

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
Brighten Global Limited	BOB901	Licensed Corporation	9
Bristol Capital Limited	BOP103	Licensed Corporation	6
Cambium Grove Partners Limited	BOR158	Licensed Corporation	4, 9
Chief Management Limited	BOP062	Licensed Corporation	1, 4, 9
Dingxin (Securities) Limited	BOS734	Licensed Corporation	1, 4
Eminence Asset Management (Asia) Limited	BOP785	Licensed Corporation	9
ExodusPoint Capital Management Hong Kong, Limited	BOU243	Licensed Corporation	9
Forestone (HK) Asset Management Limited	BOJ562	Licensed Corporation	9
Gentleman Capital Limited	BIJ793	Licensed Corporation	9
Harmonia Capital Limited	BOP761	Licensed Corporation	1, 4, 9
Heyokha Brothers Limited	BOS569	Licensed Corporation	4, 9
HuaGe Securities Limited	BOP130	Licensed Corporation	1
IS Prime Hong Kong Limited	BOL486	Licensed Corporation	3
J-Stone Capital Limited	BOS693	Licensed Corporation	9
Masan Capital Limited	BOL530	Licensed Corporation	4, 9
MFIO Capital Limited	BOR714	Licensed Corporation	9
New Huadu Asset Management (Hong Kong) Limited	BOQ799	Licensed Corporation	4, 9
On Excel Asset Management Limited	BOP187	Licensed Corporation	4, 5, 9
Paradigm Advisors Holdings (Hong Kong) Limited	BNN988	Licensed Corporation	4, 6
Peak Cloud Limited	BON628	Licensed Corporation	1, 2
Redwood Asset Management Limited	BOI465	Licensed Corporation	1, 4, 9
Solomon Capital Management Limited	BOF835	Licensed Corporation	4, 9
SPDB International Securities Limited	BKW389	Licensed Corporation	1, 4
TigerWit (Hong Kong) Limited	BOI171	Licensed Corporation	3
Up Up Securities Limited	BOK256	Licensed Corporation	1
VCP Advisors (HK) Limited	BOK076	Licensed Corporation	1
Whitestone Capital Technology Limited	BLF085	Licensed Corporation	4, 9
AKBARSJAH Budi Aditya	BPC410	Licensed Representative	1, 4
AN An	BHK900	Licensed Representative	4
ANDERSON Robert Louis	BPE398	Licensed Representative	1, 9
BAI Chongjun	AOG943	Licensed Representative	6
BAXTER Thomas Wilbur	AYY701	Licensed Representative	1, 2, 4, 9
BHAT Sundeep	BPE313	Licensed Representative	4
BOBBARADA Sree Sudha	BPD893	Licensed Representative	1, 2
BREWER James Christopher	BHB321	Licensed Representative	6
CADE Natalie Margaret	BPD453	Licensed Representative	4
CAI Tsz Yeung	AWH561	Licensed Representative	1
CAI Xiaochen	BPB356	Licensed Representative	4, 9
CAI Zhengde	BPE804	Licensed Representative	1, 2
CAO Ying	BPE391	Licensed Representative	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
CARRIG Brendan Stephen	BPD474	Licensed Representative	4
CHAN Che	BPE129	Licensed Representative	4, 9
CHAN Chi Hang	BBB603	Licensed Representative	1, 2
CHAN Chin Hong	BOZ412	Licensed Representative	6
CHAN Chun Wai	BPF164	Licensed Representative	1
CHAN Chung Wing	BPB257	Licensed Representative	1, 2, 3, 4
CHAN Hiu Ki	BPD426	Licensed Representative	1, 4
CHAN Hiu Sze	BOZ947	Licensed Representative	6
CHAN Hoi Po	BPE611	Licensed Representative	1, 2
CHAN Hoi Yee	BKC604	Licensed Representative	1
CHAN Ka Ming	BPB672	Licensed Representative	1
CHAN Koon Wai, Arnold	AUW122	Licensed Representative	1, 4
CHAN Man Kit	AGU658	Licensed Representative	1
CHAN Ryan Gregory Li-Yen	BOZ950	Licensed Representative	6
CHAN Samuel Sek Wei	BOZ713	Licensed Representative	1, 4
CHAN Suet Lin	BPB537	Licensed Representative	1
CHAN Tze Ying, Charlotte	AVE184	Licensed Representative	1
CHAN Wai Yin	BOT815	Licensed Representative	1, 4, 9
CHAN Wai Ying	BPD391	Licensed Representative	1
CHANG Man Lung, Sam	ALU467	Licensed Representative	1
CHANG Moon Sung	AQN365	Licensed Representative	1
CHAU Hei Wai	BEZ010	Licensed Representative	1, 4, 9
CHAU Kai Wing	AFS381	Licensed Representative	1
CHAU Yu Fung	BPB955	Licensed Representative	1
CHEN Churan	BPD646	Licensed Representative	1, 4
CHEN Gang	BOX500	Licensed Representative	4
CHEN Guoxi	ARG394	Licensed Representative	9
CHEN Haoyang	BPE464	Licensed Representative	4, 9
CHEN Hsiao Ju	AWS022	Licensed Representative	1, 2, 9
CHEN Jieyi	BPC480	Licensed Representative	1, 2, 4, 9
CHEN Keru	BPA242	Licensed Representative	9
CHEN Letian	BPB753	Licensed Representative	1, 4, 9
CHEN Qi	BPD536	Licensed Representative	4
CHEN SHIDIE	BPB749	Licensed Representative	10
CHEN Ting	BPC503	Licensed Representative	1
CHEN Xiaoguang	BPB915	Licensed Representative	1, 4, 6
CHEN Xiaojian	AVV977	Licensed Representative	1, 4, 9
CHEN Yanhan	BPB352	Licensed Representative	6
CHEN Yaning	BPD580	Licensed Representative	1, 4
CHEN Yanjie	BPE440	Licensed Representative	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Yi-Lin	AWC188	Licensed Representative	4
CHEN Yilun	BOF343	Licensed Representative	1
CHEN Ying	BOT805	Licensed Representative	4, 9
CHEN Ying	BOW518	Licensed Representative	1, 4, 9
CHEN Yipeng	BOW747	Licensed Representative	1
CHEN Zai	BPD429	Licensed Representative	1, 4, 6
CHENG Pang Fai	BPB702	Licensed Representative	1, 4
CHENG Wing Sze	BPA117	Licensed Representative	1
CHEON Ernest	BPC708	Licensed Representative	9
CHEUK Man Tik	BPB745	Licensed Representative	1
CHEUNG Ho Yin	BLZ801	Licensed Representative	1
CHEUNG Man Shun	BPF015	Licensed Representative	1, 2
CHEUNG Pan Isadora	BPE964	Licensed Representative	4, 9
CHEUNG Wing Lam	ATM802	Licensed Representative	1, 4, 9
CHIM Ka Yeung	BPC655	Licensed Representative	1, 4
CHO Hyun Jin	BPE352	Licensed Representative	1, 9
CHOI Cheuk Lun	BPE727	Licensed Representative	4
CHOI Kit Wa	BOW523	Licensed Representative	1, 9
CHOI Tsan Wan	AFG523	Licensed Representative	4, 9
CHOW Hon Po David	BPE151	Licensed Representative	1
CHOW Poi Yu	BPA259	Licensed Representative	1, 4
CHU Ching Yee	BOX078	Licensed Representative	1, 4
CHUA Pei Feng	ASL814	Licensed Representative	1
CLEMENT Christoffer	BPD564	Licensed Representative	1, 4
CONSTANTINESCU Clara-Andreea	BPC748	Licensed Representative	9
CORONES Zachary Alexander	BCA233	Licensed Representative	1, 2, 7
CUI Xuan	BOH597	Licensed Representative	1, 4, 9
DAVOUST Arnaud Yves Roger	BPA032	Licensed Representative	1, 2
DE SILVA Kankanampathiranage Lakshita Nalaka	BPB183	Licensed Representative	1
DEAN Nicholas John	BPE806	Licensed Representative	1, 2
DEBOO Martin John	BPA006	Licensed Representative	4
DEMEHRI Arya Pariborz	BPB589	Licensed Representative	9
DENG Fei	BFC518	Licensed Representative	9
DENNESS Alan Matthew	BOP632	Licensed Representative	1, 4
DING Jinying	BPD381	Licensed Representative	1
DONG Ziue	BPE061	Licensed Representative	1, 2, 4, 9
DOWLING Charles Richardson	BOZ935	Licensed Representative	1, 2
DU Xuan	BPF001	Licensed Representative	1, 4, 9
FANG Fang	BLR664	Licensed Representative	9
FANG Qiuyan	BIO887	Licensed Representative	4, 9

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CE Name	CE No.	Licence Type	Types of Regulated Activity
FENG Lingtian	AYQ548	Licensed Representative	4
FONG Pui Yi	BPC244	Licensed Representative	3
FOONG Pooi Ken	BPC537	Licensed Representative	4
FU Chi Yau	BPC105	Licensed Representative	1, 4
FU Guoling	BPE562	Licensed Representative	1, 4, 9
FU Jianwen	BOU744	Licensed Representative	1, 4
FUKUSHI Ryosuke	BOB141	Licensed Representative	1, 2
FUKUYAMA Kunishige	BOU459	Licensed Representative	1, 4, 6
FUNG Chun Kit	BPC683	Licensed Representative	1, 2, 3
GARG Sunil	AGJ859	Licensed Representative	4
GHALAYINI Jamil	BOZ220	Licensed Representative	9
GOENKA SIDDHANT	BPC779	Licensed Representative	9
GONG Shu	BHN018	Licensed Representative	1, 4
GUO Can	BPE975	Licensed Representative	1, 6
GUO Qilin	BPB801	Licensed Representative	1, 6
HADDAD Eve Alice	BPC749	Licensed Representative	1, 2
HAI Feng	BPB710	Licensed Representative	9
HASEGAWA Ryo	BPC507	Licensed Representative	9
HAU Ging Wah, Terence	BBQ445	Licensed Representative	1, 9
HE Jiawei	BPC121	Licensed Representative	1
HE Lixin	BPE476	Licensed Representative	1, 4, 6
HE Long	BPD680	Licensed Representative	1, 4, 6
HE Ting	BPB204	Licensed Representative	9
HE Xiaoxiao	BPC510	Licensed Representative	1, 6
HE Yuxi	BPB712	Licensed Representative	10
HO Yuen Ying	BPA537	Licensed Representative	1
HONG Meichun	BPA300	Licensed Representative	1
HONG Yaya	BPD390	Licensed Representative	1, 2, 4
HORIAI Koji	AVC265	Licensed Representative	1
HOU HANG KWAN	BPB414	Licensed Representative	10
HUA Tianxing	BPC296	Licensed Representative	4
HUA Tianyue	BPC241	Licensed Representative	1, 4, 6
HUANG Juwei	BBX604	Licensed Representative	9
HUANG Luyao	BPB199	Licensed Representative	1, 4, 9
HUANG Tian	BIA160	Licensed Representative	4
HUANG Tiandang	BPB535	Licensed Representative	1, 4, 6
HUANG Yiyun	BOX071	Licensed Representative	1, 2, 3
HUANG Yudong	BPE725	Licensed Representative	1
HUANG Zhongqi	BPC239	Licensed Representative	9
HUI Hoi Wan	ALV421	Licensed Representative	9

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CE Name	CE No.	Licence Type	Types of Regulated Activity
HUNG Yi Hsuan	BPF091	Licensed Representative	4
IP Sum Yee Bianca	BKD370	Licensed Representative	9
JAGANNATHAN Badri Ramanujan	BGI774	Licensed Representative	9
JEON Jeffrey Jinwoong	BEM314	Licensed Representative	1, 4
JI Ge	BPC355	Licensed Representative	1, 4, 6
JIA Mengyao	BOZ732	Licensed Representative	1, 4
JIANG Lai	BPD999	Licensed Representative	1, 6
JIANG Yan	BPE265	Licensed Representative	1, 4, 9
JIAO Daqi	BPF117	Licensed Representative	4
JIM Wing Han	BPC242	Licensed Representative	1
KIELY Lucas Alexander	BAD884	Licensed Representative	4, 9
KUANG Enqi	BJU194	Licensed Representative	4, 9
KWAN Kwok Ho, Aaron	BPB225	Licensed Representative	1
KWOK Chun Hin	BPE160	Licensed Representative	1, 4
KWOK Sarah King Wai	BPC435	Licensed Representative	4, 9
KWON Boo Sung	BFH187	Licensed Representative	1, 2
LAI CHUNG-CHIH	BOZ248	Licensed Representative	1, 2
LAI Hei In	BPE706	Licensed Representative	1, 4
LAI Kai Wing	AFV860	Licensed Representative	1, 2
LAI Yuen Yung, Elita	AYW932	Licensed Representative	4
LAM Chui Fan	BJE216	Licensed Representative	4
LAM Chung Hin	BPC528	Licensed Representative	1, 2
LAM Hei Luen	BPD906	Licensed Representative	1, 2
LAM Hon Shing	BPC298	Licensed Representative	1
LAM Ka Wai	BGO474	Licensed Representative	1, 2
LAM Shau Ting, Loretta	ALQ408	Licensed Representative	9
LAM Sze Wai	BPD545	Licensed Representative	1, 4
LAM Wan Sum Winsome	BPD980	Licensed Representative	1, 4
LAM Wing Shuen	ALQ164	Licensed Representative	1, 4
LAU Hong Lin	BEV755	Licensed Representative	1, 4, 9
LAU Shing Kwan	BPE183	Licensed Representative	4, 9
LAU Yiu Lung Peter	BPE594	Licensed Representative	1, 4
LAU Yuk Pan	BPC446	Licensed Representative	4, 9
LAW Po Yin Boey	BPE713	Licensed Representative	2, 5
LAW Wan Hang	BPD585	Licensed Representative	1
LEE Chok Wai	BGR491	Licensed Representative	4
LEE Hoo Wan, Owen	AOR607	Licensed Representative	1, 2
LEE Kee-hwan	BPC566	Licensed Representative	1, 4
LEE Kwok Wen	BPB649	Licensed Representative	1, 2
LEE Man Heung	AYH386	Licensed Representative	10

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CE Name	CE No.	Licence Type	Types of Regulated Activity
LEE Yan Tsz	BPC602	Licensed Representative	1, 4, 9
LEI For	AIU418	Licensed Representative	4
LEUNG Ka Fei Catherine	BPA162	Licensed Representative	1
LEUNG Ka Wei	BPC710	Licensed Representative	1, 4, 9
LEUNG Kenny Wing	BPD964	Licensed Representative	4, 9
LEUNG Man Hin	BOT636	Licensed Representative	9
LEUNG Man Hin	BPD538	Licensed Representative	1, 2
LEUNG Pui Yin	AIO017	Licensed Representative	1
LEUNG Sheung Bun	BIW863	Licensed Representative	4
LEUNG Wai Yin	BPD741	Licensed Representative	1, 4, 9
LEUNG Yu Hang	AUT166	Licensed Representative	1, 9
LI An	BPB725	Licensed Representative	1
LI Bo	BPD375	Licensed Representative	4, 9
LI Can	BPE240	Licensed Representative	1, 4
LI Fangyun	BPD939	Licensed Representative	1, 6
LI Hao	BPC152	Licensed Representative	1, 2
LI Lung Fung	BHK939	Licensed Representative	1, 4
LI Pei Hang	BPE371	Licensed Representative	4, 9
LI Rongbiao	BPC686	Licensed Representative	1, 4, 6
LI Shuxi	BKT719	Licensed Representative	1, 4, 9
LI Weinian	BFK175	Licensed Representative	1
LI Xiangguo	BPB184	Licensed Representative	1, 4, 6
LI Xiaoyu	BOZ885	Licensed Representative	1
LI Xuanren	BPA548	Licensed Representative	1, 4
LI Yang	BPD932	Licensed Representative	1
LI Yaoqiang	BPE311	Licensed Representative	6
LI Zhibin	BPB354	Licensed Representative	1
LI Zhichao	BPB266	Licensed Representative	1, 4
LI Zhijun	BPB598	Licensed Representative	1
LI Zhiming	AZO316	Licensed Representative	1, 4, 6
LI Ziang	BPC543	Licensed Representative	1
LIANG Bing	BPE566	Licensed Representative	4, 9
LIAO Rui	BPE954	Licensed Representative	9
LIE Ka Hin	BOZ952	Licensed Representative	1, 4, 9
LIM Dae Hyuck	BJV724	Licensed Representative	1, 4, 9
LIM David Aik Liang	AXR836	Licensed Representative	9
LIM Min Lynette	BPA405	Licensed Representative	1, 4
LIM Wen Yao, Lester	BEB082	Licensed Representative	1, 4, 9
LIN Hsuan Yi	BPB321	Licensed Representative	4
LIN Mengwei	BOW801	Licensed Representative	9

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CE Name	CE No.	Licence Type	Types of Regulated Activity
LIN Ming-Shao	BOZ249	Licensed Representative	1, 2
LIN Ruohan	BCB480	Licensed Representative	9
LIN Ying Cheng	BJB913	Licensed Representative	1
LIU Chujun	BPB590	Licensed Representative	1, 2
LIU Dan	BOZ921	Licensed Representative	1, 4, 9
LIU Jiapei	BPE711	Licensed Representative	1, 2
LIU Kai Wang Levin	BPD415	Licensed Representative	1, 4
LIU Lewen	BPC301	Licensed Representative	4
LIU LiuJun	BPA409	Licensed Representative	1, 4, 6
LIU Mingchen	BPB511	Licensed Representative	1
LIU Qi	AYC461	Licensed Representative	1, 2, 4, 5
LIU Rui	BPE527	Licensed Representative	4
LIU Shui Yuen	BPC243	Licensed Representative	3
LIU Si	AVM307	Licensed Representative	2, 5
LIU Wei	BPD655	Licensed Representative	4
LIU Zicheng	BPE191	Licensed Representative	4, 9
LIU Ziran	BPE372	Licensed Representative	1
LIU Ziyue	BPB611	Licensed Representative	1, 6
LO Ka Yi Joey	BPB752	Licensed Representative	9
LO Tsz Fung, Alexander	BPC657	Licensed Representative	1, 6
LOW Ling Yi	BPA319	Licensed Representative	1
LOZANO Mauricio	BOZ691	Licensed Representative	1, 4
LU Ling	BOW739	Licensed Representative	2, 5
LU Yang	BPB343	Licensed Representative	1, 4, 9
LUM Chin Pong	AAF304	Licensed Representative	4, 9
LUO Yiwen	BIC107	Licensed Representative	4
MA Andrea	AYQ422	Licensed Representative	1
MA Jingjing	BAF630	Licensed Representative	4, 9
MA Ki King	BPC376	Licensed Representative	1, 2
MA Kunpeng	BIZ759	Licensed Representative	4
MA Peiqin	AYH858	Licensed Representative	1, 4
MA Rong	BPD929	Licensed Representative	1, 6
MA Xiao	ASP710	Licensed Representative	1, 2, 9
MAH Seng Chai	BPG015	Licensed Representative	9
MAHFOUDA Michael	BPA106	Licensed Representative	9
MAK Chi Ho	ATN700	Licensed Representative	6
MEI Liyang	BPC234	Licensed Representative	4, 9
MENG Chen	BMB955	Licensed Representative	1, 2
MITHRAN Girish	AFU262	Licensed Representative	9
MOK King Yue Joseph	BNG717	Licensed Representative	1, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
MORIOKA Ryota	BPE776	Licensed Representative	1
NAKAMURA Ken	BPB370	Licensed Representative	1, 2
NETO Florian Andre Jean	BPD470	Licensed Representative	1, 4, 9
NG Chung Ting	BPB715	Licensed Representative	1, 2, 3, 4
NG Jun Jie	BJG157	Licensed Representative	4
NG Siu Lung	BEF664	Licensed Representative	1, 4
NG Wai See	BOW964	Licensed Representative	1, 2, 4, 5
NG Wing Gee Gi	BPE918	Licensed Representative	1, 6
NI Jiangshan	BPA425	Licensed Representative	10
NI Qingyun	BPB441	Licensed Representative	1, 4, 9
ONG Steven Shihpo	BPC647	Licensed Representative	1, 4
OON Ai Li	BPD757	Licensed Representative	1, 4, 6
PAK Jonathan	BPF283	Licensed Representative	1
PAN Luyi	BBH993	Licensed Representative	1, 2
PAN Yingzhu	BOZ771	Licensed Representative	1, 4
PANG Ho Chuen	BJE558	Licensed Representative	4
PANG Hui Man April	BPE281	Licensed Representative	1, 4, 9
PANG Walter	BOZ994	Licensed Representative	1
PANG Zilu	BPC675	Licensed Representative	1, 6
PAULHAN Tommy Jean-Max	BPB754	Licensed Representative	1
PENG Yuguo	BPD349	Licensed Representative	2
POSYAVIN Roman	BPC685	Licensed Representative	1, 4
QIU Jieli	BPF105	Licensed Representative	4
RAJIV Shashank Abhisheik	BPC592	Licensed Representative	1, 4
RAMOS SUZANNE CARMEN	BPC491	Licensed Representative	9
RIGBY Samuel Anthony	BOW551	Licensed Representative	4
ROMANEIRO Eduardo Alexandre Victorino	AXV642	Licensed Representative	1
SHAHAM Ido	BPA292	Licensed Representative	1, 4, 6
SHANG Yu	BPD643	Licensed Representative	1, 4, 6
SHEN Xinyi	BPE620	Licensed Representative	1, 4
SHI Chenrong	BPD736	Licensed Representative	1, 2
SHI Jingzhe	BPD775	Licensed Representative	1, 4, 6
SHIH Ting Yu	BPD708	Licensed Representative	1, 4, 6
SHIU MING FAI	BPE945	Licensed Representative	9
SO Ka Hei	BOZ912	Licensed Representative	1
SO Yee Kwan	BPD937	Licensed Representative	1, 4
SONG Shaokang	BPE660	Licensed Representative	1
SONG Xueyuan	BPB735	Licensed Representative	1, 4, 9
SU Dan	BPD753	Licensed Representative	1, 4, 9
SUBRAMANIAN Vihang	BPB583	Licensed Representative	4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
SUEN Lok Hang	BPD583	Licensed Representative	1, 2
SUI Xin	BPD457	Licensed Representative	1, 2
SUN Fei	BPC802	Licensed Representative	9
TA Xiaoyuan	BAA799	Licensed Representative	9
TAI Chiu Lun	BPE525	Licensed Representative	1, 2
TAKAHASHI Shohei	BOZ987	Licensed Representative	1, 4, 6
TAM Era	BPA411	Licensed Representative	1, 4
TAM Hang	AGC362	Licensed Representative	1, 9
TAM Ming Him	BBQ283	Licensed Representative	1, 2
TAN Kher Khang Colin	BOZ655	Licensed Representative	9
TAN Min	BOW541	Licensed Representative	1
TAN Xi	BPA120	Licensed Representative	1, 4, 9
TANG Ashley Wing Ga	BPB883	Licensed Representative	4
TANG Francisca Yik Hey	BPD384	Licensed Representative	1
TANG Kai Long	BPC273	Licensed Representative	1
TANG Min	AVM772	Licensed Representative	1
TANG Qianxia	BPC303	Licensed Representative	1, 4
TANG Shentao	BPA128	Licensed Representative	4
TANG Tian	BOZ722	Licensed Representative	1, 6
TEO Hui Ying Geraldine	BOX324	Licensed Representative	1, 4, 9
TIAN Yu	BPE498	Licensed Representative	1, 6
TIAN Zhaohuan	BPG331	Licensed Representative	1, 4
TONG Joseph Che	BPC283	Licensed Representative	1, 4, 6
TONG Kit Ling, Michelle	ACB541	Licensed Representative	1, 4, 9
TONG Xin	BOW534	Licensed Representative	1, 6
TOTLA Vaibhav Vishnudas	ALZ933	Licensed Representative	1
TSANG Tik Ki	BPA517	Licensed Representative	1, 4, 9
TSOI Derek Kang Wong	BAQ281	Licensed Representative	1
TSUI Chun Fung	BPF656	Licensed Representative	1, 2
TSUI Woon Mei	AAF603	Licensed Representative	1, 4
UPADRASTA Sivakumar	BLT551	Licensed Representative	1, 4
VAN DEN WALL BAKE Sander Willem	BPC726	Licensed Representative	1
VISWAMANI Sreeram	BHV463	Licensed Representative	9
WAN Derek Yiu Chung	BPE467	Licensed Representative	9
WANG Erchong	BPC284	Licensed Representative	1, 4
WANG Haibo	BPC512	Licensed Representative	1, 4
WANG Hansheng	BPA198	Licensed Representative	1, 2
WANG Jiayue	BKB891	Licensed Representative	4
WANG Kuan-Chun	BBB698	Licensed Representative	1, 2
WANG Lin	BPC226	Licensed Representative	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Liping	BPC320	Licensed Representative	4
WANG lu	BPC312	Licensed Representative	4
WANG Mingxiao	BPC117	Licensed Representative	1, 4, 9
WANG Ruosi	BPC702	Licensed Representative	5
WANG Sheng	BPC323	Licensed Representative	4
WANG Shuangfei	BPA306	Licensed Representative	1
WANG Xinlin	BOS517	Licensed Representative	1, 4, 9
WANG Xudong	BPD922	Licensed Representative	1
WANG Yijie	BPD586	Licensed Representative	4, 9
WANG Yuchen	BPE131	Licensed Representative	2, 5
WANG Ziwei	BOZ639	Licensed Representative	1, 6
WANNAN Charles Peter	BPF040	Licensed Representative	9
WHYMAN Stephen Paul	BOZ925	Licensed Representative	1, 2, 9
WONG Chi Ching Juliet	BPA328	Licensed Representative	1, 4
WONG Chi Chung Albert	BPD543	Licensed Representative	1, 4
WONG Chong Sum	BPC397	Licensed Representative	1, 4, 9
WONG Ka Chun, Jeremy	BMW868	Licensed Representative	6
WONG Martin Leland	BOZ678	Licensed Representative	1, 4, 9
WONG Wai Quen, Jeffrey	BPD952	Licensed Representative	4, 9
WONG Yin Wai	BPA149	Licensed Representative	1, 2
WOO Tze Yui	BPC548	Licensed Representative	4
WU Jiangfeng	BOS922	Licensed Representative	1
WU Minhui	BOZ970	Licensed Representative	1, 4, 9
WU Peizhe	BOM088	Licensed Representative	1, 4
WU Sze Man	AMP166	Licensed Representative	1, 4
WU Wei-Che	BOZ250	Licensed Representative	1, 2, 9
WU Wing Kit	BPB359	Licensed Representative	1, 4
WU Yue	BJI583	Licensed Representative	1, 4
WU Zhuochun	BPD996	Licensed Representative	9
XIONG Yanling	BOZ702	Licensed Representative	1, 4, 6
XU Beike	BOU361	Licensed Representative	1
XU Chenxi	BPD421	Licensed Representative	9
XU Fanfei	BPB455	Licensed Representative	1, 4
XUE Yifei	BNR812	Licensed Representative	1
YAMAGUCHI Yusuke	BPE258	Licensed Representative	1, 2
YAN Hai	BPC309	Licensed Representative	4
YAN Honglin	BPC693	Licensed Representative	4
YAN Kei Shui, Leo	AOG060	Licensed Representative	4, 9
YAN Tsz Yiu	BPD546	Licensed Representative	1, 6
YANG Haiyan	BPC297	Licensed Representative	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
YANG Lei	BCY602	Licensed Representative	1
YANG Linli	BPB770	Licensed Representative	4, 9
YANG Tianhong	BPE741	Licensed Representative	1, 4, 6
YANG Xuantong	BPE397	Licensed Representative	4, 5, 9
YANG Ying	BDK831	Licensed Representative	1, 4, 9
YANG Yuhao	BPE732	Licensed Representative	4
YAO Xinchun	BOZ623	Licensed Representative	1, 4
YAO Xunyu	BPC088	Licensed Representative	1, 4
YAO Yang	BPC305	Licensed Representative	4
YAU Man	ABS584	Licensed Representative	1
YEH I San	AHC004	Licensed Representative	1, 4, 6
YEUNG Kwong Hing	BNF966	Licensed Representative	1
YEUNG Wing Chun	BPB323	Licensed Representative	1, 4
YI Feng	BOZ847	Licensed Representative	1, 4, 6
YI Tongyu	BPE470	Licensed Representative	1, 6
YIN Hanzhang	BFX694	Licensed Representative	4
YIP Hiu Tung	BPD542	Licensed Representative	4, 9
YIP Ka Long	BOZ816	Licensed Representative	1
YIP Ying Kei	AMM130	Licensed Representative	4, 9
YIU Ka Hing	AVW705	Licensed Representative	4, 9
YU Haozhang	BPC360	Licensed Representative	1, 2
YU Ho Ching	BPD659	Licensed Representative	1, 4, 9
YU King Leung	APK782	Licensed Representative	1, 4
YU Shu Yi	BPB355	Licensed Representative	4, 9
YU Tao	BKJ226	Licensed Representative	4, 9
YUEN Hok Man	BPD397	Licensed Representative	9
YUNG Chi Yeung	BPB536	Licensed Representative	1, 4, 6
YUSUF Abang Rahmat Shobra	BPA202	Licensed Representative	6
ZENG Guoxin	BPA559	Licensed Representative	6
ZENG Lingchao	BPC040	Licensed Representative	1, 2, 4
ZENG YanLing	BFC897	Licensed Representative	1, 4
ZHANG Dale	BOZ872	Licensed Representative	9
ZHANG Junhui	BPD779	Licensed Representative	1, 6
ZHANG Liang	BPD770	Licensed Representative	1, 4
ZHANG Ruihang	BPC718	Licensed Representative	1
ZHANG Shujuan	BJQ776	Licensed Representative	4, 9
ZHANG Sijun	BPE808	Licensed Representative	4, 9
ZHANG Yu	BPB756	Licensed Representative	4, 5, 9
ZHANG Yujie	BPE302	Licensed Representative	6
ZHANG Ziqi	BPB595	Licensed Representative	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHAO Yihan	BOZ366	Licensed Representative	1
ZHAO Zhijie	BPE393	Licensed Representative	9
ZHENG Jieyu Joyce	BPA608	Licensed Representative	1, 2
ZHENG Jin	BPG332	Licensed Representative	1, 4
ZHENG Qiaoshi	BNR824	Licensed Representative	1
ZHENG Xinhuang	BPB538	Licensed Representative	1, 4
ZHOU Chenxuan	BPE664	Licensed Representative	4
ZHOU Tong	BPB328	Licensed Representative	1, 6
ZHOU Xujie	BPB706	Licensed Representative	6
ZHOU Xun	BPC311	Licensed Representative	4
ZHU Shenggang	BMV004	Licensed Representative	9
ZHUANG Chaozheng	BPA381	Licensed Representative	1
AU-YEUNG Chung Kiu Johnny	BLL171	Licensed Representative - Responsible Officer	1, 4, 9
BURCKGARD Dominik Rene	AVM701	Licensed Representative - Responsible Officer	1, 4
CHAN Chi Wing	ATX898	Licensed Representative - Responsible Officer	4, 9
CHEN Peng	BAP176	Licensed Representative - Responsible Officer	4, 9
CHENG Wai Man	ANK374	Licensed Representative - Responsible Officer	9
CHEUNG Hung Fat	AAA157	Licensed Representative - Responsible Officer	9
CHEUNG Tai Ling	BOI464	Licensed Representative - Responsible Officer	1, 4, 9
CHING Jason	AOT950	Licensed Representative - Responsible Officer	9
CHUN Yee Wah Eva	ABT383	Licensed Representative - Responsible Officer	1, 4
DEVESA Philippe Rodolphe Xavier	BBU286	Licensed Representative - Responsible Officer	9
DUNAND-CHATELLET Clement Pierre	BOW984	Licensed Representative - Responsible Officer	9
FANG Wei	AOT337	Licensed Representative - Responsible Officer	1, 2, 4
FUNG Kam Hung	AMK223	Licensed Representative - Responsible Officer	1
GAO Jianfeng	AQS811	Licensed Representative - Responsible Officer	9
GUO Kun	BOJ794	Licensed Representative - Responsible Officer	9
HALEY Theodore Frank	BOZ540	Licensed Representative - Responsible Officer	9
HO Shuk Kan Crystal	AWS997	Licensed Representative - Responsible Officer	3
KIM Hyung Eun	AUW502	Licensed Representative - Responsible Officer	1, 4
KIM Robert Duho	BMR539	Licensed Representative - Responsible Officer	9
LAI Cheuk Hao	BHB688	Licensed Representative - Responsible Officer	9
LAI Chun Hing	AVM461	Licensed Representative - Responsible Officer	1
LAM Tim, Ricky	ABF282	Licensed Representative - Responsible Officer	1, 9
LAN Willy Yu-wei	AFT617	Licensed Representative - Responsible Officer	4, 9
LAU Hon Wai	ACI109	Licensed Representative - Responsible Officer	1
LEE Hung Kwong	AAJ756	Licensed Representative - Responsible Officer	2
LEUNG Kin Fai	ABE420	Licensed Representative - Responsible Officer	4, 9
LI Min	AXL019	Licensed Representative - Responsible Officer	4, 9
LIU Ren	AZC071	Licensed Representative - Responsible Officer	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Xinyu	BOW987	Licensed Representative - Responsible Officer	9
LU Yajun	ATL483	Licensed Representative - Responsible Officer	6
MAK Chi Ho	ATN700	Licensed Representative - Responsible Officer	4, 9
MCCOIG Fergus Robert	AFA387	Licensed Representative - Responsible Officer	3
PENG Wende	BOJ341	Licensed Representative - Responsible Officer	1, 2, 4, 5, 6, 9
QIU Zhihao	AVB884	Licensed Representative - Responsible Officer	1, 4
ROBBINS William Ralph Quentin	APJ520	Licensed Representative - Responsible Officer	3
RUDEBECK William Kerr	BOK078	Licensed Representative - Responsible Officer	1
SHAN Yongxin	BOZ991	Licensed Representative - Responsible Officer	1, 6
SITLANI Raj Kumar Sunder	BOM645	Licensed Representative - Responsible Officer	3
TALBOT-PONSONBY Charles Henry	BOK079	Licensed Representative - Responsible Officer	1
TAN Eugene	BJY932	Licensed Representative - Responsible Officer	1
TAN Haijun	BNU929	Licensed Representative - Responsible Officer	2
TO Kwok Chien, Ronald	AEN382	Licensed Representative - Responsible Officer	4, 9
TSE Wai Man	AJL009	Licensed Representative - Responsible Officer	9
TSO Chi Lik	APJ940	Licensed Representative - Responsible Officer	4, 9
TSOI Yiu Ting	AFR339	Licensed Representative - Responsible Officer	4, 6
VON KOCH Nils Thomas	BFE959	Licensed Representative - Responsible Officer	1, 4
WANG Vivian Nan	BGZ177	Licensed Representative - Responsible Officer	4, 9
WARSONO Wuddy	APA097	Licensed Representative - Responsible Officer	4, 9
YU Xiaofei	BOR718	Licensed Representative - Responsible Officer	9
AU Cheuk Kwan	AVE048	Licensed Representative (Provisional Licence)	1
AZOUT Yohann Victor	BCJ901	Licensed Representative (Provisional Licence)	1, 2, 7
BAI Yuyang	BPF400	Licensed Representative (Provisional Licence)	1, 2, 4
BAN Hideyasu	ALF355	Licensed Representative (Provisional Licence)	4
BARDEN Jonathan Robert	BPF618	Licensed Representative (Provisional Licence)	4
BERGER Anthony	AOJ226	Licensed Representative (Provisional Licence)	9
BETTS JORDAN LAWRENCE	BPF563	Licensed Representative (Provisional Licence)	1
BONNEAU Pierre-Henri	BPF461	Licensed Representative (Provisional Licence)	1, 4
BOURBON Robin	BPF377	Licensed Representative (Provisional Licence)	1, 2, 7
BURCKGARD Dominik Rene	AVM701	Licensed Representative (Provisional Licence)	1, 4
CAI Yule	BPF215	Licensed Representative (Provisional Licence)	1
CAI Zhengde	BPE804	Licensed Representative (Provisional Licence)	1, 2
CAO Kelvin Kai	BPE710	Licensed Representative (Provisional Licence)	4, 9
CAO Linhai	BPC072	Licensed Representative (Provisional Licence)	1
CARPENTER James Alistair	BPE998	Licensed Representative (Provisional Licence)	1
CHAN Chun Gary	BPG271	Licensed Representative (Provisional Licence)	1, 4
CHAN Lai Hung Lilian	BPF510	Licensed Representative (Provisional Licence)	1, 4
CHAN Man Yi	BPG219	Licensed Representative (Provisional Licence)	1
CHAN PUI YING	BPG181	Licensed Representative (Provisional Licence)	1, 2, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Tak Shing	BPF125	Licensed Representative (Provisional Licence)	1
CHAN Tsui Lan	BPE985	Licensed Representative (Provisional Licence)	1
CHAN Yeuk Man	AZB520	Licensed Representative (Provisional Licence)	1, 4, 9
CHAO Teresa	AFU555	Licensed Representative (Provisional Licence)	1, 4, 9
CHAU Hei Wai	BEZ010	Licensed Representative (Provisional Licence)	1, 4, 9
CHAU Sze Yin, Annie	AZV004	Licensed Representative (Provisional Licence)	1, 4
CHEN Chao	BPF574	Licensed Representative (Provisional Licence)	9
CHEN Jiawei	BPF984	Licensed Representative (Provisional Licence)	1, 7
CHEN Kewei	BPB353	Licensed Representative (Provisional Licence)	4
CHEN Lin	AOX336	Licensed Representative (Provisional Licence)	4
CHEN Xudong	BPH392	Licensed Representative (Provisional Licence)	1, 4
CHEN Ying	BOW518	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Yitong	BPF726	Licensed Representative (Provisional Licence)	1, 2
CHEN Zhijun	BPG410	Licensed Representative (Provisional Licence)	2
CHEN Zilin	BPG759	Licensed Representative (Provisional Licence)	1, 4, 6
CHENG Tak Wai	BPF621	Licensed Representative (Provisional Licence)	9
CHEUNG Ka Hang	BPC651	Licensed Representative (Provisional Licence)	4
CHEUNG Ka Yan	BPG291	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Lai Man, Loretta	ADB867	Licensed Representative (Provisional Licence)	1
CHEUNG Man Shun	BPF015	Licensed Representative (Provisional Licence)	1, 2
CHI Raymond Wai Ming	BPG487	Licensed Representative (Provisional Licence)	4, 9
CHIANG Sze Chung, Michael	BPG523	Licensed Representative (Provisional Licence)	4
CHIANG Tiffany C	BPD512	Licensed Representative (Provisional Licence)	4, 9
CHO Woo Yeon	BPG313	Licensed Representative (Provisional Licence)	1, 2
CHOW Mei Yee	BPE303	Licensed Representative (Provisional Licence)	1
CHOW Pak Tim	AOW966	Licensed Representative (Provisional Licence)	1, 4, 6
CHUANG Zhi Wei Farhan	BPE144	Licensed Representative (Provisional Licence)	1
CHUNG Cham Yik	BPH798	Licensed Representative (Provisional Licence)	1
CHUNG Kwok Wai, Kelvin	AAJ492	Licensed Representative (Provisional Licence)	1, 4
CHUNG Sze King Samantha	BPG704	Licensed Representative (Provisional Licence)	1, 4
CLIFFORD Paul	BPF324	Licensed Representative (Provisional Licence)	1
DAI Zhiyuan	BPH714	Licensed Representative (Provisional Licence)	1, 6
DANIEL Thomas Jude	AOE195	Licensed Representative (Provisional Licence)	1
DEAN Nicholas John	BPE806	Licensed Representative (Provisional Licence)	1, 2
DERVILLE Guillaume Colin	AMW646	Licensed Representative (Provisional Licence)	1, 2
DUHERICH Haris	BPF473	Licensed Representative (Provisional Licence)	1
ENGLER Curtis John	BOZ959	Licensed Representative (Provisional Licence)	1
FAN Lei	BFN296	Licensed Representative (Provisional Licence)	4, 9
FENG Canyao	BPF852	Licensed Representative (Provisional Licence)	1, 2
FU Bin	BPD575	Licensed Representative (Provisional Licence)	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
FUKUMOTO Rikiya	BPF372	Licensed Representative (Provisional Licence)	1, 9
FUNG Chun Fung	BPG255	Licensed Representative (Provisional Licence)	1
GAO Yingtong	BPG768	Licensed Representative (Provisional Licence)	1, 6
GONG Yanmei	BPG280	Licensed Representative (Provisional Licence)	4, 9
GREENE Justin Alexander Howard	AOV566	Licensed Representative (Provisional Licence)	9
GUERIRI Walid	BGE243	Licensed Representative (Provisional Licence)	1, 4
GUO Can	BPE975	Licensed Representative (Provisional Licence)	1, 6
GUO Xiaofei	BPF523	Licensed Representative (Provisional Licence)	1, 4
GUO Zidong	BPG211	Licensed Representative (Provisional Licence)	6
HE Xin	BPG073	Licensed Representative (Provisional Licence)	1, 4, 6
HEPPLESTONE Richard	BPE699	Licensed Representative (Provisional Licence)	1, 4
HORIAI Koji	AVC265	Licensed Representative (Provisional Licence)	1
HOWELL Daniel John	AWF431	Licensed Representative (Provisional Licence)	1, 4, 9
HUANG Guanxiong	BPF850	Licensed Representative (Provisional Licence)	4
HUANG Juewei	BBX604	Licensed Representative (Provisional Licence)	9
HUANG Shi	BIZ350	Licensed Representative (Provisional Licence)	6
HUANG Tieqi	BPH717	Licensed Representative (Provisional Licence)	1, 6
HUI King Che	BPE986	Licensed Representative (Provisional Licence)	1, 2
JANSSEN Cornelis Willibrord Maria	BPB203	Licensed Representative (Provisional Licence)	1, 2
JENNINGS Matthew	BPF332	Licensed Representative (Provisional Licence)	1
JIA Chin Shing Oliver	BPF853	Licensed Representative (Provisional Licence)	1, 2
JIANG Yan	BPE265	Licensed Representative (Provisional Licence)	1, 4, 9
JOHNSON Brian David	AFS218	Licensed Representative (Provisional Licence)	4
KAN Yuet Fan	BPH698	Licensed Representative (Provisional Licence)	1, 2
KEUNG Lok Ting Nicole	BPE730	Licensed Representative (Provisional Licence)	1
KNIGHT Anna Nakamura	BPF744	Licensed Representative (Provisional Licence)	1, 2
KO Seongjun	BPF795	Licensed Representative (Provisional Licence)	9
KONG Wei Chyun	BPF533	Licensed Representative (Provisional Licence)	1
KUMAR Jaijit	BPE887	Licensed Representative (Provisional Licence)	1, 4, 9
KWOK Sin Hung	AFS186	Licensed Representative (Provisional Licence)	4
LAI CHUNG-CHIH	BOZ248	Licensed Representative (Provisional Licence)	1, 2
LAI Hei In	BPE706	Licensed Representative (Provisional Licence)	1, 4
LAI Kwok Keung	BLK712	Licensed Representative (Provisional Licence)	1, 4
LAM Alvin Chun Hin	BGZ159	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LAM Mee Sing	AQJ548	Licensed Representative (Provisional Licence)	1, 2
LAM Pui Yan	BHV688	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
LAM Wing Man	BPF146	Licensed Representative (Provisional Licence)	9
LAN Yuefei	BPG761	Licensed Representative (Provisional Licence)	1, 6
LAU Hong Lin	BEV755	Licensed Representative (Provisional Licence)	1, 4, 9
LAYDON Frederick Tennyson	AFA237	Licensed Representative (Provisional Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEE Jaehun	BON487	Licensed Representative (Provisional Licence)	1, 4
LEE Man Heung	AYH386	Licensed Representative (Provisional Licence)	10
LEE Yat Lam	BPE798	Licensed Representative (Provisional Licence)	1, 4, 9
LEUNG On Ki	BPG705	Licensed Representative (Provisional Licence)	1
LEUNG Yu Hang	AUT166	Licensed Representative (Provisional Licence)	1, 9
LI Cai	BPE959	Licensed Representative (Provisional Licence)	4, 9
LI Fangyun	BPD939	Licensed Representative (Provisional Licence)	1, 6
LI Feng	BPF707	Licensed Representative (Provisional Licence)	1, 2, 3
LI Rui	BPF572	Licensed Representative (Provisional Licence)	1, 6
LI Ruoran	BPF761	Licensed Representative (Provisional Licence)	1
LI Weiyang	BOW538	Licensed Representative (Provisional Licence)	1
LI Xingzhuo	BPE503	Licensed Representative (Provisional Licence)	1, 6
LI Zhe	BHC114	Licensed Representative (Provisional Licence)	1
LIANG Felix	AQA152	Licensed Representative (Provisional Licence)	9
LIANG Xinyue	BPF033	Licensed Representative (Provisional Licence)	4, 9
LIAO Jie	BPG195	Licensed Representative (Provisional Licence)	1, 9
LIAO Rui	BPE954	Licensed Representative (Provisional Licence)	9
LIAO Zhaohui	BPF560	Licensed Representative (Provisional Licence)	1, 4
LIN Ming-Shao	BOZ249	Licensed Representative (Provisional Licence)	1, 2
LIU Guodong	BPH847	Licensed Representative (Provisional Licence)	1, 4
LIU Jiawei	BPE711	Licensed Representative (Provisional Licence)	1, 2
LIU Jinjin	BPG574	Licensed Representative (Provisional Licence)	1
LIU Louise	BPE504	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Qiang	BPH668	Licensed Representative (Provisional Licence)	4, 9
LIU Xuyang	BPF024	Licensed Representative (Provisional Licence)	1, 4, 6
LO An Yee, Ann	BPF575	Licensed Representative (Provisional Licence)	1, 4, 9
LO Anthony Pak Him	BPC347	Licensed Representative (Provisional Licence)	1
LO Suet Mui Peggy	BPF561	Licensed Representative (Provisional Licence)	1, 4
LO Yin Ming	BPF384	Licensed Representative (Provisional Licence)	1, 4
LOMHOLT Axel Carl Christian	AV1187	Licensed Representative (Provisional Licence)	1, 4, 9
LU Xin	BPF674	Licensed Representative (Provisional Licence)	6
LUM Chin Pong	AAF304	Licensed Representative (Provisional Licence)	4, 9
LUO Yiwen	BIC107	Licensed Representative (Provisional Licence)	4
MA Jingyu	BPF969	Licensed Representative (Provisional Licence)	1, 4, 9
MA Ka Fai	BPD878	Licensed Representative (Provisional Licence)	1, 4
MA Rong	BPD929	Licensed Representative (Provisional Licence)	1, 6
MACFARLANE Duncan Charles Neil	BPE336	Licensed Representative (Provisional Licence)	1, 4, 9
MAGIER Stefan Antoni	BOR793	Licensed Representative (Provisional Licence)	10
MAH Seng Chai	BPG015	Licensed Representative (Provisional Licence)	9
MASTRONARDI Adrien Arnaud Mario	BPF734	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
MENG Jing	BPC701	Licensed Representative (Provisional Licence)	4, 9
MI Xujing	BPG661	Licensed Representative (Provisional Licence)	1
MIYAUCHI Yuko	AWH499	Licensed Representative (Provisional Licence)	1, 4
NAJAR Abderrahmane	BPF311	Licensed Representative (Provisional Licence)	2
NG Pak Yiu	BPD519	Licensed Representative (Provisional Licence)	4, 9
NG Tsz Chung	BPE987	Licensed Representative (Provisional Licence)	1
NG Wai See	BOW964	Licensed Representative (Provisional Licence)	1, 2, 4, 5
NG Wing Gee Gi	BPE918	Licensed Representative (Provisional Licence)	1, 6
NI Jiangshan	BPA425	Licensed Representative (Provisional Licence)	10
NI Yifan	BPF441	Licensed Representative (Provisional Licence)	1, 6
NIGER Remi Sebastien	BPF336	Licensed Representative (Provisional Licence)	9
NOGUUCHI Suguru	BPF378	Licensed Representative (Provisional Licence)	1, 4, 6
OH Wookjin	AUZ619	Licensed Representative (Provisional Licence)	9
OON Ai Li	BPD757	Licensed Representative (Provisional Licence)	1, 4, 6
PAK Jonathan	BPF283	Licensed Representative (Provisional Licence)	1
PANG Hui Man April	BPE281	Licensed Representative (Provisional Licence)	1, 4, 9
PANG Ka Yin	AEF574	Licensed Representative (Provisional Licence)	1
PANG Siu Fai Jeffery	BPH417	Licensed Representative (Provisional Licence)	1, 4
PARIKH Rishit	BPC123	Licensed Representative (Provisional Licence)	4
PARK Seongwoo	BPG770	Licensed Representative (Provisional Licence)	1, 6
POON Ho Lun	BPF515	Licensed Representative (Provisional Licence)	1, 2
POON Ting Wo	AGC357	Licensed Representative (Provisional Licence)	1, 4
POVEY Jonathan Luke	BPE705	Licensed Representative (Provisional Licence)	1, 4, 9
QU Manshu	BPF141	Licensed Representative (Provisional Licence)	1, 2
RADIA Vaishali Mukesh	BPE297	Licensed Representative (Provisional Licence)	1, 6
RAMZI Aly	BPF455	Licensed Representative (Provisional Licence)	1, 4, 9
REISER Jasper	BPF562	Licensed Representative (Provisional Licence)	6
REN Jinlei	BPG772	Licensed Representative (Provisional Licence)	1, 6
RICHARDSON Iona Meriel Jane	BPH713	Licensed Representative (Provisional Licence)	4, 9
RONG Jia	BPF021	Licensed Representative (Provisional Licence)	1, 4, 9
SHAN Yibo	BPG728	Licensed Representative (Provisional Licence)	1, 4
SHAO Yang	BPG209	Licensed Representative (Provisional Licence)	6
SHEK Chun Wa	ASD193	Licensed Representative (Provisional Licence)	1, 9
SHEN Huajie	BPG214	Licensed Representative (Provisional Licence)	6
SHEN Weize	BPG136	Licensed Representative (Provisional Licence)	1, 4
SHI Liang	BPG328	Licensed Representative (Provisional Licence)	1, 4
SHI Yi	BPG560	Licensed Representative (Provisional Licence)	4
SHIH Tzu-yu	BEU847	Licensed Representative (Provisional Licence)	1, 4
SHIMIZU Hiroyuki	BPF013	Licensed Representative (Provisional Licence)	1
SHIU Wing Yu	BPG139	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
SHUM Ka Lei, Carley	ASE805	Licensed Representative (Provisional Licence)	1, 4, 9
SIMOTAS Michael Gregory	BPH677	Licensed Representative (Provisional Licence)	4
SMITH Stephen Mark	BFA905	Licensed Representative (Provisional Licence)	1, 7
SO Ying Sze	BPF980	Licensed Representative (Provisional Licence)	1
SONG Yuting	BPF128	Licensed Representative (Provisional Licence)	1, 6
STAAB Alexander Florian	BPD886	Licensed Representative (Provisional Licence)	2, 5
SUJANANI Dolly Sehwan	BPE236	Licensed Representative (Provisional Licence)	1, 4, 9
SUN Wanping	BPD544	Licensed Representative (Provisional Licence)	1, 4, 9
TA Xiaoyuan	BAA799	Licensed Representative (Provisional Licence)	9
TAI Chiu Lun	BPE525	Licensed Representative (Provisional Licence)	1, 2
TAN Yaxi	BPG094	Licensed Representative (Provisional Licence)	1
TANG Ho Lun	BPE780	Licensed Representative (Provisional Licence)	2
TANG Jiwei	BPE889	Licensed Representative (Provisional Licence)	2
TANG Ka Bo	BCZ075	Licensed Representative (Provisional Licence)	1, 4
TANG Kelvin	BPG763	Licensed Representative (Provisional Licence)	1, 6
TANG Yi	BPG093	Licensed Representative (Provisional Licence)	1, 6
TANG Yuting	BPE799	Licensed Representative (Provisional Licence)	6
THAI Sophia Pei	BPF207	Licensed Representative (Provisional Licence)	1
TONG Xin	BOW534	Licensed Representative (Provisional Licence)	1, 6
TSANG Heung Hung	BPF331	Licensed Representative (Provisional Licence)	1, 2
TSANG Lik Him	BPH503	Licensed Representative (Provisional Licence)	1, 2
TSE Kit Ying	BPG697	Licensed Representative (Provisional Licence)	1
TSE Yik Yu	BPF144	Licensed Representative (Provisional Licence)	1, 2, 4
WANG Chen	BBL390	Licensed Representative (Provisional Licence)	4, 9
WANG Ji	BPF028	Licensed Representative (Provisional Licence)	9
WANG Jun Ping	ADW638	Licensed Representative (Provisional Licence)	4, 6
WANG Kai	BBR122	Licensed Representative (Provisional Licence)	4, 9
WANG Will Way	BLH658	Licensed Representative (Provisional Licence)	4, 9
WANG Xing Da	BPF994	Licensed Representative (Provisional Licence)	1, 7
WANG Yiming	BPF629	Licensed Representative (Provisional Licence)	4
WEN Baoqi	BPH716	Licensed Representative (Provisional Licence)	1, 4, 6
WIDJAJA Emerensia	BPF838	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Angus Chak Ki	BOB946	Licensed Representative (Provisional Licence)	4
WONG Wai Quen, Jeffrey	BPD952	Licensed Representative (Provisional Licence)	4, 9
WU Wei-Che	BOZ250	Licensed Representative (Provisional Licence)	1, 2, 9
WU Ying	BPF568	Licensed Representative (Provisional Licence)	1, 2, 9
WU Yue	AFU292	Licensed Representative (Provisional Licence)	4, 9
XI Chang	BPF148	Licensed Representative (Provisional Licence)	1, 4, 6
XIA Hongye	BPH398	Licensed Representative (Provisional Licence)	1, 6
XU Lu	BPF147	Licensed Representative (Provisional Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
XU Weihao	BPG475	Licensed Representative (Provisional Licence)	1
XU Wen	BPF516	Licensed Representative (Provisional Licence)	1
YAMAGUCHI Yusuke	BPE258	Licensed Representative (Provisional Licence)	1, 2
YAMAZAKI Miya	BPE902	Licensed Representative (Provisional Licence)	9
YAN Ximin	BPG215	Licensed Representative (Provisional Licence)	6
YANG Shenglin	BPD907	Licensed Representative (Provisional Licence)	1, 6
YANG Shuya	BPG221	Licensed Representative (Provisional Licence)	1
YANG Tianhong	BPE741	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Wanqian	BPF143	Licensed Representative (Provisional Licence)	4, 9
YANG Yaru	BPF867	Licensed Representative (Provisional Licence)	1, 4, 9
YAO Chi	BPE254	Licensed Representative (Provisional Licence)	1, 4, 9
YE Pan	BPG575	Licensed Representative (Provisional Licence)	1, 2
YEUNG Ka Lee	ANA541	Licensed Representative (Provisional Licence)	1
YI Tongyu	BPE470	Licensed Representative (Provisional Licence)	1, 6
YIN Zhe	BPF136	Licensed Representative (Provisional Licence)	1, 4
YIN Ziwei	BPG351	Licensed Representative (Provisional Licence)	1, 4, 6
YIP Pui Yee	ASY648	Licensed Representative (Provisional Licence)	1
YIU Ka Hing	AVW705	Licensed Representative (Provisional Licence)	4, 9
YU Chenkai	BPF361	Licensed Representative (Provisional Licence)	6
YU Jianlin	BPE333	Licensed Representative (Provisional Licence)	1, 6
YU Yaodong	BPD919	Licensed Representative (Provisional Licence)	1, 4
YU Yiwen	BPF513	Licensed Representative (Provisional Licence)	1, 2, 9
YUE Meimei	BPF023	Licensed Representative (Provisional Licence)	4
YUEN Ho Lam Fedora	BMQ792	Licensed Representative (Provisional Licence)	4, 9
YUNG Chun Fai, Jeffrey	ABH518	Licensed Representative (Provisional Licence)	1, 4
ZENG Huanshi	BPE836	Licensed Representative (Provisional Licence)	1
ZENG Qingpei	BPG558	Licensed Representative (Provisional Licence)	1
ZHANG Han	BPG327	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Hongting	BPF757	Licensed Representative (Provisional Licence)	1, 6
ZHANG Junhui	BPD779	Licensed Representative (Provisional Licence)	1, 6
ZHANG Lixin	BPD881	Licensed Representative (Provisional Licence)	1, 2
ZHANG Wendi	BPF832	Licensed Representative (Provisional Licence)	4, 6
ZHANG Xuekong	BPD573	Licensed Representative (Provisional Licence)	1, 6
ZHANG Yilan	BEE664	Licensed Representative (Provisional Licence)	9
ZHAO Wei	BPH566	Licensed Representative (Provisional Licence)	1, 2, 4, 5
ZHOU Chen	BPD879	Licensed Representative (Provisional Licence)	4, 9
ZHOU Han	BPE835	Licensed Representative (Provisional Licence)	1
ZHU Xiaodan	BPE721	Licensed Representative (Provisional Licence)	1, 4
ZHU Xuejing	BPD786	Licensed Representative (Provisional Licence)	1, 9
ZHU Yuan	BPG310	Licensed Representative (Provisional Licence)	1, 2, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
BOWERS Grant Blair	ARP018	Licensed Representative (Temporary Licence)	4
BROWN Evan Michael	BNV377	Licensed Representative (Temporary Licence)	1, 4
CHIDGEY Kieren Joseph	BJX129	Licensed Representative (Temporary Licence)	4
CHOPRA Raashi	BEE682	Licensed Representative (Temporary Licence)	4
COXON Nicholas Alexander	BPE768	Licensed Representative (Temporary Licence)	1
DUNGER Matthew John	BFF148	Licensed Representative (Temporary Licence)	4
DUNNETT Brian David	BBH893	Licensed Representative (Temporary Licence)	1
ELLIS James William Edward	AWP670	Licensed Representative (Temporary Licence)	4
GALLACHER Neil William	BPD901	Licensed Representative (Temporary Licence)	1
GARRE Venugopal	AML716	Licensed Representative (Temporary Licence)	4
GEIGER Dennis Patrick	BMW086	Licensed Representative (Temporary Licence)	4
HEINEL Lori Miller	BOA168	Licensed Representative (Temporary Licence)	4
IONOV Sergey	BNX026	Licensed Representative (Temporary Licence)	1, 2
JUNG Ho Yoon	BOH718	Licensed Representative (Temporary Licence)	4
KATO Takaaki	ATE766	Licensed Representative (Temporary Licence)	1
LEE Young Gon	BNO013	Licensed Representative (Temporary Licence)	1, 2
MAN Ka Fai	BPD726	Licensed Representative (Temporary Licence)	1, 4
MATHUR Aditya	BEO279	Licensed Representative (Temporary Licence)	4
MOERDLER Mark L	BER580	Licensed Representative (Temporary Licence)	4
MONAPPA Aditya	BNH920	Licensed Representative (Temporary Licence)	4
NIHONYANAGI Kei	BBP472	Licensed Representative (Temporary Licence)	4
O'REILLY Gerard Kieran	BLB714	Licensed Representative (Temporary Licence)	1
PERRET Christopher Scott	BPF315	Licensed Representative (Temporary Licence)	1, 4
RUBIN Aaron James	BPE809	Licensed Representative (Temporary Licence)	1
SHARMA Arvind	BOA566	Licensed Representative (Temporary Licence)	4
SHROFF Abhijit	BOM516	Licensed Representative (Temporary Licence)	1
SINGH Saurabh Devendra	AVO929	Licensed Representative (Temporary Licence)	4
SINGLA Anuj	BLW779	Licensed Representative (Temporary Licence)	4
TEASDEL Joel Hamish Gregory John	BOL757	Licensed Representative (Temporary Licence)	1
TSANG Siu Hin, Gary	BJG568	Licensed Representative (Temporary Licence)	4
TUCKER Mark Andrew	BOC945	Licensed Representative (Temporary Licence)	1
VERBERK Victor	BNO793	Licensed Representative (Temporary Licence)	1
WEI Zhengyi	BPF457	Licensed Representative (Temporary Licence)	4
WILKIE Robert Malcolm	BHB487	Licensed Representative (Temporary Licence)	1
YAMASHITA Chihiro	BNE474	Licensed Representative (Temporary Licence)	1
YOO Jongwoo	BEX905	Licensed Representative (Temporary Licence)	4
YU Jeffrey	BPE885	Licensed Representative (Temporary Licence)	1, 2
YUNG-HOK Peter Stephen	BDL617	Licensed Representative (Temporary Licence)	2, 5
ZHANG Yingqiu	BNG716	Licensed Representative (Temporary Licence)	4