

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
Autonomous Research Asia Limited	BDP990	Licensed Corporation	4
Bauhinia Capital Partners Limited	ATY771	Licensed Corporation	1
CBRE Clarion Securities HKG Limited	APY416	Licensed Corporation	4, 9
East Purple Capital Company Limited	BIV166	Licensed Corporation	4, 9
GMP Securities Asia Limited	BFU988	Licensed Corporation	6
iBest Consultants Limited	AEJ689	Licensed Corporation	1, 4, 9
iBest Investment Services Limited	BHH273	Licensed Corporation	3
iBest Securities Company Limited	AEB314	Licensed Corporation	1
Jintian International Financial Services Limited	BLO133	Licensed Corporation	2, 5
Newtonian Capital Management Limited	AOX119	Licensed Corporation	9
Savills Investment Management (Hong Kong) Limited	BJI229	Licensed Corporation	1, 4, 9
Sinovation Capital Asset Management Company Limited	ATO891	Licensed Corporation	4, 9
Sofaer Global Research (HK) Limited	AAJ947	Licensed Corporation	4, 9
Splendor Capital Management (Hong Kong) Limited	AZL840	Licensed Corporation	9
Success Futures and Foreign Exchange Limited	AGN056	Licensed Corporation	3
Value Connect Limited	BJM107	Licensed Corporation	1
Wealth Oasis Asset Management Company Limited	BEZ515	Licensed Corporation	9
Winning Securities Company Limited	ALQ404	Licensed Corporation	1
AGGARWAL Saumya	BLU751	Licensed Representative	1, 9
AINA Oluwadamilola Temitayo	BMA176	Licensed Representative	1, 6
ALMEIDA Christopher James	BFG337	Licensed Representative	1, 2
AN Zhi	AYF097	Licensed Representative	1, 2, 4
BABIDGE Alexander Jack	BOA746	Licensed Representative	1, 2
BAI Chongjun	AOG943	Licensed Representative	1, 6
BAI Xuejiao	BMT652	Licensed Representative	4, 9
BALAISYTE Jurgita	BNY628	Licensed Representative	4
BAUER Sabine Birgit	AXQ409	Licensed Representative	10
BRAITHWAITE Joanne Louise	BEC604	Licensed Representative	9
BROWNELL James Llewellyn	BOI146	Licensed Representative	1, 2
CAMPBELL David John	ASM179	Licensed Representative	1
CARUSO Daniel Michael	BGL348	Licensed Representative	1, 2
CHAN Chi Fung	BGW415	Licensed Representative	1, 9
CHAN Chi Lung	ABS170	Licensed Representative	1
CHAN Chun Wing	AYS019	Licensed Representative	6
CHAN Chun Yin, Allen	BIZ614	Licensed Representative	1
CHAN Ho Yin, Ricky	APU211	Licensed Representative	1, 2, 9
CHAN Hon Hoi	BNJ577	Licensed Representative	1, 4, 5, 9
CHAN Kam Hang	BEW493	Licensed Representative	1, 2, 9
CHAN Kwok Kay	ATI426	Licensed Representative	1, 2
CHAN Kwun Ching, Chris	BBU104	Licensed Representative	1, 2
CHAN Lee	AZE793	Licensed Representative	1, 2
CHAN Siu Man	AAZ154	Licensed Representative	1
CHAN Suk Yuen, Theresa	AKO771	Licensed Representative	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Sze Loon, Alfred	AAA639	Licensed Representative	1
CHAN Ting Cheung	BBS827	Licensed Representative	1, 2, 4, 5
CHAN Tsz King	AZH485	Licensed Representative	1
CHANG Cheng Hao	BKC962	Licensed Representative	1
CHEN Aiping	AQJ308	Licensed Representative	1, 4
CHEN Jie	BND493	Licensed Representative	1, 2
CHEN Michael Hao	AZX279	Licensed Representative	1, 4
CHEN Qi	ARI743	Licensed Representative	1, 6
CHEN Xiaoyue	BLH895	Licensed Representative	1, 2, 4
CHEN Yang	BBL388	Licensed Representative	4, 5
CHEN Yuh Kuen	BLN129	Licensed Representative	1
CHEN Zhuofeng	BMR110	Licensed Representative	1, 4
CHENG Boya	BOA260	Licensed Representative	1
CHENG De	BNL445	Licensed Representative	2
CHENG Ivan Kwok Lueng	AGZ747	Licensed Representative	1, 4
CHENG Man Hon	APL778	Licensed Representative	1
CHENG Nga Man Jacqueline	BLM903	Licensed Representative	1, 2, 9
CHENG Richard JR	BLB110	Licensed Representative	1, 4
CHENG Yin Lai	AOR820	Licensed Representative	1, 4, 9
CHEUNG Chi Tao, Ernest	ATX298	Licensed Representative	1, 2
CHEUNG Kam Wah	AGM866	Licensed Representative	1
CHIU Chun Yin Edward	BML663	Licensed Representative	1
CHOI Kwun Hang	BLC344	Licensed Representative	4, 9
CHOI Yujin Chloe	ATO430	Licensed Representative	1, 2
CHOU LAM Suk Tze, Flavia	AAG536	Licensed Representative	1
CHOW Hin Ting	BMG814	Licensed Representative	9
CHOW Yui	BDV631	Licensed Representative	4, 9
CHU Shan Shan, Marjorie	AJK801	Licensed Representative	1, 4, 9
CHUA Vivian	BHJ972	Licensed Representative	4
CIANCALEONI Mattia	BGV009	Licensed Representative	1, 2
CLARK Michael John	BDA745	Licensed Representative	1
COOK Stuart Harrington	AAC359	Licensed Representative	4
COOPER David Leo	BDE598	Licensed Representative	1, 2, 9
DAI Baiquan	BNW181	Licensed Representative	9
DENG Yuqing	BOC301	Licensed Representative	1, 6
DONG Lijuan	BNV834	Licensed Representative	3
DONNELLAN Mark William	BHV429	Licensed Representative	1, 2
FAN Hoi Chi	BCO597	Licensed Representative	1, 2, 3, 4
FONG Ka Yan	BNV833	Licensed Representative	3
FONG Yiu Sing	BAI829	Licensed Representative	1
FOO Cheuk Hang, Frankie	AFG802	Licensed Representative	1, 2, 4, 9
FOO Jie Hao Damian	BMX905	Licensed Representative	1, 6
FOUR Matthew	AXI468	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
FU Tianye	BNH822	Licensed Representative	1, 4, 6
FUN Yandeng	BLK416	Licensed Representative	1, 4
FUNG King Wah	AEX190	Licensed Representative	1
GARRETT Michael Jonathan	BNB762	Licensed Representative	1, 2, 4
GLASGOW Fraser John	AEP339	Licensed Representative	1
GN Wen Chong Gerald	BLK461	Licensed Representative	1, 9
GOH Kia Lin	AOQ774	Licensed Representative	1
GONG Minghui	BKN578	Licensed Representative	1, 4, 6
GORNALL Adrian Rubin	AIX145	Licensed Representative	1
GUNIA Amy Beth	BDY311	Licensed Representative	2, 5
GUO Lin	BNB213	Licensed Representative	4, 9
HAAS Daniel	BBQ447	Licensed Representative	9
HAN Xinhao	BLF871	Licensed Representative	1, 2, 9
HANDS Penelope Clare	BNC248	Licensed Representative	9
HAO Sijia	BKW541	Licensed Representative	1, 4
HARADA Kodai	BIN714	Licensed Representative	1, 4, 9
HO Kin Ning	BNO846	Licensed Representative	1
HOGAN George Timothy	AQA108	Licensed Representative	4
HON Chi Ming	AYR367	Licensed Representative	4, 9
HONG Chien Han	ALY616	Licensed Representative	4
HONG Chu Sheng	BFW366	Licensed Representative	1, 2, 9
HONG Jiayu	BNP563	Licensed Representative	4, 9
HONG Xinge	BET348	Licensed Representative	1, 6
HONG Yancheng	AVS886	Licensed Representative	1
HU Xiaofan	BJI421	Licensed Representative	1, 2
HUANG He	BMG861	Licensed Representative	9
HUANG Lifeng	BMO964	Licensed Representative	1
HUANG Po-Wen	AOE628	Licensed Representative	1, 2
HUANG Szu Chieh	BLP507	Licensed Representative	1, 4, 9
HUI Kan Sum, Fiona	AZC809	Licensed Representative	6
HUI Kwok Piu	AEJ324	Licensed Representative	1
HUI Pak Yuen	BCE879	Licensed Representative	1, 2
IP Chung Hin	AGD557	Licensed Representative	1
IP Heung Wing, Barry	ALU866	Licensed Representative	1
ISAAC Matthew Paul	BGB536	Licensed Representative	1
JACKSON Jennifer Claire	BIJ413	Licensed Representative	1
JAMES Llewelyn	BMS688	Licensed Representative	9
JIANG Zhi	BLN900	Licensed Representative	1, 4, 9
JIN Yongbo	BID144	Licensed Representative	1, 4, 6
KAN I Man	BGL399	Licensed Representative	1, 4
KERRIDGE Hugh Copeland	ALW562	Licensed Representative	1
KHOR Yen	BMZ461	Licensed Representative	1, 4
KIM Jaepil	APQ243	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
KIM KENNETH	BLL533	Licensed Representative	9
KIM Min Chul	BKY242	Licensed Representative	1, 4, 9
KIM Namhyun	AUG697	Licensed Representative	1
KOBAYASHI Kenji	BCW196	Licensed Representative	9
KOLZ Stephan Thomas	BND123	Licensed Representative	1, 4
KONG Tai Wai, Ernest	AWE576	Licensed Representative	1, 2
KONG Yong Jun	BJB662	Licensed Representative	1, 2
KUNIMOTO (ALAWDEEN) Reza	AUO037	Licensed Representative	1, 2, 9
KWOK Wai Ting, Wendy	BBF236	Licensed Representative	9
KWOK Wai Yip	APF189	Licensed Representative	9
KWON Woo Jae	BJK636	Licensed Representative	1
KWONG Ka Kui	BDV114	Licensed Representative	2
KWONG Sau King, Janet	AMR826	Licensed Representative	1, 4, 9
LAI Cheuk Hao	BHB688	Licensed Representative	4, 9
LAI Ka Chun, Mark	ACR668	Licensed Representative	1
LAI Kin Ho	BAZ546	Licensed Representative	4
LAM Cham	ARA670	Licensed Representative	1, 2
LAM Chun Man	ANX173	Licensed Representative	1, 4
LAM Lok Chan, Michelle	AER410	Licensed Representative	4
LAM Ka Hong	BIM551	Licensed Representative	1, 6, 9
LAM Ka Wai	BGO474	Licensed Representative	1, 2
Lam Koon Kwan	AKQ910	Licensed Representative	1, 4, 9
LAM Lit Fung	BBX595	Licensed Representative	4, 9
LAM Man Wai	BKM760	Licensed Representative	9
LAM Molly Mo Yin	BAZ754	Licensed Representative	1
LAM Pui Yan	BLK914	Licensed Representative	1, 4
LAM Shiu Lung, Cyril	BCO508	Licensed Representative	4, 9
LAM Ting Yin, Terence	AMI550	Licensed Representative	1, 4, 9
LAMBERT Robin Neill	AAD879	Licensed Representative	1
LAN Tian	BNB944	Licensed Representative	9
LAU Kit Wan	AFJ610	Licensed Representative	1, 2
LAU Pui Sze, Priscilla	AFW717	Licensed Representative	1, 9
LE Thuy Trang	BET100	Licensed Representative	4
LEE Beom Hee	AOR774	Licensed Representative	1, 2
LEE Bertha	AWB702	Licensed Representative	1, 2
LEE Din-hsu	ASX576	Licensed Representative	1, 2
LEE Hsin-ju	BNV168	Licensed Representative	4, 9
LEE Ji Won	AYY531	Licensed Representative	4, 9
LEE Sze Kit, Ian Cedric	ANG418	Licensed Representative	9
LEUNG Christopher Lian-ben	BBE174	Licensed Representative	1
LEUNG Hin Wa	BNQ883	Licensed Representative	1
LEUNG Kok Ip	BIR011	Licensed Representative	2
LEVY Maayan	BHV448	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Han	BKJ648	Licensed Representative	4, 9
LI Haohuan	BJI767	Licensed Representative	9
LI Jielin	BKA650	Licensed Representative	1, 4, 6
LI Jing	BMJ788	Licensed Representative	4, 9
LI Kwok Kuen	AEK365	Licensed Representative	1
LI Lei	BEC389	Licensed Representative	4
LI Mei Hing	ALP487	Licensed Representative	1
LI Ngai Shing	BJH999	Licensed Representative	1, 2
LI Wing Hang Eric	AZF866	Licensed Representative	1
LI Yu Wing	BBX327	Licensed Representative	1, 4
LIANG Felix	AQA152	Licensed Representative	9
LIN Kana	BDO347	Licensed Representative	1, 4, 6
LIN Shuk Yi, Victoria	AJO478	Licensed Representative	1
LIU Hongyu	BNG545	Licensed Representative	1, 4, 6
LIU Song	BJO256	Licensed Representative	1, 2, 4
LIU Yuqing	BOD559	Licensed Representative	1, 4, 9
LIU Ziqi	BAS724	Licensed Representative	9
LO Wei Lie	ACA524	Licensed Representative	1, 2
LOCK Chun Wai	BHN005	Licensed Representative	1
LOK Chi Yeung	AMI499	Licensed Representative	10
LOK Oi Ying	BJK486	Licensed Representative	6
LOUGARE Thibaud Jean-marie	BIN093	Licensed Representative	1, 2
LU Hue Thi	ADT188	Licensed Representative	1, 4
LUK Kin To	BMX427	Licensed Representative	1, 9
LUO Yuhan	BHK003	Licensed Representative	4, 9
LYU Likuan	BKS234	Licensed Representative	4, 9
LYU Peipei	BNG845	Licensed Representative	1, 4, 6
MA Chun Ming	AAC541	Licensed Representative	1
MA Jie	AQQ414	Licensed Representative	9
MA Kin Leung	AFJ235	Licensed Representative	2
MACARTHUR-STANHAM George James	BOA639	Licensed Representative	1, 6
MAI Siwei	AUJ127	Licensed Representative	1, 4, 9
MAK Kam Fung	BJD419	Licensed Representative	1
MAO Dongjing	BNY465	Licensed Representative	1
MIRPURI Joseph Gul	AFP510	Licensed Representative	1, 2
MOU Man Kit, Suny	AHX161	Licensed Representative	9
MURAKATA Mitsutoshi	BFI968	Licensed Representative	1, 4, 6
NAKABAYASHI Yuji	BIX277	Licensed Representative	1, 4, 6
NELL Ingrid Anne	APH750	Licensed Representative	1
NG Man Hin	BNQ455	Licensed Representative	1, 4, 9
NG Raymond Ying Wang	AEK090	Licensed Representative	1, 4
NG Wing Yung	BHN810	Licensed Representative	1, 4
OJHA Saanya	BKF454	Licensed Representative	1, 9

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CE Name	CE No.	Licence Type	Types of Regulated Activity
OWEN Simon George	BFB085	Licensed Representative	1, 9
PANG Tsz Chun	ATN570	Licensed Representative	1
PENG Zuncheng	BOC356	Licensed Representative	1
PI Ka Yeung	BAU120	Licensed Representative	6
PICAZO Paolo Lorenzo	AEJ560	Licensed Representative	9
QI Yujie	BNJ553	Licensed Representative	1
QIN Fen	BGA853	Licensed Representative	1, 4, 6
QU Haokun	BFZ557	Licensed Representative	1, 4, 6
RAFEL Julien Charles Marie	BHK954	Licensed Representative	1
ROC Nicolas	BBM829	Licensed Representative	1
ROSENFELD Seth Robert	AYZ307	Licensed Representative	4
SAWADA Shogo	BII582	Licensed Representative	1, 2
SHAH Jennifer Sarah Clark	AUR660	Licensed Representative	1, 4
SHARMA Nikhil Balakrishnan	BGP026	Licensed Representative	1, 9
SHEN Fangzheng	BHZ444	Licensed Representative	6
SHEN Yiyang	BDO454	Licensed Representative	1, 4, 9
SHENOI Padubidri Pramod	BIO472	Licensed Representative	1, 4, 6
SHIH Ping Yu	AVY507	Licensed Representative	9
SHRUBB Anthony	BJN763	Licensed Representative	1, 4
SIU Tan Sum	BMV843	Licensed Representative	9
SKONTHAWAT Atthavut	ARF998	Licensed Representative	1
SLOYER Michael Jason	AZL752	Licensed Representative	1, 2
SO Che Shing	BCU729	Licensed Representative	1
SONG Jeong Young	BEW386	Licensed Representative	1, 4
SONG Yitao	BJT520	Licensed Representative	1, 2
SUN Qianya	BNH306	Licensed Representative	1, 2
SUN Qing	BHY622	Licensed Representative	1, 4, 9
SUNTAY Aylin	BLI474	Licensed Representative	9
SY Michelle	BOM827	Licensed Representative	1, 6
TAN Shu Xian Theresa	BNU761	Licensed Representative	2
TANG Chak Heng Andrew	BNC631	Licensed Representative	4
TANG Cheuk Wai	BIN225	Licensed Representative	1, 4, 9
TANG Vicky	AQO200	Licensed Representative	1
TANG Wai Yin	AUD893	Licensed Representative	1, 2, 3, 4
TANG Yu Ching	BHA071	Licensed Representative	1
TIELSCH Jascha Andre Helmut	BIB682	Licensed Representative	1, 6
TO Yat Hang	BAD854	Licensed Representative	1
TONG Chi Keung	BBK013	Licensed Representative	1, 4
TONG Wai Pong	BJJ506	Licensed Representative	1
TORNBERG Martin	AFE172	Licensed Representative	1
TSAI Tsung Nan	BBO883	Licensed Representative	1
TSANG Cheuk Chee Chloe	BFZ387	Licensed Representative	1, 2
TSE Man Nok	BKU363	Licensed Representative	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
TSE Yat Kei	AOG833	Licensed Representative	9
TSUI Kar Yan	BOA234	Licensed Representative	1, 4
TSUI Vincent Tien You	AYB951	Licensed Representative	4
TSUKAMOTO Terumasa	BJF249	Licensed Representative	1, 9
VANA Constantin Alexander	BNV416	Licensed Representative	6
WAKIZAKI Emi	ANH732	Licensed Representative	1, 6
WAN Ting Chau	BCF606	Licensed Representative	1, 4, 6
WANG Borui	BIO299	Licensed Representative	1, 4
WANG Haowei	BMR381	Licensed Representative	1
WANG Jianping	BHZ817	Licensed Representative	1, 4, 6
WANG Junlai	BCL625	Licensed Representative	4, 9
WANG Leiyun	BAQ216	Licensed Representative	4
WANG Xiaoran	BNT782	Licensed Representative	6
WANG Xiudan	BHJ269	Licensed Representative	1, 2
WEI Chung-Liang	AML182	Licensed Representative	1, 9
WENG Jimmy J	APH956	Licensed Representative	1
WHITE Stefan Vincent	AGK811	Licensed Representative	9
WONG Chau Wa	BFO294	Licensed Representative	1
WONG Che Fui Cheryl	AOX137	Licensed Representative	1, 2
WONG Chin Lun	BIQ867	Licensed Representative	1
WONG Chun Ho	BCA257	Licensed Representative	1, 2, 3
WONG Gee Wing Melissa	BJK456	Licensed Representative	1, 4
WONG Hung Fai	ABY191	Licensed Representative	1
WONG Jeremy Mang-ki	AQE821	Licensed Representative	2, 5
WONG Pui Wing	ADP032	Licensed Representative	1, 2
WONG Yau Lai	ABX834	Licensed Representative	1
WU Di	AWR518	Licensed Representative	1, 4, 9
WU Di	BHA097	Licensed Representative	2, 5
WU Enoch	BJP282	Licensed Representative	4, 9
WU Ming Yan	BHK413	Licensed Representative	9
WU Zhounan	BNP014	Licensed Representative	9
WUN Tsz Hin	BGE372	Licensed Representative	4, 9
XU Jieying	AZY726	Licensed Representative	1, 2
XU Wei	BAL749	Licensed Representative	1
XUE Xiangwen	BKZ984	Licensed Representative	1
YANG Dongni	BAP110	Licensed Representative	9
YANG Zhen	BKY631	Licensed Representative	1, 4, 6
YAO Jiayi	BFN786	Licensed Representative	1
YE Lin	BNN692	Licensed Representative	1, 4
YEUNG Chun Tung	BNU845	Licensed Representative	4, 9
YEUNG Hoi Yee	BCU724	Licensed Representative	1, 4
YEUNG Kwok Ki, Keith	ADT376	Licensed Representative	4
YEUNG Xin	BLT497	Licensed Representative	1, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
YIP Chun Yu	AIC755	Licensed Representative	1, 2
YIU Ka Yan, Wilfred	AFO921	Licensed Representative	1
YIU Man Chi	BEW100	Licensed Representative	1, 2
YOU Yaohui	BIR511	Licensed Representative	4, 9
YU Gao	BNX006	Licensed Representative	4
YU Jessie Yanqi	BLG286	Licensed Representative	1, 6
YUAN Ningyao	BAV325	Licensed Representative	1, 6
YUEN Tak Ching	AJD667	Licensed Representative	1, 4, 9
YUEN Yat Ki	BKR591	Licensed Representative	2
ZENG Yanyu	BJV588	Licensed Representative	1, 4
ZHANG Jie	BNH373	Licensed Representative	1, 4, 6
ZHANG Kuo	BNY965	Licensed Representative	1
ZHANG Lingli	BNL430	Licensed Representative	1, 6
ZHANG Peter Sihao	BOC242	Licensed Representative	1, 6
ZHANG Tongping	BHE208	Licensed Representative	1
ZHANG Xiaoying	APK999	Licensed Representative	1
ZHANG Yanni	BLE444	Licensed Representative	1, 4, 9
ZHAO Juyi	BNO905	Licensed Representative	10
ZHAO Xinyuan	BJL915	Licensed Representative	4
ZHENG Lizi	BJG616	Licensed Representative	4, 9
ZHENG Qiaoshi	BNR824	Licensed Representative	1, 9
ZHENG Xiaoqiu	BHH563	Licensed Representative	6
ZHENG Zhi	BBP885	Licensed Representative	1, 6
ZHONG Liang	BCK493	Licensed Representative	10
ZHU Jiang	AXF039	Licensed Representative	1
ZHU Kai	BHO665	Licensed Representative	1
ZHU Wenqi	BJV723	Licensed Representative	1, 2, 9
BAKER Stuart Charles	AFB356	Licensed Representative - Responsible Officer	1
BISTRITANU Mihai-Florin	BKI701	Licensed Representative - Responsible Officer	1
BOSELEY Richard Peter Alexander	AEU151	Licensed Representative - Responsible Officer	1, 2
CHAN Chi Kong, Kelvin	AHS841	Licensed Representative - Responsible Officer	1
CHAN Chun Wing	AYS019	Licensed Representative - Responsible Officer	1
CHAN Lai Man	ASS908	Licensed Representative - Responsible Officer	1, 2
CHAN Lap Chung	AIJ099	Licensed Representative - Responsible Officer	1, 2, 4, 9
CHAN Lap Tak, Douglas	ACS728	Licensed Representative - Responsible Officer	1, 2, 9
CHAN Sing Ho, Joseph	AAI566	Licensed Representative - Responsible Officer	4, 9
CHAN Siu Man	AAZ154	Licensed Representative - Responsible Officer	2
CHAN Wing Yam Eugenia	BAH319	Licensed Representative - Responsible Officer	1, 4
CHANG Kwok Fai	ADA891	Licensed Representative - Responsible Officer	1, 2, 4, 5, 9
CHAU Kai Wing	AFS381	Licensed Representative - Responsible Officer	1, 4
CHENG Ivan Kwok Lueng	AGZ747	Licensed Representative - Responsible Officer	9
CHENG Wei Ting	BII168	Licensed Representative - Responsible Officer	1
CHU Lai Wa, Joanna	ABG737	Licensed Representative - Responsible Officer	1, 2, 4, 5

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
DROUET Frank Gerard Maurice	AED766	Licensed Representative - Responsible Officer	1, 2, 4, 5, 7
FONG Tip Ching	AUL733	Licensed Representative - Responsible Officer	9
Fu Wan Hin	ASG129	Licensed Representative - Responsible Officer	9
HEATH James Edward	BAA701	Licensed Representative - Responsible Officer	1, 4
HONG Kam Kit Eddie	ATX920	Licensed Representative - Responsible Officer	9
HU Yao Yuan	AZV962	Licensed Representative - Responsible Officer	1, 4, 9
HUANG Zhijian	AEM467	Licensed Representative - Responsible Officer	6
HUI Hoi Wan	ALV421	Licensed Representative - Responsible Officer	9
HUNG Hing Wai	AAF275	Licensed Representative - Responsible Officer	1
HUTSON Andrew Richard	AQM255	Licensed Representative - Responsible Officer	1
HWANG Min Seong	AJD705	Licensed Representative - Responsible Officer	4
IP Heung Wing, Barry	ALU866	Licensed Representative - Responsible Officer	2
JIN Zengxiang	ARO631	Licensed Representative - Responsible Officer	4
KEIDA Shunichi	BIM447	Licensed Representative - Responsible Officer	1, 4
KOLZ Stephan Thomas	BND123	Licensed Representative - Responsible Officer	9
KWOK Oi Lung, Roy	AFD745	Licensed Representative - Responsible Officer	4, 9
KWONG Kwok Wing	APL322	Licensed Representative - Responsible Officer	1, 4
LAM Lok Chan, Michelle	AER410	Licensed Representative - Responsible Officer	9
LAM Sau Chu	AQO332	Licensed Representative - Responsible Officer	1, 4
LAM Wai Kwong, Alfred	AFP975	Licensed Representative - Responsible Officer	1
LAU Jacky Chun Ho	AUG743	Licensed Representative - Responsible Officer	1
LAW Chi Yuen Edmond	AUL788	Licensed Representative - Responsible Officer	1, 3
LEE Wee Siang	AMN563	Licensed Representative - Responsible Officer	1, 4
LEUNG King Yue, Alex	AGJ776	Licensed Representative - Responsible Officer	1, 4, 6, 9
LEUNG Mei Yi	AOV432	Licensed Representative - Responsible Officer	4, 9
LIANG Xiaoli	ANG867	Licensed Representative - Responsible Officer	1, 6
LOKE Wai Ming	AIB803	Licensed Representative - Responsible Officer	1, 4, 6
NG Chi Keung, Jeremy	AEO606	Licensed Representative - Responsible Officer	4, 9
NGAI Man Fai	AEE761	Licensed Representative - Responsible Officer	2
POON Yin Ling	ALQ658	Licensed Representative - Responsible Officer	4, 9
SEI Tat Fai	AGF439	Licensed Representative - Responsible Officer	4
SHUM Kwok Fai	ACP470	Licensed Representative - Responsible Officer	1
SIU Yan Kei, Catherine	ARA299	Licensed Representative - Responsible Officer	1
SRINIVASAN Nikhil	ADB880	Licensed Representative - Responsible Officer	9
TANG Zhenyi	BES442	Licensed Representative - Responsible Officer	1, 4, 6
TORNBERG Martin	AFE172	Licensed Representative - Responsible Officer	4, 9
TSE Yat Kei	AOG833	Licensed Representative - Responsible Officer	1, 4
WENG Jimmy J	APH956	Licensed Representative - Responsible Officer	4, 9
WU Choi Sun, William	AER325	Licensed Representative - Responsible Officer	4, 9
XU Wei	BAL749	Licensed Representative - Responsible Officer	2
YIP Kin Ching, Johnson	ACB705	Licensed Representative - Responsible Officer	1, 2
ZHANG Feng	AVE823	Licensed Representative - Responsible Officer	1, 6
ZHENG Kongdong	BFA756	Licensed Representative - Responsible Officer	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHENG Xiaoqiu	BHH563	Licensed Representative - Responsible Officer	1, 4
ACTON Daniel Thomas	BOM505	Licensed Representative (Provisional Licence)	2, 5
ANTONELLI Christopher George	AQJ320	Licensed Representative (Provisional Licence)	9
AOYAMA Yoshihiko	AZT327	Licensed Representative (Provisional Licence)	1
BAHL Alok	BCH641	Licensed Representative (Provisional Licence)	2, 5
BAI Yiyang	BOR008	Licensed Representative (Provisional Licence)	4
BHALLA Sumeet Kumar	BLH891	Licensed Representative (Provisional Licence)	4, 9
BUNN Malcolm	AQM778	Licensed Representative (Provisional Licence)	1, 4
CABANNES Robin Claude Louis	BOP236	Licensed Representative (Provisional Licence)	1, 2
CAI Jiapan	BOP942	Licensed Representative (Provisional Licence)	1, 2
CAI Mingzhu	BON486	Licensed Representative (Provisional Licence)	2, 5
CAI Yinan	BOP963	Licensed Representative (Provisional Licence)	1, 6
CHAK Wai Hung	BDY891	Licensed Representative (Provisional Licence)	9
CHAK Wai Nga	BOO797	Licensed Representative (Provisional Licence)	1
CHAN Bella Huiyin	BOR369	Licensed Representative (Provisional Licence)	1, 6
CHAN Chi Yin	BOP754	Licensed Representative (Provisional Licence)	1, 2
CHAN Emily Mei-Yee	AHN696	Licensed Representative (Provisional Licence)	1
CHAN Hiang Wei, Elgin	AOV932	Licensed Representative (Provisional Licence)	1, 4
CHAN Ka Man Carmen	BOR397	Licensed Representative (Provisional Licence)	1, 6
CHAN Kam Lung	BOP663	Licensed Representative (Provisional Licence)	1, 2
CHAN King Fong	BOP353	Licensed Representative (Provisional Licence)	1
CHAN Kit Man Grace Christina	BOP226	Licensed Representative (Provisional Licence)	1, 4
CHAN Kwun San	BOO702	Licensed Representative (Provisional Licence)	6
CHAN Pui Man	AUT998	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Scott Tsz Kwong	BOO164	Licensed Representative (Provisional Licence)	9
CHAN Stefanie	BIJ806	Licensed Representative (Provisional Licence)	4, 9
CHAN Tin Chi	BOQ042	Licensed Representative (Provisional Licence)	6
CHAN Toi Kin	BNL529	Licensed Representative (Provisional Licence)	1, 4, 9
CHANG Bernard Yee Tak	BON685	Licensed Representative (Provisional Licence)	1, 6
CHANG Cheng Yu	BOP561	Licensed Representative (Provisional Licence)	1, 4
CHANG Ching-Yu	BON947	Licensed Representative (Provisional Licence)	1
CHAWLA RAM-RAJ ROBERT	BOP163	Licensed Representative (Provisional Licence)	9
CHE Ka Cheung	BOP651	Licensed Representative (Provisional Licence)	1
CHEN Fei	AYB045	Licensed Representative (Provisional Licence)	4, 9
CHEN Haofei	AJD676	Licensed Representative (Provisional Licence)	4
CHEN Jiadian	BOP349	Licensed Representative (Provisional Licence)	1
CHEN Li	BOQ080	Licensed Representative (Provisional Licence)	1, 4
CHEN Mengzhou	BON839	Licensed Representative (Provisional Licence)	1, 4
CHEN Ruijing	BOP902	Licensed Representative (Provisional Licence)	1, 6
CHEN Wangyu	ATL492	Licensed Representative (Provisional Licence)	1, 4
CHEN Xiaoheng	BOP735	Licensed Representative (Provisional Licence)	2, 5
CHEN Yi	BON686	Licensed Representative (Provisional Licence)	4, 5
CHEN Yuhang	BHB750	Licensed Representative (Provisional Licence)	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Yuhu	BOR694	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Zihan	BOP985	Licensed Representative (Provisional Licence)	1, 6
CHENG Sze Man	BOO286	Licensed Representative (Provisional Licence)	9
CHENG Tsz Hin	BOO417	Licensed Representative (Provisional Licence)	1
CHENG Wai Yi	BOQ051	Licensed Representative (Provisional Licence)	1
CHENG Yu	BON965	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Edward	BOP546	Licensed Representative (Provisional Licence)	1
CHEUNG Ho Loon Alan	BOL668	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Man Kit	BBU621	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Ngan Ying	BMV784	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Siu Kong	BON966	Licensed Representative (Provisional Licence)	1
CHEUNG Wing Sing	ATM574	Licensed Representative (Provisional Licence)	1, 2
CHIA Li An	BOP904	Licensed Representative (Provisional Licence)	1, 2
CHIU Kuo Hao	BNY934	Licensed Representative (Provisional Licence)	1, 2, 5
CHIU Yin Shan	BOP046	Licensed Representative (Provisional Licence)	1
CHO Taejung	BKM785	Licensed Representative (Provisional Licence)	1
CHOI Shu Ki	BOP900	Licensed Representative (Provisional Licence)	1, 2
CHONG Yuk Ting	BEJ355	Licensed Representative (Provisional Licence)	1, 2
CHU Kwok Hang	BOL829	Licensed Representative (Provisional Licence)	1, 4, 9
CHUA Ian Yong Kwang	BNX268	Licensed Representative (Provisional Licence)	6
CHUNG King Cheuk	BOO443	Licensed Representative (Provisional Licence)	2, 5
CLEMENTS Robert Scott	BOP659	Licensed Representative (Provisional Licence)	1, 4
CUI Yue	BOP952	Licensed Representative (Provisional Licence)	4, 9
D'ANETHAN Justin Jean F.	BOP856	Licensed Representative (Provisional Licence)	1, 2
DAUREL Thomas	BOO147	Licensed Representative (Provisional Licence)	9
DEATON Adam Angus	BOR725	Licensed Representative (Provisional Licence)	1
DING Zixuan	BOP617	Licensed Representative (Provisional Licence)	1, 6
DOMMENGKE Kevin Michael	APF352	Licensed Representative (Provisional Licence)	9
DONG Hao	BOI846	Licensed Representative (Provisional Licence)	1, 4
DONG Yixiao	BOR814	Licensed Representative (Provisional Licence)	1, 2, 7
FAN Lijin	BON632	Licensed Representative (Provisional Licence)	4
FENG Shu	BOO677	Licensed Representative (Provisional Licence)	1, 4, 9
FENG Yihui	BOP028	Licensed Representative (Provisional Licence)	1, 9
FENG Zhenglin	BOQ060	Licensed Representative (Provisional Licence)	1, 4
FONG Hon Kwan	BOO886	Licensed Representative (Provisional Licence)	1, 2
FRANCIS Adam James Antony	BFY018	Licensed Representative (Provisional Licence)	9
FRANCOIS Paul	BOL447	Licensed Representative (Provisional Licence)	1, 2
FU Kun	BOP240	Licensed Representative (Provisional Licence)	1
FU Rulin	BOO629	Licensed Representative (Provisional Licence)	1
FULTON Guy Charles Jeffreys	ARV592	Licensed Representative (Provisional Licence)	1
FUNG Hong Fai	BOP583	Licensed Representative (Provisional Licence)	3
GAI Benyang	BOP110	Licensed Representative (Provisional Licence)	2, 5
GHANEKAR Aayesha Anil	BOO869	Licensed Representative (Provisional Licence)	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
GHIM Taek Min	BOP172	Licensed Representative (Provisional Licence)	1, 4, 9
GOULD III Richard Gerardus	BOC417	Licensed Representative (Provisional Licence)	1, 4, 7
GOYAL Nayan Mahendra	BON973	Licensed Representative (Provisional Licence)	1, 4, 9
GRZINIC James Robert	BOR034	Licensed Representative (Provisional Licence)	4
GUO Chaofan	BOO769	Licensed Representative (Provisional Licence)	1, 4, 6
GUO Linfeng	BOO899	Licensed Representative (Provisional Licence)	6
GUO Siyuan	BOQ059	Licensed Representative (Provisional Licence)	1
GUO Xuanhong	BOQ796	Licensed Representative (Provisional Licence)	6
GUO Yanchao	BOP991	Licensed Representative (Provisional Licence)	1, 6
GUPTA Vikram	BOM634	Licensed Representative (Provisional Licence)	1
GURNANI Jitesh Motiram	BOM523	Licensed Representative (Provisional Licence)	1, 4, 6
HABERZETTL Martin Seung Yann	BOM053	Licensed Representative (Provisional Licence)	1
HALLEGUEN Yann	ARS440	Licensed Representative (Provisional Licence)	1, 2
HAN Siyu	BOP777	Licensed Representative (Provisional Licence)	4
HAN Xiao	BOO400	Licensed Representative (Provisional Licence)	1, 6
HAN Xinyue	BOQ098	Licensed Representative (Provisional Licence)	1, 6
HAN Yi	BDO027	Licensed Representative (Provisional Licence)	9
HAN Zhirui	BOR164	Licensed Representative (Provisional Licence)	1, 4, 6
HANAMURA Keiyo	BOR365	Licensed Representative (Provisional Licence)	1
HARYANI Jatin Shyamal	BOQ841	Licensed Representative (Provisional Licence)	1, 4, 9
HATADA Makoto	BML436	Licensed Representative (Provisional Licence)	1, 4
HE Benjin	BOM906	Licensed Representative (Provisional Licence)	1, 4
HE Jinchang	BEC496	Licensed Representative (Provisional Licence)	4, 9
HE Ruoheng	BOP885	Licensed Representative (Provisional Licence)	1, 6
HE Wenqing	BML595	Licensed Representative (Provisional Licence)	1, 2
HEALY Geoffrey	BOM260	Licensed Representative (Provisional Licence)	1, 9
HECHT John Douglas	BOO434	Licensed Representative (Provisional Licence)	4
HEGARTY Samuel Paul	BOL450	Licensed Representative (Provisional Licence)	1, 4
HO Ting Him	BOQ826	Licensed Representative (Provisional Licence)	1, 2, 9
HO Winston Hon Tao	BDK999	Licensed Representative (Provisional Licence)	1, 2
HON Po Sang	BOQ090	Licensed Representative (Provisional Licence)	1
HONG Runze	BOJ612	Licensed Representative (Provisional Licence)	1
HONG Tianze	BOM092	Licensed Representative (Provisional Licence)	1, 4, 6
HORI Mikinao	BOM644	Licensed Representative (Provisional Licence)	1
HSIAO Irena	AGC715	Licensed Representative (Provisional Licence)	1
HSIEH Pei-Chun	BNY932	Licensed Representative (Provisional Licence)	1, 2, 5
HSU Chih Kang	BOP781	Licensed Representative (Provisional Licence)	1
HU Baifeng	BOO676	Licensed Representative (Provisional Licence)	1, 4, 9
HU Huiying	BOP002	Licensed Representative (Provisional Licence)	1, 4, 9
HU Jie	AWQ290	Licensed Representative (Provisional Licence)	1, 4
HU Ping	BME684	Licensed Representative (Provisional Licence)	2
HU Rui	BOP983	Licensed Representative (Provisional Licence)	1, 6
HU Saiya	BON680	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
HUANG Jiayan	BOP961	Licensed Representative (Provisional Licence)	1, 6
HUANG Jing	BEM790	Licensed Representative (Provisional Licence)	1, 4, 6
HUANG Xiaoyi	BOM845	Licensed Representative (Provisional Licence)	1
HUANG Xinzhe	BOP111	Licensed Representative (Provisional Licence)	1, 4, 6
HUI Yuen Fai, Mannix	BON629	Licensed Representative (Provisional Licence)	1, 4, 9
HWANG Kyusan	BOM397	Licensed Representative (Provisional Licence)	1, 2
ITZHAKI Savion	BOP827	Licensed Representative (Provisional Licence)	1, 2
IZUMI Yoshiharu	BOK094	Licensed Representative (Provisional Licence)	1, 4
JAIN Abhinandan	BOP547	Licensed Representative (Provisional Licence)	1, 6
JAS Bir Kaur Lol Singh	BOO215	Licensed Representative (Provisional Licence)	1, 4, 9
JEON Jong Min	BOO898	Licensed Representative (Provisional Licence)	1, 4, 9
JI Xuan	BON850	Licensed Representative (Provisional Licence)	1, 4
JIANG Xiaole	BON526	Licensed Representative (Provisional Licence)	4
JIANG Xiaonan	BOP324	Licensed Representative (Provisional Licence)	1, 4
JIANG Yining	BOP986	Licensed Representative (Provisional Licence)	1, 6
JIANG Ziyang	BOP911	Licensed Representative (Provisional Licence)	1, 6
KEANEY Jonathan	BOO277	Licensed Representative (Provisional Licence)	1, 7
KEARY Craig William	BJW224	Licensed Representative (Provisional Licence)	1, 4, 9
KIM Ji Eum	BOP662	Licensed Representative (Provisional Licence)	1, 2
KIM Joohyung	BOP067	Licensed Representative (Provisional Licence)	1, 4
KIM Si Hyun	BOP326	Licensed Representative (Provisional Licence)	1, 4
KIM Young Rin	BLZ368	Licensed Representative (Provisional Licence)	4
KIYOTA Akira	BOL508	Licensed Representative (Provisional Licence)	1, 4, 6
KO Ka Long	BOP235	Licensed Representative (Provisional Licence)	1, 4, 9
KOHLI Sneha Shah	AVP148	Licensed Representative (Provisional Licence)	1
KOO Dawson	AQV537	Licensed Representative (Provisional Licence)	1
KOO Hung Yuan, Kevin	AFE094	Licensed Representative (Provisional Licence)	4, 9
KUNG Pei Hsuan	AVW189	Licensed Representative (Provisional Licence)	1
KWOK Yee Huen	BOP344	Licensed Representative (Provisional Licence)	1, 4
LAI Kam Chiu	BON812	Licensed Representative (Provisional Licence)	1, 4, 9
LAI Tak Pui	BOQ911	Licensed Representative (Provisional Licence)	1, 4, 6
LAM Ho Bun	BOR451	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Andrew Koon Ning	BGV313	Licensed Representative (Provisional Licence)	9
LARSEN Nathaniel Jay	BOR342	Licensed Representative (Provisional Licence)	1, 6
LAU Chun Wing	BOP085	Licensed Representative (Provisional Licence)	1
LAU Sing Chong	AOE376	Licensed Representative (Provisional Licence)	1, 4, 9
LAU Yim Ling, Elaine	AAJ813	Licensed Representative (Provisional Licence)	1, 2, 4
LAW Chun Man	BOP241	Licensed Representative (Provisional Licence)	1, 4, 9
LAW Siu Chung	AKO881	Licensed Representative (Provisional Licence)	1, 4
LAW Ting Hin	BOP803	Licensed Representative (Provisional Licence)	1, 2
LAW Wing Yee	BOP165	Licensed Representative (Provisional Licence)	1, 4
LEE Chee Wai Ivan	BOR478	Licensed Representative (Provisional Licence)	1, 4
LEE Elizabeth Yi Lin	AXU784	Licensed Representative (Provisional Licence)	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEE Halim	BOP329	Licensed Representative (Provisional Licence)	1, 4
LEE Huk Bun	ADZ881	Licensed Representative (Provisional Licence)	4, 9
LEE Jaehun	BON487	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Ka Chun Robin James	BOP967	Licensed Representative (Provisional Licence)	1
LEE Ka Ming	BOP928	Licensed Representative (Provisional Licence)	1, 2, 4
LEE Keen Yong Dick	BON975	Licensed Representative (Provisional Licence)	1, 6
LEE Yu-Feng	BOQ102	Licensed Representative (Provisional Licence)	1, 2
LEUNG Lai Ling	BJX874	Licensed Representative (Provisional Licence)	1, 4, 9
LEUNG Matthew Ting Pok	BOP252	Licensed Representative (Provisional Licence)	6
LEUNG Wing Yee	BOP023	Licensed Representative (Provisional Licence)	1
LI Bei	AUX239	Licensed Representative (Provisional Licence)	9
LI Gen	BOP352	Licensed Representative (Provisional Licence)	1, 4, 6
LI Hengzhao	BOP548	Licensed Representative (Provisional Licence)	4
LI Meng	APQ664	Licensed Representative (Provisional Licence)	1, 4
LI Meng	BOP307	Licensed Representative (Provisional Licence)	1, 9
LI Ming Gang	BOP843	Licensed Representative (Provisional Licence)	1, 2
LI Shijiao	BOR366	Licensed Representative (Provisional Licence)	1, 2, 4
LI Tieqiang	BJT718	Licensed Representative (Provisional Licence)	4, 9
LI Weiwei	AXR088	Licensed Representative (Provisional Licence)	9
LI Xiaoye	BOR341	Licensed Representative (Provisional Licence)	1, 2, 7
LI Xiyin	BHN672	Licensed Representative (Provisional Licence)	1, 2
LI Yuan	BOP982	Licensed Representative (Provisional Licence)	1, 6
LIAN Foo Kean, Paul	BOO766	Licensed Representative (Provisional Licence)	1, 6
LIANG Wei Zhong Marcus	BOL869	Licensed Representative (Provisional Licence)	1, 6
LIANG Zhao	BOP908	Licensed Representative (Provisional Licence)	1, 4, 9
LIM San Seng	BOP228	Licensed Representative (Provisional Licence)	1, 4, 9
LIM Tow Lee	AOW936	Licensed Representative (Provisional Licence)	1, 2
LIN Danwei	BOQ910	Licensed Representative (Provisional Licence)	1
LIN Hsueh Fen	AGI775	Licensed Representative (Provisional Licence)	1
LIN Shing Chun, Ambrose	BOP726	Licensed Representative (Provisional Licence)	2, 5
LIN Xiaoling	APH362	Licensed Representative (Provisional Licence)	9
LIN Yinhong	BON559	Licensed Representative (Provisional Licence)	1
LIU Hong	ARI623	Licensed Representative (Provisional Licence)	9
LIU Jason Jie Sheng	BIY813	Licensed Representative (Provisional Licence)	4
LIU Peng	BOP136	Licensed Representative (Provisional Licence)	4
LIU Ruby Ching Yee	BOP849	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Yaokun	BJI665	Licensed Representative (Provisional Licence)	4
LIU Yilin	BOR899	Licensed Representative (Provisional Licence)	1
LUK Sze Ip Lydia	BOP455	Licensed Representative (Provisional Licence)	1, 2
LUO Lingxiao	BOP535	Licensed Representative (Provisional Licence)	1, 4, 9
MA Ying	BOP135	Licensed Representative (Provisional Licence)	2, 5
MACKLEM Richard Gray	BON634	Licensed Representative (Provisional Licence)	1
MADERNA Pankaj	BOP582	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
MAKPOLO Marleeka Keyala Brodie	BMN881	Licensed Representative (Provisional Licence)	1
MATSUOKA Mikihiko	BOJ438	Licensed Representative (Provisional Licence)	1, 4
MCCASKER Grant David	ATL666	Licensed Representative (Provisional Licence)	4
MOHIDEEN Khaleelur Rahman	ABM107	Licensed Representative (Provisional Licence)	1, 2
MOK Ka Hong	BOQ916	Licensed Representative (Provisional Licence)	1, 4
MORI Hiroshi	BOK212	Licensed Representative (Provisional Licence)	4
NAN Nan	AXG319	Licensed Representative (Provisional Licence)	4, 9
NAYYAR Varun	BON694	Licensed Representative (Provisional Licence)	9
NG Chi Kwan	BOP920	Licensed Representative (Provisional Licence)	1, 2, 4
NG Chun Lok	BOP168	Licensed Representative (Provisional Licence)	1, 6
NG Lai Ying	BOM227	Licensed Representative (Provisional Licence)	1, 4, 6
NG Ming Hui Sancia	BGS699	Licensed Representative (Provisional Licence)	1, 2
NG Yao Lin Calvin	BOO529	Licensed Representative (Provisional Licence)	4
NI Chen	BAD740	Licensed Representative (Provisional Licence)	1
NOBLES IV William Daniel	BOK249	Licensed Representative (Provisional Licence)	9
NUNO DA SILVA FREYRE-SANDERS Simon Dominic	BOR179	Licensed Representative (Provisional Licence)	1
OGDEN Jonathan William	AOM764	Licensed Representative (Provisional Licence)	9
OKITA Kazuki	BOM838	Licensed Representative (Provisional Licence)	1, 4
ONG Yan Han Sherman	BOM349	Licensed Representative (Provisional Licence)	9
ONG Zi Hao	BOP402	Licensed Representative (Provisional Licence)	1, 2
O'SULLIVAN Maurice	BON494	Licensed Representative (Provisional Licence)	2, 5
PAN Yangyang	BOM085	Licensed Representative (Provisional Licence)	4
PANG Yuting	BOO863	Licensed Representative (Provisional Licence)	1, 2
PARK Sunyeol	BOP725	Licensed Representative (Provisional Licence)	1, 2
PEI Yining	BOP921	Licensed Representative (Provisional Licence)	1, 2
PINSET Victor Balthazar	ARQ494	Licensed Representative (Provisional Licence)	9
PORT Ronald Erich Konrad	BOL664	Licensed Representative (Provisional Licence)	4, 9
QIAN Cheng	BOO785	Licensed Representative (Provisional Licence)	1, 6
QIN Bo	BOO258	Licensed Representative (Provisional Licence)	4, 9
QIN Meng	BOP524	Licensed Representative (Provisional Licence)	1
QU Simon Tao	AFN658	Licensed Representative (Provisional Licence)	1, 4
RAMADASAN Mullikkottu Veetil	ANT736	Licensed Representative (Provisional Licence)	1, 4
ROBERTS Wei Lim	BOP287	Licensed Representative (Provisional Licence)	1, 4, 9
ROBINSON Gregory Alexander	AXH226	Licensed Representative (Provisional Licence)	1, 4
RU Patrick Cheng	BOO472	Licensed Representative (Provisional Licence)	1, 4, 9
SAMESHIMA Toyoki	AMY453	Licensed Representative (Provisional Licence)	1, 4
SARR Mamadou-Abou	BOO653	Licensed Representative (Provisional Licence)	1
SASAKI Daisuke	BOJ795	Licensed Representative (Provisional Licence)	1, 4, 6
SATO Wakako	BBI874	Licensed Representative (Provisional Licence)	1
SEE Wee Phing, Eric	AQU543	Licensed Representative (Provisional Licence)	1
SHARMA Naveen Prakash	ANW221	Licensed Representative (Provisional Licence)	1, 2
SHE Pui Ka	BOO733	Licensed Representative (Provisional Licence)	1, 4, 9
SHEN Chen	BOP987	Licensed Representative (Provisional Licence)	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
SHEN Di	BOP465	Licensed Representative (Provisional Licence)	1, 2
SHEN Kaiying	BOR276	Licensed Representative (Provisional Licence)	1, 6
SHEN Yangwen	BOM502	Licensed Representative (Provisional Licence)	1
SHEN Yiqing	BKW685	Licensed Representative (Provisional Licence)	1, 4, 6
SHI Zhenchun	BOO987	Licensed Representative (Provisional Licence)	1, 2, 4
SHIU Susan Woon Ying	AUA244	Licensed Representative (Provisional Licence)	1, 4
SIDDHARTH Rahul	BOP861	Licensed Representative (Provisional Licence)	1
SIOUTI Charles	BOP323	Licensed Representative (Provisional Licence)	1, 2, 7
SMITH Fraser Clyde Mclellan	BOM753	Licensed Representative (Provisional Licence)	4, 9
SU Zongpei	BOQ844	Licensed Representative (Provisional Licence)	1, 4, 6
SUBRAHMANIAN Karthik	BOP776	Licensed Representative (Provisional Licence)	1, 4
SUN Changyu	BES708	Licensed Representative (Provisional Licence)	1, 6
SUN Xin	BOP483	Licensed Representative (Provisional Licence)	1, 4
SUN Yang	BOI804	Licensed Representative (Provisional Licence)	1, 4
SUN Yile	BOQ096	Licensed Representative (Provisional Licence)	1, 6
SUNDERLAND Bradford Morimura	BOR107	Licensed Representative (Provisional Licence)	1
SY Michelle	BOM827	Licensed Representative (Provisional Licence)	1, 6
TAM Sui Hei	BHT517	Licensed Representative (Provisional Licence)	1, 4, 9
TAN Qiyao	BOP442	Licensed Representative (Provisional Licence)	1, 4
TAN Tan	BMR356	Licensed Representative (Provisional Licence)	4, 9
TANG Xiaodan	BOM624	Licensed Representative (Provisional Licence)	6
TAO Xiangrui	BOP401	Licensed Representative (Provisional Licence)	1, 6
TAY Chin Khim	BOQ052	Licensed Representative (Provisional Licence)	1
TECHASOMBOON Dollapon	BOO308	Licensed Representative (Provisional Licence)	1
TEE Brian Benedict Oh	AXP239	Licensed Representative (Provisional Licence)	1, 4
TERNG Ke Tian Christine	BOP866	Licensed Representative (Provisional Licence)	1, 4
TEY Khang Yee	BOP469	Licensed Representative (Provisional Licence)	1, 2
THEUNISSEN Jennifer Zelena	BFC242	Licensed Representative (Provisional Licence)	1, 9
TIAN Dan	BOP614	Licensed Representative (Provisional Licence)	1, 6
TIAN Guanhua	BOP471	Licensed Representative (Provisional Licence)	1, 6
TING Shu Hao	BOO993	Licensed Representative (Provisional Licence)	4
TSANG Jason Siu Hang	BOM563	Licensed Representative (Provisional Licence)	1, 2
TSANG Tak Ki	BMC017	Licensed Representative (Provisional Licence)	1, 2
TSE Man Hon, Matthew	BCJ632	Licensed Representative (Provisional Licence)	1
TUNG Tsz Kiu Jacky	BOR395	Licensed Representative (Provisional Licence)	1, 6
UREN Paul Stephen James	BON475	Licensed Representative (Provisional Licence)	1, 4, 6
WAN Lai Sze	BOP273	Licensed Representative (Provisional Licence)	1
WAN Li	BOO731	Licensed Representative (Provisional Licence)	1
WAN Lik Kuen	ANX818	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Fan	BOP759	Licensed Representative (Provisional Licence)	1, 2, 4
WANG Hongyan	BOM631	Licensed Representative (Provisional Licence)	1, 2
WANG Jiyuan	BOP922	Licensed Representative (Provisional Licence)	1
WANG Liyao	BOQ035	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Peijia	BON561	Licensed Representative (Provisional Licence)	1
WANG Qingyang	BOR256	Licensed Representative (Provisional Licence)	9
WANG Qiong	BJW110	Licensed Representative (Provisional Licence)	6
WANG Xi	BOK305	Licensed Representative (Provisional Licence)	1
WANG Yiheng	BOP544	Licensed Representative (Provisional Licence)	4
WANG Yiping	BOR238	Licensed Representative (Provisional Licence)	1, 4
WANG Yuyang	BOP434	Licensed Representative (Provisional Licence)	4
WANG Zhao	BOP251	Licensed Representative (Provisional Licence)	1, 6
WEI Lulu	BOM881	Licensed Representative (Provisional Licence)	1, 4
WEI Yuzhen	BOP658	Licensed Representative (Provisional Licence)	1, 4
WONG Cheuk Yin	AXS455	Licensed Representative (Provisional Licence)	1
WONG Chi Ming	ANN089	Licensed Representative (Provisional Licence)	4
WONG Hang Sze	BOO309	Licensed Representative (Provisional Licence)	1
WONG Hon Yeung	BMN644	Licensed Representative (Provisional Licence)	1, 9
WONG Ka Wai, Kavi	ALQ402	Licensed Representative (Provisional Licence)	4, 9
WONG On Ieng	BOP629	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Timothy Mun Tim	BNB054	Licensed Representative (Provisional Licence)	1, 2
WONG Wai Fu	BOP660	Licensed Representative (Provisional Licence)	1, 4
WONG Wing Kin, Ricky	AQQ122	Licensed Representative (Provisional Licence)	1, 2, 3
WONG Yin Yin	BOP804	Licensed Representative (Provisional Licence)	1, 2
WU Hongping	BOH489	Licensed Representative (Provisional Licence)	4
WU Jiayi	BON836	Licensed Representative (Provisional Licence)	1, 4, 9
WU Kaiyi	BOP351	Licensed Representative (Provisional Licence)	4
WU Kelvin Chih Wei	AMB765	Licensed Representative (Provisional Licence)	9
WU Shuk Ching	BOM052	Licensed Representative (Provisional Licence)	1, 2
WU Yanjun	BON649	Licensed Representative (Provisional Licence)	1, 4
WU Yao	BOP984	Licensed Representative (Provisional Licence)	1, 6
XIA Shujie	BOP734	Licensed Representative (Provisional Licence)	2, 5
XIE Ci	BOO281	Licensed Representative (Provisional Licence)	1, 6
XU Weichang	BDR768	Licensed Representative (Provisional Licence)	1, 4, 6, 9
XUE Yonghong	BOK454	Licensed Representative (Provisional Licence)	4, 5, 9
YAM Lap Fan, Fanny	AFR221	Licensed Representative (Provisional Licence)	1
YANG Geyue	BHV155	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Hao	BOO280	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Hesu	BOM802	Licensed Representative (Provisional Licence)	1, 6
YANG Yatong	BOR250	Licensed Representative (Provisional Licence)	1, 4
YANG Yongqing	BOM626	Licensed Representative (Provisional Licence)	1
YANG Yuxuan	BOP151	Licensed Representative (Provisional Licence)	1, 2, 4, 5
YAO Chen	BOP084	Licensed Representative (Provisional Licence)	1, 2
YAU Ka Faat	BOQ868	Licensed Representative (Provisional Licence)	1, 2
YE Guofeng	AXG034	Licensed Representative (Provisional Licence)	1, 4, 9
YEUNG Kwok Fai	AVQ425	Licensed Representative (Provisional Licence)	1, 2
YOUK Su Jeong	BLV881	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
YU Kin Hang Oliver	BOR363	Licensed Representative (Provisional Licence)	1, 6
YU Ming Yan	BID401	Licensed Representative (Provisional Licence)	4, 9
YU Sai Wo	BON601	Licensed Representative (Provisional Licence)	1
YU Zhonghai	BOP246	Licensed Representative (Provisional Licence)	1, 4
YUEN Ching Ngai	BOO627	Licensed Representative (Provisional Licence)	1, 2
ZANG Xiaojing	BOM392	Licensed Representative (Provisional Licence)	2
ZENG Yu Kwan, Andrew	BNQ708	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Chunbing	BOJ751	Licensed Representative (Provisional Licence)	1, 2, 4, 9
ZHANG Chunwang	BOQ932	Licensed Representative (Provisional Licence)	2
ZHANG Haimo	BNV344	Licensed Representative (Provisional Licence)	4, 5, 9
ZHANG Jingjing	BOP790	Licensed Representative (Provisional Licence)	4
ZHANG Jinhong	BHR791	Licensed Representative (Provisional Licence)	1, 4
ZHANG Motong	BOO377	Licensed Representative (Provisional Licence)	1, 4
ZHANG Pengfei	BOL221	Licensed Representative (Provisional Licence)	9
ZHANG Siran	BOQ929	Licensed Representative (Provisional Licence)	2, 5
ZHAO Juyi	BNO905	Licensed Representative (Provisional Licence)	1, 2, 7
ZHENG Dongying	BOP959	Licensed Representative (Provisional Licence)	6
ZHENG Linhong	BOP888	Licensed Representative (Provisional Licence)	1
ZHENG Yang	BAA900	Licensed Representative (Provisional Licence)	1, 4
ZHENG Zhixiao	BOI559	Licensed Representative (Provisional Licence)	1
ZHONG Xiaoyu	BOP317	Licensed Representative (Provisional Licence)	1, 4, 6
ZHOU Fuyan	BOR262	Licensed Representative (Provisional Licence)	1, 4, 6
ZHOU Huanjie	BJE019	Licensed Representative (Provisional Licence)	2
ZHOU Jia	BOM781	Licensed Representative (Provisional Licence)	1, 6
ZHOU Shiyu	BOP250	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Lin	BOP079	Licensed Representative (Provisional Licence)	1, 2
ZHU Yuxiang	BER747	Licensed Representative (Provisional Licence)	2
ZHUO Le	BOO006	Licensed Representative (Provisional Licence)	2
ZUO Yiming	BOO827	Licensed Representative (Provisional Licence)	10
ALT Benjamin Jakob	BOP591	Licensed Representative (Temporary Licence)	1
ANDERSON Gregory Paul	BMO234	Licensed Representative (Temporary Licence)	4
CHEN Wei	BOL386	Licensed Representative (Temporary Licence)	1
CHO Chulhee	BEX932	Licensed Representative (Temporary Licence)	4
COSTELLO Manus James Macgregor	BOP288	Licensed Representative (Temporary Licence)	4
DAI Wei	BOL570	Licensed Representative (Temporary Licence)	1
DEAKIN-BELL John Anthony	BNV617	Licensed Representative (Temporary Licence)	4
DESHMUKH Prasad Gulabrao	BAR266	Licensed Representative (Temporary Licence)	4
DIDELLOT Nicolas Mathieu	BHO975	Licensed Representative (Temporary Licence)	1, 4
ENGEL Olivia Jane	BIL522	Licensed Representative (Temporary Licence)	1, 4, 5
FANG Chenjun	BJQ180	Licensed Representative (Temporary Licence)	4
FLETCHER David Alan Fraser	BOI772	Licensed Representative (Temporary Licence)	1, 4
GILL Barry John	BHP725	Licensed Representative (Temporary Licence)	1, 4
GOYAL Surendra Kumar	BEO301	Licensed Representative (Temporary Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
GUERIN Alice Clemence	BOH937	Licensed Representative (Temporary Licence)	1
GUNDLAPALLE Pranav Dheeraj	BOQ958	Licensed Representative (Temporary Licence)	4
GUTMAN Simeon Ari	BFO614	Licensed Representative (Temporary Licence)	1, 4
HARMSTONE Andrew Thaddeus	ARW607	Licensed Representative (Temporary Licence)	1
HEO Na Rae	BNR821	Licensed Representative (Temporary Licence)	4
HOCHFELD Kim	BKV728	Licensed Representative (Temporary Licence)	1
KAVOUR Dimitrios	BOR076	Licensed Representative (Temporary Licence)	1
KAWAMOTO Hisae	BNP794	Licensed Representative (Temporary Licence)	4
KAWASAKI Satsuki	BJE606	Licensed Representative (Temporary Licence)	4
KEDIA Sahil Sudhir	AZW848	Licensed Representative (Temporary Licence)	4
KIM Gil Hyung	AUQ480	Licensed Representative (Temporary Licence)	4
KIM Jinwoo	BGY980	Licensed Representative (Temporary Licence)	4
KONDO Masakatsu	BFA117	Licensed Representative (Temporary Licence)	1
KONG Robert Patrick	BDQ917	Licensed Representative (Temporary Licence)	4
LEE Doyeon	BFO638	Licensed Representative (Temporary Licence)	4
LEE Su Sie	BFU417	Licensed Representative (Temporary Licence)	4
LEE Wei Yuan	ALQ973	Licensed Representative (Temporary Licence)	1, 4
LEUCHTEN Michael	BKM728	Licensed Representative (Temporary Licence)	4
LIE Vivi Handoyo	BEN583	Licensed Representative (Temporary Licence)	4
LIN Li-Chun	BIG303	Licensed Representative (Temporary Licence)	4
MACLEAN Murdo Alexander	BGK887	Licensed Representative (Temporary Licence)	1, 4
MANOONPOL Alex	BOS797	Licensed Representative (Temporary Licence)	4
MC CLOSKEY Kevin Richard	BCU815	Licensed Representative (Temporary Licence)	4
MIURA Nobuyoshi	BDU297	Licensed Representative (Temporary Licence)	4
MOOKIM Sanjay Kumar	AUW976	Licensed Representative (Temporary Licence)	4
MOSELAAR Jan Sijtze	BHH524	Licensed Representative (Temporary Licence)	1, 4
NA Eun Chae	BGC163	Licensed Representative (Temporary Licence)	4
NA PATTALOONG Natchutha	BIO006	Licensed Representative (Temporary Licence)	4
NAM Kee Hwa	BOR455	Licensed Representative (Temporary Licence)	1, 2
NEUMAYER Elliott Richard	BMV317	Licensed Representative (Temporary Licence)	1
NICHOLSON Wendy Caroline	BFW996	Licensed Representative (Temporary Licence)	4
PATKER Sameer Sudhir	BMB521	Licensed Representative (Temporary Licence)	4
PING Fan	BOR749	Licensed Representative (Temporary Licence)	4
PRAKASH Sharad	BIR484	Licensed Representative (Temporary Licence)	1
RAFFERTY Simon Michael	BJQ663	Licensed Representative (Temporary Licence)	1, 4
REDMAN Simon David	BLF884	Licensed Representative (Temporary Licence)	1, 4
RICCI Jason Steven	BJE668	Licensed Representative (Temporary Licence)	1, 2
SHIBANO Masahiro	BFN431	Licensed Representative (Temporary Licence)	4
SHROFF Abhijit	BOM516	Licensed Representative (Temporary Licence)	1
SINGH Saurabh Devendra	AVO929	Licensed Representative (Temporary Licence)	4
SON Teo	BOR876	Licensed Representative (Temporary Licence)	1, 2
SPENCER Raymond Mark	BNU220	Licensed Representative (Temporary Licence)	4
SWAMINATHAN Anand	AUW975	Licensed Representative (Temporary Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
TAYAL Kunal	BBL045	Licensed Representative (Temporary Licence)	4
TECSON STANIEL JAVINEZ	BOR080	Licensed Representative (Temporary Licence)	1
THIRU Kumaresh	BOR119	Licensed Representative (Temporary Licence)	1, 2
THOMAS Frederic Francois Mathieu	BJN830	Licensed Representative (Temporary Licence)	1
TOMIYASU Hiroki	BOR724	Licensed Representative (Temporary Licence)	1, 2
TRUELOVE Keith Alan	AWF626	Licensed Representative (Temporary Licence)	1
UKIM Hasan	BJR959	Licensed Representative (Temporary Licence)	1
WATROUS Andrew Martin	BOQ843	Licensed Representative (Temporary Licence)	1, 4, 5
WEI Daren	BOI623	Licensed Representative (Temporary Licence)	1
WELCH Michael Godfrey	BGN066	Licensed Representative (Temporary Licence)	4
WIETHUECHTER Martin Domini	BOQ794	Licensed Representative (Temporary Licence)	1, 4
WONG Ferry Wijaya	ARB231	Licensed Representative (Temporary Licence)	4
WONG Joshua Zhihao	BLD957	Licensed Representative (Temporary Licence)	1
XIE Jiaoyue	BHU354	Licensed Representative (Temporary Licence)	1, 4
YANG Jong In	BEX912	Licensed Representative (Temporary Licence)	4
YAU Hoe Kiat, Patrick	AML197	Licensed Representative (Temporary Licence)	4
YOO Jongwoo	BEX905	Licensed Representative (Temporary Licence)	4
ZHANG Jin	BOQ913	Licensed Representative (Temporary Licence)	10
ZHANG Weidi	BMS521	Licensed Representative (Temporary Licence)	1
ZHANG Weixuan	BNT218	Licensed Representative (Temporary Licence)	4
ZHOU Angela	BOQ914	Licensed Representative (Temporary Licence)	10