

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2019

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
ADMIS Hong Kong Limited	ACP509	Licensed Corporation		3
BaoQiao Partners Securities (HK) Limited	AEU761	Licensed Corporation	4	
Business Securities Limited	AEF425	Licensed Corporation	4	
CITIC Capital SIF Advisory Limited	ANE045	Licensed Corporation	1	
FIL Investment Management (Hong Kong) Limited	AAG408	Licensed Corporation	2	
Guotai Global Investments Limited	BEL572	Licensed Corporation	1	
Win Wind Securities Limited	ACZ283	Licensed Corporation	2	
AU YONG Haw Rer	BGT189	Licensed Representative		4
AZZOUZ Hecham	AVS590	Licensed Representative	9	1, 2
CAI Linzhi	BHH998	Licensed Representative	9	
CAI Siqi	BAC045	Licensed Representative	9	
CHAI Xuebei	AYM185	Licensed Representative	9	
CHAI Yiqi	BOA221	Licensed Representative		1
CHAN Chi Hung	AAB402	Licensed Representative	9	
CHAN Chun Hin	BCO771	Licensed Representative	9	1, 2
CHAN Chun Yin	BAF372	Licensed Representative	1	
CHAN Hop Mo	ASO653	Licensed Representative		5
CHAN Ka Fung	BJG131	Licensed Representative	2	
CHAN Ka Kam	BDM048	Licensed Representative	4, 9	2
CHAN Ka Ming	AHM935	Licensed Representative		4
CHAN Siu Lung	BLN445	Licensed Representative	3, 4, 5	
CHAN Tai Yu	AWI794	Licensed Representative	1, 4	
CHAN Yee Hoi	AAK836	Licensed Representative		2
CHAN Yuk Nam	AZL605	Licensed Representative		1
CHANG Ioi Tim	ADZ296	Licensed Representative		4
CHANG Jason	AOR636	Licensed Representative	4	
CHANG Nga Wai	BHT302	Licensed Representative		4
CHANG Vivian Ming-yin	ASI105	Licensed Representative		4
CHANG Yung Kang, Peter	AGU143	Licensed Representative		4
CHAPMAN Sophie Shufei	BMT331	Licensed Representative	2	6
CHAU Fai	APY543	Licensed Representative		1
CHAU Kin Sun	AQP754	Licensed Representative		2
CHEN Jiabin	BGG784	Licensed Representative	2	
CHEN Lai Shan, Idy	BFA212	Licensed Representative		4, 6
CHEN Senlin	BCJ309	Licensed Representative		1
CHEN Shizhe	BMR357	Licensed Representative	6	
CHEN Song	BIE866	Licensed Representative	4	
CHEN Stanley	APU255	Licensed Representative	1, 4	
CHEN Wenhan	APF628	Licensed Representative	1, 4, 6	10
CHEN Yalei	ARW897	Licensed Representative	4	9
CHEN Yu Shuan	BKP273	Licensed Representative	4	
CHENG Chi Keung	BIC344	Licensed Representative		4
CHENG Sai Wa	AXM173	Licensed Representative	1	
CHENG Shun Tin	AQL400	Licensed Representative		2
CHENG Tak Choi	ADO907	Licensed Representative	2	
CHENG Wei Han	AXH203	Licensed Representative	4	6
CHENG Yiu Chung	BLI138	Licensed Representative	9	
CHEUK Chin Ting	BFP184	Licensed Representative	6	
CHEUNG Wai Hung	AUN717	Licensed Representative		1
CHEUNG Yun Tim	ASO238	Licensed Representative		2
CHIA Janice Jhet Yen	AOY762	Licensed Representative		6
CHONG Ka Shing	BLO845	Licensed Representative	5	
CHONG Siu Yin, Dominic	BNG839	Licensed Representative	4	6
CHOU Chun Lam	AMC653	Licensed Representative		1, 2
CHOW Man Ting	BNH477	Licensed Representative	2	
CHOW Sing Man	AQY466	Licensed Representative	3	
CHOY Kwong Wa, Christopher	AAK430	Licensed Representative	1	
CHUI Loong Chik, Nelson	AGP035	Licensed Representative		4
CHUNG Man Him, James	ANK917	Licensed Representative		1

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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CHUNG Yi-Chun	BJY659	Licensed Representative	4	
COLLARD Jeremy David	AJC639	Licensed Representative	1	
DELAFORCE Jack James	BHU899	Licensed Representative	9	2, 5
DENG Hefei	ATW716	Licensed Representative	9	4
DENG Yuqun	BGG959	Licensed Representative	1	
DYER Michael John	ANH060	Licensed Representative		4
FAN Xinyun	BNE419	Licensed Representative	1	
FONG Hing	BBF237	Licensed Representative	4	
FONG Kam Cheung, Patrick	AMQ876	Licensed Representative		1
FU Kaidi	BGG167	Licensed Representative	9	4
FUNG Koon Wah	AFZ881	Licensed Representative		1
GAO Wei	BNH459	Licensed Representative	9	
GLUECK Franz Ismael	AZH650	Licensed Representative	1, 4	
GUO Jingning	BNL416	Licensed Representative	2	
GUO Rui	BNG888	Licensed Representative	2, 4	
HAN Feng	AZR574	Licensed Representative		4
HO Duncan	BBZ848	Licensed Representative	1	
HO Hoe Kay	AZR704	Licensed Representative		2, 5
HO Ka Huen	ARG881	Licensed Representative	1	
HOU Yanni	BKD164	Licensed Representative	4	
HU CHAO	BLZ388	Licensed Representative	1	
HU Linglin	BDU275	Licensed Representative		6
HU Yanmo	BKZ666	Licensed Representative		6
HUANG Chen	AQM708	Licensed Representative	1	
HUANG Chin	BHY493	Licensed Representative	4	
HUANG Chun-chieh	ATC043	Licensed Representative		4
HUANG Minghong	BES185	Licensed Representative	9	
HUANG Zhongliuchang	BDY929	Licensed Representative	4, 9	
HUI Chuk Kwan	ASN777	Licensed Representative		2
HUI On Ki	AVS799	Licensed Representative	9	1
HUI Sze Tat	BNV085	Licensed Representative	3	
HWANG Chan Young	AMY257	Licensed Representative	1	4
IE Kuen Ning Natalie	BDH695	Licensed Representative	1, 4	9
IP Yu Pang	ACH676	Licensed Representative		2
JHANG Sin-Lun	ATH710	Licensed Representative		2
JIA Jia	BFG487	Licensed Representative	1	4, 9
JIANG Jiabin	BES117	Licensed Representative	1	9
JIANG Jihao	BJG860	Licensed Representative	1, 6	
JIN Weiwei	BUJ966	Licensed Representative		9
JONES Richard Evan	AEC527	Licensed Representative	9	
JUNG Joo Ho	BAF833	Licensed Representative		9
KAN Siu Chung	BFJ639	Licensed Representative		1
KANG Jingwen	BGY853	Licensed Representative		4
KAO Ying Tung	ABF327	Licensed Representative		4
KEDIA Mayank	AOV659	Licensed Representative	9	1, 2
KHO Garrett Tristan Arnaldo	AZL779	Licensed Representative	7	
KIM Ji Woong	BHH493	Licensed Representative		1, 2, 4
KO Tin Ho	ARF645	Licensed Representative		1
KOH Kwing Chang, Peter	AAA503	Licensed Representative	9	1
KONG Pik Suen, Jessica	ANB753	Licensed Representative		4
KU Chung	BNV246	Licensed Representative	1	
KUNG Wai Ling	AEU211	Licensed Representative		1
KWAI Ni	ALV039	Licensed Representative		1, 4
KWAN Chi Hang	AGL438	Licensed Representative		4
KWAN Sing Yan	BKR621	Licensed Representative	2	
KWOK Ching Leong	BMR958	Licensed Representative	1	
KWOK Chun Ki, Jacky	ATT300	Licensed Representative	4	
KWOK Shu Tin	AGN785	Licensed Representative	4	
KWOK Wai Shun	AFM346	Licensed Representative		1

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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
KWONG Siu Chung	AOC759	Licensed Representative	9	
KWONG Siu To	BDI855	Licensed Representative		9
LAI Ho Chuen	BIX782	Licensed Representative	3	
LAM Chi Fu	ABS052	Licensed Representative		9
LAM Chun, Wilson	AFP892	Licensed Representative	2	
LAM Fung	AEZ198	Licensed Representative		4
LAM Leung Sang Andrew	BHF740	Licensed Representative	4, 5, 6	
LAM Wai Piu	ACF495	Licensed Representative		2, 4, 5
LAM Yuk Kan, Ester	APY313	Licensed Representative		2, 9
LAU Chun Hang	AQI516	Licensed Representative	2	
LAU Hei Man	AUK451	Licensed Representative		9
LAU Loi Tat	BOA229	Licensed Representative	2	
LAU Wing Ki Winnie	BDJ457	Licensed Representative	1	9
LAU Ying Sum	BMS676	Licensed Representative	1	
LAW Fung Ling, Viola	AME281	Licensed Representative		1, 2, 4, 5
LAW Sik Kin, Kenneth	ABP515	Licensed Representative	2	
LAW Wai Ming	BJI431	Licensed Representative		1, 4
LEE Chun Hin	BIT239	Licensed Representative		1, 4
LEE Chun Yin	BMD849	Licensed Representative	4, 9	6
LEE David	AHX965	Licensed Representative	1	
LEE Kwan Kit	AHX656	Licensed Representative		5
LEE Tak Law	BNL407	Licensed Representative		1
LEONG U Weng	AXR831	Licensed Representative		3
LEUNG Chi Chung	AJE370	Licensed Representative		4, 9
LEUNG Chin Ho	BEJ054	Licensed Representative		2, 9
LEUNG Ho Ming	AJH302	Licensed Representative		2, 3
LEUNG Ka Leung	AWJ764	Licensed Representative		1, 5
LEUNG King Chung	AHG579	Licensed Representative		2, 5
LEUNG Pui Yan	AFY194	Licensed Representative	2	
LEUNG Wing Ho	BJW627	Licensed Representative	9	
LEUNG Yin Piu	BEE272	Licensed Representative	9	
LI Hongli	BDQ909	Licensed Representative	4	
LI Kai Yuen	BNY185	Licensed Representative	4	
LI Lok Hing	BIQ838	Licensed Representative		4, 9
LI Wai Sum	AVA618	Licensed Representative	3	4, 9
LI Weiyang	AQR108	Licensed Representative	4, 9	
LI Xiaoting	AVS858	Licensed Representative		4
LI Zhe	AXS556	Licensed Representative	4	
LI Zhengxian	BAK269	Licensed Representative	4, 9	
LIN Guoyan	BGI925	Licensed Representative		3
LIU Feifei	BGM914	Licensed Representative	2	
LIU Guangming	BDD671	Licensed Representative	1	
LIU Kwong Chi	AZI565	Licensed Representative		4
LIU Xiang	BNV575	Licensed Representative	1	
LO Ning Yue, Sam	ASY867	Licensed Representative		4, 9
LOH Ngiap Chien, Derek	ANS310	Licensed Representative	1	
LU Qinglai	AQO248	Licensed Representative	4, 9	1
LU Yili	BID346	Licensed Representative	1, 2, 4, 5	
LUI Kam Wah	BMO744	Licensed Representative	2	4
LUI Kwok Him	BCL478	Licensed Representative		3
LUO Shengjie	BFU576	Licensed Representative		1
MA Di	BNB075	Licensed Representative	1	
MA Ka Ki	BJW333	Licensed Representative	6	
MA Man Lok, Ivan	BLE910	Licensed Representative	4, 9	
MA Yanting	BJH264	Licensed Representative	4	
MAK Kwok Kei	AWH798	Licensed Representative		4
MAK Pok Yin	BMV568	Licensed Representative		1
MAO Zhengguang	BNG437	Licensed Representative	4	
MO XIANJUE	BOG034	Licensed Representative	2	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2019

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
NG Chi Him, Sam	ANQ542	Licensed Representative		1, 4
NG Ka Kin	ACF722	Licensed Representative	9	1, 4
NG Pak Him	BDQ104	Licensed Representative	9	
NG Ping Kin	BLL568	Licensed Representative	9	
NG Sock Meng, Doris	ALL164	Licensed Representative	1, 9	
NG Wang Leung	AEJ671	Licensed Representative	4	
NIU Anan	BLR397	Licensed Representative	2	
PAK Tsz Hin	ARO895	Licensed Representative	4, 9	
PARODI Roger Marco	BMN475	Licensed Representative	1	
POK Ming Kai	BGB603	Licensed Representative	1	
POON Lok Ming, Louis	AFO678	Licensed Representative	9	1
QIAN Chen	BHM199	Licensed Representative	9	4, 6
QIAN Linjuan	AYE750	Licensed Representative	6	
SALONGA Benjamin III Puzon	BGB038	Licensed Representative		2
SANO Yoichiro	AHD385	Licensed Representative	4	2
SEE Lee Seng, Reason	AAV138	Licensed Representative		5, 6
SHI Bohu	BOA222	Licensed Representative		6
SHU Liangfeng	BGB337	Licensed Representative	6	4, 9
SIN Man Kai	ANB147	Licensed Representative		6, 9
SKURR Paul Thomas	AQS669	Licensed Representative	2	
SO Nga Ting, Bernadette	AVY580	Licensed Representative		4
SU Wei	AGN518	Licensed Representative		4
SUN Qianru	BKL528	Licensed Representative	1	
SUN Qirong	BNR195	Licensed Representative		9
SUNG Chi Fai	ALL155	Licensed Representative	3	
TAM Kevin	AUX305	Licensed Representative	2	
TAM Nelson Kai Him	BM052	Licensed Representative	1, 4	
TAM Ping Chung	AWV422	Licensed Representative		1
TAN Min Chern Elin	BJS928	Licensed Representative	7	2
TANG Wai Lun	BLJ139	Licensed Representative	9	1
TANG Weng Wa	ALV095	Licensed Representative	9	
TANG Ying	AKY520	Licensed Representative	1, 2	
TONG Siu Ping, Katherine	AJJ670	Licensed Representative	9	
TOSCANI Tyler Russel	BNP525	Licensed Representative	4, 9	
TSANG Cheuk Man	BJU606	Licensed Representative	9	
TSE Shing Chi	BAK932	Licensed Representative	9	
TSENG Po Ming	BAY473	Licensed Representative	4	
VAES Christophe	AZX119	Licensed Representative		2
WANG Dawei	BGL038	Licensed Representative	1	
WANG Feng	ARB922	Licensed Representative		1, 4
WANG Huijing	BIC463	Licensed Representative	1	
WANG Lidong	BNJ936	Licensed Representative		4
WANG Shoulei	BDF251	Licensed Representative	6	
WANG Yan	BOA764	Licensed Representative	1, 2, 5	
WEI Chao	BNO688	Licensed Representative	4	
WEI Yun	BKZ835	Licensed Representative	2	
WONG Kai Chung Roger	BHR969	Licensed Representative		1
WONG Chi Hang	BJK881	Licensed Representative		4
WONG Chi Yeung, Jackson	AHT199	Licensed Representative		1, 2
WONG Kin Chung	AXG433	Licensed Representative	4	
WONG Kung Wing Elroy	BIK703	Licensed Representative	4	
WONG Pik Yan	BHQ193	Licensed Representative	1	
WONG Shing Kit, Shirley	APL786	Licensed Representative	1, 2	
WONG Shun Hing	AOH005	Licensed Representative		4
WONG Siu Kee	AAF594	Licensed Representative		2
WONG Tin Chun	BIN699	Licensed Representative		9
WONG Tze Wai	BNE608	Licensed Representative	1	
WONG Yim Chun	AEV053	Licensed Representative	1	
WOO Wing Hay, Eddie	AAB994	Licensed Representative	4	

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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
WU Shanle	ASK728	Licensed Representative	9	4
WU William Wai Leung	AFB066	Licensed Representative		1
WU Yi-Ping	BFN192	Licensed Representative	4	
XU Jian	BJX378	Licensed Representative	1, 9	
XU Xiao	BNM996	Licensed Representative	2	
YAN Dong	BNP764	Licensed Representative		4
YAN Qianfeng	BAX589	Licensed Representative		2, 5
YANG Geng	AWW234	Licensed Representative		1
YANG Hsin-Jung	AOC859	Licensed Representative	3	
YANG James Ting-Yeh	AEX752	Licensed Representative		1, 6
YANG Shu Hui	BJG892	Licensed Representative	4, 9	2
YANG Zixiao	BJN959	Licensed Representative		1
YAO Sheng	ALL051	Licensed Representative		4
YAP Byron	BHK686	Licensed Representative	2	4, 9
YEUNG Kin Pan	AOI865	Licensed Representative	4, 9	
YEUNG Ming Loong	AZC300	Licensed Representative	1	
YIM Peter	ACB564	Licensed Representative		4
YOON Jerry Chan	AHA576	Licensed Representative	2	
YOUL Gordon Phillip	APB617	Licensed Representative	4	
YU Qining	BKT367	Licensed Representative		6
YU Xin	BMV558	Licensed Representative	1, 4	
YUNG Siu Wa	BFR053	Licensed Representative		1
ZHANG Fan	AUT824	Licensed Representative	1	
ZHANG Li	BHX883	Licensed Representative	4	
ZHANG Lu	BAN087	Licensed Representative		4
ZHANG Yue	BLS909	Licensed Representative	9	
ZHANG Yundi	BHO980	Licensed Representative	1	
ZHOU Yang	BNJ698	Licensed Representative		3
ZHOU Yun	BGL129	Licensed Representative		4
CHAN Pok Man	BCU785	Licensed Representative - Responsible Officer	4	
CHANG Ho Wan	AUH451	Licensed Representative - Responsible Officer	9	
CHENG Man Kwong	AXL920	Licensed Representative - Responsible Officer	9	
CHENG Ming Chun	BEU986	Licensed Representative - Responsible Officer	4	
CHEUNG Sai Sai	APP369	Licensed Representative - Responsible Officer	2	
CHO Yu Kwan, Stephen	ACO193	Licensed Representative - Responsible Officer	4	
CHUNG Chi Man	AKQ242	Licensed Representative - Responsible Officer	6	
CHUNG Kok Fook	ASY849	Licensed Representative - Responsible Officer	4	
CLARK Kelly Kent	ARK432	Licensed Representative - Responsible Officer	2	
DE MASCAREL DE LA CORBIERE Nicolas Charles Philippe	BCG185	Licensed Representative - Responsible Officer	9	
FONG Tsun Kuen, Jimmy	AAB565	Licensed Representative - Responsible Officer	4	
FU Wai Man	ABH654	Licensed Representative - Responsible Officer	9	
HO Tak Yiu, Edmund	AHA804	Licensed Representative - Responsible Officer	1	
HUI Nga Ying	AMU039	Licensed Representative - Responsible Officer	9	
IP Hon Tak	AQJ001	Licensed Representative - Responsible Officer	4	
KASHYAP Sunil	AVF021	Licensed Representative - Responsible Officer	1, 4	
KELLY Victoria Jeane	BFB079	Licensed Representative - Responsible Officer	2	
LAM Chun Shing Jerry	BJK930	Licensed Representative - Responsible Officer	4, 9	
LAM Yuk Kan, Ester	APY313	Licensed Representative - Responsible Officer	4	
LAO Woon Yu, Susan	ADF381	Licensed Representative - Responsible Officer	1, 4	
LAU Tak Yan	APP933	Licensed Representative - Responsible Officer	4	
LAU Yiu Bun	BDI052	Licensed Representative - Responsible Officer	4	
LEUNG Ho Ming	AJH302	Licensed Representative - Responsible Officer	4	
LEUNG Man Chor	AAV368	Licensed Representative - Responsible Officer		4
LI Binnan	BMT388	Licensed Representative - Responsible Officer	6	
LI Guangyu	BBE663	Licensed Representative - Responsible Officer	1	
LI Pou Hing, Paul	ACP182	Licensed Representative - Responsible Officer	4	
LI Zhifeng	BAI763	Licensed Representative - Responsible Officer	4	
LIM Tak Hung	AXP028	Licensed Representative - Responsible Officer	2	
LUI Wing Ho, Adrian Kim	AJB743	Licensed Representative - Responsible Officer	2	

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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LUO Luyi	BEB247	Licensed Representative - Responsible Officer	4	
MAGIC Rie	AOY582	Licensed Representative - Responsible Officer	1	
NG Ching Ho	AXI359	Licensed Representative - Responsible Officer	4	
QIU Yizhou	BJP012	Licensed Representative - Responsible Officer	2, 5, 9	
RAMSAY James Thomas	AVD143	Licensed Representative - Responsible Officer	5	
ROSENSWEIG William Ernest	ARP643	Licensed Representative - Responsible Officer		1
SHU Shan	ATY750	Licensed Representative - Responsible Officer	4	
TAM Shing Tak	AUI999	Licensed Representative - Responsible Officer	9	
TANG Thomas Yaw Fay	ARH348	Licensed Representative - Responsible Officer	1	
WANG Yanlong	ANH075	Licensed Representative - Responsible Officer	2, 6	
WESTON Simon Michael	AVU839	Licensed Representative - Responsible Officer	2	
WONG Chi Yeung, Jackson	AHT199	Licensed Representative - Responsible Officer	9	
WONG Ka Chun	BAI761	Licensed Representative - Responsible Officer	4, 5	
WONG Man Tai	BGY959	Licensed Representative - Responsible Officer	4, 9	
WONG Sze Man	AQH860	Licensed Representative - Responsible Officer	2	
WOO Lai Ping	ADW260	Licensed Representative - Responsible Officer	4	
WU Ngai Pan	AWO869	Licensed Representative - Responsible Officer	4	
WU Xiangjun	BEL575	Licensed Representative - Responsible Officer	1	
WU Yanlin	BMQ608	Licensed Representative - Responsible Officer	9	
WU Yi Shun, Josephine	ATT236	Licensed Representative - Responsible Officer	4	
XU Jianming	BHR630	Licensed Representative - Responsible Officer	5	
YANG Shuenn-yuh	AVA597	Licensed Representative - Responsible Officer	4	
YING Tak Sun Nelson	AMY780	Licensed Representative - Responsible Officer	1, 4, 9	
YU Xiaomei	AKS651	Licensed Representative - Responsible Officer	4	
ZHANG Honghe	BMQ612	Licensed Representative - Responsible Officer	9	
ZHANG Yeshuang	BHD212	Licensed Representative - Responsible Officer	1	
ZHOU Xiangyong	BFJ729	Licensed Representative - Responsible Officer	1	
LAU Chi Chiu, Terry	AUQ993	Licensed Representative (Provisional Licence)	2	