	1, 4, 6
CHENG Pang Fai	BPB702	Licensed Representative (Provisional Licence)	1, 4
CHENG Wing Sze	BPA117	Licensed Representative (Provisional Licence)	1
CHEON Ernest	BPC708	Licensed Representative (Provisional Licence)	9
CHEUK Man Tik	BPB745	Licensed Representative (Provisional Licence)	1
CHEUNG Pan Isadora	BPE964	Licensed Representative (Provisional Licence)	4, 9
CHEUNG Pui Chung	BPE459	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Shuk Ping	BOW545	Licensed Representative (Provisional Licence)	1, 4
CHIM Ka Yeung	BPC655	Licensed Representative (Provisional Licence)	1, 4
CHOI Kit Wa	BOW523	Licensed Representative (Provisional Licence)	1, 9
CHOW Hon Po David	BPE151	Licensed Representative (Provisional Licence)	1
CHUA Pei Feng	ASL814	Licensed Representative (Provisional Licence)	1
CLEMENT Christoffer	BPD564	Licensed Representative (Provisional Licence)	1, 4
CONSTANTINESCU Clara-Andreea	BPC748	Licensed Representative (Provisional Licence)	9
CORONES Zachary Alexander	BCA233	Licensed Representative (Provisional Licence)	1, 2, 7
COTTINGTON Jordan Maxwell	BPB762	Licensed Representative (Provisional Licence)	9
CUI Xiaoyan	BPE550	Licensed Representative (Provisional Licence)	6
DAVOUST Arnaud Yves Roger	BPA032	Licensed Representative (Provisional Licence)	1, 2
DE SILVA Kankanampathirana Lakshita Nalaka	BPB183	Licensed Representative (Provisional Licence)	1
DEMEHRI Arya Pariborz	BPB589	Licensed Representative (Provisional Licence)	9
DENG Fei	BFC518	Licensed Representative (Provisional Licence)	9
DOWLING Charles Richardson	BOZ935	Licensed Representative (Provisional Licence)	1, 2
FANG Fang	BLR664	Licensed Representative (Provisional Licence)	9
FONG Pui Yi	BPC244	Licensed Representative (Provisional Licence)	3
FOONG Pooi Ken	BPC537	Licensed Representative (Provisional Licence)	4
FU Chi Yau	BPC105	Licensed Representative (Provisional Licence)	1, 4
FU Guoling	BPE562	Licensed Representative (Provisional Licence)	1, 4, 9
FU Jianwen	BOU744	Licensed Representative (Provisional Licence)	1, 4
FU Tsz Hei	BGA854	Licensed Representative (Provisional Licence)	1, 4
FU Weiguo	BOW537	Licensed Representative (Provisional Licence)	4, 9
FUNG Chun Kit	BPC683	Licensed Representative (Provisional Licence)	1, 2, 3
GAO Ting	BAE173	Licensed Representative (Provisional Licence)	9
GHALAYINI Jamil	BOZ220	Licensed Representative (Provisional Licence)	9
GIANELLA Sandro	AYQ410	Licensed Representative (Provisional Licence)	1
GOENKA SIDDHANT	BPC779	Licensed Representative (Provisional Licence)	9
GOH Benjamin, Jie Ming	BPD648	Licensed Representative (Provisional Licence)	1, 4, 6
GONG Hailin	BPD880	Licensed Representative (Provisional Licence)	1, 4
GONG Shu	BHN018	Licensed Representative (Provisional Licence)	1, 4
GUO Min	BPB539	Licensed Representative (Provisional Licence)	4
HADDAD Eve Alice	BPC749	Licensed Representative (Provisional Licence)	1, 2
HAI Feng	BPB710	Licensed Representative (Provisional Licence)	9
HASEGAWA Ryo	BPC507	Licensed Representative (Provisional Licence)	9
HE Jiawei	BPC121	Licensed Representative (Provisional Licence)	1
HE Lixin	BPE476	Licensed Representative (Provisional Licence)	1, 4, 6
HE Long	BPD680	Licensed Representative (Provisional Licence)	1, 4, 6
HE Ting	BPB204	Licensed Representative (Provisional Licence)	9
HE Xiaoxiao	BPC510	Licensed Representative (Provisional Licence)	1, 6
HE Yuxi	BPB712	Licensed Representative (Provisional Licence)	10
HEGARTY Matthew Donal	BON555	Licensed Representative (Provisional Licence)	9
HONG Meichun	BPA300	Licensed Representative (Provisional Licence)	1
HONG Yaya	BPD390	Licensed Representative (Provisional Licence)	1, 2, 4
HOU HANG KWAN	BPB414	Licensed Representative (Provisional Licence)	10
HUA Tianyue	BPC241	Licensed Representative (Provisional Licence)	1, 4, 6
HUANG Han-Ping	BNU192	Licensed Representative (Provisional Licence)	10

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2019**

<b>CE Name</b>	<b>CE No.</b>	<b>License Type</b>	<b>Types of Regulated Activity</b>
HUANG Tiandang	BPB535	Licensed Representative (Provisional Licence)	1, 4, 6
HUI Hoi Wan	ALV421	Licensed Representative (Provisional Licence)	9
JAGANNATHAN Badri Ramanujan	BGI774	Licensed Representative (Provisional Licence)	9
JENNINGS Gil Nathan David	BPE800	Licensed Representative (Provisional Licence)	1, 2
JEON Jeffrey Jinwoong	BEM314	Licensed Representative (Provisional Licence)	1, 4
JI Ge	BPC355	Licensed Representative (Provisional Licence)	1, 4, 6
JIANG Lai	BPD999	Licensed Representative (Provisional Licence)	1, 6
JIANG Yiru	BPB606	Licensed Representative (Provisional Licence)	1, 6
JIM Wing Han	BPC242	Licensed Representative (Provisional Licence)	1
JIN Ge	BPD352	Licensed Representative (Provisional Licence)	4
KIM Jusung	BPB802	Licensed Representative (Provisional Licence)	4, 6
KOOPMANS Jean Paul Marie	BOU784	Licensed Representative (Provisional Licence)	4, 9
KWAN Chun Wai, Roy	AOT954	Licensed Representative (Provisional Licence)	1
KWAN Kwok Ho, Aaron	BPB225	Licensed Representative (Provisional Licence)	1
KWOK Chun Hin	BPE160	Licensed Representative (Provisional Licence)	1, 4
KWON Boo Sung	BFH187	Licensed Representative (Provisional Licence)	1, 2
KWON Ji Won	BOZ962	Licensed Representative (Provisional Licence)	1
LAI Kai Wing	AFV860	Licensed Representative (Provisional Licence)	1, 2
LAI Kwan Yee Stephanie	BPD917	Licensed Representative (Provisional Licence)	1, 7
LAI Yuen Yung, Elita	AYW932	Licensed Representative (Provisional Licence)	4
LALAU Adrien Noel Dominique Marie	BOS224	Licensed Representative (Provisional Licence)	1, 4
LAM Chi Keung	AQX036	Licensed Representative (Provisional Licence)	9
LAM Chui Fan	BJE216	Licensed Representative (Provisional Licence)	4
LAM Chun Long	BGL261	Licensed Representative (Provisional Licence)	9
LAM Chung Hin	BPC528	Licensed Representative (Provisional Licence)	1, 2
LAM Hon Shing	BPC298	Licensed Representative (Provisional Licence)	1
LAM Sze Wai	BPD545	Licensed Representative (Provisional Licence)	1, 4
LAM Wan Sum Winsome	BPD980	Licensed Representative (Provisional Licence)	1, 4
LAM Wing Shuen	ALQ164	Licensed Representative (Provisional Licence)	1, 4
LAU Shing Kwan	BPE183	Licensed Representative (Provisional Licence)	4, 9
LAU Yiu Lung Peter	BPE594	Licensed Representative (Provisional Licence)	1, 4
LAU Yuk Pan	BPC446	Licensed Representative (Provisional Licence)	4, 9
LAW Kwok Yam	BPC272	Licensed Representative (Provisional Licence)	6
LAW Wai Kit	BLP749	Licensed Representative (Provisional Licence)	1
LEE Chok Wai	BGR491	Licensed Representative (Provisional Licence)	4
LEE Hoo Wan, Owen	AOR607	Licensed Representative (Provisional Licence)	1, 2
LEE Kee-hwan	BPC566	Licensed Representative (Provisional Licence)	1, 4
LEE Kwok Wen	BPB649	Licensed Representative (Provisional Licence)	1, 2
LEE Wan Siu, Joyce	AGG841	Licensed Representative (Provisional Licence)	1, 4
LEUNG Ka Fei Catherine	BPA162	Licensed Representative (Provisional Licence)	1
LEUNG Ka Wei	BPC710	Licensed Representative (Provisional Licence)	1, 4, 9
LEUNG Man Hin	BPD538	Licensed Representative (Provisional Licence)	1, 2
LEUNG Pui Yin	AIO017	Licensed Representative (Provisional Licence)	1
LEUNG Sharon	BPB219	Licensed Representative (Provisional Licence)	1, 4, 9
LEUNG Sheung Bun	BIW863	Licensed Representative (Provisional Licence)	4
LEUNG Yu Tang	BPC725	Licensed Representative (Provisional Licence)	1, 4, 6
LEVI David Eric	BOZ251	Licensed Representative (Provisional Licence)	2, 5
LI Can	BPE240	Licensed Representative (Provisional Licence)	1, 4
LI Chunyang	BOZ924	Licensed Representative (Provisional Licence)	1, 4, 6
LI Lung Fung	BHK939	Licensed Representative (Provisional Licence)	1, 4
LI Pei Hang	BPE371	Licensed Representative (Provisional Licence)	4, 9
LI Rongbiao	BPC686	Licensed Representative (Provisional Licence)	1, 4, 6
LI Zhibin	BPB354	Licensed Representative (Provisional Licence)	1
LI Zhichao	BPB266	Licensed Representative (Provisional Licence)	1, 4
LI Zhijun	BPB598	Licensed Representative (Provisional Licence)	1
LI Zhiming	AZO316	Licensed Representative (Provisional Licence)	1, 4, 6

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2019**

<b>CE Name</b>	<b>CE No.</b>	<b>License Type</b>	<b>Types of Regulated Activity</b>
LI Ziang	BPC543	Licensed Representative (Provisional Licence)	1
LIANG Bing	BPE566	Licensed Representative (Provisional Licence)	4, 9
LIM Dae Hyuck	BJV724	Licensed Representative (Provisional Licence)	1, 4, 9
LIM David Aik Liang	AXR836	Licensed Representative (Provisional Licence)	9
LIM Min Lynette	BPA405	Licensed Representative (Provisional Licence)	1, 4
LIN Hsuan Yi	BPB321	Licensed Representative (Provisional Licence)	4
LIN Jiabin	BPB690	Licensed Representative (Provisional Licence)	9
LIN Mengwei	BOW801	Licensed Representative (Provisional Licence)	9
LIN Ruohan	BCB480	Licensed Representative (Provisional Licence)	9
LIN Ying Cheng	BJB913	Licensed Representative (Provisional Licence)	1
LIU Kai Wang Levin	BPD415	Licensed Representative (Provisional Licence)	1, 4
LIU Mingchen	BPB511	Licensed Representative (Provisional Licence)	1
LIU Rui	BPE527	Licensed Representative (Provisional Licence)	4
LIU Shui Yuen	BPC243	Licensed Representative (Provisional Licence)	3
LIU Si	AVM307	Licensed Representative (Provisional Licence)	2, 5
LIU Wei	BPD655	Licensed Representative (Provisional Licence)	4
LIU Yin Yu	BPB705	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Zicheng	BPE191	Licensed Representative (Provisional Licence)	4, 9
LIU Ziye	BPB611	Licensed Representative (Provisional Licence)	1, 6
LO Tsz Fung, Alexander	BPC657	Licensed Representative (Provisional Licence)	1, 6
LOW Ling Yi	BPA319	Licensed Representative (Provisional Licence)	1
LU Zhengrong	BPB425	Licensed Representative (Provisional Licence)	1, 4
LUI Leung Yau	BPD928	Licensed Representative (Provisional Licence)	1
MA Andrea	AYQ422	Licensed Representative (Provisional Licence)	1
MA Jingjing	BAF630	Licensed Representative (Provisional Licence)	4, 9
MA Ki King	BPC376	Licensed Representative (Provisional Licence)	1, 2
MA Xiao	ASP710	Licensed Representative (Provisional Licence)	1, 2, 9
MAK Wan Yan, Eugene	ARD506	Licensed Representative (Provisional Licence)	1, 4
MEI Liyang	BPC234	Licensed Representative (Provisional Licence)	4, 9
MENG Chen	BMB955	Licensed Representative (Provisional Licence)	1, 2
MITHRAN Girish	AFU262	Licensed Representative (Provisional Licence)	9
MOMOSE Yoshitake	BOZ977	Licensed Representative (Provisional Licence)	1, 4, 6
MORIOKA Ryota	BPE776	Licensed Representative (Provisional Licence)	1
NAKAMURA Masashi	BOZ448	Licensed Representative (Provisional Licence)	1, 4, 6
NETO Florian Andre Jean	BPD470	Licensed Representative (Provisional Licence)	1, 4, 9
NG Chung Ting	BPB715	Licensed Representative (Provisional Licence)	1, 2, 3, 4
OHNUKI Duknam	BPA338	Licensed Representative (Provisional Licence)	1, 4
PAN Luyi	BBH993	Licensed Representative (Provisional Licence)	1, 2
PANG Ho Chuen	BJE558	Licensed Representative (Provisional Licence)	4
PANG Zilu	BPC675	Licensed Representative (Provisional Licence)	1, 6
PARK Sangkyun	BPA426	Licensed Representative (Provisional Licence)	1, 4, 6
PAULHAN Tommy Jean-Max	BPB754	Licensed Representative (Provisional Licence)	1
PORTER Nicholas Anthony Robin	BOW453	Licensed Representative (Provisional Licence)	9
POSYAVIN Roman	BPC685	Licensed Representative (Provisional Licence)	1, 4
RAJIV Shashank Abhisheik	BPC592	Licensed Representative (Provisional Licence)	1, 4
RAMOS SUZANNE CARMEN	BPC491	Licensed Representative (Provisional Licence)	9
ROMANEIRO Eduardo Alexandre Victorino	AXV642	Licensed Representative (Provisional Licence)	1
SANAPUREDDY Revanth Reddy	BPA390	Licensed Representative (Provisional Licence)	9
SASSOON Andrew Adam	BPC757	Licensed Representative (Provisional Licence)	1, 4, 9
SHAHAM Ido	BPA292	Licensed Representative (Provisional Licence)	1, 4, 6
SHANG Yu	BPD643	Licensed Representative (Provisional Licence)	1, 4, 6
SHEN Xinyi	BPE620	Licensed Representative (Provisional Licence)	1, 4
SHENG Yi	BOZ227	Licensed Representative (Provisional Licence)	1, 2
SHI Chenrong	BPD736	Licensed Representative (Provisional Licence)	1, 2
SHI Zehua	BOZ842	Licensed Representative (Provisional Licence)	1, 4, 6
SHIH Ting Yu	BPD708	Licensed Representative (Provisional Licence)	1, 4, 6

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2019**

<b>CE Name</b>	<b>CE No.</b>	<b>License Type</b>	<b>Types of Regulated Activity</b>
SHUM Chung Yin	BPD581	Licensed Representative (Provisional Licence)	1
SO Yee Kwan	BPD937	Licensed Representative (Provisional Licence)	1, 4
SONG Xueyuan	BPB735	Licensed Representative (Provisional Licence)	1, 4, 9
SU Dan	BPD753	Licensed Representative (Provisional Licence)	1, 4, 9
SUBRAMANIAN Vihang	BPB583	Licensed Representative (Provisional Licence)	4, 9
SUEN Lok Hang	BPD583	Licensed Representative (Provisional Licence)	1, 2
SUI Xin	BPD457	Licensed Representative (Provisional Licence)	1, 2
SUN Fei	BPC802	Licensed Representative (Provisional Licence)	9
SUN Kehui	BPA568	Licensed Representative (Provisional Licence)	2, 5
TAKAHASHI Shohei	BOZ987	Licensed Representative (Provisional Licence)	1, 4, 6
TAN Xi	BPA120	Licensed Representative (Provisional Licence)	1, 4, 9
TANG Francisca Yik Hey	BPD384	Licensed Representative (Provisional Licence)	1
TANG Kai Long	BPC273	Licensed Representative (Provisional Licence)	1
TANG Min	AVM772	Licensed Representative (Provisional Licence)	1
TANG Qianxia	BPC303	Licensed Representative (Provisional Licence)	1, 4
TANG Shentao	BPA128	Licensed Representative (Provisional Licence)	4
TIAN Yu	BPE498	Licensed Representative (Provisional Licence)	1, 6
TONG Joseph Che	BPC283	Licensed Representative (Provisional Licence)	1, 4, 6
TONINE-DI MEGLIO Alix Marie Victorie	BPA569	Licensed Representative (Provisional Licence)	2, 5
TSAI Hsin Yi	AXV938	Licensed Representative (Provisional Licence)	1, 4
TSANG Tik Ki	BPA517	Licensed Representative (Provisional Licence)	1, 4, 9
TSAO Kuang Chih	BOU151	Licensed Representative (Provisional Licence)	1, 4, 9
TSUI Woon Mei	AAF603	Licensed Representative (Provisional Licence)	1, 4
TU Chien Liang	BPB286	Licensed Representative (Provisional Licence)	1, 4
UPADRASTA Sivakumar	BLT551	Licensed Representative (Provisional Licence)	1, 4
VAN DEN WALL BAKE Sander Willem	BPC726	Licensed Representative (Provisional Licence)	1
VISWAMANI Sreeram	BHV463	Licensed Representative (Provisional Licence)	9
WAN Derek Yiu Chung	BPE467	Licensed Representative (Provisional Licence)	9
WAN Li	BOZ747	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 6, 9
WANG Erchong	BPC284	Licensed Representative (Provisional Licence)	1, 4
WANG Haibo	BPC512	Licensed Representative (Provisional Licence)	1, 4
WANG Hansheng	BPA198	Licensed Representative (Provisional Licence)	1, 2
WANG Jian	BPC378	Licensed Representative (Provisional Licence)	4
WANG Jiayue	BKB891	Licensed Representative (Provisional Licence)	4
WANG Kuan-Chun	BBB698	Licensed Representative (Provisional Licence)	1, 2
WANG Lin	BPC226	Licensed Representative (Provisional Licence)	4
WANG Mingxiao	BPC117	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Ruosi	BPC702	Licensed Representative (Provisional Licence)	5
WANG Xudong	BPD922	Licensed Representative (Provisional Licence)	1
WANG Yijie	BPD586	Licensed Representative (Provisional Licence)	4, 9
WANG Yuchen	BPE131	Licensed Representative (Provisional Licence)	2, 5
WHYMAN STEPHEN PAUL	BOZ925	Licensed Representative (Provisional Licence)	1, 2, 9
WONG Chi Ching Juliet	BPA328	Licensed Representative (Provisional Licence)	1, 4
WONG Chi Chung Albert	BPD543	Licensed Representative (Provisional Licence)	1, 4
WONG Hoi Yi	BOZ938	Licensed Representative (Provisional Licence)	1, 4
WONG Jie Min, Adrian	BPA383	Licensed Representative (Provisional Licence)	1, 4, 6
WOO Tze Yui	BPC548	Licensed Representative (Provisional Licence)	4
WU Jiangfeng	BOS922	Licensed Representative (Provisional Licence)	1
WU Sze Man	AMP166	Licensed Representative (Provisional Licence)	1, 4
WU Zhuochun	BPD996	Licensed Representative (Provisional Licence)	9
XIE Changyan	BOZ286	Licensed Representative (Provisional Licence)	4
XING Yan	BGR295	Licensed Representative (Provisional Licence)	1
XIONG Yanling	BOZ702	Licensed Representative (Provisional Licence)	1, 4, 6
XU Fanfei	BPB455	Licensed Representative (Provisional Licence)	1, 4
XUE Yifei	BNR812	Licensed Representative (Provisional Licence)	1
YAN Honglin	BPC693	Licensed Representative (Provisional Licence)	4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2019**

<b>CE Name</b>	<b>CE No.</b>	<b>License Type</b>	<b>Types of Regulated Activity</b>
YAN Tsz Yiu	BPD546	Licensed Representative (Provisional Licence)	1, 6
YANG Linli	BPB770	Licensed Representative (Provisional Licence)	4, 9
YANG Xuanton	BPE397	Licensed Representative (Provisional Licence)	4, 5, 9
YAO Xunyu	BPC088	Licensed Representative (Provisional Licence)	1, 4
YASUDA Tatsuya	AZX833	Licensed Representative (Provisional Licence)	1, 4, 6
YAU Man	ABS584	Licensed Representative (Provisional Licence)	1
YEH I San	AHC004	Licensed Representative (Provisional Licence)	1, 4, 6
YEN Marcus Cheuk Lun	BPD918	Licensed Representative (Provisional Licence)	1
YIN Hanzhang	BFX694	Licensed Representative (Provisional Licence)	4
YIONG Hoi San	AGT132	Licensed Representative (Provisional Licence)	4, 9
YU Haozhang	BPC360	Licensed Representative (Provisional Licence)	1, 2
YU Pui Shan	AGD629	Licensed Representative (Provisional Licence)	1
YU Tao	BKJ226	Licensed Representative (Provisional Licence)	4, 9
YU Wing Yan	BJQ181	Licensed Representative (Provisional Licence)	1
YUEN Hok Man	BPD397	Licensed Representative (Provisional Licence)	9
YUSUF Abang Rahmat Shobra	BPA202	Licensed Representative (Provisional Licence)	6
ZENG Lingchao	BPC040	Licensed Representative (Provisional Licence)	1, 2, 4
ZHANG Dale	BOZ872	Licensed Representative (Provisional Licence)	9
ZHANG Liang	BPD770	Licensed Representative (Provisional Licence)	1, 4
ZHANG Ruihang	BPC718	Licensed Representative (Provisional Licence)	1
ZHANG Yu	BPB756	Licensed Representative (Provisional Licence)	4, 5, 9
ZHAO Jiaying	BPE723	Licensed Representative (Provisional Licence)	6
ZHOU Chenxuan	BPE664	Licensed Representative (Provisional Licence)	4
ZHOU Ting	BLY480	Licensed Representative (Provisional Licence)	1
ZHOU Tong	BPB328	Licensed Representative (Provisional Licence)	1, 6
ZHOU Xujie	BPB706	Licensed Representative (Provisional Licence)	6
ZHOU Yiming	BNG180	Licensed Representative (Provisional Licence)	1, 4, 9
ZHU Lingbo	BOZ914	Licensed Representative (Provisional Licence)	1, 4, 6
ZHUANG Chaozheng	BPA381	Licensed Representative (Provisional Licence)	1
ABRAMS Kenneth Lee	BGL283	Licensed Representative (Temporary Licence)	1, 4
ABREU Eduardo Rafael	BPB368	Licensed Representative (Temporary Licence)	1, 4
ADACHI Masamichi	BPC494	Licensed Representative (Temporary Licence)	4
AGARWAL Anand	ASL813	Licensed Representative (Temporary Licence)	1, 2
ALSFORD Jessica Ruth	BIJ159	Licensed Representative (Temporary Licence)	1, 4
ANG Bee Leng	AGE134	Licensed Representative (Temporary Licence)	1
ANG Sok Han	BLF878	Licensed Representative (Temporary Licence)	1
AZELBY Joseph Kenneth	BPA395	Licensed Representative (Temporary Licence)	1, 4
BAKARICH Elizabeth	BOZ957	Licensed Representative (Temporary Licence)	4
BARUS Aurelia Amanda	BPC304	Licensed Representative (Temporary Licence)	4
BEASLEY-SUFFOLK James Edward	BOQ854	Licensed Representative (Temporary Licence)	1, 2
CHADHA Dushyant	BIE137	Licensed Representative (Temporary Licence)	1
CHEN Yen-Hung	BOG521	Licensed Representative (Temporary Licence)	1
CHRONERT Scott Thomas	BPB238	Licensed Representative (Temporary Licence)	4
CHUA Minguang Donald	BEF380	Licensed Representative (Temporary Licence)	4
COONEY Dion	BIY269	Licensed Representative (Temporary Licence)	1, 2
CRONIN Robert	BPD438	Licensed Representative (Temporary Licence)	1, 2
DARMONO Permadawani	BJH031	Licensed Representative (Temporary Licence)	4
DAVIS Stephanie July	BNS720	Licensed Representative (Temporary Licence)	4
DE BLONAY Guy Charles Desmond	BBF372	Licensed Representative (Temporary Licence)	1
DEWITT Andrew Lowry	BPB938	Licensed Representative (Temporary Licence)	1, 4
DI MAGGIO Scott A	BIV434	Licensed Representative (Temporary Licence)	4
DIGENAN Thomas	BHD359	Licensed Representative (Temporary Licence)	1, 4
DOWD Daniel Vincent	BNZ296	Licensed Representative (Temporary Licence)	4
DUTTON Charles Keith Hawkins	AEM367	Licensed Representative (Temporary Licence)	4
FAUCETTE James Eugene	BNU992	Licensed Representative (Temporary Licence)	1, 4
FEDELI Fabiana	BMC997	Licensed Representative (Temporary Licence)	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2019**

<b>CE Name</b>	<b>CE No.</b>	<b>License Type</b>	<b>Types of Regulated Activity</b>
FENG Kaiyun	BKO099	Licensed Representative (Temporary Licence)	1
FERRI William Joseph	BGI780	Licensed Representative (Temporary Licence)	1, 4
FLETCHER David Alan Fraser	BOI772	Licensed Representative (Temporary Licence)	1, 4
FORD Alexander William	BIN326	Licensed Representative (Temporary Licence)	1, 2
GAGNON Philippe	BNT930	Licensed Representative (Temporary Licence)	1, 2
GAIGALAS Tomas Adolfas	BPB567	Licensed Representative (Temporary Licence)	1
GALLO Laurence	BOW579	Licensed Representative (Temporary Licence)	1, 4
GEISER Thomas Kaspar	BPE294	Licensed Representative (Temporary Licence)	1, 4
GONG Yongfeng	BPE661	Licensed Representative (Temporary Licence)	4
GUERRINI Gerard Alix	BNP243	Licensed Representative (Temporary Licence)	1, 4
GUPTA Shivang	BHY492	Licensed Representative (Temporary Licence)	1, 2
GYGER Isabel Marie	BPC433	Licensed Representative (Temporary Licence)	1
HARRISON Lyanne Marie Leung	BNZ874	Licensed Representative (Temporary Licence)	4
HAU Stuart	BBT270	Licensed Representative (Temporary Licence)	1, 2
HEELAN Benjamin Michael	BIN836	Licensed Representative (Temporary Licence)	4
HOLLERN Sean Timothy	BPB543	Licensed Representative (Temporary Licence)	1, 2
HOPKINS Joseph Alexander	BOA205	Licensed Representative (Temporary Licence)	1, 4, 5
HORNBACH Matthew Thomas	AWZ985	Licensed Representative (Temporary Licence)	1, 4, 5
HUBERTY Kathryn Lynn	BAE422	Licensed Representative (Temporary Licence)	1, 4
HUNG Chi-Tang	AYQ579	Licensed Representative (Temporary Licence)	1
HUNT Graham Alexander	BPC275	Licensed Representative (Temporary Licence)	1, 4
ISAAC Gareth Dafydd Paul	BLB673	Licensed Representative (Temporary Licence)	1, 4
ISRAELSSON Anders David	BML371	Licensed Representative (Temporary Licence)	1, 2
IVANOVIC Aleksandar	BLE528	Licensed Representative (Temporary Licence)	1, 4
JAIN Aditya	BNQ984	Licensed Representative (Temporary Licence)	4
JOHNSON Brent	BPD477	Licensed Representative (Temporary Licence)	1
JOSEPH Arvin Sabu	BLP059	Licensed Representative (Temporary Licence)	1
KAJIYAMA Yohei	BOP676	Licensed Representative (Temporary Licence)	1
KANG Sangin	BNK662	Licensed Representative (Temporary Licence)	1
KAWASAKI Satsuki	BJE606	Licensed Representative (Temporary Licence)	4
KENNEDY Elise Alexandra	BIL555	Licensed Representative (Temporary Licence)	1, 4
KENNEDY Gregory Joseph	BPB831	Licensed Representative (Temporary Licence)	1, 4
KENNEDY Kenneth Patrick	BNU445	Licensed Representative (Temporary Licence)	1, 2
KOOIJ Reijer Jan	BBQ185	Licensed Representative (Temporary Licence)	1, 4
KOVACS Gyorgy	BNV827	Licensed Representative (Temporary Licence)	4
KRAMM Nils Alexander	BDX207	Licensed Representative (Temporary Licence)	4
KUPLANT Christopher	BEX637	Licensed Representative (Temporary Licence)	4
KWEK Kevin Siew Beng	AZN097	Licensed Representative (Temporary Licence)	4
LAGRELLETTE TOURNIER Eve Anne-Celine	BPA134	Licensed Representative (Temporary Licence)	1, 4
LALWANI Rajeev	BLO976	Licensed Representative (Temporary Licence)	1, 4
LARMURIER Xavier Michel	BPD772	Licensed Representative (Temporary Licence)	1
LAUGHLIN Justin John	AWV278	Licensed Representative (Temporary Licence)	2, 5
LEAHY Timothy James	AWB788	Licensed Representative (Temporary Licence)	1
LENVALSKAIA Uliana	BKZ557	Licensed Representative (Temporary Licence)	4
LEW Hyun Tai	BNH351	Licensed Representative (Temporary Licence)	1
LIN Li-Chun	BIG303	Licensed Representative (Temporary Licence)	4
LUANGMETTAKUL Warayut	BPD902	Licensed Representative (Temporary Licence)	4
LUO Di	BHH206	Licensed Representative (Temporary Licence)	1, 4
MACDONALD Robert Cumming	ACF190	Licensed Representative (Temporary Licence)	1
MACDONALD Robert Cumming	ACF190	Licensed Representative (Temporary Licence)	1
MANOONPOL Alex	BOS797	Licensed Representative (Temporary Licence)	4
MATSUMOTO Tatsuma	BPB709	Licensed Representative (Temporary Licence)	1, 2
MCCARTHY Sean Joseph	AWI446	Licensed Representative (Temporary Licence)	1
MCDUGALL Tara Michelle	BFE629	Licensed Representative (Temporary Licence)	1
MCNAMARA Michael Paul	BND495	Licensed Representative (Temporary Licence)	1
MITCHELL Jason Steven	BNU302	Licensed Representative (Temporary Licence)	4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2019**

<b>CE Name</b>	<b>CE No.</b>	<b>License Type</b>	<b>Types of Regulated Activity</b>
MOSKOVIC David Michael	BPE148	Licensed Representative (Temporary Licence)	1, 2
NA PATTALOONG Natchutha	BIO006	Licensed Representative (Temporary Licence)	4
NAHMANOVICI David Jeremie	BOZ254	Licensed Representative (Temporary Licence)	2, 5
NAKATOMI Ryosuke	BKV309	Licensed Representative (Temporary Licence)	1
NAYAR Viswanath	BDP262	Licensed Representative (Temporary Licence)	1
NELLIS Simon George	BNT163	Licensed Representative (Temporary Licence)	4
PARIKH Rishit	BPC123	Licensed Representative (Temporary Licence)	4
PARK Jinman	BPB576	Licensed Representative (Temporary Licence)	1
PATRICIA -	BNS270	Licensed Representative (Temporary Licence)	4
PING Jie	BPA393	Licensed Representative (Temporary Licence)	4
PITTAWAY Nigel Clive	BEM323	Licensed Representative (Temporary Licence)	4
PUSZ Zuzanna Barbara	BPC493	Licensed Representative (Temporary Licence)	4
REDEKER Hans Gunter Wilhelm	BFF385	Licensed Representative (Temporary Licence)	1, 4, 5
REINSBERG John Robert	BG1312	Licensed Representative (Temporary Licence)	1, 4
SAMTANI Namita	BPC539	Licensed Representative (Temporary Licence)	4
SANTORE Christopher Richard	BPB828	Licensed Representative (Temporary Licence)	1, 4
SCARAFIA Christian	BMS366	Licensed Representative (Temporary Licence)	10
SCHOLTES Cedric Marie Tommy	BPA523	Licensed Representative (Temporary Licence)	4
SEE Kwang Yew	BPA587	Licensed Representative (Temporary Licence)	1, 4
SHANKER Ravi	BFM570	Licensed Representative (Temporary Licence)	1, 4
SHEETS Andrew Paterson	BDC115	Licensed Representative (Temporary Licence)	1, 4, 5
SHUKLA Manish Bhupendra	BKJ049	Licensed Representative (Temporary Licence)	4
SINGLA Anuj	BLW779	Licensed Representative (Temporary Licence)	4
SKOGLUND Jeffrey Scott	AYY641	Licensed Representative (Temporary Licence)	4
SPROULES Brendan Allan	BGO985	Licensed Representative (Temporary Licence)	4
STANLEY Charles Edward	BPB218	Licensed Representative (Temporary Licence)	1, 4
SUTANDI Kartika	BGP463	Licensed Representative (Temporary Licence)	1
TAYLOR Matthew Charles	BPC340	Licensed Representative (Temporary Licence)	4
THOMAS Richard	BDD358	Licensed Representative (Temporary Licence)	4
TOMIYASU Hiroki	BOR724	Licensed Representative (Temporary Licence)	1, 2
WALLACE Nathalie	BPB374	Licensed Representative (Temporary Licence)	1, 4, 5
WARD Peter Gerard	BNP036	Licensed Representative (Temporary Licence)	2, 5
WASSERSTROM Eric	BPC466	Licensed Representative (Temporary Licence)	4
WEE Wilfred Zhi Zhong	BIL934	Licensed Representative (Temporary Licence)	4
WELLS Justin Derek	BGK594	Licensed Representative (Temporary Licence)	1
WILLS Darren Edward	BLH178	Licensed Representative (Temporary Licence)	1, 4
WILSON Philipp Andrew	BHB791	Licensed Representative (Temporary Licence)	1
WONG Ee Kay Geoffrey	BFZ518	Licensed Representative (Temporary Licence)	1, 4
XIE Dan	BHT121	Licensed Representative (Temporary Licence)	1
YOUNGWORTH Michael	BOX434	Licensed Representative (Temporary Licence)	4
YUNG-HOK Peter Stephen	BDL617	Licensed Representative (Temporary Licence)	2, 5
ZADORNOVA Anna	BGN220	Licensed Representative (Temporary Licence)	4