

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2018**

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
Conrad Investment Services Limited	BIV795	Licensed Corporation	4	
Integrity Capital Management (HK) Limited	BAN128	Licensed Corporation	1, 4	
Qilin Capital Management (Hong Kong) Limited	BKY108	Licensed Corporation	4	
RUIFENG SECURITIES LIMITED	BJG526	Licensed Corporation	9	
AU Chun Hong Jos	BFB210	Licensed Representative		1
AU-YEUNG Kam Kay	ABA474	Licensed Representative		1, 4
BAGRIA Harjinder Singh	BFM743	Licensed Representative	2	
BO Shuk Chee	ACN969	Licensed Representative		1, 2
CAHILL John Michael	BCI273	Licensed Representative		2
CAI Jiazhu	BCU021	Licensed Representative	2	
CAI Sheng	BGG939	Licensed Representative	5	
CHAN Alex King Fai	BDY278	Licensed Representative		9
CHAN Chi Cheung, Sunny	AGS231	Licensed Representative		2
CHAN Chung Leung	AEF867	Licensed Representative	1, 2	5
CHAN Hing Sang, Alexander	ALB323	Licensed Representative		1, 5, 6
CHAN Ho Ming	ATS071	Licensed Representative		2
CHAN Ka Chun	BNK737	Licensed Representative	1	
CHAN Ka Ho	AWF460	Licensed Representative		4
CHAN Ka Kui	BBR128	Licensed Representative	2	
CHAN King Yeung	BCF239	Licensed Representative	9	
CHAN Ngan Fei	BMV341	Licensed Representative	2	
CHAN On Lap	AVS171	Licensed Representative		1, 4
CHAN Tsun	BEJ646	Licensed Representative		1
CHAN Tsz Ming	BEM069	Licensed Representative	4	
CHAN Wai	APB789	Licensed Representative		6
CHAN Wang	BGO479	Licensed Representative		1
CHAN Wing Yu	AZE467	Licensed Representative	1, 2, 4, 5	
CHAN Yee Hoi	AAK836	Licensed Representative	4, 5	
CHANG Cheng-Yu	BDT596	Licensed Representative		1
CHANG Hing Pong, Solomon	AIY506	Licensed Representative	1	
CHANG Hsun Fang	ANP491	Licensed Representative	9	
CHANG Hye Jin	BGM488	Licensed Representative	9	2, 5
CHEN Chuanyao	AYO724	Licensed Representative	4, 9	1
CHEN Weijie	BBQ154	Licensed Representative	4	
CHEN Yifan	BDL756	Licensed Representative		6
CHEN Yunyan	ARR085	Licensed Representative	9	
CHENG Hei Yin	BHA452	Licensed Representative	2, 9	
CHEUNG Raymond Shiu Sing	BFZ209	Licensed Representative	2, 3	
CHEUNG Wai Ho	BGE658	Licensed Representative		9
CHI Rui	AJE393	Licensed Representative	4, 9	
CHIENG Yu Kean	AJO390	Licensed Representative	9	
CHIU Francis	AUV238	Licensed Representative		1, 2, 4
CHIU Ho Ming	AWI112	Licensed Representative	4, 9	
CHO Yee Kee, Amy	AGA026	Licensed Representative	9	
CHOI Hok Chung	BNI037	Licensed Representative	2, 3	
CHOI Wing Yi	BEN508	Licensed Representative	6	4
CHOW Chun Yin	BIV568	Licensed Representative		2, 3, 9
CHOW Wing Keung	AJB214	Licensed Representative	2	
CHU Ching Mo	BMF147	Licensed Representative		1, 3
CHU Ian Kit, Frederick	ARW934	Licensed Representative	9	
CHU Kai Lun	BNJ736	Licensed Representative	2	
CHUA Vivian	BHJ972	Licensed Representative		9
CHUNG Ho Wai, Alan	ARE721	Licensed Representative	2, 4	
DATH Julien	BGI550	Licensed Representative	4	
DING Qi	AWV316	Licensed Representative	1	
DOCHERTY Paul David	BCL837	Licensed Representative		2
DU Haomin	BIW266	Licensed Representative	9	4
DUDOK VAN HEEL Robert Frederik	BFF029	Licensed Representative	4	9
EGUCHI Sei	BCD377	Licensed Representative		1
FOK Yiu Tung	AHG563	Licensed Representative		2, 4, 5
FONG Hiu Fai	BIX444	Licensed Representative		1, 4
FONG Ka Ming	AHR794	Licensed Representative	9	
FUNG Chi Hei	AQC818	Licensed Representative		2
GAO Lei	BHU201	Licensed Representative	9	
GE Danping	APJ998	Licensed Representative		1
GEARY Nathan James	BIQ213	Licensed Representative	4	
GU Hao	AXN883	Licensed Representative		1, 4
GUO Yun	AWD211	Licensed Representative	1	
HO Chung Him	BGI415	Licensed Representative		2, 4

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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
HO Kar Loong, Kenneth	ANA703	Licensed Representative		2
HO Man Tat	ADG964	Licensed Representative		9
HO To, Alan	AAE138	Licensed Representative	4, 5	
HONG Sang Jin	BIW989	Licensed Representative	2	
HSIEH Jiaru	BGM144	Licensed Representative	4	
HU Bin	BHF818	Licensed Representative		6
HU Tianyi	BFX650	Licensed Representative	6	
HU Zhichao	BMD543	Licensed Representative	4	
HUANG Hexiao	BLS753	Licensed Representative	9	
HUANG Qiqi	BIO245	Licensed Representative		2, 4
HUANG Xian Jia	AQH654	Licensed Representative	1, 2	4, 9
HUI John	AFU688	Licensed Representative		2
HUNG Fan Ming	ADV774	Licensed Representative		2
HUNG Wing Yin	BMZ640	Licensed Representative	5, 9	
HUO Ran	AOI875	Licensed Representative	4	
IP Hak Shiu	BIX995	Licensed Representative	4	
JIA Luning	BMU955	Licensed Representative	9	
JUAN Cheng-Han	BNI724	Licensed Representative	4	
KAN Chi Kit	BIN210	Licensed Representative	4	2
KE Tak Lai	AXP786	Licensed Representative	2, 5	
KENT Alexander H	BIB434	Licensed Representative	1	4, 9
KOHNO Hidemaru	AZL683	Licensed Representative	4	
KWOK Hiu Lam	AOY903	Licensed Representative	9	
KWOK Ka Fai	BHW838	Licensed Representative	2	
KWOK Ka Lok, Stanley	ARL159	Licensed Representative	1, 4	
KWOK Mo Yan	AXR156	Licensed Representative	4	
KWONG Ming Yan, Fanny	AQR940	Licensed Representative	1	
LAI Gar Ho Karl	AUK295	Licensed Representative	2	9
LAI Kai Tsun, Johnson	ARG205	Licensed Representative	5	
LAI Ming Him	AZF662	Licensed Representative		9
LAM Chi Hin, Stanley	AHD635	Licensed Representative		4
LAM Chi Ho	BHY626	Licensed Representative	2	1, 4
LAM Chuen	ARN384	Licensed Representative	9	
LAM Hoi Tung	AXB352	Licensed Representative		1
LAM Ming Cheung Tavis	BLL173	Licensed Representative	3	
LAM Yin Leung Joe	BAE136	Licensed Representative	1, 4	
LAM Ying Kit	AZX858	Licensed Representative		4, 9
LAU Fung Ngor, Swan	ARQ530	Licensed Representative		4, 9
LAU Ka Yi	BIG902	Licensed Representative	4	2
LAU Raymond	AJK499	Licensed Representative	1, 2, 4	9
LAU Wa Ching	BDJ444	Licensed Representative		4
LAW Wai Ming	BJI431	Licensed Representative	1, 4	
LEE Eugene Yapp	AEU882	Licensed Representative		1
LEE Mau Tai	ANH068	Licensed Representative	4	
LEE Ming Shui	BBU799	Licensed Representative	2, 4, 9	
LEE Rui Jie Gary	BFG289	Licensed Representative	2	
LEE Shen Yi Ada	BBG810	Licensed Representative	2	4
LEE Wai Ling	BNM266	Licensed Representative	9	
LEI Yuen Ching	AYI786	Licensed Representative		4
LEUNG Chat Tin, Martin	AHA906	Licensed Representative	9	
LEUNG Chi Ming	AAF501	Licensed Representative		1, 2
LEUNG Kai Hung	AMX297	Licensed Representative		1, 2, 5
LEUNG Kenneth Kwun Chung	ARN820	Licensed Representative	1	
LEUNG Kwok Kit, Donald	ALF251	Licensed Representative	4	
LEUNG Tat Kwong	ASD138	Licensed Representative		1
LEUNG Yuk Yip	AXS815	Licensed Representative	4	
LI Cheuk Fai	AYK176	Licensed Representative		4
LI Hoi Tung	BHG083	Licensed Representative	1, 4	
LI Ming Fai	AAV821	Licensed Representative		9
LI Sui Hong	BIA032	Licensed Representative	1	
LI Xiaoming	BHV183	Licensed Representative	4	9
LIN Hsinyu	BNJ513	Licensed Representative	4	
LIN Shoukang	AHW168	Licensed Representative		1, 4
LIU Mengdi	BCG180	Licensed Representative	4	
LU LEI	BLT136	Licensed Representative	4	
LU Xingxian	BNE600	Licensed Representative	3	
LU Yingwen	BAH630	Licensed Representative	1	9
LUK Tsun Kiu, Julian	AUG331	Licensed Representative		3, 4
LUN Carrie	AIR700	Licensed Representative	4	2

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2018**

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LUO Chen	BKT275	Licensed Representative	1	
LUO Weiqing	BIT510	Licensed Representative		1
MA Helun	BAV678	Licensed Representative	6	4
MA Ka Wun, Belinda	BMR943	Licensed Representative	1	
MA Xinyu	BJG363	Licensed Representative	2, 9	
MAK Ka Bo, Alex	AEH886	Licensed Representative	9	1
MALIK Jasween	AUW634	Licensed Representative	9	4
MANDALA Rohan	BGM922	Licensed Representative	7	
MUMFORD Robert Vyryan	AAW514	Licensed Representative	4	
NG Chee Leung, Bernard	APV582	Licensed Representative		4, 9
NG Kwok Hay	BKD903	Licensed Representative	1, 5	
NG Sing Hing	ALN731	Licensed Representative		2
NG Tai Chiu	AAV210	Licensed Representative		4, 9
NG Tsz Huen	BMW911	Licensed Representative	9	
NGAN Chin Ming	BJC706	Licensed Representative	1	
NICHOLLS Paul Stewart	ARD920	Licensed Representative		1, 4
NIE Congcong	BGS694	Licensed Representative	1	
O'NEILL Matthew William	ASL088	Licensed Representative		2
PANG Chi Yeung	BGB747	Licensed Representative	4	2
PATTAR Jasvinder Singh	ALE828	Licensed Representative		9
POON Kwok Hoi	ACK817	Licensed Representative	9	
POON Yin Ming	BFM764	Licensed Representative	9	
QIN Tian	AXD124	Licensed Representative		1
QU Wenting	BNE853	Licensed Representative	1	
SETHI Mininder	BFD465	Licensed Representative	4	
SHI Zhen	AYK898	Licensed Representative		5
SIU Wing Man	BAJ174	Licensed Representative		1
SON KENG PO Dy Wilfred	AZV686	Licensed Representative		4, 9
SU Chu-Ping	APB419	Licensed Representative	2, 4, 5	
SU Ziheng	BJK655	Licensed Representative	4, 6	
TAM Chun Cheong	BFS837	Licensed Representative		9
TAM Chun Ho	BIB790	Licensed Representative	9	
TAM Ping Yee	AFC647	Licensed Representative	9	
TANG Loi Sik	BFX684	Licensed Representative	2, 3	
TANG Tsz Fung	BGX456	Licensed Representative	2	
THOMSON James Joseph	BBA635	Licensed Representative	1, 4	6
TONG Chun Kit	AJD428	Licensed Representative	2	
TONG Ho Yin	AQH049	Licensed Representative		1, 2
TSANG Pak Hin	BIZ530	Licensed Representative	4, 9	
TSE Yiu Chun	BDP451	Licensed Representative	1	
TSOI Kwok Keung	AAD694	Licensed Representative		1, 4
TSUI Ka Fai	BKD316	Licensed Representative		1, 4
WAI Chi Ho, Bob	APP003	Licensed Representative	2	
WAI Sun Keung, Andy	AFT455	Licensed Representative		2
WANG Feng	AYO606	Licensed Representative		1, 4, 9
WANG Meng	AND162	Licensed Representative	1, 2	
WANG Yuan	AYA226	Licensed Representative	1, 4, 6	9
WEN Fan	BIG672	Licensed Representative		1
WONG Cheung Kwan	BJO393	Licensed Representative	1	
WONG Ching	BND640	Licensed Representative	2	
WONG Jin Hua Ezra	BFT029	Licensed Representative		4
WONG Ka Ho	AJB051	Licensed Representative	4	
WONG Ka Ming	BHP754	Licensed Representative	4	
WONG Siu Kee	AAF594	Licensed Representative	2	
WONG Tin Yam, Alvin	APK149	Licensed Representative		5
WONG Wing Hang	BDW769	Licensed Representative	9	
WONG Yan Tung	BGK392	Licensed Representative	4	2
WOO Elliott Tak On	AYR304	Licensed Representative	9	6
WRIGHT Lindsay Megan	AKY945	Licensed Representative		4, 9
WU Qin	BBH665	Licensed Representative		4
WU Wai Ho	BLH213	Licensed Representative	4, 9	1, 2
WU Yushan	BIG145	Licensed Representative		2
XING Yuwei	BMK648	Licensed Representative	2	
XIONG Jiani	BIM607	Licensed Representative	9	
XU Jin	AVO585	Licensed Representative	10	1, 4, 9
XU Peijiu	BIW798	Licensed Representative	1	
XU Weixiang	BJM252	Licensed Representative	1, 2	9
YAM Chi Fai	AXU769	Licensed Representative		2
YAN Kai Chi, Danny	AEL746	Licensed Representative	1	

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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
YANG Yunqing	BHY837	Licensed Representative	6	1
YAO Yuan	BCQ611	Licensed Representative	1	
YE Lin	AVT190	Licensed Representative	1, 4	
YEUNG King Fung	BJT499	Licensed Representative	1	
YEUNG Yat Lun, Ronald	AWT373	Licensed Representative	9	
YIN Yanli	AYT706	Licensed Representative	4, 9	
YIP Ka Fai	AQA895	Licensed Representative	3	
YIP Yin Fung	BNO824	Licensed Representative	2	9
YIU Cheuk Wing	BMX699	Licensed Representative	1	
YU Hangying	BBI093	Licensed Representative	5	
YU Man Ting Loretta	AWE558	Licensed Representative		4, 9
YU Wai Kit	BMT587	Licensed Representative	2	
YUNG Ka Cheung	ABN735	Licensed Representative		2
YUNG Wai Chuen	BMT514	Licensed Representative	4	
ZHANG Dawei	BJJ058	Licensed Representative	4	
ZHANG Haotian	BJU698	Licensed Representative	1	
ZHANG Kelly Wenting	BEX915	Licensed Representative	1	
ZHANG Kun	BNL518	Licensed Representative	3	
ZHANG Rui	BED057	Licensed Representative	1, 2	4, 9
ZHANG Shiyun	BFY598	Licensed Representative		1
ZHANG Xinyue	BNP163	Licensed Representative	3	
ZHANG Yi	BNR542	Licensed Representative	4	2
ZHANG Yueqi	BIZ373	Licensed Representative	9	
ZHANG Zhen	BNC739	Licensed Representative		2
ZHANG Zhifeng	BCH854	Licensed Representative	4	
ZHAO Jun	BCO982	Licensed Representative	4	
ZHAO Tao	AZX096	Licensed Representative	4	
ZHAO Xiaotian	BKH678	Licensed Representative	4, 9	1, 6
ZOU Keith Ke	BIE434	Licensed Representative		4, 9
CHAN Alex King Fai	BDY278	Licensed Representative - Responsible Officer	1	
CHAN Ka Ho	AWF460	Licensed Representative - Responsible Officer	2	
CHAN Ka Ming	BAK267	Licensed Representative - Responsible Officer	4	
CHAN Kin Leung	APC074	Licensed Representative - Responsible Officer	1	
CHAN Kwok Wai, Joseph	ADI545	Licensed Representative - Responsible Officer	6	
CHAU Kwok Ming	ABV491	Licensed Representative - Responsible Officer	1	
CHEN Chih-Kuo	AKW443	Licensed Representative - Responsible Officer	4	
CHENG Fung Kit	AGL892	Licensed Representative - Responsible Officer	9	
CHENG Wai Leung	ABH991	Licensed Representative - Responsible Officer	2	
FISCHER Nathan David	ATX270	Licensed Representative - Responsible Officer		4
KAN Kwok Shu, Albert	AAI603	Licensed Representative - Responsible Officer		4
KEY John Richard	AOZ142	Licensed Representative - Responsible Officer	4	
KIM Yong Moon	AEW096	Licensed Representative - Responsible Officer	4	
KUNG Chun Hung, Ronnie	ABU345	Licensed Representative - Responsible Officer	5	
KWAN Chi Hang	AGL438	Licensed Representative - Responsible Officer	1	
LAM Chuen	ARN384	Licensed Representative - Responsible Officer	4	
LAM Hoi Tung	AXB352	Licensed Representative - Responsible Officer	4	
LAU Ka Yip, Eddy	ACD286	Licensed Representative - Responsible Officer	4	
LAU Kam Pong	ACA472	Licensed Representative - Responsible Officer	4	
LAW Fung Ling, Viola	AME281	Licensed Representative - Responsible Officer	9	
LEE Sang Yup	AFB348	Licensed Representative - Responsible Officer	9	
LEE Wah Kin	AAI124	Licensed Representative - Responsible Officer	1	
LEI Zhongren	ASS916	Licensed Representative - Responsible Officer		1
LEUNG Spencer Yu Cheong	AML738	Licensed Representative - Responsible Officer	9	
LEUNG Wang Kit, James	ACC013	Licensed Representative - Responsible Officer	5	
LI Ming Fai	AAV821	Licensed Representative - Responsible Officer	1	
LIU Yuen Han	ACU433	Licensed Representative - Responsible Officer	4	
LO Man Un	AMM283	Licensed Representative - Responsible Officer	4	
LO Yue Hin	ANB463	Licensed Representative - Responsible Officer	1, 4	
MA Chui Yee	AUI050	Licensed Representative - Responsible Officer		1, 4
NG Ho Man Fanny	AKU292	Licensed Representative - Responsible Officer	6	
NG Kwok Fung	ABY897	Licensed Representative - Responsible Officer	9	
NG Shui Cheong	AQD381	Licensed Representative - Responsible Officer	4	
NGO Tsz Fei	BBW943	Licensed Representative - Responsible Officer	4	
PHUA Victor Chen Ee	AZC163	Licensed Representative - Responsible Officer	7	
SUNG Siu Hang, Sennen	AOR113	Licensed Representative - Responsible Officer	4, 9	
TONG Pok Wai	ARR372	Licensed Representative - Responsible Officer	4	
TUNG Man	BGX402	Licensed Representative - Responsible Officer	9	
WANG Xionghui	ARE183	Licensed Representative - Responsible Officer	4	
WONG Ying Kin	AJK619	Licensed Representative - Responsible Officer	1, 4	

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<b>CE Name</b>	<b>CE No.</b>	<b>License Type</b>	<b>Types of Regulated Activity Added</b>	<b>Types of Regulated Activity Removed</b>
YAU Shuk Han	ACZ998	Licensed Representative - Responsible Officer	4	
YING Yewen Derek	AXX634	Licensed Representative - Responsible Officer	1, 4	
YU Xiaoling	ANP305	Licensed Representative - Responsible Officer	1	
ZAKI Adam Anwar	AJK665	Licensed Representative - Responsible Officer	6	
ZHU Jun	AFN536	Licensed Representative - Responsible Officer	6	
BI Mingqiang	BNP254	Licensed Representative (Provisional Licence)	1, 4	
OUYANG Zimo	BNU405	Licensed Representative (Provisional Licence)	6	
XIE Jinhui	AQO522	Licensed Representative (Provisional Licence)	4	