

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
Arisaig Partners (HK) Limited	AMS267	Licensed Corporation	9	
Averest Capital Limited	AAZ063	Licensed Corporation	4, 9	
China Times Securities Limited	AWO418	Licensed Corporation		2
CLSA Alternative Investment Management Limited	ARE947	Licensed Corporation		1
CVC Asia Pacific Limited	AFD202	Licensed Corporation		9
Hao Tian Asset Management Limited	BHP076	Licensed Corporation	4	
Investec Capital Asia Limited	AFT069	Licensed Corporation		6
Moelis & Company Asia Limited	ATI285	Licensed Corporation	1	
Raffles Assets Management (HK) Co. Limited	BJO652	Licensed Corporation	1, 4	
Success Advance Investments Limited	BIE076	Licensed Corporation	4	
Wonderland International Securities Limited	ABN652	Licensed Corporation	2	
Zeal Asset Management Limited	ATR821	Licensed Corporation	1	
Zhongda (Hong Kong) Futures Limited	BIG323	Licensed Corporation	1	
AU Kai Tat	AVB807	Licensed Representative	1, 4	
AU Tsan Sang	AAF445	Licensed Representative		4, 9
AU Wing Wang	AZJ526	Licensed Representative		3
BRYAN Christopher James Churchill	BDM732	Licensed Representative	4	
CAO Yu	AZP260	Licensed Representative	1	
CHA Yong Jin	BGE287	Licensed Representative	1	
CHAK Chee Weng	BIN991	Licensed Representative	1, 4	
CHAN Chi Cheung, Sunny	AGS231	Licensed Representative	2	
CHAN Chi Shing	AON830	Licensed Representative	1, 2	
CHAN Chi Wo	BIM259	Licensed Representative	2	
CHAN Christy	BHQ079	Licensed Representative	4	
CHAN Chung	BHX884	Licensed Representative	6	
CHAN Chung Yin, Vincent	BCK671	Licensed Representative		4
CHAN Hin Man	BAL117	Licensed Representative	4	
CHAN Hiu Ming, Micheal	AOL341	Licensed Representative	2, 4, 5, 9	
CHAN Ho Ming	ATS071	Licensed Representative	2	
CHAN Hoi Fung	AHF378	Licensed Representative	4, 5	
CHAN Ka Wai	BLF061	Licensed Representative	1	
CHAN Kam Yui	BEC922	Licensed Representative	4	
CHAN Keung Shing	AAB422	Licensed Representative	4	
CHAN Kwok On, Carlson	AEP931	Licensed Representative	4	
CHAN Mo Yan	BCI303	Licensed Representative		9
CHAN Shek Chuen	ARE460	Licensed Representative		2, 5
CHAN Shut Sang	BIH308	Licensed Representative		9
CHAN Tsz Kit	BEI582	Licensed Representative	1	
CHAN Wing Tai	ALF002	Licensed Representative	4	
CHAN Yat Cheong	BMW526	Licensed Representative		6
CHANG Yui Cheong Richards	BKX171	Licensed Representative	1, 4	6
CHAU Ka Chun Stephen	BMV690	Licensed Representative	2	
CHEN Chih-ching	AQD360	Licensed Representative		1
CHEN Jing	ANU777	Licensed Representative	4	
CHEN Sihan	BDO731	Licensed Representative	4	
CHEN Xiaohan	BNI764	Licensed Representative		2
CHEN Yi Ling	BND496	Licensed Representative	9	
CHENG Difei	AMR407	Licensed Representative		9
CHENG Ka Lam	BFE152	Licensed Representative	4	
CHENG Leslie See Chun	BMS626	Licensed Representative	2	
CHENG Wai Leung	ABH991	Licensed Representative	4	
CHENG Yu King, Alvin	APQ273	Licensed Representative	4	
CHEUK Chin Ting	BFP184	Licensed Representative	4	
CHEUNG Brian Tang Ching	BNI775	Licensed Representative	4	
CHEUNG Kwok Yan	AEJ164	Licensed Representative	2	
CHEUNG Lai Fong	ARP127	Licensed Representative		1
CHEUNG Sai Sai	APP369	Licensed Representative	9	
CHEUNG Siu Fai	AGD973	Licensed Representative		1
CHEUNG Tsz Bun, Edwin	ASX478	Licensed Representative	1	
CHEUNG Wa Ching	BIQ610	Licensed Representative	4, 5	
CHEUNG Wai On, Simon	AJK782	Licensed Representative	1	
CHIU Ka Chun	BGV489	Licensed Representative	1	
CHIU Ka Wah	AAC474	Licensed Representative	9	
CHIU Man Yat, Michael	AMF026	Licensed Representative		4
CHO Chun Yin	BGA971	Licensed Representative		2, 4
CHOI Tan Yee	AUM132	Licensed Representative	1	
CHONG Enrico	BJU211	Licensed Representative	9	
CHONG Kai Lok	AJC688	Licensed Representative	4	
CHONG Shu Tat	AOC981	Licensed Representative	4	
CHOU Ching Yi	BDY680	Licensed Representative	4	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CHOW Chun Yue Louis	BIQ704	Licensed Representative	4, 5	
CHOW Ka Yan, Karen	BGP389	Licensed Representative	1, 4, 9	6
CHOW Lewis Cheuk Hei	BMJ344	Licensed Representative		1, 2, 4
CHOW Shiu Lun	ABF183	Licensed Representative	9	
CHOW Tsz Kit, Vincent	BGE065	Licensed Representative	1	
CHU Edmund Kinwin	BFD305	Licensed Representative		6
CHU Yuet Na	BJK226	Licensed Representative	2	
CHUA GOY Neil Jordan Lao	BLS843	Licensed Representative	4	
CHUA Hei Tung	BEO227	Licensed Representative	4, 9	
CHUANG Miin I, Zoe	BGB071	Licensed Representative	2	
CHUI Cheuk Hong	BJR570	Licensed Representative		1
CHUNG Oscar Chor Chun	BNI033	Licensed Representative	2	
COATES Michael Stuart	AQE074	Licensed Representative		9
COLYER Michael James	BFR051	Licensed Representative	4, 5	
COOK Stuart Harrington	AAC359	Licensed Representative	4	1
COULDREY Ranulf David Richard	BKY385	Licensed Representative	6	
CRABB Joshua Blaise	AHF689	Licensed Representative	9	
CUNNINGHAM Sean Lee	BES249	Licensed Representative	9	6
DANG Jimmy Chee Man	BJY196	Licensed Representative	4	
DARGIER DE SAINT VAULRY Antoine Marie Louis Xavier	AZR734	Licensed Representative	2	
DE SEQUERA-UGARTE Juan Andres	BJC175	Licensed Representative	4	
DECOUVELAERE Thomas Alfred Andre	BMW967	Licensed Representative	2	
DENG Qi	BIH039	Licensed Representative	4, 6	
DING Yibing	ADJ647	Licensed Representative	4	
DOU Kar Ming Kelvin	BNG177	Licensed Representative	2	
FAN Xinyi	BAX677	Licensed Representative		9
FANG Fei	ATX896	Licensed Representative	4	9
FANG Sai Tai, Jeffrey	AMF434	Licensed Representative	2	4
FOK Wai Shun Wilson	AND556	Licensed Representative		4
FREY Ambroise Georges	ASD203	Licensed Representative		4, 5
FRICK Michael Franz	AWI822	Licensed Representative	4	
FU Eugene Chun Sum	BNJ548	Licensed Representative	4	
FUNG Kai Hang, John	BJE909	Licensed Representative	4, 9	1
GE Xiaoyan	BLC471	Licensed Representative	6	
GE Zi	BIX028	Licensed Representative	4	
GUO Zili	APZ594	Licensed Representative		4
GUO Ziyang	AXP015	Licensed Representative		9
HAN Xiao	BIY697	Licensed Representative	4	
HEUNG Chun Kit	AUY929	Licensed Representative	9	
HO Ching Man, Teresa	AHU700	Licensed Representative	1	
HO Garrick Chun Lim	BHA268	Licensed Representative	2	
HO Hoi Tung	AHE146	Licensed Representative	1	4, 9
HO Wai Lee	ASK885	Licensed Representative		9
HO Wai Leung, Dick	AGL919	Licensed Representative		9
HOANG Hubert	AXY800	Licensed Representative	4	2
HON Chi Ming	AYR367	Licensed Representative		1
HONG Minki Brian	ARE674	Licensed Representative		9
HSU Yi-Chi	BMN636	Licensed Representative	4	
HU Anxiang	BDC877	Licensed Representative	6	
HUANG Hengheng	AXR058	Licensed Representative	9	
HUANG Hexiao	BLS753	Licensed Representative		6
HUANG Yu	ATI173	Licensed Representative	2	9
HUI Chi Kit, Daniel	ADB712	Licensed Representative	1	
HUI Fei	BMQ599	Licensed Representative	9	
HUNG Ka Wing, Jimson	BDV934	Licensed Representative		2
HUO Xintong	BCE499	Licensed Representative		1
IM Kai Chuen, Stephen	APC198	Licensed Representative	1	
IP Kar Kok	ARA152	Licensed Representative	1, 2	
JIA Lixue	BNJ740	Licensed Representative	1	
JIN Zilin	BEI695	Licensed Representative	4	
JING Shu	BIC415	Licensed Representative	5	1
JONES Richard Evan	AEC527	Licensed Representative		9
KAM Kwok Hung	AOL528	Licensed Representative		4
KAM Wai Man	AGQ053	Licensed Representative	4	
KAN Tin Yan Crystal	BNG596	Licensed Representative	1, 2	
KAN Tsz Yan, Arthur	AGO945	Licensed Representative		1
KAN Tung Fai	AOQ748	Licensed Representative	9	
KAUL Anubhav	BGB346	Licensed Representative	4	
KETTEMANN Nils Ken	BKL417	Licensed Representative	4	
KIM Joo Suk	APF263	Licensed Representative		9

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
KO Ming Shan	AWC879	Licensed Representative	9	
KONG Chi Ho	AXI329	Licensed Representative	1, 2	
KONG Chiu San, Raymond	AAH157	Licensed Representative		4, 9
KUO Anthony Chuanjie	BFW602	Licensed Representative	1, 9	
KWAN Pak Him	BDU278	Licensed Representative		2
KWOK Chun Kong	APO661	Licensed Representative		4, 9
KWOK Chung Ming, Thomas	BIM262	Licensed Representative	1	
KWOK Ho Yan	BFO812	Licensed Representative		4, 9
KWOK Lap Wa	ARA667	Licensed Representative	3, 5	
LAI Billy Yee Piu	AMV035	Licensed Representative	2, 4, 5	
LAI Ka Chung	BFU181	Licensed Representative	4, 9	
LAI Ka Kit	ALZ752	Licensed Representative		1, 4
LAM Chun Hung	BBQ739	Licensed Representative	4, 9	
LAM Koon Hung	BED047	Licensed Representative	9	1
LAM Shu Hon	AWP285	Licensed Representative	4, 5	
LAM Suen Man	BDW925	Licensed Representative	1	
LAM Sung Wai	BGO451	Licensed Representative	2	
LAM Wing Kit	BF1762	Licensed Representative	4	
LAMY Francois, Yves, Bernard	BFY635	Licensed Representative	4	
LAU Nim Cho	ANU429	Licensed Representative	2	
LAU Siu Hang Ryan	BNC740	Licensed Representative		4, 9
LAU Suk Tung	AFD150	Licensed Representative	2	9
LAU Wing Ho	AJN820	Licensed Representative		2
LAU Ying Sum	BMS676	Licensed Representative	4, 9	1
LAU Yun Kit	BFK151	Licensed Representative		2
LAW Lap Kau	AVH515	Licensed Representative	4, 5	
LAW May Ling	AHO251	Licensed Representative	2, 4, 9	
LAW Vanessa	BIY620	Licensed Representative	9	
LAW Wai Hung	AVZ536	Licensed Representative	9	
LEE Cheuk Fung Jeff	BEC749	Licensed Representative	4, 9	1
LEE Chun Yin	BMD849	Licensed Representative	1	
LEE Fung Chuen	AZJ743	Licensed Representative	1	
LEE Ka Fai, Gordon	ABF487	Licensed Representative	9	
LEE Kai Man	AOV646	Licensed Representative		3
LEE Kai Ni	BGX759	Licensed Representative	4	
LEE Kai Yin	BJT717	Licensed Representative	2	
LEE Kam Sheung, Karen	BLQ902	Licensed Representative	4	9
LEE Tsz Ho	APJ560	Licensed Representative		9
LEE Tsz Yin Jonathan	BKM304	Licensed Representative	4	2
LEE Wai Kei	AGE853	Licensed Representative	2, 7	
LEE Wai Keung	ANT413	Licensed Representative	2	
LEE Wang Sun	ARF794	Licensed Representative	4, 5	
LEE Yongseok	AOI866	Licensed Representative	4	
LENG Jiakuan	BLR368	Licensed Representative	1	
LEUNG Chin Hei	ARI066	Licensed Representative	2	9
LEUNG Desmond Cheuk-kei	BID377	Licensed Representative	9	
LEUNG Felix Tingsun	AXV405	Licensed Representative		9
LEUNG Ho Ming Danny	AVV519	Licensed Representative	1	
LEUNG Kai Kit	BGO560	Licensed Representative	9	
LEUNG Kam Pui	ADS532	Licensed Representative	2	
LEUNG Mei King Maggie	ATL473	Licensed Representative		4
LEUNG Wai Ming	ACC582	Licensed Representative		2
LEUNG Yin Pun	ALQ627	Licensed Representative		3
LEVISEUR David William Hugo	BEZ124	Licensed Representative	4	
LI Chi Hang	BGE846	Licensed Representative	4, 9	
LI Da	BIO662	Licensed Representative		1
LI Ho Kei	ALB054	Licensed Representative		1
LI Ho Yin	BIQ834	Licensed Representative	1	
LI Shun Lee	BLX815	Licensed Representative	2	
LI Tianjiao	BMA449	Licensed Representative	9	1, 2
LI Wang To, Marcus	AVG376	Licensed Representative		2
LI Wing Yin	AXI384	Licensed Representative	9	
LI Xue	BKY638	Licensed Representative		1
LI Yu Wing	BBX327	Licensed Representative		5
LIANG Ruizhi	BHZ469	Licensed Representative	9	1
LIANG Yaoying	BMI334	Licensed Representative	1	
LIN Chun Keung	BBH297	Licensed Representative	1	
LIN Michelle Chia-Hsing	AUW242	Licensed Representative	4	
LIN Xiangli	BJP018	Licensed Representative	9	
LIN Zhimin	BDO702	Licensed Representative	9	2

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LIU Jiongyu	BNK627	Licensed Representative	1	
LIU Mengzhang	AYT167	Licensed Representative	1, 4	
LIU Teng	ARG107	Licensed Representative		1, 4
LIU Tianjiao	BEJ349	Licensed Representative	4, 6	
LIU Weiyi	BLG350	Licensed Representative		4
LIU Ye Ting	BMC891	Licensed Representative	4	
LIU Ying	BGT192	Licensed Representative	1	
LO I Man	BBK664	Licensed Representative	4	
LO Ka Kuen	APK408	Licensed Representative		4
LO Ka Ying	AVK938	Licensed Representative	2, 9	
LO Yi Pin	ALJ988	Licensed Representative	4	
LONG Yuan yuan	AQY297	Licensed Representative		1
LU Jiayi	BEZ119	Licensed Representative	9	
LU Jingyu	BDR384	Licensed Representative	6	2
LU Ping	BLY772	Licensed Representative	2	
LU Yao	AVR232	Licensed Representative	10	1, 2
LUI Chun Wai, Stefan	AYP090	Licensed Representative		9
LUI Wai Man	AIU192	Licensed Representative		4, 9
LUO Yifan	BJV236	Licensed Representative	4	
LYU Xiangrong	BBL857	Licensed Representative		1
MA Chui Yee	AUI050	Licensed Representative	9	
MA Jingjing	BAF630	Licensed Representative		1
MA Ka Chuen	ACN826	Licensed Representative		3
MA Xiaoling	AQU423	Licensed Representative	1, 9	2, 5
MA Yi	BHX379	Licensed Representative	4	
MAHESHWARI Piyush	BFF025	Licensed Representative	4	
MAK Lok Qun, Denise	AIH187	Licensed Representative		9
MAK Ting Fung	BBH662	Licensed Representative	2	
MAO Tianshi	AVR901	Licensed Representative	4	
MAYNARD Andrew Charles	ACK647	Licensed Representative	4	7
MC MAHON Ronan	AYA354	Licensed Representative	7	4, 5, 9
MENG Chuyao	BHK403	Licensed Representative		6
MESZAROS Andor Istvan	AQU256	Licensed Representative	2	4
MOHAMED HYFFNY Eily Nurhannah Binti	BGD827	Licensed Representative	7	
NACKTER Lars Bertil	BBZ482	Licensed Representative	4	
NAKANISHI Hideyuki	BBL050	Licensed Representative	4	
NG Cho Fai, Jackfrey	ALR784	Licensed Representative	5	
NG Hon Man	BBX599	Licensed Representative	4, 9	1, 6
NG Keegan Ken	BKJ530	Licensed Representative	6	
NG Kwok Fung	ABY897	Licensed Representative		2
NG Mei Kuen, Vivian	AEK109	Licensed Representative		9
NG Oi Lun, Ellen	ADT875	Licensed Representative		2
NG Pik Ying	BIG966	Licensed Representative	4, 9	
NGAI Man Fai	AEE761	Licensed Representative		1, 4
NGAI To Nam	AVV214	Licensed Representative	1	
NOMA Ken	BGG973	Licensed Representative	1	
OH Binnarae	BMQ806	Licensed Representative		1
OSA Kazumasa	BCO192	Licensed Representative	9	1, 2
PAN Miner	BEX431	Licensed Representative		6
PANG Wing Shing	ATW745	Licensed Representative		3
PATEL Divyesh Dineshchandra	AQW179	Licensed Representative		2
PENG Fengyi	BEO927	Licensed Representative	9	
QIAO Na	APJ854	Licensed Representative	4	
REDMAYNE James Richard Studdart	BBG432	Licensed Representative		9
SABRE Benjamin	ATO429	Licensed Representative		7
SARWAL Akshat	BAA508	Licensed Representative	4, 5	
SAUVAGE Benoit Marc-Antoine Yves	ANG869	Licensed Representative	9	1, 2, 7
SEE-TO Pui Yee	BAF180	Licensed Representative		1
SEETO Siu Hong	BHC305	Licensed Representative	4, 9	
SEN Tung Ning	AFI668	Licensed Representative		1, 4
SHERIDAN III Robert Edwin	BAK880	Licensed Representative	4	
SHOEN Esben Leonard Berg	AOX947	Licensed Representative	1, 4	
SO Hing Fai	BDQ948	Licensed Representative		4, 6
SPIKINS Andrew Charles	BBL649	Licensed Representative	4	
STEELE Scott Patrick	BET086	Licensed Representative		9
SUM Hoi Kei	BFI234	Licensed Representative	9	
SUN Yee Lok	BFX170	Licensed Representative	4	2
SUN Yi	BFN866	Licensed Representative	4	
SZE Chiu Kwan	ARH648	Licensed Representative		9
SZETO Chung Leung	ADN791	Licensed Representative		9

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
TAI Ka Chun Terence	BCL286	Licensed Representative	4, 9	
TAM Hoi Man	BHR249	Licensed Representative	4	
TAM Wai Ho, Joseph	BDJ927	Licensed Representative		9
TAN Tian	BFZ369	Licensed Representative		6
TANG Jingchen	AZX116	Licensed Representative	9	
TANG Lei	BLH631	Licensed Representative	4	
TANG Pak Chun	BMX548	Licensed Representative	1	
TAY Soo Meng	AIC182	Licensed Representative		9
THIRIET Pierre Andre	AZR736	Licensed Representative	2	
TOLEDANO Roy	AXY961	Licensed Representative	4	
TONG Anqi	BJZ500	Licensed Representative	4	6
TSANG Fan Chun	BBQ339	Licensed Representative	9	
TSANG Hing Yu	AHN528	Licensed Representative		4
TSANG Kwok Wai, Gordon	BLI479	Licensed Representative	2	
TSE Christopher Shing-kwun	BFN119	Licensed Representative	2	
TSE Jonathan Chung Hin	ALI261	Licensed Representative	1, 4	6
TSE Kwun Yip	AEE301	Licensed Representative		1
TSE Tak Wai	AXR837	Licensed Representative	4	
TSOI Chun Sing	BHW830	Licensed Representative	2	
TSOI Sze Yan	BAK271	Licensed Representative	4, 9	
TSOI Ting Mun	AUV894	Licensed Representative	4, 9	
VALIENTE Maria Nancy	ACB486	Licensed Representative	4	
WAI Sun Keung, Andy	AFT455	Licensed Representative	2	
WAN Dong	BHK132	Licensed Representative	4	
WAN Juexi	BAN016	Licensed Representative	9	
WANG Fang	BJI158	Licensed Representative	2	4
WANG Hongyin	BLP140	Licensed Representative	4, 9	
WANG Xinyan	BKC974	Licensed Representative		2, 4
WANG Xuan	AYR780	Licensed Representative		9
WANG Yun-Ping	ALB384	Licensed Representative	4	
WANG Zai Liang	AZQ966	Licensed Representative	1	
WONG Brian Chih-sing	AZQ727	Licensed Representative	4	
WONG Chak Sang	AYM415	Licensed Representative		1
WONG Cho Wai	AUG836	Licensed Representative		2, 3
WONG Chui Lan	BMX826	Licensed Representative	1	
WONG Ho Wai	BHJ814	Licensed Representative		1
WONG Isaac James	BFE502	Licensed Representative	6	
WONG Ka Ho, Andy	AQS311	Licensed Representative		4
WONG Ka Wing	ATO884	Licensed Representative		1
WONG Kam Bun	AQQ323	Licensed Representative		1
WONG Pui Shan	BFP139	Licensed Representative		4, 5
WONG Sung Chun	AVD101	Licensed Representative	2, 9	
WONG Tsun Hei	ASP993	Licensed Representative	2	
WONG Wai Ho	AUQ931	Licensed Representative		5
WONG Wing Ki Winky	BID605	Licensed Representative	4, 5	3
WONG Yun Sum	BAA672	Licensed Representative	4	
WOO Cheung Yee, Jimmy	ADI032	Licensed Representative		2
WU Jian	AUS973	Licensed Representative	4	
WU Yuning	BKU057	Licensed Representative	4	
XIA Yue	BKY144	Licensed Representative	6	2
XIAO Li	BKW546	Licensed Representative		9
XIE Di	BGW440	Licensed Representative	4, 5, 9	
XIE Fan	BBJ699	Licensed Representative	4	
XU Guang	BKC619	Licensed Representative	1, 4	9
XU Jiong	BAQ557	Licensed Representative		9
XU Tiantian	BJR785	Licensed Representative	1	
XUE Fei	AZR532	Licensed Representative	4	
XUE Qian	BLP754	Licensed Representative	6	4
YAN Yajing	BJC393	Licensed Representative	1	
YAN Yan	AVH519	Licensed Representative	9	1, 4
YANG Xi	BMX508	Licensed Representative	2	
YANG Yang	AVK963	Licensed Representative		1, 4
YANG Zhen	BKY631	Licensed Representative	1, 6	
YAO Jack Hsin-Chou	APQ725	Licensed Representative		9
YAU Chi Man, Benjamin	ATL435	Licensed Representative	1	
YAU Jonsy	BIM930	Licensed Representative	2	
YAU Man Fu	BCA258	Licensed Representative	9	
YEUNG Nga Ying	AFT582	Licensed Representative	9	
YEUNG Queenie Wan Man	ANE253	Licensed Representative		2
YEUNG Yim Lan	BGG010	Licensed Representative		1

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
YIP Bun Bun	AZK893	Licensed Representative		1
YIP Chun Man	AWO866	Licensed Representative		6
YIP Ming Kwan	BCZ943	Licensed Representative		1
YU Dalin	AWH883	Licensed Representative		5
YU Ge	BIG516	Licensed Representative	2, 9	
YU Jingjing	BDF565	Licensed Representative	1, 9	
YUEN Miu Ling, Wendy	AIQ485	Licensed Representative		4, 9
YUNG Hung Wa	ACQ237	Licensed Representative	2	
YUNG Wan Seen Stephanie	BGL306	Licensed Representative	9	
YUNG Zico Shu-gor	ASD834	Licensed Representative	4, 5	
ZENG Fanjie	BKU662	Licensed Representative		9
ZHA Lingrui	BEE650	Licensed Representative	6	9
ZHAI Xiaojun	BAA087	Licensed Representative	6	1, 2
ZHANG Gordon Burdy	BHZ445	Licensed Representative		1, 6
ZHANG Hanqin	BDV318	Licensed Representative	4, 9	6
ZHANG Hao	BGV708	Licensed Representative		1, 4
ZHANG Pengtao	BNH904	Licensed Representative	2	
ZHANG Qin	AYA188	Licensed Representative		1, 4
ZHANG Wenjin	BAK706	Licensed Representative	1, 4	
ZHANG Zimu	BLV862	Licensed Representative	4	
ZHAO Qing	BEJ851	Licensed Representative	9	1, 2
ZHAO Siqi	BEH967	Licensed Representative	1	
ZHAO Wenxiu	BBU280	Licensed Representative	4	
ZHENG Tianyi	BLE950	Licensed Representative	4, 9	7
ZHENG Xiaofeng	ALH862	Licensed Representative	2	
ZHENG Xiaolei	BHZ670	Licensed Representative	1, 4, 6	10
ZHENG Yinglin	BHS715	Licensed Representative	2	
ZHONG Tianfan	APU590	Licensed Representative	1	
ZHOU Yijie	AXN139	Licensed Representative		9
ZHU Beibei	BFD466	Licensed Representative		4
ZHU Ming	BMX629	Licensed Representative	1	
ZHU Wei	BFK558	Licensed Representative		1
ZIBILICH Nathan Michael	AXD511	Licensed Representative		1
AU Sun Shing Dennis	AAC877	Licensed Representative - Responsible Officer	4	
BAI Shaonan	AWV318	Licensed Representative - Responsible Officer	1	
CHAN Hung Ti	AAC893	Licensed Representative - Responsible Officer	9	
CHAN Kam Chi, Angello	ABN253	Licensed Representative - Responsible Officer		1
CHAN Kok Chung, Johnny	ADH521	Licensed Representative - Responsible Officer	6	
CHAN Lap Chung	ALJ099	Licensed Representative - Responsible Officer	2	
CHAN Wing Yiu	AXW231	Licensed Representative - Responsible Officer	4	
CHANG Yan Wing	ADX897	Licensed Representative - Responsible Officer	1	
CHENG Chiu Hung, William	AFK755	Licensed Representative - Responsible Officer	4	
CHENG Min Tat, Jimmy	ACV741	Licensed Representative - Responsible Officer	4	
CHEONG Tek Kong	AGP188	Licensed Representative - Responsible Officer	2	
CHEUNG Siu Fai	AGD973	Licensed Representative - Responsible Officer	9	
CHEUNG Wing Hong Shannon	AEL680	Licensed Representative - Responsible Officer	4, 9	
CHIU Robert Yin	ACV216	Licensed Representative - Responsible Officer	4	
CHOI Nga Chung	ADG746	Licensed Representative - Responsible Officer	1	
CHOW Shiu Lun	ABF183	Licensed Representative - Responsible Officer	4	
CHUA Chee Seng	AYG334	Licensed Representative - Responsible Officer	9	
CHUNG Chui Wah	BHL405	Licensed Representative - Responsible Officer	1, 4	
HO Wai Lee	ASK885	Licensed Representative - Responsible Officer	4	
HO Wai Leung, Dick	AGL919	Licensed Representative - Responsible Officer	1	
HSU Chia Lin, Karen	ACO622	Licensed Representative - Responsible Officer	4	
HU Bin	BHF818	Licensed Representative - Responsible Officer	9	
HUANG Hsiu Mei	BJU910	Licensed Representative - Responsible Officer	4	
JIA Jia	BHY707	Licensed Representative - Responsible Officer		4
JIN Dan	AVJ262	Licensed Representative - Responsible Officer	5	
KUAN Roy	AFD203	Licensed Representative - Responsible Officer		9
KWAI Ni	ALV039	Licensed Representative - Responsible Officer	4	
KWAN Chi Man	BHY242	Licensed Representative - Responsible Officer	1, 4	
LAI Ka Kit	ALZ752	Licensed Representative - Responsible Officer	2	
LAM Alvin Tsz-wang	AMU469	Licensed Representative - Responsible Officer		9
LAU Wai Hung	ANX950	Licensed Representative - Responsible Officer	2	
LEE Wai Nam	ACI717	Licensed Representative - Responsible Officer	4	
LEE Wang Ho	BBF251	Licensed Representative - Responsible Officer	4	
LEUNG Man Wai	AEU886	Licensed Representative - Responsible Officer	1	
LEUNG Pak Wah	ANO983	Licensed Representative - Responsible Officer	2	
LI Hon Leung	AJC118	Licensed Representative - Responsible Officer	1	
LI Kim Man	AEU947	Licensed Representative - Responsible Officer	1	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LIN Grace	AGB231	Licensed Representative - Responsible Officer		7
LIN King Ham, Kingston	AEF252	Licensed Representative - Responsible Officer	9	
LIU Guangming	BDD671	Licensed Representative - Responsible Officer		1
LIU Leung	AFJ550	Licensed Representative - Responsible Officer	4	
LUI Wai Man	AIU192	Licensed Representative - Responsible Officer	2	
MA Jingjing	BAF630	Licensed Representative - Responsible Officer	4, 9	
MA Xiaona	AXA085	Licensed Representative - Responsible Officer	9	
NG Ho Yuen, Howard	AEZ250	Licensed Representative - Responsible Officer	1	
NG Siu May, Michelle	ARR889	Licensed Representative - Responsible Officer		9
NGAN Wai Wah	ADY490	Licensed Representative - Responsible Officer	1	
ORDERS Richard Wallace d'Arcy	AAI719	Licensed Representative - Responsible Officer	1	
PANG Lai Kwan	AUV853	Licensed Representative - Responsible Officer	1, 4	
POON Chun Pong Daniel	AAD084	Licensed Representative - Responsible Officer	1	
PUN Tit Shan	ABR234	Licensed Representative - Responsible Officer	1, 2	
ROBINSON Hugo William James	ATO789	Licensed Representative - Responsible Officer	9	
RUIJS Maarten	AFD793	Licensed Representative - Responsible Officer		9
SAHU Vikram Prem Kumar	BHB674	Licensed Representative - Responsible Officer		1
SHEN Hua	BII093	Licensed Representative - Responsible Officer	1	
SO Kin	AID232	Licensed Representative - Responsible Officer	4	
TAN Chun Peng, Desmond	BFN306	Licensed Representative - Responsible Officer	9	
TSE Kwun Yip	AEE301	Licensed Representative - Responsible Officer	4, 9	
TSE Yat Kei	AOG833	Licensed Representative - Responsible Officer	4	
WANG Shengkun	AXG752	Licensed Representative - Responsible Officer	2	
WATGANAI Hans Wang	AOI996	Licensed Representative - Responsible Officer		9
WONG Jovi Chi Wing	AEF778	Licensed Representative - Responsible Officer	9	
WONG Kam Bun	AQQ323	Licensed Representative - Responsible Officer	4	
WONG Stacey Martin	ABM370	Licensed Representative - Responsible Officer	2, 4, 9	
WU Xianhua	BLE513	Licensed Representative - Responsible Officer	1	
WUN Wai Yee, Duncan	AAD933	Licensed Representative - Responsible Officer	1, 4	
YANG Aston	AVI899	Licensed Representative - Responsible Officer		4
YUNG Siu Wa	BFR053	Licensed Representative - Responsible Officer	1, 4	
ZHANG Xiuping	AJL094	Licensed Representative - Responsible Officer	1	
ZHUANG Wei	BCE948	Licensed Representative - Responsible Officer	2	
COULDREY Ranulf David Richard	BKY385	Licensed Representative (Provisional Licence)	6	
DENG Yu	BNP015	Licensed Representative (Provisional Licence)	2	
ZHUANG Lingyi	BMY470	Licensed Representative (Provisional Licence)	9	