

CE Name	CE No.	Licence Type	Types of Regulated Activity
Aquitaine Investment Advisors Limited	AFN131	Licensed Corporation	4, 9
Cambridge Strategy (Asset Management) Asia Limited, The	AHJ903	Licensed Corporation	4, 9
Carlyle Global Credit (Asia) Limited	BEV685	Licensed Corporation	9
China Hanya Asset Management Limited	AQI632	Licensed Corporation	4, 9
ExaByte Capital Management (HK) Limited	BAA416	Licensed Corporation	9
Hoi Sang Securities Limited	AHL307	Licensed Corporation	1
Horizon Commodities and Futures Company Limited	BEL003	Licensed Corporation	2, 5
HSBC Securities (Asia) Limited	AAB241	Licensed Corporation	1
Hung Sing Futures Limited	AFC168	Licensed Corporation	2
International Capital (Hong Kong) Limited	BNP335	Licensed Corporation	1, 4, 6
Makto Capital Management Limited	BIJ958	Licensed Corporation	4, 9
RS Investments (Hong Kong) Limited	BBU445	Licensed Corporation	9
ABDALLAH Damien John	BHO976	Licensed Representative	9
AGARWAL Aashish Ashok	ARQ376	Licensed Representative	4
AGNEW Nathan Daniel	BGH427	Licensed Representative	1, 4, 6
AU Ka Ying	BJP290	Licensed Representative	9
AU-YEUNG Ka Hung	BHZ815	Licensed Representative	9
BANSAL Amit	APB433	Licensed Representative	9
BANSZKY VON AMBROZ Antonella Maria Caroline	BBZ712	Licensed Representative	9
BELL John David	BBP490	Licensed Representative	1, 2, 7
BHATIA Inderjeetsingh	AVI914	Licensed Representative	4
BINNS Kathryn Marie	BOH044	Licensed Representative	9
BOO Shiu Kai	AAE663	Licensed Representative	1
BOWERS Geoffrey Scott	BLL043	Licensed Representative	1, 4, 9
BUERZLE Norman	BHB494	Licensed Representative	1
BURCIER Clement Jacques	AVA515	Licensed Representative	9
CAI Jun Lei	BNR188	Licensed Representative	1, 4
CAI Zheng	BIH036	Licensed Representative	1, 2
CARVALHO FURQUIM Lucas Henrique	BNJ260	Licensed Representative	2
CASATI Andrea	AMW488	Licensed Representative	6
CENDRON Ken Damien	AVC112	Licensed Representative	1, 2
CERMAK David Luce	BIV177	Licensed Representative	1, 4
CHA Yong Jin	BGE287	Licensed Representative	1, 4, 9
CHAK Kwok Fai, Alan	AAY091	Licensed Representative	1
CHAM Alvin Ho-Cheun	BOA745	Licensed Representative	1, 2
CHAN Cheuk Yin	AXG304	Licensed Representative	1, 4, 9
CHAN Chi Hin, Kevin	AYY546	Licensed Representative	1
CHAN Chi Sum, Sumson	AKQ994	Licensed Representative	1, 2
CHAN Chiu Kwan	BAV451	Licensed Representative	1
CHAN Chong Hing	ASF022	Licensed Representative	1, 4
CHAN Edmund Tat Yung	BNY641	Licensed Representative	4, 9
CHAN Ka Fai	BCL632	Licensed Representative	1, 6
CHAN Kei Yuen	AXR296	Licensed Representative	1, 2
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CHAN Lai Yin, Vonnie	AFY292	Licensed Representative	



CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Siu Ming	ACH681	Licensed Representative	1, 2, 3
CHAN Viviann	BIQ831	Licensed Representative	9
CHAN Wing Chi	AOS283	Licensed Representative	10
CHAN Yee Wah	BLF238	Licensed Representative	1, 4, 9
CHAN Yiu Fai	AIL363	Licensed Representative	1, 4
CHAN Yu Kwong	ABM260	Licensed Representative	1
CHAN Yuk Ping, Tanny	ACN467	Licensed Representative	1
CHANG Amanda Man Yee	AXB833	Licensed Representative	1
CHANG Daodao, Emily	BCC966	Licensed Representative	1, 6
CHANG Yee Fong	AAY562	Licensed Representative	1
CHANG Yu-Ching	ARF561	Licensed Representative	1
CHAR Hang Yee Gloria	BEV510	Licensed Representative	1, 4
CHAU Ho Yin	BCS511	Licensed Representative	1
CHAU Po Shan	ACL187	Licensed Representative	1, 2
CHAU Richard	ACL796	Licensed Representative	1, 2
CHEN Erqian	BIV451	Licensed Representative	6
CHEN Hangxia	BDO018	Licensed Representative	4, 9
CHEN June Yub	BFG324	Licensed Representative	9
CHEN Mengxi	BMH475	Licensed Representative	4
CHEN Tianxing	BMN686	Licensed Representative	1, 4, 9
CHEN Tong	BMK890	Licensed Representative	1
CHEN Wenkai	BNV274	Licensed Representative	1, 4
CHEN Xinmin	BHY494	Licensed Representative	1, 4
CHEN Xueyao	BNH168	Licensed Representative	1, 6
CHEN Yi-an	BEA896	Licensed Representative	1, 4, 9
CHEN Yida	ANC155	Licensed Representative	1, 4, 9
CHEN Yilian	BEL109	Licensed Representative	1, 4, 9
CHEN Ying	BMG518	Licensed Representative	1, 2
CHENG Ke	BDT161	Licensed Representative	9
CHERN Chee Wee	BBJ085	Licensed Representative	1, 4
CHEUNG Siu Kong	BON966	Licensed Representative	1
CHEUNG Tak Shun, Dickson	AGO352	Licensed Representative	1, 2
CHEUNG Tsz Wah	AEO771	Licensed Representative	1, 2
CHEUNG Wai Tak	AFK703	Licensed Representative	6
CHEUNG Ying Yat	BAY155	Licensed Representative	1
CHI Danny	AFK451	Licensed Representative	4, 9
CHI Raymond Wai Ming	BPG487	Licensed Representative	4, 9
CHIANG Wah Sang	ADT430	Licensed Representative	1
CHIARAWONGSE Chakrapas	BNB674	Licensed Representative	9
CHIN Wai Chi	BFJ634	Licensed Representative	1
CHIU Lai Fun, Anita	AAB533	Licensed Representative	1, 2
CHIWENGA Gamuchirai Constantine M	AXH161	Licensed Representative	1, 4, 6
CHOI Ko Wai	BME262	Licensed Representative	6
CHOI Yin Bing	ADM634	Licensed Representative	4
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REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUT	1	T	
CE Name	CE No.	Licence Type	Types of Regulated Activity
CHOW Kam Chun	ABT553	Licensed Representative	1
CHOY Chi Hai, Calvin	AUA252	Licensed Representative	1, 2, 4, 5, 9
CHU Ching Kwan, Mavis	BNS230	Licensed Representative	1, 2, 3, 4
CHU Shan Shan	ARK373	Licensed Representative	1, 4
CHUNG Hee Young	BEN019	Licensed Representative	1, 4
CROWLEY Christina Marion	BNP565	Licensed Representative	9
CUI BOFENG	BLF876	Licensed Representative	1, 4
DAI Gaofeng	BOD913	Licensed Representative	1, 2
DHAND Vikram	BOL406	Licensed Representative	9
DIKSHIT Siddharth	BAB703	Licensed Representative	1
DO Tanya Truc Thanh	BOA564	Licensed Representative	1
DONG Liang	ATS187	Licensed Representative	4, 9
DONG Yun	BOW609	Licensed Representative	1, 6
DONG Zheshi	BCB797	Licensed Representative	1, 4, 6
FAN Kit Ying	BGX201	Licensed Representative	1
FANG Shuyu	BFE791	Licensed Representative	1, 4, 5, 9
FENG Shiran	ARJ059	Licensed Representative	1, 4, 6
FREYRE-SANDERS Andrew David Nuno D.S.	ANR872	Licensed Representative	2
FUNG Tsz Ching, Paper	BGI746	Licensed Representative	1
GIDWANI Varsha	BOA330	Licensed Representative	1
GOH Jia Juan, Anne	AMJ479	Licensed Representative	1
GRAHAM Colin Walsh	BEC790	Licensed Representative	1, 4
GU Wentian	BNH300	Licensed Representative	4
GUILLON Serge Philippe Laurent	BGZ701	Licensed Representative	1
HA Byoungno	BFV926	Licensed Representative	1, 2
HAYWARD Brandon Mark	BOF138	Licensed Representative	1, 6
HE Haijian	BDJ260	Licensed Representative	1, 6
HE Jamie Ruo Xin	BNS468	Licensed Representative	4
HE Lizhou	BNP170	Licensed Representative	1, 4, 9
HE Shuai	BOS301	Licensed Representative	1, 4, 6
HEUNG Fu Tim, Timothy	BFA176	Licensed Representative	1, 2
HO Chi Yun, Connie	AOA083	Licensed Representative	1, 4
HO Hei Long	BMY655	Licensed Representative	10
HO Hoi To Charlie	BGL375	Licensed Representative	4, 9
HO Ka Kuen	BNY636	Licensed Representative	9
HO Kai Lok	BAG393	Licensed Representative	2
HO Kwan Dik	ABE366	Licensed Representative	1, 2
HO Tsz Him	AVT617	Licensed Representative	1, 2
HO Wing Hong	BOS863	Licensed Representative	4, 9
HOSHI Manabu	BHC631	Licensed Representative	4
HUANG Bai-Sung	BOB713	Licensed Representative	4, 9
HUANG Chung En	AWW100	Licensed Representative	4
HUANG Xiaoxia	AYC385	Licensed Representative	1
HUANG Yung Hsien	BHS017	Licensed Representative	4, 9
HUANG Yunhao	BON476	Licensed Representative	1, 2
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REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTION		I	T
CE Name	CE No.	Licence Type	Types of Regulated Activity
HUI Hon Tak	AAQ872	Licensed Representative	1
ICHII Hideharu	AXT428	Licensed Representative	4, 9
INA Shinichi	AVD124	Licensed Representative	4
IP Wui Ho	BEU999	Licensed Representative	1, 2, 4
ISRAEL DA VEIGA PEREIRA Jose Thomaz	BNP291	Licensed Representative	1, 4
JIANG Weisong Christian	AQF552	Licensed Representative	4, 9
JOHNSON Pekka David	ADL598	Licensed Representative	1
KALRA Showbhik	BCD976	Licensed Representative	1
KAM Yuen Ting	BNZ825	Licensed Representative	1, 4
KIANG Vincent Ming Ruey	BNQ629	Licensed Representative	1, 2, 7
KIM Min Soo	BNP458	Licensed Representative	1, 4, 9
KLEGER Martin Josef	BHH829	Licensed Representative	1, 4, 9
KOJODJOJO Perry	BJP469	Licensed Representative	5
KONG Qingwei	ANW204	Licensed Representative	4, 6
KORNBERG Benjamin Mikael	ATM599	Licensed Representative	1, 2
KUO Che Lin	BOD138	Licensed Representative	1
KWAN Kit Fong	AFV902	Licensed Representative	1, 4
KWAN Kit Ling	BIX484	Licensed Representative	1, 2
KWAN Ling Yi	AHI298	Licensed Representative	1, 2
KWOK Ka Yip	AEB325	Licensed Representative	1
KWOK Man Man	BHQ355	Licensed Representative	9
LAAM Wai Miu	AGK575	Licensed Representative	1
LAI Yiu Cheung, Dennis	ACS167	Licensed Representative	4, 9
LAI Yuk Kuen	ARP072	Licensed Representative	1
LAM Chi Ming	AZJ283	Licensed Representative	1, 4, 9
LAM Kam Chun	AAP928	Licensed Representative	1, 2
LAM Leung Sang Andrew	BHF740	Licensed Representative	4, 5, 6, 9
LAM Tsz Chung	BJY535	Licensed Representative	4, 6, 9
LAM Wai Hung	AOV615	Licensed Representative	1
LAM Yi Long	BJV075	Licensed Representative	1, 6
LAM Yuk Ting	BKK325	Licensed Representative	9
LAU Him Chun	BOU485	Licensed Representative	6
LAU Hiu Ying Virginia	BNO093	Licensed Representative	1, 4
LAU Jonathan Tat Ming	BOD826	Licensed Representative	6
LAU Ka Hei	BAZ979	Licensed Representative	1, 2, 4, 9
LAU Ka Yan	AXW835	Licensed Representative	1
LAU Kar Yee	AAV467	Licensed Representative	1, 2
LAU Ping Kwong	AAA166	Licensed Representative	1
LAU Stella Yuet Wah	ADS277	Licensed Representative	1, 2
LAW Conan	BJX759	Licensed Representative	1, 4, 9
LAYANI Yehoram	BCD230	Licensed Representative	1
LEE Ching	ADC297	Licensed Representative	1, 6
LEE Kelly	BJN273	Licensed Representative	9
LEE Sze Pui	BDJ753	Licensed Representative	1, 4
LEE Wai Shing	BMH532	Licensed Representative	1, 2, 3, 4, 9



CE Name	CE No.	Licence Type	Types of Regulated Activity
LEE Yi-ting	BMQ752	Licensed Representative	1, 6
LEI Weixi	BGK950	Licensed Representative	1
LEONG Wa Lin	AAF835	Licensed Representative	1, 2
LEUNG Hung Kwong	AGA421	Licensed Representative	1, 2
LEUNG Kam Wah, Lawrence	AAE506	Licensed Representative	1, 2, 4, 5
LEWIS Janet Barbara	ASL090	Licensed Representative	4
LI Berenger Julien	BHL240	Licensed Representative	2, 5
LI Bohan	BEQ940	Licensed Representative	1, 2
LI Ching Chun	BGU791	Licensed Representative	1
LI Chung Yin	BOS914	Licensed Representative	1, 2
LI David Sen	AXC378	Licensed Representative	1, 6
LI Dong	BMI891	Licensed Representative	1
LI Haipeng	BMQ930	Licensed Representative	4
LI Ka Tsun	BNR840	Licensed Representative	1, 2, 4
LI Kam Fung	BHN004	Licensed Representative	1, 9
LILI	BIR520	Licensed Representative	1, 4, 6
LI Man Fai, Raymond	ADT897	Licensed Representative	1
LI Nan	BJR330	Licensed Representative	1, 4, 9
LI On Yin Amy	BOS752	Licensed Representative	9
LI Shuonan	BER348	Licensed Representative	1, 6
LI Sihua	BNQ514	Licensed Representative	4, 9
LI Sung Hsuan	BGC222	Licensed Representative	1
LI Wing Yan, Kathy	AXY631	Licensed Representative	1, 4, 9
LI Xiaoxi	BLS716	Licensed Representative	1, 6
LIANG Dongxu	BPB612	Licensed Representative	4
LIANG Jingwen	BJX362	Licensed Representative	1, 4, 9
LIANG Wei Zhong Marcus	BOL869	Licensed Representative	1, 6
LIM Jimmy	BMD859	Licensed Representative	9
LIN Hui-Ju	ANK096	Licensed Representative	1, 2
LIN Jie	BAE449	Licensed Representative	9
LIN Mengxia	BMR359	Licensed Representative	1
LING Yuet Ming, Milly	AKW615	Licensed Representative	1, 2
LISAHAPANYA Narongpand	AVU843	Licensed Representative	4
LIU Hao	BET669	Licensed Representative	3
			1
LIU Honglin LIU Hongpeng	BNZ815 BPN150	Licensed Representative Licensed Representative	1, 6
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LIU Jiyang	BMS604	Licensed Representative	1, 6
LIU Lin	BHO303	Licensed Representative	1, 4, 9
LIU Liujun	BPA409	Licensed Representative	1, 4, 6
LIU Man Chi Alphorsa	BIC302	Licensed Representative	1, 2
LIU Ngai Kan	BOA983	Licensed Representative	1, 6
LIU Ning	BNO014	Licensed Representative	1, 6
LIU Pu Chun	BLN934	Licensed Representative	2, 5
LIU Ting	BOL066	Licensed Representative	1, 4
LIU Xinshu	BJG634	Licensed Representative	1, 4



CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Xinxing	BJZ135	Licensed Representative	1, 4, 5, 9
LIU Xiqian	BIN443	Licensed Representative	1
LO Kin Wah	AWT271	Licensed Representative	1, 4
LO Wing Ho	BKL193	Licensed Representative	1
LOHCHAB Varun	BLE441	Licensed Representative	4
LUI Chun Kong	AKL706	Licensed Representative	4, 9
LUI Marie Carrilyn	BNK815	Licensed Representative	1, 4, 6
LUI Simon Chee-chung	AXK725	Licensed Representative	4, 9
LUK Ho Kwong, Dante	BMT547	Licensed Representative	3
LUO Ran	BOP517	Licensed Representative	1, 2
			2
LYU Xingzhi	BOE860	Licensed Representative	
MA Chui Chi	BIJ825	Licensed Representative	1, 4
MA Ka Chun	BMG170	Licensed Representative	1, 2, 3, 4
MA Yilin	BNU395	Licensed Representative	1, 6
MAHESHWARI Vivek	AVU988	Licensed Representative	4
MAK Florence	BNE689	Licensed Representative	1, 4
MAK Fu Tim	BOB579	Licensed Representative	1
MAK Kai Ming	BCU738	Licensed Representative	4, 9
MAK Ming Ying, Charles	AAD209	Licensed Representative	1, 6
MAN Yuen Ting	AVP643	Licensed Representative	9
MANGAL Nitij	BLY756	Licensed Representative	4
MC COY Michael Andrew	AAF167	Licensed Representative	9
MCWILLIAM Shaun	BID085	Licensed Representative	1, 4
MEI Qianwen	BAH855	Licensed Representative	1
MENG Wei	BHP638	Licensed Representative	1, 4
MISHRA Amit	AVL303	Licensed Representative	4
NADEL Mitchell Neil	AWB871	Licensed Representative	1, 2
NANDURKAR Mahesh Yashwant	ARQ374	Licensed Representative	4
NG Chen Xuan	BLU938	Licensed Representative	1, 6
NG Hoi Yau, Nigel	BNA758	Licensed Representative	1, 2, 3, 4
NG Sock Meng, Doris	ALL164	Licensed Representative	1, 4, 9
NG Tao, Eddie	AFY697	Licensed Representative	1, 2
NG Tsz Lung	BEW820	Licensed Representative	1
NG Wai Wah	AGW317	Licensed Representative	1
NG Yik Yau	BOJ022	Licensed Representative	1, 9
NGAN Man Sang, Eddy	AVK951	Licensed Representative	1, 2
NOLAN David John	ACL800	Licensed Representative	9
OKINO Toshihiko	API275	Licensed Representative	4
ONG Payton Pei-de	ARA392	Licensed Representative	9
OON Jin Heng	AMO566	Licensed Representative	1, 2
PAI Husan	ACR544	Licensed Representative	4, 5
PAN Xiao	BLR938	Licensed Representative	10
PANG Man Lee	BKR252	Licensed Representative	1
PANG Shuo	BNJ512	Licensed Representative	4
PARK Chong Ho	BJQ664	Licensed Representative	1, 4, 9



CE Name	CE No.	Licence Type	Types of Regulated Activity
PEARSON Stanley Douglas	BFU454	Licensed Representative	1
PINTEAUX ADRIEN PIERRE ANDRE	BJF084	Licensed Representative	2
PIPAUD Cyril	BFB074	Licensed Representative	1, 4
PO Hing Cheung	ALL404	Licensed Representative	1
PO Lok Yee	BLT520	Licensed Representative	6
PONG Chung Shing	AEM234	Licensed Representative	1
QIAN Zhuangzhuang	BOS810	Licensed Representative	1, 4, 6
RADZKI Michael	AUE954	Licensed Representative	1, 2, 4, 9
RAPER Alexander John	BAZ540	Licensed Representative	4, 9
RILHAC Charles Manuel Sybil Marie	BGX393	Licensed Representative	9
ROGIER Bernard Rene Joseph	AIR454	Licensed Representative	1, 2
ROMERO LEON Luisa Fernanda	BIY020	Licensed Representative	1, 4
ROOTH Jean Jesper	BFY797	Licensed Representative	9
ROY Tamaghna	BBE246	Licensed Representative	9
RU Fei	BOT483	Licensed Representative	4
	AWQ103	Licensed Representative Licensed Representative	
SEET Wei Wen, Jordan SHAH Dharmik Dilip	BON733	Licensed Representative Licensed Representative	1, 2, 7
<u>'</u>		'	1
SHAH Kshitij	AQM709	Licensed Representative	
SHARMA Prakhar	BMO253	Licensed Representative	4
SHARMA Tanmay	BOJ108	Licensed Representative	1, 4, 9
SHIU Wing Sun	ADZ443	Licensed Representative	1
SHROFF Manoj Mahesh	AOJ010	Licensed Representative	1, 4, 5, 9
SIDOROV WONG Hiu Hung	AYM838	Licensed Representative	1, 4
SINGHAL Rajkumar	AZX877	Licensed Representative	1, 2
SINHA Neel	APC653	Licensed Representative	4
SIU Yik Chong	AMQ697	Licensed Representative	3
SOBTI Jasjit Singh	BCU294	Licensed Representative	1, 2, 7
SON KENG PO Dy Wilfred	AZV686	Licensed Representative	1
SOON Wen Yen	BND516	Licensed Representative	1, 4, 6
STRABO Benjamin	ATO956	Licensed Representative	1
TAI Karen Kai Lun	BGB756	Licensed Representative	1
TAKEDA Sumito	AOR314	Licensed Representative	4
TAKESHIMA Tomoki	BNW290	Licensed Representative	4
TAM Denny Ka	AFO885	Licensed Representative	1
TAM Ying Wi	BAI117	Licensed Representative	1, 2, 4, 9
TAN Chih Wen	AOD035	Licensed Representative	4
TAN Han Meng	BDA470	Licensed Representative	4
TANG Yu Lung	BHA468	Licensed Representative	1, 2
TANG Ashley Wing Ga	BPB883	Licensed Representative	4
TANG Cho Yu, Joey	AED567	Licensed Representative	1, 2
TANG Wing Wa	BMI294	Licensed Representative	9
TANG Yushang	BJI936	Licensed Representative	1, 4, 9
TENG Wan Chi	AVP899	Licensed Representative	1, 6
TIAN Xiaoxiao	BGG776	Licensed Representative	6
TING Patrick	ADI126	Licensed Representative	1, 2, 7



CE Name	CE No.	Licence Type	Types of Regulated Activity
TOWNSEND Tomasz Gary	BNN795	Licensed Representative	1
TSANG Kwong Yat	BOC394	Licensed Representative	2
TSANG Wai Wah	AXK949	Licensed Representative	1
TSANG Yuk Fong, Elly	AEF141	Licensed Representative	1
TSAO Kuang Chih	BOU151	Licensed Representative	1, 4, 9
TSE Yuen Fun	ACE215	Licensed Representative	1, 4
TSOI Wai Keung, David	AAD824	Licensed Representative	1
TUCKWELL Zachary Scott	ADT336	Licensed Representative	1, 2, 4, 5, 7
VAKIL Mohamed Ismail	BAE280	Licensed Representative	1
VELAKACHARLA Aditi	AOG394	Licensed Representative	1, 2
VON Chung Hua	BMO954	Licensed Representative	1, 4, 6
WAN Kwai Leung	ABG471	Licensed Representative	1
WAN Man Yee Shirley	BCW904	Licensed Representative	1, 2
WAN Vivian	BBW591	Licensed Representative	9
WANG Jiali	BLE953	Licensed Representative	1
WANG Jierong	BNN873	Licensed Representative	1, 4, 9
WANG Kuangchen	BOU335	Licensed Representative	1, 6
WANG LI	BJW576	Licensed Representative	4, 9
WANG Li	BMD860	Licensed Representative	9
WANG Mingren	BNJ583	Licensed Representative	1, 6
WANG Wei	BNH497	Licensed Representative	1, 4
WANG Xuan	AYR780	Licensed Representative	4, 6
WANG Yingiu	ANJ772	Licensed Representative	1, 6
WANG Yuan	BFY612	Licensed Representative	1, 9
WANG Yuqian	BIE760	Licensed Representative	4
WEICK Shaun Gordon	BMU852	Licensed Representative	4
WENGER Stephan Patrick	AXC823	Licensed Representative	9
WHITTINGHAM Elliot Paul	BMV407	Licensed Representative	1, 4, 9
WONG Chan Shiu	AVN288	Licensed Representative	1, 4
WONG Charlottia	AVS145	Licensed Representative	1, 4
WONG Chun Yee	AQO555	Licensed Representative	1
WONG Grain Fee	BJF230	Licensed Representative	1, 6
WONG Hoi Ki, June	BFX017	Licensed Representative	1, 6
WONG Ka Kin	BOB689	Licensed Representative	4, 9
WONG Ka Man	AGC156	Licensed Representative	1
WONG Kee Yick, Vivian	ADM218	Licensed Representative	1
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WONG Kin Fung	BIB895	Licensed Representative	1, 6
WONG Loi Kwan	AMN791	Licensed Representative	
WONG Lai Kwan	AGI975	Licensed Representative	1, 2
WONG Matthew Chung-yuen	BMV879	Licensed Representative	9
WONG May Lin	AAA458	Licensed Representative	1
WONG Pui Chun	AFE743	Licensed Representative	1, 4, 9
WONG Shu Ling	AVW442	Licensed Representative	1
WONG Tak Hing	ABK388	Licensed Representative	1
WONG Tat Shan	BKI492	Licensed Representative	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTION CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Wing Han	AAA937	Licensed Representative	1
WONG Yat Yu	BFI417	Licensed Representative	1, 6
WONG Yin Kwai	BAW718	Licensed Representative	1, 6
WONG Yiu Ming, Simon	BJC392	Licensed Representative	1, 6
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WOO King Tin, Jacky	AFY196	Licensed Representative	1, 2, 9
WUEBBELS Ryan Keith	BEJ103	Licensed Representative	1
WULF Finn-ole	BNV674	Licensed Representative	1, 4, 6
XIA Yue	BKY144	Licensed Representative	1, 6
XIE Yutong	BNC962	Licensed Representative	1, 6
XU Junru	BJV253	Licensed Representative	1, 6
XU Zhi Cheng	AWU540	Licensed Representative	9
YAMAGUCHI Marie	AUS179	Licensed Representative	9
YAN Mengyuan	BNU530	Licensed Representative	1, 2, 4, 9
YANG Jianying	AYO960	Licensed Representative	1, 4, 6
YANG Jiaxing	BOD519	Licensed Representative	4
YANG Xuemin	BOQ037	Licensed Representative	4, 9
YANG Yaxuan	BEW963	Licensed Representative	1
YANG Yuqiong	BDZ081	Licensed Representative	4
YE Weilu	BGG406	Licensed Representative	1, 4, 6
YEN Nga Man	AEA830	Licensed Representative	1, 4, 9
YEUNG Ching Lai, Anthony Thomas	AGO955	Licensed Representative	1
YEUNG Sau Yin	BBJ902	Licensed Representative	1, 2, 4, 5
YEUNG Wang Fung	BHU906	Licensed Representative	1, 4
YICK Chiu Mee	AHT184	Licensed Representative	1, 2
YIM Suet Fan, Tenny	AFY872	Licensed Representative	1
YIU Koon Lok, Marcus	BNO495	Licensed Representative	1, 4
YOU Jijiao	AYX814	Licensed Representative	1, 2
YOUNG Wai	AFM340	Licensed Representative	1, 6
YU Hon Piu	AWW270	Licensed Representative	1, 4
YU Junyi	BHZ538	Licensed Representative	1, 4
YU Li	BJA971	Licensed Representative	2
YUAN Zhongyu	BIQ601	Licensed Representative	4
ZENG Zhan	BCZ088	Licensed Representative	1, 4
ZHANG Binmei	BND809	Licensed Representative	4
ZHANG Jingdan	BMG531	Licensed Representative	4
ZHANG Jingzhou	BIM583	Licensed Representative	1, 4
ZHANG Qianyun	BMU863	Licensed Representative	1, 4
ZHANG Rui	BED057	Licensed Representative	1, 2
ZHANG Ruoyun	BOS360	Licensed Representative	1, 6
ZHANG Xiaoyan	BGE891	Licensed Representative	9
ZHANG Yi	AXQ878	Licensed Representative	4, 9
ZHANG Yueyan	BOB287	Licensed Representative	1, 4, 9
ZHAO Yingjie	BNN845	Licensed Representative	4
ZHAO Ziqing	BIV817	Licensed Representative	1, 4, 9
ZHENG Bao	BAK873	Licensed Representative	4, 9



CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHENG Hao	BOZ767	Licensed Representative	1, 4
ZHONG Shaohui	BPJ803	Licensed Representative	1
ZHOU Lechen	BND112	Licensed Representative	4, 9
ZHOU MARK KRAFT	BPK745	Licensed Representative	1, 6
ZHOU Yang	BNF447	Licensed Representative	1, 6
ZHU Xiaoge	BKE573	Licensed Representative	1, 4, 9
ZHUANG Dan	BHH668	Licensed Representative	4
ZI Nai Kong, Nicholas	AAE548	Licensed Representative	1, 2, 4, 5
ZUCKER Jonathan Jordan	BDJ004	Licensed Representative	1, 2
ZUO Si	AWR730	Licensed Representative	1, 4, 6
ATASSI Samir	AHX544	Licensed Representative - Responsible Officer	1, 2, 4
CHAN Fung Cheung	ASQ171	Licensed Representative - Responsible Officer	4, 9
CHAN Kam Wah	AAF757	Licensed Representative - Responsible Officer	1
CHAN Mou Fung	ABG521	Licensed Representative - Responsible Officer	1, 4, 9
CHAN Pik Yin, Ivan	ACM640	Licensed Representative - Responsible Officer	1
CHAN Suk Man	AFT975	Licensed Representative - Responsible Officer	1, 4, 5, 9
CHANG Tzy Fay	AUV944	Licensed Representative - Responsible Officer	1
CHAU Mei Wah Carol	AFM849	Licensed Representative - Responsible Officer	4, 9
CHEN Zhilan	AGN340	Licensed Representative - Responsible Officer	1, 4, 9
CHENG Chun Ching	AVH393	Licensed Representative - Responsible Officer	4, 9
CHEUNG Lai Yan Charles	AAV372	Licensed Representative - Responsible Officer	4, 9
CHEUNG Lee Ming Andy	AGE493	Licensed Representative - Responsible Officer	9
CHI Danny	AFK451	Licensed Representative - Responsible Officer	1
CHOI Wai King	ACL677	Licensed Representative - Responsible Officer	4, 9
CHONG Henry Heng Li	BKR759	Licensed Representative - Responsible Officer	4, 9
CHUNG Ka Yiu	ASH736	Licensed Representative - Responsible Officer	1
DONG Gang	AYN373	Licensed Representative - Responsible Officer	4, 9
DU Hao	BCB913	Licensed Representative - Responsible Officer	4, 9
FABER Markus	AAJ100	Licensed Representative - Responsible Officer	4, 9
FONG Kong Fai, Eddie	ABH276	Licensed Representative - Responsible Officer	1
FREYRE-SANDERS Andrew David Nuno D.S.	ANR872	Licensed Representative - Responsible Officer	1
GUNNING Timothy James	BAI184	Licensed Representative - Responsible Officer	1, 4, 9
HE Martin	AFD243	Licensed Representative - Responsible Officer	1, 4, 6
HO Chi Chung	AGL959	Licensed Representative - Responsible Officer	4
HO Chun Man	ARJ714	Licensed Representative - Responsible Officer	2
HO Wai Fun	AES372	Licensed Representative - Responsible Officer	1, 4
HOPKINS Paul Stephen	BJB374	Licensed Representative - Responsible Officer	9
KIM Seung-Bom	AOI831	Licensed Representative - Responsible Officer	1, 2, 4, 9
KINCAID Richard Patrick	AKY948	Licensed Representative - Responsible Officer	9
KUEH Tan Yuan	BDX514	Licensed Representative - Responsible Officer	1, 4, 9
KWAN Man Fai, Louis	ADG156	Licensed Representative - Responsible Officer	4, 9
LAM Hing Chong, Franue	AHM281	Licensed Representative - Responsible Officer	1, 2
LAM Sing Kuen	AFT889	Licensed Representative - Responsible Officer	1, 2
LANCE Robert Waldo	AEL830	Licensed Representative - Responsible Officer	9
LEE Chiu Kang	ACY330	Licensed Representative - Responsible Officer	1, 4, 6
LLE Oniu Nang	AC 1 330	Licensed Representative - Responsible Officer	1, 4, 0



CE Name	CE No.	Licence Type	Types of Regulated Activity
LEE Fong Lit, David	AEM889	Licensed Representative - Responsible Officer	4, 6, 9
LEE Hung Bun	AEK221	Licensed Representative - Responsible Officer	9
LEE James Keewon	AXM422	Licensed Representative - Responsible Officer	9
LEE Koon Shing	AAH682	Licensed Representative - Responsible Officer	1, 4, 9
LEE Yee Ki Florence	BNB699	Licensed Representative - Responsible Officer	9
LI Lei Min	AFS633	Licensed Representative - Responsible Officer	4, 9
LI Man Fai, Raymond	ADT897	Licensed Representative - Responsible Officer	2
LI Ying Tsun	AGK898	Licensed Representative - Responsible Officer	1
LIN Hong Zhi	APC237	Licensed Representative - Responsible Officer	1
LIN Xiaodong	AQU519	Licensed Representative - Responsible Officer	1, 4, 9
LIU Chun Ho, Justin	ANQ253	Licensed Representative - Responsible Officer	4, 9
LIU Linan	AQA053	Licensed Representative - Responsible Officer	5
LONG Xiaobo	ANX204	Licensed Representative - Responsible Officer	4, 9
MA Xiaolei	BAM200	Licensed Representative - Responsible Officer	6
MAC KENZIE Scott David	BGK885	Licensed Representative - Responsible Officer	9
MAK Kai Ming	BCU738	Licensed Representative - Responsible Officer	1
MC COY Michael Andrew	AAF167	Licensed Representative - Responsible Officer	4
MILLET Gerard Gabriel Leon	AAI902	Licensed Representative - Responsible Officer	6
MOK Sin Yee	AMS525	Licensed Representative - Responsible Officer	1, 4, 9
MOSHER Matthew Craig	BGT025	Licensed Representative - Responsible Officer	10
NG Joo Yeow Gerry	ACC976	Licensed Representative - Responsible Officer	1, 4, 9
OUYANG Xupeng	BGN162	Licensed Representative - Responsible Officer	1, 4, 9
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PAN Ophylish Madelia	ACR544	Licensed Representative - Responsible Officer	-
PANG Rebekah Madeline	BAW610	Licensed Representative - Responsible Officer	1, 2
PAYER Jean-Noel Maurice Marie Henri	AGC801	Licensed Representative - Responsible Officer	9
PLARD David Pol Antoine Victor	BEI732	Licensed Representative - Responsible Officer	1
RAPER Trevor Charles	ADE910	Licensed Representative - Responsible Officer	4, 9
SAHNEY Rajeev Kumar	AZJ340	Licensed Representative - Responsible Officer	6
SETO John Gin Chung	AAA447	Licensed Representative - Responsible Officer	4, 9
SHEKERDEMIAN James Yervant	BGK431	Licensed Representative - Responsible Officer	1, 2, 7
SINGH James Iqbal	ASN548	Licensed Representative - Responsible Officer	9
SKINNER Mitchell David	AQY011	Licensed Representative - Responsible Officer	1, 2
SPANGENBERG Herbert Stephan	AMK344	Licensed Representative - Responsible Officer	1, 4
SUE Kenneth	AEL989	Licensed Representative - Responsible Officer	9
SWIFT Joseph Daniel	AML449	Licensed Representative - Responsible Officer	1, 6
SZETO Chung Leung	ADN791	Licensed Representative - Responsible Officer	1
SZETO Fong Wa, Jenny	AED920	Licensed Representative - Responsible Officer	1, 4, 9
TAN Chih Wen	AOD035	Licensed Representative - Responsible Officer	9
TRINH Nicholas Hung	ACL231	Licensed Representative - Responsible Officer	1
TRIVERS Tor Lee	BEV690	Licensed Representative - Responsible Officer	9
TSUI Lisa	ALF475	Licensed Representative - Responsible Officer	1
WALLUM Nicholas Peter	APW080	Licensed Representative - Responsible Officer	1, 2, 7
WANG Letian	BOC069	Licensed Representative - Responsible Officer	4, 9
WANG Lihong	AHE190	Licensed Representative - Responsible Officer	1
WITTMAN Marlene Rita	ADT604	Licensed Representative - Responsible Officer	4, 9



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTION CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Chi Fai, Nelson	ALD664	Licensed Representative - Responsible Officer	4, 9
WONG Chun Yee	AQO555	Licensed Representative - Responsible Officer	6
WONG Long Sau, Ivis	ADA335	Licensed Representative - Responsible Officer	1, 4, 9
WONG Man Tai	ALB654	Licensed Representative - Responsible Officer	4
WONG Tsz Wa	AVO555	Licensed Representative - Responsible Officer	6
WONG Ying Kit	AWH541	Licensed Representative - Responsible Officer	4, 9
XIN Wei	AKY092	Licensed Representative - Responsible Officer	4, 9
YAM Chi Fai	AXU769	Licensed Representative - Responsible Officer	1
		Licensed Representative - Responsible Officer	4, 9
YANG Yihua	ACD784	·	9
YEH Ching Ju		Licensed Representative - Responsible Officer	
ZHANG Peiguo	BOJ263	Licensed Representative - Responsible Officer	9
ZHAO Rui	BFX655	Licensed Representative - Responsible Officer	4, 9
ZHAO-FIORELLO Iris Yue	ASE630	Licensed Representative - Responsible Officer	1, 4
ZHENG Weiwei	ARG416	Licensed Representative - Responsible Officer	9
ZHU Hansong	AGC291	Licensed Representative - Responsible Officer	1, 6
AN Yifu	BPP249	Licensed Representative (Provisional Licence)	4
AYMARD Anna Cecile Angeline	BNB414	Licensed Representative (Provisional Licence)	1, 2, 4
BERTRAM William Karel	BPQ207	Licensed Representative (Provisional Licence)	1, 2, 7
BRACKENBURY Benjamin James Rhodes	BPR459	Licensed Representative (Provisional Licence)	1
BRADER Lawrence Henry Burnell	AVI839	Licensed Representative (Provisional Licence)	4, 9
BREL Maxime	BFZ187	Licensed Representative (Provisional Licence)	1, 2
BRIGGS Christopher David Robarts	BPO717	Licensed Representative (Provisional Licence)	1, 4, 9
CAI Quan	BNV036	Licensed Representative (Provisional Licence)	9
CANN Richard David	BPP311	Licensed Representative (Provisional Licence)	1
CAO Jun	APW805	Licensed Representative (Provisional Licence)	1
CAO Rongji	BPQ858	Licensed Representative (Provisional Licence)	1
CAPPELLINI Matteo	BPQ516	Licensed Representative (Provisional Licence)	1
CHAN Derrick	BDE476	Licensed Representative (Provisional Licence)	1, 4
CHAN Hwai Chey George	BPQ259	Licensed Representative (Provisional Licence)	1, 4
CHAN Kai Yin	BAC398	Licensed Representative (Provisional Licence)	1, 2, 4, 5
CHAN Kylie Muldoon	BIK511	Licensed Representative (Provisional Licence)	9
CHAN Ryan	BPQ503	Licensed Representative (Provisional Licence)	1, 2
CHAN Tsan Tuen	AUB811	Licensed Representative (Provisional Licence)	1, 2
CHAN Wai Man	BPQ875	Licensed Representative (Provisional Licence)	1
CHAN Wai Ying	BPD391	Licensed Representative (Provisional Licence)	1
CHAN Yuen Ki, Winnie	AXC390	Licensed Representative (Provisional Licence)	1
CHAR Yee Lam	BPQ421	Licensed Representative (Provisional Licence)	1, 4
CHEN Chao	BPQ445	Licensed Representative (Provisional Licence)	4, 5, 9
CHEN Jingjing	BPQ952	Licensed Representative (Provisional Licence)	1, 6
CHEN Nan	ARG251	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Xun	BPP313	Licensed Representative (Provisional Licence)	1
CHEN Yiwen	BPQ338	Licensed Representative (Provisional Licence)	1, 4
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	BPP191	Licensed Representative (Provisional Licence)	1, 4
CHEN Zhenguang CHEN Zhenhao	BPP191 BPR665	Licensed Representative (Provisional Licence) Licensed Representative (Provisional Licence)	1, 4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTION			
CE Name	CE No.	Licence Type	Types of Regulated Activity
CHENG Sijing	BPQ740	Licensed Representative (Provisional Licence)	1, 2
CHENG Yizhe	BPQ729	Licensed Representative (Provisional Licence)	1, 2, 4
CHENG Zirui	BPR877	Licensed Representative (Provisional Licence)	9
CHEUNG Shing Chun	BPT988	Licensed Representative (Provisional Licence)	9
CHEUNG Yuen Pik	AFJ426	Licensed Representative (Provisional Licence)	1
CHIU Pei Tzu	BPO592	Licensed Representative (Provisional Licence)	1, 4
CHOI Michael Chi Fung	BPQ859	Licensed Representative (Provisional Licence)	1, 9
CHOU Shih-Chun	BPN868	Licensed Representative (Provisional Licence)	9
CHOW William Kong Meng	BGM036	Licensed Representative (Provisional Licence)	4
CHU Yiu Keung	BHF646	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
CHUI Lai Man	BPM528	Licensed Representative (Provisional Licence)	1
CONRAD Michael Bradford	BPL710	Licensed Representative (Provisional Licence)	1
CUBBON Nicholas William	AYT705	Licensed Representative (Provisional Licence)	9
DAI Chuhang	BPL899	Licensed Representative (Provisional Licence)	1, 6
DAI Wenwen	BPQ334	Licensed Representative (Provisional Licence)	1, 2, 4
DANG Samantha	BPQ453	Licensed Representative (Provisional Licence)	1, 2, 4, 9
DENG Ti Shun	AER867	Licensed Representative (Provisional Licence)	1, 4, 9
DENG Yatian	BPR498	Licensed Representative (Provisional Licence)	1, 6
DENG Yong	BPR803	Licensed Representative (Provisional Licence)	4
DONG Xiaohua	BPQ390	Licensed Representative (Provisional Licence)	1, 6
DU Quan	BPL901	Licensed Representative (Provisional Licence)	1, 6
FAN Yan	BPQ344	Licensed Representative (Provisional Licence)	10
FANG Jiwen	BPP344	Licensed Representative (Provisional Licence)	1
FAYARD Franck Nicolas Michel	BBP230	Licensed Representative (Provisional Licence)	1, 9
FEI Yi	BPL249	Licensed Representative (Provisional Licence)	1, 4
FENYVES Peter	BPN746	Licensed Representative (Provisional Licence)	1, 4, 9
FUCHS Alexander	BPR489	Licensed Representative (Provisional Licence)	1, 2
FUNG Sheung Yin	BPR542	Licensed Representative (Provisional Licence)	1, 2
GRIVEAU Alain Henri Jean-paul	BPR586	Licensed Representative (Provisional Licence)	1, 2
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GUAN Hongyu	BPR918	Licensed Representative (Provisional Licence)	1, 6
HANDS Duncan Alexander	BPR486	Licensed Representative (Provisional Licence)	1, 4, 9
HAU Jacquelynne	BLQ406	Licensed Representative (Provisional Licence)	1, 2, 4
HE Xiyu	BDI120	Licensed Representative (Provisional Licence)	1, 4
HO Huen Pui	BPQ372	Licensed Representative (Provisional Licence)	1
HOCK Wai Hou	AZH640	Licensed Representative (Provisional Licence)	1, 4, 6
HONG Soo Min	BPQ864	Licensed Representative (Provisional Licence)	1, 2
HOU Siqi	BPQ103	Licensed Representative (Provisional Licence)	1, 4, 9
HSIAO Eugene Yow Seng	AOP006	Licensed Representative (Provisional Licence)	4
HU Yi	BPQ102	Licensed Representative (Provisional Licence)	1, 6
HUANG Weiting	BPO615	Licensed Representative (Provisional Licence)	1, 4, 9
HUANG Zeyan	BJN130	Licensed Representative (Provisional Licence)	4, 9
HUYNH Spencer Simon	BPR490	Licensed Representative (Provisional Licence)	1, 2
HWANG Min Wen	BHF276	Licensed Representative (Provisional Licence)	1
JEONG Jintaek	BPO884	Licensed Representative (Provisional Licence)	1, 6
JIA Yifei	BPM148	Licensed Representative (Provisional Licence)	1, 6



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTION CE Name	CE No.	Licence Type	Types of Regulated Activity
JIANG Jun	BPM576	Licensed Representative (Provisional Licence)	4
JIANG Ting	BPQ540	Licensed Representative (Provisional Licence)	1, 4
JIN Xiaoxuan	BNC033	Licensed Representative (Provisional Licence)	1, 6
KANG Junhui	BPR702	Licensed Representative (Provisional Licence)	1, 4
KANO Shuhei	BPO626	Licensed Representative (Provisional Licence)	9
KIM David Jaemin	AMC260	Licensed Representative (Provisional Licence)	1
KUMAR Navin		, ,	
	BPR526	Licensed Representative (Provisional Licence)	1, 2
KWOK Hoi Ming	BPO908	Licensed Representative (Provisional Licence)	1, 7
LAI Ho Ling	BPQ098	Licensed Representative (Provisional Licence)	1
LAM Alexander	BPQ762	Licensed Representative (Provisional Licence)	1, 4, 6
LAM Cheuk Yin, Raymond	BGL264	Licensed Representative (Provisional Licence)	4
LAM Ho Martin	BMK414	Licensed Representative (Provisional Licence)	4
LAM Pui Ming	BPR551	Licensed Representative (Provisional Licence)	1
LAU Kit Wan	AFJ610	Licensed Representative (Provisional Licence)	1, 2
LEE Jaewook	BPO620	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Ji Yan Christen	AVH059	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Sau Ming	BPN596	Licensed Representative (Provisional Licence)	1
LEE Shih-Hsin	AIB828	Licensed Representative (Provisional Licence)	1
LEUNG C Jun Christopher	AOU632	Licensed Representative (Provisional Licence)	1
LEUNG Hang Yuk	BPP099	Licensed Representative (Provisional Licence)	1
LEUNG Ho Wing	BHR780	Licensed Representative (Provisional Licence)	1, 4, 9
LEUNG Wai Yee Edna	BPQ197	Licensed Representative (Provisional Licence)	1, 4
LI Bowen	BPS171	Licensed Representative (Provisional Licence)	1, 6
LI Chi Ngai	BBP668	Licensed Representative (Provisional Licence)	9
LI Han	BPO810	Licensed Representative (Provisional Licence)	1, 4, 7
LI Jingze	BPS493	Licensed Representative (Provisional Licence)	1
LI Lin Fung, Linus	AOV147	Licensed Representative (Provisional Licence)	1, 4, 6
LI Yao	BPQ459	Licensed Representative (Provisional Licence)	1, 4, 6
LI Yidan	BDR383	Licensed Representative (Provisional Licence)	9
LI Zheren	AWP314	Licensed Representative (Provisional Licence)	9
LI Zhi	BPP314	Licensed Representative (Provisional Licence)	4
LIANG Shiyi	BPQ797	Licensed Representative (Provisional Licence)	1
LIAO Chenxiang	BPR902	Licensed Representative (Provisional Licence)	1, 2, 4
LIAO Wei	BPQ349	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Qi	BPO746	Licensed Representative (Provisional Licence)	1, 2
LIN Tzu-Yuan	BPN861	Licensed Representative (Provisional Licence)	9
LIN Xiaoxiang	BIY895	Licensed Representative (Provisional Licence)	1
LIU Chong	BEB923	Licensed Representative (Provisional Licence)	9
LIU Haoming	BPS081	Licensed Representative (Provisional Licence)	9
LIU Hongcai	AVK619	Licensed Representative (Provisional Licence)	2
LIU Shang	BPQ440	Licensed Representative (Provisional Licence)	1
LIU Xueyang	BPL903	Licensed Representative (Provisional Licence)	1, 6
LIU Yida	BHD554	Licensed Representative (Provisional Licence)	6
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LO Chun Hei	BPP212	Licensed Representative (Provisional Licence)	1, 4
LONG Shishi	BPS035	Licensed Representative (Provisional Licence)	9



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTION CE Name	CE No.	Licence Type	Types of Regulated Activity
LOW Wei-en	BPQ857	Licensed Representative (Provisional Licence)	1
LU Jun	BPM400	Licensed Representative (Provisional Licence)	1, 6
LU xinzheng	BPR644	Licensed Representative (Provisional Licence)	1, 2, 4
LUO Yi	BPQ501	, , , , , , , , , , , , , , , , , , , ,	
		Licensed Representative (Provisional Licence)	1, 2, 7
MA Zheng	BPQ345	Licensed Representative (Provisional Licence)	1, 4
MACFARLANE Gavin James	BPQ194	Licensed Representative (Provisional Licence)	1, 4, 9
MAK Wai Yin	BPS339	Licensed Representative (Provisional Licence)	4
MILES Michael Christopher	AZT872	Licensed Representative (Provisional Licence)	9
MITKARI Mukul	AXL947	Licensed Representative (Provisional Licence)	1, 6
MOK Chun Hung	BPQ831	Licensed Representative (Provisional Licence)	6
MOK Ho Yan	BPF954	Licensed Representative (Provisional Licence)	6
NG Hiu Tan, Jessica	BPO582	Licensed Representative (Provisional Licence)	1
NG Hiu Ying	BPO499	Licensed Representative (Provisional Licence)	4, 9
NG Ping	BPP218	Licensed Representative (Provisional Licence)	1, 4
NG Wai Ching, Christine	AFU121	Licensed Representative (Provisional Licence)	1
NG Yu Xuan	BPQ255	Licensed Representative (Provisional Licence)	1, 2
NING Anning	BPQ320	Licensed Representative (Provisional Licence)	1, 6
OH Seung Ha	BPQ321	Licensed Representative (Provisional Licence)	1, 6
ONG Charlie Yveline Jeanne	BLG082	Licensed Representative (Provisional Licence)	9
PARK Wanbae	BPQ862	Licensed Representative (Provisional Licence)	2
QIANG Wei	BPM101	Licensed Representative (Provisional Licence)	1, 6
QIAO Yi	BPQ849	Licensed Representative (Provisional Licence)	1
QIN Ruicheng	BPS113	Licensed Representative (Provisional Licence)	9
RAMANATHAN Rajeev	BPS178	Licensed Representative (Provisional Licence)	9
ROHOF Jan Wilhelm	BPQ451	Licensed Representative (Provisional Licence)	1, 4
SHI Jian	BOB756	Licensed Representative (Provisional Licence)	1, 6
SHI Tuo	BPR541	Licensed Representative (Provisional Licence)	4
SHU Weikang	BPR700	Licensed Representative (Provisional Licence)	1, 4
SIU Sing Leong	BPR731	Licensed Representative (Provisional Licence)	6
SONG Ruixi	BPO694	Licensed Representative (Provisional Licence)	1, 4
SRIVASTAVA Manish	ANE868	Licensed Representative (Provisional Licence)	9
STAFF Neil David	BPK453	Licensed Representative (Provisional Licence)	1, 2
SU Shixian	BPQ691	Licensed Representative (Provisional Licence)	1, 2
SUGITO Timur Tzu Lung	BCE461	Licensed Representative (Provisional Licence)	9
SUN Tianhao	BPQ090	Licensed Representative (Provisional Licence)	1, 2, 4
SUN Yu	BPQ765	Licensed Representative (Provisional Licence)	6
SUZUKI (KORSUWAN) Ran (Rosalyn)	BPS240	Licensed Representative (Provisional Licence)	1, 7
SYAL Sumit	AXL025	Licensed Representative (Provisional Licence)	1, 2, 4, 5
TAN Kean Ee	BJA918	Licensed Representative (Provisional Licence)	4, 9
TAN Wei	BPQ633	Licensed Representative (Provisional Licence)	1, 4, 6
TANEJA Karan	BPQ514	Licensed Representative (Provisional Licence)	1
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TANG Koren	BPK771	Licensed Representative (Provisional Licence)	1, 2
TANG Mina Kita	AFE983	Licensed Representative (Provisional Licence)	4
TANG Wing Kit	BPS283	Licensed Representative (Provisional Licence)	1
TAO Xiaotong	BPM585	Licensed Representative (Provisional Licence)	4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTION CE Name	CE No.	Licence Type	Types of Regulated Activity
TAYLOR Luke Richard	BPL111	Licensed Representative (Provisional Licence)	9
TIAN Xiaosu	BIF037	Licensed Representative (Provisional Licence)	9
TO Ka Yan	BPO750	Licensed Representative (Provisional Licence)	1
TONG Jianggiao	AKS644	Licensed Representative (Provisional Licence)	4
TSE Cho Yan	BPP167	Licensed Representative (Provisional Licence)	1
	APC906	Licensed Representative (Provisional Licence)	1, 4
TSE Kwei Ying		, , ,	9
TSE Sai Tung Stones	AEP719	Licensed Representative (Provisional Licence)	
TSO Faye	BPN744	Licensed Representative (Provisional Licence)	1, 2
TSUI Ting Kwan Quentin	BHL217	Licensed Representative (Provisional Licence)	1, 6
TUNG Kai Ah	BGH919	Licensed Representative (Provisional Licence)	1, 4, 9
VAZQUEZ NG Chau Po Teresa	AMI195	Licensed Representative (Provisional Licence)	4
WAN Jing	BPQ723	Licensed Representative (Provisional Licence)	1, 2, 4
WANG Diwen	BPQ763	Licensed Representative (Provisional Licence)	1, 6
WANG Hao	BPO904	Licensed Representative (Provisional Licence)	1, 4
WANG Linjin	BPQ393	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Minchen	BPS537	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Ran	BPQ519	Licensed Representative (Provisional Licence)	1, 2
WANG Shiyuan	BPR524	Licensed Representative (Provisional Licence)	4
WANG Shuai	BPO910	Licensed Representative (Provisional Licence)	1, 2
WANG Zichao	BNV387	Licensed Representative (Provisional Licence)	1, 4, 9
WEI Chuyang	BGC776	Licensed Representative (Provisional Licence)	1, 6
WEI Zhenbang	BPM582	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Chi Hong, Hugo	BPQ332	Licensed Representative (Provisional Licence)	1
WONG Ka Fai	BPO793	Licensed Representative (Provisional Licence)	1, 4
WONG Ka Yi	BPQ346	Licensed Representative (Provisional Licence)	1, 4
WONG Wai Pang	BGP175	Licensed Representative (Provisional Licence)	1, 4
WONG Wing Sze	ANW429	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Wing Yan	BPS190	Licensed Representative (Provisional Licence)	1, 4
WU Daiyu	BPR799	Licensed Representative (Provisional Licence)	4
WU Lap Heung	AAC013	Licensed Representative (Provisional Licence)	1, 2
WU Qian	BPQ766	Licensed Representative (Provisional Licence)	4
WU Wei	BCB665	Licensed Representative (Provisional Licence)	1, 4, 9
XIE Yangping	BPR616	Licensed Representative (Provisional Licence)	4, 9
XIE Yunqi	BLY481	Licensed Representative (Provisional Licence)	1, 4
XING Ziyan	BLY552	Licensed Representative (Provisional Licence)	1, 4, 6
XU Fang	BPL704	Licensed Representative (Provisional Licence)	1, 6
XU Han	BPS327	Licensed Representative (Provisional Licence)	4
XU Mengmeng	BPQ333	Licensed Representative (Provisional Licence)	1, 4, 6
XU Zhiyuan	BPO925	Licensed Representative (Provisional Licence)	1
XU Zhuonan	BPR695	Licensed Representative (Provisional Licence)	1, 4
XUE Mengchen	BPL902	Licensed Representative (Provisional Licence)	1, 6
YAN Ping	BPM581	Licensed Representative (Provisional Licence)	1, 2
YANG Jingjing	BPO570	Licensed Representative (Provisional Licence)	1, 4
YANG Shen	BPQ101	Licensed Representative (Provisional Licence)	1, 4
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YANG Xiaotian	BPR904	Licensed Representative (Provisional Licence)	1, 4, 9



CE Name	CE No.	Licence Type	Types of Regulated Activity
YANG Xiaoxiao	BPR483	Licensed Representative (Provisional Licence)	1
YANG Yiwei	BPO889	Licensed Representative (Provisional Licence)	1, 4
YANG Zichao	BPQ794	Licensed Representative (Provisional Licence)	4
YAO Yang	BPO892	Licensed Representative (Provisional Licence)	6
YEUNG Hau Yip	BDP513	Licensed Representative (Provisional Licence)	1, 2, 9
YI Zhenyong	BPO662	Licensed Representative (Provisional Licence)	4
YIN Yue	AXZ897	Licensed Representative (Provisional Licence)	1, 4, 9
YIP ADRIAN TZE HANG	BPS815	Licensed Representative (Provisional Licence)	6
YONG Dun He	BPS174	Licensed Representative (Provisional Licence)	1, 4, 9
YU Quan	BPR500	Licensed Representative (Provisional Licence)	4, 9
YU Shuyang	BPR903	Licensed Representative (Provisional Licence)	1, 4, 6
YU Wing Yan	BJQ181	Licensed Representative (Provisional Licence)	1
YUAN Ren Jie	BDL789	Licensed Representative (Provisional Licence)	10
YUE Chiu Fan Amy	BPS943	Licensed Representative (Provisional Licence)	1
YUEN Yat Hay	BPP201	Licensed Representative (Provisional Licence)	1, 4
YUNG Wai Chung	BPQ625	Licensed Representative (Provisional Licence)	1
ZHANG Jingyi	BPR656	Licensed Representative (Provisional Licence)	4
ZHANG Rui	BED057	Licensed Representative (Provisional Licence)	4, 9
ZHANG Ziyao	BPS102	Licensed Representative (Provisional Licence)	1, 4, 9
ZHAO Jianan	BHT616	Licensed Representative (Provisional Licence)	1, 6
ZHAO Lijian	BPR677	Licensed Representative (Provisional Licence)	1, 4
ZHAO Ningda	BPP315	Licensed Representative (Provisional Licence)	4
ZHU Junliang	BEN145	Licensed Representative (Provisional Licence)	9
CHAMBERS Andrew James	BPT495	Licensed Representative (Temporary Licence)	1
FITZGERALD Daniel Edmund	BPT493	Licensed Representative (Temporary Licence)	1
SHERIDAN Matthew S	BOB459	Licensed Representative (Temporary Licence)	4
WU Jeff	BPO553	Licensed Representative (Temporary Licence)	2
ZHOU Robert Yau	BPK832	Licensed Representative (Temporary Licence)	1, 2