

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
Architech Capital Management Limited	BHQ258	Licensed Corporation	9
Boomwell Investments Limited	AGT930	Licensed Corporation	6
Deep Data Capital Management Limited	BJG759	Licensed Corporation	9
Everhot Securities Limited	ABH246	Licensed Corporation	1
Goldyard Capital Limited	AFB554	Licensed Corporation	4, 9
JNL Investments Limited	AXM417	Licensed Corporation	9
Marc Faber Limited	AAJ102	Licensed Corporation	4, 9
Rivendell Partners Limited	BLU716	Licensed Corporation	9
SDG Futures (HK) Limited	BMB957	Licensed Corporation	2
AIYAPPAN Ashwin	BLZ384	Licensed Representative	1, 2
AOKI Takeo	BNV887	Licensed Representative	1, 4, 6
ASMAN Janni	AYD397	Licensed Representative	4
AU Hiu Ha	BEO470	Licensed Representative	1
AU Shun Hang	BLA480	Licensed Representative	1, 6
AU YEUNG Kwun Yin	BMI354	Licensed Representative	1
AU YEUNG Yen Tung	BJJ511	Licensed Representative	1, 4
AUSTIN James Raymond	AVQ934	Licensed Representative	1, 2
AYMARD Anna Cecile Angeline	BNB414	Licensed Representative	1, 2, 4, 5
BAKER Maureen Lee	AOT370	Licensed Representative	1
BAN Hideyasu	ALF355	Licensed Representative	4
BAO Weiwei	AYS974	Licensed Representative	4, 9
BATEMAN James Nicholas	AQQ258	Licensed Representative	1
BENICHOU Johan	BHJ452	Licensed Representative	1, 2
BHAGWATI Jahnvi	BOB064	Licensed Representative	1
BI Chen	BNF184	Licensed Representative	1, 4
BLUME Valerie Ingrid	BLH182	Licensed Representative	9
BOOTHE MARCEL CONSTANTINE	BND275	Licensed Representative	1
BROADLY Timothy John	BOH810	Licensed Representative	1
BUTCHER Arthur Douglas	BEB774	Licensed Representative	1, 2
CAI Jinglei	BLW760	Licensed Representative	4, 9
CAI Lisa Shaoyang	BDG853	Licensed Representative	4
CAI Yijing	BOI991	Licensed Representative	4
CAMERON-CLARKE Luke	BGM577	Licensed Representative	1, 2
CAMPLISSON Eliot	AUN842	Licensed Representative	1, 4
CAO Jiyun	AXN015	Licensed Representative	9
CAO Yang	BHN783	Licensed Representative	4
CHAMMAT Kevin	BMX431	Licensed Representative	1, 9
CHAN Chi Kin	BNC028	Licensed Representative	1
CHAN Chi Ming, Dominic	ADC344	Licensed Representative	4
CHAN Ching	BEO762	Licensed Representative	1, 4
CHAN Ching	BHZ033	Licensed Representative	4, 9
CHAN Ching Man	AGJ926	Licensed Representative	1
CHAN Ching Man, Mandy	AMF100	Licensed Representative	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Chun Yin, Billy	AVR824	Licensed Representative	1
CHAN Chung Fai	AXM814	Licensed Representative	1
CHAN Ka Keung	AEU617	Licensed Representative	1
CHAN Ka Long, Karen	BBL855	Licensed Representative	4
CHAN King Ping	BNZ999	Licensed Representative	1, 2, 9
CHAN Kwok Yan	BHG623	Licensed Representative	1, 2
CHAN Ling Tung, Kristy	BFT513	Licensed Representative	1, 2
CHAN Lok Siu	AAR143	Licensed Representative	1
CHAN Man Kit	BLN631	Licensed Representative	1, 2
CHAN Mou Sun	BMG150	Licensed Representative	1
CHAN Pak Yeung Edwin	BGB569	Licensed Representative	1, 4
CHAN Pui Ping	ACW670	Licensed Representative	1, 2
CHAN Sau Shan	AGC410	Licensed Representative	4, 9
CHAN Shek Wai	AEE757	Licensed Representative	1
CHAN Suet Kwan Joyce	BNP457	Licensed Representative	1
CHAN Suk Chun	AER954	Licensed Representative	1
CHAN Suk Fun Isabella	BMP280	Licensed Representative	1
CHAN Tak Gai	AFI600	Licensed Representative	1
CHAN Tat Wai	BOB284	Licensed Representative	4
CHAN Tin Ho	AHW492	Licensed Representative	3
CHAN Tsung-Hsun Dennis	BEE283	Licensed Representative	9
CHAN Wai Hung	AGE147	Licensed Representative	1
CHAN Wai Sum	AYI664	Licensed Representative	1
CHAN Wai Yin	BNG995	Licensed Representative	1
CHAN Yan Kit, Kenny	AOT666	Licensed Representative	4, 9
CHAN Yik Cheung	BKQ351	Licensed Representative	1
CHAN Yui Pang, Oscar	AVI901	Licensed Representative	1, 2, 3
CHANG Chung Kei, Dominic	AEA552	Licensed Representative	9
CHANG Kwok Ho	ASD335	Licensed Representative	1
CHANG Lik Yin, Ryan	AQR038	Licensed Representative	1
CHANG Man Wai, Lawrence	AWF164	Licensed Representative	1, 4
CHANG Ming Fai	ALA485	Licensed Representative	9
CHANG Randy Chung-Hsia	AFV847	Licensed Representative	1, 2
CHANTRELL John Robert	BIB351	Licensed Representative	1, 4
CHAPLIN Vera	BIR904	Licensed Representative	10
CHARFI Hatem	BGN143	Licensed Representative	2
CHAU Chun Yiu	BMI357	Licensed Representative	1
CHAU Chung Hon	BAB549	Licensed Representative	1, 2
CHAU Hiu Tung, Chanel	ABT450	Licensed Representative	1
CHAU Ho Man	AWC329	Licensed Representative	1
CHAU Yau Sing	BCJ620	Licensed Representative	3
CHAUDHRY Naveed Haider	BOA220	Licensed Representative	1
CHEN Chia-Yi	AOB718	Licensed Representative	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Dan	BME967	Licensed Representative	1, 4
CHEN Qi	BMT283	Licensed Representative	4, 9
CHEN Xin	BMW072	Licensed Representative	1, 6
CHEN Yiyi	BOB375	Licensed Representative	1
CHENG Cheng	AWK326	Licensed Representative	4
CHENG Cho Yan	BJC695	Licensed Representative	6
CHENG Kai Man	AIX160	Licensed Representative	1
CHENG Kwok Choi	BJR195	Licensed Representative	1
CHENG Ming Hai	BLG126	Licensed Representative	1, 4, 9
CHENG Sau Sze, Philip	AEP675	Licensed Representative	1
CHENG Yu Hin, Jeffrey	BJN542	Licensed Representative	1, 2
CHEUNG Brian	BHL110	Licensed Representative	9
CHEUNG Chi Ho, Ricky	BNB785	Licensed Representative	1, 4
CHEUNG Chun Hei	BMO643	Licensed Representative	1
CHEUNG Hiu Yan, Hilda	BGE772	Licensed Representative	1
CHEUNG Kai Ho	BNH610	Licensed Representative	1, 2, 9
CHEUNG Kar Wai	ACK097	Licensed Representative	3
CHEUNG Kin Wah, Peter	ACX463	Licensed Representative	1
CHEUNG Kwok Fai, Raymond	AQR880	Licensed Representative	1, 4
CHEUNG Lok Man	BFR193	Licensed Representative	1
CHEUNG Patrick	BAP747	Licensed Representative	1, 9
CHEUNG Wai Ying	BIK200	Licensed Representative	1, 4, 9
CHEUNG Wing Yiu, Glory	AFM080	Licensed Representative	1
CHEUNG Yip Chun	AQJ012	Licensed Representative	1
CHIANG Ruei-cheng	BOZ703	Licensed Representative	1, 6
CHING Bo Yan, Vincent	AQU178	Licensed Representative	1
CHING Kwong Lai	BJL197	Licensed Representative	1
CHIU Chi Wa	BNF509	Licensed Representative	1, 2, 4, 5, 9
CHIU Chiu Ling, Betty	AVH941	Licensed Representative	1
CHIU Shih-Min	BHF087	Licensed Representative	9
CHOI Chung Yan	BFE049	Licensed Representative	1
CHOI Jun	BOC520	Licensed Representative	1, 4
CHOI Yuk Lung	BKE841	Licensed Representative	4
CHOW Ching Hoi, Hugo	AAE815	Licensed Representative	1
CHOW Fung Yi	BHM566	Licensed Representative	1
CHOW Kai Cheong Peter	BIG912	Licensed Representative	1, 4
CHOW Oi Ting	BLX223	Licensed Representative	1, 2, 4, 5
CHOW Wing To	AWP278	Licensed Representative	1, 4
CHOW Yuen Lam, Sharon	ANE701	Licensed Representative	1
CHOY Sze Ching	BOC509	Licensed Representative	1
CHU Sau Wai, Nicola	BNC842	Licensed Representative	1, 4, 9
CHUAN Sai Yan Janel Mae	AFY706	Licensed Representative	1
CHUEN Tin Chun, Andrew	BIN687	Licensed Representative	1, 4, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHUI Ka Chun	BLP741	Licensed Representative	1
CHUI Yuk Lam	BJJ123	Licensed Representative	1
CHUN Wai Chun	BMV077	Licensed Representative	1
CHUNG Chi Ho	BIA004	Licensed Representative	1, 2
CHUNG Heung Ling	AUZ986	Licensed Representative	1, 4, 9
CHUNG Shuk Ling Rebecca	BHP704	Licensed Representative	1
CHUNG Yuen Man	AWX223	Licensed Representative	1
DE GEIS DE GUYON DE PAMPELONNE Ludovic Regis Marie	BKO564	Licensed Representative	4, 5, 9
DENG Xiaotong	BKG340	Licensed Representative	1, 4, 6
DENG Xinhe	BIR278	Licensed Representative	4
DENUIT Richard	AWY094	Licensed Representative	1
DU Jiang Yue	BLL181	Licensed Representative	1, 2
DU Xiaoyu	BJZ584	Licensed Representative	1, 4, 6
DUVALLON PIERRE-ANTOINE	BOJ326	Licensed Representative	9
ESLER Charlotte Virginia	BLU754	Licensed Representative	1, 4
FAN Ho Ching	BMX171	Licensed Representative	1, 2
FAULKNER William Talbot	AXH731	Licensed Representative	1, 2, 7
FOK Chun Mun	BNJ993	Licensed Representative	1
FOK Jing Meng Shan Jun	AXV671	Licensed Representative	1, 6
FOLLETT Mark Cameron	BMR641	Licensed Representative	1, 4, 6
FU Qiang	BHV123	Licensed Representative	1, 4, 6
FUNG Wing Yin	BDY296	Licensed Representative	1, 2
FURUI Chie	BFK801	Licensed Representative	9
GAO Yuan	BJE597	Licensed Representative	1, 4, 9
GARDINER Sean	AVL437	Licensed Representative	1
GOETZ Beat	BKV836	Licensed Representative	1, 4, 9
GONG Zhen	BIG500	Licensed Representative	1, 4
GOORANAH Avrish	BNG841	Licensed Representative	2, 5
GRAHAM Michael James Nicholas	BMI557	Licensed Representative	1, 2
GU Lei	BLF077	Licensed Representative	1, 2
GU Siyu	BOG548	Licensed Representative	1, 6
GUO Jun	BFP412	Licensed Representative	1, 4, 9
GUO Keli	BNF148	Licensed Representative	1, 4, 6
GUO Xin	BMH283	Licensed Representative	1, 6
GUO Yang	BMS606	Licensed Representative	1, 4, 9
GUO Yujie	BJT489	Licensed Representative	1, 2, 4, 5
GUPTA Abhishek	BHA431	Licensed Representative	1, 2
HA Jae uk	BNJ329	Licensed Representative	1, 4, 6
HAN Frank	BGP003	Licensed Representative	1, 4
HAN Ji Ho	BDF287	Licensed Representative	4, 5
HANZL David	BEE583	Licensed Representative	1, 4, 9
HARMON James Milton	BEH774	Licensed Representative	4, 9
HAU Man Po Mathew	BNG585	Licensed Representative	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
HENGEVELD Emily Carlotta	AVY271	Licensed Representative	1
HERVE Carole Sandra	BEB413	Licensed Representative	10
HO Hung Hing	BHA402	Licensed Representative	1
HO Ka Kin	AFK649	Licensed Representative	1
HO Ka-man Carmen	BOW785	Licensed Representative	6
HO Ting Ting	BJG755	Licensed Representative	1, 2
HO Tsun Yu	BCN406	Licensed Representative	1, 4, 9
HO Wai Yu	BIO618	Licensed Representative	1, 6
HO Yin Shan, Cookie	ANG573	Licensed Representative	1, 2, 4, 5
HONG Jong In	BEX752	Licensed Representative	1, 4
HONG Tiandu	BGA351	Licensed Representative	4
HOU Xiaoning	BMB739	Licensed Representative	1, 4, 6
HOU Yingying	AKI366	Licensed Representative	4
HSU Shu-Hui	BMX706	Licensed Representative	1, 2
HSU Yi-Chi	BMN636	Licensed Representative	1, 4
HU Zhichao	BMD543	Licensed Representative	1, 4
HUANG Koon Lam	AMJ935	Licensed Representative	1
HUANG Shao Hsuan	AWY449	Licensed Representative	1, 4
HUANG Suling	BGZ774	Licensed Representative	1, 4
HUANG yu	BOX115	Licensed Representative	4
HUANG Yue	BJS142	Licensed Representative	9
HUI Chi Wai	BLK722	Licensed Representative	1, 2
HUI Chun Lai Edmund Lister	BPA074	Licensed Representative	1, 4, 9
HUI Hon Ho	BMX394	Licensed Representative	4
HUI Ole Hiu Tung	ARR518	Licensed Representative	4
HUI Shun Fuk	AKO684	Licensed Representative	1, 4, 9
HUI Sui Ying	ABY741	Licensed Representative	1
HUI Ting Fong	AUX111	Licensed Representative	1, 4, 9
HUNG Chun Ho	BNO534	Licensed Representative	1, 2
HUNG Mei Yu	BJG903	Licensed Representative	4, 9
HUNTER Archibald Robert Laurie	BDV209	Licensed Representative	1, 4
HWANG Yau Man	AOT463	Licensed Representative	1
IYAMA Toshiyasu	AJK522	Licensed Representative	1
IP Chi Lui	BLA299	Licensed Representative	1, 4
IP Shing Chung	BAN015	Licensed Representative	1, 2, 9
JAS Bir Kaur Lol Singh	BOO215	Licensed Representative	1, 4, 9
JESSOP David Mark	AWT989	Licensed Representative	4
Ji Zhong	BID412	Licensed Representative	1
JIANG Kaiyi	BGQ924	Licensed Representative	4, 6
JING Hua	BMQ902	Licensed Representative	4
JOHNSON Brian David	AFS218	Licensed Representative	4
JONG Chung Yin	AXT423	Licensed Representative	1, 2, 3, 4
JONG Larissa Howlyn	BIA026	Licensed Representative	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
KAM Wai Hin	BNB169	Licensed Representative	1, 6
KAN Ching Fai, Mark	BNN853	Licensed Representative	1, 4, 9
KIERATH Thomas William Roy	AXC630	Licensed Representative	1
KIM Jun Ku	BJA747	Licensed Representative	1, 4
KIM Tae IL	BHU071	Licensed Representative	1, 4
KITAZUMI Yohei	BHX147	Licensed Representative	1, 2, 4
KIZILBASH Jennifer Galang	AYK348	Licensed Representative	4
KO Ka Wo	AAD456	Licensed Representative	1
KONG Man Kei	BJT113	Licensed Representative	1, 2
KONG Mei Ha	AFF076	Licensed Representative	1, 2
KONG Tsz Wai	BEB591	Licensed Representative	1
KONG Tsz Yeung	BJJ356	Licensed Representative	4
KOO Ching Mui	AFV640	Licensed Representative	1
KOT Chun Ho	BJO764	Licensed Representative	4, 9
KU Yun	BLK454	Licensed Representative	4, 9
KUO-TSING-JEN Nicolas Guillaume Stephane	ARN751	Licensed Representative	9
KWOK Ka Fai	BHW838	Licensed Representative	1, 2
KWOK Wang Li Connie	BMK652	Licensed Representative	1, 4
KWONG Joseph Gin Ming	BAX551	Licensed Representative	1, 6
KWONG Yuen Kei, Lucia	ALH902	Licensed Representative	9
LAI Bo Har	AFK617	Licensed Representative	1
LAI Fan Wah	AOT981	Licensed Representative	1
LAI Shuk Fan	BLB021	Licensed Representative	1
LAI Tsz Cheong	BLU760	Licensed Representative	1
LAM Ching Mei	ASL528	Licensed Representative	1
LAM Chun Shing	BJE610	Licensed Representative	1, 4, 9
LAM Halyn Harvest	BMV368	Licensed Representative	1
LAM Jeffrey Kwun Hang	BHH317	Licensed Representative	1
LAM Ka Wing, Kelvin	BIY792	Licensed Representative	1
LAM Kin Kwan	BGX218	Licensed Representative	1, 2, 9
LAM Shing	BBW083	Licensed Representative	1
LAM Siu Bung	AGD214	Licensed Representative	1
LAM Tsun Kit	BNU755	Licensed Representative	1, 4, 6
LAM Wai Chung	BJG595	Licensed Representative	9
LAM Yuen Kai, Leon	AJD640	Licensed Representative	2, 5
LANG Christian Robert	AFC211	Licensed Representative	1
LAU Ching Yin Judy	AFT514	Licensed Representative	1, 2, 4, 9
LAU Ka Hin, Anthony	AIH934	Licensed Representative	1
LAU Kai Chung, Raymond	AOJ331	Licensed Representative	3
LAU Kit Wah Petra	BHX809	Licensed Representative	1
LAU Kwok Tung	ARA274	Licensed Representative	1
LAU Kwong Hung, Peter	AAC530	Licensed Representative	1
LAU Pui Ki	BFX860	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAU Siu Lun	BGB187	Licensed Representative	1, 9
LAU Tung Shing	AJF414	Licensed Representative	1
LAU Wing Yi	BAH706	Licensed Representative	1
LAW Chun Fai	BJL190	Licensed Representative	1
LAW Suk King	AIF550	Licensed Representative	1
LAW Wai Sze	ALA706	Licensed Representative	1
LEE Hing Wa	AOS227	Licensed Representative	1
LEE Ka Man	AVA612	Licensed Representative	1
LEE Kai Ming	BCO596	Licensed Representative	9
LEE Kwok Kuen	ADF173	Licensed Representative	1
LEE Seung Kit	AGJ801	Licensed Representative	1
LEE Sin Man, Cynthia	AFV230	Licensed Representative	1
LEE Siu Wah Connie	AQR070	Licensed Representative	1
LEE Wai Yee	BCC760	Licensed Representative	1
LEE Wing Sum	AJJ417	Licensed Representative	4
LEI Shuxun	BOE887	Licensed Representative	4, 9
LEUNG Dickson	BIZ269	Licensed Representative	9
LEUNG Fung Ling	AHF087	Licensed Representative	1
LEUNG Hing Man	AUZ461	Licensed Representative	1
LEUNG Ho Cheung Thomas	BHG154	Licensed Representative	1
LEUNG Ka Yee Andrew	ALK461	Licensed Representative	4, 9
LEUNG Kam Lung, Derek	AOV399	Licensed Representative	1, 4
LEUNG Kin Hang	BKT947	Licensed Representative	1
LEUNG King Hang, Alex	AFT406	Licensed Representative	1, 2
LEUNG Lau Chun	BFT488	Licensed Representative	1
LEUNG Mei Lai	BKQ258	Licensed Representative	1, 4
LEUNG Shing Hey	AOP158	Licensed Representative	1, 2
LEUNG Thomas Chun Wai	BEV716	Licensed Representative	1, 4, 9
LEUNG Wing Hang	BNJ750	Licensed Representative	1
LEUNG Wing Sze	BHK416	Licensed Representative	1, 2
LEUNG Yun Keung	AXV860	Licensed Representative	1
LI Cheuk Tat	BJK554	Licensed Representative	1
LI Dun Yuen, Daniel	ACP898	Licensed Representative	1
LI Meng	AZJ293	Licensed Representative	6
LI Mingxia	BMQ931	Licensed Representative	1, 4
LI Mu	BNY406	Licensed Representative	1, 6
LI Qiaochu	BGB368	Licensed Representative	1, 2
LI Qiaohan	BBS888	Licensed Representative	1, 4
LI Shenghan	BNO957	Licensed Representative	1, 4, 9
LI Shuo	BJX586	Licensed Representative	1, 4, 6
LI Xianglong	BNN295	Licensed Representative	1, 4
LI Xintong	BHZ073	Licensed Representative	1
LI Yang	BHP108	Licensed Representative	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Yi Lung	ANU832	Licensed Representative	1
LI Yiu Nam	BNE722	Licensed Representative	1, 4
LI Yujie	BDA065	Licensed Representative	4
LI Yunjun	BOB952	Licensed Representative	1, 2
LI Yunlu	BNN133	Licensed Representative	1, 4, 6
LI Yutao	BGB753	Licensed Representative	1, 4, 6
LI Zining	BNL590	Licensed Representative	1, 4, 9
LIANG Yi-xiao	BKC785	Licensed Representative	1, 2
LIM Eu Hin	APX067	Licensed Representative	1, 2
LING Jing	BJK469	Licensed Representative	1, 4
LIU Hui	AHO166	Licensed Representative	1, 9
LIU Jie	BLO837	Licensed Representative	4, 9
LIU Jingxiao	BHU348	Licensed Representative	4
LIU Mengzhuo	BNY348	Licensed Representative	4
LIU Shih-yen	BFH203	Licensed Representative	9
LIU Tsz Mei	AEI272	Licensed Representative	1, 4
LIU Xiaofei	BOB984	Licensed Representative	4
LIU Yuchang	AKO761	Licensed Representative	1, 4
LIU Zandrew Chin Tao	BDO201	Licensed Representative	1, 4, 9
LO Jenn Hur, John Michael	ADH354	Licensed Representative	4, 9
LO Ka Lok, Steve	AAB828	Licensed Representative	1
LO Kam Kong	ASK746	Licensed Representative	1
LO Mei Kan	ARB097	Licensed Representative	4, 9
LO Shih-hung	ALR980	Licensed Representative	1, 2
LO Sin Au	AUR649	Licensed Representative	1, 4
LOH Sin Niew	BJC093	Licensed Representative	1
LU Chao	BHT655	Licensed Representative	1, 6
LU Karl Rundong	BAS652	Licensed Representative	1, 2
LUI Ho Ming	BOH856	Licensed Representative	1, 6
LUI Man Ho	AVR392	Licensed Representative	1
LUI Yiu Wah, Eric	ACK550	Licensed Representative	3
LUK Siu Fung Mark	BIU937	Licensed Representative	1
LUK Tit Pui	AOY548	Licensed Representative	1
LUONG Stephanie	BAF814	Licensed Representative	4, 9
LYON Jennifer Louise	BFL415	Licensed Representative	1
MA Xiaoli	BJZ738	Licensed Representative	1
MAK Kar Wai, Carol Michele	ABX294	Licensed Representative	1, 2, 4, 5, 9
MAK Lai Yin Joanne	BEK903	Licensed Representative	1, 4
MAK Lap Fai	BHM577	Licensed Representative	1
MAK Tsz Kin	AGE828	Licensed Representative	1
MAN Kin Hang	BDY426	Licensed Representative	1, 6
MAN Wai Kit	BLU758	Licensed Representative	1
MARCHAND Xavier	BJC977	Licensed Representative	1, 2, 7

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
MATHEN Lijo Johnson	BNQ610	Licensed Representative	1, 6
MESSANT Sophie Victoria Alizon	BFN997	Licensed Representative	1
MIAO Gang	BJL397	Licensed Representative	1, 4, 9
MOK Hiu Yan	AGP652	Licensed Representative	1, 4
MOK Pak Yuen	BDX270	Licensed Representative	1
MOK Pui Man	ANF295	Licensed Representative	4, 9
MOK Tsan On	AEM980	Licensed Representative	1
MOULDER Anthony Bruce	BFB113	Licensed Representative	4
MULTON Bastien Claude Hubert	BMS377	Licensed Representative	1, 4
MUNENAGA Masaki	BGG422	Licensed Representative	9
NAKABACHI Aya	BND276	Licensed Representative	1, 4, 6
NAM Seung Hoon	BOJ061	Licensed Representative	1, 2
NG Amy Tsung-Ling	AZF632	Licensed Representative	6
NG Chi Kan	BLR538	Licensed Representative	1
NG Chung Kei, Paul	AWF522	Licensed Representative	1, 2, 4
NG Hiu Shan	AEF325	Licensed Representative	1
NG Kai Ni	AIB379	Licensed Representative	1, 4
NG Shao Chin	AME891	Licensed Representative	1, 2
NG Wing Hang Angela	AFL456	Licensed Representative	1
NG Wing Hung, Emily	AVG748	Licensed Representative	1
NG Yu Yung	ABI707	Licensed Representative	2
OH Boon Sim	BHB941	Licensed Representative	1, 4, 9
OKUSANYA Omotayo Tejumade	BEZ039	Licensed Representative	4
ONG Jun You	BOA770	Licensed Representative	1, 2
ONG Khang Chuen	BHW482	Licensed Representative	4
ONG Seng Yeow	AXI818	Licensed Representative	4
PAN Chun	BLZ799	Licensed Representative	1, 4
PANDE CHANCHANI GAURI	BJG431	Licensed Representative	1
PANG Junyi	BHK002	Licensed Representative	9
PANG Sin Chu	AFU665	Licensed Representative	1, 4
PARK Sejun	BOJ261	Licensed Representative	1
PATEL Ajay Bhupendra	BNN966	Licensed Representative	1
PAU Hing Hung	AEU577	Licensed Representative	1
PHAN Nang Dat	BNM909	Licensed Representative	1, 2
POITOUT Thomas Jean Francois	BNP473	Licensed Representative	1, 2, 7
POON Chin Hung	ATB613	Licensed Representative	1, 4
POON Kwun Chung	BHY514	Licensed Representative	1
POON Suet Ying	AVH697	Licensed Representative	1
POON Suk Ying	AAB267	Licensed Representative	1
PURCELL Robert G	BDV646	Licensed Representative	4
RAILLOT Franck Kim	AOJ295	Licensed Representative	9
RUAN Pengyu	BOE527	Licensed Representative	9
SANZ-GADEA IMBANZA alain	BNE454	Licensed Representative	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
SEE Anne Yu	AYK922	Licensed Representative	1, 4
SELKRIG John Raymond Leslie	AFW252	Licensed Representative	1, 2
SHAH Aarti Pramod	BLU258	Licensed Representative	1, 4
SHAN Su	BFT937	Licensed Representative	1, 6
SHANG Xiaodi	BIS051	Licensed Representative	1, 2
SHANG Yuan	BIN752	Licensed Representative	4, 9
SHAO Wanqi	BJR782	Licensed Representative	1, 4, 9
SHARIFI Ali	BBI860	Licensed Representative	4
SHEN Bei	AYO987	Licensed Representative	1, 6
SHI Ting	BEM803	Licensed Representative	1, 4, 9
SHU Hon	ALF005	Licensed Representative	1, 4, 9
SIEW Kah Hock	ARG858	Licensed Representative	1
SINGH Gauravjit	ATJ285	Licensed Representative	1, 4
SIU Ching	BBQ216	Licensed Representative	1
SIU Ka Keung, Quester	AWL155	Licensed Representative	1, 2
SO Chi Yin	AFE978	Licensed Representative	1
SO Jacky Teng Kwok	BGZ936	Licensed Representative	1, 4, 6
SO Sik Yi	AGL773	Licensed Representative	1
SO Siu Kwan Carrie	AGO582	Licensed Representative	1
SONG Minmin	BDF036	Licensed Representative	1, 4
STANTON David Andrew	AWT701	Licensed Representative	4
STEINER Fabien Angelo	BOD682	Licensed Representative	1, 4
SU Wai	BGH339	Licensed Representative	4
SUDHINDRAN Pavitra	BGM452	Licensed Representative	4
SUH Jungwon	BAV645	Licensed Representative	9
SUN Ran	AUZ782	Licensed Representative	4, 9
SUN Wei	BAV462	Licensed Representative	4
SUN Xichen	BMO238	Licensed Representative	1, 4
SURATKAL Kishore Sheena	AFE831	Licensed Representative	4
SZE Hung Kit Thomas	AYQ839	Licensed Representative	9
TADA Emiko	AWY707	Licensed Representative	1, 4
TAM Kam Lun	ASO991	Licensed Representative	1, 9
TAM Kin Yan	APC876	Licensed Representative	1
TAM Marco	AXV875	Licensed Representative	4
TAN Wentao	AXS425	Licensed Representative	1, 2, 4, 9
TAN Yan Liang	BJP595	Licensed Representative	6
TAN Yi-ming, Darryl	AUR864	Licensed Representative	1, 2
TANG Chi Fung	AMB096	Licensed Representative	1
TANG Man Keung	BAC383	Licensed Representative	1, 4
TANG Wing Cheong	ACD258	Licensed Representative	1
TANG Yilun	BFP929	Licensed Representative	2, 5
TANG Yiu Pan	BHA408	Licensed Representative	1
TAY Tuan Hin	AZD057	Licensed Representative	10

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
TEE Sze Chiah	AVE567	Licensed Representative	4
TEO Soo Meng, Kelvin	AMN714	Licensed Representative	1, 4, 6
TIAN Qianyi	BJD584	Licensed Representative	10
TIAN Siming	BNZ932	Licensed Representative	1, 4
TJANDRAWINATA TJAN Elvira	BEM309	Licensed Representative	4
TO Pui Yan, Jessica	BGI638	Licensed Representative	1, 2
TONG Chi Yeung, Stephen	AER930	Licensed Representative	1
TSANG Chi Fai	BNG370	Licensed Representative	4, 9
TSANG Chi Yuen	BLH606	Licensed Representative	4, 9
TSANG Eric Fan Zee	BNR255	Licensed Representative	1, 2, 4, 5
TSANG Lai Lin	AIF190	Licensed Representative	1
TSANG Wing Hong Raymond	ADH206	Licensed Representative	1
TSE Hiu Fai	AEV975	Licensed Representative	1, 2
TSE Hiu Ngai	ADP429	Licensed Representative	1
TSE Man Po, Andy	AMD101	Licensed Representative	1
TSE Po Chuen, Patrick	AMJ366	Licensed Representative	1
TSE Tsoi Chi	BMV027	Licensed Representative	1, 4
TSE Yu Lung	AVU827	Licensed Representative	1, 2
TSOI Hiu Ping Icey	BJT917	Licensed Representative	1, 2, 4, 9
TSUEI Ko-Chin	AVD909	Licensed Representative	1, 2, 4
TSUI Wai Hin Erwin	AZR721	Licensed Representative	9
WAI Hing Hung	AAV885	Licensed Representative	1, 2
WAI King Him, Bernard Stephen	ADT652	Licensed Representative	1, 2
WAN Siu Yung	ARR913	Licensed Representative	1
WAN Ziying	BJL539	Licensed Representative	1, 2, 3
WANG Charles Hongxin	AVS571	Licensed Representative	4, 9
WANG Jun Ping	ADW638	Licensed Representative	1, 6
WANG Lai Chun	AMJ716	Licensed Representative	1
WANG Lai Hon	AEO772	Licensed Representative	1, 2
WANG Laixi	BJR329	Licensed Representative	1, 6
WANG Mengyao	BNO283	Licensed Representative	1, 4, 6
WANG Ouya	BEZ245	Licensed Representative	1, 2, 7
WANG Tianyu	BDP115	Licensed Representative	1, 4, 6
WANG Wanting	BOA100	Licensed Representative	1, 6
WANG Yong	BOG558	Licensed Representative	1, 4
WANG Yu	BFT187	Licensed Representative	9
WANG Yuen Sum Ada	BLF644	Licensed Representative	1, 2
WANG Zhongda	BIN331	Licensed Representative	1
WEINRICH Adam Brian	AZX869	Licensed Representative	9
WIEMER Charles Daniel	ANQ742	Licensed Representative	1
WIRAWAN Ronald	BNT214	Licensed Representative	1
WONG Benson	ACU389	Licensed Representative	1, 4
WONG Chi Leong	BOH572	Licensed Representative	1, 2, 3, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Chi Lok, Cedric	APF151	Licensed Representative	4
WONG Chi Wai John	AHS837	Licensed Representative	1
WONG Chui Ping, Wendy	AWS982	Licensed Representative	1
WONG Chun	BBZ151	Licensed Representative	1, 6
WONG Ka Ming	AWP779	Licensed Representative	1, 2, 9
WONG Kan Tak, Joseph	AEP634	Licensed Representative	1, 2
WONG Kin Chung	AXG433	Licensed Representative	1, 4
WONG Kit Yan, Candy	ARU131	Licensed Representative	1
WONG Kwan Pang	BND499	Licensed Representative	1, 4
WONG Leung Yiu Wallace	BDL642	Licensed Representative	1, 4, 6
WONG Man Chiu	BOF490	Licensed Representative	1, 4
WONG Mei Ning	AXJ864	Licensed Representative	1, 2, 4
WONG Oi Chung	AGN997	Licensed Representative	1
WONG Ping Hang	BBQ017	Licensed Representative	3
WONG Pui Chi Gigi	AZT110	Licensed Representative	1
WONG Siu Yin	AWL732	Licensed Representative	1
WONG Suet Ying	BDI340	Licensed Representative	1
WONG Tak Wing	BBC903	Licensed Representative	1
WONG Tin Fuk, Alex	ADF193	Licensed Representative	1
WONG Tze Wai	BNE608	Licensed Representative	1, 6
WONG Wah Lam	AAV422	Licensed Representative	1
WONG Wai Ping, Esther	AMP022	Licensed Representative	1, 4
WONG Wai Shan	AGO751	Licensed Representative	1, 2
WONG Yat Fung	BDP304	Licensed Representative	1
WONG Yau Cheong	BIL665	Licensed Representative	1
WONG Yee Man	APX203	Licensed Representative	9
WONG Yick	BDP475	Licensed Representative	1
WONG Yu Lun	BJJ536	Licensed Representative	1, 4
WOO Wing Sum, Caroline	ANT715	Licensed Representative	1, 4, 9
WU Chun Lai	AUW915	Licensed Representative	1, 4, 9
WU Jinghua	BEO471	Licensed Representative	1
WU Ming Hung	BKL305	Licensed Representative	2
WU Ping	BML609	Licensed Representative	1, 6
WU Qiongjing	BBS675	Licensed Representative	1, 6
WU Wanling	BNF152	Licensed Representative	1, 4, 6
WU Xiyang	BFB077	Licensed Representative	4, 9
WYPRYSKY Victoria Ann	BNU293	Licensed Representative	1, 6
XIA Gaohua	BNO603	Licensed Representative	6
XIA Xue	BMW966	Licensed Representative	1
XIE Jinch	BIR549	Licensed Representative	1, 6
XIE Lu	BLK730	Licensed Representative	9
XIN Qi	BEH532	Licensed Representative	1, 4
XING Mengwei	BFR807	Licensed Representative	4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
XIONG Weiqi	BND490	Licensed Representative	1, 4, 9
XIONG Zhe	ASW639	Licensed Representative	1, 4, 9
XU Huaxiang	ALN642	Licensed Representative	1, 4
XU Ning	BNP715	Licensed Representative	6
XU Weixiang	BJM252	Licensed Representative	1, 2
XU Yachuan	BGI316	Licensed Representative	4, 9
YAM Ping Hung	BJC340	Licensed Representative	1
YANAGIHARA Jason Chikara	AZV055	Licensed Representative	1
YANG Haokun	BOH427	Licensed Representative	1, 4, 9
YANG Jie	BBU726	Licensed Representative	1, 2
YANG Joseph Poeyon	BOA328	Licensed Representative	9
YANG Junjie	BOJ945	Licensed Representative	1, 4
YANG Nan	BNF962	Licensed Representative	1, 4
YANG Wei-Lun	AXZ658	Licensed Representative	4
YANG Weizhou	BFZ031	Licensed Representative	4
YANG Xuping	ALO476	Licensed Representative	1
YAP Boh Gee	ABU630	Licensed Representative	1, 2
YAU Joe Yee Joseph	BOH588	Licensed Representative	1
YAU Wing Man, Winnie	ADO404	Licensed Representative	1
YEN Tzu-han	ANL837	Licensed Representative	1, 4
YEUNG Chung Yin, Joey	BAZ400	Licensed Representative	1
YEUNG Niki Ning Ki	ATD246	Licensed Representative	4, 9
YEUNG Tsz Fung	ANJ834	Licensed Representative	1, 2
YEUNG Tsz Lap	BAN203	Licensed Representative	1, 2
YEUNG Wai Hung	ARL945	Licensed Representative	1
YIM Wan	ARV925	Licensed Representative	1, 2
YING Po Yee, Jessie	ANB104	Licensed Representative	1
YIP Chi Shing	BNT021	Licensed Representative	1
YIP Ka Fai	AQF144	Licensed Representative	1
YIP Wing Shan	AQE312	Licensed Representative	1
YIU Cheuk Wing	BMX699	Licensed Representative	1, 4, 9
YU Chang Eyun	BCK017	Licensed Representative	4
YU Hung Ying	AKO734	Licensed Representative	1
YU Jiawei	BGL471	Licensed Representative	1, 6
YU Mei Wah	AFS769	Licensed Representative	1
YU Wai Lun	AXW827	Licensed Representative	1
YU Wing Kit	AQD494	Licensed Representative	1, 2
YU Yilu	BIM687	Licensed Representative	1
YUAN Chen	BHE051	Licensed Representative	1
YUAN Feiyang	AIZ727	Licensed Representative	1, 4
YUAN Yongyi	BMZ419	Licensed Representative	1, 2
YUEN Wai Kwong	AAD742	Licensed Representative	1, 2
YUEN Zit Tun	BFH968	Licensed Representative	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
YUNG Ka Cheung	ABN735	Licensed Representative	4, 9
ZAHM Nathan Christopher	BLD328	Licensed Representative	1, 4
ZEISSINK Andrew John	AZM568	Licensed Representative	6
ZHANG Han	BIE642	Licensed Representative	10
ZHANG Jiayi	BJH510	Licensed Representative	9
ZHANG Kai	BMQ161	Licensed Representative	1, 6
ZHANG Lu	BNY936	Licensed Representative	1
ZHANG Peng	AXR022	Licensed Representative	4, 6
ZHANG Yibo	BMW437	Licensed Representative	1, 6
ZHANG Yijian	BND815	Licensed Representative	1, 4
ZHANG Yujia	BNJ947	Licensed Representative	4
ZHANG Yuxing	BEY878	Licensed Representative	1, 6
ZHANG Ziyang	BNV350	Licensed Representative	4
ZHAO BaoHeng	BLA405	Licensed Representative	1, 2
ZHAO Ji Yu	BFX038	Licensed Representative	1, 6
ZHAO Ke	BFN314	Licensed Representative	4
ZHAO Yan	BMV611	Licensed Representative	1, 2
ZHENG Boheng	BHX194	Licensed Representative	1, 4, 6
ZHENG Kai	BIO280	Licensed Representative	4, 9
ZHENG Ruxin	BND741	Licensed Representative	2
ZHOU Jian	AOY577	Licensed Representative	1
ZHOU Kehui	BHR437	Licensed Representative	4
ZHOU Qinyi	BKD622	Licensed Representative	1, 4
ZHOU Zhuo Hui	ATL987	Licensed Representative	1, 2, 4, 5
ZHU Hui	AYB024	Licensed Representative	1, 2, 4
ANAI Ruso	BCO546	Licensed Representative - Responsible Officer	1, 2, 4, 6
AU On Kit, Jason	ADE458	Licensed Representative - Responsible Officer	1, 4, 6
BLADES Michael Alan	BKC217	Licensed Representative - Responsible Officer	1, 4, 6
CHAN Chi Wing	ATX898	Licensed Representative - Responsible Officer	1, 4, 9
CHAN Shu Kai	AAJ626	Licensed Representative - Responsible Officer	4, 9
CHANG Ming Fai	ALA485	Licensed Representative - Responsible Officer	1, 4
CHEN Chia Ling	ADV930	Licensed Representative - Responsible Officer	9
CHEN Honghua	AMC955	Licensed Representative - Responsible Officer	1, 4, 6
CHEUNG Sze Hon, Heath	AEI046	Licensed Representative - Responsible Officer	1, 2
CHI Rui	AJE393	Licensed Representative - Responsible Officer	4, 9
CHIN Ching Lan	ACZ592	Licensed Representative - Responsible Officer	1, 2
CHUA Chee Seng	AYG334	Licensed Representative - Responsible Officer	4, 9
CHUNG Rex R K	AEI990	Licensed Representative - Responsible Officer	1, 4, 9
CORCORAN Michael Anthony	AJN955	Licensed Representative - Responsible Officer	1, 7
EDEN Andrew Gregory	ABM640	Licensed Representative - Responsible Officer	4, 9
FLEETHAM Ian David	ASF040	Licensed Representative - Responsible Officer	1, 4
FUKUSHIMA Hiroyuki	BHD511	Licensed Representative - Responsible Officer	1, 2, 4, 5
FUNG Yiu Fai	AAB976	Licensed Representative - Responsible Officer	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
GAMET Francois Jean Maurice	BHK370	Licensed Representative - Responsible Officer	1, 4, 6
GUNNERSEN Thomas Peter	AVH034	Licensed Representative - Responsible Officer	1, 4
HAYASHI Ryosei	BFP481	Licensed Representative - Responsible Officer	1, 2, 4
HIGHFIELD Andrew Francis	APP193	Licensed Representative - Responsible Officer	1, 4, 6
HOCK Wai Hou	AZH640	Licensed Representative - Responsible Officer	1, 6
HUANG Rui	AOA886	Licensed Representative - Responsible Officer	1, 4, 6
HUANG Shao Hsuan	AWY449	Licensed Representative - Responsible Officer	9
HUGH James Clifford	ASE219	Licensed Representative - Responsible Officer	1, 2
HULL Stephen Beattie	AZB042	Licensed Representative - Responsible Officer	1, 4, 9
HUTCHINGS Christopher Henry	ABA382	Licensed Representative - Responsible Officer	4, 9
JIANG Xinrong	AWF495	Licensed Representative - Responsible Officer	9
KELLY Peter Charles	AFA009	Licensed Representative - Responsible Officer	1, 9
KHULLAR Ashu	BLD672	Licensed Representative - Responsible Officer	1, 6
KIM So Youn	AVY431	Licensed Representative - Responsible Officer	1
KING Christian James	BJI738	Licensed Representative - Responsible Officer	1, 2
KWONG Yuen Kei, Lucia	ALH902	Licensed Representative - Responsible Officer	4
LAM Kwong Shing	AEI041	Licensed Representative - Responsible Officer	1, 2
LASKA Esther Jingsun	BJS885	Licensed Representative - Responsible Officer	9
LAU Cheuk Lam, Chester	AQN200	Licensed Representative - Responsible Officer	1, 2
LEE Hoi Yan	AJL855	Licensed Representative - Responsible Officer	1, 4
LEE Kwun Hei	ANL027	Licensed Representative - Responsible Officer	4
LEE Tin Yau, Eugene	ADV918	Licensed Representative - Responsible Officer	6
LEUNG Jody	ACW206	Licensed Representative - Responsible Officer	1, 4, 9
LEUNG Wing Pong	AIH952	Licensed Representative - Responsible Officer	1, 2, 8
LI Kecheng	AKO454	Licensed Representative - Responsible Officer	9
LI Shan	ACN141	Licensed Representative - Responsible Officer	9
LIU Jing	BCJ350	Licensed Representative - Responsible Officer	4, 9
LO Ka Lok, Steve	AAB828	Licensed Representative - Responsible Officer	2, 5
LO Mei Kan	ARB097	Licensed Representative - Responsible Officer	1
LOOK Andrew	ACO365	Licensed Representative - Responsible Officer	1, 4, 9
MOFFAT Scott Park	BDV092	Licensed Representative - Responsible Officer	3
MUTHUSWAMY Pradeep	AOI910	Licensed Representative - Responsible Officer	9
NG Kwok Fung	ABY897	Licensed Representative - Responsible Officer	1
OR Siu Kam	AJD975	Licensed Representative - Responsible Officer	1, 4
OSBORN Donald Edward	AGQ600	Licensed Representative - Responsible Officer	6
PHEBY Paul John	AAW503	Licensed Representative - Responsible Officer	9
POON Cheuk Nam, Miranda	AFD430	Licensed Representative - Responsible Officer	1
PRAKASH Anand	BFH229	Licensed Representative - Responsible Officer	1, 4
ROBINE Olivier, Marcel, Ernest	BHM201	Licensed Representative - Responsible Officer	1
RYOO Dong Hoon	ARG666	Licensed Representative - Responsible Officer	1
SHAO Sheila	AWE431	Licensed Representative - Responsible Officer	1, 4, 9
SIN Wai Yee, Lilian	ALE708	Licensed Representative - Responsible Officer	1, 4, 9
SMITH Stephen Mark	BFA905	Licensed Representative - Responsible Officer	1, 7

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
STRAPP Guy Robert	AON845	Licensed Representative - Responsible Officer	1, 4, 9
SUM Pun Yan	ADX895	Licensed Representative - Responsible Officer	1
SUN Patrick	AAI271	Licensed Representative - Responsible Officer	1, 6
TAM Marco	AXV875	Licensed Representative - Responsible Officer	9
TANG Hao	AOL324	Licensed Representative - Responsible Officer	1, 6
TANG Richard Hua	BBR313	Licensed Representative - Responsible Officer	1
TSE Chi Ho	APB691	Licensed Representative - Responsible Officer	1
TSE Raymond	ABF543	Licensed Representative - Responsible Officer	1, 4, 9
TUNG Yat Shing	AFO409	Licensed Representative - Responsible Officer	1, 4, 9
VIJAY KUMAR Karthik Kumar	BAO685	Licensed Representative - Responsible Officer	9
WADDINGTON Richard Edward Henry	BJS782	Licensed Representative - Responsible Officer	9
WAH Wang Ming, Johnny	ABB624	Licensed Representative - Responsible Officer	1, 2
WAN Ka Yan Vivian	BFA506	Licensed Representative - Responsible Officer	1
WEINBERG Daniel Michael	AQX998	Licensed Representative - Responsible Officer	4, 5
WONG Chi Man, Mickey	AGQ606	Licensed Representative - Responsible Officer	1, 4
WONG Him Chi, Angela Natasha	AAW459	Licensed Representative - Responsible Officer	1, 2
WONG Kai Lap , Eric	AET869	Licensed Representative - Responsible Officer	1, 4, 6
WONG Kwong Ho	AAE332	Licensed Representative - Responsible Officer	1
WONG Man Hin, Charles	AAE237	Licensed Representative - Responsible Officer	1
WU Qingbo	BHK053	Licensed Representative - Responsible Officer	1, 4, 6, 9
XIAO Lina	BAZ749	Licensed Representative - Responsible Officer	1, 4, 9
XU Bret Zheng Yu	ANQ295	Licensed Representative - Responsible Officer	9
YAM Wai Yee	BJY151	Licensed Representative - Responsible Officer	1, 4, 9
YAU Wing Man, Winnie	ADO404	Licensed Representative - Responsible Officer	6
YEUNG Yuen Chuen	BCT135	Licensed Representative - Responsible Officer	9
YEUNG Yuk Yan	BBA227	Licensed Representative - Responsible Officer	1, 2
YIN Chunmei	ARP067	Licensed Representative - Responsible Officer	4, 9
YING Long Shang Alex	BLV213	Licensed Representative - Responsible Officer	9
YU Bin	BJL677	Licensed Representative - Responsible Officer	1, 4, 9
YU Chor Man	AQQ139	Licensed Representative - Responsible Officer	1, 4
YUNG Ka Cheung	ABN735	Licensed Representative - Responsible Officer	1
ZHANG Zheng	AMS447	Licensed Representative - Responsible Officer	1, 6
ZHU Mingqiang	BJI971	Licensed Representative - Responsible Officer	9
ZOU Hao	ASM068	Licensed Representative - Responsible Officer	1, 4, 9
BHAT Sundeep	BPE313	Licensed Representative (Provisional Licence)	4
BOBBARADA Sree Sudha	BPD893	Licensed Representative (Provisional Licence)	1, 2
BREWER James Christopher	BHB321	Licensed Representative (Provisional Licence)	6
BURCKGARD Dominik Rene	AVM701	Licensed Representative (Provisional Licence)	1, 4
CADE Natalie Margaret	BPD453	Licensed Representative (Provisional Licence)	4
CAI Tsz Yeung	AWH561	Licensed Representative (Provisional Licence)	1
CAI Zhengde	BPE804	Licensed Representative (Provisional Licence)	1, 2
CAO Ying	BPE391	Licensed Representative (Provisional Licence)	4
CARRIG Brendan Stephen	BPD474	Licensed Representative (Provisional Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Chin Hong	BOZ412	Licensed Representative (Provisional Licence)	6
CHAN Chung Wing	BPB257	Licensed Representative (Provisional Licence)	1, 2, 3, 4
CHAN Hiu Sze	BOZ947	Licensed Representative (Provisional Licence)	6
CHAN Hoi Po	BPE611	Licensed Representative (Provisional Licence)	1, 2
CHAN Hoi Yee	BKC604	Licensed Representative (Provisional Licence)	1
CHAN Ka Ming	BPB672	Licensed Representative (Provisional Licence)	1
CHAN Ryan Gregory Li-Yen	BOZ950	Licensed Representative (Provisional Licence)	6
CHAN Samuel Sek Wei	BOZ713	Licensed Representative (Provisional Licence)	1, 4
CHAN Suet Lin	BPB537	Licensed Representative (Provisional Licence)	1
CHAN Wai Ying	BPD391	Licensed Representative (Provisional Licence)	1
CHANG Moon Sung	AQN365	Licensed Representative (Provisional Licence)	1
CHAU Hei Wai	BEZ010	Licensed Representative (Provisional Licence)	1, 4, 9
CHAU Yu Fung	BPB955	Licensed Representative (Provisional Licence)	1
CHEN Churan	BPD646	Licensed Representative (Provisional Licence)	1, 4
CHEN Hsiao Ju	AWS022	Licensed Representative (Provisional Licence)	1, 2, 9
CHEN Jieyi	BPC480	Licensed Representative (Provisional Licence)	1, 2, 4, 9
CHEN Keru	BPA242	Licensed Representative (Provisional Licence)	9
CHEN Letian	BPB753	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Qi	BPD536	Licensed Representative (Provisional Licence)	4
CHEN SHIDIE	BPB749	Licensed Representative (Provisional Licence)	10
CHEN Ting	BPC503	Licensed Representative (Provisional Licence)	1
CHEN Xiaoguang	BPB915	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Yaning	BPD580	Licensed Representative (Provisional Licence)	1, 4
CHEN Yanjie	BPE440	Licensed Representative (Provisional Licence)	1, 4
CHEN Yi-Lin	AWC188	Licensed Representative (Provisional Licence)	4
CHEN Yilun	BOF343	Licensed Representative (Provisional Licence)	1
CHEN Ying	BOT805	Licensed Representative (Provisional Licence)	4, 9
CHEN Ying	BOW518	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Yipeng	BOW747	Licensed Representative (Provisional Licence)	1
CHEN Zai	BPD429	Licensed Representative (Provisional Licence)	1, 4, 6
CHENG Pang Fai	BPB702	Licensed Representative (Provisional Licence)	1, 4
CHENG Wing Sze	BPA117	Licensed Representative (Provisional Licence)	1
CHEON Ernest	BPC708	Licensed Representative (Provisional Licence)	9
CHEUK Man Tik	BPB745	Licensed Representative (Provisional Licence)	1
CHEUNG Man Shun	BPF015	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Pan Isadora	BPE964	Licensed Representative (Provisional Licence)	4, 9
CHIM Ka Yeung	BPC655	Licensed Representative (Provisional Licence)	1, 4
CHOI Kit Wa	BOW523	Licensed Representative (Provisional Licence)	1, 9
CHOW Hon Po David	BPE151	Licensed Representative (Provisional Licence)	1
CHOW Poi Yu	BPA259	Licensed Representative (Provisional Licence)	1, 4
CHOW Wing Cheung, Lincoln	ATT574	Licensed Representative (Provisional Licence)	1
CHUA Pei Feng	ASL814	Licensed Representative (Provisional Licence)	1
CLEMENT Christoffer	BPD564	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
CONSTANTINESCU Clara-Andreea	BPC748	Licensed Representative (Provisional Licence)	9
CORONES Zachary Alexander	BCA233	Licensed Representative (Provisional Licence)	1, 2, 7
DAVOUST Arnaud Yves Roger	BPA032	Licensed Representative (Provisional Licence)	1, 2
DE SILVA Kankanampathiranaage Lakshita Nalaka	BPB183	Licensed Representative (Provisional Licence)	1
DEAN Nicholas John	BPE806	Licensed Representative (Provisional Licence)	1, 2
DEBOO Martin John	BPA006	Licensed Representative (Provisional Licence)	4
DEMEHRI Arya Pariborz	BPB589	Licensed Representative (Provisional Licence)	9
DENG Fei	BFC518	Licensed Representative (Provisional Licence)	9
DOWLING Charles Richardson	BOZ935	Licensed Representative (Provisional Licence)	1, 2
FANG Fang	BLR664	Licensed Representative (Provisional Licence)	9
FAYARD Franck Nicolas Michel	BBP230	Licensed Representative (Provisional Licence)	1, 2, 7
FONG Pui Yi	BPC244	Licensed Representative (Provisional Licence)	3
FOONG Pooi Ken	BPC537	Licensed Representative (Provisional Licence)	4
FU Chi Yau	BPC105	Licensed Representative (Provisional Licence)	1, 4
FU Guoling	BPE562	Licensed Representative (Provisional Licence)	1, 4, 9
FU Jianwen	BOU744	Licensed Representative (Provisional Licence)	1, 4
FUKUSHI Ryosuke	BOB141	Licensed Representative (Provisional Licence)	1, 2
FUKUYAMA Kunishige	BOU459	Licensed Representative (Provisional Licence)	1, 4, 6
FUNG Chun Kit	BPC683	Licensed Representative (Provisional Licence)	1, 2, 3
GAO Ting	BAE173	Licensed Representative (Provisional Licence)	9
GHALAYINI Jamil	BOZ220	Licensed Representative (Provisional Licence)	9
GOENKA SIDDHANT	BPC779	Licensed Representative (Provisional Licence)	9
GONG Shu	BHN018	Licensed Representative (Provisional Licence)	1, 4
GUO Can	BPE975	Licensed Representative (Provisional Licence)	1, 6
GUO Qilin	BPB801	Licensed Representative (Provisional Licence)	1, 6
HADDAD Eve Alice	BPC749	Licensed Representative (Provisional Licence)	1, 2
HAI Feng	BPB710	Licensed Representative (Provisional Licence)	9
HASEGAWA Ryo	BPC507	Licensed Representative (Provisional Licence)	9
HAU Ging Wah, Terence	BBQ445	Licensed Representative (Provisional Licence)	1, 9
HE Jiawei	BPC121	Licensed Representative (Provisional Licence)	1
HE Lixin	BPE476	Licensed Representative (Provisional Licence)	1, 4, 6
HE Long	BPD680	Licensed Representative (Provisional Licence)	1, 4, 6
HE Ting	BPB204	Licensed Representative (Provisional Licence)	9
HE Xiaoxiao	BPC510	Licensed Representative (Provisional Licence)	1, 6
HE Yuxi	BPB712	Licensed Representative (Provisional Licence)	10
HODGE Andrew John	BOK483	Licensed Representative (Provisional Licence)	4
HONG Meichun	BPA300	Licensed Representative (Provisional Licence)	1
HONG Yaya	BPD390	Licensed Representative (Provisional Licence)	1, 2, 4
HORIAI Koji	AVC265	Licensed Representative (Provisional Licence)	1
HOU HANG KWAN	BPB414	Licensed Representative (Provisional Licence)	10
HUA Tianyue	BPC241	Licensed Representative (Provisional Licence)	1, 4, 6
HUANG Juewei	BBX604	Licensed Representative (Provisional Licence)	9
HUANG Tiandang	BPB535	Licensed Representative (Provisional Licence)	1, 4, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
HUI Hoi Wan	ALV421	Licensed Representative (Provisional Licence)	9
JAGANNATHAN Badri Ramanujan	BGI774	Licensed Representative (Provisional Licence)	9
JEON Jeffrey Jinwoong	BEM314	Licensed Representative (Provisional Licence)	1, 4
JI Ge	BPC355	Licensed Representative (Provisional Licence)	1, 4, 6
JIA Mengyao	BOZ732	Licensed Representative (Provisional Licence)	1, 4
JIANG Lai	BPD999	Licensed Representative (Provisional Licence)	1, 6
JIANG Yan	BPE265	Licensed Representative (Provisional Licence)	1, 4, 9
JIM Wing Han	BPC242	Licensed Representative (Provisional Licence)	1
KIELY Lucas Alexander	BAD884	Licensed Representative (Provisional Licence)	4, 9
KIR Eddie Jen	AOD040	Licensed Representative (Provisional Licence)	1
KWAN Kwok Ho, Aaron	BPB225	Licensed Representative (Provisional Licence)	1
KWOK Chun Hin	BPE160	Licensed Representative (Provisional Licence)	1, 4
KWON Boo Sung	BFH187	Licensed Representative (Provisional Licence)	1, 2
LAI Chun Hing	AVM461	Licensed Representative (Provisional Licence)	1
LAI CHUNG-CHIH	BOZ248	Licensed Representative (Provisional Licence)	1, 2
LAI Hei In	BPE706	Licensed Representative (Provisional Licence)	1, 4
LAI Kai Wing	AFV860	Licensed Representative (Provisional Licence)	1, 2
LAI Yuen Yung, Elita	AYW932	Licensed Representative (Provisional Licence)	4
LAM Chui Fan	BJE216	Licensed Representative (Provisional Licence)	4
LAM Chung Hin	BPC528	Licensed Representative (Provisional Licence)	1, 2
LAM Hon Shing	BPC298	Licensed Representative (Provisional Licence)	1
LAM Ka Wai	BGO474	Licensed Representative (Provisional Licence)	1, 2
LAM Sze Wai	BPD545	Licensed Representative (Provisional Licence)	1, 4
LAM Wan Sum Winsome	BPD980	Licensed Representative (Provisional Licence)	1, 4
LAM Wing Shuen	ALQ164	Licensed Representative (Provisional Licence)	1, 4
LAU Hong Lin	BEV755	Licensed Representative (Provisional Licence)	1, 4, 9
LAU Shing Kwan	BPE183	Licensed Representative (Provisional Licence)	4, 9
LAU Yiu Lung Peter	BPE594	Licensed Representative (Provisional Licence)	1, 4
LAU Yuk Pan	BPC446	Licensed Representative (Provisional Licence)	4, 9
LEE Chok Wai	BGR491	Licensed Representative (Provisional Licence)	4
LEE Hoo Wan, Owen	AOR607	Licensed Representative (Provisional Licence)	1, 2
LEE Kee-hwan	BPC566	Licensed Representative (Provisional Licence)	1, 4
LEE Kwok Wen	BPB649	Licensed Representative (Provisional Licence)	1, 2
LEE Man Heung	AYH386	Licensed Representative (Provisional Licence)	10
LEUNG Ka Fei Catherine	BPA162	Licensed Representative (Provisional Licence)	1
LEUNG Ka Wei	BPC710	Licensed Representative (Provisional Licence)	1, 4, 9
LEUNG Man Hin	BPD538	Licensed Representative (Provisional Licence)	1, 2
LEUNG Pui Yin	AIO017	Licensed Representative (Provisional Licence)	1
LEUNG Sheung Bun	BIW863	Licensed Representative (Provisional Licence)	4
LEUNG Yu Hang	AUT166	Licensed Representative (Provisional Licence)	1, 9
LI Can	BPE240	Licensed Representative (Provisional Licence)	1, 4
LI Fangyun	BPD939	Licensed Representative (Provisional Licence)	1, 6
LI Lung Fung	BHK939	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Pei Hang	BPE371	Licensed Representative (Provisional Licence)	4, 9
LI Rongbiao	BPC686	Licensed Representative (Provisional Licence)	1, 4, 6
LI Xiangguo	BPB184	Licensed Representative (Provisional Licence)	1, 4, 6
LI Xuanren	BPA548	Licensed Representative (Provisional Licence)	1, 4
LI Zhibin	BPB354	Licensed Representative (Provisional Licence)	1
LI Zhichao	BPB266	Licensed Representative (Provisional Licence)	1, 4
LI Zhijun	BPB598	Licensed Representative (Provisional Licence)	1
LI Zhiming	AZO316	Licensed Representative (Provisional Licence)	1, 4, 6
LI Ziang	BPC543	Licensed Representative (Provisional Licence)	1
LIANG Bing	BPE566	Licensed Representative (Provisional Licence)	4, 9
LIAO Rui	BPE954	Licensed Representative (Provisional Licence)	9
LIM Dae Hyuck	BJV724	Licensed Representative (Provisional Licence)	1, 4, 9
LIM David Aik Liang	AXR836	Licensed Representative (Provisional Licence)	9
LIM Min Lynette	BPA405	Licensed Representative (Provisional Licence)	1, 4
LIM Wen Yao, Lester	BEB082	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Hsuan Yi	BPB321	Licensed Representative (Provisional Licence)	4
LIN Mengwei	BOW801	Licensed Representative (Provisional Licence)	9
LIN Ming-Shao	BOZ249	Licensed Representative (Provisional Licence)	1, 2
LIN Ruohan	BCB480	Licensed Representative (Provisional Licence)	9
LIN Ying Cheng	BJB913	Licensed Representative (Provisional Licence)	1
LIU Jiapei	BPE711	Licensed Representative (Provisional Licence)	1, 2
LIU Kai Wang Levin	BPD415	Licensed Representative (Provisional Licence)	1, 4
LIU Mingchen	BPB511	Licensed Representative (Provisional Licence)	1
LIU Rui	BPE527	Licensed Representative (Provisional Licence)	4
LIU Shui Yuen	BPC243	Licensed Representative (Provisional Licence)	3
LIU Si	AVM307	Licensed Representative (Provisional Licence)	2, 5
LIU Wei	BPD655	Licensed Representative (Provisional Licence)	4
LIU Yin Yu	BPB705	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Zicheng	BPE191	Licensed Representative (Provisional Licence)	4, 9
LIU Ziye	BPB611	Licensed Representative (Provisional Licence)	1, 6
LO Tsz Fung, Alexander	BPC657	Licensed Representative (Provisional Licence)	1, 6
LOW Ling Yi	BPA319	Licensed Representative (Provisional Licence)	1
LU Ling	BOW739	Licensed Representative (Provisional Licence)	2, 5
LU Yang	BPB343	Licensed Representative (Provisional Licence)	1, 4, 9
LUM Chin Pong	AAF304	Licensed Representative (Provisional Licence)	4, 9
LUO Yiwen	BIC107	Licensed Representative (Provisional Licence)	4
MA Andrea	AYQ422	Licensed Representative (Provisional Licence)	1
MA Jingjing	BAF630	Licensed Representative (Provisional Licence)	4, 9
MA Ki King	BPC376	Licensed Representative (Provisional Licence)	1, 2
MA Rong	BPD929	Licensed Representative (Provisional Licence)	1, 6
MA Xiao	ASP710	Licensed Representative (Provisional Licence)	1, 2, 9
MAH Seng Chai	BPG015	Licensed Representative (Provisional Licence)	9
MAHFOUDA Michael	BPA106	Licensed Representative (Provisional Licence)	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
MAK Chi Ho	ATN700	Licensed Representative (Provisional Licence)	4, 6, 9
MEI Liyang	BPC234	Licensed Representative (Provisional Licence)	4, 9
MENG Chen	BMB955	Licensed Representative (Provisional Licence)	1, 2
MITHRAN Girish	AFU262	Licensed Representative (Provisional Licence)	9
MORIOKA Ryota	BPE776	Licensed Representative (Provisional Licence)	1
NAKAMURA Ken	BPB370	Licensed Representative (Provisional Licence)	1, 2
NETO Florian Andre Jean	BPD470	Licensed Representative (Provisional Licence)	1, 4, 9
NG Chung Ting	BPB715	Licensed Representative (Provisional Licence)	1, 2, 3, 4
NG Wai See	BOW964	Licensed Representative (Provisional Licence)	1, 2, 4, 5
NG Wing Gee Gi	BPE918	Licensed Representative (Provisional Licence)	1, 6
NI Jiangshan	BPA425	Licensed Representative (Provisional Licence)	10
NI Qingyun	BPB441	Licensed Representative (Provisional Licence)	1, 4, 9
OON Ai Li	BPD757	Licensed Representative (Provisional Licence)	1, 4, 6
PAK Jonathan	BPF283	Licensed Representative (Provisional Licence)	1
PAN Luyi	BBH993	Licensed Representative (Provisional Licence)	1, 2
PAN Yingzhu	BOZ771	Licensed Representative (Provisional Licence)	1, 4
PANG Ho Chuen	BJE558	Licensed Representative (Provisional Licence)	4
PANG Hui Man April	BPE281	Licensed Representative (Provisional Licence)	1, 4, 9
PANG Walter	BOZ994	Licensed Representative (Provisional Licence)	1
PANG Zilu	BPC675	Licensed Representative (Provisional Licence)	1, 6
PAULHAN Tommy Jean-Max	BPB754	Licensed Representative (Provisional Licence)	1
POSYAVIN Roman	BPC685	Licensed Representative (Provisional Licence)	1, 4
RAJIV Shashank Abhisheik	BPC592	Licensed Representative (Provisional Licence)	1, 4
RAMOS SUZANNE CARMEN	BPC491	Licensed Representative (Provisional Licence)	9
ROMANEIRO Eduardo Alexandre Victorino	AXV642	Licensed Representative (Provisional Licence)	1
SHAHAM Ido	BPA292	Licensed Representative (Provisional Licence)	1, 4, 6
SHANG Yu	BPD643	Licensed Representative (Provisional Licence)	1, 4, 6
SHEN Xinyi	BPE620	Licensed Representative (Provisional Licence)	1, 4
SHI Chenrong	BPD736	Licensed Representative (Provisional Licence)	1, 2
SHIH Ting Yu	BPD708	Licensed Representative (Provisional Licence)	1, 4, 6
SO Ka Hei	BOZ912	Licensed Representative (Provisional Licence)	1
SO Yee Kwan	BPD937	Licensed Representative (Provisional Licence)	1, 4
SONG Xueyuan	BPB735	Licensed Representative (Provisional Licence)	1, 4, 9
SU Dan	BPD753	Licensed Representative (Provisional Licence)	1, 4, 9
SU Zheng	BOJ687	Licensed Representative (Provisional Licence)	9
SUBRAMANIAN Vihang	BPB583	Licensed Representative (Provisional Licence)	4, 9
SUEN Lok Hang	BPD583	Licensed Representative (Provisional Licence)	1, 2
SUI Xin	BPD457	Licensed Representative (Provisional Licence)	1, 2
SUN Fei	BPC802	Licensed Representative (Provisional Licence)	9
TA Xiaoyuan	BAA799	Licensed Representative (Provisional Licence)	9
TAI Chiu Lun	BPE525	Licensed Representative (Provisional Licence)	1, 2
TAKAHASHI Shohei	BOZ987	Licensed Representative (Provisional Licence)	1, 4, 6
TAN Kher Khang Colin	BOZ655	Licensed Representative (Provisional Licence)	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
TAN Xi	BPA120	Licensed Representative (Provisional Licence)	1, 4, 9
TANG Francisca Yik Hey	BPD384	Licensed Representative (Provisional Licence)	1
TANG Kai Long	BPC273	Licensed Representative (Provisional Licence)	1
TANG Min	AVM772	Licensed Representative (Provisional Licence)	1
TANG Qianxia	BPC303	Licensed Representative (Provisional Licence)	1, 4
TANG Shentao	BPA128	Licensed Representative (Provisional Licence)	4
TANG Tian	BOZ722	Licensed Representative (Provisional Licence)	1, 6
TIAN Yu	BPE498	Licensed Representative (Provisional Licence)	1, 6
TONG Joseph Che	BPC283	Licensed Representative (Provisional Licence)	1, 4, 6
TONG Kit Ling, Michelle	ACB541	Licensed Representative (Provisional Licence)	1, 4, 9
TONG Xin	BOW534	Licensed Representative (Provisional Licence)	1, 6
TOTLA Vaibhav Vishnudas	ALZ933	Licensed Representative (Provisional Licence)	1
TSANG Tik Ki	BPA517	Licensed Representative (Provisional Licence)	1, 4, 9
TSUI Woon Mei	AAF603	Licensed Representative (Provisional Licence)	1, 4
UPADRASTA Sivakumar	BLT551	Licensed Representative (Provisional Licence)	1, 4
VAN DEN WALL BAKE Sander Willem	BPC726	Licensed Representative (Provisional Licence)	1
VISWAMANI Sreeram	BHV463	Licensed Representative (Provisional Licence)	9
WAN Derek Yiu Chung	BPE467	Licensed Representative (Provisional Licence)	9
WANG Erchong	BPC284	Licensed Representative (Provisional Licence)	1, 4
WANG Haibo	BPC512	Licensed Representative (Provisional Licence)	1, 4
WANG Hansheng	BPA198	Licensed Representative (Provisional Licence)	1, 2
WANG Jiayue	BKB891	Licensed Representative (Provisional Licence)	4
WANG Kuan-Chun	BBB698	Licensed Representative (Provisional Licence)	1, 2
WANG Lin	BPC226	Licensed Representative (Provisional Licence)	4
WANG Mingxiao	BPC117	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Ruosi	BPC702	Licensed Representative (Provisional Licence)	5
WANG Shuangfei	BPA306	Licensed Representative (Provisional Licence)	1
WANG Xudong	BPD922	Licensed Representative (Provisional Licence)	1
WANG Yijie	BPD586	Licensed Representative (Provisional Licence)	4, 9
WANG Yuchen	BPE131	Licensed Representative (Provisional Licence)	2, 5
WANG Ziwei	BOZ639	Licensed Representative (Provisional Licence)	1, 6
WHYMAN Stephen Paul	BOZ925	Licensed Representative (Provisional Licence)	1, 2, 9
WONG Chi Ching Juliet	BPA328	Licensed Representative (Provisional Licence)	1, 4
WONG Chi Chung Albert	BPD543	Licensed Representative (Provisional Licence)	1, 4
WONG Wai Quen, Jeffrey	BPD952	Licensed Representative (Provisional Licence)	4, 9
WOO Tze Yui	BPC548	Licensed Representative (Provisional Licence)	4
WU Jiangfeng	BOS922	Licensed Representative (Provisional Licence)	1
WU Sze Man	AMP166	Licensed Representative (Provisional Licence)	1, 4
WU Wei-Che	BOZ250	Licensed Representative (Provisional Licence)	1, 2, 9
WU Zhuochun	BPD996	Licensed Representative (Provisional Licence)	9
XIONG Yanling	BOZ702	Licensed Representative (Provisional Licence)	1, 4, 6
XU Beike	BOU361	Licensed Representative (Provisional Licence)	1
XU Fanfei	BPB455	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
XUE Yifei	BNR812	Licensed Representative (Provisional Licence)	1
YAMAGUCHI Yusuke	BPE258	Licensed Representative (Provisional Licence)	1, 2
YAN Honglin	BPC693	Licensed Representative (Provisional Licence)	4
YAN Kei Shui, Leo	AOG060	Licensed Representative (Provisional Licence)	4, 9
YAN Tsz Yiu	BPD546	Licensed Representative (Provisional Licence)	1, 6
YANG Lei	BCY602	Licensed Representative (Provisional Licence)	1
YANG Linli	BPB770	Licensed Representative (Provisional Licence)	4, 9
YANG Tianhong	BPE741	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Xuantong	BPE397	Licensed Representative (Provisional Licence)	4, 5, 9
YAO Xinchun	BOZ623	Licensed Representative (Provisional Licence)	1, 4
YAO Xunyu	BPC088	Licensed Representative (Provisional Licence)	1, 4
YAU Man	ABS584	Licensed Representative (Provisional Licence)	1
YEH I San	AHC004	Licensed Representative (Provisional Licence)	1, 4, 6
YEN Marcus Cheuk Lun	BPD918	Licensed Representative (Provisional Licence)	1
YEUNG Kwong Hing	BNF966	Licensed Representative (Provisional Licence)	1
YEUNG Wing Chun	BPB323	Licensed Representative (Provisional Licence)	1, 4
YI Feng	BOZ847	Licensed Representative (Provisional Licence)	1, 4, 6
YI Tongyu	BPE470	Licensed Representative (Provisional Licence)	1, 6
YIN Hanzhang	BFX694	Licensed Representative (Provisional Licence)	4
YIU Ka Hing	AVW705	Licensed Representative (Provisional Licence)	4, 9
YU Haozhang	BPC360	Licensed Representative (Provisional Licence)	1, 2
YU King Leung	APK782	Licensed Representative (Provisional Licence)	1, 4
YU Tao	BKJ226	Licensed Representative (Provisional Licence)	4, 9
YUEN Hok Man	BPD397	Licensed Representative (Provisional Licence)	9
YUNG Chi Yeung	BPB536	Licensed Representative (Provisional Licence)	1, 4, 6
YUSUF Abang Rahmat Shobra	BPA202	Licensed Representative (Provisional Licence)	6
ZENG Lingchao	BPC040	Licensed Representative (Provisional Licence)	1, 2, 4
ZHANG Dale	BOZ872	Licensed Representative (Provisional Licence)	9
ZHANG Junhui	BPD779	Licensed Representative (Provisional Licence)	1, 6
ZHANG Liang	BPD770	Licensed Representative (Provisional Licence)	1, 4
ZHANG Ruihang	BPC718	Licensed Representative (Provisional Licence)	1
ZHANG Yu	BPB756	Licensed Representative (Provisional Licence)	4, 5, 9
ZHANG Ziqi	BPB595	Licensed Representative (Provisional Licence)	4
ZHAO Yihan	BOZ366	Licensed Representative (Provisional Licence)	1
ZHENG Jieyu Joyce	BPA608	Licensed Representative (Provisional Licence)	1, 2
ZHENG Xinhuang	BPB538	Licensed Representative (Provisional Licence)	1, 4
ZHOU Chenxuan	BPE664	Licensed Representative (Provisional Licence)	4
ZHOU Tong	BPB328	Licensed Representative (Provisional Licence)	1, 6
ZHOU Xujie	BPB706	Licensed Representative (Provisional Licence)	6
ZHU Shenggang	BMV004	Licensed Representative (Provisional Licence)	9
ZHUANG Chaozheng	BPA381	Licensed Representative (Provisional Licence)	1
AZELBY Joseph Kenneth	BPA395	Licensed Representative (Temporary Licence)	1, 4
BOWERS Grant Blair	ARP018	Licensed Representative (Temporary Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
BROWN Evan Michael	BNV377	Licensed Representative (Temporary Licence)	1, 4
CHIDGEY Kieren Joseph	BJX129	Licensed Representative (Temporary Licence)	4
CHITTMITRAPAP Sahnfun	BOS939	Licensed Representative (Temporary Licence)	1
CHOPRA Raashi	BEE682	Licensed Representative (Temporary Licence)	4
CHUA Minguang Donald	BEF380	Licensed Representative (Temporary Licence)	4
COULTER Nicholas David	BOW447	Licensed Representative (Temporary Licence)	4
COXON Nicholas Alexander	BPE768	Licensed Representative (Temporary Licence)	1
DARMONO Permadawani	BJH031	Licensed Representative (Temporary Licence)	4
DOWD Daniel Vincent	BNZ296	Licensed Representative (Temporary Licence)	4
DUNGER Matthew John	BFF148	Licensed Representative (Temporary Licence)	4
DUNNETT Brian David	BBH893	Licensed Representative (Temporary Licence)	1
ELLIS James William Edward	AWP670	Licensed Representative (Temporary Licence)	4
FLETCHER David Alan Fraser	BOI772	Licensed Representative (Temporary Licence)	1, 4
GALLACHER Neil William	BPD901	Licensed Representative (Temporary Licence)	1
GARRE Venugopal	AML716	Licensed Representative (Temporary Licence)	4
GEIGER Dennis Patrick	BMW086	Licensed Representative (Temporary Licence)	4
GONG Yongfeng	BPE661	Licensed Representative (Temporary Licence)	4
HEINEL Lori Miller	BOA168	Licensed Representative (Temporary Licence)	4
IONOV Sergey	BNX026	Licensed Representative (Temporary Licence)	1, 2
JUNG Ho Yoon	BOH718	Licensed Representative (Temporary Licence)	4
KATO Takaaki	ATE766	Licensed Representative (Temporary Licence)	1
KOVACS Gyorgy	BNV827	Licensed Representative (Temporary Licence)	4
LEE Young Gon	BNO013	Licensed Representative (Temporary Licence)	1, 2
LUANGMETTAKUL Warayut	BPD902	Licensed Representative (Temporary Licence)	4
LUO Di	BHH206	Licensed Representative (Temporary Licence)	1, 4
MAGIER Stefan Antoni	BOR793	Licensed Representative (Temporary Licence)	10
MAN Ka Fai	BPD726	Licensed Representative (Temporary Licence)	1, 4
MATHUR Aditya	BEO279	Licensed Representative (Temporary Licence)	4
MOERDLER Mark L	BER580	Licensed Representative (Temporary Licence)	4
MONAPPA Aditya	BNH920	Licensed Representative (Temporary Licence)	4
MOSKOVIC David Michael	BPE148	Licensed Representative (Temporary Licence)	1, 2
NA PATTALOONG Natchutha	BIO006	Licensed Representative (Temporary Licence)	4
NIHONYANAGI Kei	BBP472	Licensed Representative (Temporary Licence)	4
O'REILLY Gerard Kieran	BLB714	Licensed Representative (Temporary Licence)	1
PERRET Christopher Scott	BPF315	Licensed Representative (Temporary Licence)	1, 4
RUBIN Aaron James	BPE809	Licensed Representative (Temporary Licence)	1
SHARMA Arvind	BOA566	Licensed Representative (Temporary Licence)	4
SHROFF Abhijit	BOM516	Licensed Representative (Temporary Licence)	1
SINGH Saurabh Devendra	AVO929	Licensed Representative (Temporary Licence)	4
SINGLA Anuj	BLW779	Licensed Representative (Temporary Licence)	4
SINGLA Anuj	BLW779	Licensed Representative (Temporary Licence)	4
TEASDEL Joel Hamish Gregory John	BOL757	Licensed Representative (Temporary Licence)	1
THOMAS Richard	BDD358	Licensed Representative (Temporary Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
TSANG Siu Hin, Gary	BJG568	Licensed Representative (Temporary Licence)	4
TUCKER Mark Andrew	BOC945	Licensed Representative (Temporary Licence)	1
VERBERK Victor	BNO793	Licensed Representative (Temporary Licence)	1
WEI Zhengyi	BPF457	Licensed Representative (Temporary Licence)	4
WILKIE Robert Malcolm	BHB487	Licensed Representative (Temporary Licence)	1
YAMASHITA Chihiro	BNE474	Licensed Representative (Temporary Licence)	1
YOO Jongwoo	BEX905	Licensed Representative (Temporary Licence)	4
YU Jeffrey	BPE885	Licensed Representative (Temporary Licence)	1, 2
YUEN Edward	BOR925	Licensed Representative (Temporary Licence)	1, 2
YUNG-HOK Peter Stephen	BDL617	Licensed Representative (Temporary Licence)	2, 5
ZADORNOVA Anna	BGN220	Licensed Representative (Temporary Licence)	4
ZHANG Yingqiu	BNG716	Licensed Representative (Temporary Licence)	4