

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
AIM Capital Consortium Limited	BPK491	Licensed Corporation	4, 9
Atlas Capital Asset Management (HK) Limited	BPJ650	Licensed Corporation	4, 9
Avanti Partners Limited	BOW591	Licensed Corporation	4, 9
Barn Asset Management Limited	BOT183	Licensed Corporation	9
Beauty China Asset Management Limited	BPC443	Licensed Corporation	4, 9
Bellevue Equity Limited	BPK021	Licensed Corporation	4, 9
FutureX Fund Management (Hong Kong) Limited	BPG085	Licensed Corporation	4, 9
Greatwalle (International) Asset Management Co., Limited	BPG118	Licensed Corporation	4, 9
HK Sinoko Capital Limited	BND491	Licensed Corporation	1, 4, 9
Hong Kong and Shanghai Investment Corporation Limited	BHC471	Licensed Corporation	9
Keming Capital (HK) Limited	BPK381	Licensed Corporation	4, 9
Kingdom Corporate Finance Limited	BPF359	Licensed Corporation	6
MFEX Hong Kong Limited	BPE958	Licensed Corporation	1
Oriental Power Securities Investment Limited	BPC448	Licensed Corporation	1, 4
Xin Tian Dou Asset Management Ltd	BN4749	Licensed Corporation	1, 4, 9
AN Yifu	BPP249	Licensed Representative	4
AYMARD Anna Cecile Angeline	BNB414	Licensed Representative	1, 2, 4
BAI Ruofei	BPS228	Licensed Representative	4, 9
BERTRAM William Karel	BPQ207	Licensed Representative	1, 2, 7
BRACKENBURY Benjamin James Rhodes	BPR459	Licensed Representative	1
BRADER Lawrence Henry Burnell	AVI839	Licensed Representative	4, 9
BREL Maxime	BFZ187	Licensed Representative	1, 2
BRIGGS Christopher David Robarts	BPO717	Licensed Representative	1, 4, 9
CAI Quan	BNV036	Licensed Representative	9
CANN Richard David	BPP311	Licensed Representative	1
CAO Jun	APW805	Licensed Representative	1
CAO Rongji	BPQ858	Licensed Representative	1
CAO Yang	BHN783	Licensed Representative	9
CAPPELLINI Matteo	BPQ516	Licensed Representative	1
CHAN Derrick	BDE476	Licensed Representative	1, 4
CHAN Hwai Chey George	BPQ259	Licensed Representative	1, 4
CHAN Kai Yin	BAC398	Licensed Representative	1, 2, 4, 5
CHAN Keen Lok	AQB140	Licensed Representative	1, 4, 9
CHAN Kylie Muldoon	BIK511	Licensed Representative	9
CHAN Ping Hung	AQN261	Licensed Representative	1
CHAN Ryan	BPQ503	Licensed Representative	1, 2
CHAN Tsan Tuen	AUB811	Licensed Representative	1, 2
CHAN Wai Man	BPQ875	Licensed Representative	1
CHAN Wai Shing	BPR535	Licensed Representative	1
CHAN Yuen Ki, Winnie	AXC390	Licensed Representative	1
CHAR Yee Lam	BPQ421	Licensed Representative	1, 4
CHEN Ben	ANT254	Licensed Representative	1, 4
CHEN Chao	BPQ445	Licensed Representative	4, 5, 9
CHEN Haoyu	BPR536	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Jingjing	BPQ952	Licensed Representative	1, 6
CHEN Nan	ARG251	Licensed Representative	1, 4, 6
CHEN Xun	BPP313	Licensed Representative	1
CHEN Yaqian	BPR993	Licensed Representative	1, 2
CHEN Yiwon	BPQ338	Licensed Representative	1, 4
CHEN Zhenguang	BPP191	Licensed Representative	1, 4
CHEN Zhenhao	BPR665	Licensed Representative	1, 4
CHEN Zhihui	BPQ379	Licensed Representative	9
CHENG Sijing	BPQ740	Licensed Representative	1, 2
CHENG Yizhe	BPQ729	Licensed Representative	1, 2, 4
CHENG Zirui	BPR877	Licensed Representative	9
CHEUNG Shing Chun	BPT988	Licensed Representative	9
CHEUNG Sze Hon, Heath	AEI046	Licensed Representative	9
CHEUNG Yuen Pik	AFJ426	Licensed Representative	1
CHING Yee Man	BPQ483	Licensed Representative	1, 2, 4, 9
CHIU Man Yin Ruby	BJY772	Licensed Representative	9
CHIU Pei Tzu	BPO592	Licensed Representative	1, 4
CHOI Michael Chi Fung	BPQ859	Licensed Representative	1, 9
CHOU Shih-Chun	BPN868	Licensed Representative	9
CHOW William Kong Meng	BGM036	Licensed Representative	4
CHOY Yuk Wai Perry	BPT111	Licensed Representative	1
CHU Siu Sze Cecilia	BPP155	Licensed Representative	4, 9
CHU Yiu Keung	BHF646	Licensed Representative	1, 2, 4, 5, 9
CHUI Lai Man	BPM528	Licensed Representative	1
CLAIRET Simon Pierre Marie Eric	ARW232	Licensed Representative	1
COHEN Ian Alexander	AVB976	Licensed Representative	1
CONRAD Michael Bradford	BPL710	Licensed Representative	1
CUBBON Nicholas William	AYT705	Licensed Representative	9
DA Lu	BML988	Licensed Representative	1, 4
DAI Chuhan	BPL899	Licensed Representative	1, 6
DAI Wenwen	BPQ334	Licensed Representative	1, 2, 4
DANG Samantha	BPQ453	Licensed Representative	1, 2, 4, 9
DENG Ti Shun	AER867	Licensed Representative	1, 4, 9
DENG Yatian	BPR498	Licensed Representative	1, 6
DENG Yong	BPR803	Licensed Representative	4
DING Tianqi	BPS019	Licensed Representative	1, 4, 9
DONG Xiaohua	BPQ390	Licensed Representative	1, 6
DU Quan	BPL901	Licensed Representative	1, 6
FAN Yan	BPQ344	Licensed Representative	10
FANG Jiwen	BPP344	Licensed Representative	1
FAYARD Franck Nicolas Michel	BBP230	Licensed Representative	1, 9
FEI Yi	BPL249	Licensed Representative	1, 4
FENYVES Peter	BPN746	Licensed Representative	1, 4, 9
FUCHS Alexander	BPR489	Licensed Representative	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
FUNG Sheung Yin	BPR542	Licensed Representative	1, 2
GRIVEAU Alain Henri Jean-paul	BPR586	Licensed Representative	1, 2
GUAN Hongyu	BPR918	Licensed Representative	1, 6
GUO Miao Zhu	BND015	Licensed Representative	1, 2
HANDS Duncan Alexander	BPR486	Licensed Representative	1, 4, 9
HAU Jacquelynne	BLQ406	Licensed Representative	1, 2, 4
HE Xiyu	BDI120	Licensed Representative	1, 4
HO Huen Pui	BPQ372	Licensed Representative	1
HO Wing Leung	AKS665	Licensed Representative	1
HO Yu Yeung	BPQ489	Licensed Representative	1, 2
HOCK Wai Hou	AZH640	Licensed Representative	1, 4, 6
HONG Soo Min	BPQ864	Licensed Representative	1, 2
HOU Siqi	BPQ103	Licensed Representative	1, 4, 9
HSIAO Eugene Yow Seng	AOP006	Licensed Representative	4
HSU Shu-Hui	BMX706	Licensed Representative	1, 2
HU Jing	AZT560	Licensed Representative	1, 4, 9
HU Yi	BPQ102	Licensed Representative	1, 6
HUANG Sheng Chieh	BPM412	Licensed Representative	1, 4
HUANG Weiting	BPO615	Licensed Representative	1, 4, 9
HUANG Zeyan	BJN130	Licensed Representative	4, 9
HUNG Kok Fai	BPR595	Licensed Representative	9
HUYNH Spencer Simon	BPR490	Licensed Representative	1, 2
HWANG Min Wen	BHF276	Licensed Representative	1
JEONG Jintaek	BPO884	Licensed Representative	1, 6
JIA Yifei	BPM148	Licensed Representative	1, 6
JIANG Jun	BPM576	Licensed Representative	4
JIANG Ting	BPQ540	Licensed Representative	1, 4
JIN Xiaoxuan	BNC033	Licensed Representative	1, 6
KANG Junhui	BPR702	Licensed Representative	1, 4
KANO Shuhei	BPO626	Licensed Representative	9
KIM David Jaemin	AMC260	Licensed Representative	1
KO Tsz Biu	BBX348	Licensed Representative	4, 6
KONG HO Pui King, Stella	AAZ044	Licensed Representative	4, 9
KUMAR Navin	BPR526	Licensed Representative	1, 2
KUO Pei Yu	BPQ277	Licensed Representative	9
KWOK Hoi Ming	BPO908	Licensed Representative	1, 7
LAI Ho Ling	BPQ098	Licensed Representative	1
LAM Alexander	BPQ762	Licensed Representative	1, 4, 6
LAM Cheuk Yin, Raymond	BGL264	Licensed Representative	4
LAM Ho Martin	BMK414	Licensed Representative	4
LAM Po Shan	BPP021	Licensed Representative	3
LAM Pui Ming	BPR551	Licensed Representative	1
LAU Ching Yin	BPO905	Licensed Representative	1, 4
LAU Chun Shing	BPS202	Licensed Representative	10

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAU Kit Wan	AFJ610	Licensed Representative	1, 2
LAU Tat San	BPK639	Licensed Representative	4, 9
LAU Yee Leung	BPQ099	Licensed Representative	1
LEE Hong Nam	BHQ295	Licensed Representative	9
LEE Hyeongjun	BPU773	Licensed Representative	1, 9
LEE Jaewook	BPO620	Licensed Representative	1, 4, 9
LEE Ji Yan Christen	AVH059	Licensed Representative	1, 4, 9
LEE Sau Ming	BPN596	Licensed Representative	1
LEE Shih-Hsin	AIB828	Licensed Representative	1
LEI Nelson	BPQ275	Licensed Representative	4, 9
LEUNG C Jun Christopher	AOU632	Licensed Representative	1
LEUNG Hang Yuk	BPP099	Licensed Representative	1
LEUNG Ho Wing	BHR780	Licensed Representative	1, 4, 9
LEUNG Siu Kei	BKY636	Licensed Representative	6
LEUNG Wai Yee Edna	BPQ197	Licensed Representative	1, 4
LI Bowen	BPS171	Licensed Representative	1, 6
LI Chi Ngai	BBP668	Licensed Representative	9
LI Han	BPO810	Licensed Representative	1, 4, 7
LI Jingze	BPS493	Licensed Representative	1
LI Lin Fung, Linus	AOV147	Licensed Representative	1, 4, 6
LI Mingrong	BPR471	Licensed Representative	4, 9
LI Sau Chun	BPO666	Licensed Representative	1
LI Yao	BPQ459	Licensed Representative	1, 4, 6
LI Yidan	BDR383	Licensed Representative	9
LI Zheren	AWP314	Licensed Representative	9
LI Zhi	BPP314	Licensed Representative	4
LI Zhiwei	BPR911	Licensed Representative	10
LI Zipeng	BPT217	Licensed Representative	4, 9
LIANG Shiming	BAA904	Licensed Representative	4
LIANG Shiyi	BPQ797	Licensed Representative	1
LIANG Yi	BPT152	Licensed Representative	1, 4, 9
LIAO Chenxiang	BPR902	Licensed Representative	1, 2, 4
LIAO Wei	BPQ349	Licensed Representative	1, 4, 9
LIM Dao Xuan	BFY969	Licensed Representative	1
LIN Qi	BPO746	Licensed Representative	1, 2
LIN Tzu-Yuan	BPN861	Licensed Representative	9
LIN Xiaoxiang	BIY895	Licensed Representative	1
LIU Chia-Ping	BBH784	Licensed Representative	1
LIU Chong	BEB923	Licensed Representative	9
LIU Haoming	BPS081	Licensed Representative	9
LIU Hongcai	AVK619	Licensed Representative	2
LIU Shang	BPQ440	Licensed Representative	1
LIU Xueyang	BPL903	Licensed Representative	1, 6
LIU Yida	BHD554	Licensed Representative	6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Yiming	BOJ702	Licensed Representative	4
LO Chun Hei	BPP212	Licensed Representative	1, 4
LO Chun Tung	BPQ641	Licensed Representative	9
LO Wai Kin, Kent	AOA404	Licensed Representative	1, 4, 9
LO Wai Yin	ANQ264	Licensed Representative	1, 2
LONG Shishi	BPS035	Licensed Representative	9
LOW Wei-en	BPQ857	Licensed Representative	1
LU Jun	BPM400	Licensed Representative	1, 6
LU xinzheng	BPR644	Licensed Representative	1, 2, 4
LUO Jiaming	AXK970	Licensed Representative	4, 9
LUO Mi	BMQ330	Licensed Representative	4, 9
LUO Yi	BPQ501	Licensed Representative	1, 2, 7
MA Zheng	BPQ345	Licensed Representative	1, 4
MACFARLANE Gavin James	BPQ194	Licensed Representative	1, 4, 9
MAK Wai Yin	BPS339	Licensed Representative	4
MILES Michael Christopher	AZT872	Licensed Representative	9
MITKARI Mukul	AXL947	Licensed Representative	1, 6
MOK Chun Hung	BPQ831	Licensed Representative	6
MOK Ho Yan	BPF954	Licensed Representative	6
MOK Jeremy Chi Hin	BPQ322	Licensed Representative	4, 9
NG Hiu Tan, Jessica	BPO582	Licensed Representative	1
NG Hiu Ying	BPO499	Licensed Representative	4, 9
NG Ping	BPP218	Licensed Representative	1, 4
NG Teck Neng	API005	Licensed Representative	1, 4, 9
NG Wai Ching, Christine	AFU121	Licensed Representative	1
NG Yu Xuan	BPQ255	Licensed Representative	1, 2
NING Anning	BPQ320	Licensed Representative	1, 6
OH Seung Ha	BPQ321	Licensed Representative	1, 6
ONG Charlie Yveline Jeanne	BLG082	Licensed Representative	9
PARK Wanbae	BPQ862	Licensed Representative	2
PENG Yuhan	BLS882	Licensed Representative	1, 4, 9
QIANG Wei	BPM101	Licensed Representative	1, 6
QIAO Yi	BPQ849	Licensed Representative	1
QIN Ruicheng	BPS113	Licensed Representative	9
RAMANATHAN Rajeev	BPS178	Licensed Representative	9
ROHOF Jan Wilhelm	BPQ451	Licensed Representative	1, 4
SHEN Jiemei	BPO617	Licensed Representative	1, 4, 9
SHEN Leung	ALF003	Licensed Representative	1, 4, 9
SHI Jian	BOB756	Licensed Representative	1, 6
SHI Tuo	BPR541	Licensed Representative	4
SHI Xueying	BPQ178	Licensed Representative	4
SHU Weikang	BPR700	Licensed Representative	1, 4
SO Yiu Mo	BPO559	Licensed Representative	1
SONG Ruixi	BPO694	Licensed Representative	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
SRIVASTAVA Manish	ANE868	Licensed Representative	9
STAFF Neil David	BPK453	Licensed Representative	1, 2
SU Shixian	BPQ691	Licensed Representative	1, 2
SU Xiqiang	BDU719	Licensed Representative	4, 5
SUGITO Timur Tzu Lung	BCE461	Licensed Representative	9
SUN Tianhao	BPQ090	Licensed Representative	1, 2, 4
SUN Yu	BPQ765	Licensed Representative	6
SUNG Yin Wa	BIB362	Licensed Representative	1
SUZUKI (KORSUWAN) Ran (Roselyn)	BPS240	Licensed Representative	1, 7
SYAL Sumit	AXL025	Licensed Representative	1, 2, 4, 5
SZE Kin	BPN218	Licensed Representative	1, 4, 9
TAN Kean Ee	BJA918	Licensed Representative	4, 9
TAN Wei	BPQ633	Licensed Representative	1, 4, 6
TANEJA Karan	BPQ514	Licensed Representative	1
TANG Hoi Kan	BPK771	Licensed Representative	1, 2
TANG Karen	AFE983	Licensed Representative	4
TANG Wing Kit	BPS283	Licensed Representative	1
TAO Xiaotong	BPM585	Licensed Representative	4
TAYLOR Luke Richard	BPL111	Licensed Representative	9
TIAN Xiaosu	BIF037	Licensed Representative	9
TO Ka Yan	BPO750	Licensed Representative	1
TONG Jiangqiao	AKS644	Licensed Representative	4
TSANG Ho Ming Jonathan	BPN537	Licensed Representative	3
TSE Chi Ho	APB691	Licensed Representative	9
TSE Cho Yan	BPP167	Licensed Representative	1
TSE Chun Yuen, Andy	BPQ482	Licensed Representative	1, 4
TSE Ka Cheung	BMR368	Licensed Representative	1, 4
TSE Kwei Ying	APC906	Licensed Representative	1, 4
TSE Sai Tung Stones	AEP719	Licensed Representative	9
TSO Faye	BPN744	Licensed Representative	1, 2
TSOI Ching Ni	BPQ135	Licensed Representative	9
TSUI Lap Kay Ricky	BPN518	Licensed Representative	1
TSUI Ting Kwan Quentin	BHL217	Licensed Representative	1, 6
TUNG Kai Ah	BGH919	Licensed Representative	1, 4, 9
VAZQUEZ NG Chau Po Teresa	AMI195	Licensed Representative	4
WAN Jing	BPQ723	Licensed Representative	1, 2, 4
WANG Diwen	BPQ763	Licensed Representative	1, 6
WANG Feng	BPR815	Licensed Representative	9
WANG Hao	BPO904	Licensed Representative	1, 4
WANG Hui	AMQ357	Licensed Representative	4, 9
WANG Linjin	BPQ393	Licensed Representative	1, 4, 9
WANG Minchen	BPS537	Licensed Representative	1, 4, 6
WANG Ran	BPQ519	Licensed Representative	1, 2
WANG Shiyuan	BPR524	Licensed Representative	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Shuai	BPO910	Licensed Representative	1, 2
WANG Tang Cheung	APD707	Licensed Representative	4, 9
WANG Zichao	BNV387	Licensed Representative	1, 4, 9
WEI Chuyang	BGC776	Licensed Representative	1, 6
WEI Zhenbang	BPM582	Licensed Representative	1, 4, 6
WILSON Lachlan James	BPN893	Licensed Representative	1, 2
WONG Chi Hong, Hugo	BPQ332	Licensed Representative	1
WONG Ka Fai	BPO793	Licensed Representative	1, 4
WONG Ka Yi	BPQ346	Licensed Representative	1, 4
WONG Wai Pang	BGP175	Licensed Representative	1, 4
WONG Wai Yee	BPN621	Licensed Representative	1, 4
WONG Wing Sze	ANW429	Licensed Representative	1, 4, 9
WONG Wing Yan	BPS190	Licensed Representative	1, 4
WONG Yat Fung	BDP304	Licensed Representative	1, 4
WU Daiyu	BPR799	Licensed Representative	4
WU Kwun Tung	BPQ396	Licensed Representative	1
WU Lap Heung	AAC013	Licensed Representative	1, 2
WU Qian	BPQ766	Licensed Representative	4
WU Wei	BCB665	Licensed Representative	1, 4, 9
XIA Wei	BIX413	Licensed Representative	1, 4, 9
XIE Yangping	BPR616	Licensed Representative	4, 9
XIE Yunqi	BLY481	Licensed Representative	1, 4
XING Ziyan	BLY552	Licensed Representative	1, 4, 6
XU Fang	BPL704	Licensed Representative	1, 6
XU Han	BPS327	Licensed Representative	4
XU Mengmeng	BPQ333	Licensed Representative	1, 4, 6
XU Zhiyuan	BPO925	Licensed Representative	1
XU Zhuonan	BPR695	Licensed Representative	1, 4
XUE Mengchen	BPL902	Licensed Representative	1, 6
YAM Chung Wai	BIV276	Licensed Representative	1
YAN Ping	BPM581	Licensed Representative	1, 2
YANG Jingjing	BPO570	Licensed Representative	1, 4
YANG Shen	BPQ101	Licensed Representative	1, 4
YANG Xiaotian	BPR904	Licensed Representative	1, 4, 9
YANG Xiaoxiao	BPR483	Licensed Representative	1
YANG Yiwei	BPO889	Licensed Representative	1, 4
YANG Zichao	BPQ794	Licensed Representative	4
YAO Yang	BPO892	Licensed Representative	6
YAP Shaun Shern	AZK525	Licensed Representative	9
YEUNG Charles Pang Wing	APL687	Licensed Representative	1, 6
YEUNG Hau Yip	BDP513	Licensed Representative	1, 2, 9
YI Zhenyong	BPO662	Licensed Representative	4
YIN Yue	AXZ897	Licensed Representative	1, 4, 9
YIP ADRIAN TZE HANG	BPS815	Licensed Representative	6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
YIU Ting Fung	BPR701	Licensed Representative	1, 4
YIU Wan Shan	BPO562	Licensed Representative	1
YONG Dun He	BPS174	Licensed Representative	1, 4, 9
YU Hiu Chuen Jeffrey	BPR868	Licensed Representative	1
YU Quan	BPR500	Licensed Representative	4, 9
YU Shuyang	BPR903	Licensed Representative	1, 4, 6
YUAN Ren Jie	BDL789	Licensed Representative	10
YUE Chiu Fan Amy	BPS943	Licensed Representative	1
YUEN Yat Hay	BPP201	Licensed Representative	1, 4
YUN Tianqi	BPM321	Licensed Representative	4, 9
YUNG Wai Chung	BPQ625	Licensed Representative	1
ZHANG Jingyi	BPR656	Licensed Representative	4
ZHANG Ke	AZU818	Licensed Representative	1
ZHANG Rui	BED057	Licensed Representative	4, 9
ZHANG Ziyao	BPS102	Licensed Representative	1, 4, 9
ZHAO Jianan	BHT616	Licensed Representative	1, 6
ZHAO Lijian	BPR677	Licensed Representative	1, 4
ZHAO Ningda	BPP315	Licensed Representative	4
ZHOU Jing	BAA703	Licensed Representative	9
ZHOU Zhicheng	BNJ995	Licensed Representative	1, 4
ZHU Junliang	BEN145	Licensed Representative	9
ZHU Yanan	BEV970	Licensed Representative	4, 9
AHLERS Steffen	BPE977	Licensed Representative - Responsible Officer	1
AW Yong Sheng	BPE981	Licensed Representative - Responsible Officer	1
BERNSTEIN Steven A	AUQ299	Licensed Representative - Responsible Officer	9
CAI Junyi	BNR402	Licensed Representative - Responsible Officer	9
CAO Jiyun	AXN015	Licensed Representative - Responsible Officer	9
CHAN Yin Kei, Ronald	ACG470	Licensed Representative - Responsible Officer	9
CHEUNG Kin Cheung	BAE529	Licensed Representative - Responsible Officer	4, 9
CHEUNG Sze Hon, Heath	AEI046	Licensed Representative - Responsible Officer	1
CHOI Fan Wai	APY440	Licensed Representative - Responsible Officer	4, 9
CHOO Jin Hwee	BPN867	Licensed Representative - Responsible Officer	4, 9
HAN Jienan	BPA396	Licensed Representative - Responsible Officer	9
IP Hung	AGA252	Licensed Representative - Responsible Officer	1, 4, 9
KWOK Chun Kong	APQ661	Licensed Representative - Responsible Officer	1, 4, 9
KWONG Man Wai, Herman	AQS598	Licensed Representative - Responsible Officer	1, 4, 9
LAI Kwok Leung	AJE162	Licensed Representative - Responsible Officer	4, 9
LAU Cheuk Hang	AKV286	Licensed Representative - Responsible Officer	4, 9
LI Hoi Lin, Dinnie	AFL803	Licensed Representative - Responsible Officer	1, 4, 9
LI Hok Yin	AUN085	Licensed Representative - Responsible Officer	1, 4, 9
LI Yinsheng	BPO849	Licensed Representative - Responsible Officer	1, 4, 9
LIU Jianping	BNZ969	Licensed Representative - Responsible Officer	4, 9
LO Mei Kan	ARB097	Licensed Representative - Responsible Officer	1
MA Kwok Leung	AFX841	Licensed Representative - Responsible Officer	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
MARTIGNY Florian Armand Julien	AOB599	Licensed Representative - Responsible Officer	9
NI Chien-Yuan	ARW806	Licensed Representative - Responsible Officer	4, 9
OU Hui	BAM665	Licensed Representative - Responsible Officer	9
SCHULTZ Paul Thomas	BIC627	Licensed Representative - Responsible Officer	1, 4
SIN Wai Yee, Lilian	ALE708	Licensed Representative - Responsible Officer	4, 9
SU Xiqiang	BDU719	Licensed Representative - Responsible Officer	1, 2
TAN Jit Ming	BOZ939	Licensed Representative - Responsible Officer	4
TANG Wai Kee	ACG544	Licensed Representative - Responsible Officer	1, 4, 9
TSE Chi Ho	APB691	Licensed Representative - Responsible Officer	1, 4
WANG Jerry Gerui	AVH935	Licensed Representative - Responsible Officer	4, 9
WONG Kin Fai	AJA562	Licensed Representative - Responsible Officer	1, 4
WU Jian	BPG765	Licensed Representative - Responsible Officer	4
XUE Zhengye	BMF090	Licensed Representative - Responsible Officer	9
YU Ning	BPS347	Licensed Representative - Responsible Officer	4, 9
ZHOU Lyu	BJB337	Licensed Representative - Responsible Officer	9
ADOUM Nabil	BPS242	Licensed Representative (Provisional Licence)	1, 2
ALLEY Andrew Kenneth	BPP020	Licensed Representative (Provisional Licence)	1
AYMARD Anna Cecile Angeline	BNB414	Licensed Representative (Provisional Licence)	1, 2, 4
BAO Weiwei	AYS974	Licensed Representative (Provisional Licence)	4, 9
BEIDZINA Tatsiana	BMX878	Licensed Representative (Provisional Licence)	4, 9
BI Ran	BPS488	Licensed Representative (Provisional Licence)	6
BIRD Nicholas James	AXT520	Licensed Representative (Provisional Licence)	9
BOUDAUD Lucas Jean Berenger	BPR559	Licensed Representative (Provisional Licence)	1, 2, 7
BRACKENBURY Benjamin James Rhodes	BPR459	Licensed Representative (Provisional Licence)	1
BURR Alan John Phillip	AJO422	Licensed Representative (Provisional Licence)	2
CHAN Kam Fung Angus	BPU758	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Kylie Muldoon	BIK511	Licensed Representative (Provisional Licence)	9
CHAN Ping Ling	AIJ333	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Shing Ho Howard	BPT284	Licensed Representative (Provisional Licence)	1
CHAN Wai Man	BPQ875	Licensed Representative (Provisional Licence)	1
CHAN Zhen Wei	BGH259	Licensed Representative (Provisional Licence)	1, 2
CHANG Chi-Hao	ARG563	Licensed Representative (Provisional Licence)	10
CHANG Geng Wei	BPT080	Licensed Representative (Provisional Licence)	1, 2, 9
CHANG George Tsung-Chi	AMR404	Licensed Representative (Provisional Licence)	4
CHEN Wei Jie	BPS419	Licensed Representative (Provisional Licence)	4, 9
CHEN Yi Chin	ATQ774	Licensed Representative (Provisional Licence)	1, 4, 9
CHENG Chen	BPT388	Licensed Representative (Provisional Licence)	4
CHENG Ching Wan	BBW618	Licensed Representative (Provisional Licence)	9
CHENG Zirui	BPR877	Licensed Representative (Provisional Licence)	9
CHEUNG Shing Chun	BPT988	Licensed Representative (Provisional Licence)	9
CHI Fengting	BPT471	Licensed Representative (Provisional Licence)	1
CHOI Michael Chi Fung	BPQ859	Licensed Representative (Provisional Licence)	1, 9
CHOU Shih-Chun	BPN868	Licensed Representative (Provisional Licence)	9
CHOW William Kong Meng	BGM036	Licensed Representative (Provisional Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
Christine	BPT055	Licensed Representative (Provisional Licence)	1, 2
CHU Yiu Keung	BHF646	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
CHUNG Chau Kam	BPS236	Licensed Representative (Provisional Licence)	1
CHUNG Jia Hsung	BLJ657	Licensed Representative (Provisional Licence)	1, 4, 9
CHUNG Siu Pang	AQE690	Licensed Representative (Provisional Licence)	1, 2
CUBBON Nicholas William	AYT705	Licensed Representative (Provisional Licence)	9
DANG Yuanchu	BPU138	Licensed Representative (Provisional Licence)	1, 2
DONG Wei	BMR596	Licensed Representative (Provisional Licence)	4, 9
DU Yiping	BOA881	Licensed Representative (Provisional Licence)	4, 9
FAN Yan	BPQ344	Licensed Representative (Provisional Licence)	10
FEI Yi	BPL249	Licensed Representative (Provisional Licence)	1, 4
FUCHS Alexander	BPR489	Licensed Representative (Provisional Licence)	1, 2
GONG Junjie	BPO658	Licensed Representative (Provisional Licence)	1, 6
GONG Zhen	BPU083	Licensed Representative (Provisional Licence)	1, 2, 4
GOURLUCK Lutece Emily Elizabeth	BPS875	Licensed Representative (Provisional Licence)	9
GU Huanhao	BNR192	Licensed Representative (Provisional Licence)	1
GU Tianxing	BFK957	Licensed Representative (Provisional Licence)	6
GU Ying	BPM525	Licensed Representative (Provisional Licence)	10
HAMOUR Ben Chohra	BPT053	Licensed Representative (Provisional Licence)	1, 2
HAN Dongxue	BPU182	Licensed Representative (Provisional Licence)	4
HANDS Duncan Alexander	BPR486	Licensed Representative (Provisional Licence)	1, 4, 9
HIRANO Naomi	BPO696	Licensed Representative (Provisional Licence)	1, 2, 9
HO Wai Ling	BNP701	Licensed Representative (Provisional Licence)	9
HOCK Wai Hou	AZH640	Licensed Representative (Provisional Licence)	1, 4, 6
HONG Wei Gang	BPU979	Licensed Representative (Provisional Licence)	1, 4
HUA Zheng	BPT200	Licensed Representative (Provisional Licence)	1, 4
HUANG He	BPU023	Licensed Representative (Provisional Licence)	1, 6
HUANG Weiting	BPO615	Licensed Representative (Provisional Licence)	1, 4, 9
HUNG Hsi-Ming	ATO440	Licensed Representative (Provisional Licence)	1, 9
JEONG Jin Woong	BPM147	Licensed Representative (Provisional Licence)	1, 6
JIAO Yiwei	BPP242	Licensed Representative (Provisional Licence)	1, 4, 6
KA Yue Shun, Renata Frances	AHA921	Licensed Representative (Provisional Licence)	1
KASHIMPURIA Nikhilkumar Subhash	BPS820	Licensed Representative (Provisional Licence)	1, 4
KASTENHOLZ Mika Arnold	BPU387	Licensed Representative (Provisional Licence)	1, 2
KIKUCHI Kentaro	BPU390	Licensed Representative (Provisional Licence)	1, 4, 6
KIM Ki Soo	AFJ899	Licensed Representative (Provisional Licence)	1, 4
KIM Minji	BPU734	Licensed Representative (Provisional Licence)	1, 4, 9
KO Ling Siu	AQW629	Licensed Representative (Provisional Licence)	1, 2
KONG Dejin	BPV008	Licensed Representative (Provisional Licence)	1, 6
KONG Matthew F	BPU196	Licensed Representative (Provisional Licence)	9
LAI Andrew Kwan Chuen	BCF391	Licensed Representative (Provisional Licence)	4, 9
LAI Koon Ho	ALB158	Licensed Representative (Provisional Licence)	4, 9
LAM Galand Marco	BPU772	Licensed Representative (Provisional Licence)	4
LAM Ka Chun	BPS090	Licensed Representative (Provisional Licence)	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAM Wai Ying	BPS645	Licensed Representative (Provisional Licence)	6
LAU Chak Wong	AXY507	Licensed Representative (Provisional Licence)	1, 4
LAU Chung Yan, Joanne	BPT331	Licensed Representative (Provisional Licence)	4
LAU Hin Man	BPT108	Licensed Representative (Provisional Licence)	1
LAU Kit Wan	AFJ610	Licensed Representative (Provisional Licence)	1, 2
LAU Patrick Park To	AFU392	Licensed Representative (Provisional Licence)	1
LAU Yik Sun David	BPO893	Licensed Representative (Provisional Licence)	9
LEE Min Sung	BPT366	Licensed Representative (Provisional Licence)	10
LEE Wai Yin	AYW252	Licensed Representative (Provisional Licence)	1, 4
LEE Yat Hin	BPR454	Licensed Representative (Provisional Licence)	10
LEUNG Howard	BPT961	Licensed Representative (Provisional Licence)	1
LEUNG Hung Kin	BFZ496	Licensed Representative (Provisional Licence)	1, 4
LEUNG Ka Wai Jan	BPT839	Licensed Representative (Provisional Licence)	1, 4
LEUNG Tye, Jason	AOB897	Licensed Representative (Provisional Licence)	1
LI Chui In	BPT479	Licensed Representative (Provisional Licence)	4
LI Jiajun	BPS587	Licensed Representative (Provisional Licence)	4, 9
LI Jingze	BPS493	Licensed Representative (Provisional Licence)	1
LI Junjie	BFD822	Licensed Representative (Provisional Licence)	1, 6
LI Li	BEI715	Licensed Representative (Provisional Licence)	1, 4
LI Miao	BPU661	Licensed Representative (Provisional Licence)	4
LI Sicong	BPT150	Licensed Representative (Provisional Licence)	1, 4
LI Tsz Hin	BPT037	Licensed Representative (Provisional Licence)	1, 4
LI Wing Yee	BPU136	Licensed Representative (Provisional Licence)	1, 2
LI Xiaojing	BPU978	Licensed Representative (Provisional Licence)	1, 4, 6
LI Yani	BPQ889	Licensed Representative (Provisional Licence)	9
LI Zihao	BPS748	Licensed Representative (Provisional Licence)	1, 4
LIAN Lian	ATI911	Licensed Representative (Provisional Licence)	1, 4, 9
LIANG Xi	BPS691	Licensed Representative (Provisional Licence)	4
LIEN Cheng-Yang	BPT214	Licensed Representative (Provisional Licence)	1
LIM Colin	BPU833	Licensed Representative (Provisional Licence)	1, 4
LIM James Tai Keun	ANY115	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Tzu-Yuan	BPN861	Licensed Representative (Provisional Licence)	9
LIU Haoming	BPS081	Licensed Representative (Provisional Licence)	9
LLOYD Matthew Peter	BPS141	Licensed Representative (Provisional Licence)	1, 4, 9
LONG Ting	BPT102	Licensed Representative (Provisional Licence)	1, 4, 6
LUI Ka Kee	BPS602	Licensed Representative (Provisional Licence)	1
MA Hei Tung Fiona	BPT650	Licensed Representative (Provisional Licence)	1, 4, 9
MA Sai Yan, Patrick	BPN766	Licensed Representative (Provisional Licence)	1, 4, 5, 9
MAK Hiu Ying	BPU519	Licensed Representative (Provisional Licence)	4, 9
MAK Ka Chun	BPS633	Licensed Representative (Provisional Licence)	1
MAK Wai Yin	BPS339	Licensed Representative (Provisional Licence)	4
MARESCHAL DE CHARENTENAY Ghislain, Baptiste, Marie	AXW260	Licensed Representative (Provisional Licence)	1, 4
MATSUSHITA Akifumi	BPT817	Licensed Representative (Provisional Licence)	1, 4
MOK Pui Man	ANF295	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
NGAN Dickson	BPU676	Licensed Representative (Provisional Licence)	1, 2
OUYANG Shirui	BIN775	Licensed Representative (Provisional Licence)	4
PAN Lixia	BPS883	Licensed Representative (Provisional Licence)	1, 6
PENG Bo	BPU240	Licensed Representative (Provisional Licence)	1, 4
PING Zhiyuan	BPS471	Licensed Representative (Provisional Licence)	6
PO Ho Sang	BPS594	Licensed Representative (Provisional Licence)	6
POULIOT Nicolas	AJO505	Licensed Representative (Provisional Licence)	6
QI Yao	BPU043	Licensed Representative (Provisional Licence)	1, 6
QIANG Wei	BPM101	Licensed Representative (Provisional Licence)	1, 6
QIU Yong	BPQ798	Licensed Representative (Provisional Licence)	1, 4, 6
RAMANATHAN Rajeev	BPS178	Licensed Representative (Provisional Licence)	9
RANJANA	BGQ893	Licensed Representative (Provisional Licence)	1
SHAH Vishal Deepak	BPS872	Licensed Representative (Provisional Licence)	1, 2, 7
SHAO Stephen Tian-cheng	BPS474	Licensed Representative (Provisional Licence)	6
SHI Haoyu	BPT278	Licensed Representative (Provisional Licence)	1, 2, 9
SHI Weijie	BPU162	Licensed Representative (Provisional Licence)	1, 6
SHU Junzhe	BPT296	Licensed Representative (Provisional Licence)	1, 6
SIN Wing Sze Raincy	BPU767	Licensed Representative (Provisional Licence)	1, 4
SIT Ka Ming	BPU724	Licensed Representative (Provisional Licence)	9
SIT Wai	BPK676	Licensed Representative (Provisional Licence)	9
SO Pui Cui	BPU325	Licensed Representative (Provisional Licence)	4, 9
SOHN Ji Woo	BPM446	Licensed Representative (Provisional Licence)	1, 6
SONG Zhihao	BPS481	Licensed Representative (Provisional Licence)	6
SU Jiayuan	BJR397	Licensed Representative (Provisional Licence)	1, 6
SUN Hao	ANP669	Licensed Representative (Provisional Licence)	1, 4
TAN Tim Hoi, Dennis	AIR786	Licensed Representative (Provisional Licence)	1, 2, 4
TANG Siu Kei	AXF535	Licensed Representative (Provisional Licence)	1, 2
TANG Tsz Chun	BPU889	Licensed Representative (Provisional Licence)	1, 6
TANG Wai Yeen Gwyneth	BPS377	Licensed Representative (Provisional Licence)	1
TAO Jingxian	BPT271	Licensed Representative (Provisional Licence)	1, 4
TIWARI Sudhir Kumar	BPU393	Licensed Representative (Provisional Licence)	1, 7
TONG Jiangqiao	AKS644	Licensed Representative (Provisional Licence)	4
TRINH Suehanh	AVF632	Licensed Representative (Provisional Licence)	4
TSANG Man Shun Marshall	BPR952	Licensed Representative (Provisional Licence)	1, 4
TSE Ka Man, Carmen	BBA612	Licensed Representative (Provisional Licence)	1, 4
TSE Sai Tung Stones	AEP719	Licensed Representative (Provisional Licence)	9
TUNG Kai Ah	BGH919	Licensed Representative (Provisional Licence)	1, 4, 9
VAZQUEZ NG Chau Po Teresa	AMI195	Licensed Representative (Provisional Licence)	4
VISWANATHAN Anup	BPS349	Licensed Representative (Provisional Licence)	1, 2, 4
WANG Chaolue	BPM763	Licensed Representative (Provisional Licence)	1, 6
WANG Chuan	BPT611	Licensed Representative (Provisional Licence)	1
WANG Jifei	BPN465	Licensed Representative (Provisional Licence)	6
WANG Jinyue	BPT336	Licensed Representative (Provisional Licence)	1, 2, 4
WANG Pang, Paul	BAC071	Licensed Representative (Provisional Licence)	4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Shihui	BIG680	Licensed Representative (Provisional Licence)	6
WANG Yixin	BPR543	Licensed Representative (Provisional Licence)	1, 4
WILLS Darren Edward	BLH178	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Aileen	BPU742	Licensed Representative (Provisional Licence)	1, 4
WONG Hon Tin	BLB504	Licensed Representative (Provisional Licence)	1, 2
WONG Jenni	BPT151	Licensed Representative (Provisional Licence)	9
WONG Kin Fung	BIB895	Licensed Representative (Provisional Licence)	1, 6
WONG Shu Yui, Ivana	BGK507	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Shun Ho	BPU126	Licensed Representative (Provisional Licence)	1
WONG Sze Ho	BMW970	Licensed Representative (Provisional Licence)	1
WONG Wing Man	AVX175	Licensed Representative (Provisional Licence)	1, 2
WU Haorui	BPS173	Licensed Representative (Provisional Licence)	4, 9
WU Wei	BCB665	Licensed Representative (Provisional Licence)	1, 4, 9
WU Wei	BPS730	Licensed Representative (Provisional Licence)	6
WU Yangbin	BID880	Licensed Representative (Provisional Licence)	1
WU Ziwei	BPU172	Licensed Representative (Provisional Licence)	1, 6
XIAO Yi	BPS047	Licensed Representative (Provisional Licence)	4
XIE Yunqi	BLY481	Licensed Representative (Provisional Licence)	1, 4
XU Jiayi	BPU659	Licensed Representative (Provisional Licence)	4
XU Leon Cai Yang	BPT885	Licensed Representative (Provisional Licence)	1, 2
XU Yongbin	BDD523	Licensed Representative (Provisional Licence)	4, 9
YAN Tianyi	BPT623	Licensed Representative (Provisional Licence)	4
YANG Fan	BPS696	Licensed Representative (Provisional Licence)	4
YANG Lin	BPT315	Licensed Representative (Provisional Licence)	4
YANG Ning	BNC279	Licensed Representative (Provisional Licence)	9
YANG Zichao	BPQ794	Licensed Representative (Provisional Licence)	4
YAO Yang	BPO892	Licensed Representative (Provisional Licence)	6
YE Guangji	BPS627	Licensed Representative (Provisional Licence)	4, 9
YEUNG Ho Hung	BPT126	Licensed Representative (Provisional Licence)	1
YIM Tang Yiu	BPU832	Licensed Representative (Provisional Licence)	1, 2
YIM Wan Hei Vince	BPU165	Licensed Representative (Provisional Licence)	1
YIN Yue	AXZ897	Licensed Representative (Provisional Licence)	1, 4, 9
YIP ADRIAN TZE HANG	BPS815	Licensed Representative (Provisional Licence)	6
YU Botao	BPT391	Licensed Representative (Provisional Licence)	1, 4
YU Kwong Kin	BPT853	Licensed Representative (Provisional Licence)	1, 2
YU Ta-Wei	BPS592	Licensed Representative (Provisional Licence)	1, 4
YU Tong	BPL437	Licensed Representative (Provisional Licence)	9
YUAN Ren Jie	BDL789	Licensed Representative (Provisional Licence)	10
YUE Chiu Fan Amy	BPS943	Licensed Representative (Provisional Licence)	1
ZHANG Jiameng	BPT376	Licensed Representative (Provisional Licence)	1
ZHANG Rui	BED057	Licensed Representative (Provisional Licence)	4, 9
ZHANG Shaozhi	BPS634	Licensed Representative (Provisional Licence)	1, 4
ZHANG Shuowen	BPL705	Licensed Representative (Provisional Licence)	1, 4
ZHANG Xiaowen	BPS936	Licensed Representative (Provisional Licence)	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHANG Zhengqing	BPS708	Licensed Representative (Provisional Licence)	4
ZHENG Binbin	BPU517	Licensed Representative (Provisional Licence)	4
ZHENG Meimei	BEG152	Licensed Representative (Provisional Licence)	1, 4
ZHOU Han	BNZ885	Licensed Representative (Provisional Licence)	1
ZHOU Huilin	BPT318	Licensed Representative (Provisional Licence)	4
ZHOU Robert Yau	BPK832	Licensed Representative (Provisional Licence)	1, 2
ZHU Chun	BPU236	Licensed Representative (Provisional Licence)	9
ZHU Jiang	AXF039	Licensed Representative (Provisional Licence)	1
ZHU JING	BPU491	Licensed Representative (Provisional Licence)	4
ZHU Kai	BOE523	Licensed Representative (Provisional Licence)	4, 9
ZHU Qianqi	BPT481	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Yunhan	BPU697	Licensed Representative (Provisional Licence)	1, 4
CHAMBERS Andrew James	BPT495	Licensed Representative (Temporary Licence)	1
FITZGERALD Daniel Edmund	BPT493	Licensed Representative (Temporary Licence)	1
HENG Pui Yi Antonia	BPQ295	Licensed Representative (Temporary Licence)	1, 6
MA Victor Yanqi	BPR485	Licensed Representative (Temporary Licence)	1, 2, 4, 5
SHERIDAN Matthew S	BOB459	Licensed Representative (Temporary Licence)	4