

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
Commerz Securities Hong Kong Limited	AEU726	Licensed Corporation	1
Evolution Securities Asia Limited	AHA145	Licensed Corporation	4, 6
First Asia Finance Group Limited	ACD565	Licensed Corporation	1, 4, 6
HGNH International Forex Co., Limited	BBT517	Licensed Corporation	3
Hou Tak Securities Limited	ABN233	Licensed Corporation	1
Spring Capital Asia, Limited	ARA490	Licensed Corporation	4, 9
Victoire Asia Investments Limited	BCK952	Licensed Corporation	9
WKA Asset Management (Hong Kong) Limited	BHI980	Licensed Corporation	4, 9
AGARWAL Nitin	BMM160	Licensed Representative	1
AMIR Faridah	BDJ450	Licensed Representative	1, 4
Amit Singh	BNN372	Licensed Representative	1, 2
AU Fung Ming	AGN637	Licensed Representative	1
BAI Xiangliang	BDO575	Licensed Representative	1, 4, 9
BAKER Toby	AYI012	Licensed Representative	4, 9
BAYLEY Luke	AWD072	Licensed Representative	1, 6
BEATH Byron Ashley	BGX957	Licensed Representative	1, 4, 9
BENHMADE El Mehdi	ATH874	Licensed Representative	1, 9
BEUKE JR Richard Alexander	AVP169	Licensed Representative	4, 9
BLOUD Valery Laurent Gregory	ALH710	Licensed Representative	1, 2
BOURMAYAN Vahe Olivier	AJD563	Licensed Representative	1, 2
BRAESCH Lucas Mathis Felix	AYU385	Licensed Representative	1, 2
BRUGNER Mark Henry	ASM384	Licensed Representative	1
CAI Yunyun	AZY702	Licensed Representative	1, 4
CAO Yu, Ricky	BNB212	Licensed Representative	1, 4
CHAI Jibang	BOI890	Licensed Representative	4
CHAK Wing Yiu Philip Junior	BCN795	Licensed Representative	2, 5
CHAN Cheuk Yiu	AVI421	Licensed Representative	1, 6
CHAN Chi Ching	AGR579	Licensed Representative	1
CHAN Chi Fung	AFY450	Licensed Representative	1, 9
CHAN Kai Yuen	ABH438	Licensed Representative	1, 4, 9
CHAN Kam Wah	ATI428	Licensed Representative	1
CHAN Kevin Jun Xian	BNX489	Licensed Representative	4
CHAN Kwun Yin	BJH176	Licensed Representative	1, 4
CHAN Mei Yee	ACK991	Licensed Representative	3
CHAN Ming Chuen	AEO599	Licensed Representative	1
CHAN Ngan Fei	BMV341	Licensed Representative	1, 2, 4
CHAN Siu Ting	BOF597	Licensed Representative	6
CHAN Tsz Kwan	BJM225	Licensed Representative	1
CHAN Wai Ming	AFG741	Licensed Representative	1, 2
CHAN Wing Yin	BKC716	Licensed Representative	1, 2
CHAN Yat Kei	ADQ466	Licensed Representative	4
CHAN Ying Heung	BFO636	Licensed Representative	1, 4
CHANG Eric	AOZ110	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHANG Jennifer Ting	AVN307	Licensed Representative	9
CHANG Xinwen	BMJ975	Licensed Representative	1, 4, 6
CHAU Kwok Kuen	BFM781	Licensed Representative	1, 6
CHEN Chen	BNC203	Licensed Representative	4
CHEN Donghui	BDM846	Licensed Representative	1, 6
CHEN Shengjie	BMV457	Licensed Representative	9
CHEN Tianyang	BKW246	Licensed Representative	6
CHEN Tingting	BLK154	Licensed Representative	4
CHEN Yingyong	BNL497	Licensed Representative	9
CHEN Yu	BLW740	Licensed Representative	4
CHEN Yulong	BGX255	Licensed Representative	1, 4, 9
CHEN Zhangqing	BNX771	Licensed Representative	4, 9
CHENG Leslie See Chun	BMS626	Licensed Representative	1
CHENG Oi Ching, Patricia	ATM958	Licensed Representative	4
CHENG Pui Kan	APY381	Licensed Representative	1, 2
CHENG Shing Wai	AWX138	Licensed Representative	1, 2
CHENG Tak Yiu	AGB941	Licensed Representative	4, 9
CHEUNG Chun	BLF137	Licensed Representative	6
CHEUNG Fung Chuen	AYA218	Licensed Representative	4
CHEUNG Hing Lung	AFT580	Licensed Representative	1
CHEUNG Ka Yan	BJR211	Licensed Representative	1, 2
CHEUNG Kam Hong	BOX063	Licensed Representative	9
CHEUNG Kar Ying	BOC354	Licensed Representative	1, 4, 6
CHEUNG Suet Lin	BJY958	Licensed Representative	9
CHEUNG Wing Ho, Billy	ACJ034	Licensed Representative	1, 4
CHEUNG Yi Shan, Anna	BJQ379	Licensed Representative	9
CHIK Yin Yeung	BNF399	Licensed Representative	4
CHIU King Leung	AMP114	Licensed Representative	1, 9
CHIU Wai Yan	AGK990	Licensed Representative	1
CHIU Wei Chia	BOQ895	Licensed Representative	1, 2
CHOI Chung Wang	BNS145	Licensed Representative	1, 4
CHOI Geoffrey Cheuk Lun	BEQ918	Licensed Representative	6
CHONG Jack Chung-Taek	AUZ307	Licensed Representative	1
CHONG Ting	BIQ727	Licensed Representative	4
CHOU Ying	BMR325	Licensed Representative	9
CHOW Chun Pang	AWH239	Licensed Representative	1
CHOW Douglas Edward Chun-kuen	BLO805	Licensed Representative	6
CHOW Wai Kit, Tony	BNG186	Licensed Representative	1, 9
CHOW Wing Nga Alison	AXR663	Licensed Representative	1, 4, 9
CHOW Yuan Hui	BHT023	Licensed Representative	1, 4, 6
CHU Mei Lan	AGC929	Licensed Representative	1
CHUI Menzies	BFA008	Licensed Representative	1, 6
CLAPPIER John Frederick	AGL393	Licensed Representative	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
COHEN Ayal Salomon	BGB772	Licensed Representative	1
COLAS Romain Georges Pierre	AON781	Licensed Representative	1, 2
COUGHLIN Benjamin John	BID245	Licensed Representative	1, 4, 9
COX Jason Ralph	AFJ968	Licensed Representative	6
DONG Fangyuan	BOB771	Licensed Representative	1, 4, 9
DORR Jeffrey Aaron	AWW453	Licensed Representative	4
DOULL Matthew William Monteith	BOC819	Licensed Representative	1, 4
DRYER Katharine Grace	BDI393	Licensed Representative	1
FAN Wenchao	AUQ956	Licensed Representative	2, 5
FANG Tiantian	BOA592	Licensed Representative	1
FENG Shuyang	BET670	Licensed Representative	1, 6
FITZWILLIAMS Angharad Elizabeth Anne	AZT732	Licensed Representative	1
FOK Kin Man	AQQ313	Licensed Representative	1
FREEMAN Rachel Marin	BOW915	Licensed Representative	1, 4, 6
FU Huihui	BEJ105	Licensed Representative	1, 9
FU Lo Cheong Wendy	BME731	Licensed Representative	1
FUNG Hon Nam	AFR093	Licensed Representative	1
FUNG Wai Kan, Eva	APQ630	Licensed Representative	1
GAO Jiamin	BMV831	Licensed Representative	1, 4, 6
GAO Xue	BOB035	Licensed Representative	9
GAO Yun	BBT732	Licensed Representative	4
GARCIA Michael Anthony	AFJ284	Licensed Representative	1, 2
GERVAISE Adrien Lilian Bernard	BLR489	Licensed Representative	1, 2, 7
GILTROW Hugh	BAT886	Licensed Representative	1, 4
GOH Zhi Qiang	BMO079	Licensed Representative	6
GREIF Joseph Judah	AZD669	Licensed Representative	4, 9
GUIARD Sarah Katherine	BGX199	Licensed Representative	9
GUO Yifei	BLG133	Licensed Representative	1, 4, 9
HAN Jee Hyung	BFJ349	Licensed Representative	1
HANSSON David Roland	ARP170	Licensed Representative	2, 5
HARTMANN Jacob David	BOA171	Licensed Representative	1, 6
HE Heung Ting	BFN519	Licensed Representative	1
HERBERT Thomas William	BAI196	Licensed Representative	1, 2
HEUNG Hop Sang	AXZ779	Licensed Representative	6
HO Chun Kit	BEE581	Licensed Representative	1, 4
HO Felix Tsz Kam	AZQ788	Licensed Representative	1, 9
HO Man Kit	BGJ089	Licensed Representative	1, 4
HO Pak Hay	BBN319	Licensed Representative	1, 2
HO Wai Man	AAC788	Licensed Representative	1
HOLCROFT Joshua	AYY599	Licensed Representative	5
HSU Yu Yu	BKK579	Licensed Representative	1, 4
HU Jin	BNY988	Licensed Representative	4
HUANG Li	BDE796	Licensed Representative	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
HUANG Zhiyun	APJ943	Licensed Representative	4
HUI Yat Chim	BGV198	Licensed Representative	1
IP Sin Ying Amy	BOH696	Licensed Representative	4, 9
IP Siu Ying	ANR611	Licensed Representative	1
ISMAIL Akbar	AAB730	Licensed Representative	1
JANG Ji Ho	BKS152	Licensed Representative	1, 4, 6
JI Zhe	BJK888	Licensed Representative	1, 4, 9
JIANG Wanning	BMH757	Licensed Representative	9
JIN Cheng	BJL079	Licensed Representative	1, 4, 6
JIN Xiaojuan	BAH720	Licensed Representative	6
JU Xi	BOT965	Licensed Representative	4
KAIZU Hiroki	BFP230	Licensed Representative	1
KAM Chi Kai	BOA923	Licensed Representative	1, 6
KAM Chun Wai	BIJ854	Licensed Representative	1
KANAN Rugsit	BMV517	Licensed Representative	1, 4
KANG Chuang	BGA486	Licensed Representative	1, 4, 6
KIDMAN Robin James	BOI737	Licensed Representative	1
KIM Young Joo	BIZ377	Licensed Representative	4, 9
KIM Yujin	BGH476	Licensed Representative	1, 6
KIRSTEN Johann Friedrich	BDI581	Licensed Representative	1
KONG Kwai Lan, Joanna	ACJ987	Licensed Representative	3
KONG Siu Man, Elaine	AAJ819	Licensed Representative	3
KONG Suet Yung	BHO136	Licensed Representative	1, 4, 9
KOSOWSKY Tamara Terri	BNI737	Licensed Representative	1
KOU Yuanyuan	BKX467	Licensed Representative	9
KUNG Andre Hoi Hang	BFT022	Licensed Representative	1, 4
KUROIWA Motohiro	BJC782	Licensed Representative	1, 4, 6
KWAN Oi Yee	AUK260	Licensed Representative	2
KWOK Ka Yee	ARI642	Licensed Representative	1
KWONG Lee Ngar	BIT625	Licensed Representative	1, 2
KWONG Siu Hang	BHM642	Licensed Representative	9
LACARRIERE Anne Raymonde	BGK899	Licensed Representative	1
LAI Hoi Nam	BOJ202	Licensed Representative	1
LAI Honghui	BNY346	Licensed Representative	1, 4, 6
LAI Ngai Yuk	BEN253	Licensed Representative	1, 4
LAM Chun Yin Jason	BOA980	Licensed Representative	4, 9
LAM Kin Chung	AXM570	Licensed Representative	1
LAM Shing Wan	ADW210	Licensed Representative	1
LAM Tak Wai, Derek	BEZ160	Licensed Representative	1, 4, 6
LAM Yan Yee	BGG833	Licensed Representative	1, 2
LAU Lik Kin	ANY140	Licensed Representative	1, 9
LAU Tsz Ching	BNP581	Licensed Representative	1
LAU Wing Fung	ASJ918	Licensed Representative	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAW Wai Tik	AVY763	Licensed Representative	1
LEE Chee Khoon	BDB922	Licensed Representative	1, 4, 6
LEE Chun Wah	BHT545	Licensed Representative	1
LEE Hei Shing	BIB598	Licensed Representative	1, 6
LEE Man Sa	ASZ376	Licensed Representative	1, 2
LEE Mei Yan, Debbie	AMJ221	Licensed Representative	1, 4
LEE Sai Hong	AYS423	Licensed Representative	1, 4, 6
LEE Yuan Tse, Edward	AGF152	Licensed Representative	6
LEI Lei	BHG930	Licensed Representative	1, 4
LEUNG Chung Yin	BNF563	Licensed Representative	1
LEUNG Luzviminda Cabanatan	AEU390	Licensed Representative	1, 2, 4, 5
LEUNG Tze Chung	BAN231	Licensed Representative	1
LEUNG Ying Ki	ABI070	Licensed Representative	1, 2
LEUNG Yue Wan, Michael	BMR310	Licensed Representative	1, 4
LI Cheuk Yin, Clive	BAJ284	Licensed Representative	1, 2
LI Fei	ANQ366	Licensed Representative	1
LI Ka Lun Gary	BEZ008	Licensed Representative	1, 6
LI Shun Lee	BLX815	Licensed Representative	1, 2
LI Yang	BDX525	Licensed Representative	1, 4
LI Yu Wai	BCI798	Licensed Representative	3
LI Yucai	BJP792	Licensed Representative	4, 9
LIM Huijing Charlene	BIS127	Licensed Representative	1, 4, 6
LIN Yu-Hsien	BNV691	Licensed Representative	1
LIU Haoran	BCP185	Licensed Representative	9
LIU Harriet Hau Yee	ANP078	Licensed Representative	1
LIU Juncong	BEB632	Licensed Representative	1, 6
LIU Liangping	BCK257	Licensed Representative	1
LIU Mengqiao	BFM614	Licensed Representative	4
LIU Yan	BJO206	Licensed Representative	1, 2, 4
LO Chiu Kin	AKS677	Licensed Representative	1, 2
LO Cho Yan	ACR637	Licensed Representative	1, 2, 4, 5
LO Ho Kwan	BNX552	Licensed Representative	1, 2
LO Lai Kwan, Thebes	ACT431	Licensed Representative	1, 4
LO Moon Fong	AOG198	Licensed Representative	1, 4
LO Pak Hin	BFN428	Licensed Representative	1, 4, 9
LO Si Hon	BJV851	Licensed Representative	1, 4
LOH Yiu Fai	ABN692	Licensed Representative	1, 2, 4, 5, 9
LOK Wing Yui	AOG185	Licensed Representative	1, 2
LU Yao	AVR232	Licensed Representative	10
LU Yi	AWI935	Licensed Representative	1, 6
LUK Kar Yan	BOD514	Licensed Representative	1, 4, 6
LUO Man	BKL195	Licensed Representative	1, 9
LUO Yigong	BNZ120	Licensed Representative	6

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CE Name	CE No.	Licence Type	Types of Regulated Activity
LYU Xiuxiu	BMH516	Licensed Representative	1, 2
MA Hong	BHG427	Licensed Representative	1
MA Menglong	BEA929	Licensed Representative	1, 6
MABILLE Patrick Marie Christian	BDU699	Licensed Representative	1
MAGUIRE Ian Paul	BOL635	Licensed Representative	1, 4, 9
MALOUF Michelle Maria	BLH578	Licensed Representative	1
MAN Chi Hang	BOD299	Licensed Representative	1
MAN Ho Yeung, Eric	AUW648	Licensed Representative	1, 9
MAXTON Ellen Grace	BIN464	Licensed Representative	1, 2
MENG Qiang	BOA386	Licensed Representative	4
MO Chelsea	BKI563	Licensed Representative	1, 6
MOU Wai Mui	BDE318	Licensed Representative	1
MUI Pang Kin, Patrick	ARM775	Licensed Representative	1
NAAJKENS Thomas Gerardus Johannes	BGY362	Licensed Representative	1, 4
NAN Ying	BFU986	Licensed Representative	1
NG Choo Sian	BLZ751	Licensed Representative	1, 6
NG Chung Ming	ACK075	Licensed Representative	3
NG Chung Tat Johnson	BNW997	Licensed Representative	1, 4
NG Kam Pui, Eric	ABA877	Licensed Representative	1, 2, 3
NG Philip Kwan Hung	BKC787	Licensed Representative	1, 2
NG Wing Yan	BGJ107	Licensed Representative	1
NG Yu Fung, Justin	ARO644	Licensed Representative	9
NI Jian	ASG429	Licensed Representative	4, 9
ORLINSKI Zbigniew Frank	BKC693	Licensed Representative	1, 4
OUYANG Shirui	BIN775	Licensed Representative	4
PAJJURI Srinivas Reddy	BOC912	Licensed Representative	4
PANG Chi Yeung	BGB747	Licensed Representative	1, 4
PARK Soyup	BOI977	Licensed Representative	1
PATEL Rupen	BAT885	Licensed Representative	1, 4
PATHMAKANTHAN Shrianand	ANZ816	Licensed Representative	4
PENG Yuhan	BLS882	Licensed Representative	1, 4, 9
PEPE Raffaele	BBF159	Licensed Representative	1, 2
PERRY JR James Arthur	AVV200	Licensed Representative	1, 6
PETROV Petar Zdravkov	BMY094	Licensed Representative	4, 9
PING Chi Kung	AXZ416	Licensed Representative	1, 6
PO Tak Ying	BMQ906	Licensed Representative	1, 4, 9
POIROT Laurent Marie Bernard	ALY828	Licensed Representative	1, 4, 9
POON Kit Ngar	BMQ748	Licensed Representative	1, 2, 7
POON Kwong Kin	AEH038	Licensed Representative	1, 4, 9
POR Yong Liang	ALW307	Licensed Representative	4
PUN Yu San	AXT651	Licensed Representative	1, 2
QIU Tian	APD349	Licensed Representative	9
QUEK Chong Wee	AWI324	Licensed Representative	6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
RANJANA	BGQ893	Licensed Representative	1
REN Minjian	BGX455	Licensed Representative	1, 4, 9
REN Telai	AYK536	Licensed Representative	1
ROLLINS Stuart Liam	BNU301	Licensed Representative	1, 4
SAFFON Marc, Paul, Philippe	AQA675	Licensed Representative	1
SAKAMOTO Tomo	BAN144	Licensed Representative	1
SETO Kong Yiu, Elvis	AML314	Licensed Representative	1, 2, 4
SHANN Shu-Jiun	BHF098	Licensed Representative	1, 2, 4
SHARMA Punam	BIH763	Licensed Representative	4, 9
SHEN Yuan	BMC484	Licensed Representative	1, 2
SHIN Shim	AXH518	Licensed Representative	1, 4
SHIU Lap Kei	AOI979	Licensed Representative	1, 2, 4
SHIU Raymond Ho-man	BJT279	Licensed Representative	1, 4, 9
SIT Yuk Mui	AFN163	Licensed Representative	1
SIU Cheuk Ying	BCG522	Licensed Representative	1, 4
SO Yu Hin	BCR051	Licensed Representative	1, 2
SOLOMONS Jarrad Brendon	BIH718	Licensed Representative	1, 4, 9
SUN FANG	BGB193	Licensed Representative	1, 6
SUN MATTISON Linda Wu	BBF677	Licensed Representative	1, 4
SUNG Yin Wa	BIB362	Licensed Representative	1, 2
SUTTON Andrew Carpenter	AYF882	Licensed Representative	1, 2
SVENSSON Moa Terese	BLK144	Licensed Representative	9
TAM Chit Wa	APQ493	Licensed Representative	1, 6
TAM Man Ching	ACV697	Licensed Representative	1
TAN Ji	BML166	Licensed Representative	4, 9
TAN Kim Kuan Francis	AOO513	Licensed Representative	1, 2
TANG Hui	BJW834	Licensed Representative	1, 4
TANG Ka Yee, Miranda	AEZ134	Licensed Representative	1
TANG Kai Chi	AQM642	Licensed Representative	1, 4
TANG Stephen	BMX898	Licensed Representative	1
TANG Zhe	BMV323	Licensed Representative	4
TAY WEIXIONG Samuel	BLN920	Licensed Representative	1, 4, 9
TEO Ngee Kuan	AOA888	Licensed Representative	9
TEY Chieh Heng Kelvin	BEF652	Licensed Representative	1
TI Lian-Haan	BHQ057	Licensed Representative	1
TSAI Pei I	AVI917	Licensed Representative	1, 6
TSANG Yuet Lai Michelle	AMB380	Licensed Representative	1, 4
TSE Jonathan	BEB231	Licensed Representative	1
TSE Siu Wai	BFC539	Licensed Representative	1, 6
TSEA Po Kwan	AFT942	Licensed Representative	1, 4, 6
VARADAN Charanyan Santhana	BEI893	Licensed Representative	1
VIJAYANT Aashutosh	AVA402	Licensed Representative	1, 2
WALUJO Richardo Putra	BOD324	Licensed Representative	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
WAN Yuen Fun	BGE602	Licensed Representative	1
WANG Aiqian	BOB754	Licensed Representative	1, 4
WANG Albert	APQ327	Licensed Representative	1, 2
WANG Ching	BMX793	Licensed Representative	4
WANG Jian	AVM361	Licensed Representative	1, 6
WANG Lei	ARG674	Licensed Representative	1, 4
WANG Yue	BMT609	Licensed Representative	1
WEI Chieh Sing	ANT490	Licensed Representative	1, 6
WEI Luxi	BDY878	Licensed Representative	1, 4, 6
WONG Cheuk Lam	ASX897	Licensed Representative	1, 4
WONG Chun	BNP057	Licensed Representative	9
WONG Chun Kit	BHO974	Licensed Representative	1, 4
WONG Gregory Lok Tin	BFW636	Licensed Representative	4, 6, 9
WONG Hoi Ting Joanna	BMV377	Licensed Representative	1, 4
WONG Man Wai	AWY555	Licensed Representative	1, 4, 9
WONG Oi Lai, Ely	AAG342	Licensed Representative	1
WONG Richard	BIM168	Licensed Representative	1, 2
WONG Tsz Chun	BJU723	Licensed Representative	4, 9
WONG Tsz Ka Carmen	BIM106	Licensed Representative	4
WONG Tsz Kin	ALA499	Licensed Representative	1
WONG Wai Shing	BAX111	Licensed Representative	1
WONG Wing Chiu, Benson	AFJ977	Licensed Representative	3
WONG Yee Kae	ATV562	Licensed Representative	1, 2
WONG Yuk Yu	BMR367	Licensed Representative	1
WU Lap Yin	AXF643	Licensed Representative	1
WU Shuang	BDT199	Licensed Representative	1, 2, 9
WU Suo	BJX754	Licensed Representative	2, 5
WU Wenhua	BFX489	Licensed Representative	4
WU William Chen-Xi	BKW322	Licensed Representative	1, 4
WU Xuexiao	BLV472	Licensed Representative	1
WU Yawen	BLD319	Licensed Representative	1, 4, 6
XIANG Lu	BNJ112	Licensed Representative	1
XING Yuwei	BMK648	Licensed Representative	1, 2
XU Peiyu	BMH493	Licensed Representative	4
YAM Yin Chuk	BHL705	Licensed Representative	4, 9
YAN Renyuan	BIB907	Licensed Representative	9
YANG Anbang	BMU941	Licensed Representative	4, 9
YANG Li-Chia	BEC384	Licensed Representative	4
YANG Sha	BAK404	Licensed Representative	1, 2
YANG Shuchun	BJP311	Licensed Representative	10
YANG Siwei	BOG506	Licensed Representative	1
YANG Xiaoxi	BLU778	Licensed Representative	1, 2
YAU Tsz Lok	BNJ327	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
YEUNG Chi Yin	AQQ909	Licensed Representative	1, 2
YEUNG Hiu Tung	BNV186	Licensed Representative	1, 2
YEUNG Kim Ting	BHC525	Licensed Representative	1, 4, 9
YEUNG Ngo Ching	BJI909	Licensed Representative	1, 4
YEUNG Sze Nga	BNT995	Licensed Representative	1
YIU Ho Ching	AFR594	Licensed Representative	1
YOUNG Jih Chwen Jason	BJK609	Licensed Representative	9
YU Fang	BNP252	Licensed Representative	4
YU Jue	AXR591	Licensed Representative	1, 4, 6
YU Oi Ming	BHD527	Licensed Representative	1
YUAN Yuchen	BNH355	Licensed Representative	1, 6
YUEN Wing Yi	BAQ371	Licensed Representative	1, 4, 9
ZHANG Bin	BMI551	Licensed Representative	1, 4, 9
ZHANG Xiaonan	BGO453	Licensed Representative	1, 4, 9
ZHANG Xiaoshi	AYN539	Licensed Representative	1, 4
ZHANG Xukun	BOA129	Licensed Representative	6
ZHANG Zhi	BMW383	Licensed Representative	3
ZHENG Jingting	BOF788	Licensed Representative	4, 9
ZHENG Martin	BIB401	Licensed Representative	6
ZHENG Siqi	BHY574	Licensed Representative	4, 9
ZHOU Changjie	BHD869	Licensed Representative	1, 4
ZHOU Chu	BOK408	Licensed Representative	1, 2
ZHOU Lyu	BJB337	Licensed Representative	4, 9
ZHOU Yuyun	BNB359	Licensed Representative	9
ZHOU Zhicheng	BNJ995	Licensed Representative	1, 4
ZHU Helen Yue	AFK424	Licensed Representative	1, 4, 9
ZHU Jishun	BOI112	Licensed Representative	1, 2
ZHUANG Yishen	BCG432	Licensed Representative	1, 4, 9
ZOONEKYND Vincent Davy	BKF080	Licensed Representative	5
ZOU Jiaqi	ASN872	Licensed Representative	2
ZUO Xin	BKE051	Licensed Representative	1
BELLEMIN Frederic Louis Gabriel	BGM936	Licensed Representative - Responsible Officer	1, 4
BROCHARD Jerome Andre Jean Louis	BIO666	Licensed Representative - Responsible Officer	1, 4, 5, 9
BRUGNER Mark Henry	ASM384	Licensed Representative - Responsible Officer	4
BYGGE Claes Johan	BAU933	Licensed Representative - Responsible Officer	1
CHAN Ching Wan	AFH098	Licensed Representative - Responsible Officer	4, 9
CHAN Tsan Tuen	AUB811	Licensed Representative - Responsible Officer	1, 2
CHEN Wei Hua	AZL826	Licensed Representative - Responsible Officer	9
CHING Kerry Kim Wai	AFF128	Licensed Representative - Responsible Officer	1, 4, 9
CHIU Fook Woo	AAE293	Licensed Representative - Responsible Officer	1
CHIU Ying Man, Stanley	ACE768	Licensed Representative - Responsible Officer	4
CHONG Yuk Fai, Victor	BCZ935	Licensed Representative - Responsible Officer	4, 9
CHOO Kong Jam	BGP638	Licensed Representative - Responsible Officer	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
CRAWFORD Bryan	BCG557	Licensed Representative - Responsible Officer	6
GIBB Richard Boyce Massey	ANG128	Licensed Representative - Responsible Officer	6
GREEN Michael Bernard	BAF277	Licensed Representative - Responsible Officer	9
GU Kai	BJO274	Licensed Representative - Responsible Officer	2
HEMRAJANI Manoj Kumar	BJP667	Licensed Representative - Responsible Officer	9
HENDERSON Sarah	ALZ867	Licensed Representative - Responsible Officer	4, 9
HERVEY Edouard Vincent Philippe	AOK738	Licensed Representative - Responsible Officer	1, 2
HITCHNER III Kenneth Walton	BBU273	Licensed Representative - Responsible Officer	1, 4, 5, 6, 9
HUANG Lai Fan	AMD105	Licensed Representative - Responsible Officer	3
HUANG Songyuan	ALT875	Licensed Representative - Responsible Officer	3
HUI Kevin Kam Sun	AOW902	Licensed Representative - Responsible Officer	1, 4, 6
INGRAM Bruce Richard	BBP717	Licensed Representative - Responsible Officer	1
KENT Benedict David Brownie	BKD947	Licensed Representative - Responsible Officer	4, 9
KUNG Jeffrey Ting Pong	ACT256	Licensed Representative - Responsible Officer	4, 9
LAM Josephine	AGY066	Licensed Representative - Responsible Officer	9
LEE Chun Shing	BAP755	Licensed Representative - Responsible Officer	1, 4
LEUNG Mun Shan	AME312	Licensed Representative - Responsible Officer	9
LEUNG Wai Fong	ADC169	Licensed Representative - Responsible Officer	1, 4, 9
LEUNG Wai Lan, Winnie	AAB459	Licensed Representative - Responsible Officer	1
LI Jia	BFY141	Licensed Representative - Responsible Officer	9
LI Kai Yu	ADV652	Licensed Representative - Responsible Officer	4
LIAO Ted Tar-te	BGM906	Licensed Representative - Responsible Officer	1, 4
LIU Stefan	ALB909	Licensed Representative - Responsible Officer	3
LO Cho Yan	ACR637	Licensed Representative - Responsible Officer	3
MA Hsiao-hung, Alisha	ALZ814	Licensed Representative - Responsible Officer	4, 9
MING Albert	AMU061	Licensed Representative - Responsible Officer	1, 4, 9
NAITO Kazuma	AMW685	Licensed Representative - Responsible Officer	2, 5
NG LEUNG Lin Ding, Lydia	AAB454	Licensed Representative - Responsible Officer	1
NG Wai	AAE362	Licensed Representative - Responsible Officer	1
PATEL Rakesh	ALI605	Licensed Representative - Responsible Officer	1, 7
PHILLIPS Peter Frederick	AAV628	Licensed Representative - Responsible Officer	4, 9
QUEK Chong Wee	AWI324	Licensed Representative - Responsible Officer	1
SIEW Wai Yin Yvonne	BKE833	Licensed Representative - Responsible Officer	1, 4
SO Hon Wai, Ignatius	AMF449	Licensed Representative - Responsible Officer	1, 4, 9
TANG Chi Yuen, Nick	ADZ536	Licensed Representative - Responsible Officer	1, 2, 4, 5
TANG Ka Yee, Miranda	AEZ134	Licensed Representative - Responsible Officer	4, 6, 9
TSOI Man	AIU275	Licensed Representative - Responsible Officer	4
WAN Hui Yeung	BGB760	Licensed Representative - Responsible Officer	9
WANG Mingzhe	BEV292	Licensed Representative - Responsible Officer	9
WANG Peng	AWT306	Licensed Representative - Responsible Officer	4, 9
WEI Da	BDM675	Licensed Representative - Responsible Officer	9
WONG Wing Hang, Dominic	ACL614	Licensed Representative - Responsible Officer	1
WU Alex Pin-der	ANM976	Licensed Representative - Responsible Officer	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
WU Chi Keung, Walter	AAZ514	Licensed Representative - Responsible Officer	9
WU Wing Fong	BNN185	Licensed Representative - Responsible Officer	1, 4, 9
WU Yu Robin	ATO859	Licensed Representative - Responsible Officer	9
YIP Hang Ting Abby	BOI799	Licensed Representative - Responsible Officer	9
YIU Chi Wah	ACT308	Licensed Representative - Responsible Officer	1
YURA HIROAKI	BJI945	Licensed Representative - Responsible Officer	1
ZHENG Weida	ARI971	Licensed Representative - Responsible Officer	3
AGARWAL Rohit	BPC293	Licensed Representative (Provisional Licence)	1
AU Cheuk Kwan	AVE048	Licensed Representative (Provisional Licence)	1
AZOUT Yohann Victor	BCJ901	Licensed Representative (Provisional Licence)	1, 2, 7
BAI Xue	BPD570	Licensed Representative (Provisional Licence)	1
BAI Yuyang	BPF400	Licensed Representative (Provisional Licence)	1, 2, 4
BAN Hideyasu	ALF355	Licensed Representative (Provisional Licence)	4
BARDEN Jonathan Robert	BPF618	Licensed Representative (Provisional Licence)	4
BERGER Anthony	AOJ226	Licensed Representative (Provisional Licence)	9
BETTS JORDAN LAWRENCE	BPF563	Licensed Representative (Provisional Licence)	1
BI Wei	ATC372	Licensed Representative (Provisional Licence)	9
BONNEAU Pierre-Henri	BPF461	Licensed Representative (Provisional Licence)	1, 4
BOURBON Robin	BPF377	Licensed Representative (Provisional Licence)	1, 2, 7
CAI Yule	BPF215	Licensed Representative (Provisional Licence)	1
CAO Kelvin Kai	BPE710	Licensed Representative (Provisional Licence)	4, 9
CAO Linhai	BPC072	Licensed Representative (Provisional Licence)	1
CARPENTER James Alistair	BPE998	Licensed Representative (Provisional Licence)	1
CHAN Chun Gary	BPG271	Licensed Representative (Provisional Licence)	1, 4
CHAN Lai Hung Lilian	BPF510	Licensed Representative (Provisional Licence)	1, 4
CHAN Man Yi	BPG219	Licensed Representative (Provisional Licence)	1
CHAN PUI YING	BPG181	Licensed Representative (Provisional Licence)	1, 2, 4
CHAN Tak Shing	BPF125	Licensed Representative (Provisional Licence)	1
CHAN Tsui Lan	BPE985	Licensed Representative (Provisional Licence)	1
CHAN Yeuk Man	AZB520	Licensed Representative (Provisional Licence)	1, 4, 9
CHANG Nanwei	BPH509	Licensed Representative (Provisional Licence)	1
CHAO Teresa	AFU555	Licensed Representative (Provisional Licence)	1, 4, 9
CHAU Sze Yin, Annie	AZV004	Licensed Representative (Provisional Licence)	1, 4
CHEN Chao	BPF574	Licensed Representative (Provisional Licence)	9
CHEN Jiawei	BPF984	Licensed Representative (Provisional Licence)	1, 7
CHEN Junliang	BPB885	Licensed Representative (Provisional Licence)	4
CHEN Kewei	BPB353	Licensed Representative (Provisional Licence)	4
CHEN Lin	AOX336	Licensed Representative (Provisional Licence)	4
CHEN Xudong	BPH392	Licensed Representative (Provisional Licence)	1, 4
CHEN Yitong	BPF726	Licensed Representative (Provisional Licence)	1, 2
CHEN Zhijun	BPG410	Licensed Representative (Provisional Licence)	2
CHEN Zilin	BPG759	Licensed Representative (Provisional Licence)	1, 4, 6
CHENG Tak Wai	BPF621	Licensed Representative (Provisional Licence)	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEUNG Ka Hang	BPC651	Licensed Representative (Provisional Licence)	4
CHEUNG Ka Yan	BPG291	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Pui Chung	BPE459	Licensed Representative (Provisional Licence)	1, 4
CHI Raymond Wai Ming	BPG487	Licensed Representative (Provisional Licence)	4, 9
CHIANG Sze Chung, Michael	BPG523	Licensed Representative (Provisional Licence)	4
CHOW Mei Yee	BPE303	Licensed Representative (Provisional Licence)	1
CHOW Pak Tim	AOW966	Licensed Representative (Provisional Licence)	1, 4, 6
CHU Chi Ching, Edward	ARQ769	Licensed Representative (Provisional Licence)	1, 4, 9
CHUANG Zhi Wei Farhan	BPE144	Licensed Representative (Provisional Licence)	1
CHUNG Kwok Wai, Kelvin	AAJ492	Licensed Representative (Provisional Licence)	1, 4
CUI Xiaoyan	BPE550	Licensed Representative (Provisional Licence)	6
DAI Zhiyuan	BPH714	Licensed Representative (Provisional Licence)	1, 6
DERVILLE Guillaume Colin	AMW646	Licensed Representative (Provisional Licence)	1, 2
DUHERICH Haris	BPF473	Licensed Representative (Provisional Licence)	1
ENGLER Curtis John	BOZ959	Licensed Representative (Provisional Licence)	1
FAN Jia	BPG621	Licensed Representative (Provisional Licence)	9
FENG Canyao	BPF852	Licensed Representative (Provisional Licence)	1, 2
FU Bin	BPD575	Licensed Representative (Provisional Licence)	1, 6
FU Shiwei	BFS397	Licensed Representative (Provisional Licence)	4, 9
FU Tsz Hei	BGA854	Licensed Representative (Provisional Licence)	1, 4
FUKUMOTO Rikiya	BPF372	Licensed Representative (Provisional Licence)	1, 9
FUNG Chun Fung	BPG255	Licensed Representative (Provisional Licence)	1
FUNG Kam Wing	AWU645	Licensed Representative (Provisional Licence)	9
GAO Yingtong	BPG768	Licensed Representative (Provisional Licence)	1, 6
GIANELLA Sandro	AYQ410	Licensed Representative (Provisional Licence)	1
GONG Hailin	BPD880	Licensed Representative (Provisional Licence)	1, 4
GONG Yanmei	BPG280	Licensed Representative (Provisional Licence)	4, 9
GREENE Justin Alexander Howard	AOV566	Licensed Representative (Provisional Licence)	9
GUERIRI Walid	BGE243	Licensed Representative (Provisional Licence)	1, 4
GUO Xiaofei	BPF523	Licensed Representative (Provisional Licence)	1, 4
GUO Zidong	BPG211	Licensed Representative (Provisional Licence)	6
HE Xin	BPG073	Licensed Representative (Provisional Licence)	1, 4, 6
HEPPLESTONE Richard	BPE699	Licensed Representative (Provisional Licence)	1, 4
HOWELL Daniel John	AWF431	Licensed Representative (Provisional Licence)	1, 4, 9
HUANG Guanxiong	BPF850	Licensed Representative (Provisional Licence)	4
HUANG Shi	BIZ350	Licensed Representative (Provisional Licence)	6
HUANG Tieqi	BPH717	Licensed Representative (Provisional Licence)	1, 6
HUI King Che	BPE986	Licensed Representative (Provisional Licence)	1, 2
JANSSEN Cornelis Willibrord Maria	BPB203	Licensed Representative (Provisional Licence)	1, 2
JENNINGS Gil Nathan David	BPE800	Licensed Representative (Provisional Licence)	1, 2
JENNINGS Matthew	BPF332	Licensed Representative (Provisional Licence)	1
JIA Chin Shing Oliver	BPF853	Licensed Representative (Provisional Licence)	1, 2
JIN Ge	BPD352	Licensed Representative (Provisional Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
KAN Yuet Fan	BPH698	Licensed Representative (Provisional Licence)	1, 2
KEUNG Lok Ting Nicole	BPE730	Licensed Representative (Provisional Licence)	1
KIM Jusung	BPB802	Licensed Representative (Provisional Licence)	4, 6
KO Seongjun	BPF795	Licensed Representative (Provisional Licence)	9
KONG Wei Chyun	BPF533	Licensed Representative (Provisional Licence)	1
KUMAR Jaijit	BPE887	Licensed Representative (Provisional Licence)	1, 4, 9
KWAN Chun Wai, Roy	AOT954	Licensed Representative (Provisional Licence)	1
LAI Kwan Yee Stephanie	BPD917	Licensed Representative (Provisional Licence)	1, 7
LAI Kwok Keung	BLK712	Licensed Representative (Provisional Licence)	1, 4
LAM Alvin Chun Hin	BGZ159	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LAM Chi Keung	AQX036	Licensed Representative (Provisional Licence)	9
LAM Mei Mei	BPG691	Licensed Representative (Provisional Licence)	1, 4
LAM Pui Yan	BHV688	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
LAM Wing Man	BPF146	Licensed Representative (Provisional Licence)	9
LAN Yuefei	BPG761	Licensed Representative (Provisional Licence)	1, 6
LAU Sabrina Yin Kwan	BLN468	Licensed Representative (Provisional Licence)	1, 4, 9
LAW Kwok Yam	BPC272	Licensed Representative (Provisional Licence)	6
LAW Yi Ying	BPH520	Licensed Representative (Provisional Licence)	1
LAYDON Frederick Tennyson	AFA237	Licensed Representative (Provisional Licence)	4
LEE David Byung Kon	BGE374	Licensed Representative (Provisional Licence)	4, 9
LEE Jaehun	BON487	Licensed Representative (Provisional Licence)	1, 4
LEE Yat Lam	BPE798	Licensed Representative (Provisional Licence)	1, 4, 9
LI Cai	BPE959	Licensed Representative (Provisional Licence)	4, 9
LI Chun Wing Marvin	BPI067	Licensed Representative (Provisional Licence)	1, 4
LI Feng	BPF707	Licensed Representative (Provisional Licence)	1, 2, 3
LI Rui	BPF572	Licensed Representative (Provisional Licence)	1, 6
LI Weiyang	BOW538	Licensed Representative (Provisional Licence)	1
LI Xingzhuo	BPE503	Licensed Representative (Provisional Licence)	1, 6
LI Zhe	BHC114	Licensed Representative (Provisional Licence)	1
LIANG Felix	AQA152	Licensed Representative (Provisional Licence)	9
LIANG Xinyue	BPF033	Licensed Representative (Provisional Licence)	4, 9
LIAO Jie	BPG195	Licensed Representative (Provisional Licence)	1, 9
LIAO Zhaohui	BPF560	Licensed Representative (Provisional Licence)	1, 4
LIN Ting-Hsuan	APG595	Licensed Representative (Provisional Licence)	1
LIN Yu Siang	BPC281	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Guodong	BPH847	Licensed Representative (Provisional Licence)	1, 4
LIU Louise	BPE504	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Wenjie Edward	BPF916	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Xuyang	BPF024	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Zixiao	BPH864	Licensed Representative (Provisional Licence)	1, 2
LO An Yee, Ann	BPF575	Licensed Representative (Provisional Licence)	1, 4, 9
LO Anthony Pak Him	BPC347	Licensed Representative (Provisional Licence)	1
LO Suet Mui Peggy	BPF561	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
LO Yin Ming	BPF384	Licensed Representative (Provisional Licence)	1, 4
LOKE Weng Yew Kevin	BKT336	Licensed Representative (Provisional Licence)	1, 2
LOO Tze Hui	BFZ515	Licensed Representative (Provisional Licence)	1
LU Xin	BPF674	Licensed Representative (Provisional Licence)	6
LUI Leung Yau	BPD928	Licensed Representative (Provisional Licence)	1
MA Jingyu	BPF969	Licensed Representative (Provisional Licence)	1, 4, 9
MA Ka Fai	BPD878	Licensed Representative (Provisional Licence)	1, 4
MACFARLANE Duncan Charles Neil	BPE336	Licensed Representative (Provisional Licence)	1, 4, 9
MAGIER Stefan Antoni	BOR793	Licensed Representative (Provisional Licence)	10
MAO Wei	ATD879	Licensed Representative (Provisional Licence)	1, 2
MASTRONARDI Adrien Arnaud Mario	BPF734	Licensed Representative (Provisional Licence)	1
MENG Jing	BPC701	Licensed Representative (Provisional Licence)	4, 9
MI Xujing	BPG661	Licensed Representative (Provisional Licence)	1
MINERS - JONES Tristram David	BMN427	Licensed Representative (Provisional Licence)	1, 2, 4, 9
MIYAUCHI Yuko	AWH499	Licensed Representative (Provisional Licence)	1, 4
MOMOSE Yoshitake	BOZ977	Licensed Representative (Provisional Licence)	1, 4, 6
MON Hiu Tung	BPG757	Licensed Representative (Provisional Licence)	1, 4, 9
NAJAR Abderrahmane	BPF311	Licensed Representative (Provisional Licence)	2
NG Tsz Chung	BPE987	Licensed Representative (Provisional Licence)	1
NI Yifan	BPF441	Licensed Representative (Provisional Licence)	1, 6
NIGER Remi Sebastien	BPF336	Licensed Representative (Provisional Licence)	9
NOGUCHI Suguru	BPF378	Licensed Representative (Provisional Licence)	1, 4, 6
OH Wookjin	AUZ619	Licensed Representative (Provisional Licence)	9
PAN Yi	BPG386	Licensed Representative (Provisional Licence)	1, 2
PANG Ka Yin	AEF574	Licensed Representative (Provisional Licence)	1
POON Ho Lun	BPF515	Licensed Representative (Provisional Licence)	1, 2
POON Ting Wo	AGC357	Licensed Representative (Provisional Licence)	1, 4
POVEY Jonathan Luke	BPE705	Licensed Representative (Provisional Licence)	1, 4, 9
QU Manshu	BPF141	Licensed Representative (Provisional Licence)	1, 2
RADIA Vaishali Mukesh	BPE297	Licensed Representative (Provisional Licence)	1, 6
RAMZI Aly	BPF455	Licensed Representative (Provisional Licence)	1, 4, 9
REISER Jasper	BPF562	Licensed Representative (Provisional Licence)	6
REN Jinlei	BPG772	Licensed Representative (Provisional Licence)	1, 6
RICHARDSON Iona Meriel Jane	BPH713	Licensed Representative (Provisional Licence)	4, 9
RONG Jia	BPF021	Licensed Representative (Provisional Licence)	1, 4, 9
SANAPUREDDY Revanth Reddy	BPA390	Licensed Representative (Provisional Licence)	9
SASSOON Andrew Adam	BPC757	Licensed Representative (Provisional Licence)	1, 4, 9
SHAN Yibo	BPG728	Licensed Representative (Provisional Licence)	1, 4
SHEK Chun Wa	ASD193	Licensed Representative (Provisional Licence)	1, 9
SHEN Huajie	BPG214	Licensed Representative (Provisional Licence)	6
SHEN Weize	BPG136	Licensed Representative (Provisional Licence)	1, 4
SHI Yi	BPG560	Licensed Representative (Provisional Licence)	4
SHIH Tzu-yu	BEU847	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
SHIMIZU Hiroyuki	BPF013	Licensed Representative (Provisional Licence)	1
SHU Chuying Kevin	BPD468	Licensed Representative (Provisional Licence)	1, 4, 9
SHUM Chung Yin	BPD581	Licensed Representative (Provisional Licence)	1
SHUM Ka Lei, Carley	ASE805	Licensed Representative (Provisional Licence)	1, 4, 9
SONG Yuting	BPF128	Licensed Representative (Provisional Licence)	1, 6
STAAB Alexander Florian	BPD886	Licensed Representative (Provisional Licence)	2, 5
SUJANANI Dolly Sehwan	BPE236	Licensed Representative (Provisional Licence)	1, 4, 9
SUN Wanning	BPD544	Licensed Representative (Provisional Licence)	1, 4, 9
TAN Yaxi	BPG094	Licensed Representative (Provisional Licence)	1
TANG Ho Lun	BPE780	Licensed Representative (Provisional Licence)	2
TANG Jiwei	BPE889	Licensed Representative (Provisional Licence)	2
TANG Ka Bo	BCZ075	Licensed Representative (Provisional Licence)	1, 4
TANG Kelvin	BPG763	Licensed Representative (Provisional Licence)	1, 6
TANG Yi	BPG093	Licensed Representative (Provisional Licence)	1, 6
TANG Yuting	BPE799	Licensed Representative (Provisional Licence)	6
THAI Sophia Pei	BPF207	Licensed Representative (Provisional Licence)	1
TSAI Hsin Yi	AXV938	Licensed Representative (Provisional Licence)	1, 4
TSANG Heung Hung	BPF331	Licensed Representative (Provisional Licence)	1, 2
TSANG Hin Yip	BOM084	Licensed Representative (Provisional Licence)	1
TSANG Ka Wai	BHC862	Licensed Representative (Provisional Licence)	1
TSANG Lik Him	BPH503	Licensed Representative (Provisional Licence)	1, 2
TSANG Siu Hin, Gary	BJG568	Licensed Representative (Provisional Licence)	4
TSE Kit Ying	BPG697	Licensed Representative (Provisional Licence)	1
TSE Yik Yu	BPF144	Licensed Representative (Provisional Licence)	1, 2, 4
WANG Chen	BBL390	Licensed Representative (Provisional Licence)	4, 9
WANG Guanzhu	BPH472	Licensed Representative (Provisional Licence)	9
WANG Ji	BPF028	Licensed Representative (Provisional Licence)	9
WANG Jian	BPC378	Licensed Representative (Provisional Licence)	4
WANG Kai	BBR122	Licensed Representative (Provisional Licence)	4, 9
WANG Shuai	BNB844	Licensed Representative (Provisional Licence)	4
WANG Will Way	BLH658	Licensed Representative (Provisional Licence)	4, 9
WANG Xing Da	BPF994	Licensed Representative (Provisional Licence)	1, 7
WANG Yiming	BPF629	Licensed Representative (Provisional Licence)	4
WEI Jackson Zhuo Cheng	BKL409	Licensed Representative (Provisional Licence)	1, 6
WEN Baoqi	BPH716	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Angus Chak Ki	BOB946	Licensed Representative (Provisional Licence)	4
WU Ying	BPF568	Licensed Representative (Provisional Licence)	1, 2, 9
WU Yue	AFU292	Licensed Representative (Provisional Licence)	4, 9
XI Chang	BPF148	Licensed Representative (Provisional Licence)	1, 4, 6
XIA Hongye	BPH398	Licensed Representative (Provisional Licence)	1, 6
XIE Changyan	BOZ286	Licensed Representative (Provisional Licence)	4
XING Yan	BGR295	Licensed Representative (Provisional Licence)	1
XU Lu	BPF147	Licensed Representative (Provisional Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
XU Shiqi	BPG364	Licensed Representative (Provisional Licence)	1, 2
XU Weihao	BPG475	Licensed Representative (Provisional Licence)	1
XU Wen	BPF516	Licensed Representative (Provisional Licence)	1
YAMAZAKI Miya	BPE902	Licensed Representative (Provisional Licence)	9
YANG LINSHU	BPG367	Licensed Representative (Provisional Licence)	6
YANG Shenglin	BPD907	Licensed Representative (Provisional Licence)	1, 6
YANG Shuya	BPG221	Licensed Representative (Provisional Licence)	1
YANG Wanqian	BPF143	Licensed Representative (Provisional Licence)	4, 9
YANG Zhenyi	BPJ471	Licensed Representative (Provisional Licence)	4
YAO Chi	BPE254	Licensed Representative (Provisional Licence)	1, 4, 9
YASUDA Tatsuya	AZX833	Licensed Representative (Provisional Licence)	1, 4, 6
YEUNG Ka Lee	ANA541	Licensed Representative (Provisional Licence)	1
YIN Zhe	BPF136	Licensed Representative (Provisional Licence)	1, 4
YIN Ziwei	BPG351	Licensed Representative (Provisional Licence)	1, 4, 6
YIP Pui Yee	ASY648	Licensed Representative (Provisional Licence)	1
YU Chenkai	BPF361	Licensed Representative (Provisional Licence)	6
YU Jianlin	BPE333	Licensed Representative (Provisional Licence)	1, 6
YU Pui Shan	AGD629	Licensed Representative (Provisional Licence)	1
YU Yaodong	BPD919	Licensed Representative (Provisional Licence)	1, 4
YU Yiwen	BPF513	Licensed Representative (Provisional Licence)	1, 2, 9
YUE Meimei	BPF023	Licensed Representative (Provisional Licence)	4
YUNG Chun Fai, Jeffrey	ABH518	Licensed Representative (Provisional Licence)	1, 4
ZENG Huanshi	BPE836	Licensed Representative (Provisional Licence)	1
ZENG Qingpei	BPG558	Licensed Representative (Provisional Licence)	1
ZHANG Hongting	BPF757	Licensed Representative (Provisional Licence)	1, 6
ZHANG Lixin	BPD881	Licensed Representative (Provisional Licence)	1, 2
ZHANG Shida Anson	BOO897	Licensed Representative (Provisional Licence)	1
ZHANG Xuekong	BPD573	Licensed Representative (Provisional Licence)	1, 6
ZHANG Yilan	BEE664	Licensed Representative (Provisional Licence)	9
ZHAO Jiaying	BPE723	Licensed Representative (Provisional Licence)	6
ZHENG Jiayi	BPI021	Licensed Representative (Provisional Licence)	1, 2
ZHOU Chen	BPD879	Licensed Representative (Provisional Licence)	4, 9
ZHOU Han	BPE835	Licensed Representative (Provisional Licence)	1
ZHOU Jiayun	BPF799	Licensed Representative (Provisional Licence)	9
ZHOU Ting	BLY480	Licensed Representative (Provisional Licence)	1
ZHU Xiaodan	BPE721	Licensed Representative (Provisional Licence)	1, 4
ZHU Xuejing	BPD786	Licensed Representative (Provisional Licence)	1, 9
AHMAD Tariq Ashfaq	BPF179	Licensed Representative (Temporary Licence)	1
ALFINITO Giulio	BOW626	Licensed Representative (Temporary Licence)	1
ANDERSON Kathleen Murray	BGZ262	Licensed Representative (Temporary Licence)	1
ANG Sok Han	BLF878	Licensed Representative (Temporary Licence)	1
BRENNAN-CHONG James Yuwen	BOG064	Licensed Representative (Temporary Licence)	4
BUFFLE Marc-Olivier	BKM679	Licensed Representative (Temporary Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
BUTTERWORTH Gregory John	ANJ643	Licensed Representative (Temporary Licence)	1
BYRNE Eric Charles Sprague	BII787	Licensed Representative (Temporary Licence)	1, 4
CAPANNA Derek Emmett	ARJ060	Licensed Representative (Temporary Licence)	1
CHADHA Dushyant	BIE137	Licensed Representative (Temporary Licence)	1
CHALOKEPUNRAT Sirichai	BGX415	Licensed Representative (Temporary Licence)	4
CHAN Simon Yuk Kwan	BCT212	Licensed Representative (Temporary Licence)	1, 4
CHEONG Rebecca Ka Wing	BIQ011	Licensed Representative (Temporary Licence)	1
CHING Yi Edmund	AKU003	Licensed Representative (Temporary Licence)	4
CHO Jung Yong	BIG107	Licensed Representative (Temporary Licence)	1
CHOI Gowoon	BJY957	Licensed Representative (Temporary Licence)	4
CHONG Jack Chung-Taek	AUZ307	Licensed Representative (Temporary Licence)	1, 2
CHOPRA Raashi	BEE682	Licensed Representative (Temporary Licence)	4
CHUA Minguang Donald	BEF380	Licensed Representative (Temporary Licence)	4
CLUSE Reinhard Paul Johannes	AXK265	Licensed Representative (Temporary Licence)	4
COONEY Dion	BIY269	Licensed Representative (Temporary Licence)	1, 2
CROSBY Jason Duane	BOF499	Licensed Representative (Temporary Licence)	1, 2
DOWD Daniel Vincent	BNZ296	Licensed Representative (Temporary Licence)	4
ECKHOFF Simon Paul	BOK556	Licensed Representative (Temporary Licence)	1, 2
ELLIOTT Geoffrey	BOU417	Licensed Representative (Temporary Licence)	4
FENG Kaiyun	BKO099	Licensed Representative (Temporary Licence)	1
FISHER III David Nichols	BOA024	Licensed Representative (Temporary Licence)	1, 4
FORSYTH Douglas Gorman	BAL992	Licensed Representative (Temporary Licence)	1
GARRE Venugopal	AML716	Licensed Representative (Temporary Licence)	4
GHIELEN Carolus Theodorus Rudolf	BPF932	Licensed Representative (Temporary Licence)	1
GONZALES Emeterio III David	AAI265	Licensed Representative (Temporary Licence)	4
GOODMAN III Robert Campe	BNO751	Licensed Representative (Temporary Licence)	1, 4
HAMOUDI Amar Ala	BPI307	Licensed Representative (Temporary Licence)	4
HARRISON Lyanne Marie Leung	BNZ874	Licensed Representative (Temporary Licence)	4
HONG Tianfeng	ANM666	Licensed Representative (Temporary Licence)	4
HSU Chen-Chih	BEE281	Licensed Representative (Temporary Licence)	4
HU Chang	BJR685	Licensed Representative (Temporary Licence)	4
HUANG Jinzheng Corwin	BJC167	Licensed Representative (Temporary Licence)	1, 4
HUDSON Sarah Henrietta Elizabeth	BIT630	Licensed Representative (Temporary Licence)	1, 4
HUTCHINS David Roger	BOL488	Licensed Representative (Temporary Licence)	4
IVASCYN Daniel John	AZS735	Licensed Representative (Temporary Licence)	1, 4
JOUNG Haneul	BPJ442	Licensed Representative (Temporary Licence)	4
KALB Victoria Stacey	AHT205	Licensed Representative (Temporary Licence)	4
KEDIA Sahil Sudhir	AZW848	Licensed Representative (Temporary Licence)	4
KIESEL Mark Richard	BFN437	Licensed Representative (Temporary Licence)	1, 4
KIM Jeyeoul	BPC706	Licensed Representative (Temporary Licence)	4
KINOSHITA Yoshiyuki	BBL047	Licensed Representative (Temporary Licence)	4
KOHLER Joop Henry	BPE234	Licensed Representative (Temporary Licence)	1
KOK Wai Fai	BJQ184	Licensed Representative (Temporary Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
KUMAR Ishank	BGP169	Licensed Representative (Temporary Licence)	4
LEAHY Timothy James	AWB788	Licensed Representative (Temporary Licence)	1
LIN Li-Chun	BIG303	Licensed Representative (Temporary Licence)	4
LIN Ming-De	BEC282	Licensed Representative (Temporary Licence)	4
LITTLE Joseph Edward George	BHR324	Licensed Representative (Temporary Licence)	1
LLOYD Richard James	BPJ100	Licensed Representative (Temporary Licence)	1, 4
MACDONALD Alistair John	AVW485	Licensed Representative (Temporary Licence)	4
MACKIRDY Andrew Stuart	BPF524	Licensed Representative (Temporary Licence)	1, 4
MCDONALD Graeme Antony	ALA712	Licensed Representative (Temporary Licence)	4
MESOMERIS Spyros	BJP467	Licensed Representative (Temporary Licence)	1
MITCHELL Jason Steven	BNU302	Licensed Representative (Temporary Licence)	4
MITTERMAIER Markus Manfred Helmut	BLX368	Licensed Representative (Temporary Licence)	4
MONAPPA Aditya	BNH920	Licensed Representative (Temporary Licence)	4
MONAPPA Aditya	BNH920	Licensed Representative (Temporary Licence)	4
MOOKIM Sanjay Kumar	AUW976	Licensed Representative (Temporary Licence)	4
MUKHIJA Tanuj	BJA905	Licensed Representative (Temporary Licence)	4
NAIR Prashant Gopinathan	BEN187	Licensed Representative (Temporary Licence)	4
NEUMEYER Christopher Daniel	BPG752	Licensed Representative (Temporary Licence)	1, 4
PARK Jinman	BPB576	Licensed Representative (Temporary Licence)	1
PEIGNEUX LOJO Guillermo	BER729	Licensed Representative (Temporary Licence)	4
PHRAWPHRAIKUL Charti	BGX420	Licensed Representative (Temporary Licence)	4
PHUA Boon Po	AYJ025	Licensed Representative (Temporary Licence)	2, 5
PINTO Elias Marc	BPH429	Licensed Representative (Temporary Licence)	1, 4
POOLE Gregory Hauston	BIW523	Licensed Representative (Temporary Licence)	1
RAAB Andrew T	BPH935	Licensed Representative (Temporary Licence)	1, 4
REDFERN William James	BGX417	Licensed Representative (Temporary Licence)	4
REDMAN Simon David	BLF884	Licensed Representative (Temporary Licence)	1, 4
REEMER Jeffrey Ross	BIR381	Licensed Representative (Temporary Licence)	1, 4
REXROAD Sherry L	BPH511	Licensed Representative (Temporary Licence)	1, 4
ROBINSON Geoffrey Robert Anthony	BHX552	Licensed Representative (Temporary Licence)	4
ROMERO DE DIEGO Heliodoro Pedro	BAX298	Licensed Representative (Temporary Licence)	1
SARMIENTO Jerry Jeremias	BPH533	Licensed Representative (Temporary Licence)	1
SATHAWORAWONG Pimolrat	BEM318	Licensed Representative (Temporary Licence)	4
SCIORTINO Joseph M	BPG673	Licensed Representative (Temporary Licence)	1, 4
SEE Kwang Yew	BPA587	Licensed Representative (Temporary Licence)	1, 4
SETH Neeraj	AYB919	Licensed Representative (Temporary Licence)	1, 4
SHAH Amish Chandrakant	AUW978	Licensed Representative (Temporary Licence)	4
SHEN Wei	BFM497	Licensed Representative (Temporary Licence)	4
SHROFF Abhijit	BOM516	Licensed Representative (Temporary Licence)	1
SINGLA Anuj	BLW779	Licensed Representative (Temporary Licence)	4
SIRIPASSORN Kritapas	BDQ319	Licensed Representative (Temporary Licence)	4
SOON Yin Moi Desmond	ARH222	Licensed Representative (Temporary Licence)	1
SPARTALIS Sophie Despina	BIM602	Licensed Representative (Temporary Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
SPIELMAN Adam Justin	BFO115	Licensed Representative (Temporary Licence)	4
SWAMINATHAN Anand	AUW975	Licensed Representative (Temporary Licence)	4
TAN Yawen	BJP932	Licensed Representative (Temporary Licence)	4
TAPARIA Sourabh	BJQ979	Licensed Representative (Temporary Licence)	4
TAYAL Kunal	BBL045	Licensed Representative (Temporary Licence)	4
TAYAL Kunal	BBL045	Licensed Representative (Temporary Licence)	4
TEISERAN Laurensius	BPH548	Licensed Representative (Temporary Licence)	4
TRUELOVE Keith Alan	AWF626	Licensed Representative (Temporary Licence)	1
VAN TASSEL James Christopher	BPH644	Licensed Representative (Temporary Licence)	4
VISWANATHA IYER Rishi	BNC587	Licensed Representative (Temporary Licence)	1
WALSH Michael Gerald	BNY642	Licensed Representative (Temporary Licence)	1
WARD Steven Paul Frederick	BLN654	Licensed Representative (Temporary Licence)	1
WHITESTONE Daniel Anthony Robert	BMR066	Licensed Representative (Temporary Licence)	1, 4
YADAV Prashant	BKC039	Licensed Representative (Temporary Licence)	1
YAMAGUCHI Hidemaru	BGD668	Licensed Representative (Temporary Licence)	4
ZHANG Xiaoning	BDI527	Licensed Representative (Temporary Licence)	4
ZHONG Hui	BIR274	Licensed Representative (Temporary Licence)	4