

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
Blue Creek Capital Management Limited	BOS738	Licensed Corporation	9
Brimstone Asset Management Company Limited	BOR177	Licensed Corporation	4, 9
CSI Asset Management Limited	BOS201	Licensed Corporation	9
Ding Feng Securities Limited	BOU553	Licensed Corporation	1, 2, 4, 5
Fairchain Capital Management Limited	BOP665	Licensed Corporation	9
Feifan Asset Management Co., Limited	BOP601	Licensed Corporation	4, 9
FrancXav Asia Ratings Limited	BOM510	Licensed Corporation	10
HashKey Capital Limited	BOP237	Licensed Corporation	9
Infinity Asset Management Limited	BPB242	Licensed Corporation	4, 9
Lumineux Asset Management Limited	BOU465	Licensed Corporation	9
M Science Hong Kong Limited	BOT999	Licensed Corporation	4
Mako Hong Kong Limited	BOR650	Licensed Corporation	1, 2
Minority Asset Management Limited	BOT562	Licensed Corporation	9
Moonfare Asia Limited	BOO902	Licensed Corporation	1
ORI Capital Limited	BOW499	Licensed Corporation	9
Oriens Asset Management Limited	BOK181	Licensed Corporation	4, 9
Penjing Asset Management (Asia) Limited	BOP203	Licensed Corporation	1, 4, 9
Rainbow Capital (HK) Limited	BPB716	Licensed Corporation	6
Realway (Hong Kong) Assets Management Limited	BOU587	Licensed Corporation	4, 9
SINOIF Asset Management (Hong Kong) Co. Limited	BOS872	Licensed Corporation	4, 9
TD King Capital Limited	BOS085	Licensed Corporation	1, 4, 6
TS Lombard (Asia) Limited	BOH865	Licensed Corporation	4
Valliance Asset Management Limited	BOU209	Licensed Corporation	9
Victory Asset Management Limited	BOT221	Licensed Corporation	4, 9
AGARWAL Rohit	BPC293	Licensed Representative	1
AU Cheuk Kwan	AVE048	Licensed Representative	1
AZOUT Yohann Victor	BCJ901	Licensed Representative	1, 2, 7
BAI Xue	BPD570	Licensed Representative	1
BAI Yuyang	BPF400	Licensed Representative	1, 2, 4
BAN Hideyasu	ALF355	Licensed Representative	4
BARDEN Jonathan Robert	BPF618	Licensed Representative	4
BERGER Anthony	AOJ226	Licensed Representative	9
BETTS JORDAN LAWRENCE	BPF563	Licensed Representative	1
BONNEAU Pierre-Henri	BPF461	Licensed Representative	1, 4
BOURBON Robin	BPF377	Licensed Representative	1, 2, 7
CAI Yule	BPF215	Licensed Representative	1
CAO Kelvin Kai	BPE710	Licensed Representative	4, 9
CAO Linhai	BPC072	Licensed Representative	1
CAO Yue	BPF876	Licensed Representative	6
CAO Zinan	BOZ960	Licensed Representative	6
CARPENTER James Alistair	BPE998	Licensed Representative	1
CHAN Chi Sun	BPF673	Licensed Representative	1
CHAN Chun Gary	BPG271	Licensed Representative	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Ho Yin	BPD768	Licensed Representative	1, 2
CHAN Lai Hung Lilian	BPF510	Licensed Representative	1, 4
CHAN Man Ching	BML935	Licensed Representative	9
CHAN Man Ho	BPE925	Licensed Representative	1, 4, 9
CHAN Man Yi	BPG219	Licensed Representative	1
CHAN Michelle Shun Nga	BPC743	Licensed Representative	9
CHAN PUI YING	BPG181	Licensed Representative	1, 2, 4
CHAN Tsui Lan	BPE985	Licensed Representative	1
CHAN Tsz Lung	AYR507	Licensed Representative	1, 4
CHAN Yeuk Man	AZB520	Licensed Representative	1, 4, 9
CHANG Nanwei	BPH509	Licensed Representative	1
CHAO Teresa	AFU555	Licensed Representative	1, 4, 9
CHAU Sze Yin, Annie	AZV004	Licensed Representative	1, 4
CHEN Chao	BPF574	Licensed Representative	9
CHEN Jiawei	BPF984	Licensed Representative	1, 7
CHEN Junliang	BPB885	Licensed Representative	4
CHEN Kewei	BPB353	Licensed Representative	4
CHEN Lin	AOX336	Licensed Representative	4
CHEN Tao	BPE402	Licensed Representative	1, 4, 9
CHEN Xudong	BPH392	Licensed Representative	1, 4
CHEN Yitong	BPF726	Licensed Representative	1, 2
CHEN Zhijun	BPG410	Licensed Representative	2
CHEN Zilin	BPG759	Licensed Representative	1, 4, 6
CHENG Lisha	BOT474	Licensed Representative	1, 4, 9
CHENG Tak Wai	BPF621	Licensed Representative	9
CHEUNG Ka Hang	BPC651	Licensed Representative	4
CHEUNG Ka Yan	BPG291	Licensed Representative	1, 4
CHEUNG Pui Chung	BPE459	Licensed Representative	1, 4
CHEUNG Wai Ping	BPF652	Licensed Representative	1, 4
CHI Raymond Wai Ming	BPG487	Licensed Representative	4, 9
CHIANG Sze Chung, Michael	BPG523	Licensed Representative	4
CHOW Andrew Edward Chun-Hong	BOT720	Licensed Representative	1, 4, 9
CHOW Mei Yee	BPE303	Licensed Representative	1
CHOW Pak Tim	AOW966	Licensed Representative	1, 4, 6
CHU Chi Ching, Edward	ARQ769	Licensed Representative	1, 4, 9
CHU Ching	AHT162	Licensed Representative	4, 9
CHU Kit Chung	BPF571	Licensed Representative	1
CHUANG Zhi Wei Farhan	BPE144	Licensed Representative	1
CHUNG Kwok Wai, Kelvin	AAJ492	Licensed Representative	1, 4
CHUNG Man Hei	BPE850	Licensed Representative	1
CRONOPULOS John Francis	BPE739	Licensed Representative	1
CUI Xiaoyan	BPE550	Licensed Representative	6
DAI Zhiyuan	BPH714	Licensed Representative	1, 6

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CE Name	CE No.	Licence Type	Types of Regulated Activity
DERVILLE Guillaume Colin	AMW646	Licensed Representative	1, 2
DUHERICH Haris	BPF473	Licensed Representative	1
ENGLER Curtis John	BOZ959	Licensed Representative	1
FAN Jia	BPG621	Licensed Representative	9
FAN Jiali	BPG276	Licensed Representative	4
FANG Lina	AQJ208	Licensed Representative	1, 4
FANG Yuan	BPF453	Licensed Representative	9
FENG Canyao	BPF852	Licensed Representative	1, 2
FU Bin	BPD575	Licensed Representative	1, 6
FU Qianzheng	BPF554	Licensed Representative	1
FU Shiwei	BFS397	Licensed Representative	4, 9
FU Tsz Hei	BGA854	Licensed Representative	1, 4
FUKUMOTO Rikiya	BPF372	Licensed Representative	1, 9
FUNG Chun Fung	BPG255	Licensed Representative	1
FUNG Kam Wing	AWU645	Licensed Representative	9
FUNG Tsz Chun Leo	BPE784	Licensed Representative	1, 2
GAO Yingtong	BPG768	Licensed Representative	1, 6
GIANELLA Sandro	AYQ410	Licensed Representative	1
GONG Hailin	BPD880	Licensed Representative	1, 4
GONG Yanmei	BPG280	Licensed Representative	4, 9
GREENE Justin Alexander Howard	AOV566	Licensed Representative	9
GU Jin	BPH488	Licensed Representative	1, 4, 9
GUERIRI Walid	BGE243	Licensed Representative	1, 4
GUO Cheng	BEF535	Licensed Representative	4, 9
GUO Xiangyun	BLL341	Licensed Representative	10
GUO Xiaofei	BPF523	Licensed Representative	1, 4
GUO Yingxue	BPA244	Licensed Representative	4, 9
GUO Zidong	BPG211	Licensed Representative	6
GUO Zixian	BPF802	Licensed Representative	1
HAO Yi	BPF923	Licensed Representative	2
HE Miao	BPG261	Licensed Representative	6
HE Xin	BPG073	Licensed Representative	1, 4, 6
HEPPLESTONE Richard	BPE699	Licensed Representative	1, 4
HOWELL Daniel John	AWF431	Licensed Representative	1, 4, 9
HU Sijia	BPE285	Licensed Representative	4, 9
HUANG Guanxiong	BPF850	Licensed Representative	4
HUANG Henan	BNY747	Licensed Representative	1, 4, 9
HUANG Shi	BIZ350	Licensed Representative	6
HUANG Tieqi	BPH717	Licensed Representative	1, 6
HUI King Che	BPE986	Licensed Representative	1, 2
HUNG Hoi Ming, Raymond	AOL366	Licensed Representative	1, 6
JANSSEN Cornelis Willibrord Maria	BPB203	Licensed Representative	1, 2
JENNINGS Gil Nathan David	BPE800	Licensed Representative	1, 2

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CE Name	CE No.	Licence Type	Types of Regulated Activity
JENNINGS Matthew	BPF332	Licensed Representative	1
JIA Chin Shing Oliver	BPF853	Licensed Representative	1, 2
JIN Ge	BPD352	Licensed Representative	4
KAN Yuet Fan	BPH698	Licensed Representative	1, 2
KAPADIA Amit Damodar	BIW068	Licensed Representative	9
KEUNG Lok Ting Nicole	BPE730	Licensed Representative	1
KIM Jusung	BPB802	Licensed Representative	4, 6
KIM Munshik	BPF783	Licensed Representative	1, 9
KO Seongjun	BPF795	Licensed Representative	9
KONG Wei Chyun	BPF533	Licensed Representative	1
KOTHARI Aditya	BHK842	Licensed Representative	1, 2
KUMAR Jaijit	BPE887	Licensed Representative	1, 4, 9
KWAN Chun Wai, Roy	AOT954	Licensed Representative	1
KWOK Ka Lun	AMP301	Licensed Representative	1, 9
LAI Chengdi	BDL588	Licensed Representative	9
LAI Kwan Yee Stephanie	BPD917	Licensed Representative	1, 7
LAI Kwok Keung	BLK712	Licensed Representative	1, 4
LAM Alvin Chun Hin	BGZ159	Licensed Representative	1, 2, 4, 5
LAM Chi Keung	AQX036	Licensed Representative	9
LAM HIN IP FLORENCE	BPF020	Licensed Representative	1, 4
LAM Mei Mei	BPG691	Licensed Representative	1, 4
LAM Pui Yan	BHV688	Licensed Representative	1, 2, 4, 5, 9
LAM Wai Tong	APF483	Licensed Representative	4, 6
LAM Wing Man	BPF146	Licensed Representative	9
LAN Yuefei	BPG761	Licensed Representative	1, 6
LAU Chak Yee Lara Federica	BPF522	Licensed Representative	1, 4
LAU Ho Sing	BPE466	Licensed Representative	1
LAU Mei Na	BOF143	Licensed Representative	1
LAU Sabrina Yin Kwan	BLN468	Licensed Representative	1, 4, 9
LAW Kam Hung	BPG045	Licensed Representative	1
LAW Kwok Yam	BPC272	Licensed Representative	6
LAW Yi Ying	BPH520	Licensed Representative	1
LAYDON Frederick Tennyson	AFA237	Licensed Representative	4
LEBOW Matthew Allan	BPH810	Licensed Representative	9
LEE Chun Him	BPF464	Licensed Representative	1, 2
LEE David Byung Kon	BGE374	Licensed Representative	4, 9
LEE Jaehun	BON487	Licensed Representative	1, 4
LEE Yat Lam	BPE798	Licensed Representative	1, 4, 9
LEUNG Anthony Kai-Chung	BPE373	Licensed Representative	1, 4, 9
LEUNG Chuen Yan	BOX366	Licensed Representative	1, 4, 9
LEUNG Wing Yin Alex	BIZ322	Licensed Representative	1, 6
LI Cai	BPE959	Licensed Representative	4, 9
LI Chun Wing Marvin	BPI067	Licensed Representative	1, 4

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CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Feng	BPF707	Licensed Representative	1, 2, 3
LI Ka Fai	BPG576	Licensed Representative	9
LI Rui	BPF572	Licensed Representative	1, 6
LI Weiyang	BOW538	Licensed Representative	1
LI Xingzhuo	BPE503	Licensed Representative	1, 6
LI Zhe	BHC114	Licensed Representative	1
LIANG Felix	AQA152	Licensed Representative	9
LIANG Xinyue	BPF033	Licensed Representative	4, 9
LIAO Jie	BPG195	Licensed Representative	1, 9
LIAO Zhaohui	BPF560	Licensed Representative	1, 4
LIN Qixian	BPD574	Licensed Representative	4
LIN Shunping	BPD579	Licensed Representative	1, 2
LIN Ting-Hsuan	APG595	Licensed Representative	1
LIN Yu Siang	BPC281	Licensed Representative	1, 4, 9
LIU FEI	BPI035	Licensed Representative	1
LIU Guodong	BPH847	Licensed Representative	1, 4
LIU Jingwei	BPB399	Licensed Representative	9
LIU Louise	BPE504	Licensed Representative	1, 4, 9
LIU Mei Lun	BPI157	Licensed Representative	9
LIU Wenjie Edward	BPF916	Licensed Representative	1, 4, 9
LIU Xuyang	BPF024	Licensed Representative	1, 4, 6
LIU Zixiao	BPH864	Licensed Representative	1, 2
LO An Yee, Ann	BPF575	Licensed Representative	1, 4, 9
LO Anthony Pak Him	BPC347	Licensed Representative	1
LO Suet Mui Peggy	BPF561	Licensed Representative	1, 4
LO Yin Ming	BPF384	Licensed Representative	1, 4
LOK Chun Yu, Henry	AYJ802	Licensed Representative	9
LOKE Weng Yew Kevin	BKT336	Licensed Representative	1, 2
LOO Tze Hui	BFZ515	Licensed Representative	1
LU Xin	BPF674	Licensed Representative	6
LUI Leung Yau	BPD928	Licensed Representative	1
MA Jingyu	BPF969	Licensed Representative	1, 4, 9
MA Ka Fai	BPD878	Licensed Representative	1, 4
MA Sin Yee	BIJ680	Licensed Representative	1
MACFARLANE Duncan Charles Neil	BPE336	Licensed Representative	1, 4, 9
MACMILLAN Alexander Grant	BPF793	Licensed Representative	1
MAGIER Stefan Antoni	BOR793	Licensed Representative	10
MAK King Sau	ABZ849	Licensed Representative	6
MAO Wei	ATD879	Licensed Representative	1, 2
MASTRONARDI Adrien Arnaud Mario	BPF734	Licensed Representative	1
MENG Jing	BPC701	Licensed Representative	4, 9
MENG Yunke	BPI936	Licensed Representative	4, 9
MI Xujing	BPG661	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
MINERS - JONES Tristram David	BMN427	Licensed Representative	1, 2, 4, 9
MIYAUCHI Yuko	AWH499	Licensed Representative	1, 4
MOMOSE Yoshitake	BOZ977	Licensed Representative	1, 4, 6
MON Hiu Tung	BPG757	Licensed Representative	1, 4, 9
NAJAR Abderrahmane	BPF311	Licensed Representative	2
NASTANSKI Neil Adam	BCY576	Licensed Representative	4, 9
NG Tsz Chung	BPE987	Licensed Representative	1
NI Yifan	BPF441	Licensed Representative	1, 6
NIGER Remi Sebastien	BPF336	Licensed Representative	9
NOGUCHI Suguru	BPF378	Licensed Representative	1, 4, 6
OH Wookjin	AUZ619	Licensed Representative	9
OR Chun On	AVH745	Licensed Representative	1
PAN Yi	BPG386	Licensed Representative	1, 2
PANG Ka Yin	AEF574	Licensed Representative	1
POON Ho Lun	BPF515	Licensed Representative	1, 2
POON Ting Wo	AGC357	Licensed Representative	1, 4
POVEY Jonathan Luke	BPE705	Licensed Representative	1, 4, 9
QU Manshu	BPF141	Licensed Representative	1, 2
RADIA Vaishali Mukesh	BPE297	Licensed Representative	1, 6
RAMZI Aly	BPF455	Licensed Representative	1, 4, 9
REISER Jasper	BPF562	Licensed Representative	6
REN Jinlei	BPG772	Licensed Representative	1, 6
RICHARDSON Iona Meriel Jane	BPH713	Licensed Representative	4, 9
RONG Jia	BPF021	Licensed Representative	1, 4, 9
SANAPUREDDY Revanth Reddy	BPA390	Licensed Representative	9
SASSOON Andrew Adam	BPC757	Licensed Representative	1, 4, 9
SHAN Yibo	BPG728	Licensed Representative	1, 4
SHEK Chun Wa	ASD193	Licensed Representative	1, 9
SHEN Huajie	BPG214	Licensed Representative	6
SHEN Weize	BPG136	Licensed Representative	1, 4
SHI Hui	BPF617	Licensed Representative	1, 4, 9
SHI Jinyu	BPF431	Licensed Representative	1, 4, 9
SHI Kun	BPD410	Licensed Representative	4
SHI Yi	BPG560	Licensed Representative	4
SHI Zerui	BPF755	Licensed Representative	4
SHIH Tzu-yu	BEU847	Licensed Representative	1, 4
SHIMIZU Hiroyuki	BPF013	Licensed Representative	1
SHU Chuying Kevin	BPD468	Licensed Representative	1, 4, 9
SHUM Chung Yin	BPD581	Licensed Representative	1
SHUM Ka Lei, Carley	ASE805	Licensed Representative	1, 4, 9
SIU Wing Shan	BOO723	Licensed Representative	4, 9
SONG Yang	BOZ961	Licensed Representative	6
SONG Yuting	BPF128	Licensed Representative	1, 6

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CE Name	CE No.	Licence Type	Types of Regulated Activity
SOU Fatima Maria	BPF703	Licensed Representative	1, 4
STAAB Alexander Florian	BPD886	Licensed Representative	2, 5
SUJANANI Dolly Sehwan	BPE236	Licensed Representative	1, 4, 9
SULLIVAN James Robert	ANA240	Licensed Representative	4
SUN Cheuk Fung Roy	BPF872	Licensed Representative	4
SUN Wanping	BPD544	Licensed Representative	1, 4, 9
TAN Yaxi	BPG094	Licensed Representative	1
TANG Hei Lam	BPI404	Licensed Representative	1
TANG Ho Lun	BPE780	Licensed Representative	2
TANG Jiwei	BPE889	Licensed Representative	2
TANG Ka Bo	BCZ075	Licensed Representative	1, 4
TANG Kai Fan	BPE834	Licensed Representative	1, 2
TANG Kelvin	BPG763	Licensed Representative	1, 6
TANG Yi	BPG093	Licensed Representative	1, 6
TANG Yuting	BPE799	Licensed Representative	6
TANG Zhenhui	BOE400	Licensed Representative	4, 9
THAI Sophia Pei	BPF207	Licensed Representative	1
TSAI Hsin Yi	AXV938	Licensed Representative	1, 4
TSANG Chi Chung	BPB358	Licensed Representative	9
TSANG Heung Hung	BPF331	Licensed Representative	1, 2
TSANG Ka Wai	BHC862	Licensed Representative	1
TSANG Lik Him	BPH503	Licensed Representative	1, 2
TSANG Siu Hin, Gary	BJG568	Licensed Representative	4
TSE Kit Ying	BPG697	Licensed Representative	1
TSE Yik Yu	BPF144	Licensed Representative	1, 2, 4
TSO Ka Man	AUX002	Licensed Representative	1, 4
WANG Chen	BBL390	Licensed Representative	4, 9
WANG Guanzhu	BPH472	Licensed Representative	9
WANG Ji	BPF028	Licensed Representative	9
WANG Jian	BPC378	Licensed Representative	4
WANG Kai	BBR122	Licensed Representative	4, 9
WANG Shuai	BNB844	Licensed Representative	4
WANG Will Way	BLH658	Licensed Representative	9
WANG Xing Da	BPF994	Licensed Representative	1, 7
WANG Yiming	BPF629	Licensed Representative	4
WANG Zijian	BPF365	Licensed Representative	4, 9
WEI Jackson Zhuo Cheng	BKL409	Licensed Representative	1, 6
WEN Baoqi	BPH716	Licensed Representative	1, 4, 6
WONG Angus Chak Ki	BOB946	Licensed Representative	4
WONG Kin Hing	AJJ716	Licensed Representative	1, 4
WONG Nicholas Pui Chung	BPG244	Licensed Representative	4, 9
WOOD Alexander George	BPE301	Licensed Representative	1, 4, 9
WU Jiangsuo	BGO781	Licensed Representative	9

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CE Name	CE No.	Licence Type	Types of Regulated Activity
WU Ka Ho	BPE300	Licensed Representative	1, 6
WU Weimin	AZK393	Licensed Representative	9
WU Ying	BPF568	Licensed Representative	1, 2, 9
WU Yue	AFU292	Licensed Representative	4, 9
XI Chang	BPF148	Licensed Representative	1, 4, 6
XIA Bingyang	BOZ760	Licensed Representative	9
XIA Hongye	BPH398	Licensed Representative	1, 6
XIANG Xianxin	BPF314	Licensed Representative	1, 4
XIE Changyan	BOZ286	Licensed Representative	4
XING Yan	BGR295	Licensed Representative	1
XU Lu	BPF147	Licensed Representative	4
XU Shiqi	BPG364	Licensed Representative	1, 2
XU Weihao	BPG475	Licensed Representative	1
XU Wen	BPF516	Licensed Representative	1
YAMAZAKI Miya	BPE902	Licensed Representative	9
YAN Yui Ham	BMX954	Licensed Representative	9
YANG LINSHU	BPG367	Licensed Representative	6
YANG Shenglin	BPD907	Licensed Representative	1, 6
YANG Shuya	BPG221	Licensed Representative	1
YANG Wanqian	BPF143	Licensed Representative	4, 9
YANG Zhenyi	BPJ471	Licensed Representative	4
YAO Chi	BPE254	Licensed Representative	1, 4, 9
YASUDA Tatsuya	AZX833	Licensed Representative	1, 4, 6
YASUOKA Satoshi	BPF167	Licensed Representative	4, 9
YEH Ming Ming	BGA349	Licensed Representative	1
YEUNG Ka Lee	ANA541	Licensed Representative	1
YIN Zhe	BPF136	Licensed Representative	1, 4
YIN Ziwei	BPG351	Licensed Representative	1, 4, 6
YIP Pui Yee	ASY648	Licensed Representative	1
YU Carrie Chiu Ying	BEE937	Licensed Representative	4, 9
YU Chenkai	BPF361	Licensed Representative	6
YU Jianlin	BPE333	Licensed Representative	1, 6
YU Pui Shan	AGD629	Licensed Representative	1
YU Xi	BLF174	Licensed Representative	1
YU Yaodong	BPD919	Licensed Representative	1, 4
YU Yiwen	BPF513	Licensed Representative	1, 2, 9
YUAN Weijia	BPD572	Licensed Representative	4
YUE Meimei	BPF023	Licensed Representative	4
YUNG Chun Fai, Jeffrey	ABH518	Licensed Representative	1, 4
ZAI Mer Ching	AAC078	Licensed Representative	1
ZENG Huanshi	BPE836	Licensed Representative	1
ZENG Qingpei	BPG558	Licensed Representative	1
ZENG Xiaofeng	BOW860	Licensed Representative	1, 4, 9

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CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHANG Hongting	BPF757	Licensed Representative	1, 6
ZHANG Lixin	BPD881	Licensed Representative	1, 2
ZHANG Xuekong	BPD573	Licensed Representative	1, 6
ZHANG Yilan	BEE664	Licensed Representative	9
ZHANG Zichen	BJB888	Licensed Representative	1, 4
ZHAO Jiaying	BPE723	Licensed Representative	6
ZHENG Jiayi	BPI021	Licensed Representative	1, 2
ZHOU Chen	BPD879	Licensed Representative	4, 9
ZHOU Han	BPE835	Licensed Representative	1
ZHOU Jiayun	BPF799	Licensed Representative	9
ZHOU Ting	BLY480	Licensed Representative	1
ZHU Xiaodan	BPE721	Licensed Representative	1, 4
ZHU Xuejing	BPD786	Licensed Representative	1, 9
BEDWICK Allan Leon David	AFK479	Licensed Representative - Responsible Officer	9
BOURNE Nicholas Dominic John	BOI202	Licensed Representative - Responsible Officer	4
BUCKLEY John Leo	BPF684	Licensed Representative - Responsible Officer	1, 2, 9
CAI Hua	BHZ819	Licensed Representative - Responsible Officer	4, 9
CHAK Hubert	AAW614	Licensed Representative - Responsible Officer	9
CHAN Chi Yung	APR959	Licensed Representative - Responsible Officer	4, 9
CHAN Hoi Yan	AFZ453	Licensed Representative - Responsible Officer	4, 9
CHEN Min	BNU146	Licensed Representative - Responsible Officer	4, 9
DONG Wei	BOT661	Licensed Representative - Responsible Officer	9
FENG Legeng	BNU991	Licensed Representative - Responsible Officer	4, 9
FU Weigang	BOU458	Licensed Representative - Responsible Officer	4, 9
GILLARD JR James Aloysius	BOO900	Licensed Representative - Responsible Officer	10
GRUFMAN Per Magnus	BOU389	Licensed Representative - Responsible Officer	1
HARVEY Neil Anthony Shaun	AUT093	Licensed Representative - Responsible Officer	9
HEMM Andrew Murray	BON525	Licensed Representative - Responsible Officer	10
HO Man Kit	BPE801	Licensed Representative - Responsible Officer	9
HSIAO Ching-Liang	BOX409	Licensed Representative - Responsible Officer	1, 2, 4, 5, 9
HUANG He	BOS012	Licensed Representative - Responsible Officer	2
KAN Sze Man	AGM664	Licensed Representative - Responsible Officer	9
KO Tze Ha, Salina	AAA435	Licensed Representative - Responsible Officer	1
LEE Hyun Woo	ANH636	Licensed Representative - Responsible Officer	1
LEE Lu Ping	BJT653	Licensed Representative - Responsible Officer	4, 9
LEE Wai Ki	BOI662	Licensed Representative - Responsible Officer	9
LI Chia Chen	BGG699	Licensed Representative - Responsible Officer	9
LIU Yujie	BNZ883	Licensed Representative - Responsible Officer	9
LO Albert Ka Lung	BON521	Licensed Representative - Responsible Officer	10
MA Chih-Hao	BJV179	Licensed Representative - Responsible Officer	4, 9
MESSINA Anthony	BPC752	Licensed Representative - Responsible Officer	9
NG Ean Kiam	AVS580	Licensed Representative - Responsible Officer	4, 9
PUN Kai Tai, Gary	ABY088	Licensed Representative - Responsible Officer	4, 9

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CE Name	CE No.	Licence Type	Types of Regulated Activity
QIAN Mingwei	ANZ962	Licensed Representative - Responsible Officer	1, 2
SANDERSON Joseph Alexander	BOS138	Licensed Representative - Responsible Officer	1, 2
SHEN Kang	BOP541	Licensed Representative - Responsible Officer	9
SHIN David Minchul	BOW985	Licensed Representative - Responsible Officer	9
SHIN Yoo Sik	BOC910	Licensed Representative - Responsible Officer	1, 4, 9
SONG Hong Fang	AEA167	Licensed Representative - Responsible Officer	9
SU Yi	BNT909	Licensed Representative - Responsible Officer	4, 9
WANG Weizhong	BOT573	Licensed Representative - Responsible Officer	9
WANG Will Way	BLH658	Licensed Representative - Responsible Officer	4
WEINSTEIN Steven Elliott	BOU687	Licensed Representative - Responsible Officer	4
WOODLEY Jeremy James Thomas	BGP583	Licensed Representative - Responsible Officer	1, 4, 9
WU Chun Wah	AHA661	Licensed Representative - Responsible Officer	4, 9
XU Fan	BOZ130	Licensed Representative - Responsible Officer	1, 2, 3
XUE Wanhua	BOR010	Licensed Representative - Responsible Officer	4, 9
YAM Tze Ping Ruby	ASY668	Licensed Representative - Responsible Officer	1
YANG Hui	BBE271	Licensed Representative - Responsible Officer	9
YUNG Tze Mei, Dorothy	AJN060	Licensed Representative - Responsible Officer	4, 9
ZENG Yuchao	BER240	Licensed Representative - Responsible Officer	1, 4, 9
ZHANG Xiaogang	ANC110	Licensed Representative - Responsible Officer	9
ZHAO Hai Hua	AYG618	Licensed Representative - Responsible Officer	1, 3
AGARWALLA Vikash	BPC434	Licensed Representative (Provisional Licence)	4
BARKER Stephen	AWZ978	Licensed Representative (Provisional Licence)	4
BHAMMER Vishal Mahendra Kumar	AHF765	Licensed Representative (Provisional Licence)	9
BI Wei	ATC372	Licensed Representative (Provisional Licence)	9
BIN MAHAT Muhammad Suffian	BPC656	Licensed Representative (Provisional Licence)	1, 4
BIN MOHAMAD Aiman	BPI615	Licensed Representative (Provisional Licence)	4
BUTT Ayad	BPJ384	Licensed Representative (Provisional Licence)	9
CAMPOS DIAZ Adrian Giancarlo	BHD137	Licensed Representative (Provisional Licence)	1, 2
CHAN Ngok Yan, Elton	AGA656	Licensed Representative (Provisional Licence)	1, 4
CHAN Tsui Wa	BGN070	Licensed Representative (Provisional Licence)	1, 4
CHANG Lawrence	BGA353	Licensed Representative (Provisional Licence)	1, 6
CHANG Nanwei	BPH509	Licensed Representative (Provisional Licence)	1
CHAO Ran Ryan	BPJ879	Licensed Representative (Provisional Licence)	1, 4
CHEN Roger K	BPG243	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Runmin	BPI887	Licensed Representative (Provisional Licence)	1, 4
CHEN Si	BPH849	Licensed Representative (Provisional Licence)	6
CHEN Xi	BPI131	Licensed Representative (Provisional Licence)	4, 9
CHEN Xin	BPH529	Licensed Representative (Provisional Licence)	1
CHEN Xinyi	BPI536	Licensed Representative (Provisional Licence)	2, 5
CHEN Yu	BLN446	Licensed Representative (Provisional Licence)	1
CHENG Chi Ho	BPI590	Licensed Representative (Provisional Licence)	4, 9
CHEUNG Ho Wan Chris	BNV412	Licensed Representative (Provisional Licence)	1, 2, 7
CHEUNG Hok Lun	BPH519	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHITTLEBOROUGH Harry Michael	BPI262	Licensed Representative (Provisional Licence)	9
CHIU Shih-Min	BHF087	Licensed Representative (Provisional Licence)	9
CHOW Tat Yan Richard	BPG150	Licensed Representative (Provisional Licence)	1, 4
CHU Chi Ching, Edward	ARQ769	Licensed Representative (Provisional Licence)	1, 4, 9
CHU Pui Yan	BPI540	Licensed Representative (Provisional Licence)	1, 2
CHWEI Mein Sze	AJK607	Licensed Representative (Provisional Licence)	4
CLAIRON Adrien Henri Arturo	BNG157	Licensed Representative (Provisional Licence)	1, 2
CROIX Nicholas Ryan	BPI561	Licensed Representative (Provisional Licence)	9
CUI Lin	BPI049	Licensed Representative (Provisional Licence)	1, 4
DELION Ludovic Jean-Louis Jean-rene J.	BOA766	Licensed Representative (Provisional Licence)	1, 4, 9
DENG Bin	BPC621	Licensed Representative (Provisional Licence)	1, 4, 9
DING Ning	BPJ449	Licensed Representative (Provisional Licence)	4, 9
DONG Yucheng	BPI206	Licensed Representative (Provisional Licence)	1
FAN Jia	BPG621	Licensed Representative (Provisional Licence)	9
FAN Wenchao	AUQ956	Licensed Representative (Provisional Licence)	1, 4
FLEMING Joseph James	BPF591	Licensed Representative (Provisional Licence)	9
FONG Long Lok, Elvis	BPI250	Licensed Representative (Provisional Licence)	1, 4, 9
FU Mei Ling	BPI833	Licensed Representative (Provisional Licence)	1, 4
FU Shiwei	BFS397	Licensed Representative (Provisional Licence)	4, 9
FUNG Kam Wing	AWU645	Licensed Representative (Provisional Licence)	9
GAO Luyan	BPG262	Licensed Representative (Provisional Licence)	1
GAO Yuan	BPH525	Licensed Representative (Provisional Licence)	1, 2
GONG Jiacheng	BPJ311	Licensed Representative (Provisional Licence)	1, 4, 9
GU Yinmin	BIW823	Licensed Representative (Provisional Licence)	9
HO Kai Hong	BPJ526	Licensed Representative (Provisional Licence)	1
HO Yuet Ming, Captv	AMV045	Licensed Representative (Provisional Licence)	1
HOPKINS Maxwell Ayers	BPJ853	Licensed Representative (Provisional Licence)	4
HUANG Chun Pang	BJL381	Licensed Representative (Provisional Licence)	1, 4
HUANG Mengqin	BPG455	Licensed Representative (Provisional Licence)	1, 2
HUANG Xiao	BPJ487	Licensed Representative (Provisional Licence)	1, 4, 6
HUANG Yan	BPI827	Licensed Representative (Provisional Licence)	4, 9
HUNG Chiu Loi	AUS181	Licensed Representative (Provisional Licence)	1, 2, 4
IP Shing Chung	BAN015	Licensed Representative (Provisional Licence)	1, 2, 9
JAIN Ashish	AQT168	Licensed Representative (Provisional Licence)	4
JIANG Fangshu	BPG559	Licensed Representative (Provisional Licence)	1, 2
JIANG Hai	BON452	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
JIANG Yanwen	BPI530	Licensed Representative (Provisional Licence)	1, 4, 6
JIN Daan	BPG329	Licensed Representative (Provisional Licence)	1
JIN Feng	BPJ751	Licensed Representative (Provisional Licence)	1, 4, 6
JU Ruihua	BPI570	Licensed Representative (Provisional Licence)	1, 4, 6
KARHANI Omar	BPI642	Licensed Representative (Provisional Licence)	1, 4, 9
KNIGHTLEY Sofia Nala	AUX434	Licensed Representative (Provisional Licence)	1, 4, 9
KONDO Katsuyoshi	BPF341	Licensed Representative (Provisional Licence)	1, 2, 4, 5

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
KONG Lingshu	BPJ277	Licensed Representative (Provisional Licence)	6
KONG Xiangxiang Andy	BPJ760	Licensed Representative (Provisional Licence)	1
KONG Zaohui	BPJ249	Licensed Representative (Provisional Licence)	1
KUO Mei Yin	BPG381	Licensed Representative (Provisional Licence)	1, 2
KWAN Chi Ching	BPJ378	Licensed Representative (Provisional Licence)	1, 4
KWOK Chi Ho	BBU152	Licensed Representative (Provisional Licence)	9
LAI Aaron Ting Chung	BPG392	Licensed Representative (Provisional Licence)	1, 4, 9
LAI WEINA	BPG758	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Jason Kai Shing	BPG744	Licensed Representative (Provisional Licence)	1, 6
LAM Khoa Nguyen	BOT129	Licensed Representative (Provisional Licence)	1, 2
LAM Mei Mei	BPG691	Licensed Representative (Provisional Licence)	1, 4
LAM Wan Tsiang	BPH402	Licensed Representative (Provisional Licence)	1, 6
LAM Wing Hang	BPI085	Licensed Representative (Provisional Licence)	1, 4, 9
LAU Sabrina Yin Kwan	BLN468	Licensed Representative (Provisional Licence)	1, 4, 9
LAW Ho Yan, Bianca	AGO374	Licensed Representative (Provisional Licence)	1, 4
LAW Yi Ying	BPH520	Licensed Representative (Provisional Licence)	1
LEE David Byung Kon	BGE374	Licensed Representative (Provisional Licence)	4, 9
LEE Ho Yong	BPI512	Licensed Representative (Provisional Licence)	1, 6
LEE Kwun Ting	BPJ763	Licensed Representative (Provisional Licence)	1, 4
LEE Yat Ming	ACR603	Licensed Representative (Provisional Licence)	1
LEUNG Kin Keung Leslie	BPI248	Licensed Representative (Provisional Licence)	1, 2
LEUNG Ling Ching	BMV151	Licensed Representative (Provisional Licence)	9
LI Chun Wing Marvin	BPI067	Licensed Representative (Provisional Licence)	1, 4
LI Danyu	BPJ263	Licensed Representative (Provisional Licence)	1, 4, 9
LI Huiying	BPI531	Licensed Representative (Provisional Licence)	1, 4, 9
LI Jiani	BPI137	Licensed Representative (Provisional Licence)	1
LI Jiaqi	BPI185	Licensed Representative (Provisional Licence)	1, 6
LI LIU Kevin	BPJ648	Licensed Representative (Provisional Licence)	6
LI Na	BIY841	Licensed Representative (Provisional Licence)	9
LI Xia	BPJ493	Licensed Representative (Provisional Licence)	4, 9
LI Yongjin	BPJ656	Licensed Representative (Provisional Licence)	2
LI Yunjun	BOB952	Licensed Representative (Provisional Licence)	1, 4, 9
LIM Ben Shane	BPH547	Licensed Representative (Provisional Licence)	4
LIN Fang	BPH564	Licensed Representative (Provisional Licence)	1, 4, 9
LIN I Ming	BHJ453	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Jiali	BPI586	Licensed Representative (Provisional Licence)	4
LIN Ko An	BOZ577	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Sijie	BPI420	Licensed Representative (Provisional Licence)	1, 4
LIN Ting-Hsuan	APG595	Licensed Representative (Provisional Licence)	1
LIN Yu Siang	BPC281	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Chao	BPJ488	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Heru	BPI235	Licensed Representative (Provisional Licence)	1, 4
LIU Junru	BPG648	Licensed Representative (Provisional Licence)	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Kaishun	BPJ391	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Ting Him	BPH475	Licensed Representative (Provisional Licence)	1
LIU Wenjie Edward	BPF916	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Yanping	BPJ467	Licensed Representative (Provisional Licence)	1, 6
LIU Yuqing	BOD559	Licensed Representative (Provisional Licence)	1, 4
LIU Zixiao	BPH864	Licensed Representative (Provisional Licence)	1, 2
LO Deni	BPG003	Licensed Representative (Provisional Licence)	4, 9
LO Samuel	BPJ031	Licensed Representative (Provisional Licence)	4
LOKE Weng Yew Kevin	BKT336	Licensed Representative (Provisional Licence)	1, 2
LOO Renee Oi Pui	BPJ459	Licensed Representative (Provisional Licence)	1, 2
LU Shezhi	BEW113	Licensed Representative (Provisional Licence)	4
LU Sicong	BPG267	Licensed Representative (Provisional Licence)	4
LU Yang	BPJ266	Licensed Representative (Provisional Licence)	1, 9
MA Liangliang	BNF140	Licensed Representative (Provisional Licence)	1, 9
MA Wenhui	BPI237	Licensed Representative (Provisional Licence)	1, 6
MAK HOU TING	BPI128	Licensed Representative (Provisional Licence)	1, 4
MAO Wei	ATD879	Licensed Representative (Provisional Licence)	1, 2
MATSUMOTO Iori	BPH425	Licensed Representative (Provisional Licence)	4, 5, 9
MCCORMICK-HOUSTON Hugh Sinclair	BPJ531	Licensed Representative (Provisional Licence)	1
MENG Yuyang	BPJ486	Licensed Representative (Provisional Licence)	6
MI Hanjie	BPH764	Licensed Representative (Provisional Licence)	4
MIGNARD Christophe Nicolas Philippe	BPI357	Licensed Representative (Provisional Licence)	1, 2, 4
MINERS - JONES Tristram David	BMN427	Licensed Representative (Provisional Licence)	1, 2, 4, 9
MIURA Naoya	BOF203	Licensed Representative (Provisional Licence)	4
MON Hiu Tung	BPG757	Licensed Representative (Provisional Licence)	1, 4, 9
MUNENAGA Masaki	BGG422	Licensed Representative (Provisional Licence)	9
NG Siu Fai	BPI991	Licensed Representative (Provisional Licence)	9
NG Zhi Wei Aaron	BPJ671	Licensed Representative (Provisional Licence)	9
OLLIVIER Alexandre Jean Stephane	BPI502	Licensed Representative (Provisional Licence)	1, 2
OONG Mei Fong	BCU767	Licensed Representative (Provisional Licence)	1
PAN Weixiong	BPI644	Licensed Representative (Provisional Licence)	1, 2
PAN Yi	BPG386	Licensed Representative (Provisional Licence)	1, 2
PEH Chek Leng	APB335	Licensed Representative (Provisional Licence)	9
PENG Cong	BPH844	Licensed Representative (Provisional Licence)	1, 4, 9
PENG Qing	BPI527	Licensed Representative (Provisional Licence)	1, 4, 6
QIU Guoquan	BPH565	Licensed Representative (Provisional Licence)	6
QU Jialin	BPJ011	Licensed Representative (Provisional Licence)	1, 2, 4
QU Xiaohui	BPI573	Licensed Representative (Provisional Licence)	1, 4, 6
RRAGAMI Edison	BPJ252	Licensed Representative (Provisional Licence)	1, 9
SCHUTTE Daniel Johannes	AHD687	Licensed Representative (Provisional Licence)	4
SCHWARTZ Elisabeth Maria	BPJ777	Licensed Representative (Provisional Licence)	1, 4
SENG Wen Hui Janelle	BPI324	Licensed Representative (Provisional Licence)	1
SHEN Zhiyuan	BPJ390	Licensed Representative (Provisional Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
SHIN Timothy	BPI995	Licensed Representative (Provisional Licence)	9
SHING Jasmine See-Lung	BPG102	Licensed Representative (Provisional Licence)	1, 4
SHU Chuying Kevin	BPD468	Licensed Representative (Provisional Licence)	1, 4, 9
SIM Ken Hiong Shawn	BPI323	Licensed Representative (Provisional Licence)	1, 4, 9
SIU Elodie Ying-ying	BPI505	Licensed Representative (Provisional Licence)	1
SONG Yinong	BPJ302	Licensed Representative (Provisional Licence)	4, 9
SOON Li Ting	BPH537	Licensed Representative (Provisional Licence)	4
SRIVASTAVA Chirag	BPJ417	Licensed Representative (Provisional Licence)	1
STANTON David Andrew	AWT701	Licensed Representative (Provisional Licence)	4
STUBBS Matthew Leslie	BIN588	Licensed Representative (Provisional Licence)	1
SUMMERFIELD David Angus	AEP603	Licensed Representative (Provisional Licence)	1
SUN Weijun	BPF607	Licensed Representative (Provisional Licence)	1
TANG Yang	BPJ003	Licensed Representative (Provisional Licence)	1, 2
TONG Chia Yin	BPJ750	Licensed Representative (Provisional Licence)	1, 4, 9
TOWNSEND Lucian	BPH561	Licensed Representative (Provisional Licence)	1, 4, 9
TSANG Ka Wai	BHC862	Licensed Representative (Provisional Licence)	1
TSANG Siu Hin, Gary	BJG568	Licensed Representative (Provisional Licence)	4
TSE Raymond	ABF543	Licensed Representative (Provisional Licence)	1, 4, 9
UEBERSCHAER Ulf	BPI932	Licensed Representative (Provisional Licence)	1, 4
VOON Ryan Yang Kai	BPI876	Licensed Representative (Provisional Licence)	1, 2
WANG Anqi	BPJ528	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Chengjie	BPI459	Licensed Representative (Provisional Licence)	9
WANG Chuzhao	BPI409	Licensed Representative (Provisional Licence)	1, 6
WANG Guanzhu	BPH472	Licensed Representative (Provisional Licence)	9
WANG Jie	BPI069	Licensed Representative (Provisional Licence)	1
WANG Shenglian	BPI572	Licensed Representative (Provisional Licence)	1, 4
WANG Shuai	BNB844	Licensed Representative (Provisional Licence)	4
WANG Zhaowen	BPJ850	Licensed Representative (Provisional Licence)	1, 6
WANG Ziming	BPJ797	Licensed Representative (Provisional Licence)	1, 2, 7
WEI Jackson Zhuo Cheng	BKL409	Licensed Representative (Provisional Licence)	1, 6
WEI Mingqiu	BPH534	Licensed Representative (Provisional Licence)	4, 5, 9
WEI Zhanyi	BPJ465	Licensed Representative (Provisional Licence)	1, 6
WEN Xin	BPH873	Licensed Representative (Provisional Licence)	4
WEN Yuxin	BPI575	Licensed Representative (Provisional Licence)	1, 6
WENG Yu	BPG626	Licensed Representative (Provisional Licence)	1
WONG Wai Chi	BMR056	Licensed Representative (Provisional Licence)	1, 4
WONG Yuen Ting	APH012	Licensed Representative (Provisional Licence)	1, 2
WOOD Graham Douglas	AKT391	Licensed Representative (Provisional Licence)	9
WU Charles	BPJ222	Licensed Representative (Provisional Licence)	1, 4, 6
WU Dingding	BPJ766	Licensed Representative (Provisional Licence)	1
WU Ping Hei Ronald	BPI141	Licensed Representative (Provisional Licence)	4
WU Xiangyu	BPJ349	Licensed Representative (Provisional Licence)	1, 4, 9
WU Yuhe	BPJ248	Licensed Representative (Provisional Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
XIAO Yuewei	BPJ152	Licensed Representative (Provisional Licence)	1, 4, 9
XIE Juanyi	BPI415	Licensed Representative (Provisional Licence)	1, 6
XU Juan	BPG087	Licensed Representative (Provisional Licence)	1, 4
XU Lu	BJC558	Licensed Representative (Provisional Licence)	1, 4, 6
XU Shi	BPH690	Licensed Representative (Provisional Licence)	4
XU Shiqi	BPG364	Licensed Representative (Provisional Licence)	1, 2
XU Weiwei	BPI310	Licensed Representative (Provisional Licence)	4
XUAN Hejun	BPJ921	Licensed Representative (Provisional Licence)	1, 6
XUE Jiani	BPE502	Licensed Representative (Provisional Licence)	6
YANG Hank H W	BPJ234	Licensed Representative (Provisional Licence)	1, 4
YANG LINSHU	BPG367	Licensed Representative (Provisional Licence)	6
YANG Pu	BPI403	Licensed Representative (Provisional Licence)	1, 6
YANG Shuchao	BPJ523	Licensed Representative (Provisional Licence)	4, 9
YANG Zhenyi	BPJ471	Licensed Representative (Provisional Licence)	4
YE Qianzhi	BPJ675	Licensed Representative (Provisional Licence)	1, 6
YEUNG Man Hon	BPI929	Licensed Representative (Provisional Licence)	1
YIP Johnny Ka Chun	AXB565	Licensed Representative (Provisional Licence)	1, 4
YOON Joon Yeong	BPG346	Licensed Representative (Provisional Licence)	10
YUAN Chi	ATL424	Licensed Representative (Provisional Licence)	1, 4, 9
ZENG Andy Hanyang	BPJ747	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Duo	BPJ118	Licensed Representative (Provisional Licence)	1, 2, 4
ZHANG Qinglin	BPI623	Licensed Representative (Provisional Licence)	1, 4
ZHANG Xiaofeng	BPJ638	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Yichi	BPI591	Licensed Representative (Provisional Licence)	4
ZHANG Zhiwei	AUW629	Licensed Representative (Provisional Licence)	9
ZHANG Zhiyun	BPH521	Licensed Representative (Provisional Licence)	1, 2
ZHAO Michael	BPI889	Licensed Representative (Provisional Licence)	1, 4
ZHAO Tian	ANR067	Licensed Representative (Provisional Licence)	1, 4
ZHAO Yujie	BPI672	Licensed Representative (Provisional Licence)	6
ZHENG Jiayi	BPI021	Licensed Representative (Provisional Licence)	1, 2
ZHOU Jian	BPI812	Licensed Representative (Provisional Licence)	1, 4
ZHOU Jiayun	BPF799	Licensed Representative (Provisional Licence)	9
ZHOU Yang	BPI188	Licensed Representative (Provisional Licence)	1, 6
AHMAD Tariq Ashfaq	BPF179	Licensed Representative (Temporary Licence)	1
ALFINITO Giulio	BOW626	Licensed Representative (Temporary Licence)	1
ANDERSON Kathleen Murray	BGZ262	Licensed Representative (Temporary Licence)	1
ANG Sok Han	BLF878	Licensed Representative (Temporary Licence)	1
BARREJA Hemant	BPG345	Licensed Representative (Temporary Licence)	10
BRENNAN-CHONG James Yuwen	BOG064	Licensed Representative (Temporary Licence)	4
BUFFLE Marc-Olivier	BKM679	Licensed Representative (Temporary Licence)	1
BUTTERWORTH Gregory John	ANJ643	Licensed Representative (Temporary Licence)	1
BYRNE Eric Charles Sprague	BLI787	Licensed Representative (Temporary Licence)	1, 4
CAO Haifeng	BFU746	Licensed Representative (Temporary Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
CAPANNA Derek Emmett	ARJ060	Licensed Representative (Temporary Licence)	1
CHADHA Dushyant	BIE137	Licensed Representative (Temporary Licence)	1
CHALOKEPUNRAT Sirichai	BGX415	Licensed Representative (Temporary Licence)	4
CHAN Simon Yuk Kwan	BCT212	Licensed Representative (Temporary Licence)	1, 4
CHEEVAVICHAWALKUL Amornrat	AOX756	Licensed Representative (Temporary Licence)	4
CHEONG Rebecca Ka Wing	BIQ011	Licensed Representative (Temporary Licence)	1
CHING Yi Edmund	AKU003	Licensed Representative (Temporary Licence)	4
CHO Jung Yong	BIG107	Licensed Representative (Temporary Licence)	1
CHOI Gowoon	BJY957	Licensed Representative (Temporary Licence)	4
CHONG Jack Chung-Taek	AUZ307	Licensed Representative (Temporary Licence)	1, 2
CHOPRA Raashi	BEE682	Licensed Representative (Temporary Licence)	4
CHUA Minguang Donald	BEF380	Licensed Representative (Temporary Licence)	4
CLUSE Reinhard Paul Johannes	AXK265	Licensed Representative (Temporary Licence)	4
CROSBY Jason Duane	BOF499	Licensed Representative (Temporary Licence)	1, 2
DOWD Daniel Vincent	BNZ296	Licensed Representative (Temporary Licence)	4
ECKHOFF Simon Paul	BOK556	Licensed Representative (Temporary Licence)	1, 2
ELLIOTT Geoffrey	BOU417	Licensed Representative (Temporary Licence)	4
FANG Chenjun	BJQ180	Licensed Representative (Temporary Licence)	4
FENG Kaiyun	BKO099	Licensed Representative (Temporary Licence)	1
FISHER III David Nichols	BOA024	Licensed Representative (Temporary Licence)	1, 4
FORSYTH Douglas Gorman	BAL992	Licensed Representative (Temporary Licence)	1
GARRE Venugopal	AML716	Licensed Representative (Temporary Licence)	4
GHIELEN Carolus Theodorus Rudolf	BPF932	Licensed Representative (Temporary Licence)	1
GONZALES Emeterio III David	AAI265	Licensed Representative (Temporary Licence)	4
GOODMAN III Robert Campe	BNO751	Licensed Representative (Temporary Licence)	1, 4
HAMOUDI Amar Ala	BPI307	Licensed Representative (Temporary Licence)	4
HARRISON Lyanne Marie Leung	BNZ874	Licensed Representative (Temporary Licence)	4
HONG Tianfeng	ANM666	Licensed Representative (Temporary Licence)	4
HSU Chen-Chih	BEE281	Licensed Representative (Temporary Licence)	4
HU Chang	BJR685	Licensed Representative (Temporary Licence)	4
HUANG Jinzheng Corwin	BJC167	Licensed Representative (Temporary Licence)	1, 4
HUDSON Sarah Henrietta Elizabeth	BIT630	Licensed Representative (Temporary Licence)	1, 4
HUTCHINS David Roger	BOL488	Licensed Representative (Temporary Licence)	4
IVASCYN Daniel John	AZS735	Licensed Representative (Temporary Licence)	1, 4
JOUNG Haneul	BPJ442	Licensed Representative (Temporary Licence)	4
KALB Victoria Stacey	AHT205	Licensed Representative (Temporary Licence)	4
KEDIA Sahil Sudhir	AZW848	Licensed Representative (Temporary Licence)	4
KIESEL Mark Richard	BFN437	Licensed Representative (Temporary Licence)	1, 4
KIM Jeyeoul	BPC706	Licensed Representative (Temporary Licence)	4
KINOSHITA Yoshiyuki	BBL047	Licensed Representative (Temporary Licence)	4
KOHLER Joop Henry	BPE234	Licensed Representative (Temporary Licence)	1
KOK Wai Fai	BJQ184	Licensed Representative (Temporary Licence)	4
KUMAR Ishank	BGP169	Licensed Representative (Temporary Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEAHY Timothy James	AWB788	Licensed Representative (Temporary Licence)	1
LIN Li-Chun	BIG303	Licensed Representative (Temporary Licence)	4
LIN Ming-De	BEC282	Licensed Representative (Temporary Licence)	4
LITTLE Joseph Edward George	BHR324	Licensed Representative (Temporary Licence)	1
LLOYD Richard James	BPJ100	Licensed Representative (Temporary Licence)	1, 4
MACDONALD Alistair John	AVW485	Licensed Representative (Temporary Licence)	4
MACKIRDY Andrew Stuart	BPF524	Licensed Representative (Temporary Licence)	1, 4
MANOONPOL Alex	BOS797	Licensed Representative (Temporary Licence)	4
MCDONALD Graeme Antony	ALA712	Licensed Representative (Temporary Licence)	4
MESOMERIS Spyros	BJP467	Licensed Representative (Temporary Licence)	1
MITCHELL Jason Steven	BNU302	Licensed Representative (Temporary Licence)	4
MITTERMAIER Markus Manfred Helmut	BLX368	Licensed Representative (Temporary Licence)	4
MONAPPA Aditya	BNH920	Licensed Representative (Temporary Licence)	4
MONAPPA Aditya	BNH920	Licensed Representative (Temporary Licence)	4
MOOKIM Sanjay Kumar	AUW976	Licensed Representative (Temporary Licence)	4
MUKHIJA Tanuj	BJA905	Licensed Representative (Temporary Licence)	4
NAIR Prashant Gopinathan	BEN187	Licensed Representative (Temporary Licence)	4
NEUMEYER Christopher Daniel	BPG752	Licensed Representative (Temporary Licence)	1, 4
PADHYE Pooja	BPH522	Licensed Representative (Temporary Licence)	1, 4
PARK Jinman	BPB576	Licensed Representative (Temporary Licence)	1
PATKER Sameer Sudhir	BMB521	Licensed Representative (Temporary Licence)	4
PEIGNEUX LOJO Guillermo	BER729	Licensed Representative (Temporary Licence)	4
PHRAWPHRAIKUL Charti	BGX420	Licensed Representative (Temporary Licence)	4
PHUA Boon Po	AYJ025	Licensed Representative (Temporary Licence)	2, 5
PINTO Elias Marc	BPH429	Licensed Representative (Temporary Licence)	1, 4
POOLE Gregory Hauston	BIW523	Licensed Representative (Temporary Licence)	1
PU Allen	BPH793	Licensed Representative (Temporary Licence)	1
RAAB Andrew T	BPH935	Licensed Representative (Temporary Licence)	1, 4
REDFERN William James	BGX417	Licensed Representative (Temporary Licence)	4
REDMAN Simon David	BLF884	Licensed Representative (Temporary Licence)	1, 4
REEMER Jeffrey Ross	BIR381	Licensed Representative (Temporary Licence)	1, 4
REXROAD Sherry L	BPH511	Licensed Representative (Temporary Licence)	1, 4
ROBINSON Geoffrey Robert Anthony	BHX552	Licensed Representative (Temporary Licence)	4
ROMERO DE DIEGO Heliodoro Pedro	BAX298	Licensed Representative (Temporary Licence)	1
RYAN Matthew Hugh-Alfred	BLW777	Licensed Representative (Temporary Licence)	4
SARMIENTO Jerry Jeremias	BPH533	Licensed Representative (Temporary Licence)	1
SATHAWORAWONG Pimolrat	BEM318	Licensed Representative (Temporary Licence)	4
SCIORTINO Joseph M	BPG673	Licensed Representative (Temporary Licence)	1, 4
SETH Neeraj	AYB919	Licensed Representative (Temporary Licence)	1, 4
SHAH Amish Chandrakant	AUW978	Licensed Representative (Temporary Licence)	4
SHEN Wei	BFM497	Licensed Representative (Temporary Licence)	4
SHROFF Abhijit	BOM516	Licensed Representative (Temporary Licence)	1
SHROFF Abhijit	BOM516	Licensed Representative (Temporary Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
SINGLA Anuj	BLW779	Licensed Representative (Temporary Licence)	4
SIRIPASSORN Kritapas	BDQ319	Licensed Representative (Temporary Licence)	4
SOON Yin Moi Desmond	ARH222	Licensed Representative (Temporary Licence)	1
SPARTALIS Sophie Despina	BIM602	Licensed Representative (Temporary Licence)	4
SPIELMAN Adam Justin	BFO115	Licensed Representative (Temporary Licence)	4
SWAMINATHAN Anand	AUW975	Licensed Representative (Temporary Licence)	4
TAKATA Reita	BPJ757	Licensed Representative (Temporary Licence)	1
TAN Yawen	BJP932	Licensed Representative (Temporary Licence)	4
TAPARIA Sourabh	BJQ979	Licensed Representative (Temporary Licence)	4
TAYAL Kunal	BBL045	Licensed Representative (Temporary Licence)	4
TAYAL Kunal	BBL045	Licensed Representative (Temporary Licence)	4
TEISERAN Laurensius	BPH548	Licensed Representative (Temporary Licence)	4
TRUELOVE Keith Alan	AWF626	Licensed Representative (Temporary Licence)	1
VAN TASSEL James Christopher	BPH644	Licensed Representative (Temporary Licence)	4
VISWANATHA IYER Rishi	BNC587	Licensed Representative (Temporary Licence)	1
WALSH Michael Gerald	BNY642	Licensed Representative (Temporary Licence)	1
WARD Steven Paul Frederick	BLN654	Licensed Representative (Temporary Licence)	1
WHITESTONE Daniel Anthony Robert	BMR066	Licensed Representative (Temporary Licence)	1, 4
YADAV Prashant	BKC039	Licensed Representative (Temporary Licence)	1
YAMAGUCHI Hidemaru	BGD668	Licensed Representative (Temporary Licence)	4
ZHANG Weixuan	BNT218	Licensed Representative (Temporary Licence)	4
ZHANG Xiaoning	BDI527	Licensed Representative (Temporary Licence)	4
ZHONG Hui	BIR274	Licensed Representative (Temporary Licence)	4
ZHU Yufei	BJQ977	Licensed Representative (Temporary Licence)	4