


REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
Boardroom Share Registrars (HK) Limited	BHX828	Licensed Corporation	1
Daishin Securities Asia Limited	ARQ107	Licensed Corporation	1, 4
Henderson Global Investors (Hong Kong) Limited	AGN381	Licensed Corporation	1, 4
TRG Management Hong Kong Limited	APY754	Licensed Corporation	9
ADAMS David John Stuart	AZL710	Licensed Representative	4, 5, 9
ALFAY Elia	BIG072	Licensed Representative	1, 2
AO Hoi Wang	ADS058	Licensed Representative	1
AO Man	ANT251	Licensed Representative	1, 4
BAO Xuming	AOB304	Licensed Representative	9
BENSAID Meryem	BJJ510	Licensed Representative	1, 4
BESKIN Claire Victoria	BHY091	Licensed Representative	1, 2
CAO Lingjie	BDW234	Licensed Representative	1, 2
CAUCHY-DUBOIS Kevin Paul Emile	BMH473	Licensed Representative	9
CHADA Rohin	AUW125	Licensed Representative	9
CHAI Pui Pui, Pamela	ADT113	Licensed Representative	1, 4, 9
CHAN Cheung Wu	AOY507	Licensed Representative	1
CHAN Janice Wing Yee	APV159	Licensed Representative	1, 4, 6
CHAN Pui Yee, Christine	AFV641	Licensed Representative	1
CHAN Pui Ying	APV404	Licensed Representative	1, 2
CHAN Yik Tat	BHK126	Licensed Representative	1
CHANG Cheng Yao	BEN865	Licensed Representative	1, 2, 3
CHARNCHANAVIVAT Narumol	AXI082	Licensed Representative	4
CHAU Chun Kit	BER940	Licensed Representative	1
CHAU Ka Wing, Winnie	BEJ467	Licensed Representative	1, 2
CHAU Nam Sai, Michelle	ARG413	Licensed Representative	1
CHEANG Kuai Pang	AAE274	Licensed Representative	1
CHEN Chun To	ATC538	Licensed Representative	1, 2
CHEN Emily Aimei	BKH333	Licensed Representative	1, 2
CHEN Hsin Yi	AQX105	Licensed Representative	1, 4, 9
CHEN Li	ANY454	Licensed Representative	4
CHEN Sijia	BGL658	Licensed Representative	1, 6
CHEN Xi	BJR057	Licensed Representative	1, 6
CHENG Haiwen	BIN077	Licensed Representative	1, 4
CHENG Ho Lam	BIC181	Licensed Representative	4, 9
CHENG Kwan Lun	AJD750	Licensed Representative	1, 9
CHENG Kwok Wai	AUX349	Licensed Representative	1, 2
CHENG Man Shun, Mansion	ACO978	Licensed Representative	4, 9
CHENG Wing	AKJ064	Licensed Representative	1
CHEUNG Man Fung	AVT442	Licensed Representative	1
CHEUNG Mei Ting	BJJ003	Licensed Representative	4, 9
CHEUNG Suet Ping	ABY019	Licensed Representative	1, 4, 9
CHEUNG Suet Yuk	ANR055	Licensed Representative	1
CHEUNG Tsz Mei, Flora	BHH840	Licensed Representative	1, 6
CHEUNG Wai Ting	BMA520	Licensed Representative	1
CHEUNG Wai Yi	BCV713	Licensed Representative	1, 4
CHEUNG Yat Ning	BCB862	Licensed Representative	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEUNG Yiu Lun	AGC910	Licensed Representative	1
CHEW Adeline Min Min	BFC857	Licensed Representative	1, 6
CHIM Sai Pang	BEM408	Licensed Representative	1
CHING Christopher Shao-chi	AXR102	Licensed Representative	1, 4
CHIU Sai Kit	BKZ194	Licensed Representative	1, 2
CHIU Yuen	AVI626	Licensed Representative	1, 6
CHOI Kai Hang	AWP243	Licensed Representative	1, 2
CHOI Wai Lum, Stephanie	AZC433	Licensed Representative	1
CHONG Yu Cheong	BKW511	Licensed Representative	1, 2
CHOW Cindy	AZJ884	Licensed Representative	1, 4, 6
CHOY Wai Kin	AOY201	Licensed Representative	1, 2
CHU King Kau	AAA663	Licensed Representative	1, 4
CHU Siu Wah	ABR725	Licensed Representative	4
CHUN Man Wa	ARR127	Licensed Representative	3
CHUNG In Young	BFT047	Licensed Representative	1, 4
CHUNG Man Chung	BAI953	Licensed Representative	1
CHUNG Siu Chuen	AAB191	Licensed Representative	1
CROSS Matthew Charles	AXY324	Licensed Representative	9
CUI Landan	BGN396	Licensed Representative	9
DA SILVA Julia Vivien	BHC493	Licensed Representative	1
DE MELLO Rajeev Donald	ATI148	Licensed Representative	1
DENG Xue	BJV008	Licensed Representative	4
DENG Yuqun	BGG959	Licensed Representative	1, 6
DONALD Matthew Henry	ACQ856	Licensed Representative	1, 2, 7
ENG Tik San	ADH644	Licensed Representative	1
FAN Tsun Wai	BJM251	Licensed Representative	1, 4, 6
FANG Qixue	BEQ296	Licensed Representative	4, 9
FEI Jingyuan	BID172	Licensed Representative	1, 2, 4
FENG Xianjie	BIY695	Licensed Representative	6
FENG Xiao	BJG437	Licensed Representative	1, 6
FITKARIWALA Ankit	BJC894	Licensed Representative	4
FLORO Jeff Rey	BGP818	Licensed Representative	4
FUNG Wing Yeung	AGN727	Licensed Representative	1
GAO Ruoran	BIM689	Licensed Representative	1, 6
GAO Wen	BHR562	Licensed Representative	1, 6
GHOSH Samrat	ARF021	Licensed Representative	1, 9
GUHA Sanjib	BHT498	Licensed Representative	4, 9
HALTERLEIN Dylan Stephen	AVX418	Licensed Representative	1, 2
HAN Zhixiao	BJM473	Licensed Representative	6
HAYAMA Yota	BMD879	Licensed Representative	1, 4, 6
HE Wuxian	BLM860	Licensed Representative	1, 2
HO Chun Yan	AVS082	Licensed Representative	1
HO Man Yi, Janiter	ALB736	Licensed Representative	1
HOLFORD Jeffrey	BIM503	Licensed Representative	4
HSU Chih Chia	BLB397	Licensed Representative	1
HU Qingzi	BKF945	Licensed Representative	1, 6



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
HUANG Chu An	BIT808	Licensed Representative	1, 4, 9
HUANG Chun Chuen	BDI387	Licensed Representative	1
HUANG Chunlin	BAM510	Licensed Representative	1
HUANG Jiaying	ALF260	Licensed Representative	4
HUANG Yiming	BFE632	Licensed Representative	1, 6
HUANG Yung Hsien	BHS017	Licensed Representative	4, 9
HUANG Yuqing	BGU197	Licensed Representative	4
HUBERT Marc William	ANX233	Licensed Representative	9
HUGHES Anna-Maree Erica	BHY883	Licensed Representative	10
HUI Kar Wai	AFH637	Licensed Representative	2
HYEON Cheongmu	BBI766	Licensed Representative	9
IJIMA Naoto	BEL398	Licensed Representative	1
IN Yeonsoo	BGI043	Licensed Representative	1, 4, 9
IP Hong Man	AAI554	Licensed Representative	4
ISOZAKI Hitoshi	BDX587	Licensed Representative	1
JIANG Jinghong	AJF574	Licensed Representative	1, 2
JIANG Yannan	BMF173	Licensed Representative	1
JIANG Yuanmao	BEN050	Licensed Representative	1
JUNG Christopher Lam	ADX061	Licensed Representative	9
KANEKO Shumpei	BGU554	Licensed Representative	1
KEITH Bryan Wilson	BIY574	Licensed Representative	1
KHANSHEB Aditya Shyam	BAD420	Licensed Representative	2, 5
KHAZEN Ilya	BNB239	Licensed Representative	1, 2
KHOSLA Navjeewan Jatinder	AMC956	Licensed Representative	1, 2
KIM Jee Suk	BFD119	Licensed Representative	1, 2
KONG Hin Hung	ARP017	Licensed Representative	1, 2
KONG Xiangyu	BLX688	Licensed Representative	4, 9
KOO Jahkyoon	AVH967	Licensed Representative	2
KOO Pak Chun, Walter	BDT320	Licensed Representative	1, 2
KWAN Chi Yan Lawrence	AQC409	Licensed Representative	1, 2
KWAN Chun Wai, Roy	AOT954	Licensed Representative	1
KWAN Hoi Ngai, Michael	ANT542	Licensed Representative	1, 2
KWOK Wing Lok	BLO682	Licensed Representative	1
KWOK Yui Fai	AWP669	Licensed Representative	1
KWONG Pok Ho	BEF694	Licensed Representative	1
KWONG Raymond	BFJ730	Licensed Representative	1, 6
LAI Yip Wan, Ashley	AWX942	Licensed Representative	1, 2
LAIRD Simon	BCJ356	Licensed Representative	1
LAM Chun Ho	BDP255	Licensed Representative	1, 4
LAM Hoi Ying	BIT747	Licensed Representative	1, 4, 9
LAM Hung, Allan	AAF858	Licensed Representative	9
LAM Miu Lan	BFD315	Licensed Representative	1, 4
LAM Raymond	AZR331	Licensed Representative	1, 2
LAM Siu Ting	AVT290	Licensed Representative	1, 6
LAM Sze Sze	BDB939	Licensed Representative	1
LAU Filipe Chiushing	ANE758	Licensed Representative	1, 2


REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAU Hok Sum	ADV455	Licensed Representative	1, 4, 9
LAU Ka Yi, Vera	AQW236	Licensed Representative	1, 4, 9
LAU Sin Ming	BLX420	Licensed Representative	1, 6
LAU Siu Kiu, Sonia	BCO976	Licensed Representative	1
LAU Woon Wan, Florence	ACK082	Licensed Representative	3
LAW Brendan Lok Tsun	BEZ613	Licensed Representative	1, 9
LAW Yuk Yin	BJF032	Licensed Representative	1, 2
LAWRENCE Mark Hamilton	BBW244	Licensed Representative	1, 2
LEE Kai Chung	BIV157	Licensed Representative	3
LEE Kin Sum	ANR493	Licensed Representative	9
LEE Ko-Shun	BIZ374	Licensed Representative	1, 2, 4, 9
LEE Nari	BIH658	Licensed Representative	1, 4
LEE Wen Long	ATB494	Licensed Representative	1
LEE Yee Sum	ACP235	Licensed Representative	1
LEE Yiu Kong, Rindy	ARM532	Licensed Representative	1
LEUNG Chun Hin	BLC854	Licensed Representative	1
LEUNG Wang To	AMH549	Licensed Representative	1
LEUNG Yin Piu	BEE272	Licensed Representative	4
LEUNG Yu Hang	AUT166	Licensed Representative	1, 4
LI Kum Hing	AAA298	Licensed Representative	1, 2
LI Lok Wah	AJG026	Licensed Representative	1, 3
LI Peijiu	BFE593	Licensed Representative	1, 2
LI Pengnan	BIR379	Licensed Representative	1, 4, 9
LI Si	BMV409	Licensed Representative	1, 6
LI Sian	BJO267	Licensed Representative	1, 6
LI Tao	BFN998	Licensed Representative	1, 2
LI Xiaochen	AZN141	Licensed Representative	6
LI Yee Man	BHB483	Licensed Representative	1
LIANG Nathan Wei	BDQ483	Licensed Representative	1, 2
LIM Wen-tzen	ALN484	Licensed Representative	9
LIN Miaorong	BIX433	Licensed Representative	1, 2
LIN Shanshan	BGZ113	Licensed Representative	1, 6
LIN Steve K	BEA918	Licensed Representative	6
LIN Zhiyao	BMR575	Licensed Representative	1, 4, 9
LIN Ziqiao	BIG915	Licensed Representative	2
LIU Gang	BLP547	Licensed Representative	1
LIU Guofei	BIS744	Licensed Representative	9
LIU TENG	BLZ823	Licensed Representative	1, 2
LIU Wai Yip	BJT487	Licensed Representative	1
LIU Weiwei	BKW279	Licensed Representative	1, 6
LIU Ying	BGM098	Licensed Representative	10
LIU Zheng	AZZ306	Licensed Representative	4
LIU Zhenhua	BHT759	Licensed Representative	1, 4
LIU Zhenyu	BAI289	Licensed Representative	1, 4, 9
LO Hiu Tung	AXH227	Licensed Representative	1, 4, 9
LO How Ki	AAH361	Licensed Representative	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
LO Shuk Man, Charmaine	ANT856	Licensed Representative	4, 9
LOH Terence Paul Keng Ann	ART645	Licensed Representative	1, 4
LONGMAN Alexander Roger	AMI328	Licensed Representative	1, 2
LOW Boon Siew Rae	BDO735	Licensed Representative	1, 2
LU Hengjun	AZF126	Licensed Representative	4
LU Yifan	BGM429	Licensed Representative	1, 6
LU You	BFC569	Licensed Representative	1, 4, 9
LUI Mang Sze, Marcella	AET173	Licensed Representative	1, 4, 9
LUK Ching Chung	ARW219	Licensed Representative	1, 4
LUTZ Marcel Anton	AWE444	Licensed Representative	1
LYU Ke	BLX084	Licensed Representative	1
MA Hon Kin	AQS325	Licensed Representative	4
MA Ning	AZV219	Licensed Representative	4
MAK Yun Keung	AXK857	Licensed Representative	1
MAN Wing Pong	AHC716	Licensed Representative	1, 6
MAO Yuanchao	BLU912	Licensed Representative	1, 4, 5
MCCOSKER Anne Elizabeth	BFW829	Licensed Representative	1
MILLER Ryan James	ARF537	Licensed Representative	3
MOHAMED IBRAHIM Mariana Binté	BEM359	Licensed Representative	9
MOK Ka Yin, Raymond	ALT768	Licensed Representative	2
MOK Wai Man, Kent	AEI045	Licensed Representative	1, 2
MORINOBU Kaori	APJ720	Licensed Representative	1
NAKATOMI Ryosuke	BKV309	Licensed Representative	1
NARKHAN Apinun	BIK486	Licensed Representative	1, 4
NG Hiu Yan	AOQ889	Licensed Representative	1
OKUMURA Junji	BDJ467	Licensed Representative	9
OKUYAMA Takeshi	ARO946	Licensed Representative	4, 9
PAN Chenyi	BDO314	Licensed Representative	9
PANG Ka Yin	AEF574	Licensed Representative	1
PANIZO TORIO Pedro Manuel	BHX476	Licensed Representative	1
PEGUM Richard Anthony	ACR067	Licensed Representative	9
PING Lanphie Chi-Wen	AZT233	Licensed Representative	1
PONCET Guillaume Francois	BEW101	Licensed Representative	1, 4, 9
POOL Jonathan Michael	AMU497	Licensed Representative	1
QIANG Jing	AWU229	Licensed Representative	1, 4
QIU Youfeng	BBD522	Licensed Representative	4
RAJA Saajan	BJT636	Licensed Representative	1, 2
SHANG Xin	ADT752	Licensed Representative	9
SHEK Kai Wing	BIR860	Licensed Representative	1, 4, 9
SHEN Blake C	AYQ549	Licensed Representative	1
SHI Guihong	AKP623	Licensed Representative	1
SHIN Kidong	BBP297	Licensed Representative	1, 2
SHUM Ngok Wa	BFE564	Licensed Representative	1
SI Hongpeng	AYV546	Licensed Representative	6
SIN Chun Bong	AFW042	Licensed Representative	1
SIT Cheng Po	BAX113	Licensed Representative	1, 2, 4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
SIU Lai Ha	BJT593	Licensed Representative	1, 2
SOFOLUWE Olu Benga	AEU447	Licensed Representative	1
SONG Min Kyoung	BJI427	Licensed Representative	4
SONG Ting-Wen	APH123	Licensed Representative	1, 2
SOTNEVA Yulia	BIZ107	Licensed Representative	1, 6
SUNDERLAND Seth Thomas	AYR649	Licensed Representative	6
SZETO Mary	AXK796	Licensed Representative	1
TAI Luen Kam	AMF607	Licensed Representative	1
TAMARI Yuya	BMN416	Licensed Representative	1
TAN Taizhi	BCH565	Licensed Representative	1, 4, 6
TANG Cheung Hing	BCH566	Licensed Representative	1, 4
TAY Kairong, Leon	BHR968	Licensed Representative	1, 4
TAY Siang Watt Terence	AVH952	Licensed Representative	1
THAM Siok Kuan	ANS047	Licensed Representative	1, 4, 9
TIAN Ye	BKL555	Licensed Representative	1
TOYA Hiroaki	BGV259	Licensed Representative	9
TSANG Yi Keung	BDE140	Licensed Representative	1
TSE Chi Ho	BIG076	Licensed Representative	1, 2
TSE Yan Ping	BGG157	Licensed Representative	1, 2, 3
TSOI Sze Yan	BAK271	Licensed Representative	1
TSUI Siu Ling	BAT970	Licensed Representative	1, 4
TU Hechang	BFW345	Licensed Representative	4
VAN CAMP Anton Benedict	BDF797	Licensed Representative	1, 2
WAN Cheuk Pong	BIX392	Licensed Representative	1, 2
WANG Fangzhao	BLT543	Licensed Representative	4
WANG Liang	BFS478	Licensed Representative	4
WANG Yian	BGP566	Licensed Representative	1
WANG Yifan	BAF012	Licensed Representative	1, 6
WATANABE Jun	BMC605	Licensed Representative	1
WEATHERILL Scott James	BJH173	Licensed Representative	1, 2
WILLS David Richard	ANE567	Licensed Representative	1, 9
WITZENFELD Michael Craig	AXG373	Licensed Representative	1, 2, 7
WO Yiu Hung	AAC496	Licensed Representative	1
WOLFF Daniel Matthew	AKV264	Licensed Representative	9
WONG Cheuk Kwan	BCT992	Licensed Representative	1, 4
WONG Chun Wa	BBA776	Licensed Representative	1
WONG Ho Ki, Nick	ADR217	Licensed Representative	1, 2
WONG Ho Ying	BGP565	Licensed Representative	1
WONG Man Sze	BND638	Licensed Representative	1
WONG Mei Keung	AEA002	Licensed Representative	1, 6
WONG Micah En Teck	BDK995	Licensed Representative	1
WONG Nok Hang	BMI361	Licensed Representative	3
WONG Shu Yui, Ivana	BGK507	Licensed Representative	1, 4, 6
WONG Shun Fung, Wesley	AGL052	Licensed Representative	1
WONG To Lun	BGB504	Licensed Representative	1
WONG Wing To, Alex	ANH516	Licensed Representative	1, 4, 6



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
WOON Sanghoon	AZX288	Licensed Representative	1, 6
WU Man	AWH055	Licensed Representative	1, 2
WU Rebecca Yuan	BAG235	Licensed Representative	4
XU Wei	BES968	Licensed Representative	4
XU Xiaofeng	AOE199	Licensed Representative	1, 2, 7
XU Yuke	BDJ110	Licensed Representative	9
YAM Hok Chung	AEK186	Licensed Representative	1, 2
YAO Alex Krystal	ACS438	Licensed Representative	4, 9
YAU Siu Yeung, Shari	AWP697	Licensed Representative	1
YE Qing	AWS033	Licensed Representative	1, 4
YEUNG Kee Ming	BLO688	Licensed Representative	4, 9
YEUNG Pui Yam	AXK444	Licensed Representative	9
YEUNG Suk Yee, Angela	AAK066	Licensed Representative	1, 2
YEUNG Ying Kit	BEE274	Licensed Representative	1, 4, 9
YIN Fangqi	BIK649	Licensed Representative	1, 2
YIP Hin Chiu	AVY582	Licensed Representative	1, 9
YIP Ling	BLQ472	Licensed Representative	1
YU Man Yin, Sophie	BGP593	Licensed Representative	4
YU Qilong	BEW776	Licensed Representative	2
YU Shuyao	BJH798	Licensed Representative	6
YU Yan	AVI832	Licensed Representative	1, 2
YUEN Hon, Ernest	AGO784	Licensed Representative	1
YUEN Soo Ping	ABR045	Licensed Representative	1
ZAMAN Syed Akram	ASK744	Licensed Representative	1, 4, 6
ZHAN Chong	BHT580	Licensed Representative	1, 6
ZHANG Hongyang	BGP504	Licensed Representative	4
ZHANG Huyue	AGH920	Licensed Representative	1, 4
ZHANG Long	BGM921	Licensed Representative	9
ZHANG Luo	BHV160	Licensed Representative	1, 2
ZHANG Qi	APH936	Licensed Representative	1
ZHANG Shujuan	BJQ776	Licensed Representative	2
ZHANG Xiaozhen	BEB056	Licensed Representative	6
ZHANG Yi	BKM737	Licensed Representative	4
ZHAO Xinyi	BEZ806	Licensed Representative	1, 6
ZHONG Yuxuan	BLU750	Licensed Representative	1, 4, 6
ZHOU Jiabin	BHY010	Licensed Representative	1, 4
ZHOU Kunfeng	BLR401	Licensed Representative	1, 4, 9
ZHOU Mi	BMM223	Licensed Representative	4
ZHOU Shunli	BDP523	Licensed Representative	1, 4
ZHOU Xuetao	APJ216	Licensed Representative	1, 9
ZHOU Yaxing	BGQ925	Licensed Representative	1, 2, 5
ZHOU Yirun	BLE930	Licensed Representative	9
ZHU Yahui	AYO940	Licensed Representative	1, 4
ZHU Yingdi	BBZ083	Licensed Representative	1
ANZAI Fumihito	BBG413	Licensed Representative - Responsible Officer	1, 2
BARAM Geoffrey Ian	ANA198	Licensed Representative - Responsible Officer	1, 6



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
BIAN Fang	ANQ784	Licensed Representative - Responsible Officer	1, 6
CHAN Angie	ADN450	Licensed Representative - Responsible Officer	1, 4, 9
CHAN Hoi Yun, Karen	AGE677	Licensed Representative - Responsible Officer	6
CHAN Lai Sheung, Amy	AAF701	Licensed Representative - Responsible Officer	1
CHAN Yu Wai	AEX105	Licensed Representative - Responsible Officer	1, 6
CHERRINGTON Benjamin James	BKA747	Licensed Representative - Responsible Officer	1
CHEU Josefina	AAV069	Licensed Representative - Responsible Officer	1
CHEUNG Lap Wong, Paul	AHC739	Licensed Representative - Responsible Officer	4
CHIANG Wei-Yuan	AQQ103	Licensed Representative - Responsible Officer	2
CHO Gordon Elliott	ALS657	Licensed Representative - Responsible Officer	9
CHU Lawrence Sheng Yu	AMY277	Licensed Representative - Responsible Officer	1, 4, 9
CHU Tat Hoi	ADP069	Licensed Representative - Responsible Officer	1, 6
CHU Wen-Hsien	AOY184	Licensed Representative - Responsible Officer	9
DOERING Michael Roger	ANQ240	Licensed Representative - Responsible Officer	1, 2
FISHER Jeffery Michael	ALV246	Licensed Representative - Responsible Officer	9
FU Ming Hon	APH718	Licensed Representative - Responsible Officer	1
HARDY Kevin Shane Lee	ART547	Licensed Representative - Responsible Officer	1, 2, 4, 9
HE Yagang	BEC747	Licensed Representative - Responsible Officer	1
HSU Chun-Yuan	ASD142	Licensed Representative - Responsible Officer	1
JOHNSON David Edward	BEV695	Licensed Representative - Responsible Officer	9
JUNG Christopher Lam	ADX061	Licensed Representative - Responsible Officer	4
KAM Kwong Ning	AAA043	Licensed Representative - Responsible Officer	1
KOH Hui-Jian	AVM932	Licensed Representative - Responsible Officer	1, 4
KOO Jahkyoon	AVH967	Licensed Representative - Responsible Officer	1
KWAN Cheuk Lum, Kenneth	AFB439	Licensed Representative - Responsible Officer	1
LAM Chick Leung	ACR785	Licensed Representative - Responsible Officer	4, 9
LAM Lai Heung	AEP936	Licensed Representative - Responsible Officer	1, 2
LAU Chi Ming	AUW146	Licensed Representative - Responsible Officer	4, 9
LAU Chi Wai, Michael	AVT372	Licensed Representative - Responsible Officer	1, 4, 6
LEE Won Sok	BBJ202	Licensed Representative - Responsible Officer	1, 4
LEITZES Kim My Phuong	AZB953	Licensed Representative - Responsible Officer	9
LEVY Jeffrey Brussel	AUT859	Licensed Representative - Responsible Officer	9
LI Muzhi	AQU539	Licensed Representative - Responsible Officer	4
LI Siu Sing, Nelson	AAI318	Licensed Representative - Responsible Officer	1
LILLEY David George Plumer	AQJ849	Licensed Representative - Responsible Officer	9
LIM Kadir Jia Ping	ALY686	Licensed Representative - Responsible Officer	4
LIN Chien Chih	BGG217	Licensed Representative - Responsible Officer	1, 4
MACKAY Stuart Bruce Rory	AYR270	Licensed Representative - Responsible Officer	6
MAIER Axel Armin	AZL216	Licensed Representative - Responsible Officer	1, 4, 9
MCDONAGH Michael Coleman	AAG980	Licensed Representative - Responsible Officer	9
MOK Ka Yin, Raymond	ALT768	Licensed Representative - Responsible Officer	3
NAGHDY Hajir	BGM160	Licensed Representative - Responsible Officer	1, 6
NG Kam Shan	AAV071	Licensed Representative - Responsible Officer	1
NG Man Hoi	AHF984	Licensed Representative - Responsible Officer	1, 2, 4, 5
OH See Won	AYQ633	Licensed Representative - Responsible Officer	10
OKUYAMA Takeshi	ARO946	Licensed Representative - Responsible Officer	1


REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
PRUGUE Robert Andrew	AQZ744	Licensed Representative - Responsible Officer	1, 4
SEAVER Christopher Burrill	ARY267	Licensed Representative - Responsible Officer	9
SEONG Yuyeol	BBD271	Licensed Representative - Responsible Officer	1, 4
SHARMA Ashish	ASW394	Licensed Representative - Responsible Officer	1, 4
SHAW Allan	AGI956	Licensed Representative - Responsible Officer	2
SUM Poon Yun, Johnny	AED718	Licensed Representative - Responsible Officer	4, 9
SZE Leung Kiu	AAH976	Licensed Representative - Responsible Officer	1
TAN Yan Heong	BDU785	Licensed Representative - Responsible Officer	9
TANG Sei Kit	AFG881	Licensed Representative - Responsible Officer	1, 4
TO Sai Lau, Jeffrey	AEZ135	Licensed Representative - Responsible Officer	9
TSOI Sze Yan	BAK271	Licensed Representative - Responsible Officer	4, 9
WU Huai-En	BDM852	Licensed Representative - Responsible Officer	4, 9
YEOH Jonathan Sheung Chern	ANB177	Licensed Representative - Responsible Officer	1, 4
YEUNG Pui Yam	AXK444	Licensed Representative - Responsible Officer	4
YIP Hin Chiu	AVY582	Licensed Representative - Responsible Officer	4
ZHANG Ning	AGD246	Licensed Representative - Responsible Officer	9
ZHU Feng	ASO007	Licensed Representative - Responsible Officer	1, 4
ADEL Rebecca Sophia	BNC851	Licensed Representative (Provisional Licence)	1
AKIE Sachiko	BNB410	Licensed Representative (Provisional Licence)	1, 4
AMSTALDEN Boris Marcello	BNH259	Licensed Representative (Provisional Licence)	1, 4
AN Yuan	BMV714	Licensed Representative (Provisional Licence)	6
ATASSI Samir	AHX544	Licensed Representative (Provisional Licence)	1, 2, 4
AU Hoi Fung	BIY369	Licensed Representative (Provisional Licence)	1, 4
AU Ka Cheong Michael	BNH409	Licensed Representative (Provisional Licence)	1, 2, 4
BADALIA Rikhit	BNF533	Licensed Representative (Provisional Licence)	1, 6
BAI Yun	BNC036	Licensed Representative (Provisional Licence)	1, 6
BAIG Mirza Shaheryar	BNF978	Licensed Representative (Provisional Licence)	1, 2
BAKER Brian Stephen	BJK848	Licensed Representative (Provisional Licence)	1, 2
BALASUBRAMANIAM Kartik	BNC821	Licensed Representative (Provisional Licence)	1
BARDHAN RAY Ambarish	BNB420	Licensed Representative (Provisional Licence)	1, 2, 9
BATES Timothy James	BND565	Licensed Representative (Provisional Licence)	1, 2, 7
BEER Sebastian	BNG805	Licensed Representative (Provisional Licence)	1, 6
BIAN Yuan	AVE035	Licensed Representative (Provisional Licence)	1, 4, 9
BOA Yuk Wah Yuan	BNG891	Licensed Representative (Provisional Licence)	1, 4, 9
BOURNE Peter Christian	BNC994	Licensed Representative (Provisional Licence)	1, 4
CAI Yibei	BNG624	Licensed Representative (Provisional Licence)	1, 6
CALLEGARI Julio Cesar	BND443	Licensed Representative (Provisional Licence)	4, 5, 9
CAMERON Ian Joel Gates	BNC478	Licensed Representative (Provisional Licence)	1, 2
CAO YING	BNI566	Licensed Representative (Provisional Licence)	1, 4
CHA Seung Hoon	BNE720	Licensed Representative (Provisional Licence)	1, 4
CHAI Yihan	BNC121	Licensed Representative (Provisional Licence)	1, 4
CHAN Amanda Denise Yiying	BNH872	Licensed Representative (Provisional Licence)	1, 6
CHAN Angie	BNG400	Licensed Representative (Provisional Licence)	1, 2, 9
CHAN Cheuk Ki Jacky	AOE774	Licensed Representative (Provisional Licence)	1
CHAN Chun Ting	BNH561	Licensed Representative (Provisional Licence)	1, 2
CHAN Hin Fai	AXV429	Licensed Representative (Provisional Licence)	3



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Jaak Yin Wesley	BNF245	Licensed Representative (Provisional Licence)	1
CHAN Man Kam	BNH075	Licensed Representative (Provisional Licence)	1, 6
CHAN Man Yung Denise	BNE755	Licensed Representative (Provisional Licence)	1, 6
CHAN Mei Ying	BNA743	Licensed Representative (Provisional Licence)	1
CHAN Po Lung	BNG645	Licensed Representative (Provisional Licence)	1, 4
CHAN Sin Ting Kitty	BNC483	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Tak Yui	BNC476	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Wang Fung	BNC843	Licensed Representative (Provisional Licence)	4
CHAN Weiquan	BNG218	Licensed Representative (Provisional Licence)	1, 4
CHAN Yick Kei	AJN963	Licensed Representative (Provisional Licence)	1, 4, 6
CHANG Chih-Han	BNF236	Licensed Representative (Provisional Licence)	10
CHANG Jing	BMX565	Licensed Representative (Provisional Licence)	1, 4
CHAO Elaine Yiran	BNF051	Licensed Representative (Provisional Licence)	1, 4, 6
CHAU Richard	ACL796	Licensed Representative (Provisional Licence)	1, 2
CHEN Cong	BND653	Licensed Representative (Provisional Licence)	4, 9
CHEN Dan	BNC681	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Darick Hongwei	BNI547	Licensed Representative (Provisional Licence)	1, 6
CHEN Linhao	BNG873	Licensed Representative (Provisional Licence)	1, 2, 9
CHEN Siyang	BMV957	Licensed Representative (Provisional Licence)	4, 9
CHEN Wankun	BNC867	Licensed Representative (Provisional Licence)	1, 6
CHEN Xiaojing	BNA777	Licensed Representative (Provisional Licence)	1, 6
CHEN Ya-l	BNF673	Licensed Representative (Provisional Licence)	1
CHEN Yali	BNE463	Licensed Representative (Provisional Licence)	4
CHEN Ying	BND754	Licensed Representative (Provisional Licence)	9
CHEN Yiru	BNG165	Licensed Representative (Provisional Licence)	1
CHENG Ho Yin	BNF767	Licensed Representative (Provisional Licence)	1, 2
CHENG Kit Fu	BNG039	Licensed Representative (Provisional Licence)	1, 4
CHENG Ling Fung	BNG027	Licensed Representative (Provisional Licence)	1, 2, 9
CHENG Martin Wing Hung	BNF294	Licensed Representative (Provisional Licence)	1
CHENG Ruoyu	BNE748	Licensed Representative (Provisional Licence)	1, 2, 7
CHENG Tinglong	BNG580	Licensed Representative (Provisional Licence)	2
CHENG Yuen Yan	BNG329	Licensed Representative (Provisional Licence)	1, 6
CHEUNG Hang	BNG551	Licensed Representative (Provisional Licence)	1
CHEUNG Hoi Yee	BNG810	Licensed Representative (Provisional Licence)	2
CHEUNG Kai Ho	BNI610	Licensed Representative (Provisional Licence)	1, 2, 9
CHEUNG Kit	AZV239	Licensed Representative (Provisional Licence)	6
CHEUNG Lap	BNF964	Licensed Representative (Provisional Licence)	6
CHEUNG Man Wai	BNG361	Licensed Representative (Provisional Licence)	1
CHEUNG Ming Hon	BNH167	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Shu Yan	AQM260	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Tak Man Amy	BNF247	Licensed Representative (Provisional Licence)	9
CHIA Jia Qi	BNH081	Licensed Representative (Provisional Licence)	1
CHIARAWONGSE Chakrapas	BNB674	Licensed Representative (Provisional Licence)	9
CHIK Yin Yeung	BNF399	Licensed Representative (Provisional Licence)	4
CHIU Long Hang	BNF725	Licensed Representative (Provisional Licence)	1
CHIU Tze Ting	BNG237	Licensed Representative (Provisional Licence)	1, 2, 9



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHO Kai Man	BBQ522	Licensed Representative (Provisional Licence)	1
CHOI Jasen	BMZ508	Licensed Representative (Provisional Licence)	1, 4, 7
CHONG Siu Yin, Dominic	BNG839	Licensed Representative (Provisional Licence)	1, 6
CHOPRA Sameer	BAU420	Licensed Representative (Provisional Licence)	4
CHU Po Yuen	BBL114	Licensed Representative (Provisional Licence)	1
CHU Sau Wai, Nicola	BNC842	Licensed Representative (Provisional Licence)	1, 4, 9
CHU Shuk Man	BNG802	Licensed Representative (Provisional Licence)	1
CHU Ting Ting, Ody	BNG214	Licensed Representative (Provisional Licence)	9
CHUA Pei Jing Joy	BNF694	Licensed Representative (Provisional Licence)	1
CHUNG Joon Mo	BNC865	Licensed Representative (Provisional Licence)	1, 6
CUI Yifan	BEJ198	Licensed Representative (Provisional Licence)	9
CUI Yiran	BMW693	Licensed Representative (Provisional Licence)	1, 6
DECOUVELAERE Thomas Alfred Andre	BMW967	Licensed Representative (Provisional Licence)	1
DEFRIES Paul Antony	ACA488	Licensed Representative (Provisional Licence)	4, 5, 9
DEPALMAS Remi Richard	BNE468	Licensed Representative (Provisional Licence)	1, 2
DHUPELIA Sunil	AUW922	Licensed Representative (Provisional Licence)	1, 4
DONG Xiaolan	BMZ612	Licensed Representative (Provisional Licence)	1, 4, 6
DOU Kar Ming Kelvin	BNG177	Licensed Representative (Provisional Licence)	1
DU YiChen	BNB807	Licensed Representative (Provisional Licence)	9
EL MAZHOR Salim	BNF590	Licensed Representative (Provisional Licence)	1, 2
EVANS Matthew Christopher	BIA161	Licensed Representative (Provisional Licence)	1
FAN Hanli	BNE756	Licensed Representative (Provisional Licence)	1, 4
FAN Tze Fung Doris	BNE462	Licensed Representative (Provisional Licence)	1, 4
FANG Baorong	AJD695	Licensed Representative (Provisional Licence)	1, 6
FANG Zheqi	BNG950	Licensed Representative (Provisional Licence)	1, 4, 6
FATEHPURIA Chaitanya	BNA803	Licensed Representative (Provisional Licence)	9
FEDOTOVA Jelena	BNH659	Licensed Representative (Provisional Licence)	1, 4
FENG Qijin	BNC306	Licensed Representative (Provisional Licence)	1, 4, 6
FONG Amanda Jia Min	BNF388	Licensed Representative (Provisional Licence)	1, 6
FONG Hei Suet	BNC927	Licensed Representative (Provisional Licence)	1
FOO Jie Hao Damian	BMX905	Licensed Representative (Provisional Licence)	1, 6
FOO Xiang Quan Gary	BND649	Licensed Representative (Provisional Licence)	1, 4, 6
FU Keyu	BNG208	Licensed Representative (Provisional Licence)	1, 4, 6
GAN Meilan	BME698	Licensed Representative (Provisional Licence)	9
GAO Tengzhe	BET638	Licensed Representative (Provisional Licence)	1, 4
GAO Xiangyu	BDW696	Licensed Representative (Provisional Licence)	6
GAO Zijun	BNH365	Licensed Representative (Provisional Licence)	1, 6
GARG Shivani	BNC812	Licensed Representative (Provisional Licence)	1, 2
GE Tuo	BNF813	Licensed Representative (Provisional Licence)	6
GE Yanwei	BNG522	Licensed Representative (Provisional Licence)	6
GONG Chenyu	BMX082	Licensed Representative (Provisional Licence)	1, 4, 6
GONG Shuyuan	BNF179	Licensed Representative (Provisional Licence)	1, 4
GORMAN Mark Christopher	AXL709	Licensed Representative (Provisional Licence)	9
GREEN Nicholas Alain	BMX570	Licensed Representative (Provisional Licence)	1, 4, 6
GULRAJANI dev	BND519	Licensed Representative (Provisional Licence)	1, 4, 6
GUO Chaohui	BBU524	Licensed Representative (Provisional Licence)	1, 4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
GUO Lin	BNB213	Licensed Representative (Provisional Licence)	4, 9
GUO Qiang	AYW017	Licensed Representative (Provisional Licence)	4, 9
GUO QingQing	BNF702	Licensed Representative (Provisional Licence)	10
GUO Yanzhe	BMX602	Licensed Representative (Provisional Licence)	4, 9
GUPTA Sadhvi	BNE854	Licensed Representative (Provisional Licence)	1, 9
HAN Xu	BNC912	Licensed Representative (Provisional Licence)	1, 2
HAN Zhe	AQV652	Licensed Representative (Provisional Licence)	1, 2
HARTUP Gerald Toby Benedict	BNC511	Licensed Representative (Provisional Licence)	2, 5
HAU Man Po Mathew	BNG585	Licensed Representative (Provisional Licence)	4
HAU Yee Lan	AEY895	Licensed Representative (Provisional Licence)	4, 9
HE Jieqian	BMX734	Licensed Representative (Provisional Licence)	1, 2
HE Lu	BNB253	Licensed Representative (Provisional Licence)	1, 6
HE Qingshan	BMW798	Licensed Representative (Provisional Licence)	1
HE Yangjun	BMV675	Licensed Representative (Provisional Licence)	1
HE Yanwei	BNG389	Licensed Representative (Provisional Licence)	1, 2
HEGDE Pavan S.	BNB415	Licensed Representative (Provisional Licence)	1, 2
HO Ho Wang	BNF373	Licensed Representative (Provisional Licence)	1, 4
HO Kwok Leung	BNG549	Licensed Representative (Provisional Licence)	1, 9
HO Ting Chu	AZG340	Licensed Representative (Provisional Licence)	1
HO Tsz Ching	BLN448	Licensed Representative (Provisional Licence)	1, 4
HODJATZADEH Suransi	BNH256	Licensed Representative (Provisional Licence)	1, 2
HONG Keith Chun-yiu	AXR368	Licensed Representative (Provisional Licence)	1, 2
HORIUCHI Takafumi	BMX534	Licensed Representative (Provisional Licence)	4
HOU Xingyang	BMV632	Licensed Representative (Provisional Licence)	4, 9
HSIEH FU CHI	BKB201	Licensed Representative (Provisional Licence)	2, 5
HU Dawei	BNI563	Licensed Representative (Provisional Licence)	1, 6
HU Jiazhi	BMX452	Licensed Representative (Provisional Licence)	6
HUANG Anqi	BNF768	Licensed Representative (Provisional Licence)	1, 2
HUANG Yue	BDA207	Licensed Representative (Provisional Licence)	1, 4, 6
HUANG Zekun	BNF375	Licensed Representative (Provisional Licence)	1, 6
HUI Ho Hang Terry	BND362	Licensed Representative (Provisional Licence)	1
HUI Tsz Chun	BNI600	Licensed Representative (Provisional Licence)	1
HUNG Hei Shun	BNG150	Licensed Representative (Provisional Licence)	1, 4, 6
HUNG Jessica	BKB712	Licensed Representative (Provisional Licence)	1
HUNG Ka Hei	BNI021	Licensed Representative (Provisional Licence)	1, 4
HUNG Wing Man	BND639	Licensed Representative (Provisional Licence)	1, 4
HYNES Jean Marie	BEJ077	Licensed Representative (Provisional Licence)	1, 4
IP Chun Lung	BEO407	Licensed Representative (Provisional Licence)	1
ITO Tatsuhiko	BNG744	Licensed Representative (Provisional Licence)	4
IWAI Toru	BND774	Licensed Representative (Provisional Licence)	1
JALIF Jasmine	BNH474	Licensed Representative (Provisional Licence)	1, 4
JANG Seo Young	BNC114	Licensed Representative (Provisional Licence)	2, 5
JIANG Chao	BMW173	Licensed Representative (Provisional Licence)	4
JIANG Lijia	BNC059	Licensed Representative (Provisional Licence)	1, 4, 6
JIANG Mengdie	BGH554	Licensed Representative (Provisional Licence)	4
JIANG Tao	BNB357	Licensed Representative (Provisional Licence)	1, 6


REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
JIANG Yanqin	BAL788	Licensed Representative (Provisional Licence)	4
JIANG Yinwen	BBE590	Licensed Representative (Provisional Licence)	1
JING Yun	AOI876	Licensed Representative (Provisional Licence)	1, 4, 6
JU Sung Cheol	ANT438	Licensed Representative (Provisional Licence)	1, 4, 9
JUNG Perry	BNG766	Licensed Representative (Provisional Licence)	1
JUNG Su Hyun	BNC308	Licensed Representative (Provisional Licence)	2, 5
KANEKO Tomoyo	BMX081	Licensed Representative (Provisional Licence)	1, 2
KANZAKI Michihide	BNC463	Licensed Representative (Provisional Licence)	1, 4, 6
KAO Jui Tang	BNG896	Licensed Representative (Provisional Licence)	1, 4
KEUNG Yee Yee Lillian	BNH562	Licensed Representative (Provisional Licence)	1
K'HABORE Norah Barhakanatou Leslie Henriette Sherone	BNF458	Licensed Representative (Provisional Licence)	1, 2
KHAZEN Ilya	BNB239	Licensed Representative (Provisional Licence)	1, 2
KIM Changick	BNG220	Licensed Representative (Provisional Licence)	1, 4
KIM Young Chae	BNF358	Licensed Representative (Provisional Licence)	1
KIM Younghun	BNE872	Licensed Representative (Provisional Licence)	10
KOBAYASHI Takefumi	BNH408	Licensed Representative (Provisional Licence)	4
KONG Deming	BMX638	Licensed Representative (Provisional Licence)	1, 6
KONG Heng Siong	BNH125	Licensed Representative (Provisional Licence)	4
KOU Lingyan	BNC026	Licensed Representative (Provisional Licence)	1, 6
KUAI Zhen	BMZ642	Licensed Representative (Provisional Licence)	1, 6
KUI Siu Yi	AOQ777	Licensed Representative (Provisional Licence)	4, 9
KWAK Jin Woo	BNF216	Licensed Representative (Provisional Licence)	9
KWAN Kai Lung	BNI315	Licensed Representative (Provisional Licence)	3
KWAN Sui Keung	BNE485	Licensed Representative (Provisional Licence)	1
KWOK Chun Ho	BNG502	Licensed Representative (Provisional Licence)	1, 2, 3, 4
KWOK Chun Yeung	BNH640	Licensed Representative (Provisional Licence)	1, 6
KWOK Hoi Fung	BMF511	Licensed Representative (Provisional Licence)	2
KWOK Siu Lun	BNH078	Licensed Representative (Provisional Licence)	1
KWOK Wing Ka Stephanie	BNA768	Licensed Representative (Provisional Licence)	1, 6
LAM Cheuk Ho	BND737	Licensed Representative (Provisional Licence)	1
LAM Ho Lim	BNH278	Licensed Representative (Provisional Licence)	1, 2
LAM Hoi Ki	BNF147	Licensed Representative (Provisional Licence)	1, 4
LAM Ka Eunise	BNG689	Licensed Representative (Provisional Licence)	1
LAM Kin Chung	AXM570	Licensed Representative (Provisional Licence)	1
LAM Tik Chun	BNG391	Licensed Representative (Provisional Licence)	1, 2
LAN Tian	BNB944	Licensed Representative (Provisional Licence)	9
LAO Cheek lat	BND256	Licensed Representative (Provisional Licence)	3
LAU Arthur Nicholas Tsz-him	BFZ391	Licensed Representative (Provisional Licence)	1, 6
LAU Hok Yuk	AOE856	Licensed Representative (Provisional Licence)	1, 4
LAU Nga Ki	ASY491	Licensed Representative (Provisional Licence)	1
LAU Shun Yan, Dora	ARO293	Licensed Representative (Provisional Licence)	1
LAU Tin Lun	BNG471	Licensed Representative (Provisional Licence)	1
LAU Wing Chung	BNI132	Licensed Representative (Provisional Licence)	9
LAW Ching Man Graciana	BND335	Licensed Representative (Provisional Licence)	1, 6
LAW Yeung Kwong Sunny	BNE587	Licensed Representative (Provisional Licence)	1
LEAR Mark Andrew	BNG613	Licensed Representative (Provisional Licence)	4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEE Godwin	AXL950	Licensed Representative (Provisional Licence)	1, 4
LEE Ji Hyun	BNC116	Licensed Representative (Provisional Licence)	1, 4, 9
LEE John	AYC035	Licensed Representative (Provisional Licence)	1, 6
LEE Mei Lam Nicole	BNG434	Licensed Representative (Provisional Licence)	1, 2
LEE Pui Yee	BJZ506	Licensed Representative (Provisional Licence)	4, 9
LEE Sean Mu Yi	BNC539	Licensed Representative (Provisional Licence)	1
LEE Tsz Yin	BNG708	Licensed Representative (Provisional Licence)	1, 2, 9
LEE Wing Hong	AYK329	Licensed Representative (Provisional Licence)	1
LEE Wing Sum	AJJ417	Licensed Representative (Provisional Licence)	4
LEE Yoon Jee	BNF315	Licensed Representative (Provisional Licence)	1, 4, 6
LEE Zhen Ting, Brian	BNC477	Licensed Representative (Provisional Licence)	6
LEUNG Chu	BNG367	Licensed Representative (Provisional Licence)	1, 2
LEUNG Chung Wing	BNC659	Licensed Representative (Provisional Licence)	1, 2
LEUNG Chung Yin	BNF563	Licensed Representative (Provisional Licence)	1
LEUNG Ho Wing	BNF543	Licensed Representative (Provisional Licence)	1, 6
LEUNG Hoi Kit	BNH059	Licensed Representative (Provisional Licence)	1, 4, 9
LEUNG Ping Chuen	BNG152	Licensed Representative (Provisional Licence)	4, 9
LEUNG Sau Ha	BNF371	Licensed Representative (Provisional Licence)	1, 4
LI Ankang	BMX857	Licensed Representative (Provisional Licence)	1, 6
LI Binnan	BMT388	Licensed Representative (Provisional Licence)	1, 4
LI Chang	BMV779	Licensed Representative (Provisional Licence)	10
LI Chengzhen	BEW378	Licensed Representative (Provisional Licence)	4, 9
LI Duxi	BNF244	Licensed Representative (Provisional Licence)	1, 6
LI Grace Ann	BNG550	Licensed Representative (Provisional Licence)	1, 4, 6
LI Hanzheng	BND739	Licensed Representative (Provisional Licence)	9
LI Jing	ARI941	Licensed Representative (Provisional Licence)	1, 2
LI Jingyi	BNG503	Licensed Representative (Provisional Licence)	1, 4, 9
LI Lingyun	BMV306	Licensed Representative (Provisional Licence)	1, 4
LI Ming-Chin	BNH614	Licensed Representative (Provisional Licence)	1
LI Qixuan	BMX628	Licensed Representative (Provisional Licence)	1, 6
LI Yanni	BNC480	Licensed Representative (Provisional Licence)	1, 2, 4
LI Yifan	BNC202	Licensed Representative (Provisional Licence)	1, 6
LI Yiu Nam	BNE722	Licensed Representative (Provisional Licence)	1, 4
LI Yulong	BNC964	Licensed Representative (Provisional Licence)	1, 6
LI Yunqiao	BGI546	Licensed Representative (Provisional Licence)	1, 4, 6
LI Zelin	BND441	Licensed Representative (Provisional Licence)	1, 4
LI Zhuowei	AXG597	Licensed Representative (Provisional Licence)	1, 6
LIANG Chulei	BNE526	Licensed Representative (Provisional Licence)	1, 6
LIANG Ying	BNA785	Licensed Representative (Provisional Licence)	1, 4, 9
LIM Wei	BNF483	Licensed Representative (Provisional Licence)	9
LIN Mengdi	BNB152	Licensed Representative (Provisional Licence)	4
LIN Mingzhao	BNE753	Licensed Representative (Provisional Licence)	1, 6
LIN Zhongqiang	BND615	Licensed Representative (Provisional Licence)	1, 4, 6
LIN Zicheng	BNC037	Licensed Representative (Provisional Licence)	2, 5
LING Rundong	BNC103	Licensed Representative (Provisional Licence)	4
LIU Frederick Thomas	AMJ860	Licensed Representative (Provisional Licence)	4, 9



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Haoran	BCP185	Licensed Representative (Provisional Licence)	9
LIU Ho Fai	BNE470	Licensed Representative (Provisional Licence)	1, 4
LIU Jian	BNC023	Licensed Representative (Provisional Licence)	1, 6
LIU Jieqi	AZR561	Licensed Representative (Provisional Licence)	4
LIU Jingyu	BNE747	Licensed Representative (Provisional Licence)	1, 6
LIU Ke	BNG769	Licensed Representative (Provisional Licence)	1
LIU Mei-yin	BNG664	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Minyue	BNB412	Licensed Representative (Provisional Licence)	1, 4
LIU Nan	BNB652	Licensed Representative (Provisional Licence)	4
LIU Yao	BMV034	Licensed Representative (Provisional Licence)	10
LIU Yifei	BNH175	Licensed Representative (Provisional Licence)	1, 6
LO Shuk Kwan, Alison	AOS013	Licensed Representative (Provisional Licence)	1, 4, 9
LO Wing Sze Winsy	BMC196	Licensed Representative (Provisional Licence)	1, 6
LOUIE Hau Tak	BND174	Licensed Representative (Provisional Licence)	6
LU Hao	BNG077	Licensed Representative (Provisional Licence)	9
LU Tim Haitian	BNG398	Licensed Representative (Provisional Licence)	1, 2
LU Wei	BNF423	Licensed Representative (Provisional Licence)	1, 6
LU Yi Fu	BNE451	Licensed Representative (Provisional Licence)	1, 6
LU Zeyu	BHA159	Licensed Representative (Provisional Licence)	1, 4, 6
LUI Yip Fung	BNF893	Licensed Representative (Provisional Licence)	1
LUO Ruoyun	BNI553	Licensed Representative (Provisional Licence)	1, 6
LUO Yi	BNH867	Licensed Representative (Provisional Licence)	1, 6
MA Chi Hong	BNH068	Licensed Representative (Provisional Licence)	1, 2
MA Mali	BNB200	Licensed Representative (Provisional Licence)	1, 4
MA Sichong	BNB375	Licensed Representative (Provisional Licence)	1, 6
MAHESHWARI Abhinav	AVK737	Licensed Representative (Provisional Licence)	9
MAK Lok Ki	BNC115	Licensed Representative (Provisional Licence)	2, 5
MAN Ka Hei	BNG160	Licensed Representative (Provisional Licence)	1
MARAI Christopher Nicholas John	BNH699	Licensed Representative (Provisional Licence)	4
MARCHADIER Arnaud Christian Abel	BND746	Licensed Representative (Provisional Licence)	1, 2, 4, 5
MASATO Araki	BMV103	Licensed Representative (Provisional Licence)	1
MEI Mengxue	BNB416	Licensed Representative (Provisional Licence)	1, 4, 6
MEN Hanlu	BMR924	Licensed Representative (Provisional Licence)	4, 9
MIAO Yue	BNE754	Licensed Representative (Provisional Licence)	1, 6
MIN Hao	BDV966	Licensed Representative (Provisional Licence)	4
MO Chung Man	AQN970	Licensed Representative (Provisional Licence)	1, 2
MO Ziqian	BNE423	Licensed Representative (Provisional Licence)	2, 5
MODI Alok	BNF103	Licensed Representative (Provisional Licence)	1, 2
MORI Takahiro	BAX548	Licensed Representative (Provisional Licence)	4
MUKHAMETSHIN Anvar	BND402	Licensed Representative (Provisional Licence)	1
NAKABACHI Aya	BND276	Licensed Representative (Provisional Licence)	1, 4, 6
NG Li Yen	BGT393	Licensed Representative (Provisional Licence)	1
NG Lu Yan	AYG297	Licensed Representative (Provisional Licence)	1
NG Wai Yin Hailey	BNH346	Licensed Representative (Provisional Licence)	1
NG Yiu Tung	BNC929	Licensed Representative (Provisional Licence)	1, 6
NGAI Hoi Chun	AIH608	Licensed Representative (Provisional Licence)	1, 2



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
NGUYEN Thi Hao	BND550	Licensed Representative (Provisional Licence)	9
OH In Hwan	BMV978	Licensed Representative (Provisional Licence)	1, 2, 4
PAN Xiaofei	BNC030	Licensed Representative (Provisional Licence)	1, 6
PANG Kun	BNH170	Licensed Representative (Provisional Licence)	1, 6
PARK Jaewook	BNF224	Licensed Representative (Provisional Licence)	1
PARK Joo Han	BND586	Licensed Representative (Provisional Licence)	1
PARK Joonkyung	BNH164	Licensed Representative (Provisional Licence)	1, 6
PARSONS John Sellick	BMV761	Licensed Representative (Provisional Licence)	1, 4
PENG Can	BEE671	Licensed Representative (Provisional Licence)	1, 6
PUN Chan Fong	BGC810	Licensed Representative (Provisional Licence)	1, 6
QI Jiali	BNI023	Licensed Representative (Provisional Licence)	4
QIAN Feiting	BNH505	Licensed Representative (Provisional Licence)	1, 2, 4
QIAN Jiajia	BMZ485	Licensed Representative (Provisional Licence)	4
QIAN Linjuan	AYE750	Licensed Representative (Provisional Licence)	1, 4
QIU Anhong	BLT266	Licensed Representative (Provisional Licence)	1, 4, 9
QU Wenting	BNE853	Licensed Representative (Provisional Licence)	9
QU Wenxuan	BNF297	Licensed Representative (Provisional Licence)	1, 4
RAJU Vikram	BNF243	Licensed Representative (Provisional Licence)	1, 9
RAKESH Vijay Raghavan	BNF853	Licensed Representative (Provisional Licence)	4
REN Siyuan	BND163	Licensed Representative (Provisional Licence)	1, 4, 6
ROBSON Neil Christopher	BNI324	Licensed Representative (Provisional Licence)	1
RONG Yanping	BNF486	Licensed Representative (Provisional Licence)	6
RU Yihan	BMX927	Licensed Representative (Provisional Licence)	4, 9
SANZ-GADEA IMBANZA alain	BNE454	Licensed Representative (Provisional Licence)	1, 4
SCOTT Julian Bowd	AVF123	Licensed Representative (Provisional Licence)	1, 2
SESHASAYEE Aadit	AQZ618	Licensed Representative (Provisional Licence)	1, 4
SETO Wang Tat William	AOU551	Licensed Representative (Provisional Licence)	1, 4, 9
SHA Shengjie	BNE746	Licensed Representative (Provisional Licence)	1, 6
SHAH Shivani Ashokbhai	BNF386	Licensed Representative (Provisional Licence)	1, 6
SHAM Wing Yi	BAT873	Licensed Representative (Provisional Licence)	1, 2
SHAO Yuping	BNH376	Licensed Representative (Provisional Licence)	1
SHEN Xi	BNF387	Licensed Representative (Provisional Licence)	1, 4, 6
SHI Chanjuan	BNE427	Licensed Representative (Provisional Licence)	1, 2, 7
SHI Jiangnan	BNC307	Licensed Representative (Provisional Licence)	1, 2, 4, 5
SHI Wenting	BKX436	Licensed Representative (Provisional Licence)	1, 6
SHIEH Steven	BNB448	Licensed Representative (Provisional Licence)	1, 6
SIM Rick Man	BNF965	Licensed Representative (Provisional Licence)	1
SIMA Leien	BNF397	Licensed Representative (Provisional Licence)	1, 6
SINGH Pritpal	BNH076	Licensed Representative (Provisional Licence)	1, 2
SIU Kwok Fai	BND288	Licensed Representative (Provisional Licence)	1
SMITH Nicole Jia Zhong	BNF380	Licensed Representative (Provisional Licence)	1, 6
SO Ho Ting	BNF627	Licensed Representative (Provisional Licence)	1, 2
SO Ka Wai	BNC194	Licensed Representative (Provisional Licence)	1, 4, 9
SOLEIMANI Ali Afshin	BMV789	Licensed Representative (Provisional Licence)	1, 2
SONG Xia	ARH870	Licensed Representative (Provisional Licence)	1, 4
SONG Yiliu	BNB401	Licensed Representative (Provisional Licence)	2, 5


REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
SOON Yung Kuen	AXU894	Licensed Representative (Provisional Licence)	3
SUE Jonathan Kevin Chi-Hing	BNF364	Licensed Representative (Provisional Licence)	1, 4, 9
SUN Jianshu	BMR326	Licensed Representative (Provisional Licence)	9
SUN Long	BNG448	Licensed Representative (Provisional Licence)	2
SZU Wei-hsuan	AZR297	Licensed Representative (Provisional Licence)	1, 4
TAI Chun Ting	BNG168	Licensed Representative (Provisional Licence)	1
TAI Mei Wah	BNC612	Licensed Representative (Provisional Licence)	1, 2
TAKAHASHI Atsutoshi	BNB284	Licensed Representative (Provisional Licence)	1, 4, 6
TAM Ka Ho	BCC092	Licensed Representative (Provisional Licence)	9
TAM Pui Chi	AFT247	Licensed Representative (Provisional Licence)	4, 9
TAM Wing Chun	BNF806	Licensed Representative (Provisional Licence)	1, 2, 3
TAN Daisy	BNF821	Licensed Representative (Provisional Licence)	1, 4
TAN Yifan	BLT958	Licensed Representative (Provisional Licence)	1, 2, 9
TANG Yeung Long	BNH251	Licensed Representative (Provisional Licence)	3
TAO Ye	BMW836	Licensed Representative (Provisional Licence)	4
TENG Wan Chi	AVP899	Licensed Representative (Provisional Licence)	1, 6
TEO Swee Yong	ASW357	Licensed Representative (Provisional Licence)	1
THURLIN Arto Joonas	BNC714	Licensed Representative (Provisional Licence)	1
TIAN RUI	BNG006	Licensed Representative (Provisional Licence)	1, 6
TIEU Minh Hai	BNF594	Licensed Representative (Provisional Licence)	1, 4, 6, 9
TOMASIK Filip	BNG650	Licensed Representative (Provisional Licence)	1, 6
TOMPKINS John G	ANK430	Licensed Representative (Provisional Licence)	1, 2
TONG Yuk Wai	BNF979	Licensed Representative (Provisional Licence)	1, 4
TRAN Emile	BND346	Licensed Representative (Provisional Licence)	1, 2, 7
TSANG Lun Ho	BNE791	Licensed Representative (Provisional Licence)	1
TSANG Michelle Wing Yung	BNE750	Licensed Representative (Provisional Licence)	1, 6
TSANG Shu Ping	ASI417	Licensed Representative (Provisional Licence)	1
TSANG Wai Keung	BNH509	Licensed Representative (Provisional Licence)	1
TSE Jonathan	BEB231	Licensed Representative (Provisional Licence)	1
TSE Pui Yi	BNE636	Licensed Representative (Provisional Licence)	1, 4, 6
TSE Syn Yan	BNG364	Licensed Representative (Provisional Licence)	1
TSE Victor Sai Chung	ANJ707	Licensed Representative (Provisional Licence)	2
TSOI Kwan Kin	BNG892	Licensed Representative (Provisional Licence)	1
TSOI Wing Mei	BNC666	Licensed Representative (Provisional Licence)	1, 2
TSUI Christopher Frank	BNC752	Licensed Representative (Provisional Licence)	6
TSUI Ka Chun	BNE461	Licensed Representative (Provisional Licence)	2
TU Yuxi	BHW846	Licensed Representative (Provisional Licence)	4
ULRICH Jing	ADI942	Licensed Representative (Provisional Licence)	1, 4, 6
VERMA Pramath	BNF578	Licensed Representative (Provisional Licence)	1, 4, 6
VOISIN Alexis	BNC512	Licensed Representative (Provisional Licence)	1, 2
WAI Shiu Kwan, Danny	ACI963	Licensed Representative (Provisional Licence)	6
WALSH Ashley Paul Jeffery James	BDX251	Licensed Representative (Provisional Licence)	1, 2, 7
WAN Hui-ju	BNC061	Licensed Representative (Provisional Licence)	1, 4
WANG Binran	BAF174	Licensed Representative (Provisional Licence)	4, 9
WANG Cong	BMX501	Licensed Representative (Provisional Licence)	1, 2
WANG Fan	AXH201	Licensed Representative (Provisional Licence)	9



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Fang	BIY226	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Le	ANP373	Licensed Representative (Provisional Licence)	1
WANG Lu	BNF193	Licensed Representative (Provisional Licence)	1, 2
WANG Mengzhi	AYQ824	Licensed Representative (Provisional Licence)	4, 9
WANG Min	BNF305	Licensed Representative (Provisional Licence)	4
WANG Mingjia	BNJ173	Licensed Representative (Provisional Licence)	1
WANG Mingjun	BMW778	Licensed Representative (Provisional Licence)	9
WANG Rongxin	BNH491	Licensed Representative (Provisional Licence)	1, 2, 4
WANG Shihua	BNH504	Licensed Representative (Provisional Licence)	1, 2
WANG Shuai	BNB844	Licensed Representative (Provisional Licence)	1
WANG Tianyi	BNF960	Licensed Representative (Provisional Licence)	4
WANG Wei	BNH497	Licensed Representative (Provisional Licence)	1, 4
WANG Wenzhe	BNE422	Licensed Representative (Provisional Licence)	1
WANG Xiaoping	BNE749	Licensed Representative (Provisional Licence)	1, 6
WANG Xiaotong	BNF911	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Xiaoxiao	BNB928	Licensed Representative (Provisional Licence)	6
WANG Xiwei	BNC852	Licensed Representative (Provisional Licence)	1, 6
WANG Xuefang	BNH485	Licensed Representative (Provisional Licence)	1
WANG Yan	BNB254	Licensed Representative (Provisional Licence)	1
WANG Yanni	BNH166	Licensed Representative (Provisional Licence)	1, 4
WANG Ye Yin	BNF370	Licensed Representative (Provisional Licence)	4, 9
WANG Yifan	BNH604	Licensed Representative (Provisional Licence)	1
WANG Yinjie	BNG478	Licensed Representative (Provisional Licence)	1, 6
WANG Yinyan	BNC840	Licensed Representative (Provisional Licence)	1, 6
WANG Yiqun	BNC716	Licensed Representative (Provisional Licence)	1, 2, 4
WANG Yue	BNC128	Licensed Representative (Provisional Licence)	1, 4, 9
WARTHER Stewart Jackson	BNF463	Licensed Representative (Provisional Licence)	4, 9
WEI Jie	BNE719	Licensed Representative (Provisional Licence)	1, 2
WEI Shuyong	BNF156	Licensed Representative (Provisional Licence)	9
WEI Wang-Li	BNE447	Licensed Representative (Provisional Licence)	2, 5
WEISS Isabel Jiin-Mei	BNG715	Licensed Representative (Provisional Licence)	1, 2, 7
WEN QI	BMV430	Licensed Representative (Provisional Licence)	4
WEN Ya	BMV925	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Cheung Wa	BND444	Licensed Representative (Provisional Licence)	1
WONG Ching	BND640	Licensed Representative (Provisional Licence)	1
WONG Chun Kai	BNG368	Licensed Representative (Provisional Licence)	6
WONG Hoi Wing	BMX627	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Ka Hin	BMV852	Licensed Representative (Provisional Licence)	1, 2
WONG Mei Mui	BNI479	Licensed Representative (Provisional Licence)	1
WONG Poh Yoke	BNF407	Licensed Representative (Provisional Licence)	1
WONG Sau Fan	BNE466	Licensed Representative (Provisional Licence)	1
WONG Shek Ting Stephen	BNB521	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Suk Wah	AIZ232	Licensed Representative (Provisional Licence)	1, 4
WONG Tsun Hei	ASP993	Licensed Representative (Provisional Licence)	1, 4
WONG Tze Wai	BNE608	Licensed Representative (Provisional Licence)	6
WONG Yee Man	BNG182	Licensed Representative (Provisional Licence)	1, 2



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Yee Shan	BNF823	Licensed Representative (Provisional Licence)	9
WONG Yui Wah	AJG600	Licensed Representative (Provisional Licence)	1, 6
WONGSASUTTHIKUL Paitoon	AOF932	Licensed Representative (Provisional Licence)	1
WU Ho Shing	BND652	Licensed Representative (Provisional Licence)	1, 6
WU Jiacheng	BNF716	Licensed Representative (Provisional Licence)	1, 4, 9
WU Lulu	BBJ798	Licensed Representative (Provisional Licence)	1, 4
WU Oumeng	BNF170	Licensed Representative (Provisional Licence)	1, 2, 4
WU Qintian	BMX517	Licensed Representative (Provisional Licence)	1, 4, 6
WU Renhao	APV536	Licensed Representative (Provisional Licence)	1, 4, 9
WU Yan	BMX557	Licensed Representative (Provisional Licence)	4, 9
XIA Yitian	BNB519	Licensed Representative (Provisional Licence)	1, 4, 9
XIANG Ziyuan	BNB431	Licensed Representative (Provisional Licence)	1, 6
XIE Yi	BFZ396	Licensed Representative (Provisional Licence)	1
XIE Yutong	BNC962	Licensed Representative (Provisional Licence)	1, 6
XIONG Mingda	BNH468	Licensed Representative (Provisional Licence)	1, 4, 9
XIONG Weiqi	BND490	Licensed Representative (Provisional Licence)	1, 4, 9
XU Aijia	BNE886	Licensed Representative (Provisional Licence)	1, 4
XU Pengfei	BNG979	Licensed Representative (Provisional Licence)	1, 6
XU Tianqing	BNG783	Licensed Representative (Provisional Licence)	1, 6
XU Xu	BNH079	Licensed Representative (Provisional Licence)	1, 4
XUE Zhong	BNB838	Licensed Representative (Provisional Licence)	1, 6
YAN Ho Yin	BNH239	Licensed Representative (Provisional Licence)	1
YAN Xueying	BNC039	Licensed Representative (Provisional Licence)	1, 6
YANAGI Akira	BNC153	Licensed Representative (Provisional Licence)	1, 6
YANG Anbang	BMU941	Licensed Representative (Provisional Licence)	4, 9
YANG Jianrui	BNH069	Licensed Representative (Provisional Licence)	6
YANG Jie	BMV244	Licensed Representative (Provisional Licence)	1
YANG Linlin	BNC117	Licensed Representative (Provisional Licence)	4
YANG Nan	BNF962	Licensed Representative (Provisional Licence)	1, 4
YANG Peng	BNB909	Licensed Representative (Provisional Licence)	1, 4
YANG Xiaoyu	BJW364	Licensed Representative (Provisional Licence)	9
YARDLEY Stephanie Lee	BNF113	Licensed Representative (Provisional Licence)	1, 4, 9
YAU Ho Yin Horace	BNH224	Licensed Representative (Provisional Licence)	1, 2
YE Jiannan	BMZ639	Licensed Representative (Provisional Licence)	1, 6
YE Shaohua	BNF086	Licensed Representative (Provisional Licence)	1
YE Shuyu	BNH981	Licensed Representative (Provisional Licence)	4, 9
YEUNG Hoi Ki Isabel	BNH084	Licensed Representative (Provisional Licence)	2
YEUNG Wing Yan	BNH098	Licensed Representative (Provisional Licence)	1
YIN Rui	BNF763	Licensed Representative (Provisional Licence)	10
YIP Chi Wing	ADI536	Licensed Representative (Provisional Licence)	1, 2
YONG Wai Fong	BNF187	Licensed Representative (Provisional Licence)	1
YONG Xin Hui	BNB700	Licensed Representative (Provisional Licence)	2, 5
YU Chun Man	BND624	Licensed Representative (Provisional Licence)	6
YU Hualong	BIR712	Licensed Representative (Provisional Licence)	4, 9
YU Jiaxue	BMV656	Licensed Representative (Provisional Licence)	4, 5, 9
YU Jin	BNF959	Licensed Representative (Provisional Licence)	1, 4


REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
YU Tianjiao	BND781	Licensed Representative (Provisional Licence)	1, 4
YU Xiaoxiao	BNA992	Licensed Representative (Provisional Licence)	1, 6
YU Xingyi	BND641	Licensed Representative (Provisional Licence)	1, 4, 6
YU Zhen	BNA774	Licensed Representative (Provisional Licence)	1, 6
YUAN Mengzhen	BNF377	Licensed Representative (Provisional Licence)	1, 6
YUAN Yuchen	BNH355	Licensed Representative (Provisional Licence)	1, 6
YUEN Kin Long	BJH245	Licensed Representative (Provisional Licence)	1, 2, 7
YUEN Man Ling	BNG615	Licensed Representative (Provisional Licence)	1, 2
YUEN Sau Yin	BNG517	Licensed Representative (Provisional Licence)	1, 2
YUNG Wing Sin	BNI304	Licensed Representative (Provisional Licence)	1
ZEE John	AAE260	Licensed Representative (Provisional Licence)	1, 2, 3, 4, 5, 9
ZHANG Di	AZC799	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Jiabi	BNH188	Licensed Representative (Provisional Licence)	4
ZHANG Jiangfan	BMX541	Licensed Representative (Provisional Licence)	1, 2, 4
ZHANG Jing	BNG657	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Lei	BNF391	Licensed Representative (Provisional Licence)	4
ZHANG Linyue	BNE751	Licensed Representative (Provisional Licence)	1, 6
ZHANG Pengtao	BNH904	Licensed Representative (Provisional Licence)	1
ZHANG Qingyu	BNB378	Licensed Representative (Provisional Licence)	1, 4
ZHANG Shan	BMX550	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Tianze	BIR938	Licensed Representative (Provisional Licence)	1
ZHANG Wenrui	BNG658	Licensed Representative (Provisional Licence)	1, 6
ZHANG Xiaohan	BNI542	Licensed Representative (Provisional Licence)	1
ZHANG Xincheng	BNF165	Licensed Representative (Provisional Licence)	1, 2, 4
ZHANG Xueqing	BNC281	Licensed Representative (Provisional Licence)	1, 4
ZHANG Xuting	BNG037	Licensed Representative (Provisional Licence)	1, 2, 9
ZHANG Yaowu	BNF349	Licensed Representative (Provisional Licence)	4, 9
ZHANG Yi Fei	BNH671	Licensed Representative (Provisional Licence)	9
ZHANG Yibo	BMW437	Licensed Representative (Provisional Licence)	1, 6
ZHANG Ying	BJR686	Licensed Representative (Provisional Licence)	4
ZHANG Zixiang	BGI750	Licensed Representative (Provisional Licence)	1, 6
ZHAO Ye	BNB421	Licensed Representative (Provisional Licence)	1, 2, 7
ZHAO Yifan	BNG821	Licensed Representative (Provisional Licence)	1, 2
ZHENG Hening	BND514	Licensed Representative (Provisional Licence)	1, 4, 6
ZHONG Lei	APQ822	Licensed Representative (Provisional Licence)	1, 4
ZHONG Xiaoling	BGW439	Licensed Representative (Provisional Licence)	10
ZHOU Feiran	BMV756	Licensed Representative (Provisional Licence)	1, 4, 6
ZHOU Kai	AVT474	Licensed Representative (Provisional Licence)	1, 6
ZHOU Ting	BNF666	Licensed Representative (Provisional Licence)	1, 4, 6
ZHOU Weishu	BNF259	Licensed Representative (Provisional Licence)	1, 6
ZHOU Xiaoli	BND518	Licensed Representative (Provisional Licence)	1, 4
ZHOU Yajun	BNE951	Licensed Representative (Provisional Licence)	1, 4, 9
ZHOU Yang	BNH866	Licensed Representative (Provisional Licence)	4
ZHU Jinsong	BNB389	Licensed Representative (Provisional Licence)	4
ZHU Jueying	BNB407	Licensed Representative (Provisional Licence)	1
ZHU Qi	BNB168	Licensed Representative (Provisional Licence)	1, 6


REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHU YI	BNB385	Licensed Representative (Provisional Licence)	10
ZHU Yichen	BNE676	Licensed Representative (Provisional Licence)	1, 6
AGARWAL Virat	BGU565	Licensed Representative (Temporary Licence)	1
AGRAWAL Anurag	BHN025	Licensed Representative (Temporary Licence)	1, 2
AHUJA Vivek Manohar	ARI512	Licensed Representative (Temporary Licence)	1
ARAVAMUTHAN Sasikant	BNJ445	Licensed Representative (Temporary Licence)	1, 4
BASU Sougata	BHZ553	Licensed Representative (Temporary Licence)	1
BODEREAU Philippe	BLC808	Licensed Representative (Temporary Licence)	1, 4
BRIGGS Thomas James Mark	BNC888	Licensed Representative (Temporary Licence)	1, 4
BROWNE Erin F	BHB894	Licensed Representative (Temporary Licence)	1, 4
CAMP Tristan Hector	BDL303	Licensed Representative (Temporary Licence)	1
CHADHA Dushyant	BIE137	Licensed Representative (Temporary Licence)	1
CHAINANI Suveer	BHV136	Licensed Representative (Temporary Licence)	1
CHAN Matthew Wing Tou	BGH189	Licensed Representative (Temporary Licence)	1, 2
CHARTRES Pierre	BLI962	Licensed Representative (Temporary Licence)	1
CHEN Qijian	BNH103	Licensed Representative (Temporary Licence)	1
CHEN Timothy C K	BEC091	Licensed Representative (Temporary Licence)	4
CHEONG Taeyong	BGC155	Licensed Representative (Temporary Licence)	1
CHHUGANI Gautam Prakash	BIO676	Licensed Representative (Temporary Licence)	4
CHHUGANI Gautam Prakash	BIO676	Licensed Representative (Temporary Licence)	4
CHIDGEY Kieren Joseph	BJX129	Licensed Representative (Temporary Licence)	4
CHING Yi Edmund	AKU003	Licensed Representative (Temporary Licence)	4
COWCHER Charles Michael	BNJ355	Licensed Representative (Temporary Licence)	10
CROOM Nicholas Andrew	BLI475	Licensed Representative (Temporary Licence)	1, 2
DADABHOY Jamshed Rustom	BFK188	Licensed Representative (Temporary Licence)	4
DARK Adrian John	BFA326	Licensed Representative (Temporary Licence)	4
FENG Kaiyun	BKO099	Licensed Representative (Temporary Licence)	1
FINCH Philip Julian Magnus	ATI170	Licensed Representative (Temporary Licence)	4
FOODEY David Nicholas	AQS670	Licensed Representative (Temporary Licence)	1
GARRE Venugopal	AML716	Licensed Representative (Temporary Licence)	4
GIOVANNY Jovent	BMV728	Licensed Representative (Temporary Licence)	4
GOYAL Surendra Kumar	BEO301	Licensed Representative (Temporary Licence)	4
HANDERSON Timothy	BNJ858	Licensed Representative (Temporary Licence)	4
HART Sebastian Aristotle	BNJ735	Licensed Representative (Temporary Licence)	1
HAYES Christopher John	BEB738	Licensed Representative (Temporary Licence)	1, 2
HILTABRAND David Blake	BLV357	Licensed Representative (Temporary Licence)	1, 4
HONGO Junichi	BHZ859	Licensed Representative (Temporary Licence)	1
HUANG Jinzheng Corwin	BJC167	Licensed Representative (Temporary Licence)	1, 4
HWANG Paul	AMO577	Licensed Representative (Temporary Licence)	4
ICHIKAWA Eriko	BNH011	Licensed Representative (Temporary Licence)	1
ICHIKAWA Teppei	BIB368	Licensed Representative (Temporary Licence)	1, 2
KEIJZER Tjeert	BNH152	Licensed Representative (Temporary Licence)	1, 4
KEM James Reade	BNC730	Licensed Representative (Temporary Licence)	1
KIM Sungeun	BIE125	Licensed Representative (Temporary Licence)	4
KOOIJ Reijer Jan	BBQ185	Licensed Representative (Temporary Licence)	1, 4
KUSAKA Tatsuya	BNF242	Licensed Representative (Temporary Licence)	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
KWEK Kevin Siew Beng	AZN097	Licensed Representative (Temporary Licence)	4
LEE Lo-Tzu	AVN430	Licensed Representative (Temporary Licence)	1
LEE Moses	BDE482	Licensed Representative (Temporary Licence)	2, 5
LEE Sei Cheol	BLY339	Licensed Representative (Temporary Licence)	4
LEUCHTEN Michael	BKM728	Licensed Representative (Temporary Licence)	4
LEW Hyun Tai	BNH351	Licensed Representative (Temporary Licence)	1
Li Jiaxin	BNK962	Licensed Representative (Temporary Licence)	2
LIUSUDARSO Justian Rama	BNH961	Licensed Representative (Temporary Licence)	4
LLOYD David	BEF285	Licensed Representative (Temporary Licence)	4
LU Yumian	ASM064	Licensed Representative (Temporary Licence)	1, 4
MAEKITA Takanobu	BHX057	Licensed Representative (Temporary Licence)	1, 2
MARKS Janina Martha	BGV716	Licensed Representative (Temporary Licence)	1, 2
MATHRANI Nilima Ajay	BMT591	Licensed Representative (Temporary Licence)	1, 4
MATHUR Aditya	BEO279	Licensed Representative (Temporary Licence)	4
MAZZUCATO Federica	BNH371	Licensed Representative (Temporary Licence)	2
MCCARTHY Conor William	BN4754	Licensed Representative (Temporary Licence)	1, 4
MERTENS Ulf	BNG486	Licensed Representative (Temporary Licence)	1
MONAPPA Aditya	BNH920	Licensed Representative (Temporary Licence)	4
MORGAN Glenn David	AWK540	Licensed Representative (Temporary Licence)	1
MOSSELAAR Jan Sijtze	BHH524	Licensed Representative (Temporary Licence)	1
MUKHIJA Tanuj	BJA905	Licensed Representative (Temporary Licence)	4
NA Eun Chae	BGC163	Licensed Representative (Temporary Licence)	4
NA PATTALOONG Natchutha	BIO006	Licensed Representative (Temporary Licence)	4
NAKATOMI Ryosuke	BKV309	Licensed Representative (Temporary Licence)	1
NAYAR Viswanath	BDP262	Licensed Representative (Temporary Licence)	1
O'HARA Timothy Patrick	BLJ146	Licensed Representative (Temporary Licence)	1, 4
PENAVIC Stjepan Drzislav	BNG890	Licensed Representative (Temporary Licence)	1
POOL Jonathan Michael	AMU497	Licensed Representative (Temporary Licence)	1
REDBOURN Paul Robert	AFQ906	Licensed Representative (Temporary Licence)	1
REDFERN William James	BGX417	Licensed Representative (Temporary Licence)	4
REGNAT Benjamin	BNC548	Licensed Representative (Temporary Licence)	1, 4, 5
RICCI Jason Steven	BJE668	Licensed Representative (Temporary Licence)	1, 2
ROLLINS Michael Ian	BJZ640	Licensed Representative (Temporary Licence)	4
ROZOV Andrew Jacob	BNJ107	Licensed Representative (Temporary Licence)	1
SEKI Atsushi	BIB389	Licensed Representative (Temporary Licence)	4
SEMBOMMATSU Akira	BNG703	Licensed Representative (Temporary Licence)	1, 2
SERRANT Hamille Joseph	AYQ285	Licensed Representative (Temporary Licence)	1, 4
SESHASAYEE Aadit	AQZ618	Licensed Representative (Temporary Licence)	1, 4
SHAH Sandeep Ramniklal	BNJ859	Licensed Representative (Temporary Licence)	4
SHIBANO Masahiro	BFN431	Licensed Representative (Temporary Licence)	4
SON Youngchan	ARD485	Licensed Representative (Temporary Licence)	1, 2
SPARTALIS Sophie Despina	BIM602	Licensed Representative (Temporary Licence)	4
SUN MATTISON Linda Wu	BBF677	Licensed Representative (Temporary Licence)	4
TAMARI Yuya	BMN416	Licensed Representative (Temporary Licence)	1
TAN Li Ping	BNI673	Licensed Representative (Temporary Licence)	1
TAY Hui Xin	BMV799	Licensed Representative (Temporary Licence)	1, 4

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018**

CE Name	CE No.	Licence Type	Types of Regulated Activity
TOMARU Yoshitaka	BFT494	Licensed Representative (Temporary Licence)	1, 2
TRUELOVE Keith Alan	AWF626	Licensed Representative (Temporary Licence)	1
UKIM Hasan	BJR959	Licensed Representative (Temporary Licence)	1
VAN VLIET Willem Nicolaas	BNF902	Licensed Representative (Temporary Licence)	1
VISWANATHA IYER Rishi	BNC587	Licensed Representative (Temporary Licence)	1
WANG Pei- Ling	BNI026	Licensed Representative (Temporary Licence)	10
WANG Shiyun	BDP424	Licensed Representative (Temporary Licence)	4
WATSON Adam James	BCY110	Licensed Representative (Temporary Licence)	1, 4
WEISSER Johannes Anton Markus	BNH705	Licensed Representative (Temporary Licence)	1
WELLS Justin Derek	BGK594	Licensed Representative (Temporary Licence)	1
WONG Bennie Chiu Kit	BEK754	Licensed Representative (Temporary Licence)	1, 4
WONG Ferry Wijaya	ARB231	Licensed Representative (Temporary Licence)	4
WYETH Sarah	BNI416	Licensed Representative (Temporary Licence)	10
XIAO Yu	BNI726	Licensed Representative (Temporary Licence)	1, 2
XU Yaowei	BNH702	Licensed Representative (Temporary Licence)	1
YANEZ HINOJOSA Anibal David	BEV153	Licensed Representative (Temporary Licence)	1, 2
YANEZ HINOJOSA Anibal David	BEV153	Licensed Representative (Temporary Licence)	1, 2
YOSHIKAWA Ken	BMX497	Licensed Representative (Temporary Licence)	1
YU Jia	BJQ980	Licensed Representative (Temporary Licence)	4
YUHARA Kaori	BHZ852	Licensed Representative (Temporary Licence)	1
YUNG-HOK Peter Stephen	BDL617	Licensed Representative (Temporary Licence)	2, 5
ZHANG Yingqiu	BNG716	Licensed Representative (Temporary Licence)	4
ZUERCHER Roxana	BMS303	Licensed Representative (Temporary Licence)	1