

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2019

CE Name	CE No.	License Type	Types of Regulated Activity
Artephius Partners Limited	BBF247	Licensed Corporation	9
Asia Wealth Securities Limited	BHB681	Licensed Corporation	1
Daiwa SB Investments (HK) Limited - Daiwa SB Investments (HK) Limited (AAB217) and Sumitomo Mitsui Asset Management (Hong Kong) Limited (AAK014) amalgamated on 1 October 2019, pursuant to the relevant provisions of the Companies Ordinance (Chapter 622). The amalgamated company is Daiwa SB Investments (HK) Limited (BOT526).	AAB217	Licensed Corporation	1, 4, 9
Dayou Futures (HK) Company Limited	BHF476	Licensed Corporation	2
Hamilton Asset Management Limited	BGR263	Licensed Corporation	4, 9
Links International Investment Consultant Limited	AVR825	Licensed Corporation	4
Meiji Yasuda Asia Limited	AHQ986	Licensed Corporation	4, 9
NF Trinity Capital (Hong Kong) Limited	BDM193	Licensed Corporation	1, 4, 9
Rothschild & Co Wealth Management Hong Kong Limited	AVF613	Licensed Corporation	1, 4
Sumitomo Mitsui Asset Management (Hong Kong) Limited - Sumitomo Mitsui Asset Management (Hong Kong) Limited (AAK014) and Daiwa SB Investments (HK) Limited (AAB217) amalgamated on 1 October 2019, pursuant to the relevant provisions of the Companies Ordinance (Chapter 622). The amalgamated company is Daiwa SB Investments (HK) Limited (BOT526).	AAK014	Licensed Corporation	1, 4, 9
Thunder Stone Securities (Hong Kong) Limited	BLO824	Licensed Corporation	1
AGARWAL Akanksha	BJR955	Licensed Representative	6
AGARWAL Prasoan	AUN719	Licensed Representative	1
AGENA Sergio Hidenori	BFZ539	Licensed Representative	10
AIRD William Rowley	APD881	Licensed Representative	1
AN An	BHK900	Licensed Representative	1, 4
AN Kexin	BMO638	Licensed Representative	1
BECCHETTI James Patrick	AWQ678	Licensed Representative	1, 2
BIAN Guangchen	AXZ102	Licensed Representative	4
BOLTON Shane Michael Christopher	AQK243	Licensed Representative	1, 2
BREWER James Christopher	BHB321	Licensed Representative	4, 9
BRYCE Steven Craig	BEI690	Licensed Representative	4
CAI Quan	BNV036	Licensed Representative	1, 4
CAO Jian	AFW636	Licensed Representative	4, 9
CAO Xiangwei	AXV946	Licensed Representative	9
CAO Ying	BGJ409	Licensed Representative	1, 4
CHAN Chi Cheung	ACQ786	Licensed Representative	1
CHAN Chun Hong	BKE867	Licensed Representative	1
CHAN Hiu See, Miriam	APK160	Licensed Representative	1
CHAN Ho Lim	BHY410	Licensed Representative	1
CHAN Hung Kei	ADI346	Licensed Representative	1
CHAN Ka Wai Ryan	BNP831	Licensed Representative	4, 9
CHAN Kar Men, Florence	BHT053	Licensed Representative	1, 6
CHAN Kwan Chi	BJT479	Licensed Representative	1
CHAN Kwan Tak	AYY175	Licensed Representative	1
CHAN Pui Sze, Phebe	ADA512	Licensed Representative	1, 4
CHAN Shok Hui	BGG678	Licensed Representative	1
CHAN Shuk Ying	BGX872	Licensed Representative	1, 4
CHAN Suet Ying	BOF948	Licensed Representative	1, 4, 9
CHAN Terence Eng Hin	BIG481	Licensed Representative	10
CHAN Wai Long	BFY295	Licensed Representative	1
CHAN Wing Bun	BIG618	Licensed Representative	4, 9
CHAN Wing Hoi	AGH870	Licensed Representative	4, 6
CHANG Christopher K	BDQ417	Licensed Representative	4
CHANG Tien Yin	BMA437	Licensed Representative	1
CHANG Yi Ping	AYQ008	Licensed Representative	1, 4
CHEN Cheng	AZJ494	Licensed Representative	6
CHEN Chun-Ning	AYN039	Licensed Representative	4
CHEN Danhua	AFG448	Licensed Representative	9
CHEN Jiayao	AYC946	Licensed Representative	4
CHEN Lin	AOX336	Licensed Representative	4
CHEN Meishan	BMV245	Licensed Representative	4
CHEN Michael Adam	BKY330	Licensed Representative	1, 9
CHEN XI	BNY354	Licensed Representative	4, 5
CHEN Yilun	BOF343	Licensed Representative	1
CHENG Kiu Sum	BKS895	Licensed Representative	1, 4
CHENG Yujia	BIQ365	Licensed Representative	1, 4, 9
CHEUNG Ching Fai, Daniel	ANC002	Licensed Representative	1, 2, 4, 5
CHEUNG Ho Wu	BMP071	Licensed Representative	1
CHEUNG Ka Fai Akira	BMT394	Licensed Representative	1
CHEUNG Oi Yee	APF962	Licensed Representative	1
CHEUNG Siu Hang	BNC210	Licensed Representative	1, 4, 9
CHEW Kwang Liang	BGB380	Licensed Representative	1, 4, 6
CHIN Kit	APV761	Licensed Representative	1, 2
CHIU Tin Long	BIB696	Licensed Representative	2, 5
CHOI Man Sum	BEM697	Licensed Representative	1
CHOI Chun Fai	BKV279	Licensed Representative	1, 2
CHOI Seung Jae	BHZ340	Licensed Representative	2, 5
CHOI Siu Tong Edward	BLL583	Licensed Representative	1, 4, 9
CHOW Yin Ling	AAH928	Licensed Representative	1
CHOW Yuk Wah	ABJ926	Licensed Representative	1, 2
CHU Ka Wang Henry	BNV860	Licensed Representative	1
CHUA Ian Yong Kwang	BNX268	Licensed Representative	6
CHUANG Shu Han Linnda	BJQ220	Licensed Representative	1
CHUNG Kuo Wei William	BNR816	Licensed Representative	1, 2
CHURCHILL Alexander Qiu	BMB928	Licensed Representative	6
CIRCOSTA Matthew John	BJD663	Licensed Representative	10

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2019

CE Name	CE No.	License Type	Types of Regulated Activity
COONEY Dion	BIY269	Licensed Representative	1, 7
CUI Bin	AUP749	Licensed Representative	4, 9
CUI Chenfei	BHS701	Licensed Representative	1, 4
DE GUZMAN Raymund Christian Coquia	AXG375	Licensed Representative	10
DELION Ludovic Jean-Louis Jean-rene J.	BOA766	Licensed Representative	1, 4, 9
DERVILLE Guillaume Colin	AMW646	Licensed Representative	1, 2, 4
DING Jie	BHD201	Licensed Representative	9
DING Yining	BAM800	Licensed Representative	1
DIRON EP KALCKSTEIN Marie	BGY556	Licensed Representative	10
DU Haomin	BIW266	Licensed Representative	9
EL AYOUBI EL IDRISSEI Ahmed	BLX546	Licensed Representative	1, 2
EVANS Curtis Leigh	BKK557	Licensed Representative	1
EVANS Matthew Christopher	BIA161	Licensed Representative	1
FAN Xiaochen	AYW037	Licensed Representative	1, 6
FAN Xinyi	BAX677	Licensed Representative	4
FEDIDA Marc-andre	BJT104	Licensed Representative	1
FITZGERALD Brian Patrick	BNV132	Licensed Representative	4
FOK Cho Kit	AGJ003	Licensed Representative	4, 9
FOK Man Chun	BNV262	Licensed Representative	1, 4, 9
FU Hanbo	BNJ330	Licensed Representative	6
FU Yung	AFI050	Licensed Representative	1
GABRIEL Spiros	BMR355	Licensed Representative	1, 2, 4
GE Tuo	BNF813	Licensed Representative	6
GRIFFIN Gregory Lester	AEU144	Licensed Representative	1, 2
GU Miduo	BNH624	Licensed Representative	1, 4, 6
GUAN Tianyu	BFZ337	Licensed Representative	1, 6
GUO Jin	BBN895	Licensed Representative	1, 2, 3
HAGANUMA Chisato	AMP147	Licensed Representative	1
HAMBLY Alexander John	BAV914	Licensed Representative	1, 4
HAMNAA Philip Axel Peter	BOL333	Licensed Representative	1, 4, 9
HAMROCK Henry Patrick	AOH362	Licensed Representative	1, 4
HAN Sang Yun	AXH014	Licensed Representative	10
HASHIMOTO Kazunari	BCH701	Licensed Representative	1, 4
HE Yidan	BNG469	Licensed Representative	6
HO Benjamin Ho Yin	AZN350	Licensed Representative	9
HO Choy Mei Sarah	BNO303	Licensed Representative	1, 2
HO Ka Chun	BNC501	Licensed Representative	1
HO Ming Hei, William	BFD430	Licensed Representative	1
HO Wing Yan	ASH897	Licensed Representative	1
HOLLOWAY Mitchell Leslie	BGV233	Licensed Representative	1
HONG Junchan	BLO695	Licensed Representative	6
HONG Sue Min	BLO378	Licensed Representative	1, 2
HU Lingling	AUI056	Licensed Representative	1, 4
HUANG Chaoni	BLO982	Licensed Representative	1, 4
HUANG Chih Hong	BMJ767	Licensed Representative	1, 4
HUANG Chun Pang	BJL381	Licensed Representative	1, 4
HUANG Hailong	BIV168	Licensed Representative	1
HUANG Hantao	BOF082	Licensed Representative	1, 4
HUANG Jianhua	BLE736	Licensed Representative	1
HUANG Juwei	BBX604	Licensed Representative	9
HUANG Yiran	BLI894	Licensed Representative	1, 4
HUI Fei	BMQ599	Licensed Representative	1, 4, 9
HUI Mo Shi, Cindy	ABV980	Licensed Representative	1, 4
HUNG Chiu Loi	AUS181	Licensed Representative	1, 2, 4
HUNG King Chun	BJK405	Licensed Representative	1, 4, 9
HUO Junzhang	BID725	Licensed Representative	1, 6
HURST Richard Mark	AEY560	Licensed Representative	1
IP Kar King, Kenneth	ACP180	Licensed Representative	1, 2, 4
IP Sing Yee, Sinnie	BGJ396	Licensed Representative	9
IP Yee Ching	BJG875	Licensed Representative	1, 4
JAIN Ankur	AOC932	Licensed Representative	9
JIA Lixue	BNJ740	Licensed Representative	1, 6
JIANG Gen	BMV667	Licensed Representative	1, 2
JIN Qingzhu	BIE454	Licensed Representative	4, 6
JOHNSON Richard Anthony	BGJ124	Licensed Representative	1, 4
JUAN Cheng-Han	BNI724	Licensed Representative	1, 4
KALCIC Trevor Peter	AZT239	Licensed Representative	4
KAMOI Satoshi	AVA226	Licensed Representative	1, 4, 6
KANEKO Chacha	BJR207	Licensed Representative	1, 6
KELLY Dylan Francis Tuck	BNX937	Licensed Representative	4
KIEOU Alexis Teckuy	AZZ123	Licensed Representative	1
KIM Hun Soo	ABD392	Licensed Representative	1, 4, 9
KIM Terri	BHD186	Licensed Representative	1, 4, 9
KO Tsz Blu	BBX348	Licensed Representative	1, 4, 6
KONG Hiu Fung	BJX395	Licensed Representative	1, 4, 6
KONG Sau Man	BNC154	Licensed Representative	1, 4
KUANG Chao	BJT859	Licensed Representative	1, 6
KUO Kan-Ying	BHY927	Licensed Representative	1, 6
KUSAKA Daisuke	BIO467	Licensed Representative	1
KWOCK Mei Shan, Michelle Joyce	ARQ020	Licensed Representative	4
KWOK Chun Kong	AP0661	Licensed Representative	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2019

CE Name	CE No.	License Type	Types of Regulated Activity
KWOK Yuen Ting	ACD384	Licensed Representative	1, 4, 9
KWON Young Sun	ARW947	Licensed Representative	4
LAI Kwok Keung	BLK712	Licensed Representative	1
LAI Nga Ying	ANK181	Licensed Representative	1, 4
LAI Yu Shing Maximilian	BJP442	Licensed Representative	1, 2
LAKIN Piers Thomas	BHH383	Licensed Representative	9
LAM Ka Yeung	BNC508	Licensed Representative	1
LAM Siu Ying	AAD550	Licensed Representative	1
LAU Kan Wah	ABT973	Licensed Representative	1, 4, 9
LAU Man Yee	BJL298	Licensed Representative	1
LAU Shing Lung	BEI221	Licensed Representative	1
LAU Wing Hang	AHN926	Licensed Representative	1
LAU Wing Yung	BJN578	Licensed Representative	1
LAW Cheuk Chung	BGQ367	Licensed Representative	2
LAW Hau Fai	BOD051	Licensed Representative	1
LEE Colin Pak Hei	BCL282	Licensed Representative	1, 9
LEE Euisup	AOC912	Licensed Representative	4
LEE Fei Lun	ARQ131	Licensed Representative	1, 2
LEE Hok Kan	BLK909	Licensed Representative	1, 4
LEE Hoo Wan, Owen	AOR607	Licensed Representative	1, 2
LEE Joanna Wai Kee	BMU964	Licensed Representative	1
LEE Jung Hoon	ANC027	Licensed Representative	1
LEE Ka Ki	BGY444	Licensed Representative	1
LEE Ka Wai, Charlotte	BEX262	Licensed Representative	1
LEE Kai Yin	BJT717	Licensed Representative	1, 2
LEE Lok Tung	AJO214	Licensed Representative	1, 9
LEE Matthew Yiu Wing	BFS751	Licensed Representative	1
LEE Sandra Soyong	AGD096	Licensed Representative	1, 4
LEE Siu Tung Close	BIJ781	Licensed Representative	1, 2
LEE Sukjoon	BCP208	Licensed Representative	10
LEE Yunjeong	AQE315	Licensed Representative	4
LENG Ning	BMO298	Licensed Representative	1, 4, 6
LEUNG Hin Wing	BHY548	Licensed Representative	1
LEUNG Ho Tsin	BLY770	Licensed Representative	1, 6
LEUNG Sik Hong	BDJ955	Licensed Representative	1
LEUNG Victor Vein Bong	BMU860	Licensed Representative	1, 2
LEUNG Wai Ling	BET292	Licensed Representative	1, 9
LEUNG Wing Yan	BHC116	Licensed Representative	1
LEUNG Yat Hang	BIY686	Licensed Representative	1
LI Ankan	BMX857	Licensed Representative	1, 6
LI Bin	AVH674	Licensed Representative	1
LI Kit Lan	BAN027	Licensed Representative	1, 2
LI Kwok Choi	APB316	Licensed Representative	4, 9
LI Lung Fung	BHK939	Licensed Representative	1, 4
LI Man Hong	APL731	Licensed Representative	1, 2
LI Pui Yan	BNH616	Licensed Representative	1
LI Sijia	BMF550	Licensed Representative	2, 5
LI Wenliang	BJV219	Licensed Representative	1, 4, 6
LI Yang	AYR223	Licensed Representative	6
LI Yat Hung	BOE285	Licensed Representative	1, 4, 9
LI Zhelun	BFW347	Licensed Representative	1, 2, 9
LIAO Shihua	BJQ472	Licensed Representative	1, 2
LIM I Chen	BBS230	Licensed Representative	1, 6
LIN Hanwei	BNL463	Licensed Representative	6
LIN Siyuan	BKE838	Licensed Representative	1, 4, 6
LIU Chang	BGX757	Licensed Representative	1, 6
LIU Chi Hang	APF049	Licensed Representative	1, 2
LIU Minyi	BOR004	Licensed Representative	1, 6
LIU Qiaosong	BDO168	Licensed Representative	1
LIU Xinxing	BKS243	Licensed Representative	1, 4, 6
LIU Xucheng	BGI072	Licensed Representative	9
LIU Yao	BMV034	Licensed Representative	10
LIU Zheng	BEC508	Licensed Representative	9
LLOYD Ailsa Kuen Alice	AMA926	Licensed Representative	1, 4, 6
LO Chun Wang	BDW030	Licensed Representative	1
LOW Andrew Donald	AKJ197	Licensed Representative	1, 4, 6
LUO Xiaochan	AZK018	Licensed Representative	9
LYU Fengze	BGS670	Licensed Representative	1, 4
MA Calvin Hong Ching	BJK919	Licensed Representative	6
MA Ruixiao	BHR410	Licensed Representative	4, 6
MAHESHWARI Prateek	BHH328	Licensed Representative	9
MAHESHWARI Vashistha	BKW497	Licensed Representative	1, 6
MAI Jiaming	BJE910	Licensed Representative	1
MAINGARD De La Ville Es Offran Amedee Cory	BHK684	Licensed Representative	9
MAK Chi Yuen	BIJ515	Licensed Representative	1, 2, 4
MAK Clement Ka Yiu	BMA455	Licensed Representative	1
MAN Hoi Ting	BLD963	Licensed Representative	1, 2
MAO Wei	ATD879	Licensed Representative	1, 2
MARTIN Russell James Westcott	BNA979	Licensed Representative	1, 2
MAU Hung Shun	AAB892	Licensed Representative	1
MCDONOUGH Sean Joseph	APY383	Licensed Representative	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2019

CE Name	CE No.	License Type	Types of Regulated Activity
MEHTA Ashwin	AVZ833	Licensed Representative	4
MIN Julia Min	BEV694	Licensed Representative	9
MOON Jae Wook	AOU410	Licensed Representative	1, 2
NA Xiaona	AOM543	Licensed Representative	1, 4
NAGANO Masayuki	BMK026	Licensed Representative	1
NAVEED Billal	ARE509	Licensed Representative	1, 2
NEVATIA Sumangal Rajesh	BLK492	Licensed Representative	4
NG Kwai Wa	APJ320	Licensed Representative	1
NG Kwok Hay	BKD903	Licensed Representative	1, 2, 5
NG Mei Hang	BIG096	Licensed Representative	1, 4
NG Shu Yan	ABE545	Licensed Representative	1
NGAN Pun In	BFT882	Licensed Representative	1, 2
NGAN Yee Man	APC659	Licensed Representative	9
ODELL Scott Wilson	BKB375	Licensed Representative	1, 2
OGAWA Ryuzo	BMM183	Licensed Representative	1
ONG Chi Tuan	AZJ326	Licensed Representative	1
O'SULLIVAN Ian James	AAX447	Licensed Representative	1, 2, 7
PANG Yan Ni	APU513	Licensed Representative	1
PEARSON James	ABN229	Licensed Representative	1, 4, 6
PETCH Martin Andrew	BJW384	Licensed Representative	10
PETIT-PASQUIER Julien	ANN270	Licensed Representative	9
PULLAIKODI Gopakumar	BHL247	Licensed Representative	4
QIN Yang	BLU964	Licensed Representative	1
QIN Yuehong	ANT491	Licensed Representative	1, 6
QIN Zehan	BJT869	Licensed Representative	1, 4, 6
QU Zhengzhe	AYQ555	Licensed Representative	2
REN Yuan	BEX100	Licensed Representative	1, 2
REVELLE Aaron Jonathon	BNX563	Licensed Representative	9
ROUNTREE Robert John	AAW380	Licensed Representative	1, 4
RUSTAGI Dipanshu	BFS697	Licensed Representative	10
SAI Min Chow	APN590	Licensed Representative	4
SCHWARTZ Mark	BFO946	Licensed Representative	9
SHAN Yiting	BLK210	Licensed Representative	6
SHEN Li	BOD923	Licensed Representative	6
SHEN Yin	BIZ777	Licensed Representative	1, 6
SHI Ce	BJR428	Licensed Representative	1, 6
SHI Feng	BCE968	Licensed Representative	1
SHI Tian	AXH325	Licensed Representative	1
SHIMIZU Yasunori	BJF243	Licensed Representative	1, 2
SIN Chun Shing	AMM070	Licensed Representative	1
SIT Yat Cheong	BHS318	Licensed Representative	1
SO Hin Long	BLE915	Licensed Representative	9
SO Man Na	BBJ588	Licensed Representative	1, 4
SONG Haiming	AZB539	Licensed Representative	4, 9
STEPHEN	BJI247	Licensed Representative	1, 2
STEWART Glenn Jose	BAP748	Licensed Representative	1, 6
SU Tong	BOD919	Licensed Representative	1, 6
SUEN Muk Fai Denny	BAD787	Licensed Representative	1
SUM Pui Yi	ALU483	Licensed Representative	4, 6
SZE Man Tai, Alan	AYR531	Licensed Representative	1, 4, 6
TALWAR Rohan	BNL464	Licensed Representative	6
TAM Hin Hei	BGX448	Licensed Representative	1
TAM Wan Yin Michael	BJU721	Licensed Representative	4
TANG Chui Shan	BFF031	Licensed Representative	1
TANG Elaine Donna	BIB118	Licensed Representative	1, 4
TANG Hung Yu	BMJ356	Licensed Representative	1
TANG Shukong	BEO891	Licensed Representative	1, 4, 6
TANG Wai Yu	BJU090	Licensed Representative	1, 4
TANG Yang	BMV832	Licensed Representative	4, 9
TANG Yu	BKP238	Licensed Representative	1, 4
TELAPROLU Varun	BKQ419	Licensed Representative	9
THOMSON Meade Cauffiel	BEE275	Licensed Representative	4
TONG Kit Ling, Michelle	ACB541	Licensed Representative	1
TORDO Vincent Leopold Gilbert	BHC620	Licensed Representative	10
TRAN Mark	BLK502	Licensed Representative	9
TSANG Hin Yi, Hubert	AFK683	Licensed Representative	1, 2
TSANG Ka Kin	APR463	Licensed Representative	1, 4
TSANG Ka Wai	BHC862	Licensed Representative	4
TSE Chiu Kwong	AAA915	Licensed Representative	1
TSE Wai Chor	BDL744	Licensed Representative	1, 4, 9
TSOU Sin Ching	ABF964	Licensed Representative	1
TSUI Andrew Chiu Fung	BNS243	Licensed Representative	4, 9
TUCKER Jacqueline Wu	AVH894	Licensed Representative	1
UPADRASTA Sivakumar	BLT551	Licensed Representative	1
VENTOURAS Alexis	ADS012	Licensed Representative	1, 2, 4, 5
WADA Yosuke	BJP050	Licensed Representative	1, 4, 6
WAI Pak Ming, Tony	BBK049	Licensed Representative	1
WANG Chunchun	AXP386	Licensed Representative	10
WANG Cindy Tzu Yu	BIC109	Licensed Representative	1
WANG Danhua	BET952	Licensed Representative	10
WANG Jun	ASE659	Licensed Representative	2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2019

CE Name	CE No.	License Type	Types of Regulated Activity
WANG Jun	BNS474	Licensed Representative	1, 2
WANG Kun	BGV035	Licensed Representative	1, 4, 6
WANG Ning	AQR106	Licensed Representative	1, 4, 9
WANG Qinshiyi	AXF997	Licensed Representative	1, 9
WANG Wen	BGL298	Licensed Representative	4
WANG Xinting	BBR069	Licensed Representative	1, 4, 6
WANG Yingqi	BGV050	Licensed Representative	1, 4, 9
WANG Zichao	BNV387	Licensed Representative	1, 4
WEBB Anthony Patrick	BGI051	Licensed Representative	1, 2
WEI Lun	BLO697	Licensed Representative	1
WEI Wei	BDQ427	Licensed Representative	1, 2
WENG Linlin	BNA779	Licensed Representative	4, 9
WHORTON Eric Abraham	BMB931	Licensed Representative	1, 4, 6
WINSPEAR Richard Dean	ANE084	Licensed Representative	1, 2
WONG Chin Ho	BNY278	Licensed Representative	6
WONG Ho Sam, Samuel	BAA067	Licensed Representative	1, 2
WONG Hon Tin	BLB504	Licensed Representative	1, 2
WONG Ka Yi	BGM619	Licensed Representative	1, 4, 9
WONG Lap Chi	AAA643	Licensed Representative	1
WONG Man Ling	AY1444	Licensed Representative	1
WONG Tsz Lam	BGU779	Licensed Representative	1
WONG Yat Fun, Alfred	ALV390	Licensed Representative	1, 9
WONG Yue Wing	AAU979	Licensed Representative	1
WU Junxuan	BNP461	Licensed Representative	5
WU Lok Man	AY1300	Licensed Representative	1, 2
WU Pui Sai	AQI401	Licensed Representative	1
WU TONG	BLI953	Licensed Representative	6
WU Xiaodi	BLF418	Licensed Representative	6
XING Ziyang	BLY552	Licensed Representative	1, 4, 6
XIONG Jiani	BIM607	Licensed Representative	1, 4, 9
XU Bingqing	BLR695	Licensed Representative	1, 4, 9
XU Jacqueline	BNU515	Licensed Representative	1, 4, 6
XU Qiwen	BIC457	Licensed Representative	4
XU Xiaowei	BOH255	Licensed Representative	1, 6
XU Xu	BBL671	Licensed Representative	1, 6
XU Yimin	BAA731	Licensed Representative	6
XU Zhongchao	BOI322	Licensed Representative	1, 4
XUE Qian	BLP754	Licensed Representative	1, 6
YAN Ka Chun	BNO585	Licensed Representative	1
YANG Guang	BII788	Licensed Representative	1, 6
YANG Qingqing	BNV453	Licensed Representative	1
YAU Sze Yu	BMP533	Licensed Representative	1, 4, 9
YE Fei	BER388	Licensed Representative	4
YE Qian	BGF967	Licensed Representative	1, 2
YE Yushi	BFV909	Licensed Representative	1, 4, 9
YEO William Shi-Zhi	BLY956	Licensed Representative	6
YEUNG Man Kit	AYP121	Licensed Representative	1, 2, 4, 5
YIP Lai Mei	AHI476	Licensed Representative	1
YIP Siu Fong	ART514	Licensed Representative	1
YIU Tze Wai	APS748	Licensed Representative	4, 9
YONEYA Taichi	BOF973	Licensed Representative	9
YOUNG Siu Fung, Chris	BEZ295	Licensed Representative	1, 2
YU Chiung-yin	ARQ097	Licensed Representative	1
YU Ka Yan Michelle	BJB327	Licensed Representative	1, 4
YU Yuanzhen	BFW876	Licensed Representative	2
YUAN Jingyu	BKI554	Licensed Representative	1, 6
YUE Yi	BJJ225	Licensed Representative	1
YUEN Kwai Fan	BGW809	Licensed Representative	1
YUEN Wing Kam	ADN021	Licensed Representative	1
YUN Kin Long	BJJ292	Licensed Representative	1
ZHANG Chengzhi	BMR067	Licensed Representative	6
ZHANG Huanyi	BMV025	Licensed Representative	4, 9
ZHANG Qi	BGP506	Licensed Representative	9
ZHANG Xi	BHJ427	Licensed Representative	9
ZHANG Xueyuan	BMO764	Licensed Representative	10
ZHANG Yi Fei	BNH671	Licensed Representative	9
ZHANG Zhaoxiang	BHT612	Licensed Representative	1, 6
ZHAO Bingyu	BIQ760	Licensed Representative	1, 2, 3
ZHENG Yulu	BNL148	Licensed Representative	1
ZHENG Zuer	BIN860	Licensed Representative	1, 4, 6
ZHOU Qingyi	BGT832	Licensed Representative	4
ZHOU Wenjie	BLW072	Licensed Representative	6
ZHOU Yanwei	BHF307	Licensed Representative	1, 4
ZHU Biyun	BOE742	Licensed Representative	1, 4, 6
ZOU Yi	BMG901	Licensed Representative	1, 4
AGARWAL Prateek	ATD855	Licensed Representative - Responsible Officer	9
BEATTIE Nigel John	ACM773	Licensed Representative - Responsible Officer	1, 4, 7
BIRLA Nitin	ACD537	Licensed Representative - Responsible Officer	9
CHAN Hing Wah	AET272	Licensed Representative - Responsible Officer	1, 2, 4, 5, 9
CHAN Man Foon, Derek	ABH687	Licensed Representative - Responsible Officer	4, 9
CHAN Siu	ALP818	Licensed Representative - Responsible Officer	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2019

CE Name	CE No.	License Type	Types of Regulated Activity
CHAN Wai Leung	ASK745	Licensed Representative - Responsible Officer	1, 4
CHAU Ka Wing	AVE765	Licensed Representative - Responsible Officer	1, 2, 4
CHEUNG Keung Tak	ANU725	Licensed Representative - Responsible Officer	4, 9
CHING Kwok Leung	AIX142	Licensed Representative - Responsible Officer	1
DEBREU Stanislas Lucien	AYS711	Licensed Representative - Responsible Officer	1
FOX Lisa Katherine	AZU651	Licensed Representative - Responsible Officer	1
GLADSTONE Thomas Xenophon	ADD588	Licensed Representative - Responsible Officer	1
HARPER Anthony Joseph	BAQ704	Licensed Representative - Responsible Officer	9
HASHIMOTO Takashi	BJR963	Licensed Representative - Responsible Officer	4, 9
HE Yaobin	BEA163	Licensed Representative - Responsible Officer	1, 4, 9
HUI Wai Man, Shirley	ACX814	Licensed Representative - Responsible Officer	1
ISO Masahito	ABF707	Licensed Representative - Responsible Officer	1, 2
JIANG Jian	ARG676	Licensed Representative - Responsible Officer	1, 2
KEUNG Ming Hoi	AOV715	Licensed Representative - Responsible Officer	4, 9
KIM Min Ki, Mitchell	AFQ367	Licensed Representative - Responsible Officer	4
LAW David William Stopford	ABW268	Licensed Representative - Responsible Officer	6
LEE Mark	BGT049	Licensed Representative - Responsible Officer	4, 9
LEE Wai Wang, Robert	APK188	Licensed Representative - Responsible Officer	1, 2, 4, 5, 6, 9
LEE Yunjeong	AQE315	Licensed Representative - Responsible Officer	1
LI Hoi Lin, Dinnie	AFL803	Licensed Representative - Responsible Officer	4, 9
LIN Chengdong	BHF592	Licensed Representative - Responsible Officer	9
LO Wai Ming	ACI070	Licensed Representative - Responsible Officer	1
MA Jingjing	BAF630	Licensed Representative - Responsible Officer	4, 9
MACKENZIE David Kirkwood	AFG706	Licensed Representative - Responsible Officer	1, 4, 9
MAHESHWARI Vibhor Kumar	ARD669	Licensed Representative - Responsible Officer	9
MEI Weiyi	BIR750	Licensed Representative - Responsible Officer	4, 9
NAM Kie Yul	ABY792	Licensed Representative - Responsible Officer	9
NG Ean Kiam	AVS580	Licensed Representative - Responsible Officer	4, 9
NI Chien-Yuan	ARW806	Licensed Representative - Responsible Officer	4, 9
OLSON Keith Allan	AIT058	Licensed Representative - Responsible Officer	4, 9
RAHMANI Sophia Sally	BJP827	Licensed Representative - Responsible Officer	1, 4
ROSA David John	AHA551	Licensed Representative - Responsible Officer	1, 4, 9
SCHUTTE Daniel Johannes	AHD687	Licensed Representative - Responsible Officer	4
SEIBERT Keith Edward	BHF795	Licensed Representative - Responsible Officer	9
SELLEK Roger	AXH671	Licensed Representative - Responsible Officer	10
SHI Tian	AXH325	Licensed Representative - Responsible Officer	2
SIM James Choon Wen	ANJ786	Licensed Representative - Responsible Officer	1
SUZUKI Kosuke	BDI115	Licensed Representative - Responsible Officer	4, 9
WANG Ching-Sung	AWI774	Licensed Representative - Responsible Officer	1, 4
WONG Kin Fai	AJA562	Licensed Representative - Responsible Officer	1, 4, 9
WONG Man Ling	AYI444	Licensed Representative - Responsible Officer	6
WOO Kai Hang, Felix	AEF308	Licensed Representative - Responsible Officer	2, 5
WU Xuejing	BJH007	Licensed Representative - Responsible Officer	1, 4, 9
XIE Chao	BEJ749	Licensed Representative - Responsible Officer	1, 4, 6, 9
XUE Junwei	BNP667	Licensed Representative - Responsible Officer	1
ZHANG Yong	BBP222	Licensed Representative - Responsible Officer	1
AGRAWAL Kamlesh	BOW448	Licensed Representative (Provisional Licence)	4
AHN Soo Yong	ASM065	Licensed Representative (Provisional Licence)	1, 4
ALBUQUERQUE Dinesh Jeremy	BOU437	Licensed Representative (Provisional Licence)	1, 4, 6
ANCHALA Nishanth Sai	BOR522	Licensed Representative (Provisional Licence)	1, 2
ANNABI Omar	BOT412	Licensed Representative (Provisional Licence)	1, 2
AO IEONG Wai Chi	BOU592	Licensed Representative (Provisional Licence)	1, 2, 4, 5
AVERY Benjamin Peter	BOU119	Licensed Representative (Provisional Licence)	4, 9
BANSAL Isha	BOU630	Licensed Representative (Provisional Licence)	1, 4
BOKOBZA Dylan Joseph Nathanael	BOU052	Licensed Representative (Provisional Licence)	1, 2
BOURKE Cillian Anthony	AXY252	Licensed Representative (Provisional Licence)	1, 2
BUNCOMBE Andrew Kent	BOU549	Licensed Representative (Provisional Licence)	4
CAI Xinxin	BOR182	Licensed Representative (Provisional Licence)	1, 2
CAO Xinyu	BOU081	Licensed Representative (Provisional Licence)	1, 6
CAO Xinyue	BOW552	Licensed Representative (Provisional Licence)	1, 4, 6
CAO Yu	BOS198	Licensed Representative (Provisional Licence)	1, 4, 9
CAO Ziling	AZL396	Licensed Representative (Provisional Licence)	1, 6
CHAN Chi Long Ronald	BHC980	Licensed Representative (Provisional Licence)	1
CHAN Kin Man	ALG202	Licensed Representative (Provisional Licence)	1, 4
CHAN King Hong	BFX167	Licensed Representative (Provisional Licence)	1, 2
CHAN King Man	BOU122	Licensed Representative (Provisional Licence)	1, 4, 6
CHAN Man Ling Joyce	BOU555	Licensed Representative (Provisional Licence)	1, 6
CHAN Ngai Kwong	BOU778	Licensed Representative (Provisional Licence)	10
CHAN Siu Ki Lincoln	BOT912	Licensed Representative (Provisional Licence)	1, 4
CHAN Tak On	BOX448	Licensed Representative (Provisional Licence)	1
CHAN Wing Sum	ASY722	Licensed Representative (Provisional Licence)	1
CHAWLA Ajay	BOH042	Licensed Representative (Provisional Licence)	1, 2
CHEN Yu-Ting	BOS777	Licensed Representative (Provisional Licence)	1
CHEN Ao	BOT886	Licensed Representative (Provisional Licence)	4
CHEN Bolun	BOU604	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Cong	BOW637	Licensed Representative (Provisional Licence)	4
CHEN Ke	BOT251	Licensed Representative (Provisional Licence)	1, 2, 4
CHEN Kunyu	BOS515	Licensed Representative (Provisional Licence)	1, 6
CHEN Qi	BOT095	Licensed Representative (Provisional Licence)	1
CHEN Sichao	BOW553	Licensed Representative (Provisional Licence)	4
CHEN Tse-Wei	BOT134	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2019

CE Name	CE No.	License Type	Types of Regulated Activity
CHEN Xiaomin	BOZ659	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Yilin	BOT294	Licensed Representative (Provisional Licence)	1
CHEN Yung Hsin	BOT262	Licensed Representative (Provisional Licence)	10
CHENG Hiu Man	BOT253	Licensed Representative (Provisional Licence)	1, 4, 9
CHENG Nga Man	BOS613	Licensed Representative (Provisional Licence)	1, 4
CHENG Patricia Pan	BEW967	Licensed Representative (Provisional Licence)	1, 4, 6
CHENG Pengfei	BOV379	Licensed Representative (Provisional Licence)	1, 6
CHENG Weizheng	BOU486	Licensed Representative (Provisional Licence)	1
CHEUNG Andrew Tinkee	BMV787	Licensed Representative (Provisional Licence)	1, 4, 5, 9
CHEUNG Chi	BNX580	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Ching Man	BOT894	Licensed Representative (Provisional Licence)	1
CHEUNG Danny Tsz Chung	ADB418	Licensed Representative (Provisional Licence)	1
CHEUNG Ka Ho	BOS843	Licensed Representative (Provisional Licence)	10
CHIA Chin Ping	BOT947	Licensed Representative (Provisional Licence)	1, 4, 9
CHIANG Rueli-cheng	BOZ703	Licensed Representative (Provisional Licence)	1, 6
CHIU Carmen Gar Min	BOU551	Licensed Representative (Provisional Licence)	1
CHIU Ming Chi	BOW540	Licensed Representative (Provisional Licence)	1, 6
CHO Yong Duk	BOR286	Licensed Representative (Provisional Licence)	1, 4, 9
CHOI Barrie Lok-hay	BOU807	Licensed Representative (Provisional Licence)	1, 2
CHOI Jin Woo	BOU649	Licensed Representative (Provisional Licence)	1, 4, 6
CHOW Hin Ting	BMG814	Licensed Representative (Provisional Licence)	9
CHOW Wai Man, Grace	AHV295	Licensed Representative (Provisional Licence)	1, 4
CHUNG Hiu Fai	BOU783	Licensed Representative (Provisional Licence)	1, 4
CNN Lakshmen	BOT966	Licensed Representative (Provisional Licence)	1, 2
COOK JR William P	BOT791	Licensed Representative (Provisional Licence)	1
CUI Youmao	BOX018	Licensed Representative (Provisional Licence)	4, 9
DAI Junhao	BOT796	Licensed Representative (Provisional Licence)	1, 2
DAVEY Alexander Rupert Gabriel	AQS278	Licensed Representative (Provisional Licence)	1, 4, 9
DE BEJARRY Guillaume, Jean-Pierre, Claude	BOT658	Licensed Representative (Provisional Licence)	1
DEHESH Farhad	BKQ460	Licensed Representative (Provisional Licence)	1
DENG Kaiyuan	BOT497	Licensed Representative (Provisional Licence)	1
DIANE Idriss	BOU198	Licensed Representative (Provisional Licence)	1, 2
DING Lawrence Zheming	BOW531	Licensed Representative (Provisional Licence)	1, 4, 6
DONG Jingyuan	BOU606	Licensed Representative (Provisional Licence)	1
DONG Minzhuo	BOX021	Licensed Representative (Provisional Licence)	1, 4, 6
DONG Xiao	BEJ224	Licensed Representative (Provisional Licence)	4, 9
DUBEAUX Francois Aime Rene	BOT737	Licensed Representative (Provisional Licence)	1, 2
ELBAZ Eric Mikael	BOT269	Licensed Representative (Provisional Licence)	1, 2, 4, 5
EOH You Loong	BOT018	Licensed Representative (Provisional Licence)	1, 2, 3
FAN Haishuo	BAX762	Licensed Representative (Provisional Licence)	4
FAVRE Robin Georges Flavien	ANP295	Licensed Representative (Provisional Licence)	9
FENG Yanyan	BOU561	Licensed Representative (Provisional Licence)	1, 4, 6
FENG Zicai	AWU832	Licensed Representative (Provisional Licence)	1
FITENY Mark Joseph	BOS837	Licensed Representative (Provisional Licence)	1, 4, 6
FOK Yu Man	BOX236	Licensed Representative (Provisional Licence)	1, 2
FUNG Siu Ling	BOT534	Licensed Representative (Provisional Licence)	1
FURUKAWA Ai	BMS642	Licensed Representative (Provisional Licence)	1, 4
GAN Seem	BOS604	Licensed Representative (Provisional Licence)	1, 4, 9
GIRI Deepak Kumar	BOU571	Licensed Representative (Provisional Licence)	9
GONG Fei	BOT346	Licensed Representative (Provisional Licence)	1
GREENBERG Matthew Bailey	AVT233	Licensed Representative (Provisional Licence)	9
GU Kaiqi	BOU750	Licensed Representative (Provisional Licence)	2
GUHATHAS Rogaan	BNU064	Licensed Representative (Provisional Licence)	1, 4, 9
HAN Han	BOU583	Licensed Representative (Provisional Licence)	4
HAN Kunlun	BOU083	Licensed Representative (Provisional Licence)	1, 4, 6
HAN Yijia	BOT963	Licensed Representative (Provisional Licence)	4
HAO Wenjing	BOW958	Licensed Representative (Provisional Licence)	1, 2
HARRISON Matthew Kelsey	BEZ680	Licensed Representative (Provisional Licence)	1, 4
HASEGAWA Hikari	BOU816	Licensed Representative (Provisional Licence)	1, 4
HE Mu	BOU421	Licensed Representative (Provisional Licence)	4, 9
HE Shuai	BOS301	Licensed Representative (Provisional Licence)	1, 4, 6
HEUNG Ting Yin	BOU079	Licensed Representative (Provisional Licence)	1, 4
HIRATA Shingo	AYG042	Licensed Representative (Provisional Licence)	4
HO Siu Lam Timothy	BOS108	Licensed Representative (Provisional Licence)	1
HO Wing Tao Martin	BOT858	Licensed Representative (Provisional Licence)	9
HO Ying Wo	BDL567	Licensed Representative (Provisional Licence)	1, 4
HONG Seung Bok	ACS880	Licensed Representative (Provisional Licence)	1, 4
HONG Yaxin	BOG800	Licensed Representative (Provisional Licence)	1, 4, 9
HU David Boxi	BOR605	Licensed Representative (Provisional Licence)	1, 2
HU Qianqi	BOS945	Licensed Representative (Provisional Licence)	10
HU Xiao	BOU094	Licensed Representative (Provisional Licence)	1, 6
HU Xinyu	BOW506	Licensed Representative (Provisional Licence)	1
HU Xiuyi	BDZ169	Licensed Representative (Provisional Licence)	1, 2
HUANG Honghan	BOW643	Licensed Representative (Provisional Licence)	1, 4, 6
HUANG Shu Yin	BOU142	Licensed Representative (Provisional Licence)	1, 6
HUANG Xuwen	BOU558	Licensed Representative (Provisional Licence)	4
HUANG Ying	BOU280	Licensed Representative (Provisional Licence)	4, 9
HUBERT Marc William	ANX233	Licensed Representative (Provisional Licence)	9
HUI Ka Wai	BOT345	Licensed Representative (Provisional Licence)	4, 9
HUNT Ross Anthony	ATD971	Licensed Representative (Provisional Licence)	1, 2
HUTAURUK Montassya Uly Basa	BE0582	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2019

CE Name	CE No.	License Type	Types of Regulated Activity
ISAEV Pavel	BOQ039	Licensed Representative (Provisional Licence)	1, 4
IWASAKI Kaori	BNO785	Licensed Representative (Provisional Licence)	4
IZAWA Hiroyuki	BOT930	Licensed Representative (Provisional Licence)	9
Ji Kaiqiang	BOW615	Licensed Representative (Provisional Licence)	4, 9
JIA Wei	BOT047	Licensed Representative (Provisional Licence)	1, 4, 9
JIANG Li	BOP624	Licensed Representative (Provisional Licence)	4, 9
JIN Lumeng	BOT763	Licensed Representative (Provisional Licence)	1, 6
JOUGUET Paul Christian Marie	BOT984	Licensed Representative (Provisional Licence)	1, 2, 7
JU Xi	BOT965	Licensed Representative (Provisional Licence)	4
KALRO Naveen Prakash	BOX235	Licensed Representative (Provisional Licence)	1, 2
KAPOOR Harit	BOV374	Licensed Representative (Provisional Licence)	4
KARKER Radhika Shirish	BOR249	Licensed Representative (Provisional Licence)	1
KARLO Krzysztof	BOU802	Licensed Representative (Provisional Licence)	1, 4
KASAI Taku	BOZ247	Licensed Representative (Provisional Licence)	9
KATSUYAMA Arisa	BOU173	Licensed Representative (Provisional Licence)	1, 4
KAWAMOTO Yuta	BOS813	Licensed Representative (Provisional Licence)	1, 9
KHAN Hammad Ahmad	BOS601	Licensed Representative (Provisional Licence)	9
KIM Heejung	BOS906	Licensed Representative (Provisional Licence)	1, 2
KIM Ji Yang	BOU051	Licensed Representative (Provisional Licence)	1, 2
KIM Jin Soo	BOU749	Licensed Representative (Provisional Licence)	1
KIM Pau Lam	BBM050	Licensed Representative (Provisional Licence)	9
KOELBEL Eric William	BOZ705	Licensed Representative (Provisional Licence)	1
KUAN Chio Chit	BOL385	Licensed Representative (Provisional Licence)	6
KWAN Ching Yi	BOT543	Licensed Representative (Provisional Licence)	1, 2
KWOK Tin Wai Angel	BOI577	Licensed Representative (Provisional Licence)	1
LAI Yeuk Ho Hardy	BFM552	Licensed Representative (Provisional Licence)	1, 4, 9
LAI Yi-Che	AGH216	Licensed Representative (Provisional Licence)	4
LAM Fung Yeung Jacqueline	BKP015	Licensed Representative (Provisional Licence)	1, 6
LAM Lai Ting, Claude	BOU217	Licensed Representative (Provisional Licence)	9
LAM Tau Hing	BOT764	Licensed Representative (Provisional Licence)	4, 9
LAM Wai Shing	AYJ113	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LANGFORD Stephen Richard	BKI493	Licensed Representative (Provisional Licence)	1
LAU Hor Yan, Pauline	APW586	Licensed Representative (Provisional Licence)	1
LAU Sheung Wing, Michael	BOW614	Licensed Representative (Provisional Licence)	4, 9
LAU Ying Tung	ATD665	Licensed Representative (Provisional Licence)	1, 4
LAVATELLI Francesco	BOT058	Licensed Representative (Provisional Licence)	2, 5
LAW Alice	BOG528	Licensed Representative (Provisional Licence)	1
LAW Ka Fai	BOU084	Licensed Representative (Provisional Licence)	1, 4
Law Lai Fun	ATE979	Licensed Representative (Provisional Licence)	1
LEE Eun Sem	BOT072	Licensed Representative (Provisional Licence)	1, 4
LEE Yea Sul	BOT696	Licensed Representative (Provisional Licence)	1, 4, 6
LEE Yu Yen	BOU339	Licensed Representative (Provisional Licence)	1, 6
LEM Sze Mun	BOT156	Licensed Representative (Provisional Licence)	1, 7
LEUNG Choon Long, Justin	BOT726	Licensed Representative (Provisional Licence)	1, 4
LEUNG Gary	BOV363	Licensed Representative (Provisional Licence)	1, 2
LEUNG Hoi Wai	BOS588	Licensed Representative (Provisional Licence)	1, 2, 3, 4
LEUNG Kin Yip	AWH336	Licensed Representative (Provisional Licence)	1, 4, 9
LEUNG Mei Sze, Kennis	AFU704	Licensed Representative (Provisional Licence)	1, 4, 9
LEUNG Sin Hang Calvin	BOW724	Licensed Representative (Provisional Licence)	4
LEUNG Wing Sze	BOT159	Licensed Representative (Provisional Licence)	6
Li Chung Yin	BOS914	Licensed Representative (Provisional Licence)	1, 2
Li Dan	BOU347	Licensed Representative (Provisional Licence)	1, 6
Li Ho Yin	BOT045	Licensed Representative (Provisional Licence)	1
Li Jingyi	BGH363	Licensed Representative (Provisional Licence)	9
Li Ka Wai	BOT810	Licensed Representative (Provisional Licence)	1, 2
Li Lu	BOJ360	Licensed Representative (Provisional Licence)	1
Li Luxi	BOU624	Licensed Representative (Provisional Licence)	1, 4
Li Luzi	BOP511	Licensed Representative (Provisional Licence)	4
Li Qiwei	BOW736	Licensed Representative (Provisional Licence)	1, 6
Li Siu Fai	BOU230	Licensed Representative (Provisional Licence)	1, 4
Li Tian-hong	BOT258	Licensed Representative (Provisional Licence)	10
Li Wenfeng	BOT632	Licensed Representative (Provisional Licence)	1, 4, 9
LIANG Qijian	BOT293	Licensed Representative (Provisional Licence)	1, 6
LIANG Shuang	BOU692	Licensed Representative (Provisional Licence)	1, 6
LIANG Ziyang	BOT071	Licensed Representative (Provisional Licence)	9
LIEN Hsiang Pu	BOU704	Licensed Representative (Provisional Licence)	1, 4
LIN Chenyueya	BOS821	Licensed Representative (Provisional Licence)	4
LIN Po Yin	BOT983	Licensed Representative (Provisional Licence)	1, 2, 9
LIU Bingbing	BON562	Licensed Representative (Provisional Licence)	1, 6
LIU Chor Kiu Jonathan	BOW777	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Fung Ping	BOS654	Licensed Representative (Provisional Licence)	1, 2, 3
LIU Minyi	BOR004	Licensed Representative (Provisional Licence)	1, 6
LIU Qihui	BOW658	Licensed Representative (Provisional Licence)	1
LIU Tianxiang	BOU728	Licensed Representative (Provisional Licence)	1, 6
LIU Yingxi	BOU607	Licensed Representative (Provisional Licence)	1
LIU Yishan	BOW729	Licensed Representative (Provisional Licence)	1, 4
LIU Yu-chun	BOS653	Licensed Representative (Provisional Licence)	1
LIU Zhaoming	BOS533	Licensed Representative (Provisional Licence)	1
LIU Zhen	BOT041	Licensed Representative (Provisional Licence)	1, 4, 6
LO Hoi Yee	ASE941	Licensed Representative (Provisional Licence)	1, 4, 9
LO Hsiu-Ying	BON893	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2019

CE Name	CE No.	License Type	Types of Regulated Activity
LO Kei Sze	BOT460	Licensed Representative (Provisional Licence)	9
LOK Remus Chu Kit	AVI054	Licensed Representative (Provisional Licence)	1, 4
LONG Lydia Soong Leng	BMM364	Licensed Representative (Provisional Licence)	1
LOU Shang	BJ684	Licensed Representative (Provisional Licence)	1, 4, 9
LU Feng	BOT887	Licensed Representative (Provisional Licence)	1, 9
LU Shiwei	BOT898	Licensed Representative (Provisional Licence)	1, 4, 9
LU Steven Shiming	AOJ443	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LU Xi	BEY876	Licensed Representative (Provisional Licence)	1
LUO Chuyan	BOG507	Licensed Representative (Provisional Licence)	4, 9
LUO KAILUN	BOW449	Licensed Representative (Provisional Licence)	1, 4, 9
LYU Juan	BOU764	Licensed Representative (Provisional Licence)	4
MA Hung Fat	BOU105	Licensed Representative (Provisional Licence)	4, 9
MA Jiayun	BOT353	Licensed Representative (Provisional Licence)	1
MADRANGE Thomas Jean Rene	BOU381	Licensed Representative (Provisional Licence)	1, 2
MAI Yingyi	BFS280	Licensed Representative (Provisional Licence)	1, 2
MAK Karen	AWI142	Licensed Representative (Provisional Licence)	1
MAREACHEALEE Narendrasing	BMX877	Licensed Representative (Provisional Licence)	1
MARTIN Troy Richard William	BHG437	Licensed Representative (Provisional Licence)	1, 2, 7
MERCHANT Zeshan	BOW456	Licensed Representative (Provisional Licence)	1, 6
MOWAT Adrian Alexander	AHV474	Licensed Representative (Provisional Licence)	4
MU Haitian	BOU254	Licensed Representative (Provisional Licence)	1, 4, 9
NG Jin Ho Simon	BOW814	Licensed Representative (Provisional Licence)	1, 4
NG Siu May, Michelle	ARR889	Licensed Representative (Provisional Licence)	1, 4
NG Ting Wai	BOX505	Licensed Representative (Provisional Licence)	1, 4
NG Wing Yue	BOW444	Licensed Representative (Provisional Licence)	1, 4, 9
NGUYEN The-Xan-Dieu	BBM580	Licensed Representative (Provisional Licence)	1, 2
OKEKE Larisa	BOT138	Licensed Representative (Provisional Licence)	4
O'SULLIVAN Dara Constance	BOS842	Licensed Representative (Provisional Licence)	3
OU Yitong	BOX234	Licensed Representative (Provisional Licence)	6
PAN FENG	BOT967	Licensed Representative (Provisional Licence)	9
PAN Tianyue	BOW636	Licensed Representative (Provisional Licence)	1
PAPALKAR Jai Pandurang	BOU194	Licensed Representative (Provisional Licence)	9
PARK Min Ju	BOT669	Licensed Representative (Provisional Licence)	4
PI Xiaoping	BOS582	Licensed Representative (Provisional Licence)	4
PICKWORTH Simon Murray	AWT354	Licensed Representative (Provisional Licence)	1, 2
POON Cho Fai	ADH524	Licensed Representative (Provisional Licence)	1
PRESTON Benjamin	BOR888	Licensed Representative (Provisional Licence)	1, 2
PU Frank Chang	BOT378	Licensed Representative (Provisional Licence)	1, 6
QI Yihan	BOU004	Licensed Representative (Provisional Licence)	1, 2, 4
QIAN Zhuangzhuang	BOS810	Licensed Representative (Provisional Licence)	1, 4, 6
RATHORE Alok Kumar	BOU257	Licensed Representative (Provisional Licence)	1
REN Guohua	ADO422	Licensed Representative (Provisional Licence)	1, 4, 6
REN Jingze	BOU388	Licensed Representative (Provisional Licence)	1, 4, 9
RIX Adam	BOT524	Licensed Representative (Provisional Licence)	4, 9
ROSENBLOOM Peter Gordon	BOR563	Licensed Representative (Provisional Licence)	1, 4
RU Fei	BOT483	Licensed Representative (Provisional Licence)	4
SALEMI Nina	BOT964	Licensed Representative (Provisional Licence)	2
SCHNEIDERIT Marc Daniel	BOT761	Licensed Representative (Provisional Licence)	1, 2, 7
SEKSIK Raphael	BOQ965	Licensed Representative (Provisional Licence)	1, 2
SHAH Nishant Krishnakumar	BOS833	Licensed Representative (Provisional Licence)	4
SHAO Guangqi	BOT752	Licensed Representative (Provisional Licence)	1, 6
SHAO Tianyi	BOU086	Licensed Representative (Provisional Licence)	1, 2
SHE Weichao	AWL894	Licensed Representative (Provisional Licence)	4
SHEN Guangchen	BOT149	Licensed Representative (Provisional Licence)	1, 6
SHEN Hua-Kun	BOT729	Licensed Representative (Provisional Licence)	1
SHEN Shijun	BOW750	Licensed Representative (Provisional Licence)	6
SHEN Xin	BOT305	Licensed Representative (Provisional Licence)	1, 4
SHENG Yuan	BOU402	Licensed Representative (Provisional Licence)	9
SHI Qi	BOS808	Licensed Representative (Provisional Licence)	1, 4, 6
SHI Yanguan	BOS928	Licensed Representative (Provisional Licence)	2, 5
SHI Zhuoyue	BOZ221	Licensed Representative (Provisional Licence)	1, 6
SHIN Myungsoo	BOT695	Licensed Representative (Provisional Licence)	1, 4, 6
SIM JUN YI Elwin	BOJ651	Licensed Representative (Provisional Licence)	1, 2
SO Tsz Yin	BID175	Licensed Representative (Provisional Licence)	1
SOULAS CHRISTOPHER	BOT668	Licensed Representative (Provisional Licence)	1, 7
SPELKER Matthew Alan	BOU805	Licensed Representative (Provisional Licence)	1, 4
STANICA Adrian	ARE767	Licensed Representative (Provisional Licence)	1
SUN Rong	BOP962	Licensed Representative (Provisional Licence)	1
SUN Ruotian	BOW546	Licensed Representative (Provisional Licence)	1, 4, 6
SUN Ruoxi	BOS891	Licensed Representative (Provisional Licence)	1, 2
SUN Yilin	BMW155	Licensed Representative (Provisional Licence)	1, 4
SZE Lap Sum	AVC721	Licensed Representative (Provisional Licence)	1, 9
TAANK Divya Karsan	BOS947	Licensed Representative (Provisional Licence)	1, 2, 7
TAGUCHI Hiroshi	BEJ873	Licensed Representative (Provisional Licence)	4
TAKEMURA Chizuru	BOT854	Licensed Representative (Provisional Licence)	1, 4
TAM Shuk Yi Katie	BOT972	Licensed Representative (Provisional Licence)	1
TAN Hui Hua	BKC670	Licensed Representative (Provisional Licence)	1
TAN Hui Yi Rachel	BOU150	Licensed Representative (Provisional Licence)	1, 4
TAN Johny	AWP899	Licensed Representative (Provisional Licence)	9
TAN Li	BOU237	Licensed Representative (Provisional Licence)	1, 4, 9
TANG Anlan	BOT962	Licensed Representative (Provisional Licence)	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2019

CE Name	CE No.	License Type	Types of Regulated Activity
TANG Chit	BOU265	Licensed Representative (Provisional Licence)	1, 4
TANG Kerry Chen Nien	BOT067	Licensed Representative (Provisional Licence)	1, 6
TANG Man Lei	AVR839	Licensed Representative (Provisional Licence)	1, 2
TANG Siu Yee Connie	AWJ624	Licensed Representative (Provisional Licence)	1
TANG Tat Chi	BOT359	Licensed Representative (Provisional Licence)	1, 2
TANG Wa Kin	BGM127	Licensed Representative (Provisional Licence)	1
TANG Xiaodong	BMV838	Licensed Representative (Provisional Licence)	1, 4, 9
TANG Yingxi	BOU137	Licensed Representative (Provisional Licence)	1, 4
TEO Wan Ting Nico	BOQ016	Licensed Representative (Provisional Licence)	1
TIAN Songen	BOS153	Licensed Representative (Provisional Licence)	1, 4, 6
TONG Tak Kin	BOT315	Licensed Representative (Provisional Licence)	1, 2
TORMEY Stanley	BOW723	Licensed Representative (Provisional Licence)	1
TOROICAN Alexandru-Ovidiu	BOW438	Licensed Representative (Provisional Licence)	1
TSUI Suk Yan	BJY226	Licensed Representative (Provisional Licence)	1
VINCENT David Robert John	BOU046	Licensed Representative (Provisional Licence)	4
VON WOWERN Georg	BOU395	Licensed Representative (Provisional Licence)	1, 2
WALKER Andrew Robert	BOR879	Licensed Representative (Provisional Licence)	1, 2
WANG Andi	BOT295	Licensed Representative (Provisional Licence)	1, 2
WANG Audrey Zhiji	BOT024	Licensed Representative (Provisional Licence)	1
WANG Guanlong	BOT372	Licensed Representative (Provisional Licence)	4, 9
WANG Jiajun	BOS376	Licensed Representative (Provisional Licence)	1, 6
WANG Kuangchen	BOU335	Licensed Representative (Provisional Licence)	1, 6
WANG Liang	BOT094	Licensed Representative (Provisional Licence)	1, 6
WANG Shian-tang	ARG435	Licensed Representative (Provisional Licence)	1, 4
WANG Shuyi	BOR746	Licensed Representative (Provisional Licence)	1, 6
WANG Shuyuan	BON563	Licensed Representative (Provisional Licence)	1, 6
WANG Sichao	BOX011	Licensed Representative (Provisional Licence)	1, 2, 7
WANG Xin	BOU787	Licensed Representative (Provisional Licence)	1, 4
WANG Yajie	BGU758	Licensed Representative (Provisional Licence)	1, 6
WANG Yaqing	BOT675	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Yihao	BAF155	Licensed Representative (Provisional Licence)	1, 4
WANG Ying	BOW862	Licensed Representative (Provisional Licence)	4, 9
WANG Yuan	BOX126	Licensed Representative (Provisional Licence)	4, 9
WANG Yufei	BOU128	Licensed Representative (Provisional Licence)	1
WANG Zhi Yu	BMW825	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Zhixiang	BOT263	Licensed Representative (Provisional Licence)	10
WANG Zi	BOU036	Licensed Representative (Provisional Licence)	4, 6
WANG Zihui	BOT799	Licensed Representative (Provisional Licence)	1
WANG Zixu	BOT482	Licensed Representative (Provisional Licence)	1
WEI Jia	BCE780	Licensed Representative (Provisional Licence)	1, 4, 9
WEI Wei	AWZ983	Licensed Representative (Provisional Licence)	1, 2
WEI Wei	BOT313	Licensed Representative (Provisional Licence)	4
WEN Jiaxue	BOT884	Licensed Representative (Provisional Licence)	1, 4, 6
WILSON Iain Patrick	BOT135	Licensed Representative (Provisional Licence)	1, 2
WONG Beth Man	BOT693	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Ting Fung	BOU171	Licensed Representative (Provisional Licence)	1
WONG Wai Lam	BOT751	Licensed Representative (Provisional Licence)	1, 2, 3, 4
WONG Ying Kei Patrick	BOW912	Licensed Representative (Provisional Licence)	1, 4
WU Georgia Yi	BOU059	Licensed Representative (Provisional Licence)	1
WU Hao	BOW514	Licensed Representative (Provisional Licence)	4, 9
WU Liwen	BOF361	Licensed Representative (Provisional Licence)	9
WU Ruoying	BOU101	Licensed Representative (Provisional Licence)	4
XIA Gaofeng	BOW539	Licensed Representative (Provisional Licence)	1, 6
XIANG Yaxian	BOU231	Licensed Representative (Provisional Licence)	6
XIAO Jianan	BOT814	Licensed Representative (Provisional Licence)	1, 2
XIE Hongbin	BOU172	Licensed Representative (Provisional Licence)	4
XIE Tao	BOS512	Licensed Representative (Provisional Licence)	1, 6
XU Da	BOU141	Licensed Representative (Provisional Licence)	4
XU Haohan	BOU065	Licensed Representative (Provisional Licence)	4
XU Haole	BOT128	Licensed Representative (Provisional Licence)	1
XU Mengjiao	BOT275	Licensed Representative (Provisional Licence)	1, 2, 3
XU Peisi	BAH394	Licensed Representative (Provisional Licence)	1, 2
XU Yuncheng	BOH195	Licensed Representative (Provisional Licence)	1, 4, 9
XU Yuying	BOU560	Licensed Representative (Provisional Licence)	1, 4, 6
YAN Jinyu	BOS944	Licensed Representative (Provisional Licence)	10
YANG Chen	BOT360	Licensed Representative (Provisional Licence)	1, 6
YANG Chun Wai	BOT187	Licensed Representative (Provisional Licence)	1, 6
YANG Da	BOS758	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Patrick Sing Yan	BOT544	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Wen-Hsin	BOW451	Licensed Representative (Provisional Licence)	1
YANG Zhicheng	BOR216	Licensed Representative (Provisional Licence)	1
YAO Heting	BOT470	Licensed Representative (Provisional Licence)	1
YAO Yao	BOT634	Licensed Representative (Provisional Licence)	1, 2, 4
YAU Winnie Wing Yee	BKS181	Licensed Representative (Provisional Licence)	1
YE Ling	BOT893	Licensed Representative (Provisional Licence)	9
YE Ying	BOU116	Licensed Representative (Provisional Licence)	1, 2, 4
YEUNG Huen Ting	BOW749	Licensed Representative (Provisional Licence)	1
YEUNG Kwok Chu	BBW910	Licensed Representative (Provisional Licence)	1, 4, 9
YEUNG Yan Hang, David	BOU048	Licensed Representative (Provisional Licence)	1, 2
YIN Haili	BOT778	Licensed Representative (Provisional Licence)	1, 6
YIP Damon Manchun	APK748	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2019

CE Name	CE No.	License Type	Types of Regulated Activity
YIU Nga Ki	BOT989	Licensed Representative (Provisional Licence)	1, 4, 9
YONG Ni	BOS177	Licensed Representative (Provisional Licence)	2
YONG Qianyi	BOT381	Licensed Representative (Provisional Licence)	1, 6
YOON Jong Hyeon	BOT416	Licensed Representative (Provisional Licence)	4
YU Man Chi, Tiffany	BOT811	Licensed Representative (Provisional Licence)	1, 2
YU William Norhan	BOU470	Licensed Representative (Provisional Licence)	1, 4
YUAN Zhicheng	AXN964	Licensed Representative (Provisional Licence)	4
YUEN Darren Kevin	BOS225	Licensed Representative (Provisional Licence)	4, 9
ZENG Yushun	BOZ299	Licensed Representative (Provisional Licence)	6
ZENG Zhihui	BOH860	Licensed Representative (Provisional Licence)	4
ZHANG Chi	BOT379	Licensed Representative (Provisional Licence)	1, 6
ZHANG Chune	BOU062	Licensed Representative (Provisional Licence)	1, 4
ZHANG Na	AVJ731	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Shengmin	BOW850	Licensed Representative (Provisional Licence)	6
ZHANG Xiangyu	BOW502	Licensed Representative (Provisional Licence)	4, 9
ZHANG Yi	BOU745	Licensed Representative (Provisional Licence)	1, 6
ZHANG Yidong	BOS630	Licensed Representative (Provisional Licence)	1, 4
ZHAO Han	BOT624	Licensed Representative (Provisional Licence)	1, 6
ZHAO Hongxi	BOX294	Licensed Representative (Provisional Licence)	1, 6
ZHAO Yashi	BOW440	Licensed Representative (Provisional Licence)	1, 4, 9
ZHAO Yundi	BOT985	Licensed Representative (Provisional Licence)	1, 4, 9
ZHONG Jingcheng	BOT991	Licensed Representative (Provisional Licence)	1, 6
ZHONG Ye	BDP512	Licensed Representative (Provisional Licence)	1, 2
ZHOU Dadi	BOU127	Licensed Representative (Provisional Licence)	1, 4, 6
ZHOU Lingtao	BOU131	Licensed Representative (Provisional Licence)	1
ZHOU Minghui	BOS488	Licensed Representative (Provisional Licence)	1, 9
ZHOU Nanjun	BOT823	Licensed Representative (Provisional Licence)	6
ZHOU Nuoya	BOU135	Licensed Representative (Provisional Licence)	1, 4, 6
ZHOU Shijie	BOS887	Licensed Representative (Provisional Licence)	1, 4, 6
ZHOU Tianyi	BOT057	Licensed Representative (Provisional Licence)	1, 4, 6
ZHOU Xiaohui	BOS775	Licensed Representative (Provisional Licence)	4, 9
ZHU Huian	BOT855	Licensed Representative (Provisional Licence)	1, 4
ZHU Jingyan	BOU484	Licensed Representative (Provisional Licence)	1, 4
ZOU deshi	BOR615	Licensed Representative (Provisional Licence)	6
AGARWAL Virat	BGU565	Licensed Representative (Temporary Licence)	1
ALFINITO Giulio	BOW626	Licensed Representative (Temporary Licence)	1
ARAI Tatsuyuki	BOF225	Licensed Representative (Temporary Licence)	4
BAKER Andrew Lester	BOW770	Licensed Representative (Temporary Licence)	4
BAKHURU Girish	BMX520	Licensed Representative (Temporary Licence)	4
BARATON Xavier Francois	AVC110	Licensed Representative (Temporary Licence)	1
BARRON Jason	BF1936	Licensed Representative (Temporary Licence)	1
BASTIANPILLAI Anjali	BOA494	Licensed Representative (Temporary Licence)	1
BAXTER Melinda Kate	BCP840	Licensed Representative (Temporary Licence)	4
BLAKE Elliott Dorian	BEI895	Licensed Representative (Temporary Licence)	1
BOWLER Benjamin Latimer	BNF008	Licensed Representative (Temporary Licence)	4
BUCKLAND Robert Alexander Childe	BNS960	Licensed Representative (Temporary Licence)	4
CAPANNA Derek Emmett	ARJ060	Licensed Representative (Temporary Licence)	1
CAPRIO Stephen Louis	BOX347	Licensed Representative (Temporary Licence)	4
CARLE Gregor	AHM442	Licensed Representative (Temporary Licence)	1, 4
CARPENTER Seth Bernard	BLO850	Licensed Representative (Temporary Licence)	4
CHAMBERLAYNE Hamish Tankerville	BNU112	Licensed Representative (Temporary Licence)	1, 4
CHANG Shu-Ping	BIN430	Licensed Representative (Temporary Licence)	10
CHEVELEY Richard George Castelfranc	AQF001	Licensed Representative (Temporary Licence)	4
CHHUGANI Gautam Prakash	BIO676	Licensed Representative (Temporary Licence)	4
CHING Yi Edmund	AKU003	Licensed Representative (Temporary Licence)	4
CHOONNAWAT Kaseedit	AOY790	Licensed Representative (Temporary Licence)	4
DAFFORN Michael James	AUX135	Licensed Representative (Temporary Licence)	1, 2
DE GUZMAN Anthony Romeo	BKK013	Licensed Representative (Temporary Licence)	1
DEB Abhinandan	BJQ825	Licensed Representative (Temporary Licence)	4
DEFISE Alain Nsiona Kuzubula	BOZ772	Licensed Representative (Temporary Licence)	1
DU Yi	BEB003	Licensed Representative (Temporary Licence)	1
DYER Ashley George	ACO919	Licensed Representative (Temporary Licence)	1
ELLIOTT Geoffrey	BOU417	Licensed Representative (Temporary Licence)	4
EMURA Koshiro	BOR785	Licensed Representative (Temporary Licence)	10
ENOMOTO Takashi	BAZ545	Licensed Representative (Temporary Licence)	4
EZAWA Kota	BDY402	Licensed Representative (Temporary Licence)	4
GORDON-SMITH Clifford Ian	BJQ973	Licensed Representative (Temporary Licence)	1
GUBNER Adam Lance	BOZ764	Licensed Representative (Temporary Licence)	1, 4
HADASSIN Saul	BNP164	Licensed Representative (Temporary Licence)	4
HAMBRO Evy Piers George	AOR313	Licensed Representative (Temporary Licence)	1, 4
HARADA Jun	AOR374	Licensed Representative (Temporary Licence)	4
HASHIMOTO Takashi	BIJ499	Licensed Representative (Temporary Licence)	4
HELLES Peter Harald	BOU215	Licensed Representative (Temporary Licence)	4
HEYES Richard James	BIJ198	Licensed Representative (Temporary Licence)	1
HIRAKAWA Mikio	BBT553	Licensed Representative (Temporary Licence)	4
HUANG Han-Ping	BNU192	Licensed Representative (Temporary Licence)	10
HUTCHINSON Lorraine Corinne	BGL062	Licensed Representative (Temporary Licence)	4
HWANG Seung Hyun	AVA346	Licensed Representative (Temporary Licence)	1
ICHIKAWA Eriko	BNH011	Licensed Representative (Temporary Licence)	1
IKEDA Atsushi	BEM322	Licensed Representative (Temporary Licence)	4
IKEDA Yusuke	BHB831	Licensed Representative (Temporary Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2019

CE Name	CE No.	License Type	Types of Regulated Activity
IWAI Takashi	BOX097	Licensed Representative (Temporary Licence)	4
JACKSON John	BJM329	Licensed Representative (Temporary Licence)	1, 2
JAMES Robert	BNR791	Licensed Representative (Temporary Licence)	1
JIVRAJ Farouk Tazdin	BNR804	Licensed Representative (Temporary Licence)	1
JUN Sohyun	BOW435	Licensed Representative (Temporary Licence)	2, 5
KALBREIER Malte Manuel	BIL892	Licensed Representative (Temporary Licence)	1, 4
KANG Chang Joo	BGV243	Licensed Representative (Temporary Licence)	1, 4
KATO Takaaki	ATE766	Licensed Representative (Temporary Licence)	1
KAWAMOTO Hisae	BNP794	Licensed Representative (Temporary Licence)	4
KEENE Michael Donald	BOZ245	Licensed Representative (Temporary Licence)	1
KIM Junghwan	BOG943	Licensed Representative (Temporary Licence)	4
KIM Robert Duho	BMR539	Licensed Representative (Temporary Licence)	4
KOK Wai Fai	BJQ184	Licensed Representative (Temporary Licence)	4
KOO Bonil	BBE974	Licensed Representative (Temporary Licence)	1
KUBOTA Masashi	BBA891	Licensed Representative (Temporary Licence)	4
KWEK Kevin Siew Beng	AZN097	Licensed Representative (Temporary Licence)	4
LAM Kwok Chung Ivan	BLL048	Licensed Representative (Temporary Licence)	1, 2
LEACH Brian Robert	BOZ820	Licensed Representative (Temporary Licence)	1, 4
LEE Doyeon	BFO638	Licensed Representative (Temporary Licence)	4
LEE Katryna Anne	BFA316	Licensed Representative (Temporary Licence)	1
LIJ Ming-De	BEC282	Licensed Representative (Temporary Licence)	4
LIU Chiung-Fang	BMV071	Licensed Representative (Temporary Licence)	4
LOPEZ Todd Damon	BJP602	Licensed Representative (Temporary Licence)	1, 2
LOW Bruce Charles	BOX444	Licensed Representative (Temporary Licence)	4
MACLELLAN Christopher Scott	BOE764	Licensed Representative (Temporary Licence)	1, 4
MCCARTHY Sean Joseph	AWI446	Licensed Representative (Temporary Licence)	1
MEGAN Sossi Amirian	BOM238	Licensed Representative (Temporary Licence)	1
MULHOLLAND David Terence	BMJ692	Licensed Representative (Temporary Licence)	4
MURATA Alfred Taketo	BLE987	Licensed Representative (Temporary Licence)	1, 4
NIWA Koichi	AUW702	Licensed Representative (Temporary Licence)	4
OHMES Robert Frederick	BGK749	Licensed Representative (Temporary Licence)	4
PARKER Keith Joseph	BNP181	Licensed Representative (Temporary Licence)	4
PASQUALE Michael David	BIC448	Licensed Representative (Temporary Licence)	1
PAULSON Mark Ellis	AYK942	Licensed Representative (Temporary Licence)	1
PINEDA Arthur San Diego	AGL866	Licensed Representative (Temporary Licence)	4
PLUMBE Timothy James Samuel	BIM306	Licensed Representative (Temporary Licence)	4
POOL Jonathan Michael	AMU497	Licensed Representative (Temporary Licence)	1
PRAKASH Sharad	BIR484	Licensed Representative (Temporary Licence)	1
RATH Stefanie	BOZ614	Licensed Representative (Temporary Licence)	1
RAYKOVSKI Entcho Vesselinov	BOW778	Licensed Representative (Temporary Licence)	4
ROBINSON Geoffrey Robert Anthony	BHX552	Licensed Representative (Temporary Licence)	4
SAIFEE Hussaini	BJV163	Licensed Representative (Temporary Licence)	4
SET Augustus	BNS626	Licensed Representative (Temporary Licence)	1, 4
SHAH Amish Chandrakant	AUW978	Licensed Representative (Temporary Licence)	4
SHERIDAN Matthew S	BOB459	Licensed Representative (Temporary Licence)	4
SHOOKUS Jeffrey David	BFA606	Licensed Representative (Temporary Licence)	1
SMITH Jonathan Edward	BOZ972	Licensed Representative (Temporary Licence)	1
SOLCA Luca Giuseppe	AUT772	Licensed Representative (Temporary Licence)	4
STIMPSON Andrew David	BGM799	Licensed Representative (Temporary Licence)	4
TAN Yawen	BJP932	Licensed Representative (Temporary Licence)	4
TANOUE Minami	BIX260	Licensed Representative (Temporary Licence)	4
TEO Erwin Hsien Wei	BOK259	Licensed Representative (Temporary Licence)	1
THOMAS Frederic Francois Mathieu	BJN830	Licensed Representative (Temporary Licence)	1
TRUELOVE Keith Alan	AWF626	Licensed Representative (Temporary Licence)	1
TSUMURA TOMOKI	BOU460	Licensed Representative (Temporary Licence)	4
UETTILLER Guillaume Jean Jacques Marie	BOX479	Licensed Representative (Temporary Licence)	1
VERSTAPPEN Theodorus Mechtildis Franciscus	BEW818	Licensed Representative (Temporary Licence)	1
WATANABE Ritsuo	BAZ846	Licensed Representative (Temporary Licence)	4
WEEPLE Stephen Anthony	BOX412	Licensed Representative (Temporary Licence)	1, 4
WEI Daren	BOI623	Licensed Representative (Temporary Licence)	1
WRENN James Joseph	BJT987	Licensed Representative (Temporary Licence)	1
YAGERMAN Justin Barnaby	BPA121	Licensed Representative (Temporary Licence)	1, 4
YAMAGUCHI Kei	BGA734	Licensed Representative (Temporary Licence)	1, 2
YANG Junjun Michelle	BOX432	Licensed Representative (Temporary Licence)	4
YANG Xiaohong	BOW763	Licensed Representative (Temporary Licence)	1, 2
NatWest Markets N.V.	AAL482	Registered Institution	1