

REMOVALS OF LICENSED PERSONS/REGISTERED INS			
CE Name	CE No.	Licence Type	Types of Regulated Activity
Big Bay Asset Management (HK) Limited	BBK905	Licensed Corporation	4, 9
Big Bay Securities (HK) Limited	AXC372	Licensed Corporation	1
Sun Growth Futures Limited	BLH174	Licensed Corporation	2
AU Wai Kwong, David	AJG851	Licensed Representative	1
AU Yuen Ting, Miranda	AEK664	Licensed Representative	9
BANG Keug Jae	BLG379	Licensed Representative	6
BANSAL Gaurav	AZO997	Licensed Representative	6
BARRINEAU James William	BAR106	Licensed Representative	1
BEDDARD Henry Terence	AOQ827	Licensed Representative	1, 2
BOUDIER Julien Vincent	ATC527	Licensed Representative	1, 4
BOURG Jeremy Hugues	BHV619	Licensed Representative	1, 6
CAMPBELL Job Ezra	AFU889	Licensed Representative	9
CAO Yu	AQL848	Licensed Representative	1, 4, 6
CHAN Cheuk Yin	ACD391	Licensed Representative	1, 4, 9
CHAN Choo Tee	APG893	Licensed Representative	1, 4
CHAN Chui Chu	BBO927	Licensed Representative	1
CHAN Chun Kit	BMC174	Licensed Representative	10
CHAN Chun Yeung	BFN292	Licensed Representative	1
CHAN Elvis Kai Chun	BMU857	Licensed Representative	1, 2
CHAN Hoi Kei Rachel	BMW311	Licensed Representative	4, 9
CHAN Ka Kit	BFN321	Licensed Representative	1, 4
CHAN Michael	BKW534	Licensed Representative	1, 4, 9
CHAN Ngar Ling	BJH318	Licensed Representative	1, 6
CHAN Noel	BEX460	Licensed Representative	1, 4, 5, 9
CHAN On Ki	AZV531	Licensed Representative	1, 4
CHAN Pui Sze, Cat	APQ282	Licensed Representative	1
CHAN Stefanie	BIJ806	Licensed Representative	1, 4
CHAN Sze Man	BNK169	Licensed Representative	1
CHAN Tam Leung	AYQ568	Licensed Representative	1
CHAN Tat Wai	AQC797	Licensed Representative	4
CHAN Weiquan	BNG218	Licensed Representative	1, 4
CHAN Wing Lam	BKJ927	Licensed Representative	1
CHAN Yiu Kei	AVF635	Licensed Representative	1, 2
CHANG Chiao-chin	BAC317	Licensed Representative	1
CHANG Ming Keung	AFK570	Licensed Representative	1
CHAU Man Kit	AJC464	Licensed Representative	9
CHAU Suet Yi, Zoe	BGU729	Licensed Representative	1
CHEN Jiajun	BIJ180	Licensed Representative	4, 9
CHEN Jinsha	AVQ343	Licensed Representative	9
CHEN Michelle Lynn	BAA886	Licensed Representative	1, 9
CHEN Mingxiao	BMJ700	Licensed Representative	1, 6
CHEN Tsou Wei	AOA957	Licensed Representative	1, 4
CHEN Wang	BKF044	Licensed Representative	1, 6
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REMOVALS OF LICENSED FERSONS/REGISTERED IN			
CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Xiaying	BLO411	Licensed Representative	10
CHEN Yibiao	AXN055	Licensed Representative	1, 4, 5, 9
CHEN Zhuoming	BGG933	Licensed Representative	6
CHENG Chong Kee	AFU621	Licensed Representative	1, 2
CHENG Kwok Wai	AAW873	Licensed Representative	1, 2
CHENG Sai Wa	AXM173	Licensed Representative	4, 9
CHENG Zachary Chi To	BMV782	Licensed Representative	1, 4
CHEUK Ki Cheung	BJM082	Licensed Representative	1
CHEUNG Cheuk Wing Christie	BMA947	Licensed Representative	10
CHEUNG Chun Wai	BMV688	Licensed Representative	1, 2
CHEUNG Chung Ching	BJZ414	Licensed Representative	6
CHEUNG Hoi Kit Justin	BLN926	Licensed Representative	10
CHEUNG Wan Lung	APQ353	Licensed Representative	1, 4
CHEUNG Yeung	AXT527	Licensed Representative	1, 4
CHEUNG Yik Man	AWZ174	Licensed Representative	1, 2
CHI Hui	BHM403	Licensed Representative	1, 4, 6
CHIN Ching Yue	AAJ214	Licensed Representative	1
CHINEN OKANO Vinicius	BNW027	Licensed Representative	1
CHIU Kwok Yan	ACX687	Licensed Representative	1, 2, 4, 9
CHIU Sen Mo	AGK818	Licensed Representative	1, 4
CHIU Si, Mary	AOM640	Licensed Representative	1, 4, 9
CHIU Yuen Ying	BAU743	Licensed Representative	1, 4, 9
CHOI Ching Lam	BMI298	Licensed Representative	1, 4
CHOI Ho-jae	BGA640	Licensed Representative	1, 4, 6
CHOI Wing Sun	BMS515	Licensed Representative	1, 4, 9
CHONG Yuk Hung, Edmund	AFY849	Licensed Representative	9
CHOW Thomas	AFM847	Licensed Representative	4, 9
CHOW William Kong Meng	BGM036	Licensed Representative	1, 4, 9
CHOW Yuk Hei Christopher	BNB199	Licensed Representative	1
CHU Kwok Kit	BMM407	Licensed Representative	1
CHU Qijie	BMR840	Licensed Representative	9
CHUI Suk Yee, Halina	AJO197	Licensed Representative	1, 4
CHUNG Colin Chin Tao	BBK151	Licensed Representative	1
CHUNG Kong Hung, Pamela	BEC489	Licensed Representative	1
CO Elaine Yap	AUL926	Licensed Representative	9
DAUL Jean-Marc, Bruno	BAX590	Licensed Representative	1, 9
DEPIETS Simon	BKE801	Licensed Representative	9
DOOLEY Daniel Joseph	BCI179	Licensed Representative	1, 4, 9
FAN Boyang	BGB374	Licensed Representative	1
FAN Sierra Qianying	BHV001	Licensed Representative	1, 6
FAN Yajun	BIJ140	Licensed Representative	4, 9
FONG Sum	AAC515	Licensed Representative	1
FUKUOKA Toshiki	BHT294	Licensed Representative	1, 4



REMOVALS OF LICENSED PERSONS/REGISTERED INS			T
CE Name	CE No.	Licence Type	Types of Regulated Activity
FUNG Ka Leung	ABQ007	Licensed Representative	1, 2
FUNG Sin Ting	BHQ076	Licensed Representative	1
FUNG Siu Ping	AEX135	Licensed Representative	1, 2
GAMHARTER Benedikt	BJH395	Licensed Representative	1, 2
GANGAHAR Anirudh	AOB258	Licensed Representative	4
GAO Hongbo	BGN516	Licensed Representative	4
GOTAMA Suwantara Lim	ARP468	Licensed Representative	4
GRIFFIN Robert R	BIR006	Licensed Representative	1, 4
GU Yili	BHP161	Licensed Representative	1, 6
GU Yu	BJT418	Licensed Representative	1, 2
GUO Jianfang	BIY948	Licensed Representative	1, 6
GUPTA Anup	BJQ221	Licensed Representative	1
HAAS Harold, Gregory	ARW500	Licensed Representative	2, 5
HALL Adam Peter	ATX841	Licensed Representative	1
HAMADA Joyce	BHP540	Licensed Representative	1, 2, 4
HAN Hui	AXQ372	Licensed Representative	1, 4, 6
HANG Kai	BNJ612	Licensed Representative	9
HAR Chun Kit, Johnson	ARM685	Licensed Representative	1
HE Jiajun	BFA671	Licensed Representative	1, 4
HE Xiaoyan	BLO690	Licensed Representative	6
HO Chi Yan	APB565	Licensed Representative	1, 2
HO Hoi Kin	BJP065	Licensed Representative	1, 2
HO Ka Yu	BNH508	Licensed Representative	1
HO Kin To	AWC093	Licensed Representative	1
HON Wai Ching	BBF565	Licensed Representative	1, 2, 9
HONG Fei	BNA729	Licensed Representative	1
HORIGUCHI Muneyoshi	BHP720	Licensed Representative	1, 2, 4
HORIUCHI Takafumi	BMX534	Licensed Representative	4
HSIEH Tzung-Wen	ANX708	Licensed Representative	4
HSU Cheng-I	ASP977	Licensed Representative	1, 2, 9
HU Christine	AVM218	Licensed Representative	1
HUANG Jackson Yu-Hao	AWD608	Licensed Representative	1, 4
HUANG Jikang	BKU813	Licensed Representative	9
HUANG Libo	BFY256	Licensed Representative	1, 4, 6
HUANG Xin	BGU034	Licensed Representative	1, 4, 6
HUANG Ying	BHZ229	Licensed Representative	4, 9
HUEN Chun Kit	AQS600	Licensed Representative	1
HUI Man	BGA616	Licensed Representative	9
HUI Yun Chak	BKH449	Licensed Representative	1
Hung Sean	BAN313	Licensed Representative	1
HUTTON Ian	ANR984	Licensed Representative	4
JIM Fun Kwong, Frederick	AAB618	Licensed Representative	1, 2
JONES Emma Callander	BNB033	Licensed Representative	1, 4
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REMOVALS OF LICENSED PERSONS/REGISTERED IN	-	T	
CE Name	CE No.	Licence Type	Types of Regulated Activity
KANG Gyong Hee	AIK892	Licensed Representative	1
KAWASHIMA Yoshihiko	BAQ570	Licensed Representative	1, 4, 9
KEDIA Amar Kumar	ATC334	Licensed Representative	4
KEUNG Lin	BDB880	Licensed Representative	1
KEUNG Man Sang	AAD287	Licensed Representative	1
KIM Hyun Seok	AGN749	Licensed Representative	1
KOERBER Matthias	APZ541	Licensed Representative	1, 2
KONG Fu Sing, Louis	APH458	Licensed Representative	1
KOO Dawson	AQV537	Licensed Representative	1
KUANG Enqi	BJU194	Licensed Representative	9
KULLENBERG Jan Ake Bertil	ARP378	Licensed Representative	9
KUNG Justin Lingqin	BFY816	Licensed Representative	1, 2
KUNG Wing Kan, Kennis	AXW604	Licensed Representative	1
KWOK Hoi Kit Victor	BKB387	Licensed Representative	1, 6
KWOK Tai Yiu	BEA414	Licensed Representative	6
KWONG Tsz Ting	BJG798	Licensed Representative	1, 4, 9
KWONG Wai Chi	AWF597	Licensed Representative	4, 9
LAHAIE Michel Gilles	AAH635	Licensed Representative	4, 9
LAI Chi Wai	AZX861	Licensed Representative	1
LAI Man Chun	BJT118	Licensed Representative	1
LAI Pui Hang	BNG079	Licensed Representative	1, 4
LAI Yuk Fung	BLX403	Licensed Representative	1
LAM Ka Lok, Paul	BHC989	Licensed Representative	6
LAM Ka Wai	AOV836	Licensed Representative	1, 2, 4
LAM Lai Chun	AFJ754	Licensed Representative	1, 4
LAM Man Tim	BFY891	Licensed Representative	4
LAM Sui Fan	AFS495	Licensed Representative	1
LAM Tat Wai, Darwin	AFU143	Licensed Representative	4
LAM Tsz Kit	BJE766	Licensed Representative	6
LAM Yu Yeung	BJU819	Licensed Representative	10
LAN John Xiang	BGA385	Licensed Representative	1, 4
LATRECHE Hamid	BJL725	Licensed Representative	1, 2
LAU Pui Shan	BHI988	Licensed Representative	2
LAU Chi To	BHZ830	Licensed Representative	1, 4, 9
LAU Hong	BDY028	Licensed Representative	1
LAU Kin Fai	BJO526	Licensed Representative	1, 4
LAU Kwok Leung	AAD937	Licensed Representative	1
LAU Man Ling	BAE434	Licensed Representative	1, 2
LAU May Ling	AAC049	Licensed Representative	1, 2
LAU Tak Wing	BID287	Licensed Representative	1, 2, 4, 5
LAU Wai Ho	BIJ840	Licensed Representative	1, 6
LAW Tak Shing	ACJ935	Licensed Representative	1, 3
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REMIDVALS OF LICENSED PERSONS/REGISTERED IN	1	T	
CE Name	CE No.	Licence Type	Types of Regulated Activity
LE MAGNAN Sandra Liu	ANM095	Licensed Representative	4
LEAHY David Joseph	AKI495	Licensed Representative	2, 5
LEE Man Hon	BGC141	Licensed Representative	1
LEE Yoke Ching	BKS585	Licensed Representative	1
LEE Yuk Chun, Annie	ANG471	Licensed Representative	1, 4
LEE Yun Hong	BMY557	Licensed Representative	1, 2
LEIDELINGER Jules Frederic Jean-michel	BJR571	Licensed Representative	1, 2, 7
LEUNG Ching Kwong Bryan	BFD782	Licensed Representative	1, 2
LEUNG Ho Pong	ASD454	Licensed Representative	1, 2
LEUNG Ka Wai	BNG687	Licensed Representative	9
LEUNG Kin Fai	ABE420	Licensed Representative	4, 9
LEUNG Terence Ho Chi	APF834	Licensed Representative	9
LEUNG Yiu Cho	APJ889	Licensed Representative	6
LEUNG Yuk Kwan, Edwin	APU606	Licensed Representative	1
LI Cheong Ho	ASX297	Licensed Representative	9
LI Cheuk Yin	BMW317	Licensed Representative	4
LI Fong Yin	BEI554	Licensed Representative	1, 2, 3
LI Qingmei	BHP427	Licensed Representative	1, 4, 6
LI Quanwei	AXP766	Licensed Representative	1, 4, 9
LI Shuxi	BKT719	Licensed Representative	1
LI Xiaofei	BEI897	Licensed Representative	6
LI Yick Ho, Douglas	BGG170	Licensed Representative	9
LI Yiming	BFT378	Licensed Representative	1, 4
LI Yong	AXM324	Licensed Representative	1, 4, 9
LI Zhonggui	BMW392	Licensed Representative	4, 9
LIANG Zhen Yu	BJG450	Licensed Representative	1, 4, 6
LIE Lai Heung	BJL082	Licensed Representative	1
LIM Wei Jian	BIC277	Licensed Representative	1
LIN Jia	BGP204	Licensed Representative	1
LIN Jiajing	BBX579	Licensed Representative	1, 4
LIN Ka Ho	AMR825	Licensed Representative	1
LIN King Yeung	BDK814	Licensed Representative	1, 6
LIN Tian	BGK496	Licensed Representative	1, 4, 6
LIN Ying Cheng	BJB913	Licensed Representative	2, 9
LING Peiyi	BEQ545	Licensed Representative	1, 4
LINTON Jonathan David	BGM585	Licensed Representative	1, 2
LISTER Andrew	BHX821	Licensed Representative	1
LIU Fan	BBS208	Licensed Representative	1, 2
LIU Ka Tat	BMX425	Licensed Representative	1, 2
LIU Mengying	BIN851	Licensed Representative	4, 9
LIU Sha	BNG756	Licensed Representative	1, 4, 6
LIU Zhicheng	BNT783	Licensed Representative	6
LO Chun Yin	BID277	Licensed Representative	1



REMOVALS OF LICENSED PERSONS/REGISTERED I			
CE Name	CE No.	Licence Type	Types of Regulated Activity
LO Ki Cheung	BIN969	Licensed Representative	6
LO Shu Pui	BCO572	Licensed Representative	1, 2, 3, 4
LO Tai Leung, Sam	AIH728	Licensed Representative	1, 2
LO Yu Hin, Alvin	BHD848	Licensed Representative	1, 4
LONG Lydia Soong Leng	BMM364	Licensed Representative	1, 4
LU Suhan	BJL019	Licensed Representative	1, 2, 7
LU Yao	BIM322	Licensed Representative	6
LUI Hoi Ching	BNH546	Licensed Representative	9
LUO Di	BHH206	Licensed Representative	1, 4, 9
MACK May Ling, Astley	BAU742	Licensed Representative	1
MAK Cheuk Man	BAK387	Licensed Representative	1, 4
MAK Ming Hoi	BJR444	Licensed Representative	1
MAK Siu Chung	BIQ231	Licensed Representative	1, 4
MAK Yin Ping	AGC695	Licensed Representative	1
MAK Ying Kit, Alex	AFV889	Licensed Representative	1
MCCOLL Ben Richard	AZW565	Licensed Representative	1, 2
MEIKLE Neil	BEH970	Licensed Representative	1
MIAO Yifan	BHX474	Licensed Representative	4
MIZUTANI Toshiya	BMW429	Licensed Representative	1
MOK Kai Yan	ANW307	Licensed Representative	1, 2
MORRIS Peter Vandermark	BHS224	Licensed Representative	9
MUI Cheuk Sang	ADQ862	Licensed Representative	1, 2
MUTO Takashi	AZL123	Licensed Representative	9
NG Chai Sim	BDL632	Licensed Representative	1, 2
NG Chi Kit	BHL118	Licensed Representative	1, 2, 3
NG Ka Po	ACK481	Licensed Representative	3
NG Kin Shing, Kenny	ATN588	Licensed Representative	1, 4
NG Yuen Man	ANA174	Licensed Representative	1
NGAI Tun Yu	BAS691	Licensed Representative	1, 2
NING Bo	BIZ024	Licensed Representative	1, 6
O'HANLON David John	BFN910	Licensed Representative	1, 2, 4
OKANO Ryuta	AWV082	Licensed Representative	1, 2
PAN Yuzhang	BJT264	Licensed Representative	1, 6
PANG Man Lee	BKR252	Licensed Representative	1
PANG Tai Yin	BBU610	Licensed Representative	1, 2, 4
PANG Yuk Lam	ABS295	Licensed Representative	1, 2
PAUL Scott Kenneth	BEB926	Licensed Representative	6
PENG Jian	BJH329	Licensed Representative	1, 2, 7
POON Wing Kwong	BLC825	Licensed Representative	1, 2, 4
PRESCOTT Lewis Edward	AOQ772	Licensed Representative	9
REMINGTON Guy Jonathan Edwin	AXL780	Licensed Representative	7
REUS Alexander James Howarth	BKZ628	Licensed Representative	6
ROBB Gordon Bjorn	BIQ332	Licensed Representative	1



OF Name	OF N		Towns of Boundary I Assistan
CE Name	CE No.	Licence Type	Types of Regulated Activity
SCHNEIDER Jurgen Matthias	BFS486	Licensed Representative	1
SCHUETZ Arthur Josef Hermann	AWS902	Licensed Representative	1, 6
SEE Kit Long	APY536	Licensed Representative	1, 2
SHA Zhengzhen	BJU227	Licensed Representative	4
SHAN YING	BJH136	Licensed Representative	4
SHEN Cheng	BGP585	Licensed Representative	1, 2
SHI Qianqian	BAX637	Licensed Representative	1
SHIMADA Satomi	AJB419	Licensed Representative	4, 9
SHUM Ho Ming, Bernard	ATR616	Licensed Representative	1, 4
SIN Yip Fung	BJG745	Licensed Representative	9
SO Wah Lung	AAA984	Licensed Representative	1, 2
SONG Yangzhou	AOK810	Licensed Representative	1, 4, 9
SONNI Sean Sharath	BNU972	Licensed Representative	1, 2, 4
SOON Yung Kuen	AXU894	Licensed Representative	3
SZETO Carissa Carol	AIU527	Licensed Representative	4
TAM Nai Him, Leslie	AOM784	Licensed Representative	1, 2
TAM Wai Man	APH713	Licensed Representative	1, 4
TAN Jonathan Hiu Fung	BHY515	Licensed Representative	1
TAN Lam Hing	ACZ625	Licensed Representative	4
TAN Lu	BIC625	Licensed Representative	4
TANG Cheuk Lun	ARA330	Licensed Representative	1
TANG Oi Ling	ADO934	Licensed Representative	1, 4
TAZAKI Ryo	AYB048	Licensed Representative	4
TONG XIAO	BJL736	Licensed Representative	9
TSANG Hin Jone, Jeffrey	ANF304	Licensed Representative	4, 9
TSANG Ka Man	AFR181	Licensed Representative	4
TSE Tung Ta	BND847	Licensed Representative	1
TSE Wing Leong	BFZ202	Licensed Representative	1
TSUI Hiu Fai	BML882	Licensed Representative	1, 4, 9
TSUI Siu Kong	AQD603	Licensed Representative	1, 2
TUNG Chung Yin, Williams	AAY751	Licensed Representative	1, 4, 9
VAIDYANATHAN Sanjeev	AZS281	Licensed Representative	9
VAZIRANI Haresh	BEM539	Licensed Representative	4, 9
WANG Bingran	BNB856	Licensed Representative	1, 6
WANG Linfeng	BDX765	Licensed Representative	4, 9
WANG Shen-Tzu	BGT533	Licensed Representative	1
WANG Xiaoxing	BJK619	Licensed Representative	1, 2
WANG Ying	BNN043	Licensed Representative	4, 9
WANG Yinghao	BGE289	Licensed Representative	1, 2
WANG Yiqun	BNC716	Licensed Representative	1, 2, 4
WANG Yuan	BJU824	Licensed Representative	9
WANG Zichao	BIW223	Licensed Representative	1, 4, 9
WASILEWICZ Maciej Karol	BHO636	Licensed Representative	4, 5, 9



REMOVALS OF LICENSED FERSONS/REGISTERED IN		T	1
CE Name	CE No.	Licence Type	Types of Regulated Activity
WEGENER Michael Stefan	AYR061	Licensed Representative	9
WITTMANN Peter Ewald Heinrich	BMO963	Licensed Representative	4, 9
WONG Cheuk Ki	BHO299	Licensed Representative	1, 4, 9
WONG Chun Fai Tony	BIX834	Licensed Representative	1, 2
WONG Chun Fung	AXG076	Licensed Representative	1
WONG Hei Man	BBN079	Licensed Representative	1, 6
WONG Ho Yan, Crystal	BHG936	Licensed Representative	1, 4, 9
WONG Jason Pok Hei	BHU273	Licensed Representative	1, 6
WONG Jun	BHB498	Licensed Representative	1, 4
WONG Ka Man	BHH561	Licensed Representative	9
WONG Ka Ming, Alan	ARQ657	Licensed Representative	1
WONG Kin Keung	AXO285	Licensed Representative	1, 2
WONG Kwok Tung	BLR098	Licensed Representative	1, 2, 4, 9
WONG Stanley Car-Lai	AXM488	Licensed Representative	1
WONG Tin Lok, Stella	BIV289	Licensed Representative	1, 6
WONG Wai Lam	AEL134	Licensed Representative	1
WONG Wing Kin, Ricky	AQQ122	Licensed Representative	1, 3
WONG Yuen Yan	BBX597	Licensed Representative	1, 4, 9
WOOD Graham Douglas	AKT391	Licensed Representative	9
WRAIGHT David John	AFW092	Licensed Representative	1, 2
WRIGHT Kim Samantha	AUW108	Licensed Representative	4
WU Kit Sheung	ART067	Licensed Representative	1
Wu Siu Fai	ATR768	Licensed Representative	9
WU Wan Ru	BIQ110	Licensed Representative	1, 9
WU Yuk Kwan	ACJ213	Licensed Representative	1, 4
XIA Dongfei	BHM026	Licensed Representative	1, 4, 6
XIA Tao	BJE654	Licensed Representative	6
XIA Zhen	BOC238	Licensed Representative	1
XIE Yi	ANL073	Licensed Representative	1, 2
XU Jing	BMQ359	Licensed Representative	1, 6
XU Qing	BIY244	Licensed Representative	4, 9
XU Yingjie	BML611	Licensed Representative	1
YAMANAKA Satoko	BLY531	Licensed Representative	4
YAN Kwok Wai, Lemuel	AFN407	Licensed Representative	4
YANG Jing	BIX539	Licensed Representative	4
YANG Wenqi, Lucky	BKJ915	Licensed Representative	1, 2
YARDLEY Stephanie Lee	BNF113	Licensed Representative	1, 4, 9
YAU Gary Tsz Kit	BIQ776	Licensed Representative	4
YEUNG Hau Yip	BDP513	Licensed Representative	1, 2, 9
YIN YUE	BMV810	Licensed Representative	1, 4, 9
YIN Yun	BGK396	Licensed Representative	1, 4
YIP Man Hon	BHZ902	Licensed Representative	4
YIP Yuen Yee, Simone	ACK460	Licensed Representative	1, 3



CE Name			Times of Devided & Market
CE Name	CE No.	Licence Type	Types of Regulated Activity
YOSHIDA Kengo	AVA 0.40	Licensed Representative	1, 4
YU Kwan Shun Benjamin	AXA343	Licensed Representative	9
YU Liang	BID601	Licensed Representative	4
YU Rina	ARI056	Licensed Representative	1, 4
YU Xi	BLF174	Licensed Representative	1
YUE Yi	BHK601	Licensed Representative	4, 9
YUEN Tan	BCE755	Licensed Representative	1
ZHANG Jing	BNG657	Licensed Representative	1, 4, 9
ZHANG Yuye	BIX980	Licensed Representative	1, 4
ZHAO Hanqing	AZU987	Licensed Representative	6
ZHAO Jianan	BHT616	Licensed Representative	1, 6
ZHAO Xiaodan	BKS689	Licensed Representative	6
ZHONG Hui	AMN649	Licensed Representative	1, 4
ZHONG Qi	BHG775	Licensed Representative	4
ZHOU Chunquan	AZT860	Licensed Representative	4, 9
ZHOU Quan	BKT188	Licensed Representative	1
ZHOU Rui	BHV154	Licensed Representative	1, 4, 6
ZHOU Shinan	BHF440	Licensed Representative	1, 4, 6
ZHOU Yuchao	BFS185	Licensed Representative	1
ZHU Xianan	BLO145	Licensed Representative	1, 4
ZHU Zheng	BGG429	Licensed Representative	1, 4, 6
ZHUANG Huihui	BKR063	Licensed Representative	4, 9
BILGI ZAPPAROLI Berat Elif	BHD334	Licensed Representative - Responsible Officer	1, 4, 6
BOGGIS Alexander Colin	AFT717	Licensed Representative - Responsible Officer	1, 4
CHANG Steven	BKJ800	Licensed Representative - Responsible Officer	1
CHAU Chi Kin	AIS462	Licensed Representative - Responsible Officer	4, 9
CHEN Banyan	AXM122	Licensed Representative - Responsible Officer	9
CHEN Richard Chi-sheng	AIY923	Licensed Representative - Responsible Officer	1, 2, 4, 6, 9
CHEUNG Chau Wan, Agatha	BDH663	Licensed Representative - Responsible Officer	1, 4, 6
CHIU Cheuk San	AAF551	Licensed Representative - Responsible Officer	1, 4
CHOI Henry Young	BFW380	Licensed Representative - Responsible Officer	9
CHONG Sue Ching	AQN999	Licensed Representative - Responsible Officer	1, 4, 9
CHONG Yuk Hung, Edmund	AFY849	Licensed Representative - Responsible Officer	1, 4
EL-HABEL Fawaz Sobhi	All679	Licensed Representative - Responsible Officer	4, 9
FANJAUD Xavier Paul Christian	AJG155	Licensed Representative - Responsible Officer	9
FEI Peng	AWH287	Licensed Representative - Responsible Officer	4, 9
FONG Herman Yat Wo	ADH944	Licensed Representative - Responsible Officer	1, 9
GERVIS Matthieu Olivier	BJE765	Licensed Representative - Responsible Officer	9
НО Ка Риі	BGL055	Licensed Representative - Responsible Officer	4, 9
HU Fangqing	BHN800	Licensed Representative - Responsible Officer	1, 6
HUTTON Ian	ANR984	Licensed Representative - Responsible Officer	1
KIELY Lucas Alexander	BAD884	Licensed Representative - Responsible Officer	9
LAW On Yee	AWQ303	Licensed Representative - Responsible Officer	1
LAW OII 166	744702	Licensed Representative - Responsible Officer	'



REMOVALS OF LICENSED FERSONS/REGISTERED		1	
CE Name	CE No.	Licence Type	Types of Regulated Activity
LAW Wei Kee, Philip	ATT955	Licensed Representative - Responsible Officer	2
LEE Si-Da Shawn	ARN057	Licensed Representative - Responsible Officer	1, 6
LEE Yik Shan	ADV592	Licensed Representative - Responsible Officer	1, 2, 4, 5
LIN Ka Ho	AMR825	Licensed Representative - Responsible Officer	4, 9
LIN Ying Cheng	BJB913	Licensed Representative - Responsible Officer	1
LUI Jammy	AJE971	Licensed Representative - Responsible Officer	1, 2
MA Kit Man, Maria	AJB850	Licensed Representative - Responsible Officer	1
MAK Yin Ping	AGC695	Licensed Representative - Responsible Officer	6
MAK Yun Ching, Mica	ADL348	Licensed Representative - Responsible Officer	1, 4, 6
MASANAO Tomoya	BNH754	Licensed Representative - Responsible Officer	1, 4, 9
MELWANI Haresh Arjandas	AAP376	Licensed Representative - Responsible Officer	1, 4
MONTEIRO DE ANDRADE Gregor Masini	BHD771	Licensed Representative - Responsible Officer	1
MURRAY Timothy Patrick	AYT806	Licensed Representative - Responsible Officer	4
NG Siu May, Michelle	ARR889	Licensed Representative - Responsible Officer	1, 4, 9
PALMA Michael	BLL845	Licensed Representative - Responsible Officer	1, 4
PAN Lok Ping, Ivy	ADZ359	Licensed Representative - Responsible Officer	4, 9
PAUL Scott Kenneth	BEB926	Licensed Representative - Responsible Officer	1, 4
PIOVARCSY Karol	BFT767	Licensed Representative - Responsible Officer	1, 2
POON Ceypo	ACS611	Licensed Representative - Responsible Officer	4
REMINGTON Guy Jonathan Edwin	AXL780	Licensed Representative - Responsible Officer	1, 2
SEW HOY Kevin Graeme	AHK082	Licensed Representative - Responsible Officer	1, 2, 4, 6
SHU Zhi	BEW399	Licensed Representative - Responsible Officer	9
SO Melissa Wen-Sum	BHR810	Licensed Representative - Responsible Officer	6
SPUNGIN Charles Adrian Edward	BNH017	Licensed Representative - Responsible Officer	1, 4, 9
TAN Yong Sheng	AZQ504	Licensed Representative - Responsible Officer	9
WANG Yan	AVM924	Licensed Representative - Responsible Officer	1, 6
WANG Yingfeng	BFJ344	Licensed Representative - Responsible Officer	4, 9
WANG Yuan	BJU824	Licensed Representative - Responsible Officer	4
WEGENER Michael Stefan	AYR061	Licensed Representative - Responsible Officer	4
WONG CHIU Hung Bik, Betsy	AAH302	Licensed Representative - Responsible Officer	1
WONG Wai Lam	AEL134	Licensed Representative - Responsible Officer	2
WONG Yi Dung, Eden	AEV789	Licensed Representative - Responsible Officer	4, 9
WU Jianfei	BAZ794	Licensed Representative - Responsible Officer	1, 4, 9
XU Qing	BKT753	Licensed Representative - Responsible Officer	10
YIP Darian Heung-yeung	BFV213	Licensed Representative - Responsible Officer	6
ZHAO Xiaodan	BKS689	Licensed Representative - Responsible Officer	1, 4
ZHONG Hui	AMN649	Licensed Representative - Responsible Officer	9
ZHOU Feihua	BAZ520	Licensed Representative - Responsible Officer	10
ALTIERI Mario Letterio	BOE412	Licensed Representative (Provisional Licence)	1, 2
ANG Chiu On	BOE755	Licensed Representative (Provisional Licence)	1, 4
ANSARI Saira Abul	BOF645	Licensed Representative (Provisional Licence)	1
ASVATHITANONTA Kamolwan	BOG394	Licensed Representative (Provisional Licence)	1
AU Wilson Wai Kwan	ATY188	Licensed Representative (Provisional Licence)	1, 4, 9



REMOVALS OF LICENSED FERSONS/REGISTERED II		1	1
CE Name	CE No.	Licence Type	Types of Regulated Activity
AUBIN Edouard Jean Laurent	BLT655	Licensed Representative (Provisional Licence)	1, 4
AU-YEUNG Kin Hang	AXI948	Licensed Representative (Provisional Licence)	1
BAI Yang	BOE426	Licensed Representative (Provisional Licence)	1, 6
BERNARDO Jessie	BAM369	Licensed Representative (Provisional Licence)	1, 4
BING Zhujun	BOF315	Licensed Representative (Provisional Licence)	1, 4, 9
CEREDA PARINI Flavio Romano Achille	BDD072	Licensed Representative (Provisional Licence)	4
CHAN Ernest	BOF190	Licensed Representative (Provisional Licence)	2, 5
CHAN Hiu Yung Crystal	BOE956	Licensed Representative (Provisional Licence)	4, 9
CHAN Ka Wai	BOF448	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Lily	BOF423	Licensed Representative (Provisional Licence)	1
CHAN Ramand Wai Ming	ANS053	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Ryan Yin Chun	BOF797	Licensed Representative (Provisional Licence)	1, 2
CHAN Suet Sze	BOF035	Licensed Representative (Provisional Licence)	1
CHAN Suet Ying	BOF948	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Ut leong	AWF498	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Wang Wai	BOE732	Licensed Representative (Provisional Licence)	1, 2
CHAO Yee Hung	BOG041	Licensed Representative (Provisional Licence)	1, 4
CHAUDHURI Kaustav	BOE528	Licensed Representative (Provisional Licence)	1, 2
CHEN Chucan	BOC035	Licensed Representative (Provisional Licence)	4
CHEN Han	BOE243	Licensed Representative (Provisional Licence)	1
CHEN Hui	BOE948	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Li	BOF799	Licensed Representative (Provisional Licence)	1, 6
CHEN Shaowen	BOF188	Licensed Representative (Provisional Licence)	1, 2, 9
CHEN Weibin	BOD694	Licensed Representative (Provisional Licence)	4
CHEN Wuhao	BOF915	Licensed Representative (Provisional Licence)	1, 4
CHEN Xiao	AVA602	Licensed Representative (Provisional Licence)	1, 4
CHEN Xiaohua	BAM436	Licensed Representative (Provisional Licence)	1, 2
CHEN Yilun	BOF343	Licensed Representative (Provisional Licence)	1
CHEN Zhe	BOG853	Licensed Representative (Provisional Licence)	1, 2
CHEN Zhexin	BOD028	Licensed Representative (Provisional Licence)	1, 4, 6
CHENG Haiwen	BIN077	Licensed Representative (Provisional Licence)	1, 4
CHENG Sze Lok	BOD979	Licensed Representative (Provisional Licence)	1
CHENG Wanting	BOF396	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Fei Chau	AGC679	Licensed Representative (Provisional Licence)	1
CHEW Cheng Yuan	BNV903	Licensed Representative (Provisional Licence)	1, 4, 6
CHHOA Catherine	BOC710	Licensed Representative (Provisional Licence)	6
CHIN Yao Chou	BOD846	Licensed Representative (Provisional Licence)	1, 2, 4
CHING Mung Kiu	BOF397	Licensed Representative (Provisional Licence)	1
CHIU Hiu Lam Emily	BOE627	Licensed Representative (Provisional Licence)	1, 4, 9
CHOI Ho Jun	BOF337	Licensed Representative (Provisional Licence)	1
CHOI Lai Chuen	BOE246	Licensed Representative (Provisional Licence)	1
CHOI Miu Wa	BNO944	Licensed Representative (Provisional Licence)	1, 4
CHOI Tung Yin, Patrick	AVA754	Licensed Representative (Provisional Licence)	1, 2



REMOVALS OF LICENSED PERSONS/REGISTERED INS	CE No.	Licence Type	Types of Regulated Activity
CHOU Tsz Yeung	BFT505	Licensed Representative (Provisional Licence)	1
CHOW Chung, Apollo	BOF134	Licensed Representative (Provisional Licence)	1, 4, 9
CHU Chung Lim	BOE385	Licensed Representative (Provisional Licence)	1, 2, 9
CHU Kin Chiu	BOF222	Licensed Representative (Provisional Licence)	1, 9
CLARK Miranda Helen	BOD965	Licensed Representative (Provisional Licence)	4
COPERTI Giulio Giacomo	BOG205	Licensed Representative (Provisional Licence)	6
DAN Hiroyuki	BOF345	Licensed Representative (Provisional Licence)	1, 4, 6
DEMAIO Thomas Vincent	AML645	Licensed Representative (Provisional Licence)	9
DI FUCCI John Stephen	BOG204	Licensed Representative (Provisional Licence)	4
DICKERSON Joseph Eric	BOG204 BOG047	Licensed Representative (Provisional Licence)	4
DILLARD Brian Wesley	BOF093	Licensed Representative (Provisional Licence)	1, 4
DING Mao	BGI315	Licensed Representative (Provisional Licence)	1
DOULL Matthew William Monteith	BOC819	Licensed Representative (Provisional Licence)	1, 4
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DUAN Yanyan ELIE TANUJAYA Kevin	BOD921 BEF300	Licensed Representative (Provisional License)	1, 2, 4, 5, 9
		Licensed Representative (Provisional Licence)	
ENLUND Dianna	APU795	Licensed Representative (Provisional Licence)	1, 4, 9
ER Wilson	APK732	Licensed Representative (Provisional Licence)	1, 4, 9
FAN Cheuk Yin	BOF139	Licensed Representative (Provisional Licence)	4
FAN Ka Wai	BOD914	Licensed Representative (Provisional Licence)	1, 4, 9
FANG Shuang	BOE652	Licensed Representative (Provisional Licence)	4, 9
FENG Chongguang	BOD615	Licensed Representative (Provisional Licence)	4
FENG Xiao	BOA927	Licensed Representative (Provisional Licence)	1, 4, 9
FENG Yutong	BOF258	Licensed Representative (Provisional Licence)	4
FENG Zhangpeng	BOE863	Licensed Representative (Provisional Licence)	4, 9
FISHMAN Edward Laurence	BOF167	Licensed Representative (Provisional Licence)	1
FREEMAN Sebastian Oliver	BOC820	Licensed Representative (Provisional Licence)	1, 2
FU Yu	BOE212	Licensed Representative (Provisional Licence)	1, 4, 5, 9
GAO Xu	BOH068	Licensed Representative (Provisional Licence)	1, 4
GARG Mukul	BOD966	Licensed Representative (Provisional Licence)	4
GE Mier	BOC131	Licensed Representative (Provisional Licence)	1, 6
GLAISNER Quentin	BOE206	Licensed Representative (Provisional Licence)	1, 2, 7
GUO Shiyi	BOE952	Licensed Representative (Provisional Licence)	1, 4
GUO Weiwei	BOG386	Licensed Representative (Provisional Licence)	1, 2, 4, 5
HAM Tae Gyu	BOF166	Licensed Representative (Provisional Licence)	1, 4
HAO Yanhui	BOD167	Licensed Representative (Provisional Licence)	4
HAU Wai Tak	BKV826	Licensed Representative (Provisional Licence)	4, 9
HAYMAN Grace Susan	BOF496	Licensed Representative (Provisional Licence)	1
HEWITT David James	APG723	Licensed Representative (Provisional Licence)	4
HILL Daniel William	BFY595	Licensed Representative (Provisional Licence)	9
HINDLE Leon Miller	AIN992	Licensed Representative (Provisional Licence)	9
HITCHENS Paul Brian Pym	AWX518	Licensed Representative (Provisional Licence)	4
HO Ka Lun	BIN776	Licensed Representative (Provisional Licence)	1, 4
HO Siu Man, Gideon	AOR642	Licensed Representative (Provisional Licence)	4, 9



REMOVALS OF LICENSED FERSONS/REGISTERED IN	1	T	
CE Name	CE No.	Licence Type	Types of Regulated Activity
HO Sui Yi	BOC629	Licensed Representative (Provisional Licence)	1, 4
HON Wing Lun	AVP857	Licensed Representative (Provisional Licence)	4
HOU Jiaqi	BOF906	Licensed Representative (Provisional Licence)	1, 4
HOU Yiyun	BIU737	Licensed Representative (Provisional Licence)	1
HOUCHOIS Philippe Jean	AZK752	Licensed Representative (Provisional Licence)	4
HSU Chiao Chih	AXW468	Licensed Representative (Provisional Licence)	4
HU Deyue	BOF356	Licensed Representative (Provisional Licence)	1, 4
HU Letian	BDI847	Licensed Representative (Provisional Licence)	1, 4, 9
HU Wanli	BOF614	Licensed Representative (Provisional Licence)	1, 4
HU Wenqin	BFQ045	Licensed Representative (Provisional Licence)	1, 6
HUANG Hantao	BOF082	Licensed Representative (Provisional Licence)	1, 4
HUANG Xinyi	BOE864	Licensed Representative (Provisional Licence)	1, 6
HUANG Xinyue	BOF252	Licensed Representative (Provisional Licence)	1, 4
HUDSON Alexander Jo	BOD306	Licensed Representative (Provisional Licence)	1, 7
HUH Samuel	BOD124	Licensed Representative (Provisional Licence)	1, 4, 9
HUI Jie	BIJ550	Licensed Representative (Provisional Licence)	1, 4
HUMAN Brandt Van Aardt	BOF850	Licensed Representative (Provisional Licence)	1
INDERST Andreas	BOE621	Licensed Representative (Provisional Licence)	4
IVANOVSKA Violeta Dimitrova	BOG079	Licensed Representative (Provisional Licence)	1
JENKINS Benjamin Taylor	BOF078	Licensed Representative (Provisional Licence)	1
JIANG Hao	BOE811	Licensed Representative (Provisional Licence)	1, 6
JIANG Xinhao	BOE414	Licensed Representative (Provisional Licence)	1, 4
JIANG Yann	BOF574	Licensed Representative (Provisional Licence)	1, 4, 6
KANG Puay Ju	BJB545	Licensed Representative (Provisional Licence)	1
KATZ David Brian	BOD520	Licensed Representative (Provisional Licence)	4
KAWAMOTO Yushi	BOF144	Licensed Representative (Provisional Licence)	4
KELLY Robert James	BOD307	Licensed Representative (Provisional Licence)	1, 7
KO Sze Chai, Joyce	BOD909	Licensed Representative (Provisional Licence)	1, 4, 9
KO Wing Leong Algie	BOD857	Licensed Representative (Provisional Licence)	9
KOO Hung Jun, Lawrence	ADL513	Licensed Representative (Provisional Licence)	1, 4
KUAN Sai In	BOE912	Licensed Representative (Provisional Licence)	1, 4, 6
KWAN Irene Michelle	BOF426	Licensed Representative (Provisional Licence)	9
KWEK Li Ern Joy	ANQ606	Licensed Representative (Provisional Licence)	6
KWOK Kin	BOF020	Licensed Representative (Provisional Licence)	1
KWOK Luk Pun	BGB730	Licensed Representative (Provisional Licence)	1, 4
LAI Man Ling	AWX953	Licensed Representative (Provisional Licence)	4
LAI Tianxing	BOE375	Licensed Representative (Provisional Licence)	1, 6
LAM Chak Yu	BOE255	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Chi Kong	BOF458	Licensed Representative (Provisional Licence)	1, 4, 6
LAM Hiu Tung	BOF254	Licensed Representative (Provisional Licence)	1, 6
LAM Ka Ho	BOE175	Licensed Representative (Provisional Licence)	1, 2
LAM Kin Sang	AOX351	Licensed Representative (Provisional Licence)	1, 2
LAM Kwok Wai	BOF116	Licensed Representative (Provisional Licence)	1



REMOVALS OF LICENSED PERSONS/REGISTERED INS		J 0 7/12010	1
CE Name	CE No.	Licence Type	Types of Regulated Activity
LAM Nok Sze Christie	BOC922	Licensed Representative (Provisional Licence)	1, 4, 9
LAU Chun Pong	BOD152	Licensed Representative (Provisional Licence)	1, 4, 9
LAU Hiu Ting	BOE257	Licensed Representative (Provisional Licence)	1
LAU Yun Mei	BNV579	Licensed Representative (Provisional Licence)	1
LAW Hung Yan Verona	BOF186	Licensed Representative (Provisional Licence)	9
LAW Sum Yee	BOG017	Licensed Representative (Provisional Licence)	4
LAW Yuk Shan	BOG423	Licensed Representative (Provisional Licence)	1, 2
LE GOFF Yannick Christian	ASO642	Licensed Representative (Provisional Licence)	1, 2
LEE Ching Hung	AXX537	Licensed Representative (Provisional Licence)	1, 2, 3, 4
LEE Daeun	BOF404	Licensed Representative (Provisional Licence)	1, 4, 6
LEE Donald Sang	AUT132	Licensed Representative (Provisional Licence)	1, 2, 7
LEE Jenny Jaung	ALF685	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Ka Sing	BOD096	Licensed Representative (Provisional Licence)	9
LEE Kwok Chu	BBG831	Licensed Representative (Provisional Licence)	1
LEE Shi Hyun	BFT723	Licensed Representative (Provisional Licence)	1, 6
LEE Yee Man, Cheris	AOV542	Licensed Representative (Provisional Licence)	1, 4, 9
LEOW Wei Heng, Timothy	BOC065	Licensed Representative (Provisional Licence)	1, 4, 6
LEUNG Kenrick Kwong Wick	ADG978	Licensed Representative (Provisional Licence)	9
LEUNG Sze Wan	BOD304	Licensed Representative (Provisional Licence)	1, 2
LI Chun Lun	BOD303	Licensed Representative (Provisional Licence)	1, 2
LI Dan	ARA997	Licensed Representative (Provisional Licence)	1, 4, 9
LI Jiaming	BOE640	Licensed Representative (Provisional Licence)	4
LI Jing	AMI391	Licensed Representative (Provisional Licence)	4, 9
LI Man Luk	AWZ595	Licensed Representative (Provisional Licence)	1, 4, 9
LI Meng	BOF794	Licensed Representative (Provisional Licence)	1, 2, 4
LI Mengxuan	BDR393	Licensed Representative (Provisional Licence)	1, 4, 9
LI Shuguo	BOF250	Licensed Representative (Provisional Licence)	4
LI Wei	BOC574	Licensed Representative (Provisional Licence)	4, 9
LI Wing Lam	BNC987	Licensed Representative (Provisional Licence)	1
LI Xin	BNY209	Licensed Representative (Provisional Licence)	1
LI Xinran	BOF623	Licensed Representative (Provisional Licence)	4, 9
LI Xueqing	BOC858	Licensed Representative (Provisional Licence)	10
LI Yizhen	BOB293	Licensed Representative (Provisional Licence)	9
LIANG lianjin	BOE506	Licensed Representative (Provisional Licence)	4, 9
LIANG Rong	BOE547	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Quan	BOE947	Licensed Representative (Provisional Licence)	2, 5
LIN Wenjing	BOF231	Licensed Representative (Provisional Licence)	1
LIN Xiayuan	BFN094	Licensed Representative (Provisional Licence)	1, 4
LIN Yang	BOE403	Licensed Representative (Provisional Licence)	4, 9
LIN Yongxue	BOD836	Licensed Representative (Provisional Licence)	1, 2
LING Ka Kin	AOM643	Licensed Representative (Provisional Licence)	1, 4, 9
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LIU Bohong	BOF017	Licensed Representative (Provisional Licence)	1



CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Jieying	BOD545	Licensed Representative (Provisional Licence)	1, 6
LIU Jun	BOD394	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Ke Ru	BOG479	Licensed Representative (Provisional Licence)	6
LIU Lingyu	BOF624	Licensed Representative (Provisional Licence)	1, 2
LIU Yan Ying	BOD906	Licensed Representative (Provisional Licence)	1
LIU Yaqing	BOE954	Licensed Representative (Provisional Licence)	1, 2, 4
LIU Yirui	BOE480	Licensed Representative (Provisional Licence)	1, 4
LIU Yuqing	BOD559	Licensed Representative (Provisional Licence)	1, 4, 9
LO Kin MIng	BOE930	Licensed Representative (Provisional Licence)	1
LO Lawrence	BOB793	Licensed Representative (Provisional Licence)	4, 9
LO Wai Yee	BOE563	Licensed Representative (Provisional Licence)	1
LO Yuk Fung	BOE831	Licensed Representative (Provisional Licence)	1, 6
LOK Man Kit	BOF165	Licensed Representative (Provisional Licence)	1
LONGO Anthony	BOE827	Licensed Representative (Provisional Licence)	4
LOW Andrew Donald	AKJ197	Licensed Representative (Provisional Licence)	1, 4, 6
LUI Ching Yan	AXG549	Licensed Representative (Provisional Licence)	1, 2
LUK Hing Fung	BOF810	Licensed Representative (Provisional Licence)	1, 4
MA Ho Lun	BOF292	Licensed Representative (Provisional Licence)	1, 2
MA Tsz Hin	AYA935	Licensed Representative (Provisional Licence)	1, 4
MADDOCK Warwick Curtis Lee	BOF087	Licensed Representative (Provisional Licence)	1, 4, 6
MAN Ho Fung	BOC698	Licensed Representative (Provisional Licence)	1, 4
MARDJUKI Jonathan Steven	BOF045	Licensed Representative (Provisional Licence)	4
MEHTA Saurabh	BOD903	Licensed Representative (Provisional Licence)	1, 4
MEPANI Pravin	ALW554	Licensed Representative (Provisional Licence)	1, 2
MIURA Naoya	BOF203	Licensed Representative (Provisional Licence)	4
MO Man Chun	BOE636	Licensed Representative (Provisional Licence)	4
MO Man Yi	BCZ767	Licensed Representative (Provisional Licence)	1, 2
MO Peien	BHJ483	Licensed Representative (Provisional Licence)	2, 5
MO XIANJUE	BOG034	Licensed Representative (Provisional Licence)	1
MOK lan Ka Yat	BOD623	Licensed Representative (Provisional Licence)	1, 9
MUNDORF Philipp William	BOE966	Licensed Representative (Provisional Licence)	9
MURATA Takashi	BOA247	Licensed Representative (Provisional Licence)	1, 2
NAM Chun Ho	BOG203	Licensed Representative (Provisional Licence)	1, 2
NG Man Yee Vivian	BOE489	Licensed Representative (Provisional Licence)	1
NG Siu Lung, Derek	AFG442	Licensed Representative (Provisional Licence)	1, 4, 9
NI Siliang	BOF053	Licensed Representative (Provisional Licence)	1, 2
NIP Yu Wang Victor	BOF140	Licensed Representative (Provisional Licence)	1, 4, 6
NISHI Ako	BOD407	Licensed Representative (Provisional Licence)	1, 2, 9
NOH James Hyon	BKG328	Licensed Representative (Provisional Licence)	1, 2, 7
OHLWEILER Jeffrey Lyon	AQN994	Licensed Representative (Provisional Licence)	4
OMURA Shunta	BBH669	Licensed Representative (Provisional Licence)	1
ORR Joseph Wai Shing	AHF354	Licensed Representative (Provisional Licence)	9
PAK Chung Kan	BOD555	Licensed Representative (Provisional Licence)	6
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REMOVALS OF LICENSED FERSONS/REGISTERED IN			
CE Name	CE No.	Licence Type	Types of Regulated Activity
PARK Jung Ah	BAE816	Licensed Representative (Provisional Licence)	4
PAULIN Gary David	BBY517	Licensed Representative (Provisional Licence)	1
PENG Yu-Chia	BOF450	Licensed Representative (Provisional Licence)	1
QI Jiawen	BOE919	Licensed Representative (Provisional Licence)	1, 6
QI Mingyu	BNZ982	Licensed Representative (Provisional Licence)	1, 2
QIU Changwei	BOE192	Licensed Representative (Provisional Licence)	4
QIU Qingzi	BOE232	Licensed Representative (Provisional Licence)	1, 6
QUE Weichen	BOE862	Licensed Representative (Provisional Licence)	1, 6
REN sisi	BOD202	Licensed Representative (Provisional Licence)	1, 4
RUSSELL-DOWE Michelle Lyn	BNN942	Licensed Representative (Provisional Licence)	1
SALCEDO Andres Fabrizio	BOG356	Licensed Representative (Provisional Licence)	1
SATO Hiroyuki	BOE376	Licensed Representative (Provisional Licence)	1, 4, 6
SHAH Sanjay Bharat	BOE770	Licensed Representative (Provisional Licence)	1, 4, 9
SHENG Xu	BOF257	Licensed Representative (Provisional Licence)	4
SHUM Kam Chi, Eric	ADF451	Licensed Representative (Provisional Licence)	6
SIT Tak Li	BOF245	Licensed Representative (Provisional Licence)	1
SIU Liz	BNO782	Licensed Representative (Provisional Licence)	1, 2
SIU Ming	BOE955	Licensed Representative (Provisional Licence)	9
SIU Sing Man, Joanna	ADX868	Licensed Representative (Provisional Licence)	1, 2, 4
SLIM Arnaud Amaury Alex	BOD508	Licensed Representative (Provisional Licence)	1, 2, 7
SO Lai Shan, Jennifer	AHA295	Licensed Representative (Provisional Licence)	4, 9
SO Lydia Wing	BOG389	Licensed Representative (Provisional Licence)	1
SONG Aolin	BOE496	Licensed Representative (Provisional Licence)	4, 9
SONG Lingshuang	BOG262	Licensed Representative (Provisional Licence)	1, 2
SONG Xiaoling	BOB922	Licensed Representative (Provisional Licence)	1, 4, 6
SONG Xiukui	BBE458	Licensed Representative (Provisional Licence)	1, 4
SU Akimi	BOE421	Licensed Representative (Provisional Licence)	1, 2, 9
SZETO Wing Ho	BOF200	Licensed Representative (Provisional Licence)	1, 4
TAKAHASHI Kei	AOQ614	Licensed Representative (Provisional Licence)	4
TANAKA Chikai	AWO799	Licensed Representative (Provisional Licence)	4
TANG Chun Ki	BOF259	Licensed Representative (Provisional Licence)	1, 4
TANG Hoy Chun Mervyn Joseph	BER817	Licensed Representative (Provisional Licence)	10
THAM GUI DAN	BOE566	Licensed Representative (Provisional Licence)	1, 4
THETHI Amarinder Singh Ajit Singh	BDA820	Licensed Representative (Provisional Licence)	1, 4, 6
TING Wing Kit, Zarus	BKA871	Licensed Representative (Provisional Licence)	9
TONG Jason C Y	BNC826	Licensed Representative (Provisional Licence)	6
TRISNO Merlissa Paramitha	BBU408	Licensed Representative (Provisional Licence)	4
TSANG Kai Ho	BOF507	Licensed Representative (Provisional Licence)	1, 2, 3, 4
TSANG Wai Yan, Wayne	AIH007	Licensed Representative (Provisional Licence)	9
TSUI Ho Chuen, Samson	BHB670	Licensed Representative (Provisional Licence)	1, 2
WANG Dien	BOE236	Licensed Representative (Provisional Licence)	4
WANG Fan	BOE906	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Letian	BOC069	Licensed Representative (Provisional Licence)	4, 9



REMOVALS OF LICENSED FERSONS/REGISTERED IN	, , , , , , , , , , , , , , , , , , ,	UNITO 04/2013	1
CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Mingzan	BOD845	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Ruokun	BOE567	Licensed Representative (Provisional Licence)	1, 4
WANG Siyan	BOE433	Licensed Representative (Provisional Licence)	1, 2, 4
WANG Tian	BOF904	Licensed Representative (Provisional Licence)	1, 4
WANG Zhen	BOC036	Licensed Representative (Provisional Licence)	4
WAT Chi Ming	ADU895	Licensed Representative (Provisional Licence)	1, 9
WEI Dejun	BOE745	Licensed Representative (Provisional Licence)	1, 6
WEYNS Guy Joseph M.	BLM858	Licensed Representative (Provisional Licence)	4
WIBOWO Matthew	BOF909	Licensed Representative (Provisional Licence)	1
WONG Calvin Chee Jun	AYF520	Licensed Representative (Provisional Licence)	4
WONG Chin Ho	BNY278	Licensed Representative (Provisional Licence)	6
WONG Gabrielle	BOE457	Licensed Representative (Provisional Licence)	1, 6
WONG Ho Ki, Nick	ADR217	Licensed Representative (Provisional Licence)	1, 2
WONG Hoi Ying Christy	BOC582	Licensed Representative (Provisional Licence)	1, 4
WONG Kar Fai Alan	BEA777	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Ki Ming	BEA240	Licensed Representative (Provisional Licence)	4, 9
WONG Long Hin Ambrose	BOF441	Licensed Representative (Provisional Licence)	1, 4
WONG Man Hei Katrina	BOD930	Licensed Representative (Provisional Licence)	1
WONG Man Tai	BGY959	Licensed Representative (Provisional Licence)	1
WONG Ming Yeung, Anthony	BOG409	Licensed Representative (Provisional Licence)	1
WONG Tsz Fung	BOE233	Licensed Representative (Provisional Licence)	1
WONG Tung Chie	AEN615	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Wai Ching	BLI488	Licensed Representative (Provisional Licence)	1, 2
WU Hsiang Yun	BOE758	Licensed Representative (Provisional Licence)	1, 4, 9
WU Jiajia	BMH821	Licensed Representative (Provisional Licence)	1
WU Kiu Fung	APJ914	Licensed Representative (Provisional Licence)	1, 2, 9
WU Mengqi	BGJ341	Licensed Representative (Provisional Licence)	1, 4, 9
WU Tianhao	BOF052	Licensed Representative (Provisional Licence)	1, 6
WU Xiyang	BFB077	Licensed Representative (Provisional Licence)	4, 9
XI Wang	BOE256	Licensed Representative (Provisional Licence)	1, 4, 9
XIAO Senhan	BOE455	Licensed Representative (Provisional Licence)	1, 4
XIE Wangqin	BOE435	Licensed Representative (Provisional Licence)	1, 6
XIONG Wenyu	BOF732	Licensed Representative (Provisional Licence)	1, 6
XU Enyu	ARI896	Licensed Representative (Provisional Licence)	4, 9
XU Hangcheng	BKU855	Licensed Representative (Provisional Licence)	1
XU Lan	BOE103	Licensed Representative (Provisional Licence)	1, 4
XU Lu	BOC876	Licensed Representative (Provisional Licence)	1, 4, 6
XU Pei	BOC286	Licensed Representative (Provisional Licence)	1, 6
XU Shaohong, Alex	ARG166	Licensed Representative (Provisional Licence)	1, 4
XU Xinru	BOG497	Licensed Representative (Provisional Licence)	1, 2, 9
XU Yizhe	BOF546	Licensed Representative (Provisional Licence)	1, 6
	BOG407	Licensed Representative (Provisional Licence)	1
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REMOVALS OF LICENSED PERSONS/REGISTERED INS	CE No.		Types of Regulated Activity
CE Name		Licence Type	Types of Regulated Activity
YANG Daidong	BOG279	Licensed Representative (Provisional Licence)	4
YANG Jieyu	BOE258	Licensed Representative (Provisional Licence)	1, 6
YANG William Wenhan	BOE633	Licensed Representative (Provisional Licence)	1, 4
YANG Yen-Ju	ARV136	Licensed Representative (Provisional Licence)	1, 2
YANG Zuhua	BOF048	Licensed Representative (Provisional Licence)	1, 4, 6
YE Bo	BOD134	Licensed Representative (Provisional Licence)	9
YE Qingxin	BFZ056	Licensed Representative (Provisional Licence)	9
YE Yuhan	BOG321	Licensed Representative (Provisional Licence)	4
YONEYA Taichi	BOF973	Licensed Representative (Provisional Licence)	9
YOON Seok Bae	BCA484	Licensed Representative (Provisional Licence)	1, 4
YOSHIOKA Takahiro	BOD267	Licensed Representative (Provisional Licence)	9
YU Jia	BJQ980	Licensed Representative (Provisional Licence)	4
YU Jiaolong	BOE957	Licensed Representative (Provisional Licence)	1, 2, 4
YU Xinning	BOE725	Licensed Representative (Provisional Licence)	1, 2, 7
YUAN Xiang	BNK935	Licensed Representative (Provisional Licence)	1
YUE Ho Kei	AFJ021	Licensed Representative (Provisional Licence)	1
YUE Wei	ANY425	Licensed Representative (Provisional Licence)	1, 4, 6
YUEH Mu Hua	BOE261	Licensed Representative (Provisional Licence)	1, 4
YUEN Ronald	BOE223	Licensed Representative (Provisional Licence)	6
ZENG Fanyuan	BOD551	Licensed Representative (Provisional Licence)	1, 6
ZHAN Ni	BOF583	Licensed Representative (Provisional Licence)	4
ZHANG Chong	BOF047	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Jiabin	ARA230	Licensed Representative (Provisional Licence)	1, 4
ZHANG Jiang	BAU245	Licensed Representative (Provisional Licence)	4
ZHANG Lei	BOF318	Licensed Representative (Provisional Licence)	1, 4
ZHANG Wenhui	BOE558	Licensed Representative (Provisional Licence)	1, 6
ZHANG Xiaoyi	BOF077	Licensed Representative (Provisional Licence)	1, 6
ZHANG Yiting	BOF097	Licensed Representative (Provisional Licence)	1, 2
ZHAO Bingnan	BOF532	Licensed Representative (Provisional Licence)	4
ZHAO Di	BOG045	Licensed Representative (Provisional Licence)	1, 4, 9
ZHAO Jiaqi	BOE936	Licensed Representative (Provisional Licence)	1, 6
ZHAO Kang	BOG308	Licensed Representative (Provisional Licence)	1, 4, 6
ZHAO Qingling	BOA539	Licensed Representative (Provisional Licence)	2, 5
ZHAO Xiaohan	BOF377	Licensed Representative (Provisional Licence)	4, 9
ZHENG Jingting	BOF788	Licensed Representative (Provisional Licence)	4, 9
ZHENG Lang	BOF945	Licensed Representative (Provisional Licence)	1
ZHOU Jingdong	BNY670	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Biyun	BOE742	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Kai	BOE523	Licensed Representative (Provisional Licence)	1, 4, 9
ZHU Minyue	AUE243	Licensed Representative (Provisional Licence)	1, 2, 4
ZHU Yuhua	BLV919	Licensed Representative (Provisional Licence)	1, 4, 9
ZHUO Ruolin	BOF162	Licensed Representative (Provisional Licence)	1, 4
ARCHIBALD Stuart James	BIJ836	Licensed Representative (Temporary Licence)	1
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REMOVALS OF LICENSED PERSONS/REGISTERED INS		J V712010	
CE Name	CE No.	Licence Type	Types of Regulated Activity
BARRINEAU James William	BAR106	Licensed Representative (Temporary Licence)	1
BARROWS Ross Christopher	BFC529	Licensed Representative (Temporary Licence)	4
BRENNAN-CHONG James Yuwen	BOG064	Licensed Representative (Temporary Licence)	4
BROWN Richard John Russell	BKR647	Licensed Representative (Temporary Licence)	1, 4
BRUPBACHER Daniele	BDF052	Licensed Representative (Temporary Licence)	4
BYRNE James Robert	BNP385	Licensed Representative (Temporary Licence)	4
CAMPOS DIAZ Adrian Giancarlo	BHD137	Licensed Representative (Temporary Licence)	1
CHANDRAN Prashant	BEQ178	Licensed Representative (Temporary Licence)	1
CHEN Yen-Hung	BOG521	Licensed Representative (Temporary Licence)	1
CHHUGANI Gautam Prakash	BIO676	Licensed Representative (Temporary Licence)	4
CHING Yi Edmund	AKU003	Licensed Representative (Temporary Licence)	4
CHO Chulhee	BEX932	Licensed Representative (Temporary Licence)	4
CONDE Lisa Ann Myers	BOG084	Licensed Representative (Temporary Licence)	1, 2
DARMONO Permadawani	BJH031	Licensed Representative (Temporary Licence)	4
DONNENFELD Robert Scott	BOG058	Licensed Representative (Temporary Licence)	4
DUNGER Matthew John	BFF148	Licensed Representative (Temporary Licence)	4
ELLIS James William Edward	AWP670	Licensed Representative (Temporary Licence)	4
ENOMOTO Takashi	BAZ545	Licensed Representative (Temporary Licence)	4
EZAWA Kota	BDY402	Licensed Representative (Temporary Licence)	4
FAN Jim Shujun	BNO496	Licensed Representative (Temporary Licence)	2, 5
FELICES SAAVEDRA Guillermo Jose	BOF312	Licensed Representative (Temporary Licence)	4
FRANKISH Ian Peter	AVJ538	Licensed Representative (Temporary Licence)	1
GARRE Venugopal	AML716	Licensed Representative (Temporary Licence)	4
GREEN Nicholas James	BHT971	Licensed Representative (Temporary Licence)	4
GREENBURG Evan David	BMQ334	Licensed Representative (Temporary Licence)	1, 4
HADLEY Paul Jason	ANU724	Licensed Representative (Temporary Licence)	1
HATTEM Jack Lloyd	BOF752	Licensed Representative (Temporary Licence)	1, 4
HILL Brian Thomas	BFS625	Licensed Representative (Temporary Licence)	1
HIRAKAWA Mikio	BBT553	Licensed Representative (Temporary Licence)	4
HO Michael Chimin	BOF374	Licensed Representative (Temporary Licence)	1, 4
HOLIAN James Simon	BJA888	Licensed Representative (Temporary Licence)	1
HOU Xiaoting	BGB587	Licensed Representative (Temporary Licence)	4
HUANG Han-Ping	BNU192	Licensed Representative (Temporary Licence)	10
JUNG Ho Yoon	BOH718	Licensed Representative (Temporary Licence)	4
JUSMAN Selvie	BMR057	Licensed Representative (Temporary Licence)	1, 4
KAMIJO Hiroko	BMY265	Licensed Representative (Temporary Licence)	1
KATO Takaaki	ATE766	Licensed Representative (Temporary Licence)	1
KAWAMOTO Hisae	BNP794	Licensed Representative (Temporary Licence)	4
KHINDA Navdip Singh	BGA869	Licensed Representative (Temporary Licence)	1
KIM John	BOG701	Licensed Representative (Temporary Licence)	4
KIM Junghwan	BOG943	Licensed Representative (Temporary Licence)	4
KINOSHITA Yoshiyuki	BBL047	Licensed Representative (Temporary Licence)	4
KINOSHITA TOSHIYUKI			1



REMOVALS OF LICENSED PERSONS/REGISTERED INS	CE No.		Types of Populated Activity
		Licence Type	Types of Regulated Activity
KWEK Kevin Siew Beng	AZN097	Licensed Representative (Temporary Licence)	4
LAM Kan Wai	BOD788	Licensed Representative (Temporary Licence)	4
LAM Karen Antonia	BEM899	Licensed Representative (Temporary Licence)	1
LAUGHLIN Justin John	AWV278	Licensed Representative (Temporary Licence)	2, 5
LEE Young Gon	BNO013	Licensed Representative (Temporary Licence)	1, 2
LEGGATE Douglas George Blyth	BCZ763	Licensed Representative (Temporary Licence)	4
LIN Li-Chun	BIG303	Licensed Representative (Temporary Licence)	4
LIU Yaokun	BJI665	Licensed Representative (Temporary Licence)	4
LOKE Weng Yew Kevin	BKT336	Licensed Representative (Temporary Licence)	1, 2
MANSELL Steven Robert	BEI891	Licensed Representative (Temporary Licence)	4
MARWAH Nuveen	BKY640	Licensed Representative (Temporary Licence)	1, 2
MARX Christopher William	AXS989	Licensed Representative (Temporary Licence)	1
MCINTOSH James David	BOF474	Licensed Representative (Temporary Licence)	1
MISH Matthew Joseph	AYT895	Licensed Representative (Temporary Licence)	4
MONTALTI Giovanni	BOG224	Licensed Representative (Temporary Licence)	4
MOULIN Christophe, Pierre, Guillaume	BOF900	Licensed Representative (Temporary Licence)	4
MUNAKATA Minami	BIX260	Licensed Representative (Temporary Licence)	4
NAITO Takayuki	BMJ733	Licensed Representative (Temporary Licence)	4
NAKATOMI Ryosuke	BKV309	Licensed Representative (Temporary Licence)	1
NEOGY Abhijeet	AYN498	Licensed Representative (Temporary Licence)	1
OLIVER Niki	BEN874	Licensed Representative (Temporary Licence)	4
OOSTERWOUD Martijn Klaas	BOG063	Licensed Representative (Temporary Licence)	1, 4
OSTERN Michael	BFK472	Licensed Representative (Temporary Licence)	1
PATEL Divyen Jayantibhai	BOG769	Licensed Representative (Temporary Licence)	1, 6
PATEL Jinal	BNX942	Licensed Representative (Temporary Licence)	4
PATWARI Priyank	BHK693	Licensed Representative (Temporary Licence)	1
PAUL Gordon Bruce	BHD845	Licensed Representative (Temporary Licence)	2, 5
POOL Jonathan Michael	AMU497	Licensed Representative (Temporary Licence)	1
RAMAKRISHNAN Karthik Narayan	BMU958	Licensed Representative (Temporary Licence)	1
RANGAN Kasthuri Gopalan	BOG753	Licensed Representative (Temporary Licence)	4
RASMUSSEN Peter	BOG983	Licensed Representative (Temporary Licence)	1, 2
REDMAN Simon David	BLF884	Licensed Representative (Temporary Licence)	1, 4
REISZ Paul William	BHQ136	Licensed Representative (Temporary Licence)	1, 4
ROSCOVAN Viorel	BOF793	Licensed Representative (Temporary Licence)	1
SABNIS Avadhoot Madhukar	BNR384	Licensed Representative (Temporary Licence)	4
SALES Michael James	BEZ878	Licensed Representative (Temporary Licence)	1
SASAKI Futoshi	BEZ608	Licensed Representative (Temporary Licence)	4
SCHILS Victoria Elizabeth	BOF398	Licensed Representative (Temporary Licence)	1, 4
SEKI Atsushi	BIB389	Licensed Representative (Temporary Licence)	4
SELBY Paul John	AWA565	Licensed Representative (Temporary Licence)	1
SHAH Niraj Samip	BBE387	Licensed Representative (Temporary Licence)	1, 4
SHAO Jiangchen	BMU959	Licensed Representative (Temporary Licence)	1
SIHLER Joerg Stefan	BOH035	Licensed Representative (Temporary Licence)	1, 4
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CE Name	CE No.	Licence Type	Types of Regulated Activity	
SMITH Nicholas James	BOG119	Licensed Representative (Temporary Licence)	1	
SPEETJENS Richard Petrus Elisabeth Gerardus	BFD237	Licensed Representative (Temporary Licence)	1	
SPROULES Brendan Allan	BGO985	Licensed Representative (Temporary Licence)	4	
SUN Xilun Denys Qiao Qiao	BOG982	Licensed Representative (Temporary Licence)	1, 2	
SUTTON Alexander Luke	BOG067	Licensed Representative (Temporary Licence)	1	
SUZUKI Misato	BOG810	Licensed Representative (Temporary Licence)	1	
TAN Hsiang Lin, Alicia	BOG444	Licensed Representative (Temporary Licence)	1	
TANG Yin Kin Polo	AZW790	Licensed Representative (Temporary Licence)	4	
TNG Boon Chong, William	BOG554	Licensed Representative (Temporary Licence)	4	
TOLLARDO Neri	BMG825	Licensed Representative (Temporary Licence)	1, 4	
TRUELOVE Keith Alan	AWF626	Licensed Representative (Temporary Licence)	1	
VIRGO Alexander Stewart	BLI749	Licensed Representative (Temporary Licence)	4	
WALLACE Ashley Dorothy	BFJ978	Licensed Representative (Temporary Licence)	4	
WARDLE Matthew James	BOH240	Licensed Representative (Temporary Licence)	1	
WELLS Justin Derek	BGK594	Licensed Representative (Temporary Licence)	1	
WILKIE Robert Malcolm	BHB487	Licensed Representative (Temporary Licence)	1	
WILLIAMSON Joshua David	BIN735	Licensed Representative (Temporary Licence)	4	
WOOLFORD Craig John	BFD306	Licensed Representative (Temporary Licence)	4	
XIA Yinyin	BJQ416	Licensed Representative (Temporary Licence)	4	
YAMASHITA Chihiro	BNE474	Licensed Representative (Temporary Licence)	1	
YOSHIKAWA Ken	BMX497	Licensed Representative (Temporary Licence)	1	
YUNG-HOK Peter Stephen	BDL617	Licensed Representative (Temporary Licence)	2, 5	
ZHU Yufei	BJQ977	Licensed Representative (Temporary Licence)	4	