



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2018

| CE Name | CE No. | License Type | Types of Regulated Activity Added | Types of Regulated Activity Removed |
|--|--------|-------------------------|-----------------------------------|-------------------------------------|
| Able Capital Partners Limited | BIZ642 | Licensed Corporation | 1 | |
| All-Stars Investment Limited | BBX134 | Licensed Corporation | 1 | |
| CM Securities (Hongkong) Company Limited | BBK541 | Licensed Corporation | 2 | |
| Comprador Limited | BEP126 | Licensed Corporation | 9 | |
| Eurizon Capital (HK) Limited | BHO750 | Licensed Corporation | 1 | |
| Five States Capital Resources Limited | BHK030 | Licensed Corporation | 1 | |
| GaoTeng Global Asset Management Limited | BFC246 | Licensed Corporation | 4 | |
| Hopewell Investment Management Limited | BIB127 | Licensed Corporation | 1 | |
| LGT Capital Partners (Asia-Pacific) Limited | AIP439 | Licensed Corporation | 9 | |
| Minghing International Securities Limited | BJD407 | Licensed Corporation | 2 | |
| Old Mutual Global Investors (Asia Pacific) Limited | AHS938 | Licensed Corporation | | 9 |
| Po Sang Securities and Futures Limited | ACI778 | Licensed Corporation | 9 | |
| SFM HK Management Limited | AVO620 | Licensed Corporation | 1, 2 | |
| Shining International Holdings Limited | AYB898 | Licensed Corporation | 1 | |
| Success Futures and Foreign Exchange Limited | AGN056 | Licensed Corporation | | 2 |
| Yellow River Global Capital Limited | BGQ937 | Licensed Corporation | | 1 |
| Yubo Securities Limited | BIC697 | Licensed Corporation | 2 | |
| ANSARI Patrick Mehran | BFX161 | Licensed Representative | 7 | |
| AU Hei Ching | AWC465 | Licensed Representative | 4 | |
| AU Yee Wing | BDK994 | Licensed Representative | | 9 |
| AU YEUNG Wai Kin | AZJ599 | Licensed Representative | | 1 |
| AU-YOUNG Hin Lap | BEA677 | Licensed Representative | | 6 |
| BEI Haochen | AQH041 | Licensed Representative | 1, 9 | |
| BESSON Guillaume Gerard Marie | ALI003 | Licensed Representative | 1, 2 | 9 |
| BHARWANI Puja Ramesh | AXS260 | Licensed Representative | 9 | 2 |
| Bi Jia | BIZ157 | Licensed Representative | | 2 |
| BIAN Yiran | BGG381 | Licensed Representative | 5 | 1 |
| BUT Chi Kwan | BFP249 | Licensed Representative | 2, 5 | 3 |
| CHAK Hiu Ha | BHI952 | Licensed Representative | 1 | |
| CHAM Tak Fat | BIH508 | Licensed Representative | 2 | |
| CHAN Cheuk Wing | BBU902 | Licensed Representative | 4, 9 | |
| CHAN Ching Shuen | AYQ278 | Licensed Representative | 9 | 1 |
| CHAN Hoi Cheung | APW069 | Licensed Representative | 9 | |
| CHAN Kar Kar, Francesca | BKF466 | Licensed Representative | 6 | 9 |
| CHAN Kar Yin, Gabriel | AIB796 | Licensed Representative | 1, 2 | 5, 9 |
| CHAN Kin Ho | ALQ830 | Licensed Representative | | 3 |
| CHAN Kin Wah, Kenneth | BAL896 | Licensed Representative | | 1 |
| CHAN King Wang | AMK049 | Licensed Representative | 4 | |
| CHAN Lai Man | ASS908 | Licensed Representative | | 4, 5 |
| CHAN Lap San Raymond | AQH361 | Licensed Representative | 4 | |
| CHAN Lok Him | BDF100 | Licensed Representative | 4 | |
| CHAN Man Hung | ARL935 | Licensed Representative | 9 | |
| CHAN Man Yan | AMF587 | Licensed Representative | 9 | |
| CHAN Ngai Lung | AWO108 | Licensed Representative | 4 | |
| CHAN Shing Ming, Louis | AEF393 | Licensed Representative | 6 | |
| CHAN Shiu Yee | AVM135 | Licensed Representative | 3 | |
| CHAN Shuk Mei | BEA066 | Licensed Representative | 1 | |
| CHAN Suet Tung | BFH782 | Licensed Representative | 1 | |
| CHAN Tsun Man, Norman | ABW233 | Licensed Representative | | 4, 9 |
| CHAN Wing Bun | BIG618 | Licensed Representative | 9 | 1 |
| CHAN Yan Fong | ALK716 | Licensed Representative | 4 | |
| CHAN Yim Hung | AXP606 | Licensed Representative | 4 | |
| CHANG Steve Deh-Mo | AGC467 | Licensed Representative | 4, 9 | 1, 2 |
| CHANG Weijun | AOV859 | Licensed Representative | 6 | |
| CHAO Evan | BKA233 | Licensed Representative | | 4 |
| CHAU Fai | APY543 | Licensed Representative | 1 | |
| CHEESEMAN Robert Ross | ANY227 | Licensed Representative | | 7 |
| CHEN Calvin Hughes | ATL788 | Licensed Representative | 9 | 1, 6 |
| CHEN Daqi | BHN986 | Licensed Representative | 1 | |
| CHEN Keyong | BEO056 | Licensed Representative | 1 | |
| CHEN Liuqing | BEB063 | Licensed Representative | | 6 |
| CHEN Michael Hao | AZX279 | Licensed Representative | | 9 |
| CHEN Qi | BMT283 | Licensed Representative | 9 | |
| CHEN Wenhan | APF628 | Licensed Representative | 10 | 1, 4 |
| CHEN Xuyang | BGW868 | Licensed Representative | | 6 |
| CHENG Adrian Chun Ying | AUS087 | Licensed Representative | 10 | 1, 6 |
| CHENG Chak Yan | BJA024 | Licensed Representative | | 4 |
| CHENG Tsz Tsun | APP183 | Licensed Representative | | 1 |
| CHENG Yee Man | BGG143 | Licensed Representative | | 4, 5 |
| CHEUK Hoi Ki | BJQ675 | Licensed Representative | 2 | |
| CHEUNG Johnson | ABI721 | Licensed Representative | 2 | |
| CHEUNG Kai Ming | BGB954 | Licensed Representative | 1, 4 | |
| CHEUNG Lai Ping | ACJ211 | Licensed Representative | 9 | |



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2018

| CE Name | CE No. | License Type | Types of Regulated Activity Added | Types of Regulated Activity Removed |
|--------------------------|--------|-------------------------|-----------------------------------|-------------------------------------|
| CHEUNG On Kit, Andrew | AUZ918 | Licensed Representative | 1 | |
| CHEUNG Wai Kwok, Gary | AAC469 | Licensed Representative | | 2, 5, 9 |
| CHIA Janice Jhet Yen | AOY762 | Licensed Representative | | 4 |
| CHIEN Jackie Wei Jia | AHG570 | Licensed Representative | | 6 |
| CHING Tze Lok | AZS855 | Licensed Representative | | 1 |
| CHIO Mei Seong | AKQ627 | Licensed Representative | 4 | |
| CHIU Jone Yin | BCZ927 | Licensed Representative | | 2 |
| CHIU Si, Mary | AOM640 | Licensed Representative | 1, 4, 9 | 6 |
| CHOI Ho Yin, Coey | BHL667 | Licensed Representative | 2 | |
| CHOI Tsz Ming | AAA558 | Licensed Representative | | 2, 5 |
| CHOI Yon-sue | BID689 | Licensed Representative | | 4, 6 |
| CHONG Shu Tat | AOC981 | Licensed Representative | 2 | |
| CHOW Ching Hoi, Hugo | AAE815 | Licensed Representative | | 2 |
| CHOW Ka Hang | BIY485 | Licensed Representative | | 2 |
| CHOW William Kong Meng | BGM036 | Licensed Representative | 1 | |
| CHOW Yung | BDL629 | Licensed Representative | 2 | |
| CHOY Ching Fai | BBL230 | Licensed Representative | 2 | |
| CHUI Heung Chin | ANQ230 | Licensed Representative | 4 | |
| CHUI Wing Hin | BIV832 | Licensed Representative | 9 | |
| CHUN Siu Lung, William | AXK422 | Licensed Representative | 9 | |
| DENG William Shijun | BGT750 | Licensed Representative | 4, 9 | 1 |
| DING Yixin | BGP112 | Licensed Representative | 4, 9 | 1, 2, 5 |
| DU Yuwei | BIH329 | Licensed Representative | 1 | |
| FAN Kei Shun, Lester | AGT998 | Licensed Representative | | 2, 5 |
| FAN Mei Mei | BKL563 | Licensed Representative | 2 | |
| FAN Yi | AUV739 | Licensed Representative | | 1, 4 |
| FOK Siu Pong | AME216 | Licensed Representative | 5 | |
| FONG Wai Kim | ADZ921 | Licensed Representative | 4, 5 | |
| FONG Wai Lok, Raymond | AFT306 | Licensed Representative | | 4 |
| FONG Yiu Pan | BFS689 | Licensed Representative | 2 | |
| FUNG Ching Wan, Jackie | AOB388 | Licensed Representative | | 9 |
| FUNG Sze Man | ARM577 | Licensed Representative | | 3 |
| GAO Chenyu | BFR730 | Licensed Representative | 9 | 1, 2 |
| GIBBS Robert John Stuart | BJV533 | Licensed Representative | 9 | |
| GOH Ping Hao | BFW336 | Licensed Representative | | 1 |
| GONG Xuemin | BJR219 | Licensed Representative | 4, 9 | |
| GU Yechen | BGB507 | Licensed Representative | 9 | 1 |
| GUAN Bin | AGL097 | Licensed Representative | 6 | |
| GUO Rui | BMK635 | Licensed Representative | 4 | |
| HAN Zhuo | BDH667 | Licensed Representative | 4 | |
| HAU Shek Kei | ANV277 | Licensed Representative | 2 | |
| HE Wei | BMK634 | Licensed Representative | 4 | |
| HIRAMATSU Takekazu | BIG807 | Licensed Representative | 2 | |
| HO Chun Bong | BEB408 | Licensed Representative | | 1 |
| HO Chun Hang | BKN358 | Licensed Representative | 2 | 1 |
| HO Frankie Chung Fat | AYN333 | Licensed Representative | 1 | |
| HO Kai Yuen | BMC026 | Licensed Representative | 2 | |
| HO Kit Ming | AEF856 | Licensed Representative | 2 | |
| HO Lik Kwan, Luke | ALS703 | Licensed Representative | 1 | |
| HO Raymond Ka Wing | AFY752 | Licensed Representative | | 1, 4 |
| HO Shung Wai | ATY617 | Licensed Representative | 2 | |
| HO Yuen Kim | ARO425 | Licensed Representative | 6 | |
| HOU Jie | BCS549 | Licensed Representative | 1 | |
| HOU Yankun | AOC747 | Licensed Representative | 6 | 4 |
| HU Kailun | BGI934 | Licensed Representative | 4 | |
| HU Zewei | BLF173 | Licensed Representative | 2 | |
| HUANG Chanjuan | AXY858 | Licensed Representative | 4 | |
| HUANG Chen Kai | BGJ241 | Licensed Representative | 9 | 1 |
| HUANG Guang | AVS141 | Licensed Representative | | 4 |
| HUANG Hwei-Hung | ATM961 | Licensed Representative | | 4, 9 |
| HUANG Jackson Yu-Hao | AWD608 | Licensed Representative | 1 | |
| HUANG Kanglin | BGL116 | Licensed Representative | 9 | |
| HUANG Li-ming | BAV765 | Licensed Representative | 4 | |
| HUI Hon Wing | ACV341 | Licensed Representative | | 1, 2 |
| HUI Lap Keung | AOA901 | Licensed Representative | | 1, 2 |
| HUNG Ho Lam | APK784 | Licensed Representative | | 1 |
| HUNG Kwong Ngai | AEM105 | Licensed Representative | | 2, 6 |
| IYAMA Toshiyasu | AJK522 | Licensed Representative | | 2, 4, 5, 6 |
| IP Yee Ching | BJG875 | Licensed Representative | 4 | |
| JHANG Sin-Lun | ATH710 | Licensed Representative | 4 | |
| JIAO Qian | BII053 | Licensed Representative | 6 | |
| JIN Zhe | BDY094 | Licensed Representative | 1 | 4, 9 |
| JU Shunzhou | BGH337 | Licensed Representative | | 1, 5 |



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| CE Name | CE No. | License Type | Types of Regulated Activity Added | Types of Regulated Activity Removed |
|--------------------------|--------|-------------------------|-----------------------------------|-------------------------------------|
| KAM Tyran | AMG982 | Licensed Representative | 10 | 4, 9 |
| KHUONG Chun Yu, Kenny | ANH532 | Licensed Representative | 1 | |
| KIM Yong Duk | BGG675 | Licensed Representative | | 9 |
| KLYNHOUT Dale | BHN935 | Licensed Representative | | 1 |
| KONG Yuk Chuen | AYW579 | Licensed Representative | | 4 |
| KONG Zhou | AXY969 | Licensed Representative | | 4 |
| KUNG Wing San Joseph | BMH146 | Licensed Representative | 9 | |
| KWOK Hing Paai | BHO374 | Licensed Representative | 1 | |
| KWOK Tsz Kiu | BET772 | Licensed Representative | 1 | |
| KWONG Ka Leung | BGB944 | Licensed Representative | 1 | |
| LAI Chun Yat | BJL811 | Licensed Representative | 6 | 4, 9 |
| LAI Wai Kin | BFY894 | Licensed Representative | 2 | |
| LAM Cheuk Fung | BIN848 | Licensed Representative | 1 | |
| LAM Chi Lam | AYJ114 | Licensed Representative | | 4, 9 |
| LAM Hoi Shan, Flora | AVN396 | Licensed Representative | | 2 |
| LAM Pak Fung | BFT006 | Licensed Representative | 1, 4 | |
| LAM Ping Sum | AUZ549 | Licensed Representative | | 1 |
| LAM Sai Lap | APW631 | Licensed Representative | 4 | |
| LAM To Hing | BCT899 | Licensed Representative | 4 | |
| LAM Tsz Chun | BBQ049 | Licensed Representative | 2 | |
| LAN Ying | BEU871 | Licensed Representative | 9 | |
| LAU Hynn Mann Mandy | BHY639 | Licensed Representative | 1 | 9 |
| LAU Kin Chung, Alex | AXH629 | Licensed Representative | | 4 |
| LAU Pan | BGO489 | Licensed Representative | | 9 |
| LAU Tak Yee | BEE815 | Licensed Representative | 4 | |
| LAW Pak Hei | ANP887 | Licensed Representative | 4 | |
| LEE Adam John | BDT098 | Licensed Representative | 9 | 4 |
| LEE Adrian Tze Him | BCA285 | Licensed Representative | | 6 |
| LEE Christy | BHF337 | Licensed Representative | 6 | |
| LEE Kai Ni | BGX759 | Licensed Representative | | 2 |
| LEE Kam Wing, Victor | AFD546 | Licensed Representative | 4 | |
| LEE Shuk Ling | BHK862 | Licensed Representative | 9 | |
| LEE Sung Yin | ABF791 | Licensed Representative | 4, 9 | |
| LEE Tak Shing | BLW937 | Licensed Representative | 2 | |
| LEE Vizor | AWF319 | Licensed Representative | | 1 |
| LEE Wai Kin | APD151 | Licensed Representative | 9 | 1, 2, 7 |
| LEE Yiu Chung | AAH579 | Licensed Representative | 9 | |
| LEUNG Chi Hang | AKO773 | Licensed Representative | | 2 |
| LEUNG Chi Hin | BBK455 | Licensed Representative | | 1 |
| LEUNG Jonathan Koon-fung | AYS657 | Licensed Representative | | 1 |
| LEUNG Ka Man | AJO081 | Licensed Representative | | 4 |
| LEUNG Kai Kit | BGO560 | Licensed Representative | | 9 |
| LEUNG Kwok Kan | AAD928 | Licensed Representative | | 1 |
| LEUNG Sin Hong, Eric | ABY003 | Licensed Representative | | 2, 3, 9 |
| LEUNG Sze Wai | AMJ602 | Licensed Representative | 9 | |
| LI Chi Hong | AMJ927 | Licensed Representative | 9 | |
| LI Chun | AQT080 | Licensed Representative | 2 | |
| LI Fangyong | BEI816 | Licensed Representative | | 2 |
| LI Fu Sang Felix | BKR091 | Licensed Representative | 4 | |
| LI Kevin Zhen Ting | BHZ557 | Licensed Representative | | 1 |
| LI Man Kit | AZY531 | Licensed Representative | | 2 |
| LI Mengna | BEZ630 | Licensed Representative | | 6 |
| LI Nicholas Che-sun | BGQ870 | Licensed Representative | 4, 9 | 10 |
| LI Shuonan | BER348 | Licensed Representative | 6 | |
| LI Xiang | BIY585 | Licensed Representative | 2 | |
| LI Xiaodan | BFX889 | Licensed Representative | 9 | |
| LI Yang | BDX525 | Licensed Representative | | 2 |
| LI Yin Kit | AZW208 | Licensed Representative | 2 | 4 |
| LI Zhe | AXS556 | Licensed Representative | | 4 |
| LI Zheng | BJM368 | Licensed Representative | 4 | |
| LI Zhenyin | ATN366 | Licensed Representative | 9 | 6 |
| LIM Wen Kai, Jonathan | BFN392 | Licensed Representative | 9 | 1, 4 |
| LIM Yui Sing | BGU684 | Licensed Representative | 1 | |
| LIN Yen-Chun | ASM080 | Licensed Representative | | 4 |
| LIU Andrew Jian | BGD853 | Licensed Representative | 4 | 1, 6 |
| LIU Hao | AYS245 | Licensed Representative | 1, 4 | |
| LIU Ke | BEZ142 | Licensed Representative | 4, 9 | |
| LIU Kei Kit | BLP543 | Licensed Representative | 1 | |
| LIU Sai Kit | BJS797 | Licensed Representative | 4 | 2, 3 |
| LIU Tak Ho | BHG219 | Licensed Representative | | 2, 4 |
| LIU Yan | BJO206 | Licensed Representative | 4 | |
| LIU Yuen Shan | ADC177 | Licensed Representative | | 1 |
| LIU Yuk Shan | BCG151 | Licensed Representative | 9 | |



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| CE Name | CE No. | License Type | Types of Regulated Activity Added | Types of Regulated Activity Removed |
|--------------------------|--------|-------------------------|-----------------------------------|-------------------------------------|
| LIU Ziqi | BAS724 | Licensed Representative | 9 | 1, 4 |
| LO Lai Kwan, Thebes | ACT431 | Licensed Representative | 4 | |
| LO Sze Wai | AWW750 | Licensed Representative | 9 | 1, 2 |
| LU Chao | BHT655 | Licensed Representative | 1 | |
| LU Fengqing | BJC209 | Licensed Representative | 1 | |
| LU Liyang | BGH413 | Licensed Representative | 9 | 1 |
| LUAN Isabella | AOV577 | Licensed Representative | 9 | 1, 4, 6 |
| LUI Kwok Wai | BHF628 | Licensed Representative | | 6 |
| LUO Tuo | BEU885 | Licensed Representative | | 7 |
| MAEKITA Hideaki | BEN026 | Licensed Representative | 7 | |
| MAK Kai Ming | BCU738 | Licensed Representative | 4 | 2 |
| MAK Lai Ling, Ada | AAJ497 | Licensed Representative | | 1 |
| MAK Pui Hang Eric | APQ674 | Licensed Representative | 9 | |
| MAN Pik Sum | BJM378 | Licensed Representative | 2 | 4, 9 |
| MOHATA Pankaj | AXF915 | Licensed Representative | | 4 |
| MOK Hiu Lam | AJE368 | Licensed Representative | | 1 |
| NG Steven Hoi-bun | AFZ399 | Licensed Representative | 4 | |
| NG Yee Ling | BGE058 | Licensed Representative | | 1 |
| NGAN Chi Chun Alfee | BLV188 | Licensed Representative | 4, 9 | 1 |
| OUYANG Xupeng | BGN162 | Licensed Representative | | 9 |
| PAK Ka Lee | BDH206 | Licensed Representative | | 6 |
| PANG Wai Chuen Edmond | BMA226 | Licensed Representative | 1 | |
| PANG Xinyi | BLQ513 | Licensed Representative | 4 | 1 |
| PENG David Hoantee | AET830 | Licensed Representative | | 4 |
| POPAT Mitul Bharatkumar | BHW489 | Licensed Representative | 1, 2 | |
| QIN Yuehong | ANT491 | Licensed Representative | 1 | |
| ROYDS Katarina Elizabeth | AQU966 | Licensed Representative | 9 | 1 |
| SHAN Shuang | BHJ763 | Licensed Representative | 2 | |
| SHARMA Nipun | AUI442 | Licensed Representative | | 1 |
| SHI Jiayi | BIB888 | Licensed Representative | 4, 6 | |
| SHIN Young Sang | BIC621 | Licensed Representative | 10 | 1, 6 |
| SIN Kit Fung | BKE175 | Licensed Representative | 2 | |
| SIT Hau Yi | BEE951 | Licensed Representative | 2 | |
| SIU Po Cheung | BGD956 | Licensed Representative | | 4, 9 |
| SIU Pui Kay, Ruby | AGW561 | Licensed Representative | 4 | |
| SO Kin | AID232 | Licensed Representative | | 4 |
| SO Lai Shan, Jennifer | AHA295 | Licensed Representative | 9 | |
| SUEN Chi Yeung, Toby | AY1153 | Licensed Representative | 4 | |
| SZE Wai Lun | AZB035 | Licensed Representative | | 6 |
| TAKAKU Masanori | AXM564 | Licensed Representative | 1 | |
| TAM Wai Ho, Joseph | BDJ927 | Licensed Representative | 9 | |
| TAM Yui Fai | BLB623 | Licensed Representative | 2 | |
| TAN Tian | BCF199 | Licensed Representative | 1, 6 | 9 |
| TANG Bolun | BGD485 | Licensed Representative | | 1 |
| TANG Chi Fung, Tony | ANH683 | Licensed Representative | 4 | |
| TANG Chin Kit | BGE010 | Licensed Representative | 6 | |
| TANG Wai Kee | ACG544 | Licensed Representative | | 4 |
| TIN Wai Man | ARG334 | Licensed Representative | | 9 |
| TING Sai Man | ADZ993 | Licensed Representative | 1, 4 | |
| TSANG Man Chung, Timothy | AIR741 | Licensed Representative | 4, 6 | |
| TSANG Man Sang | AFC688 | Licensed Representative | | 1 |
| TSANG Tak Ki | BMC017 | Licensed Representative | 2 | |
| TSANG Wai Hang, Wayne | AOB854 | Licensed Representative | 4 | |
| TSE Joseph | AKL709 | Licensed Representative | 2 | |
| TSE Ming Pong | BBD859 | Licensed Representative | 4, 9 | |
| TSE Win Yee, Annie | ACR126 | Licensed Representative | 2 | |
| TSO Sing Yip | BEE485 | Licensed Representative | 9 | |
| TSOI Ka Ming | BHX791 | Licensed Representative | | 2 |
| TSUI Ching, Jane | AMM147 | Licensed Representative | 9 | |
| TSUI Yi | BFZ563 | Licensed Representative | 1 | |
| WAN Tsun Kan | BKA500 | Licensed Representative | 4 | |
| WANG Gan | BHL578 | Licensed Representative | 1, 4 | |
| WANG Jack Kuan Chung | BFA769 | Licensed Representative | 1 | 2, 5 |
| WANG Jianyang | ANH046 | Licensed Representative | 1 | |
| WANG Pei | AWW233 | Licensed Representative | 6 | 2 |
| WANG Shifen | BFU175 | Licensed Representative | 1 | 4 |
| WANG Tinghao | BKU321 | Licensed Representative | 1 | |
| WANG Will Way | BLH658 | Licensed Representative | 2 | |
| WANG Xinqi | BFS800 | Licensed Representative | 2 | |
| WANG Yingzi | BIN446 | Licensed Representative | 1, 4 | |
| WANG Zong | BHV540 | Licensed Representative | | 4 |
| WONG Chak Wai | ACN129 | Licensed Representative | | 5, 6, 9 |
| WONG Chi Hung | BJB187 | Licensed Representative | | 4, 5 |



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| CE Name | CE No. | License Type | Types of Regulated Activity Added | Types of Regulated Activity Removed |
|---------------------------|--------|---|-----------------------------------|-------------------------------------|
| WONG Chi Yeung, Jackson | AHT199 | Licensed Representative | | 9 |
| WONG Chung Ming | AEJ888 | Licensed Representative | | 2, 4 |
| WONG Cliff Gordon | BJG893 | Licensed Representative | 1 | 4, 6 |
| WONG Hoi Cheung | AEI105 | Licensed Representative | | 6 |
| WONG Ka Ho | BJG194 | Licensed Representative | 4 | |
| WONG Ka Hoi | ARD521 | Licensed Representative | 9 | |
| WONG Ka Wai Jeff | BJN836 | Licensed Representative | | 1, 4 |
| WONG Ka Yi | BGM619 | Licensed Representative | 6 | 1 |
| WONG Kenty | AFW272 | Licensed Representative | 9 | |
| WONG Kin Chun, Gilbert | AAI303 | Licensed Representative | 9 | |
| WONG Pak Ka, Mary | BAB535 | Licensed Representative | | 4 |
| WONG Ting Yu | BHN902 | Licensed Representative | | 5 |
| WU Hon Hei Henry | BJH273 | Licensed Representative | 9 | |
| WU Kam Tung | BMF548 | Licensed Representative | 2, 4, 9 | |
| WU Yingshi | BDV967 | Licensed Representative | 4, 9 | |
| WU Yunjia | AZV974 | Licensed Representative | 1 | |
| XIAO Haiping | ARW593 | Licensed Representative | 1 | |
| XIE Jun | AOB779 | Licensed Representative | 9 | |
| XING Mengwei | BFR807 | Licensed Representative | 4 | |
| YAN Chi Kwan | AGL147 | Licensed Representative | | 1 |
| YAN Po Kwan | ADP430 | Licensed Representative | | 1 |
| YANG Dong Yoon | BGL133 | Licensed Representative | 4 | 10 |
| YANG Fan | BDD668 | Licensed Representative | | 4 |
| YANG Hsin-Jung | AOC859 | Licensed Representative | 2 | |
| YANG Siqi | BJN135 | Licensed Representative | 9 | |
| YANG Yi | BMP248 | Licensed Representative | 4 | |
| YANG Ying | BAD690 | Licensed Representative | | 4 |
| YAU Fuk Yuk, Alice | APF540 | Licensed Representative | 9 | |
| YE Beijia | BFV914 | Licensed Representative | 4 | 6 |
| YENKO Christian Robert | BFX868 | Licensed Representative | 9 | 1, 2, 7 |
| YEUNG Chak Nam | AUC990 | Licensed Representative | | 2 |
| YEUNG Ming Loong | AZC300 | Licensed Representative | | 1 |
| YEUNG Pui Yan | AVE023 | Licensed Representative | | 1, 4 |
| YEUNG Shing Fung, Samson | ACR751 | Licensed Representative | | 1 |
| YEUNG Yim Lan | BGG010 | Licensed Representative | | 5 |
| YIM Siu Kei | AAJ966 | Licensed Representative | | 2 |
| YIN Ruihan | BDE131 | Licensed Representative | 6 | |
| YIP Kai Pong | ATH923 | Licensed Representative | 2 | |
| YIP To Hang | BHP785 | Licensed Representative | 9 | 1, 2 |
| YOU Yaohui | BIR511 | Licensed Representative | 4, 9 | 1 |
| YU Man Ho | BKX282 | Licensed Representative | | 1 |
| YUAN Zhefei | APL225 | Licensed Representative | 2 | |
| YUEN Ka Shing | BDM670 | Licensed Representative | | 9 |
| YUEN Mau Sang | BLG348 | Licensed Representative | 2 | |
| YUNG Kim Keung | APR012 | Licensed Representative | | 4 |
| ZHANG Jin | ANG412 | Licensed Representative | 10 | 4 |
| ZHANG Jing | BIT286 | Licensed Representative | 9 | |
| ZHANG Junyi | BCH497 | Licensed Representative | 2, 5 | |
| ZHANG Yi | BCE998 | Licensed Representative | 2, 3 | |
| ZHANG Ying | BIC282 | Licensed Representative | 1 | |
| ZHANG Yongchao | BDA172 | Licensed Representative | 4, 9 | |
| ZHANG Zhiyu | AYQ807 | Licensed Representative | | 1, 6 |
| ZHAO Shuang | BEU062 | Licensed Representative | 4 | |
| ZHAO Xiaowei | BHF439 | Licensed Representative | 1 | 9 |
| ZHEN Xi | BKP242 | Licensed Representative | 6 | |
| ZHENG Wanxin | BEM289 | Licensed Representative | 1, 4 | 9 |
| ZHONG Mingchang | BKM736 | Licensed Representative | | 4 |
| ZHOU Chen | BJM385 | Licensed Representative | 1, 4 | |
| ZHOU Cicong | BJD163 | Licensed Representative | 9 | 1, 2 |
| ZHU Boyang | BIG120 | Licensed Representative | 4, 9 | |
| ZHU JieLun | AYW803 | Licensed Representative | 1, 4 | |
| ZHU Zhenyu | AQW435 | Licensed Representative | 4 | |
| ZHUANG Yinyin | BIN731 | Licensed Representative | | 6 |
| ZIBILICH Nathan Michael | AXD511 | Licensed Representative | 4, 5 | |
| AROSTI Marco | APZ595 | Licensed Representative - Responsible Officer | 4, 9 | |
| AU-YOUNG Hin Lap | BEA677 | Licensed Representative - Responsible Officer | 4, 9 | |
| BI Wanying | BKQ712 | Licensed Representative - Responsible Officer | 4 | |
| CHAN Bing Leung | ATP145 | Licensed Representative - Responsible Officer | 1 | |
| CHAN Choi Wah, Kanise | AHF667 | Licensed Representative - Responsible Officer | 1 | |
| CHAN Hing Sang, Alexander | ALB323 | Licensed Representative - Responsible Officer | 1 | |
| CHAN Hing Wah | AET272 | Licensed Representative - Responsible Officer | 9 | |
| CHAN Kin Wah, Kenneth | BAL896 | Licensed Representative - Responsible Officer | 9 | |
| CHAN Lam Chak | AXR725 | Licensed Representative - Responsible Officer | 4 | |



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|------------------------------|--------|---|-----------------------------------|-------------------------------------|
| CHAN Pak Lam, Tom | ACJ830 | Licensed Representative - Responsible Officer | 1, 4 | |
| CHAN Yat Hei | APR545 | Licensed Representative - Responsible Officer | 1 | |
| CHEN Weicheng | BHA091 | Licensed Representative - Responsible Officer | 2 | |
| CHEUNG Andrew Tongming | APM164 | Licensed Representative - Responsible Officer | 9 | |
| CHEUNG Wai Hong | ALO598 | Licensed Representative - Responsible Officer | 4 | |
| CHEUNG Wai Lun, Andrew | AED731 | Licensed Representative - Responsible Officer | 8 | |
| CHEUNG Yan Man | AQY802 | Licensed Representative - Responsible Officer | 2 | |
| CHEUNG Chin Ho, Henry | AXO900 | Licensed Representative - Responsible Officer | 2 | |
| CHEUNG So Wa | AYC949 | Licensed Representative - Responsible Officer | 4 | |
| CHIOU How Teng | BCR036 | Licensed Representative - Responsible Officer | | 4 |
| CHU Wai Ping | ALI396 | Licensed Representative - Responsible Officer | 4 | |
| CHUNG Chi Ming | AAY647 | Licensed Representative - Responsible Officer | 4 | |
| CHUNG Shuk Fan | AHM250 | Licensed Representative - Responsible Officer | 1 | |
| COULTER Douglas Andrew | ADX170 | Licensed Representative - Responsible Officer | 9 | |
| CRABB Joshua Blaise | AHF689 | Licensed Representative - Responsible Officer | | 9 |
| FONG Kam Cheung, Patrick | AMQ876 | Licensed Representative - Responsible Officer | 1 | |
| FONG Tak Yee | AFA617 | Licensed Representative - Responsible Officer | | 2 |
| GODIN Olivier Pascal | ARW267 | Licensed Representative - Responsible Officer | | 7 |
| GU Erdong | AZT025 | Licensed Representative - Responsible Officer | 1, 4 | |
| HO Lok Shan | BJP426 | Licensed Representative - Responsible Officer | | 1 |
| HOW Beng Wee | AQI399 | Licensed Representative - Responsible Officer | 4 | |
| HSIEH Wei-Hong | AVC357 | Licensed Representative - Responsible Officer | 1 | |
| HUI Hon Wing | ACV341 | Licensed Representative - Responsible Officer | 9 | |
| HUI Lap Keung | AOA901 | Licensed Representative - Responsible Officer | 4 | |
| HUNG Leung | AFE042 | Licensed Representative - Responsible Officer | | 1 |
| Ji Weidong | AJA099 | Licensed Representative - Responsible Officer | 1 | |
| JIM Ka Shun | ANN014 | Licensed Representative - Responsible Officer | 4 | |
| KLYNHOUT Dale | BHN935 | Licensed Representative - Responsible Officer | 9 | |
| KNOX John Douglas Stebbing | ABK357 | Licensed Representative - Responsible Officer | 9 | |
| KO Tha Fung | AXX482 | Licensed Representative - Responsible Officer | 4 | |
| LAM Chi Lam | AYJ114 | Licensed Representative - Responsible Officer | 1 | |
| LAM To Hing | BCT899 | Licensed Representative - Responsible Officer | 5 | |
| LAU Chin Yung, Andy | AGH950 | Licensed Representative - Responsible Officer | 1 | |
| LAU Yue Wah, Brian | AFS902 | Licensed Representative - Responsible Officer | 2 | |
| LAW Po Chi | AAB782 | Licensed Representative - Responsible Officer | 1 | |
| LEE Nelson Ho-Wing | AQG922 | Licensed Representative - Responsible Officer | 2 | |
| LEE Shu Yan, Andrew | AFP239 | Licensed Representative - Responsible Officer | 1 | |
| LEUNG Chi Wah | BFR050 | Licensed Representative - Responsible Officer | 4 | |
| LEUNG Ho Yin, Scott | ABK257 | Licensed Representative - Responsible Officer | 5 | |
| LEUNG Kwok Kan | AAD928 | Licensed Representative - Responsible Officer | 9 | |
| LEUNG Ping Fai | ARG750 | Licensed Representative - Responsible Officer | | 4 |
| LEUNG Wai Hung | AAK493 | Licensed Representative - Responsible Officer | 4 | |
| LI Ang | APF219 | Licensed Representative - Responsible Officer | 1, 2 | |
| LI Yan Yan | AHT423 | Licensed Representative - Responsible Officer | | 9 |
| LI Yu | AOB358 | Licensed Representative - Responsible Officer | 4 | |
| LIM Eng Ping | BLQ899 | Licensed Representative - Responsible Officer | 2, 5 | |
| LIU Zhi Yang | AJG492 | Licensed Representative - Responsible Officer | 2 | |
| LOK Chiu Chan | BBB171 | Licensed Representative - Responsible Officer | 1 | |
| LONG Weili | ARM296 | Licensed Representative - Responsible Officer | 6 | |
| LUO Luyi | BEB247 | Licensed Representative - Responsible Officer | | 4 |
| MA Helun | BAV678 | Licensed Representative - Responsible Officer | | 6 |
| MOK Hiu Lam | AJE368 | Licensed Representative - Responsible Officer | 9 | |
| NG Ean Kiam | AVS580 | Licensed Representative - Responsible Officer | 4 | |
| NGAN Chiu Kit, Hudson | AGQ983 | Licensed Representative - Responsible Officer | 2 | |
| OYARBIDE SECO Carlos Alfonso | AEX557 | Licensed Representative - Responsible Officer | | 1 |
| PANG Wai Yin, Piecco | AGO824 | Licensed Representative - Responsible Officer | 4 | |
| RASGOTRA Deepak | AFD118 | Licensed Representative - Responsible Officer | 9 | |
| RAUTI Daniel | AGH133 | Licensed Representative - Responsible Officer | 9 | |
| SHANG Wei | ATV553 | Licensed Representative - Responsible Officer | | 2 |
| SO Kin | AID232 | Licensed Representative - Responsible Officer | 1 | |
| STAINS Anthony John | ADG113 | Licensed Representative - Responsible Officer | 9 | |
| TAN Wentao | AXS425 | Licensed Representative - Responsible Officer | 2 | |
| TANG Wai Kee | ACG544 | Licensed Representative - Responsible Officer | 2 | |
| TSANG Siu Hung, Simon | AAJ982 | Licensed Representative - Responsible Officer | 9 | |
| WANG Deli | BBP491 | Licensed Representative - Responsible Officer | 2 | |
| WANG Li-Jen | AVH868 | Licensed Representative - Responsible Officer | 4 | |
| WHITLOCK Christopher Peter | AXW254 | Licensed Representative - Responsible Officer | | 9 |
| WONG Tsz Ho | ARQ649 | Licensed Representative - Responsible Officer | 2 | |
| WONG Wai Kar | AJE109 | Licensed Representative - Responsible Officer | 1 | |
| WONG Yu Yin | AGI949 | Licensed Representative - Responsible Officer | 1 | |
| XU Lingyan | ARI518 | Licensed Representative - Responsible Officer | 9 | |
| YAN Po Kwan | ADP430 | Licensed Representative - Responsible Officer | 4, 9 | |
| YEUNG Shing Fung, Samson | ACR751 | Licensed Representative - Responsible Officer | 9 | |



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2018

| CE Name | CE No. | Licence Type | Types of Regulated Activity Added | Types of Regulated Activity Removed |
|------------------------|--------|---|-----------------------------------|-------------------------------------|
| YU Shui Sang, Bernard | AEO855 | Licensed Representative - Responsible Officer | 5, 6 | |
| ZAMBETTI Matthew Peter | BCA251 | Licensed Representative - Responsible Officer | 1, 2 | |
| GAO Tengzhe | BET638 | Licensed Representative (Provisional Licence) | | 9 |
| THEN ling fai | BMX466 | Licensed Representative (Provisional Licence) | 2 | |