

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
Ingensoma Trading Group Limited	AQR467	Licensed Corporation	1, 2
RSI Asset Management Limited	BJD421	Licensed Corporation	9
AEPLI Kevin Holliday	BJG432	Licensed Representative	1
ALLEN Stewart William	BMN654	Licensed Representative	4, 9
ANID Ingrid Marie	BFC669	Licensed Representative	1, 9
AQUINO Derrick Anthony Constantino	ANG464	Licensed Representative	1, 7
ASHWORTH Simon Henry Charles	ATH999	Licensed Representative	2, 5
BANSAL Samarth	BFS275	Licensed Representative	1, 2, 7
BAO Fan	ACI798	Licensed Representative	1, 4, 6
BAUMEISTER Andrea Carla	AWQ257	Licensed Representative	1, 2
BEAL Mark Jeffrey	BGK881	Licensed Representative	1, 2
BETARI Jedd	BEZ488	Licensed Representative	1, 2, 7
BILLINGS Jason Craig	ACT407	Licensed Representative	4, 9
CAHILL Xin	BHY903	Licensed Representative	10
CAI Yibo	BCN610	Licensed Representative	1, 2, 9
CAI YU	BMN483	Licensed Representative	1, 6
CALA Carlos	ADL125	Licensed Representative	1, 4
CASSIDY David Michael	ATI168	Licensed Representative	4
CEYRAC Victoria Jeanne Marthe	BGI561	Licensed Representative	1
CHAMICH Youssef	AYV280	Licensed Representative	1, 2
CHAN Ching Man	AMP326	Licensed Representative	1
CHAN Derrick	BDE476	Licensed Representative	1, 4, 9
CHAN Hoi Man	AJG494	Licensed Representative	1, 2
CHAN Hok Man	BJA646	Licensed Representative	1, 4
CHAN Ka Kei	BJC388	Licensed Representative	1
CHAN Kai Tai	AGJ089	Licensed Representative	1, 3
CHAN Kam Lo, Lois	AED209	Licensed Representative	1, 2
CHAN Kin Shing	BCZ387	Licensed Representative	1, 4
CHAN King To, Ronald	AFC960	Licensed Representative	1, 4
CHAN Man Wing	AXJ787	Licensed Representative	1, 2
CHAN Man Yi	ARI792	Licensed Representative	1
CHAN Nai Yan	BFA523	Licensed Representative	6
CHAN Pak Hong	BKK318	Licensed Representative	9
CHAN Pui Yi	BHH658	Licensed Representative	6
CHAN See Han	AIB680	Licensed Representative	1
CHAN Shuk Lei	AJH102	Licensed Representative	1, 2, 9
CHAN Wai Ip	AAW528	Licensed Representative	1
CHAN Wai Sum, Henry	AGM472	Licensed Representative	1, 4
CHAN Yat Fu, Edward	BGH405	Licensed Representative	1, 2
CHAN Yue Hon	BJB031	Licensed Representative	1, 7
CHAN Yuen On Alice	BJL319	Licensed Representative	1, 4, 9
CHAN Yui Man	BDH043	Licensed Representative	4
CHAU Cho Lin	BJR645	Licensed Representative	1
CHAU Chun Yin	BLF847	Licensed Representative	1, 2
CHAU Wing Yung	BKM994	Licensed Representative	6
CHAUDHRY Anagh	BEV971	Licensed Representative	6
CHEN Angela Fang	BBH657	Licensed Representative	9
CHEN Ji Hao, Tony	AVH878	Licensed Representative	1, 6
CHEN Ka Yip, Walter	AQW933	Licensed Representative	1, 4, 9
CHEN Shijie	BEA821	Licensed Representative	4, 9
CHEN Yao	BHR401	Licensed Representative	1, 4, 9
CHEUNG Francis Yiu Cheong	AFZ520	Licensed Representative	4
CHEUNG Kar Po, Carol	ANP075	Licensed Representative	1
CHEUNG Man Ling	ANM983	Licensed Representative	4, 5, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEUNG Pan Chuen	BLO473	Licensed Representative	9
CHEUNG Sze Chung	AUM379	Licensed Representative	1
CHEUNG Tsz Wang	ARI651	Licensed Representative	1, 2, 4, 5, 9
CHEUNG Wang	AMF506	Licensed Representative	1
CHEW John Hock Thye	AZC899	Licensed Representative	1, 2
CHIN Man Fai	BAP590	Licensed Representative	1, 2
CHIN Man Yi	AMR267	Licensed Representative	1, 4
CHIU Lawrence Hoi Yeung	BFO359	Licensed Representative	1, 2, 3
CHIU Sui Ching	BDP047	Licensed Representative	1, 2, 4, 5
CHO Chin-Hua	BMX094	Licensed Representative	1
CHOI Chun Ning	ATS068	Licensed Representative	1, 2
CHOI Eunsuk	BHO958	Licensed Representative	1, 4
CHONG Kui Wah	AAA021	Licensed Representative	1
CHONG Sai Leung	ARG483	Licensed Representative	1
CHOW Ka Chun	BMS305	Licensed Representative	6
CHOY Phoebe Sin Ting	AWJ867	Licensed Representative	1
CHU Christopher	AQD399	Licensed Representative	1, 4, 9
CHUA Pei Feng	ASL814	Licensed Representative	1
CHUA Yong Hua	BJW897	Licensed Representative	1, 4, 9
CHUNG Hung Ming	AHA428	Licensed Representative	1, 2
CHUNG Suk Moon	AEK432	Licensed Representative	1, 2
CLANCY Gerard Aloysius	AIZ875	Licensed Representative	1, 4
CLERGET Yann Ulrich Christian	AQN581	Licensed Representative	1, 2
CUI Lin	BHU063	Licensed Representative	4
DANDO Raphael Regis	BGJ141	Licensed Representative	1, 2, 4, 5
DAURES Christophe, Pascal, Emmanuel	AUQ925	Licensed Representative	1, 2
DAVID Martin	ASF044	Licensed Representative	1, 2, 9
DENG Xie	BIT809	Licensed Representative	4
DING Dadu	BLQ502	Licensed Representative	1
DIYACHKINA Polina	APH589	Licensed Representative	4
DONG Wei	BMR596	Licensed Representative	4, 9
DUVERNAY Alexis Charles Antoine	BBT702	Licensed Representative	1, 4, 9
EGGENS Hindrik	BGL472	Licensed Representative	1, 4
FAN Zhenling	BDQ884	Licensed Representative	4
FANG Zhichen	BGD192	Licensed Representative	4
FENG Yanjun	BJE704	Licensed Representative	1, 4
FIORENTINO Fabrizia	BJJ495	Licensed Representative	1, 4, 6
FIPP Robert Bernard	BGK611	Licensed Representative	1, 4
FIRTH Charles Richard Bartholomew	AIK808	Licensed Representative	9
FLEMING James Andrew Vandermere	AXH673	Licensed Representative	1, 4, 6
FONG Chat Hing	BMW510	Licensed Representative	1, 4
FONSEKA Mahesh	AYT760	Licensed Representative	1, 4
FUNG Sim Kuen	BKC637	Licensed Representative	1
FUNG Sze Man	ARM577	Licensed Representative	1, 2
FUNG Wing Hong	AEA159	Licensed Representative	2
FUNG Wing Ping	AAC015	Licensed Representative	1
GAN Jia C	AQN362	Licensed Representative	1
GAO Tianyu	BDQ588	Licensed Representative	6
GAO Ya	BER522	Licensed Representative	1
GAO Yaqing	BFX192	Licensed Representative	1, 4, 6
GELIOT Adrien Marie Gregoire	AZX660	Licensed Representative	4, 5, 9
GIBSON David James	BAH599	Licensed Representative	4
GORO Harunobu	ALV033	Licensed Representative	1
GRANT Stuart Morrison	AXD383	Licensed Representative	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
GRIEVE Andrew James	AXL790	Licensed Representative	1, 4
GUO Min	BGH538	Licensed Representative	1, 4
GUPTA Pooja	BIC159	Licensed Representative	1, 4, 9
HALL Christopher Charles	BEV527	Licensed Representative	1, 4, 9
HAO Can	BMK876	Licensed Representative	1, 2
HO Cham	BCG431	Licensed Representative	1, 4
HO Cheong Yuen	BFE789	Licensed Representative	1, 2
HO Mei Yan	BN4756	Licensed Representative	9
HO Shuk Man, Gale	AGB356	Licensed Representative	1, 2, 4
HO Tsz Leung	AJD615	Licensed Representative	1, 2
HODGSON Jonathan Roy	ADB785	Licensed Representative	1, 2
HONG Wenxi	BHH491	Licensed Representative	1, 2, 9
HU Bin	BNQ784	Licensed Representative	5
HU Xiangquan	BMG564	Licensed Representative	1, 6
HU Zhongwen	BFU380	Licensed Representative	1
HUANG Hsiu-Lin	ARG673	Licensed Representative	1, 2, 4
HUANG Jing	BEM790	Licensed Representative	1
HUANG Libin	BHX660	Licensed Representative	1, 4
HUANG Shengran	BIQ229	Licensed Representative	1, 4, 9
HUANG Xiaoni	BDR774	Licensed Representative	1, 9
HUANG Yating	BML416	Licensed Representative	1, 4
HUI Sai Fung	BDL757	Licensed Representative	1, 4, 9
HUNG Ling Fai	AVT055	Licensed Representative	1
IACOMELLA Peter Anthony	BLZ812	Licensed Representative	1, 4
IP Chun Bong	AWX744	Licensed Representative	1
IP Margarida	ASL166	Licensed Representative	1
JACKSON Katie Mary	ALA502	Licensed Representative	1
JACOB Vijay Thomas	AUV355	Licensed Representative	9
JANG Mustafa	BIY990	Licensed Representative	9
JI Pelen Peirun	AQS565	Licensed Representative	4
JIANG Li	ARU868	Licensed Representative	4
JU Hongyi	BHT640	Licensed Representative	1, 6
JUN Joon Sang	BGE035	Licensed Representative	1, 6
JUNG Jae Young	BE755	Licensed Representative	1
KAM Wing Kai	BBR427	Licensed Representative	1, 6
KANEKAR Jyotindra Mohan	BDV342	Licensed Representative	1, 7
KANG Ho Sang	BHF431	Licensed Representative	1, 4, 6
KEUNG Chin Kit	AUG568	Licensed Representative	1, 2, 3
KEUNG Nga Yee Teresa	BKD475	Licensed Representative	1, 9
KHAN Nasser Iqbal	AVA757	Licensed Representative	1
KIM Eun Sik	AYD792	Licensed Representative	1, 4, 6
KIM Yoon Ki	BJC341	Licensed Representative	4
KUMAR Nivesh	ASL835	Licensed Representative	9
KUNG Tsz Hon Hans	BDX003	Licensed Representative	1, 2, 9
KWAN Lai Ho, Lisa	AEI036	Licensed Representative	1, 2
KWAN Yiu Hei	BDW202	Licensed Representative	9
KWOK Wing Pong	AFB333	Licensed Representative	1
KWOK Yao Kein	BJH110	Licensed Representative	1, 4
LAI Chun Kit	BGH428	Licensed Representative	1, 4
LAI Chun Kit	BLI494	Licensed Representative	6
LAI Derek Hing Lun	AOC910	Licensed Representative	1, 4, 9
LAI Ka Lai	BJU787	Licensed Representative	9
LAI Man Ling	AWX953	Licensed Representative	1, 4
LAM Ka Chi, Samuel	AMU812	Licensed Representative	4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAM Pat Keung	AZO576	Licensed Representative	1
LAM See Tai William	BHX539	Licensed Representative	1
LAM Shirley Choi Wah	BBV003	Licensed Representative	9
LAM Sze Wing, Apple Ayumi	BKP017	Licensed Representative	1, 4
LAM Yin Ling	BCD044	Licensed Representative	1
LAM Yuk Hing	AKP304	Licensed Representative	4
LANNOY Stephane Jean Marius Louis	BFS744	Licensed Representative	2
LAU Ki Fung	AGL950	Licensed Representative	1, 4
LAU Sai Kit, Dennis	AUV774	Licensed Representative	1, 2
LAU Shu Hung, Paul	ABB394	Licensed Representative	1
LAU Siu Kau	BJW061	Licensed Representative	1, 4
LAW Tsz Ho	BIK629	Licensed Representative	4
LE Anatole	AVY459	Licensed Representative	1, 2, 7
LE Xue	ARM838	Licensed Representative	4, 9
LEE Aleen Li Fei	AVS019	Licensed Representative	4
LEE Francis Kam Pui	AQU393	Licensed Representative	1, 2, 3
LEE Ka Hang, Francis	ADH587	Licensed Representative	1, 2
LEE Oliver David Graham	BCW949	Licensed Representative	1, 4
LEE Yin Han	AWX947	Licensed Representative	1, 2, 4, 9
LEUNG Chun Keung	ADQ766	Licensed Representative	1
LEUNG Ka Cheong	BJL585	Licensed Representative	1, 6
LEUNG Kin Bon	AEF428	Licensed Representative	1
LEUNG Kin Sing	ACQ797	Licensed Representative	3
LEUNG Mathew Chi-hang	BAF383	Licensed Representative	1, 4, 6
LEUNG Ming Wai, Minna	AOW809	Licensed Representative	4
LEUNG Ping Fai	ARG750	Licensed Representative	9
LEUNG Sze Wai	ASC559	Licensed Representative	1
LEUNG Tsz Wai, Darren	BFA990	Licensed Representative	4, 9
LEUNG Yee Hang	BLF568	Licensed Representative	1, 4, 9
LEUNG Yui Ki	BLK477	Licensed Representative	1, 2
LI Haijian	AVK620	Licensed Representative	1, 2
LI Helen	ANS159	Licensed Representative	1, 4, 6
LI Ho Tak	BLX945	Licensed Representative	1
LI Hor Ying	BMX037	Licensed Representative	1, 6
LI Hui	ATX931	Licensed Representative	4, 9
LI Jing	AUY856	Licensed Representative	1, 2
LI Jingchao	BDO488	Licensed Representative	1
LI Qingyi	BJY216	Licensed Representative	1
LI Tsui Fan	APV048	Licensed Representative	1
LI Tsz Hin	BKL833	Licensed Representative	1
LI Wai Kwok, Solomon	ARS049	Licensed Representative	1
LI Wai Ming	ARE684	Licensed Representative	1, 4, 9
LI Yuen Hang	AWU632	Licensed Representative	4, 9
LIAO Qiang	BBQ498	Licensed Representative	10
LIM Dao Xuan	BFY969	Licensed Representative	9
LIN Hsin Ya	BIM440	Licensed Representative	1, 2, 4, 5
LIN Ruijing	BAC535	Licensed Representative	6
LIN Yaoyao	BKG012	Licensed Representative	1
LIN Zhenze	ATN329	Licensed Representative	1, 2
LIN Zhixia	BIG306	Licensed Representative	1, 4, 6
LING Sze Kei	BDI413	Licensed Representative	1, 4, 9
LIU Ying	BHK372	Licensed Representative	1, 4
LIU Charles	AXW723	Licensed Representative	1, 4, 6
LIU Chin Pang	BDN242	Licensed Representative	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Chung Ping	AFU487	Licensed Representative	1
LIU Li	BHX713	Licensed Representative	1, 4, 6
LIU Luyao	BBE126	Licensed Representative	1, 6
LIU Weiwei	BJM238	Licensed Representative	1, 4, 9
LIU Xinyu	BGU317	Licensed Representative	1, 2, 4, 5
LIU Yikun	BEA635	Licensed Representative	1, 6
LIU Yuchen	BKK370	Licensed Representative	4
LIU Yujing	BHU905	Licensed Representative	1, 4, 6
LO Chun Wai	BIQ628	Licensed Representative	1
LO Hoi Chun	AWX534	Licensed Representative	1, 6
LOK Chun Kit	BBH787	Licensed Representative	6
LONG Ben Lixiang	ANI750	Licensed Representative	9
LOU Shang	BIJ684	Licensed Representative	4, 9
LOW Andrew Donald	AKJ197	Licensed Representative	1, 4, 6
LU Yao	BHH900	Licensed Representative	1, 4, 9
LUNG Wing Cheung	AMC683	Licensed Representative	1, 4, 9
MA Bing Shun	ACK298	Licensed Representative	3
MA Biu	BCK253	Licensed Representative	9
MA Kim Fai	ACN785	Licensed Representative	3
MA Shun Hong	BEC279	Licensed Representative	1
MADNI Munib Mohammad	ARQ130	Licensed Representative	1
MAK Chun Bond	AIY650	Licensed Representative	1, 4
MALEK Charly	BDV245	Licensed Representative	1
MAN Kwong Wai	BIR354	Licensed Representative	6
MAN Shing Yan	BMM214	Licensed Representative	1
MARTIN Jun	BJO396	Licensed Representative	6
MITTAL Ravi Kant	BIJ756	Licensed Representative	4
MUI Ka Chiu	BLP788	Licensed Representative	1
NAM Hui May	AZW698	Licensed Representative	2, 5
NANDWANI Roshan Ramesh	ATI142	Licensed Representative	1, 2
NARAYANA PILLAI Arun	BMQ791	Licensed Representative	9
NG Hing Cheung	ACK470	Licensed Representative	3
NG Hon Ki, Burgess	AMJ198	Licensed Representative	1
NG Kwan Ning	BKG128	Licensed Representative	1, 4, 9
NG Kwok Cheung, Alexander	AEK004	Licensed Representative	1
NG KWOK KEI	BJI355	Licensed Representative	4
NG Lok Man, Rose	BIQ518	Licensed Representative	4, 5, 9
NG Pui Yee	ADH165	Licensed Representative	1, 2
NG Tin Yau	AOV374	Licensed Representative	1
NG To Hong	AGO055	Licensed Representative	1
NGAI Yvonne Yuet-ying	APC469	Licensed Representative	1, 4
NISHIZAWA Kiyotada	AAF914	Licensed Representative	1
NOH James Hyon	BKG328	Licensed Representative	1, 2
NOORANI ANANTHANARAYANAN Hariharan	ALW771	Licensed Representative	1, 2
OR Kin	AVH692	Licensed Representative	1, 4
PANG Chi Wai	ANG967	Licensed Representative	1, 6
PANG Man Ki	BJI006	Licensed Representative	9
PARK Ken Sangwoo	BBT311	Licensed Representative	1
PAUL Gordon Bruce	BHD845	Licensed Representative	1, 2, 7
PEI Haosong	BHY119	Licensed Representative	1
PENG Bo	BIJ357	Licensed Representative	1, 6
POLKINGHORNE Henry James Ross	BDJ180	Licensed Representative	1, 2
POON Dic Yan	BJR316	Licensed Representative	1, 4
POON Wai Yan	BHM656	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
POPEK David Paul	BIE936	Licensed Representative	1, 4, 9
QIN Chengxue	BFP519	Licensed Representative	1, 4
QIN Haoxiang	BLL487	Licensed Representative	1
QIN Qin	BGA894	Licensed Representative	4
QUEK Priscilla Hui Yu	BCE331	Licensed Representative	1, 4
RAWLE Peter Richard	BDA027	Licensed Representative	9
RENOUX Yann Bruno	ARQ459	Licensed Representative	1, 2
ROBINSON Gregory Alexander	AXH226	Licensed Representative	1, 4
RUAN Wenjun	ARE186	Licensed Representative	1
RYAN Jonathan David	AGX020	Licensed Representative	1
SAITO Yuki	BHH492	Licensed Representative	1, 2, 4
SCHAEFER Florian Michael	AWZ021	Licensed Representative	1, 4
SERIU Bunta	BFY266	Licensed Representative	1, 4, 6
SHAO Junchao	BMR360	Licensed Representative	1, 2
SHAW Nicholas Patrick	BLY361	Licensed Representative	1, 4
SHEN Yueran	BJV077	Licensed Representative	1, 4, 6
SHIGAKI Takuya	BJU936	Licensed Representative	4, 5, 9
SHIN So Yun	AZF791	Licensed Representative	4
SINGH Saurabh Devendra	AVO929	Licensed Representative	1
SIT Chi Hang	APK619	Licensed Representative	1, 2
SIU Man Hoi	BFD163	Licensed Representative	6
SO Hing Lun	AVH272	Licensed Representative	1, 2, 3, 4
SO Ting Shan	BLC841	Licensed Representative	1
SON Yoon Kyu	BJC181	Licensed Representative	2, 5
SONG Le, Michael	BBT292	Licensed Representative	6
SU Darong	BIV798	Licensed Representative	1, 2
SUI Xin	BMG557	Licensed Representative	4
SUN Hao	ANP669	Licensed Representative	1, 4, 9
SUN Yan	BJN166	Licensed Representative	1
TAM Ka Chun	BMP507	Licensed Representative	1
TAM Pui Sze, Grace	BID748	Licensed Representative	1, 2
TAM Wai Hon	AOV392	Licensed Representative	1, 9
TAN Aik Chuan	AXA192	Licensed Representative	4
TAN Yi Ming	BLZ387	Licensed Representative	1, 2
TANG Jiaqi	AMM351	Licensed Representative	1
TANG Nui On Doris	AFI591	Licensed Representative	1
TANG Tsz Kit	ACR548	Licensed Representative	10
TANG Wai Man, Anna	APW677	Licensed Representative	1
TANIGUCHI Tsuyoshi	BHL820	Licensed Representative	4, 9
TI Hwei Chi, Vicky	AFB596	Licensed Representative	4, 9
TO Wing Fai, Raymond	AIF602	Licensed Representative	1
TODD Jason Edmund	ATO944	Licensed Representative	4
TONG Yuxiang	BFV918	Licensed Representative	1, 6
TSANG Chi Shan	BAM658	Licensed Representative	1
TSANG Fan Ching	AAE205	Licensed Representative	1
TSANG Fiona Siu Fong	BID690	Licensed Representative	9
TSE Wing Hei	BJW539	Licensed Representative	6
TSUI Kwong Fai	AFS774	Licensed Representative	1
TSUI Pui Ming, Teresa	ACJ926	Licensed Representative	1, 3
VAN BEEK Christiaan Lieven	BEL614	Licensed Representative	1, 4
VERMA Abhishek	AVH168	Licensed Representative	9
VOTTA John Patrick	BBM338	Licensed Representative	9
WAINWRIGHT Richard	ACR161	Licensed Representative	4
WAN Ka Yee	BNO591	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Chun-Hsiung	BHM954	Licensed Representative	9
WANG Cynthia Naijing	AVT096	Licensed Representative	4, 6
WANG Jun	AXF699	Licensed Representative	1, 6
WANG Pufa	BLJ592	Licensed Representative	1, 2
WANG Yan	AWK696	Licensed Representative	4, 9
WANG Yishu	BIB432	Licensed Representative	1, 4, 9
WANG YUE	BJD659	Licensed Representative	10
WEI Yumou	BLJ441	Licensed Representative	9
WENG Xin	BLG112	Licensed Representative	4, 9
WERNER Reed Blanding	BHJ411	Licensed Representative	1, 6
WESEMANN Hans Lothar	ABQ923	Licensed Representative	4, 9
WHANG Keith Sun	BLU969	Licensed Representative	9
WHIPKEY Sean Patrick	AYV913	Licensed Representative	1, 2
WIJAYA Deidy	BGG656	Licensed Representative	4
WILLIAMSON Julian Chan	BDI337	Licensed Representative	1, 4, 9
WINARDI Ronald	AXL931	Licensed Representative	4
WONG Chi Ho	BFC358	Licensed Representative	1, 2, 7
WONG Chi Kin	AXG368	Licensed Representative	10
WONG Ching Ching	AZV966	Licensed Representative	1, 4
WONG Christopher Timothy	BFY617	Licensed Representative	6
WONG Chung Kwan	AOD823	Licensed Representative	1
WONG Ka Yu	BLC844	Licensed Representative	10
WONG Man Sze, Grace	ADX257	Licensed Representative	1, 4
WONG Mun Kong	AMX750	Licensed Representative	1, 4, 6
WONG Pui Lun, Alan	ALN588	Licensed Representative	1
WONG Siu Yuk	ADU290	Licensed Representative	3
WOO Mei Yee	ANM296	Licensed Representative	1, 4
WOO Wing Yin	APC057	Licensed Representative	1, 4
WU Chih Lan	AVM999	Licensed Representative	1, 4
WU Di	BID885	Licensed Representative	1, 4, 6
WU Mengyun	BKC652	Licensed Representative	1, 6
WU Sze Man	AMP166	Licensed Representative	1, 4
WU Tong Fai	BIO602	Licensed Representative	1, 9
WU Tzu Wen	AYW804	Licensed Representative	1, 9
WU Yan	BMX557	Licensed Representative	4, 9
WU Yang	BMK680	Licensed Representative	1, 4, 9
WU Yue	BJI583	Licensed Representative	6
WU Zhao	AOE446	Licensed Representative	1, 4
XIA Buwang	BFZ344	Licensed Representative	1, 4, 6
XU Ming	ASK599	Licensed Representative	4
XU Qing	BIW805	Licensed Representative	4
XU Sheng	AYR784	Licensed Representative	6
XU Sijia	AYT011	Licensed Representative	1, 4, 6
XU Sijia	BGE236	Licensed Representative	1, 4, 9
XU Yingying	AZJ839	Licensed Representative	9
XU Zhe	BJH714	Licensed Representative	9
YAN Yuk Yu	BIG068	Licensed Representative	1, 4, 9
YANAGIDA Rie	BKM384	Licensed Representative	1, 4
YAU Man Wing	ATY275	Licensed Representative	1, 2, 4
YE Binyao	BHR970	Licensed Representative	1, 4
YE Zijun	APV627	Licensed Representative	4, 9
YEUNG Tsz Yau, Sarah	AEU794	Licensed Representative	4
YIP Chin Wai	BDH812	Licensed Representative	1
YIP Fai	BMB666	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
YIP Kin Kwong	AQH955	Licensed Representative	1, 2
YIP Sin Lai	AXS548	Licensed Representative	1, 4
YU Adrian	BGP448	Licensed Representative	1, 4, 6
YU Adrian Wing Tak	BLW778	Licensed Representative	1
YU Bobby, Jump Ming	AYA001	Licensed Representative	9
YU Hongchen	BDV510	Licensed Representative	1
YU Jun	AYX056	Licensed Representative	6
YU Kai Yin, Kenny	BIR929	Licensed Representative	1, 2
YU Lifeng	AYA736	Licensed Representative	4
YU Liping	BIX637	Licensed Representative	1, 2
YU Sze Chit Peter	AFT464	Licensed Representative	1, 2
YU Wing Yan	BJQ181	Licensed Representative	1
YU Xue	BEV969	Licensed Representative	1, 6
YU Yifei	BDO971	Licensed Representative	1, 4
YUAN Xiongfei	BJN153	Licensed Representative	1, 6
YUEN Chik Sang	AML271	Licensed Representative	1
YUEN Kai Chi, George	BMV734	Licensed Representative	1
YUEN Tsz Lung	ATI409	Licensed Representative	1, 2, 4
YUEN Yau Sang	ARF791	Licensed Representative	1, 2
ZAHER Paul Riad	AQN580	Licensed Representative	1, 2
ZENG Kevin Z W	BMB543	Licensed Representative	6
ZENG Ying	AXU764	Licensed Representative	1, 4
ZHANG Jeff Wei	AKV344	Licensed Representative	4, 5, 9
ZHANG Kai	AXH639	Licensed Representative	1, 2
ZHANG Keyu	BHX655	Licensed Representative	1, 2
ZHANG Lily Xun	AIR660	Licensed Representative	1, 4, 6
ZHANG Shiyan	BKE306	Licensed Representative	1, 4, 9
ZHANG Yan	BIX275	Licensed Representative	1, 4, 9
ZHANG Yaogang	BEW518	Licensed Representative	4
ZHANG Yilan	BEE664	Licensed Representative	1, 4
ZHAO Haochen	BEJ658	Licensed Representative	6
ZHAO Ziqi	BGQ072	Licensed Representative	6
ZHENG Kangjie	BGG832	Licensed Representative	4
ZHENG Yangjie	ATB833	Licensed Representative	9
ZHOU Bowen	AXI802	Licensed Representative	1, 6
ZHOU Guolong	BIC251	Licensed Representative	1
ZHOU Mengquan	BJS923	Licensed Representative	1, 2
ZHOU Mi	BEB918	Licensed Representative	1, 4, 9
ZHOU Wenjie	BGU279	Licensed Representative	1, 2, 4
ZHOU Yu	AZX864	Licensed Representative	4
ZHOU Zeyu	BEB243	Licensed Representative	6
ZOU Yuming	BGI089	Licensed Representative	2, 5
ALEXANDER Michael Lewis	AGR372	Licensed Representative - Responsible Officer	1
ANTONELLI Christopher George	AQJ320	Licensed Representative - Responsible Officer	1, 2
ASRANI Rakesh Nandlal	BEL378	Licensed Representative - Responsible Officer	1, 4
BAO Robert S	ARG202	Licensed Representative - Responsible Officer	4, 9
BREITER Emanuel Roland	AFC435	Licensed Representative - Responsible Officer	9
CAHIERRE Xavier Jacques Henri Marie	BET153	Licensed Representative - Responsible Officer	1
CAMPBELL Hugh Alastair	BLU981	Licensed Representative - Responsible Officer	1
CHAN Kin Wai	AOS331	Licensed Representative - Responsible Officer	1, 4, 9
CHAN Kwok Wai	BCW251	Licensed Representative - Responsible Officer	9
CHAN Wai Tong, Christopher	AGQ596	Licensed Representative - Responsible Officer	6
CHAN Yim Ming	AAD672	Licensed Representative - Responsible Officer	1
CHENG Kwai Ping, Margaret	AAJ042	Licensed Representative - Responsible Officer	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEUNG Christopher Ka Tsun	AFY937	Licensed Representative - Responsible Officer	4, 9
CHEUNG Ka Kit	AOI950	Licensed Representative - Responsible Officer	1, 9
CHIOU How Teng	BCR036	Licensed Representative - Responsible Officer	4, 9
CHIU Sui Ching	BDP047	Licensed Representative - Responsible Officer	9
CHOW Mei Shi, Juliana	AHR793	Licensed Representative - Responsible Officer	1
CHUNG Sung-min	AVS891	Licensed Representative - Responsible Officer	1, 4, 6
COOPER Kenneth Allen	ACW742	Licensed Representative - Responsible Officer	9
DUCKWORTH Cheryl McDaniel	AYT355	Licensed Representative - Responsible Officer	9
FILMER-WILSON James Arthur	AAI608	Licensed Representative - Responsible Officer	1, 4, 6, 9
GAN Ker Ann	ASU034	Licensed Representative - Responsible Officer	1, 4
GOH Chee Tat, Terence	BBG979	Licensed Representative - Responsible Officer	1, 4
HINDLE Leon Miller	AIN992	Licensed Representative - Responsible Officer	4, 9
HSIA Shih-hsuan	AXI231	Licensed Representative - Responsible Officer	6
HU Peng	ARG547	Licensed Representative - Responsible Officer	1, 4, 6
HUA Fengmao	AFJ365	Licensed Representative - Responsible Officer	9
HUNG Ling Fai	AVT055	Licensed Representative - Responsible Officer	6
KIM Sung Soo	APF209	Licensed Representative - Responsible Officer	1, 4, 6
LAM Ho Yan	BIK484	Licensed Representative - Responsible Officer	9
LAM Shirley Choi Wah	BBV003	Licensed Representative - Responsible Officer	1, 4
LAW Ka Kin	ABS264	Licensed Representative - Responsible Officer	9
LAW Margaret Man Nei	AWX212	Licensed Representative - Responsible Officer	1
LEE Fai Man	ACW239	Licensed Representative - Responsible Officer	4, 9
LEE Kwong Chung, Thomas	ABX145	Licensed Representative - Responsible Officer	1
LEGAULT Martin	BCZ945	Licensed Representative - Responsible Officer	1, 3
LEUNG Ping Fai	ARG750	Licensed Representative - Responsible Officer	4
LI Fan	BEZ924	Licensed Representative - Responsible Officer	1, 6
LI Wei-chieh	BBB712	Licensed Representative - Responsible Officer	1
LIANG Fei	BIL390	Licensed Representative - Responsible Officer	9
LIM Chung Chun	AMY853	Licensed Representative - Responsible Officer	1, 4
LUK Sai Lung	ACZ941	Licensed Representative - Responsible Officer	1, 4, 5, 9
MA Tengying	AZD807	Licensed Representative - Responsible Officer	4, 9
MAK Chi Ho	ATN700	Licensed Representative - Responsible Officer	4, 9
MANSFIELD David Thomas	ALT907	Licensed Representative - Responsible Officer	2, 5
MERKENICH Boris	AFB099	Licensed Representative - Responsible Officer	1
MUH Anthony Yi Tong	ADW527	Licensed Representative - Responsible Officer	9
NAPHTALI David Jacob Samuel	BGT102	Licensed Representative - Responsible Officer	4, 9
NG Choi Lun	ANM042	Licensed Representative - Responsible Officer	1
NG Lok Lam	AGH954	Licensed Representative - Responsible Officer	1, 4
NG Ting Ho	AQM058	Licensed Representative - Responsible Officer	1, 4
NIELSEN Jan Thorsgaard	AXC824	Licensed Representative - Responsible Officer	1, 4
PANIKKAR Kesava Rajat	AVQ218	Licensed Representative - Responsible Officer	1, 6
PONG LAW Kong Tune	ACB582	Licensed Representative - Responsible Officer	1
PREST Simon John	BJQ806	Licensed Representative - Responsible Officer	1, 2, 7
QIAO Junfeng	BBD284	Licensed Representative - Responsible Officer	4, 5, 9
ROUSSEAU Pierre Charles Gislain	AHL124	Licensed Representative - Responsible Officer	1, 4
SILVER Nicholas Eugene	AOU413	Licensed Representative - Responsible Officer	1, 2, 5, 7
SIU Kin Chung	ABY042	Licensed Representative - Responsible Officer	2
SKERMAN Timothy James	AYH873	Licensed Representative - Responsible Officer	9
TALBOT Mark Antony Alain Charles	ANK155	Licensed Representative - Responsible Officer	1, 4, 9
TAM Chun Cheung	BGZ462	Licensed Representative - Responsible Officer	3
THAKKER Meer Pratap	BAV546	Licensed Representative - Responsible Officer	1, 4
THAWLEY Samuel David	ANA176	Licensed Representative - Responsible Officer	4
TOON Ian Mark	BIP994	Licensed Representative - Responsible Officer	1, 2
TSAI Bing-Hann	ATI860	Licensed Representative - Responsible Officer	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Chong	AYS816	Licensed Representative - Responsible Officer	1, 4, 9
WONG Lung Wo, James	AIR551	Licensed Representative - Responsible Officer	1
WONG Pui Lun, Alan	ALN588	Licensed Representative - Responsible Officer	4, 9
WOO Wing Yin	APC057	Licensed Representative - Responsible Officer	9
YAMAGUCHI Shin	BFT553	Licensed Representative - Responsible Officer	1
ZHANG Philippe Jianhong	BIS079	Licensed Representative - Responsible Officer	4, 9
ZHANG Yongbo	BDA460	Licensed Representative - Responsible Officer	1, 2
ZHENG Yangjie	ATB833	Licensed Representative - Responsible Officer	1, 4
AGRAWAL Vishal Surajratan	BNS689	Licensed Representative (Provisional Licence)	1, 4, 9
AU Pui Ho	BNX486	Licensed Representative (Provisional Licence)	1, 2, 7
AU Siu Kit	BNU975	Licensed Representative (Provisional Licence)	1
AU Wing Yan Marion	BNV458	Licensed Representative (Provisional Licence)	1
BAGAN Joanne Fredell	BNU356	Licensed Representative (Provisional Licence)	1
BAI yun	BNU399	Licensed Representative (Provisional Licence)	1, 4, 9
BI Mingqiang	BNP254	Licensed Representative (Provisional Licence)	1, 4, 6
BIAN Difei	BNS305	Licensed Representative (Provisional Licence)	4
BOND Phillip Matthew	AWZ057	Licensed Representative (Provisional Licence)	1
CAI Jun	BNU312	Licensed Representative (Provisional Licence)	1, 4, 6
CHAE Sun Byoung	BNV267	Licensed Representative (Provisional Licence)	1, 4, 9
CHAI Sheng Min	BNV473	Licensed Representative (Provisional Licence)	1, 2
CHAN Chak Ming	AJM690	Licensed Representative (Provisional Licence)	1, 4
CHAN Ching Sang	BNN930	Licensed Representative (Provisional Licence)	1, 4
CHAN Kwun Yin Kenneth	BNW998	Licensed Representative (Provisional Licence)	1, 4
CHAN Lam	BNS709	Licensed Representative (Provisional Licence)	1
CHAN Lui	AVD266	Licensed Representative (Provisional Licence)	2
CHAN Pak Yan Cherry	BNR759	Licensed Representative (Provisional Licence)	6
CHAN Philip	ACA716	Licensed Representative (Provisional Licence)	1, 4
CHAN Pok Hei	BNW897	Licensed Representative (Provisional Licence)	4
CHAN Pui Hang Peri	BNV088	Licensed Representative (Provisional Licence)	1, 9
CHAN Raymond Ho Ming	AQJ203	Licensed Representative (Provisional Licence)	1, 2
CHAN Wai Yin	BNS341	Licensed Representative (Provisional Licence)	1
CHANG Mei-Lin	BNU255	Licensed Representative (Provisional Licence)	1
CHAU Chor Ping	BNU876	Licensed Representative (Provisional Licence)	1, 4
CHEN Bingyao	BNU664	Licensed Representative (Provisional Licence)	9
CHEN Hsiang Ling	BNU547	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Peijie	BNU863	Licensed Representative (Provisional Licence)	6
CHEN Tianzhe	BNI310	Licensed Representative (Provisional Licence)	1, 4
CHEN Wei	BNU878	Licensed Representative (Provisional Licence)	9
CHEN Wenkai	BNV274	Licensed Representative (Provisional Licence)	1, 4
CHEN Xi	BNU854	Licensed Representative (Provisional Licence)	4, 9
CHEN Xinchun	BMT453	Licensed Representative (Provisional Licence)	6
CHEN Yifan	BNU461	Licensed Representative (Provisional Licence)	4, 5, 9
CHEN Yingchong	BNV053	Licensed Representative (Provisional Licence)	1, 4
CHEN Yiwon	BNV506	Licensed Representative (Provisional Licence)	1, 6
CHEN Yu	BEM653	Licensed Representative (Provisional Licence)	1, 4, 9
CHENG Mei Ting	BNO681	Licensed Representative (Provisional Licence)	1
CHENG Wing Chung	BNU320	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Alley	BNS366	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Jennifer Chu San	BNS363	Licensed Representative (Provisional Licence)	1
CHEUNG Ka Tsing Veronica	BNU451	Licensed Representative (Provisional Licence)	6
CHEUNG Man Ka	BNR762	Licensed Representative (Provisional Licence)	4
CHEUNG Pui Chak Arthur	BNU850	Licensed Representative (Provisional Licence)	9
CHIM Dixon	BNV619	Licensed Representative (Provisional Licence)	1, 4
CHIU Chung Ming	BNU127	Licensed Representative (Provisional Licence)	10

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHIU Sui Ling	BNV803	Licensed Representative (Provisional Licence)	1, 9
CHOI Byungjun	BNU508	Licensed Representative (Provisional Licence)	4
CHOI Kar Ki	BNU245	Licensed Representative (Provisional Licence)	4
CHOI Mon Hon	BNU088	Licensed Representative (Provisional Licence)	1, 6
CHRYSSAFIS Jonathon	BNU067	Licensed Representative (Provisional Licence)	1, 2
CHU Ka Man	BGK281	Licensed Representative (Provisional Licence)	1, 4
CHU Kee Hing Angela	BNS154	Licensed Representative (Provisional Licence)	1, 4
CHU Mingyu	BNV031	Licensed Representative (Provisional Licence)	9
CHU Yuan Chen	BNT780	Licensed Representative (Provisional Licence)	1, 2
CHUI Chi Kin	BNU447	Licensed Representative (Provisional Licence)	1, 4, 6
CHUNG Hang Man	BGH966	Licensed Representative (Provisional Licence)	4, 9
CHUNG Siu Lun	BNU893	Licensed Representative (Provisional Licence)	9
CHUNG Wing Leong, Kennith	ALQ877	Licensed Representative (Provisional Licence)	4, 9
CHUNG Yu Yeung	BNU525	Licensed Representative (Provisional Licence)	1, 6
CHUNG Zita Sze Dar	AYJ572	Licensed Representative (Provisional Licence)	4, 9
CLARK Peter Tilman	BNS935	Licensed Representative (Provisional Licence)	1
CLARKE Andrew Kenneth	ADT180	Licensed Representative (Provisional Licence)	1
CLISSOLD Samuel Dane	BNU388	Licensed Representative (Provisional Licence)	4
COHEN Yoann Henri Aaron	BNU725	Licensed Representative (Provisional Licence)	1, 2, 7
COLLARD Jeremy David	AJC639	Licensed Representative (Provisional Licence)	4, 9
CROSS Ian Cameron	AQB165	Licensed Representative (Provisional Licence)	1, 2
DAI Min	BHQ112	Licensed Representative (Provisional Licence)	1, 4, 5
DENG Lunkun	BNU241	Licensed Representative (Provisional Licence)	1
DENG Yuqun	BGG959	Licensed Representative (Provisional Licence)	6
DHIR Sachin	ARF792	Licensed Representative (Provisional Licence)	9
DONG Jizhou	BEW779	Licensed Representative (Provisional Licence)	4
DONG Zhen	AMP550	Licensed Representative (Provisional Licence)	9
DORR KEVIN	BNR608	Licensed Representative (Provisional Licence)	1, 4
ENDER Rainer Markus	BNU231	Licensed Representative (Provisional Licence)	1
FAN Ning	BNV011	Licensed Representative (Provisional Licence)	1, 6
FAN Xueling	BNU259	Licensed Representative (Provisional Licence)	1, 2, 4
FAN Yi	AUV739	Licensed Representative (Provisional Licence)	1
FERRET Thibaut	BNU019	Licensed Representative (Provisional Licence)	1, 4, 9
FINN Stuart Anthony	BNV001	Licensed Representative (Provisional Licence)	1, 4, 9
FU Man Kit	BNU100	Licensed Representative (Provisional Licence)	9
FU Mengyu	BNR646	Licensed Representative (Provisional Licence)	4, 9
FUNG Siu Kwun Foster	BNU555	Licensed Representative (Provisional Licence)	6
GAO Gehong	BNT987	Licensed Representative (Provisional Licence)	1, 4, 9
GAO Xiang	BNU645	Licensed Representative (Provisional Licence)	1, 2, 4
GAO Xiong	BNS044	Licensed Representative (Provisional Licence)	1, 6
GAO Yiyuan	BNU187	Licensed Representative (Provisional Licence)	1, 4, 6
GONG Yuzhe	BNT819	Licensed Representative (Provisional Licence)	1, 9
GOYAL Priyal	BNS046	Licensed Representative (Provisional Licence)	1, 2
GU Fei	BNV539	Licensed Representative (Provisional Licence)	1
GUAN Peng	BNU877	Licensed Representative (Provisional Licence)	4
GUHATHAS Rogaan	BNU064	Licensed Representative (Provisional Licence)	1, 4, 9
GUIOT Bertrand Renaud Georges	BNR252	Licensed Representative (Provisional Licence)	1, 4
GUO Boyi	BNU690	Licensed Representative (Provisional Licence)	4
GUO Yichen	BNR536	Licensed Representative (Provisional Licence)	4
GUPTA Ateev	BNU646	Licensed Representative (Provisional Licence)	1, 2, 4
HALLEY Keith Thomas	BBQ714	Licensed Representative (Provisional Licence)	1, 2, 4
HAN Bing	BNV044	Licensed Representative (Provisional Licence)	4
HAN Xuyi	BNT117	Licensed Representative (Provisional Licence)	1, 2, 4, 5
HASSAN Glenn Perdana Firasli	BNU062	Licensed Representative (Provisional Licence)	1, 4, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
HATTORI Akito	BNN819	Licensed Representative (Provisional Licence)	1
HE Jamie Ruo Xin	BNS468	Licensed Representative (Provisional Licence)	4
HE Qiliang	BNR809	Licensed Representative (Provisional Licence)	1, 6
HE Xiaofei	BNU624	Licensed Representative (Provisional Licence)	1, 4
HE Zirong	BNU189	Licensed Representative (Provisional Licence)	1, 4
HEUNG Wing Sze, Wincy	BNU326	Licensed Representative (Provisional Licence)	1, 2
HO Sau Ping	BJH020	Licensed Representative (Provisional Licence)	1, 4, 6
HO Sheung Yin	BNU559	Licensed Representative (Provisional Licence)	1, 2
HO Tin Chuen Brian	BNU092	Licensed Representative (Provisional Licence)	1, 4, 9
HO Wing Yee, Winnie	BNU415	Licensed Representative (Provisional Licence)	1
HONG Renjun	BNS788	Licensed Representative (Provisional Licence)	1, 4, 9
HONG Tsz Leung	ANG331	Licensed Representative (Provisional Licence)	4, 9
HOWARD Matthew John	BNU526	Licensed Representative (Provisional Licence)	1, 4
HU Xuesen	BNU537	Licensed Representative (Provisional Licence)	4
HU Yuhao	BNJ944	Licensed Representative (Provisional Licence)	1, 4
HUANG Jianhong	BNT924	Licensed Representative (Provisional Licence)	1, 6
HUANG Qiliang	BNU886	Licensed Representative (Provisional Licence)	1, 6
HUANG Xinyi	BNV279	Licensed Representative (Provisional Licence)	1, 6
HUANG Yinjun	BAI389	Licensed Representative (Provisional Licence)	1, 4, 6
HUANG Yuelun	BNV533	Licensed Representative (Provisional Licence)	1, 4
HUANG Zhi	BNU533	Licensed Representative (Provisional Licence)	9
HUI Kwok Kin	BNS461	Licensed Representative (Provisional Licence)	1, 4
HUI Mui Sum	BNR193	Licensed Representative (Provisional Licence)	1
HUI Ryan	BNS261	Licensed Representative (Provisional Licence)	1, 2, 3
HUI Sze Tat	BNV085	Licensed Representative (Provisional Licence)	1, 2
HUNG Pik Kwan	AGF319	Licensed Representative (Provisional Licence)	1, 4
HWANG Kyung Hyun	BNU050	Licensed Representative (Provisional Licence)	1
IM Hyejin	BNU311	Licensed Representative (Provisional Licence)	1, 4, 9
IP Stanley Ho-yin	BNU401	Licensed Representative (Provisional Licence)	1, 2
JAIN Vaibhav	BNV479	Licensed Representative (Provisional Licence)	4
JIN Ming	BNS991	Licensed Representative (Provisional Licence)	1, 4, 9
JO Kotaku	BNU538	Licensed Representative (Provisional Licence)	1, 4, 9
JOU Geoffrey	BNS140	Licensed Representative (Provisional Licence)	1, 2
KANAI Kentaro	BNV101	Licensed Representative (Provisional Licence)	4, 5, 9
KELLY Stephen Wood	AQF041	Licensed Representative (Provisional Licence)	2, 5
KELLY Violeta	BNU075	Licensed Representative (Provisional Licence)	1, 6
KIM Gwi Chul	BNU939	Licensed Representative (Provisional Licence)	1, 4
KIM Jung Soo	BNU531	Licensed Representative (Provisional Licence)	1, 9
KU Peter Chun Chung	BNV288	Licensed Representative (Provisional Licence)	2
KUSUNOKI Hidenori	BNR348	Licensed Representative (Provisional Licence)	4
KWAN Chun Fei	BNV561	Licensed Representative (Provisional Licence)	4
LAI Hoi Wah	BNU553	Licensed Representative (Provisional Licence)	6
LAI Qimei	BNV338	Licensed Representative (Provisional Licence)	1, 4, 6
LAM Tsun Kit	BNU755	Licensed Representative (Provisional Licence)	1, 4, 6
LAM Yik Man	BNR320	Licensed Representative (Provisional Licence)	1, 2
LAU Chi Him Christopher	BNV545	Licensed Representative (Provisional Licence)	1, 4, 6
LAU Ka Yan	BNU707	Licensed Representative (Provisional Licence)	1, 4
LAU Kan Wah	ABT973	Licensed Representative (Provisional Licence)	1, 4, 9
LAU Nga Yeung	ALQ199	Licensed Representative (Provisional Licence)	1, 2
LAU Yu Wing	BAM863	Licensed Representative (Provisional Licence)	1, 4
LEADSOM David James	BNU517	Licensed Representative (Provisional Licence)	1, 4
LEE Ai Ling	BNU246	Licensed Representative (Provisional Licence)	1, 2
LEE Bong Tae	AQP646	Licensed Representative (Provisional Licence)	1, 4
LEE Chang Cheng	BNU261	Licensed Representative (Provisional Licence)	2, 5

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEE Chi Hang, Henry	BKG199	Licensed Representative (Provisional Licence)	1
LEE Chun On John	BNU213	Licensed Representative (Provisional Licence)	1, 2, 9
LEE Chun Wing	BNU558	Licensed Representative (Provisional Licence)	9
LEE Joseph Won	ANB457	Licensed Representative (Provisional Licence)	1, 2, 7
LEE Kam In	BNT045	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LEE Kin Sang, Kenneth	BNU154	Licensed Representative (Provisional Licence)	1, 4
LEE Seok Won	BNN710	Licensed Representative (Provisional Licence)	1
LEE Siu Hang Foster	BML668	Licensed Representative (Provisional Licence)	1
LEE Wing Ki	BNU621	Licensed Representative (Provisional Licence)	1, 2
LEE Yee Hang Bosco	BNS662	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Yuan Tse, Edward	AGF152	Licensed Representative (Provisional Licence)	6
LEUNG Man Leong	BNT863	Licensed Representative (Provisional Licence)	1, 4
LEUNG Yuk Sim, Corinna	AJD996	Licensed Representative (Provisional Licence)	1
LI Doudou	BNU242	Licensed Representative (Provisional Licence)	4
LI Jing	AUG569	Licensed Representative (Provisional Licence)	1, 4, 6
LI Junhui	BKT337	Licensed Representative (Provisional Licence)	1, 4, 9
LI Yi	BNU058	Licensed Representative (Provisional Licence)	1, 6
LI Zhuyu	AOG668	Licensed Representative (Provisional Licence)	6
LIANG Yuxuan	BNX275	Licensed Representative (Provisional Licence)	1, 6
LIAO Hanqing	BNU673	Licensed Representative (Provisional Licence)	1, 6
LIAO Yuan-Ching	BNQ806	Licensed Representative (Provisional Licence)	4, 9
LIAO Yunpeng	BIR872	Licensed Representative (Provisional Licence)	1, 4, 9
LIM Wei Han	BNU272	Licensed Representative (Provisional Licence)	1
LIN Chin-Yu	BJC342	Licensed Representative (Provisional Licence)	1
LIN Dezhi	BNR198	Licensed Representative (Provisional Licence)	3
LIN Tzu-Han	BNU524	Licensed Representative (Provisional Licence)	1, 4
LIN Yue	BNU010	Licensed Representative (Provisional Licence)	1, 6
LIN Yu-Hsien	BNV691	Licensed Representative (Provisional Licence)	1
LIU Allen Weihai	BNU872	Licensed Representative (Provisional Licence)	4, 9
LIU Chang	BNT779	Licensed Representative (Provisional Licence)	1, 6
LIU Guangwei	BNV593	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Hongkun	BNS453	Licensed Representative (Provisional Licence)	1, 2
LIU Jiazhou	BNU059	Licensed Representative (Provisional Licence)	4
LIU Shuangfeng	BNU539	Licensed Representative (Provisional Licence)	4
LIU Sunliang	BNU851	Licensed Representative (Provisional Licence)	4
LIU Wai Yip	BJT487	Licensed Representative (Provisional Licence)	1
LIU Xiaoning	BNV582	Licensed Representative (Provisional Licence)	4
LIU Xiujie	BNS434	Licensed Representative (Provisional Licence)	1, 6
LIU Yixuan	BNU065	Licensed Representative (Provisional Licence)	1, 6
LIU Yu Chun	BNU044	Licensed Representative (Provisional Licence)	4, 9
LO Yuk Fai Jamin	BNT198	Licensed Representative (Provisional Licence)	1
LU Yan	BNO497	Licensed Representative (Provisional Licence)	1, 2
LU Zeyu	BNW967	Licensed Representative (Provisional Licence)	1, 4
LUK Hiu Man	BNW242	Licensed Representative (Provisional Licence)	1, 2
LUK Tsz Ming	BNQ785	Licensed Representative (Provisional Licence)	5
LUO Jiageng	BNU054	Licensed Representative (Provisional Licence)	4
MA Jiajia	BNU675	Licensed Representative (Provisional Licence)	1, 6
MA Ka Man	BAC046	Licensed Representative (Provisional Licence)	1, 4
MA Maurice Sze Chung	BNT990	Licensed Representative (Provisional Licence)	1, 2
MA Qiang	BNU271	Licensed Representative (Provisional Licence)	1, 6
MA Yilin	BNU395	Licensed Representative (Provisional Licence)	1, 6
MAK Nelson Ngai Long	BNV489	Licensed Representative (Provisional Licence)	1, 6
MAO Zhe	BNT074	Licensed Representative (Provisional Licence)	1, 4
MAO Zihan	BNU412	Licensed Representative (Provisional Licence)	6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
MARKUS Paul Philip	BNU773	Licensed Representative (Provisional Licence)	1, 9
MATSUNAGA Martin Hideyuki	BNT940	Licensed Representative (Provisional Licence)	1, 4, 6
MENG Songyu	BNV959	Licensed Representative (Provisional Licence)	1, 4
MISTRY Sachin Rohit Shiam	ARS999	Licensed Representative (Provisional Licence)	9
MOK Ting Ting	BNU216	Licensed Representative (Provisional Licence)	1, 4
MOULDER Anthony Bruce	BFB113	Licensed Representative (Provisional Licence)	4
MOUSSA Imene	BNU976	Licensed Representative (Provisional Licence)	1
NAKAGUCHI Michihiro	BNR971	Licensed Representative (Provisional Licence)	1, 2
NARISEPALLI Karthik	BNU577	Licensed Representative (Provisional Licence)	9
NG Chung Ming	ACK075	Licensed Representative (Provisional Licence)	3
NG Jun	BNV806	Licensed Representative (Provisional Licence)	6
NG Kin Wah	AVI806	Licensed Representative (Provisional Licence)	1
NG Li Sheng Jason	BGG975	Licensed Representative (Provisional Licence)	9
NG Shan Yung	ALZ161	Licensed Representative (Provisional Licence)	1, 6
NGO Hiu Fung	BDX181	Licensed Representative (Provisional Licence)	1, 4, 9
NOGRALES Marseille Navarro	BNU774	Licensed Representative (Provisional Licence)	1
NOZAKI Takuya	BNT223	Licensed Representative (Provisional Licence)	1, 4, 6
OH Soo Hoon	BNS482	Licensed Representative (Provisional Licence)	1, 4
OH Steve Sang Jin	BNV292	Licensed Representative (Provisional Licence)	9
O'LEARY Jason Sean	BNT993	Licensed Representative (Provisional Licence)	1, 4
OU Yingjie	BNO196	Licensed Representative (Provisional Licence)	9
OUYANG Zimo	BNU405	Licensed Representative (Provisional Licence)	1, 2, 6
PADER Stephane Michel Jacob	BNU917	Licensed Representative (Provisional Licence)	1, 2
PANG Shu Wai William	AWY264	Licensed Representative (Provisional Licence)	4, 9
PARKASH Ashvin	BNU114	Licensed Representative (Provisional Licence)	1
PATEL Anjali Mahendra	BNV583	Licensed Representative (Provisional Licence)	1, 4
PENG Fei	BNU244	Licensed Representative (Provisional Licence)	4
PONDOMATI CHANDRAPPA Sandeep	BNU249	Licensed Representative (Provisional Licence)	1, 2
QIAN Congyi	BNU186	Licensed Representative (Provisional Licence)	1, 4, 9
QIAN Yifei	BNU994	Licensed Representative (Provisional Licence)	9
QIAO Zheyuan	BNW162	Licensed Representative (Provisional Licence)	1
QUACH Julien Stanislas	BNU282	Licensed Representative (Provisional Licence)	1, 9
QUINN Nicholas Francis	BNU897	Licensed Representative (Provisional Licence)	1
REN Mengqi	BBR070	Licensed Representative (Provisional Licence)	1, 6
REN Xiaodong	BNS796	Licensed Representative (Provisional Licence)	1, 4, 9
RUDICK Todd David	BNV844	Licensed Representative (Provisional Licence)	1, 7
RUI Xue	BNU844	Licensed Representative (Provisional Licence)	1, 4
SAITA Kenichi	BNU514	Licensed Representative (Provisional Licence)	4
SALGAONKAR Sonali	BNT029	Licensed Representative (Provisional Licence)	4
SAPKOTA Shikta	BNS716	Licensed Representative (Provisional Licence)	1
SARAF Shyam	BNU836	Licensed Representative (Provisional Licence)	1, 6
SHANG Lei	BNH677	Licensed Representative (Provisional Licence)	6
SHAO Dongni	BNU273	Licensed Representative (Provisional Licence)	4
SHEN Hung Yat, Allan	AIC834	Licensed Representative (Provisional Licence)	1, 2
SHEN Jie	BMR376	Licensed Representative (Provisional Licence)	1, 2
SHEN Lihua	BNS150	Licensed Representative (Provisional Licence)	1
SHI Hui	BJA032	Licensed Representative (Provisional Licence)	1, 4, 6
SHOU Chen	BLK919	Licensed Representative (Provisional Licence)	1, 2, 4
SO Vincent Hon Pong	ADB610	Licensed Representative (Provisional Licence)	1, 4, 9
SON Yong Ju	BNW163	Licensed Representative (Provisional Licence)	1, 4
SONG Jiawei	BNU014	Licensed Representative (Provisional Licence)	4
SONNI Sean Sharath	BNU972	Licensed Representative (Provisional Licence)	1, 2, 4
SPENCE Jamie Seaton	AST880	Licensed Representative (Provisional Licence)	1
SPUNGIN Charles Adrian Edward	BNH017	Licensed Representative (Provisional Licence)	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
STEWART Jordan Daniel	BNR086	Licensed Representative (Provisional Licence)	4, 5, 9
SU Lin	BNS371	Licensed Representative (Provisional Licence)	4
SUH Joseph	BNU518	Licensed Representative (Provisional Licence)	1, 6
SUN MATTISON Linda Wu	BBF677	Licensed Representative (Provisional Licence)	1, 4
TAM Chik Yeung Nicky	BNU648	Licensed Representative (Provisional Licence)	1
TAM Wing Hoi Season	BMZ523	Licensed Representative (Provisional Licence)	1, 4
TAN Lee Kwang	BNU843	Licensed Representative (Provisional Licence)	1, 6
TAN May Ee	BNP080	Licensed Representative (Provisional Licence)	1, 2
TAN Shu Xian Theresa	BNU761	Licensed Representative (Provisional Licence)	2
TANG Ho Ming	BNU842	Licensed Representative (Provisional Licence)	1, 4, 6
TANG Wai Kay	AAY573	Licensed Representative (Provisional Licence)	4, 9
TANG Yuewen	BNU982	Licensed Representative (Provisional Licence)	1, 4
THILL Brent John	BEW121	Licensed Representative (Provisional Licence)	4
THORNE Giles Devenish	BNS459	Licensed Representative (Provisional Licence)	4
TING Fu Wah	AOE212	Licensed Representative (Provisional Licence)	1, 4
TONG Tin Yau	BFO842	Licensed Representative (Provisional Licence)	4, 9
TSANG Hing Kit Johnny	BNU661	Licensed Representative (Provisional Licence)	1, 4
TSANG Siu Ting	BGD646	Licensed Representative (Provisional Licence)	1
TSE Po Wing	ASD891	Licensed Representative (Provisional Licence)	1
TUNTONO Christiaan	AEO918	Licensed Representative (Provisional Licence)	4
VASUDHA Aishwarya	BNU400	Licensed Representative (Provisional Licence)	1, 2, 4
VERA Ethan John	BNS983	Licensed Representative (Provisional Licence)	1, 6
VERSACE Haryati Megumi	BNU704	Licensed Representative (Provisional Licence)	1, 4, 9
WAN Yu	BNV286	Licensed Representative (Provisional Licence)	1
WANG Chenyan	BNS566	Licensed Representative (Provisional Licence)	1
WANG Chun Wai	BNU046	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Danning	BNT182	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Dongzhe	BNT132	Licensed Representative (Provisional Licence)	1, 4
WANG Ke	BNU853	Licensed Representative (Provisional Licence)	9
WANG Lu	BID335	Licensed Representative (Provisional Licence)	4
WANG Ning	BNV447	Licensed Representative (Provisional Licence)	4
WANG Qijue	BNU581	Licensed Representative (Provisional Licence)	4
WANG Qing	BNT150	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Shali	BMP755	Licensed Representative (Provisional Licence)	4, 9
WANG Wei	BNO306	Licensed Representative (Provisional Licence)	4, 9
WANG Zichao	BNV387	Licensed Representative (Provisional Licence)	1, 4
WEI Like	AWC816	Licensed Representative (Provisional Licence)	1, 4
WEI Ran	BNS446	Licensed Representative (Provisional Licence)	1, 2
WEN Shan Tseng	BNU567	Licensed Representative (Provisional Licence)	1, 4
WEN Xuanhao	BNU652	Licensed Representative (Provisional Licence)	1, 4, 9
WENG Dongzhen	BNS036	Licensed Representative (Provisional Licence)	1, 4, 6
WINBUR Sarah Bethan	BNT803	Licensed Representative (Provisional Licence)	4, 9
WIRAWAN Ronald	BNT214	Licensed Representative (Provisional Licence)	1
WONG Chi Wai	BNV802	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Kit Yan, Cecilia	ANN330	Licensed Representative (Provisional Licence)	1, 4
WONG Suet Ying	BIM582	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Tsz Ching	BBH085	Licensed Representative (Provisional Licence)	1
WONG Wai Bun Benson	BNT804	Licensed Representative (Provisional Licence)	1, 9
WONG Wai Wai	BNV535	Licensed Representative (Provisional Licence)	4, 5, 9
WONG Wai Yeung	BNR663	Licensed Representative (Provisional Licence)	1, 4, 6
WU Chun Lai	AUW915	Licensed Representative (Provisional Licence)	1, 4
WU Mike Quark Way	BNU667	Licensed Representative (Provisional Licence)	1, 6
WU Weijing	BNU074	Licensed Representative (Provisional Licence)	1, 6
WU Yi Ting	BNS681	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
WU Zexi	BNU542	Licensed Representative (Provisional Licence)	4
WU Zihao	BNV276	Licensed Representative (Provisional Licence)	1, 6
WYPRYSKY Victoria Ann	BNU293	Licensed Representative (Provisional Licence)	1, 6
XIAO Yang	BNU417	Licensed Representative (Provisional Licence)	1
XIE Jinhui	AQO522	Licensed Representative (Provisional Licence)	4, 9
XIE Musha	BNU359	Licensed Representative (Provisional Licence)	1
XU Fan	BNS113	Licensed Representative (Provisional Licence)	1, 6
XU Jacqueline	BNU515	Licensed Representative (Provisional Licence)	1, 4, 6
XU Vincent	AZF683	Licensed Representative (Provisional Licence)	1
XU Zihan	BGY750	Licensed Representative (Provisional Licence)	4
YANG Chidie	BNU521	Licensed Representative (Provisional Licence)	1
YANG Liu	BNU351	Licensed Representative (Provisional Licence)	1, 6
YANG Timothy Tian	BNT024	Licensed Representative (Provisional Licence)	1
YANG Xin	BNU267	Licensed Representative (Provisional Licence)	1, 6
YAP Soon Yeong	BDY740	Licensed Representative (Provisional Licence)	1, 4
YAU Siu Yin	BNU185	Licensed Representative (Provisional Licence)	1, 2
YE Junrui	BNU268	Licensed Representative (Provisional Licence)	4
YE Tong Hee	BNV865	Licensed Representative (Provisional Licence)	1
YEUNG Hiu Tung	BNV186	Licensed Representative (Provisional Licence)	1
YEUNG Tsz Kit, Jacky	ASZ509	Licensed Representative (Provisional Licence)	4, 9
YIN Jia	BNV874	Licensed Representative (Provisional Licence)	4, 5, 9
YIP Ho Man, Kirk	AQN832	Licensed Representative (Provisional Licence)	4, 9
YIP Mei Na	BAZ555	Licensed Representative (Provisional Licence)	1, 2
YIU Kwok To	BGZ156	Licensed Representative (Provisional Licence)	1
YOU Jiaxin	BNV456	Licensed Representative (Provisional Licence)	1, 6
YU Mengke	BNU535	Licensed Representative (Provisional Licence)	1, 4, 9
YU Wai Yan, Joanne	BNU516	Licensed Representative (Provisional Licence)	1
YU Wai Yat	BNR811	Licensed Representative (Provisional Licence)	9
YVER Charly Werner	BNU457	Licensed Representative (Provisional Licence)	9
ZANNINO Francesco	APZ383	Licensed Representative (Provisional Licence)	1, 2
ZHANG Bingqian	BNU591	Licensed Representative (Provisional Licence)	6
ZHANG Hanshu	BNU396	Licensed Representative (Provisional Licence)	9
ZHANG Huimin	BNN661	Licensed Representative (Provisional Licence)	9
ZHANG Jingwei	AUG360	Licensed Representative (Provisional Licence)	1, 6
ZHANG Kun	AYX057	Licensed Representative (Provisional Licence)	1, 4
ZHANG Peng	BNU775	Licensed Representative (Provisional Licence)	2, 5
ZHANG Ruoxing	BNS690	Licensed Representative (Provisional Licence)	1, 4
ZHANG Salinas Meng	BNU589	Licensed Representative (Provisional Licence)	4
ZHANG Tao	BNN675	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Xipeng	BNT151	Licensed Representative (Provisional Licence)	4, 9
ZHANG Yueru	BNV454	Licensed Representative (Provisional Licence)	4
ZHANG Yulu	BNU523	Licensed Representative (Provisional Licence)	4
ZHENG Fei	BNS485	Licensed Representative (Provisional Licence)	4
ZHENG Qiaoshi	BNR824	Licensed Representative (Provisional Licence)	1, 9
ZHENG Si Qi	BNU650	Licensed Representative (Provisional Licence)	4
ZHOU Tianshu	BNU414	Licensed Representative (Provisional Licence)	1
ZHOU Xiaoning	BNT765	Licensed Representative (Provisional Licence)	1, 6
ZHOU Yuxin	BNU828	Licensed Representative (Provisional Licence)	6
ZHOU Yuzhe	BNU269	Licensed Representative (Provisional Licence)	1, 6
ZHU Kangping	BNV564	Licensed Representative (Provisional Licence)	9
ZHU Luying	BNT989	Licensed Representative (Provisional Licence)	4
ZHU Ning	BNS680	Licensed Representative (Provisional Licence)	1, 4
ZHU Xiaoqin	BNU995	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Yurui	BNV476	Licensed Representative (Provisional Licence)	2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZUO Sa	BNV280	Licensed Representative (Provisional Licence)	1, 6
AMLICKE Bruce Huddleston	BGY366	Licensed Representative (Temporary Licence)	1, 4
BEDDARD Henry Terence	AOQ827	Licensed Representative (Temporary Licence)	1, 2
BHESANIA Armeen	BNL413	Licensed Representative (Temporary Licence)	4
BI Yan	BJS087	Licensed Representative (Temporary Licence)	1, 2
BRENNAN Anthony Paul	BDU992	Licensed Representative (Temporary Licence)	4
BYRNE Stephen Vincent	BNV312	Licensed Representative (Temporary Licence)	4
CHAN Simon Yuk Kwan	BCT212	Licensed Representative (Temporary Licence)	4
CHEUNG Ho Wan	BNV412	Licensed Representative (Temporary Licence)	1, 2
CHIDGEY Kieren Joseph	BJX129	Licensed Representative (Temporary Licence)	4
CHOONNAWAT Kaseedit	AOY790	Licensed Representative (Temporary Licence)	4
CHUA Minguang Donald	BEF380	Licensed Representative (Temporary Licence)	4
CHUNG Jeehyun	BEX928	Licensed Representative (Temporary Licence)	1
CHUNG Woojin	BNN382	Licensed Representative (Temporary Licence)	6
CLAIRON Adrien Henri Arturo	BNG157	Licensed Representative (Temporary Licence)	1, 2
COGHILL James Arthur Lawrence	AWC079	Licensed Representative (Temporary Licence)	4
COLLINGE Edward James	BNV253	Licensed Representative (Temporary Licence)	1
CORONES Georgia Kate	BDV251	Licensed Representative (Temporary Licence)	1
DAVIS Stephanie July	BNS720	Licensed Representative (Temporary Licence)	4
DEAKIN-BELL John Anthony	BNV617	Licensed Representative (Temporary Licence)	4
EBERLE JR Christopher George	BNV341	Licensed Representative (Temporary Licence)	4
ENOMOTO Takashi	BAZ545	Licensed Representative (Temporary Licence)	4
FAN Jim Shujun	BNO496	Licensed Representative (Temporary Licence)	2, 5
FAN Yun-Chieh	BNO886	Licensed Representative (Temporary Licence)	1
FUJIKI Tomohisa	BJK781	Licensed Representative (Temporary Licence)	4
GABB Jack Richard	BNV331	Licensed Representative (Temporary Licence)	4