

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2019

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
China AF Asset Management Limited	BJP052	Licensed Corporation	4	
Chiyu International Capital Limited	BJS147	Licensed Corporation	1, 4	
First Capital International Finance Limited	BHR763	Licensed Corporation	1	
HK Monkey Securities Limited	BJV607	Licensed Corporation	4	
King Wai Financial Asset Management Company Limited	AXR359	Licensed Corporation	1	
MYM Capital Partners Limited	BLI878	Licensed Corporation	1	
Nuveen Hong Kong Limited	BJH146	Licensed Corporation	9	
Orient Securities Limited	AFP038	Licensed Corporation	9	
SR Wealth Securities Limited	BHF761	Licensed Corporation	4	
Topaz Financial Group Limited	BAA079	Licensed Corporation	4, 5	
VMS Securities Limited	AAC621	Licensed Corporation		9
Vontobel Asset Management Asia Pacific Limited	ARR382	Licensed Corporation	9	
Wanexe Securities (HK) Limited	BMN657	Licensed Corporation	2, 5	
ALON SHEMESH Gilat	BNG228	Licensed Representative	9	
AU Tsz Ki	BMM219	Licensed Representative	4, 9	
BECK-PETERSEN Soren	BGC223	Licensed Representative	9	
BROOKS Christopher John Coram	BCF390	Licensed Representative	9	
CAI Daniel, Christian	ATO217	Licensed Representative	1, 2, 7	9
CHAN Angie	ADN450	Licensed Representative		1
CHAN Chi Pang	AQI127	Licensed Representative		4
CHAN Chit Ho	BGT648	Licensed Representative	1	
CHAN Cho Wai, Jo-jo	AEM930	Licensed Representative	4	
CHAN Christy	BHQ079	Licensed Representative	1	
CHAN Fong Leung	BLZ047	Licensed Representative		2
CHAN Hei Wai	AXE875	Licensed Representative		1
CHAN Ho Yau	AXL565	Licensed Representative	1	
CHAN Justin Sano	AFY829	Licensed Representative		3, 4
CHAN Kai Tung, Clayton	BCH584	Licensed Representative	4	
CHAN King Chung	ABZ504	Licensed Representative		2
CHAN Kwan Kit	BGN371	Licensed Representative	4	
CHAN Mei Kuen	AGM862	Licensed Representative	4	
CHAN Philip	ACA716	Licensed Representative	9	
CHAN Siu Kei, Ken	BKH467	Licensed Representative	2	
CHAN Siu Pong, Kevin	BGU580	Licensed Representative		3
CHAN Sze Ho	AHO252	Licensed Representative	4	
CHAN Tin Wa Caesar	BJR579	Licensed Representative		1
CHAN Tsz Kin	BIY015	Licensed Representative	4	9
CHAN Wan Yin Ada	BOB271	Licensed Representative	1	4, 9
CHAN Wing Sze	BIZ710	Licensed Representative	2	
CHAN Yue Hong, Jimmy	ADB470	Licensed Representative		3
CHEN Chien-Hua	ARJ090	Licensed Representative	9	
CHEN Jake Jian Zhou	ATN458	Licensed Representative	4	1
CHEN Scott	AIC497	Licensed Representative	4	
CHEN Yunhui	BHQ058	Licensed Representative	1	
CHEUNG Kan Lik	ANA182	Licensed Representative	9	
CHEUNG Leslie See Chun	BMS626	Licensed Representative		2
CHEUNG Mei Ting	BNO681	Licensed Representative	2	
CHEUNG Tsz Yan, Louisaanne	ARE602	Licensed Representative	4	
CHEUNG Zhiqian	BKU851	Licensed Representative	4	
CHEUNG Ching Man	AXI346	Licensed Representative		2
CHEUNG Kiu To	BNJ801	Licensed Representative	1	
CHEUNG Kwok Yan	AEJ164	Licensed Representative		2
CHEUNG Pui Kwan	ACT768	Licensed Representative		1
CHEUNG Pui Shan	AJF413	Licensed Representative		4
CHEUNG Wing Yi, Sandra	AMJ202	Licensed Representative		2
CHIN Reginald Alvin	AUE426	Licensed Representative		2
CHIO Ka Fai	BKJ536	Licensed Representative		1
CHIU Ju-Ping	BJQ340	Licensed Representative	4	
CHOI Chi Cheong, Antonius	AXS308	Licensed Representative	4	9

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CHOI Janice Su Yun	AMN768	Licensed Representative	4, 9	
CHONG Kwok Chee	BAN207	Licensed Representative		9
CHONG Sheung Tat	BGB437	Licensed Representative	2	
CHOW Chi Man	BOB978	Licensed Representative	2	
CHOY Ching Fai	BBL230	Licensed Representative		1, 2
CHU Chun Hin, Steven	AMS312	Licensed Representative		4
CHU Po Yuen	BBL114	Licensed Representative	2	
CHU Tien	AOX106	Licensed Representative		9
CHUA Kam Yi	BNQ733	Licensed Representative	4	
CHUI Kin Wai, Charles	AYD407	Licensed Representative	9	
CHUNG Chi Bun, Alan	AXF919	Licensed Representative		1
CHUNG Ho Lun	AUJ772	Licensed Representative		1, 2
CHUNG Kam Wah, Alden	ABH271	Licensed Representative	1	
CORNER Andrew Mark Gordon	AOK590	Licensed Representative		7
DASH Kaushik	APK998	Licensed Representative	2	6
DAVIES Justin Paul	ART061	Licensed Representative	9	
DOUGHTY Stephen Edward	ARH181	Licensed Representative	9	
DU Yang	BKA417	Licensed Representative	1	
FENG Chenghao	BNN478	Licensed Representative	1	
FENG Meng	BHA297	Licensed Representative		1, 9
FONG Chi Chuen, Ronnie	BLF532	Licensed Representative	4, 9	
FRITSCHI Juerg Christoph	ASH305	Licensed Representative		1
FU Hsin Chang	AUJ844	Licensed Representative	4	
Fu Wan Hin	ASG129	Licensed Representative		1, 2
FUNG Chi Wai	APW679	Licensed Representative	9	
FUNG Wing Yee	AVH672	Licensed Representative	1, 4	
GIEFING Sean Gerrard	BDV262	Licensed Representative	2, 4	
GUO Xianguang	AXK884	Licensed Representative	1	
HE Wei	BMK634	Licensed Representative	6	
HO Cho Yiu	BFJ184	Licensed Representative	4	
HO Kin Chung, Kelvin	AFR360	Licensed Representative		4
HO See Wing	AVS193	Licensed Representative	3	
HO Siu Yan, Jennifer	BEI261	Licensed Representative	2, 5	9
HO Wai Ka	BMZ597	Licensed Representative	2	
HO Wing Sze	BNU793	Licensed Representative	9	
HU Zhanghong	AKU346	Licensed Representative		2, 6
HUANG Chaoyi	BHC528	Licensed Representative	1, 4	5
HUANG Xiaoyan	BJM070	Licensed Representative	6	
HUANG Yue Yumi	AVT127	Licensed Representative	2	
HUEN Chun Kit	AQS600	Licensed Representative		2
HUI Jonathan Kim Pong	AJF934	Licensed Representative	9	1, 2
HUNG Kai Chi	BNZ085	Licensed Representative	1	
IM Sung Ho	ANT714	Licensed Representative		1
IONG Matthew Ka Leong	AYD592	Licensed Representative	1	
JACKSON Nicholas Mark	BLC914	Licensed Representative	9	
JENG Yuping	BGJ232	Licensed Representative	1	
JIA Luning	BMU955	Licensed Representative	1	9
JIAO Shang	BKM830	Licensed Representative		4
KAN Yu Hang	BLK683	Licensed Representative		7
KIM Changick	BNG220	Licensed Representative	9	
KIN Shunei	ASF050	Licensed Representative	4	
KO Chi Kwan	AHR140	Licensed Representative	2	
KOH Wan Chin	BIC280	Licensed Representative	4	
KONG Lingqi	BMV426	Licensed Representative	1	
KUNG Wai Ting	AOQ511	Licensed Representative		1, 4
KWOK Tin Pui	BDQ196	Licensed Representative		4
LAI Ka Chung	BFU181	Licensed Representative		1, 9
LAI Kai Po	BIS734	Licensed Representative	2	
LAI Kam Wah, Winston	AMC022	Licensed Representative	9	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LAI Tzu-Ping	BAU119	Licensed Representative	2	
LAI Wai Heng	BIO868	Licensed Representative	4, 9	
LAI Yiu Ting	BHW792	Licensed Representative	2, 4	2
LAM Chi Ho	BEX631	Licensed Representative		9
LAM Chun Yuk	ARR348	Licensed Representative		4
LAM Ho Kan	BMQ110	Licensed Representative		4, 5
LAM Ka Wun, Gary	BDH211	Licensed Representative	4	
LAM Sung Wai	BGO451	Licensed Representative		2
LAM Ying Kit	AZX858	Licensed Representative	2	
LAU Chi Wai, Fred	AAA314	Licensed Representative		3
LAU Chi Yung	AOY348	Licensed Representative		1
LAU Chun Yu	ANK121	Licensed Representative		1, 4
LAU Hong	BDY028	Licensed Representative		2
LAU Kin Hung	BGV207	Licensed Representative	1	5
LAU Mak Chi	AWG054	Licensed Representative		2, 9
LAU Shuk Ting	BIT843	Licensed Representative	9	
LEE Chi Hang, Henry	BKG199	Licensed Representative	2	
LEE Eric Joon Ho	BIV170	Licensed Representative	4	
LEE Ge Kit, Jackie	BNO945	Licensed Representative	4, 9	
LEE Ka Him	AKV007	Licensed Representative	9	
LEE Ka Ki	AVT065	Licensed Representative		3
LEE Kei Tat	AEM828	Licensed Representative	4, 9	
LEE Kwan Lun, Colin	BGC215	Licensed Representative	9	
LEE Wai Keung	ANT413	Licensed Representative		2
LEI Cuiwen	BKW179	Licensed Representative	2	
LEIGH Anthony Ronald	AFP275	Licensed Representative	9	
LEUNG Fung Man	ASP987	Licensed Representative	4	
LEUNG Ka Hin	BJQ359	Licensed Representative	4	
LEUNG Ka Wai	AME528	Licensed Representative	6	
LEUNG Palsion	AQE459	Licensed Representative		2
LEUNG Shing Hey	AOP158	Licensed Representative		4, 5
LEUNG Siu Yung	ARA500	Licensed Representative	9	
LEUNG Wai	BLR821	Licensed Representative		4
LEUNG Wai Kei	ANQ085	Licensed Representative		2, 3, 4
LEWIS Richard John	BGC209	Licensed Representative	9	
LI Po Ching	BIL742	Licensed Representative	4, 9	
LI Baohua	BJU349	Licensed Representative	1	
LI Chengzhen	BEW378	Licensed Representative	1, 6	4, 9
LI Chiu Yee	AJY016	Licensed Representative	4	
LI Chunli	BNC271	Licensed Representative	7	
LI Gen	BNJ096	Licensed Representative	6	
LI Ji	BCE400	Licensed Representative	2, 5	
LI Jing	BBS449	Licensed Representative	9	1, 2, 7
LI Jun	BJG656	Licensed Representative	5	
LI Ka Fong	BMO610	Licensed Representative	4	
LI Li	BJJ670	Licensed Representative	4	
LI Lin	AMC938	Licensed Representative		4
LI Man Hin	AEN397	Licensed Representative		3
LI Ming Kwok	ADS635	Licensed Representative		1
LI Xinyi	BHO437	Licensed Representative	4	
LIM Clio Wan Yue	ANQ280	Licensed Representative		2
LIN Xiaohan	BCH562	Licensed Representative	9	
LIN Yen-Chun	ASM080	Licensed Representative		1
LIT Yuk Ping	APB748	Licensed Representative		1
LIU Bei	ANN276	Licensed Representative		9
LIU Jin	AEU168	Licensed Representative	4	
LIU Man Lai, Manly	ADI698	Licensed Representative	1	
LIU Muqi	BIY598	Licensed Representative	4, 9	10
LIU Nuo	BHQ070	Licensed Representative		9

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LIU Taisheng	AMO971	Licensed Representative	6	4
LO Chi Yan	AFT126	Licensed Representative		5
LO Ming Cheung	BOB241	Licensed Representative	2	
LO Wai Yip, Robert	ADB521	Licensed Representative	1	
LU Shuyan	BNL573	Licensed Representative	1	
LUDHANI Aakash Gobind	BHF164	Licensed Representative	9	
LUI Benji	BKE387	Licensed Representative		4
LUI Chun Hay Joey	BMF398	Licensed Representative		1, 4
LUK Wai Tat	AUP822	Licensed Representative	2	
LUMB Nicholas James	AOK050	Licensed Representative	9	
LYU QI	BMI920	Licensed Representative	4	
MA Ding	BBS691	Licensed Representative	4, 9	1
MATSUMOTO Kosuke	BBR204	Licensed Representative	9	1, 4, 6
MEI Di	BIG357	Licensed Representative	1	
MORGAN Joseph Henry	BJT439	Licensed Representative	1, 9	
MUNDIGIAN Vatche Vartan	AUP689	Licensed Representative		7
NA Xiaona	AOM543	Licensed Representative	4	
NG Chung Pang	ACK551	Licensed Representative	2	
NG Kwong Man	AME704	Licensed Representative		7
NG Lai Ping, Joey	ALF350	Licensed Representative	4	
NG Li Sheng Jason	BGG975	Licensed Representative	1	9
NG Man Fai	BAP096	Licensed Representative	4	
NG Wing Hoi	ASH955	Licensed Representative		2
NGAN Puay Yee	BGQ569	Licensed Representative	1	
OOI Boon Sing	BBY029	Licensed Representative		4
PAN Nianou	BCK326	Licensed Representative	4	
PIESTRZYNSKI Bart Stefan	BI1180	Licensed Representative	9	
POON Kwok Hoi	ACK817	Licensed Representative		2, 9
PUN Tsz Sang	AVM927	Licensed Representative		3
RASMUSSEN Erika Mae	AFC748	Licensed Representative	2, 9	
RIBEIRO Mauricio Ferreira Meira	AUC957	Licensed Representative	9	
SHEN Qiong	AJN890	Licensed Representative	2, 4	
SHI Hanbei	BMC485	Licensed Representative		2
SHI Shaoqiang	AHE750	Licensed Representative		4
SIN Oscar Kwok Leung	AVL136	Licensed Representative	1, 6	
SIU Chung Wa	BGA702	Licensed Representative	9	4
SIU Wai Ching, Shirley	ABP528	Licensed Representative	9	
SO Ting Sau	BBW585	Licensed Representative	2	
SUEN Tin Yan	AXR986	Licensed Representative	9	
SUEN Ting Fong Boris	BIS100	Licensed Representative	2	
SUN Xiaowen	BJR647	Licensed Representative		1
SYKES Roderick Peter	ABE627	Licensed Representative	4	
TAM Chiu Kin	BDL026	Licensed Representative	4	
TAN Ka Yin	BHI168	Licensed Representative	1	
TONG Siu Cheung	AON938	Licensed Representative		4
TONG Tsang Fai	BAR578	Licensed Representative		1
TONG Tsz Wai	AUT494	Licensed Representative	4, 9	
TSANG Chun Hei	AYV630	Licensed Representative		3
TSANG Kwok Fung	BGK261	Licensed Representative	1	
TSANG Tak Ming	AHT187	Licensed Representative	5	
TSANG Yat Lung	BEC299	Licensed Representative	1	
TSE Kai Kong	BGU232	Licensed Representative		4
TSE Koon Nang, Colin	ATR121	Licensed Representative		9
TSE Yu Lung	AVU827	Licensed Representative		9
TSOI Chun Sing	BHW830	Licensed Representative		2
TSUI King Yan	AYD167	Licensed Representative	2, 4, 9	
TSUI Wing Fat	BNY051	Licensed Representative	1	
TU Yuxi	BHW846	Licensed Representative	1, 9	
TUNG Tsit Shan	AFJ430	Licensed Representative	4	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
WAN Nga Yee, Joyce	AOH920	Licensed Representative	4	
WANG Alan Boyu	BHP411	Licensed Representative		4
WANG Albert	APQ327	Licensed Representative	1, 2	9
WANG Chuan	BHF645	Licensed Representative	2	
WANG Guanwen	BLG324	Licensed Representative	1	
WANG Hui	BLN172	Licensed Representative	1	
WANG Qi	BHB949	Licensed Representative	2	
WANG Rui	BGP155	Licensed Representative		1
WANG Tian	BJP485	Licensed Representative		4
WANG Xiao	AVD829	Licensed Representative	1	
WEI Keqing	BAV558	Licensed Representative	4	
WONG Arthur Chi To	ANK679	Licensed Representative	7	
WONG Cheung Pui	BOA319	Licensed Representative	4	1
WONG Chun Ki	BJN531	Licensed Representative	2	
WONG Chun Kit	BJC702	Licensed Representative		4
WONG Chun Kwong	ACP183	Licensed Representative	9	
WONG Hoi Hung, Horace	ACS469	Licensed Representative	9	
WONG Hoi Ki	AJO046	Licensed Representative		2
WONG Jun	BMG252	Licensed Representative	3	
WONG Ka Chun	AUU925	Licensed Representative	1, 2	4, 9
WONG Kai Kok	ACT922	Licensed Representative		1, 4
WONG Kwok Keung, Lawrence	AFO320	Licensed Representative		1, 2
WONG Man Chan	BOB411	Licensed Representative	1	
WONG Man Chun	AXH553	Licensed Representative	9	10
WONG Siu Leung	ANK932	Licensed Representative		2
WONG Siu Ming	BGB205	Licensed Representative		9
WONG Siu Tang	BAC232	Licensed Representative	4	
WONG Tsan Chow	AXI459	Licensed Representative		1, 2
WONG Wai	BAC295	Licensed Representative	2, 4	
WONG Wai Man, Angel	BJO200	Licensed Representative	4, 9	
WONG Wai San	AHP442	Licensed Representative		2, 4, 9
WONG Yau Ming	AYI434	Licensed Representative	1	
WONG Yiu Chung, Andy	AXV027	Licensed Representative		1, 4
WU Chi Ki, Hilda	AVA638	Licensed Representative	1	
WU Jingjing	BKU663	Licensed Representative		4
XIE Yun	BLR526	Licensed Representative	2	
XU Bo	AYA837	Licensed Representative		4
XU Xingye	BMQ601	Licensed Representative	2	
XU Zijian	BNB209	Licensed Representative	4	
YANG Lujia	BBU233	Licensed Representative	4	
YANG Tian	BHA618	Licensed Representative	4	
YANG Yingqiu	AKI594	Licensed Representative	6	
YAO Lyu	BJC557	Licensed Representative	4, 9	
YAU Ho Pan	AWS533	Licensed Representative	1, 4	
YAU Kam Pun, Calvin	AMJ519	Licensed Representative		3
YAU Tat Cheung	APY992	Licensed Representative	4, 9	
YEUNG Tak Lam	ANN274	Licensed Representative		2
YIM Ka Kit	BHC514	Licensed Representative	9	
YIM Peter	ACB564	Licensed Representative		2, 5
YIM Wing Yu	BGZ419	Licensed Representative	5	1, 9
YIN Raymond Lei	ACD718	Licensed Representative	1, 4	
YIP Hou Yin	BMV402	Licensed Representative	4, 6	9
YIP Sze Wan, Angela	AOS423	Licensed Representative		5
YIP Wing Chuen	AQO150	Licensed Representative	2	3, 4, 9
YONG Sai Long	BHJ221	Licensed Representative	1	
YOU Qian	AVY468	Licensed Representative	1	
YU Kim Ho, Douglas	ABF198	Licensed Representative		1
YUEN Chi Fung	ABU336	Licensed Representative	1, 9	
YUEN Wing Tung	BMV525	Licensed Representative	1	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
YUNG Shek Man	BJD644	Licensed Representative	9	
ZENG Zhan	BCZ088	Licensed Representative	1	
ZENG Zhen	BGH008	Licensed Representative	6	
ZHANG Ruizhi	BIY029	Licensed Representative	10	1, 4
ZHANG Taoran	BDI146	Licensed Representative		6
ZHANG Wenwen	BLI057	Licensed Representative		1
ZHANG Zhaohan	BIO066	Licensed Representative	1, 4	
ZHAO Yang	BLK372	Licensed Representative	1	
ZHEN Jingjing	AXH989	Licensed Representative	9	
ZHOU Fei	BEM286	Licensed Representative		4
ZHOU Geng	BKZ925	Licensed Representative	1	
ZHOU Qiyuan	BNQ505	Licensed Representative	6	4, 9
ZHOU Siyu	BDI771	Licensed Representative	5	1
ZHU Hongda	BMS538	Licensed Representative	6	
ZHU Jiang	BNB630	Licensed Representative	4, 9	
BANNON Deborah Mary	AIK991	Licensed Representative - Responsible Officer	9	
CHAN Chi Yin, Stefanie	AEY353	Licensed Representative - Responsible Officer		9
CHAN Choi Hung	APO018	Licensed Representative - Responsible Officer	4	
CHAN Kon Kai	ADW708	Licensed Representative - Responsible Officer	4	
CHAN Kwok Wai	BCW251	Licensed Representative - Responsible Officer	4	
CHAN Ngai Lun, Alan	ARR782	Licensed Representative - Responsible Officer	4	
CHAN Yan Lung	AUX220	Licensed Representative - Responsible Officer	1	
CHEN Yu	AOO228	Licensed Representative - Responsible Officer		1, 4
CHEUNG Hor Yee, Patricia	ANE746	Licensed Representative - Responsible Officer	5	
CHEUNG Ka Chun	BBK722	Licensed Representative - Responsible Officer	9	
CHEUNG Tze Man	AJK669	Licensed Representative - Responsible Officer	1, 4	
CHIU Chung Che, Francis	AEO988	Licensed Representative - Responsible Officer	1	
CHUNG Ho Lun	AUJ772	Licensed Representative - Responsible Officer	9	
DJOUNOV Rossen Nikolaev	BJI365	Licensed Representative - Responsible Officer	4	
ENGLAND-BRAMMER Simon James	AXZ247	Licensed Representative - Responsible Officer	9	
FONG Tak Yee	AFA617	Licensed Representative - Responsible Officer	9	
FRIEDLANDER Michael Arthur	ARJ342	Licensed Representative - Responsible Officer		4
FUNG Chi Wai	APW679	Licensed Representative - Responsible Officer	4	
HE Tian	BBR112	Licensed Representative - Responsible Officer	6	
HO Chi Ming	AAZ139	Licensed Representative - Responsible Officer	4	
HO Yu Keung, Bobby	AAE294	Licensed Representative - Responsible Officer	4	
HUANG Chao	ASF493	Licensed Representative - Responsible Officer	1	
JIA Yuzhe	BNW202	Licensed Representative - Responsible Officer	4	
KAVANAGH Louise Margaret	APJ851	Licensed Representative - Responsible Officer	9	
KIM Jung Min	AYK789	Licensed Representative - Responsible Officer	4	
KWOK Hing Yip	ANR615	Licensed Representative - Responsible Officer	4, 5	
KWONG Yuk Yu, Emily	ADX384	Licensed Representative - Responsible Officer	4	
LAM Chuen	ARN384	Licensed Representative - Responsible Officer		1, 4
LAM Chun Yuk	ARR348	Licensed Representative - Responsible Officer	2	
LAM Ting Yu	AGK850	Licensed Representative - Responsible Officer	4	
LEE Huei-Jing	BCP547	Licensed Representative - Responsible Officer	9	
LEUNG Kwok Kan	AAD928	Licensed Representative - Responsible Officer	4	
LEUNG Sin Hong, Eric	ABY003	Licensed Representative - Responsible Officer	4	
LEUNG Yin Wing	ACD641	Licensed Representative - Responsible Officer	1	
LI Kin Man, Kent	AOY339	Licensed Representative - Responsible Officer	2, 5	
LI Ming Kwok	ADS635	Licensed Representative - Responsible Officer	9	
LI Xiangda	BND696	Licensed Representative - Responsible Officer	9	
LIM Clio Wan Yue	ANQ280	Licensed Representative - Responsible Officer	4	
LO Yam Pui, Eric	ACA520	Licensed Representative - Responsible Officer	4	
LOO Peck Hwee Celene	AFC204	Licensed Representative - Responsible Officer	1	
LU Mo	BJE004	Licensed Representative - Responsible Officer	4	
LUNG Tak Wing	AAG270	Licensed Representative - Responsible Officer	4	
MA Xiaona	AXA085	Licensed Representative - Responsible Officer	4	
MAK Siu Lun	AMV285	Licensed Representative - Responsible Officer	4, 5	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2019

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
NG Lu Yan	AYG297	Licensed Representative - Responsible Officer	4, 9	
PANG Chung Yiu, Jeff	AOV829	Licensed Representative - Responsible Officer	9	
PARK Edward	ASD313	Licensed Representative - Responsible Officer	7	
POON Chi Wai	AGG750	Licensed Representative - Responsible Officer		3
QIAN Shanjing	BBM291	Licensed Representative - Responsible Officer	4	
QIN Li	BLV366	Licensed Representative - Responsible Officer	4	
SIU Wing Man	BAJ174	Licensed Representative - Responsible Officer	4	
SKINNER Donald William Lennox	AAI493	Licensed Representative - Responsible Officer	4, 6, 9	
TANG Chu, Leonard	AFC962	Licensed Representative - Responsible Officer	1, 4	
THAI Thanh Hung	ALB240	Licensed Representative - Responsible Officer	1, 6	
TSE Ming Fong	AYK913	Licensed Representative - Responsible Officer	4	
WAN Yin Lam	AOJ253	Licensed Representative - Responsible Officer	4	
WANG Dongzhe	BNT132	Licensed Representative - Responsible Officer	9	
WONG Chi Hung, Philip	ATE768	Licensed Representative - Responsible Officer	5	
WONG Chun	ATS895	Licensed Representative - Responsible Officer	4	
WONG Hang Kong	ARF777	Licensed Representative - Responsible Officer	4, 5	
WONG Kai Kok	ACT922	Licensed Representative - Responsible Officer	9	
WONG Long Sau, Ivis	ADA335	Licensed Representative - Responsible Officer	4	
WONG Ping Tat, Elvis	AIU265	Licensed Representative - Responsible Officer	4	
WONG Wai Kwong	ACG192	Licensed Representative - Responsible Officer		3
WU Carol Shu-Yen	AQA850	Licensed Representative - Responsible Officer	1, 2	
WU Chun Nam Dennis	BDA598	Licensed Representative - Responsible Officer	2, 5	
YANG Angus Sheau Yu	ADI662	Licensed Representative - Responsible Officer	7	
YEUNG Hau Keung	ALQ272	Licensed Representative - Responsible Officer	4	
YEUNG Shing Lone Gerald	BBF103	Licensed Representative - Responsible Officer	9	
YU Kim Ho, Douglas	ABF198	Licensed Representative - Responsible Officer	4	
LEUNG Yan Ki	BNT781	Licensed Representative (Provisional Licence)		2
ZHANG Wenhui	BOE558	Licensed Representative (Provisional Licence)	6	
Agricultural Bank of China Limited	ACX641	Registered Institution		9