

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2019					
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed	
ADMIS Hong Kong Limited	ACP509	Licensed Corporation		3	
BaoQiao Partners Securities (HK) Limited	AEU761	Licensed Corporation	4		
Business Securities Limited	AEF425	Licensed Corporation	4		
CITIC Capital SIF Advisory Limited	ANE045	Licensed Corporation	1		
FIL Investment Management (Hong Kong) Limited	AAG408	Licensed Corporation	2		
Guotai Global Investments Limited	BEL572	Licensed Corporation	1		
Win Wind Securities Limited	ACZ283	Licensed Corporation	2		
AU YONG Haw Rer	BGT189	Licensed Representative		4	
AZZOUZ Hecham	AVS590	Licensed Representative	9	1, 2	
CAI Linzhi	BHH998	Licensed Representative	9		
CAI Siqi	BAC045	Licensed Representative	9		
CHAI Xuebei	AYM185	Licensed Representative	9		
CHAI Yiqi	BOA221	Licensed Representative		1	
CHAN Chi Hung	AAB402		9		
		Licensed Representative	9	4.0	
CHAN Chun Hin	BCO771	Licensed Representative		1, 2	
CHAN Chun Yin	BAF372	Licensed Representative	1		
CHAN Hop Mo	ASO653	Licensed Representative		5	
CHAN Ka Fung	BJG131	Licensed Representative	2		
CHAN Ka Kam	BDM048	Licensed Representative	4, 9	2	
CHAN Ka Ming	AHM935	Licensed Representative		4	
CHAN Siu Lung	BLN445	Licensed Representative	3, 4, 5		
CHAN Tai Yu	AWI794	Licensed Representative	1, 4		
CHAN Yee Hoi	AAK836	Licensed Representative		2	
CHAN Yuk Nam	AZL605	Licensed Representative		1	
CHANG loi Tim	ADZ296	Licensed Representative		4	
CHANG Jason	AOR636	Licensed Representative	4		
CHANG Nga Wai	BHT302	Licensed Representative		4	
CHANG Vivian Ming-yin	ASI105	Licensed Representative		4	
CHANG Yung Kang, Peter	AGU143	Licensed Representative		4	
CHAPMAN Sophie Shufei	BMT331	Licensed Representative	2	6	
CHAU Fai	APY543	Licensed Representative		1	
CHAU Kin Sun	AQP754	Licensed Representative		2	
CHEN Jiaxin	BGG784	Licensed Representative	2		
CHEN Lai Shan, Idy	BFA212	Licensed Representative		4, 6	
CHEN Senlin	BCJ309	Licensed Representative		1	
CHEN Shizhe	BMR357	Licensed Representative	6		
	BIE866		4		
CHEN Song		Licensed Representative			
CHEN Stanley	APU255	Licensed Representative	1, 4	40	
CHEN Wenhan	APF628	Licensed Representative	1, 4, 6	10	
CHEN Yalei	ARW897	Licensed Representative	4	9	
CHEN Yu Shiuan	BKP273	Licensed Representative	4		
CHENG Chi Keung	BIC344	Licensed Representative		4	
CHENG Sai Wa	AXM173	Licensed Representative	1		
CHENG Shun Tin	AQL400	Licensed Representative		2	
CHENG Tak Choi	ADO907	Licensed Representative	2		
CHENG Wei Han	AXH203	Licensed Representative	4	6	
CHENG Yiu Chung	BLI138	Licensed Representative	9		
CHEUK Chin Ting	BFP184	Licensed Representative	6		
CHEUNG Wai Hung	AUN717	Licensed Representative		1	
CHEUNG Yun Tim	ASO238	Licensed Representative		2	
CHIA Janice Jhet Yen	AOY762	Licensed Representative		6	
CHONG Ka Shing	BLO845	Licensed Representative	5		
CHONG Siu Yin, Dominic	BNG839	Licensed Representative	4	6	
CHOU Chun Lam	AMC653	Licensed Representative		1, 2	
CHOW Man Ting	BNH477	Licensed Representative	2		
CHOW Sing Man	AQY466	Licensed Representative	3		
	AAK430		1		
CHOY Kwong Wa, Christopher		Licensed Representative	1	4	
CHUI Loong Chik, Nelson	AGP035	Licensed Representative		4	
CHUNG Man Him, James	ANK917	Licensed Representative		1	



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSON	ONS/REGISTE	ERED INSTITUTIONS DURING 05/2019	T	
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CHUNG Yi-Chun	BJY659	Licensed Representative	4	
COLLARD Jeremy David	AJC639	Licensed Representative	1	
DELAFORCE Jack James	BHU899	Licensed Representative	9	2, 5
DENG Hefei	ATW716	Licensed Representative	9	4
DENG Yuqun	BGG959	Licensed Representative	1	
DYER Michael John	ANH060	Licensed Representative		4
FAN Xinyun	BNE419	Licensed Representative	1	
FONG Hing	BBF237	Licensed Representative	4	
FONG Kam Cheung, Patrick	AMQ876	Licensed Representative		1
FU Kaidi	BGG167	Licensed Representative	9	4
FUNG Koon Wah	AFZ881	Licensed Representative		1
GAO Wei	BNH459	Licensed Representative	9	
GLUECK Franz Ismael	AZH650	Licensed Representative	1, 4	
GUO Jingning	BNL416	Licensed Representative	2	
GUO Rui	BNG888	Licensed Representative	2, 4	
HAN Feng	AZR574	Licensed Representative		4
HO Duncan	BBZ848	Licensed Representative	1	
HO Hoe Kay	AZR704	Licensed Representative		2, 5
HO Ka Huen	ARG881	Licensed Representative	1	
HOU Yanni	BKD164	Licensed Representative	4	
HU CHAO	BLZ388	Licensed Representative	1	
HU Linglin	BDU275	Licensed Representative		6
HU Yanmo	BKZ666	Licensed Representative		6
HUANG Chen	AQM708	Licensed Representative	1	
HUANG Chin	BHY493	Licensed Representative	4	
HUANG Chun-chieh	ATC043	Licensed Representative		4
HUANG Minghong	BES185	Licensed Representative	9	
HUANG Zhongliuchang	BDY929	Licensed Representative	4, 9	
HUI Chuk Kwan	ASN777	Licensed Representative		2
HUI On Ki	AVS799	Licensed Representative	9	1
HUI Sze Tat	BNV085	Licensed Representative	3	
HWANG Chan Young	AMY257	Licensed Representative	1	4
IE Kuen Ning Natalie	BDH695	Licensed Representative	1, 4	9
IP Yu Pang	ACH676	Licensed Representative		2
JHANG Sin-Lun	ATH710	Licensed Representative		2
JIA Jia	BFG487	Licensed Representative	1	4, 9
JIANG Jiaxin	BES117	Licensed Representative	1	9
JIANG Jihao	BJG860	Licensed Representative	1, 6	
JIN Weiwei	BIJ966	Licensed Representative		9
JONES Richard Evan	AEC527	Licensed Representative	9	
JUNG Joo Ho	BAF833	Licensed Representative		9
KAN Siu Chung	BFJ639	Licensed Representative		1
KANG Jingwen	BGY853	Licensed Representative		4
KAO Ying Tung	ABF327	Licensed Representative		4
KEDIA Mayank	AOV659	Licensed Representative	9	1, 2
KHO Garrett Tristan Arnaldo	AZL779	Licensed Representative	7	
KIM Ji Woong	BHH493	Licensed Representative		1, 2, 4
KO Tin Ho	ARF645	Licensed Representative		1
KOH Kwing Chang, Peter	AAA503	Licensed Representative	9	1
KONG Pik Suen, Jessica	ANB753	Licensed Representative		4
KU Chung	BNV246	Licensed Representative	1	
KUNG Wai Ling	AEU211	Licensed Representative		1
KWAI Ni	ALV039	Licensed Representative		1, 4
KWAN Chi Hang	AGL438	Licensed Representative		4
KWAN Sing Yan	BKR621	Licensed Representative	2	
KWOK Ching Leong	BMR958	Licensed Representative	1	
KWOK Chun Ki, Jacky	ATT300	Licensed Representative	4	
KWOK Shu Tin	AGN785	Licensed Representative	4	
KWOK Wai Shun	AGN765 AFM346	Licensed Representative		1
	AT 191540	Liourisca Representative	1	l.



	VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2019					
	CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed	
March   Marc	KWONG Siu Chung	AOC759	Licensed Representative	9		
MACINITY	KWONG Siu To	BDI855	Licensed Representative		9	
March   Marc	LAI Ho Chuen	BIX782	Licensed Representative	3		
Marting	LAM Chi Fu	ABS052	Licensed Representative		9	
MAPPing   MAPP	LAM Chun, Wilson	AFP892	Licensed Representative	2		
MANABASE SERVICE   MATERIA   MATER	LAM Fung	AEZ198			4	
AMYON Inc.   AMYON   AMYON   AMYON   AMYON   AMYON Inc.   AMYON Inc.   Estat   Amyon Inc.   Am				4. 5. 6		
APPYIND   APPYIND   APPYIND   Accorded Proposedation   Part   P				,,,,,	2.4.5	
Marchand   Adolton   Security Representation   2   2   2   2   2   2   2   2   2						
Marcia   M					2, 5	
Mary				2		
March   Marc				_	9	
MAST Fire   Link   Vivine   MAST   Command Representation   1						
Memory   M	LAU Wing Ki Winnie	BDJ457	Licensed Representative	1	9	
Livit Shi Kin, Kerwerh         A Alleria Society Representation         2         1         1           Livit Warking         0.141         Joensel Representation         1         1,4         1           LEE Churk Win         81209         Docessel Representation         4,7         6         1           LEE Churk Win         800040         Joensel Representation         4,7         6         1           LEE Toul See         1,40         Joensel Representation         1         1         1           LEE Toul See         1,40         Joensel Representation         1         1         1           LEE Toul See         1,40         Joensel Representation         1         1         1           LEE Toul See         1,40         Joensel Representation         1         1         1           LEE Mort Chang         1,40         Joensel Representation         1 </td <td>LAU Ying Sum</td> <td>BMS676</td> <td>Licensed Representative</td> <td>1</td> <td></td>	LAU Ying Sum	BMS676	Licensed Representative	1		
LUN Yea Ming         B, MCT         Bones Representation         I. 4           LEE Chr. N°Th         B BT228         Jones Representation         I. 4           LEE Chr. N°Th         BONBS         Jones Representation         4. 9         0           LEE Christ         AMORS         Connected Representation         1         1         1           LEE Christ         AMORS         Connected Representation         1         1         1           LEE That Law         BM 4477         Jones Representation         1         1         1           LEE That Law         BM 4477         Jones Representation         2         1         4           LEE ThAT Law         AM5001         Jones Representation         2         3         2           LEE WAS LAW LAW         AM5001         Jones Representation         2         3         3           LEE WAS LAW LAW         AM5001         Jones Representation         2         3         3           LEE WAS LAW LAW         AM5001         Jones Representation         2         3         3           LEE WAS LAW LAW         AM5001         Jones Representation         3         4         4           LEE WAS LAW LAW         LEE WAS LAW LAW LAW LAW LAW LAW LAW LAW LA	LAW Fung Ling, Viola	AME281	Licensed Representative		1, 2, 4, 5	
EEE Chan Nin         BITS 300         Democal Representation         4, 9         1, 4           LEE Chan Nin         BURS 600         Loncolor Representation         4, 9         0           LEE Chan Nin         AURS 600         Loncolor Representation         1         0           LEE Konn Nic         AURS 600         Loncolor Representation         1         0           LEE Konn Nic         AURS 600         Loncolor Representation         1         0           LEE Konn Nic         AURS 600         Loncolor Representation         1         0           LEE Konn Chring         AURS 700         Loncolor Representation         2         0         0           LEUNG No Port No         BURS 600         AURS 700         Loncolor Representation         2         0         0           LEUNG No Port No         AURS 700         Loncolor Representation         2         0         1         1           LEUNG No Port No         AURS 700         Loncolor Representation         2         0         0         0           LEUNG No Port No         AURS 700         Loncolor Representation         2         0         0         0           LEUNG No Port No         AURS 700         Loncolor Representation         3         0 <td>LAW Sik Kin, Kenneth</td> <td>ABP515</td> <td>Licensed Representative</td> <td>2</td> <td></td>	LAW Sik Kin, Kenneth	ABP515	Licensed Representative	2		
EE Claim Yrn         BUD B049         Leverand Representation         4,9 d         d           LEE David         AH5060         Leverand Representation         1         1         Comment           LEE Tak Law         BM-847         Leverand Representation         1         3         1           LEE Tak Law         BM-847         Leverand Representation         1         4         9           LEE Tak Law         BM-847         Leverand Representation         1         4         9           LEE Tak Law         BM-847         Leverand Representation         1         4         9           LEE Tak Law         BM-847         Leverand Representation         2         2         2           LEE MOR Third         AM1600         Leverand Representation         2         2         3           LEE MOR Tak Tak Law         AM1607         Leverand Representation         2         2         3           LEE MOR Tak Tak         AM1607         Leverand Representation         2         4         4           LEE MOR Tak Tak         AM1607         Leverand Representation         2         4         4           LEE MOR Tak Tak         AM1607         Leverand Representation         4         4         4	LAW Wai Ming	BJI431	Licensed Representative		1, 4	
	LEE Chun Hin	BIT239	Licensed Representative		1, 4	
March   Marc	LEE Chun Yin	BMD849	Licensed Representative	4, 9	6	
EE Fax Law         BN L60/S         Concord Representation         Incl         1           LEDNG Uniforming         AX8831         Control Representation         1         3         1           LEDNG Chin Iva         AX8201         Control Representation         4         9           LEDNG Chin Iva         AX8202         Control Representation         2         3           LEDNG Tok Law         AX8202         Control Representation         1         5           LEDNG Sing Chang         AX8602         Control Representation         2         3         3           LEDNG Tok Law         AX8602         Control Representation         2         4         3           LEDNG Tok Law         BATTAN         Control Representation         2         4         4           LEDNG Tok Law         BATTAN         Control Representation         3         4         4           LEDNG Tok Law         BATTAN         Control Representation         4         9         4           LEDNG Tok Law         AX8602         Control Representation         4         9         4           LL Van James         AX8602         Control Representation         4         9         4           LL Van James         AX8602 </td <td>LEE David</td> <td>AHX965</td> <td>Licensed Representative</td> <td>1</td> <td></td>	LEE David	AHX965	Licensed Representative	1		
EUNIS GI UVering         AXR831         Centroad Representation         1         4,9           EUNIS Cin Chrung         ALESTO         Curroad Representation         1         4,9           EUNIS Cin Hing         ALHORS         Curroad Representation         1         2,9           EUNIS Cin Ming         ANDREA         Curroad Representation         1         2,3           EUNIS King Chrung         ANDREA         Curroad Representation         2         2           EUNIS King Chrung         ANDREA         Curroad Representation         2         2           EUNIS King Chrung         ANDREA         Curroad Representation         9         2           EUNIS King Chrung         BINESTO         Curroad Representation         9         1           EUNIS King Chrung         BINESTO         Curroad Representation         9         4           EUNIS King Chrung         BINESTO         Curroad Representation         4         9           EUNIS King Chrung         ANDREA         Curroad Representation         4         9           EUNIS King Chrung         ANDREA         Curroad Representation         4         9           EUNIS King Chrung         ANDREA         Curroad Representation         4         9	LEE Kwan Kit	AHX656	Licensed Representative		5	
EUR IC O'Chung         ALESTO         Consad Representative         4,0           LEUNG Chin He         BELUGE         Connect Representative         2,9           LEUNG Ho Ming         APU-202         Centred Representative         1,5           LEUNG Ka Leung         AWU764         Centred Representative         1,5           LEUNG Chung         APU6767         Connect Representative         2           LEUNG Wing Ho         BUWEZ         Connect Representative         0           LEUNG Wing Ho         BEEZZ         Connect Representative         0           LEUNG Wing Ho         BERZZ         Connect Representative         0           LU Hongi         BODOS         Connect Representative         4           LU Hongi         BOGOS         Connect Representative         4           LU Wallum         AVX8505         Connect Representative         4,0           LU Wallum         AVX8505         Connect Representative         4,0           LU Wallum         AVX8505         Connect Representative         4,0           LU Wallum         BOGOS         Connect Representative         4,0           LU Wallum         BOGOS         Connect Representative         4,0           LU Guardin         BOGOS	LEE Tak Law	BNL407	Licensed Representative		1	
EUROS Chin Ho         BED054         Leonard Representative         2,9           EURON Ka Lung         A.H3022         Leonard Representative         2,3           EURON Ka Lung         A.H3022         Leonard Representative         2,5           LEUNG Kang Chung         A.H3022         Leonard Representative         2           LEUNG Ying No         B.YM627         Leonard Representative         2           LEUNG Ying No         B.E222         Leonard Representative         9           LH Nogil         B.D0000         Leonard Representative         4         1           LH Nogil         B.D0000         Leonard Representative         4         4           LU Ka Yuan         B.P1053         Leonard Representative         4         4           LU Ka Yuan         B.P1053         Leonard Representative         4         9           LU Ka Yuan         A.D36100         Leonard Representative         4,9         4           LU Weyling         A.D36100         Leonard Representative         4,9         4           LU Weyling         A.D36100         Leonard Representative         4,9         4           LU Zaraginia         B.D4000         Leonard Representative         4,9         4           LU	LEONG U Weng	AXR831	Licensed Representative		3	
EUROS Chin Ho         BED054         Leonard Representative         2,9           EURON Ka Lung         A.H3022         Leonard Representative         2,3           EURON Ka Lung         A.H3022         Leonard Representative         2,5           LEUNG Kang Chung         A.H3022         Leonard Representative         2           LEUNG Ying No         B.YM627         Leonard Representative         2           LEUNG Ying No         B.E222         Leonard Representative         9           LH Nogil         B.D0000         Leonard Representative         4         1           LH Nogil         B.D0000         Leonard Representative         4         4           LU Ka Yuan         B.P1053         Leonard Representative         4         4           LU Ka Yuan         B.P1053         Leonard Representative         4         9           LU Ka Yuan         A.D36100         Leonard Representative         4,9         4           LU Weyling         A.D36100         Leonard Representative         4,9         4           LU Weyling         A.D36100         Leonard Representative         4,9         4           LU Zaraginia         B.D4000         Leonard Representative         4,9         4           LU	LEUNG Chi Chung	AJE370	Licensed Representative		4,9	
EURON Ho Ming         A AH022         Lemned Representative         1,5           EURON King Chung         AM1754         Lemned Representative         1,5           EURON King Chung         AM5769         Lemned Representative         2           LEURO FAI van         AP5794         Lemned Representative         2           LEURO Wing No         BSE272         Lemned Representative         9           LEURO Wing No         BSE2721         Lemned Representative         9           LU Kin Yuan         BSE2722         Lemned Representative         9           LU Kin Yuan         BSW188         Lemned Representative         4           LU Kin Yuan         AV5600         Lemned Representative         4           LU Washing         AV5600         Lemned Representative         4           LU Washing         AV5600         Lemned Representative         4           LU Groupming         BOM201         Lemned Representative         4           LU Groupming         BOW201		BEJ054				
LEUNG Ka Leung						
LEUKG King Chung         AH 6579         Loensed Representative         2 . 5           LEUNG Wing No         AP 1914         Loensed Representative         2           LEUNG Wing No         BW6272         Loensed Representative         9           LEUNG Wing No         BEE272         Loensed Representative         4           LU Hori Vinn         BN7685         Loensed Representative         4           LU Kin Vinn         BN7685         Loensed Representative         4           LU Kin Vinn         AVA610         Loensed Representative         4           LU Washing         AVA610         Loensed Representative         4           LU Washing         AVA610         Loensed Representative         4           LU Washing         AVA610         Loensed Representative         4           LU Zhangdian         AVA610         Loensed Representative         4           LU Zhangdian         BSA626         Loensed Representative         4           LU Zhangdian         BSA627         Loensed Representative         4           LU Giangdian         BSA647         Loensed Representative         1           LU Giangdian         BSA647         Loensed Representative         1           LU Giangdian         AVA6581<						
LEUNG Pul Yan   AFY194   Leoned Representative   2						
LEUNG Wing Ho         BUWEZF         Leeneed Representative         9         1           LEUNG Yin Pu         8EEZZ         Leeneed Representative         9         1           LH dryll         8DC000         Leeneed Representative         4         1           LH dryll         8DC000         Leeneed Representative         4         9           LH dryll         8DC000         Leeneed Representative         3         4,9           LH Waysang         AVR101         Leeneed Representative         3         4,9           LH Waysang         AVR102         Leeneed Representative         4         9           LH Waysang         AVR103         Leeneed Representative         4         9           LH Waysang         AVR104         Leeneed Representative         4         9           LH Waysang         AVR106         Leeneed Representative         4,9         1           LH Waysang         AVR206         Leeneed Representative         4,9         3           LH Waysang         BRAC209         Leeneed Representative         2         1           LH Greek         LEW Leeneed Representative         4         9         4           LU Waysang         BRAC209         Leeneed Representative				2	2,0	
BEE272   Corned Representative   0   0   0   0   0   0   0   0   0						
BDQ000				-		
LI Kai Yuen         BNY185         Lenad Representative         4						
Li Uk Hing Li Wal Sum AVA618 Li Wangan AVA618 Li Censed Representative A, 9 Li Wangang AVA618 Li Censed Representative A, 9 Li Wangang AVA668 Li Censed Representative AVA668 AVA668 Li Censed Representative AVA668 A						
AVA618				4		
AQR108						
Li Zaoling         AVS858         Li Censed Representative         4           Li Zhe         AXS556         Li Censed Representative         4           Li Zhenguian         BAK289         Li Censed Representative         4,9           Li Mi Guoyan         BG1925         Li Censed Representative         2           Li U Felfel         BGM914         Li Censed Representative         1           Li U Guangming         BDD671         Li Censed Representative         1           Li U Kwong Chi         AZ5665         Li Censed Representative         1           Li U Kwong Chi         AZ5667         Li Censed Representative         1           Li O Ning Yue, Sam         AS7867         Li Censed Representative         1           Li U Ginglai         AQ248         Li Censed Representative         1           Li U Ginglai         AQ248         Li Censed Representative         4,9           Li U Kwok Him         BM0744         Li Censed Representative         1           Li U Kwok Him         BCL478         Li Censed Representative         2         4           Li U Kwok Him         BR0756         Li Censed Representative         1         1           MA Di         BR0757         Li Censed Representative         4					4, 9	
Li Zhe         AX5556         Licensed Representative         4           Li Zhengxian         BAK269         Licensed Representative         4,9           Li Un Guoyan         BG1925         Licensed Representative         2           Li Un Guoyan         BGM914         Licensed Representative         2           Li Un Guoyan         BDM971         Licensed Representative         1           Li Un Kwong Chi         AZ1665         Licensed Representative         1           Li Un Xiang         BNV975         Licensed Representative         1           Li Un Xiang         AX9867         Licensed Representative         4,9           Li Un Xiang         BX0464         Licensed Representative         1         2           Li Un Xiang         BX0474         Licensed Representative         1         3           Li Un Xiang         BX0475         Licensed Representative         1         1	LI Weiyang	AQR108	Licensed Representative	4, 9		
Li Zhengsian         BAK269         Li Censed Representative         4, 9           Li N Guoyan         BGI825         Li Censed Representative         3           Li U Feifel         BGM914         Li Censed Representative         2           Li U Guangming         BDD671         Li Censed Representative         1           Li U Kwong Chi         AZI565         Li Censed Representative         4           Li U Xiang         BNV575         Li Censed Representative         1           Li O Ning Yue, Sam         ASY967         Li Censed Representative         1           Li O Ning Yue, Sam         ASY967         Li Censed Representative         1           Li U Qinglai         AOQ248         Li Censed Representative         4, 9         1           Li U Yili         BID346         Li Censed Representative         1, 2, 4, 5         1           Li U Kwok Him         BCM37         Li Censed Representative         2         4           Li U Kwok Him         BCM37         Li Censed Representative         1         3           Li Censed Representative         1         3         1           Li Censed Representative         4, 9         3         1           Li Censed Representative         1         1	LI Xiaoting	AVS858	Licensed Representative		4	
BGI925   Licensed Representative   2   2   2   2   2   2   2   2   2	LI Zhe	AXS556	Licensed Representative	4		
Liu Feifei   BGM914   Licensed Representative   2	LI Zhengxian	BAK269	Licensed Representative	4, 9		
LIU Guangming         BDD671         Licensed Representative         1           LIU Kwong Chi         AZI565         Licensed Representative         1           LIU Xiang         BNV575         Licensed Representative         1           LO Ning Yue, Sam         ASY867         Licensed Representative         4,9           LO Ning Yue, Sam         ANS310         Licensed Representative         1           LO Uning Yue, Sam         ANS310         Licensed Representative         1           LO Opportunity         BNS310         Licensed Representative         4,9         1           LO Opportunity         BND441         Licensed Representative         2         4         4           LU Kwok Him         BCL478         Licensed Representative         1         1         1           LU Opportunity         BNB0755         Licensed Representative         1         1         1           MA Ka Ki         BJW331         Licensed Representative         4,9         4	LIN Guoyan	BGI925	Licensed Representative		3	
LIU Kwong Chi	LIU Feifei	BGM914	Licensed Representative	2		
LU Xiang         BNV575         Licensed Representative         1           LO Ning Yue, Sam         ASY867         Licensed Representative         4,9           LOH Ngiap Chien, Derek         ANS310         Licensed Representative         1           LU Qinglai         AQQ248         Licensed Representative         4,9         1           LU Yili         BID346         Licensed Representative         1,2,4,5	LIU Guangming	BDD671	Licensed Representative	1		
LO Ning Yue, Sam         ASY867         Licensed Representative         4,9           LOH Ngiap Chien, Derek         ANS310         Licensed Representative         1           LU Qinglai         AQO248         Licensed Representative         4,9         1           LU Yili         BID346         Licensed Representative         1,2,4,5            LU Kam Wah         BMO744         Licensed Representative         2         4           LU I Kwok Him         BCL478         Licensed Representative         3         3           LUO Shengjie         BFU576         Licensed Representative         1            MA Di         BNB075         Licensed Representative         1            MA Ka Ki         BJW333         Licensed Representative         6            MA Man Lok, Ivan         BLE910         Licensed Representative         4,9            MAX Yanting         BJH264         Licensed Representative         4            MAK Kwok Kei         AWH798         Licensed Representative         4            MAK Pok Yin         BMY568         Licensed Representative         4            MAO Zhengguang         BNG437         Lice	LIU Kwong Chi	AZI565	Licensed Representative		4	
LOH Ngiap Chien, Derek  ANS310 Licensed Representative 4, 9 1 LU Qinglai AQO248 Licensed Representative 1, 2, 4, 5  LU Yili BID346 Licensed Representative 1, 2, 4, 5  LU Kam Wah BMO744 Licensed Representative 2 4 LU Kwok Him BCL478 Licensed Representative 3 LUO Shengjie BFU576 Licensed Representative 1 MA Di BNB075 Licensed Representative 1 MA Ka Ki BJW333 Licensed Representative 6 MA Man Lok, Ivan BLE910 Licensed Representative 4, 9  MAY Yanting BJH264 Licensed Representative 4 MAK Kwok Kei AWH798 Licensed Representative 4 MAK Pok Yin BMY568 Licensed Representative 4 MAK OZ Phengguang BNG437 Licensed Representative 4 MAK OZ Phengguang	LIU Xiang	BNV575	Licensed Representative	1		
LU Qinglai       AQ0248       Licensed Representative       4, 9       1         LU Yili       BID346       Licensed Representative       1, 2, 4, 5         LUI Kam Wah       BM0744       Licensed Representative       2       4         LUI Kwok Him       BCL478       Licensed Representative       3         LUO Shengjie       BFU576       Licensed Representative       1         MA Di       BNB075       Licensed Representative       1         MA Ka Ki       BJW333       Licensed Representative       6         MA Man Lok, Ivan       BLE910       Licensed Representative       4, 9         MAY Yanting       BJH264       Licensed Representative       4         MAK Kwok Kei       AWH798       Licensed Representative       4         MAK Pok Yin       BMY568       Licensed Representative       4         MAO Zhengguang       BNG437       Licensed Representative       4	LO Ning Yue, Sam	ASY867	Licensed Representative		4, 9	
LU Yili         BID346         Licensed Representative         1, 2, 4, 5           LUI Kam Wah         BMO744         Licensed Representative         2         4           LUI Kwok Him         BCL478         Licensed Representative         3         3           LUO Shengjie         BFU576         Licensed Representative         1         1           MA Di         BNB075         Licensed Representative         1         4           MA Ka Ki         BJW333         Licensed Representative         6         4           MA Man Lok, Ivan         BLE910         Licensed Representative         4, 9         4           MAY Yanting         BJH264         Licensed Representative         4         4           MAK Kwok Kei         AWH798         Licensed Representative         4         4           MAK Pok Yin         BMY568         Licensed Representative         4         4           MAO Zhengguang         BNG437         Licensed Representative         4         4	LOH Ngiap Chien, Derek	ANS310	Licensed Representative	1		
LU Yili         BID346         Licensed Representative         1, 2, 4, 5           LUI Kam Wah         BMO744         Licensed Representative         2         4           LUI Kwok Him         BCL478         Licensed Representative         3         3           LUO Shengjie         BFU576         Licensed Representative         1         1           MA Di         BNB075         Licensed Representative         1         1           MA Ka Ki         BJW333         Licensed Representative         6         6           MA Man Lok, Ivan         BLE910         Licensed Representative         4, 9         1           MAY Yanting         BJH264         Licensed Representative         4         4           MAK Kwok Kei         AWH798         Licensed Representative         4         4           MAK Pok Yin         BMY568         Licensed Representative         4         4           MAO Zhengguang         BNG437         Licensed Representative         4         4	LU Qinglai	AQO248	Licensed Representative	4, 9	1	
LUI Kam Wah         BM0744         Licensed Representative         2         4           LUI Kwok Him         BCL478         Licensed Representative         3           LUO Shengjie         BFU576         Licensed Representative         1           MA Di         BNB075         Licensed Representative         1           MA Ka Ki         BJW333         Licensed Representative         6           MA Man Lok, Ivan         BLE910         Licensed Representative         4, 9           MAY Yanting         BJH264         Licensed Representative         4           MAK Kwok Kei         AWH798         Licensed Representative         4           MAK Pok Yin         BMY568         Licensed Representative         4           MAO Zhengguang         BNG437         Licensed Representative         4	LU Yili	BID346		1, 2, 4, 5		
LUI Kwok Him         BCL478         Licensed Representative         3           LUO Shengjie         BFU576         Licensed Representative         1           MA Di         BNB075         Licensed Representative         1           MA Ka Ki         BJW333         Licensed Representative         6           MA Man Lok, Ivan         BLE910         Licensed Representative         4, 9           MAY Yanting         BJH264         Licensed Representative         4           MAK Kwok Kei         AWH798         Licensed Representative         4           MAK Pok Yin         BMY568         Licensed Representative         4           MAO Zhengguang         BNG437         Licensed Representative         4	LUI Kam Wah				4	
LUO Shengjie         BFU576         Licensed Representative         1           MA Di         BNB075         Licensed Representative         1           MA Ka Ki         BJW333         Licensed Representative         6           MA Man Lok, Ivan         BLE910         Licensed Representative         4, 9           MA Yanting         BJH264         Licensed Representative         4           MAK Kwok Kei         AWH798         Licensed Representative         4           MAK Pok Yin         BMY568         Licensed Representative         1           MAO Zhengguang         BNG437         Licensed Representative         4	LUI Kwok Him				3	
MA Di         BNB075         Licensed Representative         1           MA Ka Ki         BJW333         Licensed Representative         6           MA Man Lok, Ivan         BLE910         Licensed Representative         4, 9           MA Yanting         BJH264         Licensed Representative         4           MAK Kwok Kei         AWH798         Licensed Representative         4           MAK Pok Yin         BMY568         Licensed Representative         1           MAO Zhengguang         BNG437         Licensed Representative         4						
MA Ka Ki         BJW333         Licensed Representative         6           MA Man Lok, Ivan         BLE910         Licensed Representative         4, 9           MA Yanting         BJH264         Licensed Representative         4           MAK Kwok Kei         AWH798         Licensed Representative         4           MAK Pok Yin         BMY568         Licensed Representative         1           MAO Zhengguang         BNG437         Licensed Representative         4				1		
MA Man Lok, Ivan         BLE910         Licensed Representative         4, 9           MA Yanting         BJH264         Licensed Representative         4           MAK Kwok Kei         AWH798         Licensed Representative         4           MAK Pok Yin         BMY568         Licensed Representative         1           MAO Zhengguang         BNG437         Licensed Representative         4				•		
MA Yanting         BJH264         Licensed Representative         4           MAK Kwok Kei         AWH798         Licensed Representative         4           MAK Pok Yin         BMY568         Licensed Representative         1           MAO Zhengguang         BNG437         Licensed Representative         4						
MAK Kwok Kei         AWH798         Licensed Representative         4           MAK Pok Yin         BMY568         Licensed Representative         1           MAO Zhengguang         BNG437         Licensed Representative         4						
MAK Pok Yin         BMY568         Licensed Representative         1           MAO Zhengguang         BNG437         Licensed Representative         4				4		
MAO Zhengguang BNG437 Licensed Representative 4					4	
					1	
MO XIANJUE BOG034 Licensed Representative 2	MAO Zhengguang			1		
	MO XIANJUE	BOG034	Licensed Representative	2		



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2019					
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed	
NG Chi Him, Sam	ANQ542	Licensed Representative		1, 4	
NG Ka Kin	ACF722	Licensed Representative	9	1, 4	
NG Pak Him	BDQ104	Licensed Representative	9		
NG Ping Kin	BLL568	Licensed Representative	9		
NG Sock Meng, Doris	ALL164	Licensed Representative	1, 9		
NG Wang Leung	AEJ671	Licensed Representative	4		
NIU Anan	BLR397	Licensed Representative	2		
PAK Tsz Hin	ARO895	Licensed Representative	4, 9		
PARODI Roger Marco	BMN475	Licensed Representative	1		
			1		
POK Ming Kai	BGB603	Licensed Representative			
POON Lok Ming, Louis	AFO678	Licensed Representative	9	1	
QIAN Chen	BHM199	Licensed Representative	9	4, 6	
QIAN Linjuan	AYE750	Licensed Representative	6		
SALONGA Benjamin III Puzon	BGB038	Licensed Representative		2	
SANO Yoichiro	AHD385	Licensed Representative	4	2	
SEE Lee Seng, Reason	AAV138	Licensed Representative		5, 6	
SHI Bohu	BOA222	Licensed Representative		6	
SHU Liangfeng	BGB337	Licensed Representative	6	4, 9	
SIN Man Kai	ANB147	Licensed Representative		6, 9	
SKURR Paul Thomas	AQS669	Licensed Representative	2		
SO Nga Ting, Bernadette	AVY580	Licensed Representative		4	
SU Wei	AGN518	Licensed Representative		4	
SUN Qianru	BKL528	Licensed Representative	1		
SUN Qirong	BNR195	Licensed Representative		9	
SUNG Chi Fai	AIL155	Licensed Representative	3		
TAM Kevin	AUX305	Licensed Representative	2		
TAM Nelson Kai Him	BMI052	Licensed Representative	1, 4		
	AWV422	Licensed Representative	1,4	1	
TAN Ping Chung  TAN Min Chern Elin	BJS928		7	2	
		Licensed Representative			
TANG Wai Lun	BLJ139	Licensed Representative	9	1	
TANG Weng Wa	ALV095	Licensed Representative	9		
TANG Ying	AKY520	Licensed Representative	1, 2		
TONG Siu Ping, Katherine	AJJ670	Licensed Representative	9		
TOSCANI Tyler Russel	BNP525	Licensed Representative	4, 9		
TSANG Cheuk Man	BJU606	Licensed Representative	9		
TSE Shing Chi	BAK932	Licensed Representative	9		
TSENG Po Ming	BAY473	Licensed Representative	4		
VAES Christophe	AZX119	Licensed Representative		2	
WANG Dawei	BGL038	Licensed Representative	1		
WANG Feng	ARB922	Licensed Representative		1, 4	
WANG Huiling	BIC463	Licensed Representative	1		
WANG Lidong	BNJ936	Licensed Representative		4	
WANG Shoulei	BDF251	Licensed Representative	6		
WANG Yan	BOA764	Licensed Representative	1, 2, 5		
WEI Chao	BNO688	Licensed Representative	4		
WEI Yun	BKZ835	Licensed Representative	2		
WONG Kai Chung Roger	BHR969	Licensed Representative		1	
WONG Chi Hang	BJK881	Licensed Representative		4	
WONG Chi Yeung, Jackson	AHT199	Licensed Representative		1, 2	
WONG Kin Chung	AXG433	Licensed Representative	4		
WONG Kin Chung WONG Kung Wing Elroy	BIK703	Licensed Representative	4		
			1		
WONG Pik Yan	BHQ193	Licensed Representative			
WONG Shing Kit, Shirley	APL786	Licensed Representative	1, 2		
WONG Shun Hing	AOH005	Licensed Representative		4	
WONG Siu Kee	AAF594	Licensed Representative		2	
WONG Tin Chun	BIN699	Licensed Representative		9	
WONG Tze Wai	BNE608	Licensed Representative	1		
WONG Yim Chun	AEV053	Licensed Representative	1		
WOO Wing Hay, Eddie	AAB994	Licensed Representative	4		



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2019					
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed	
WU Shanle	ASK728	Licensed Representative	9	4	
WU William Wai Leung	AFB066	Licensed Representative		1	
WU Yi-Ping	BFN192	Licensed Representative	4		
XU Jian	BJX378	Licensed Representative	1, 9		
XU Xiao	BNM996	Licensed Representative	2		
YAN Dong	BNP764	Licensed Representative		4	
YAN Qianfeng	BAX589	Licensed Representative		2, 5	
YANG Geng	AWW234	Licensed Representative		1	
YANG Hsin-Jung	AOC859	Licensed Representative	3		
YANG James Ting-Yeh	AEX752	Licensed Representative		1, 6	
YANG Shu Hui			4.0	2	
	BJG892	Licensed Representative	4, 9		
YANG Zixiao	BJN959	Licensed Representative		1	
YAO Sheng	ALL051	Licensed Representative		4	
YAP Byron	BHK686	Licensed Representative	2	4, 9	
YEUNG Kin Pan	AOI865	Licensed Representative	4, 9		
YEUNG Ming Loong	AZC300	Licensed Representative	1		
YIM Peter	ACB564	Licensed Representative		4	
YOON Jerry Chan	AHA576	Licensed Representative	2		
YOUL Gordon Phillip	APB617	Licensed Representative	4		
YU Qining	BKT367	Licensed Representative		6	
YU Xin	BMV558	Licensed Representative	1, 4		
YUNG Siu Wa	BFR053	Licensed Representative		1	
ZHANG Fan	AUT824	Licensed Representative	1		
ZHANG Li	BHX883	Licensed Representative	4		
ZHANG Lu	BAN087	Licensed Representative		4	
ZHANG Yue	BLS909	Licensed Representative	9	-	
ZHANG Yundi	BHO980	Licensed Representative	1		
ZHOU Yang	BNJ698	Licensed Representative		3	
ZHOU Yun	BGL129	Licensed Representative		4	
CHAN Pok Man	BCU785	Licensed Representative - Responsible Officer	4		
CHANG Ho Wan	AUH451	Licensed Representative - Responsible Officer	9		
CHENG Man Kwong	AXL920	Licensed Representative - Responsible Officer	9		
CHENG Ming Chun	BEU986	Licensed Representative - Responsible Officer	4		
CHEUNG Sai Sai	APP369	Licensed Representative - Responsible Officer	2		
CHO Yu Kwan, Stephen	ACO193	Licensed Representative - Responsible Officer	4		
CHUNG Chi Man	AKQ242	Licensed Representative - Responsible Officer	6		
CHUNG Kok Fook	ASY849	Licensed Representative - Responsible Officer	4		
CLARK Kelly Kent	ARK432	Licensed Representative - Responsible Officer	2		
DE MASCAREL DE LA CORBIERE Nicolas Charles Philippe	BCG185	Licensed Representative - Responsible Officer	9		
FONG Tsun Kuen, Jimmy	AAB565	Licensed Representative - Responsible Officer	4		
FU Wai Man	ABH654	Licensed Representative - Responsible Officer	9		
HO Tak Yiu, Edmund	AHA804	Licensed Representative - Responsible Officer	1		
HUI Nga Ying	AMU039	Licensed Representative - Responsible Officer	9		
IP Hon Tak	AQJ001	Licensed Representative - Responsible Officer	4		
KASHYAP Sunil		Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer			
	AVF021		1, 4		
KELLY Victoria Jeane	BFB079	Licensed Representative - Responsible Officer	2		
LAM Chun Shing Jerry	BJK930	Licensed Representative - Responsible Officer	4, 9		
LAM Yuk Kan, Ester	APY313	Licensed Representative - Responsible Officer	4		
LAO Woon Yu, Susan	ADF381	Licensed Representative - Responsible Officer	1, 4		
LAU Tak Yan	APF933	Licensed Representative - Responsible Officer	4		
LAU Yiu Bun	BDI052	Licensed Representative - Responsible Officer	4		
LEUNG Ho Ming	AJH302	Licensed Representative - Responsible Officer	4		
LEUNG Man Chor	AAV368	Licensed Representative - Responsible Officer		4	
LI Binnan	BMT388	Licensed Representative - Responsible Officer	6		
LI Guangyu	BBE663	Licensed Representative - Responsible Officer	1		
LI Pou Hing, Paul	ACP182	Licensed Representative - Responsible Officer	4		
LI Zhifeng	BAI763	Licensed Representative - Responsible Officer	4		
LIM Tak Hung	AXP028	Licensed Representative - Responsible Officer	2		
LUI Wing Ho, Adrian Kim	AJB743	Licensed Representative - Responsible Officer	2		
Lot may no, ranan min	7.00743	Licensed Representative - Responsible Officer	-		



CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LUO Luyi	BEB247	Licensed Representative - Responsible Officer	4	
MAGIC Rie	AOY582	Licensed Representative - Responsible Officer	1	
NG Ching Ho	AXI359	Licensed Representative - Responsible Officer	4	
QIU Yizhou	BJP012	Licensed Representative - Responsible Officer	2, 5, 9	
RAMSAY James Thomas	AVD143	Licensed Representative - Responsible Officer	5	
ROSENSWEIG William Ernest	ARP643	Licensed Representative - Responsible Officer		1
SHU Shan	ATY750	Licensed Representative - Responsible Officer	4	
TAM Shing Tak	AUI999	Licensed Representative - Responsible Officer	9	
TANG Thomas Yaw Fay	ARH348	Licensed Representative - Responsible Officer	1	
WANG Yanlong	ANH075	Licensed Representative - Responsible Officer	2, 6	
WESTON Simon Michael	AVU839	Licensed Representative - Responsible Officer	2	
WONG Chi Yeung, Jackson	AHT199	Licensed Representative - Responsible Officer	9	
WONG Ka Chun	BAI761	Licensed Representative - Responsible Officer	4, 5	
WONG Man Tai	BGY959	Licensed Representative - Responsible Officer	4, 9	
WONG Sze Man	AQH860	Licensed Representative - Responsible Officer	2	
WOO Lai Ping	ADW260	Licensed Representative - Responsible Officer	4	
WU Ngai Pan	AWO869	Licensed Representative - Responsible Officer	4	
WU Xiangjun	BEL575	Licensed Representative - Responsible Officer	1	
WU Yanlin	BMQ608	Licensed Representative - Responsible Officer	9	
WU Yi Shun, Josephine	ATT236	Licensed Representative - Responsible Officer	4	
XU Jianming	BHR630	Licensed Representative - Responsible Officer	5	
YANG Shuenn-yuh	AVA597	Licensed Representative - Responsible Officer	4	
YING Tak Sun Nelson	AMY780	Licensed Representative - Responsible Officer	1, 4, 9	
YU Xiaomei	AKS651	Licensed Representative - Responsible Officer	4	
ZHANG Honghe	BMQ612	Licensed Representative - Responsible Officer	9	
ZHANG Yeshuang	BHD212	Licensed Representative - Responsible Officer	1	
ZHOU Xiangyong	BFJ729	Licensed Representative - Responsible Officer	1	
LAU Chi Chiu, Terry	AUQ993	Licensed Representative (Provisional Licence)	2	