

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019**

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
China Securities Limited	BMI548	Licensed Corporation	4	
CITIC Futures International Company Limited	BFL318	Licensed Corporation	5	
COFCO Asset Management (International) Company Limited	AZY974	Licensed Corporation	1, 4	
Davidson Kempner Asia Limited	ARQ101	Licensed Corporation	1	
Essence Corporate Finance (Hong Kong) Limited	AVL376	Licensed Corporation	1	
Futu Securities International (Hong Kong) Limited	AZT137	Licensed Corporation	7	
Ge Shang Investment (Hong Kong) Limited	AFR854	Licensed Corporation	1	
Goldbridge Securities Limited	ABX568	Licensed Corporation	4	
M&G Investments (Hong Kong) Limited	BBZ004	Licensed Corporation	4	
Po Sang Securities and Futures Limited	ACI778	Licensed Corporation		9
Proton Capital Limited	AOY206	Licensed Corporation		1
Stund International Asset Management Limited	BND736	Licensed Corporation	4	
Synergy Futures Limited	BMV449	Licensed Corporation	1	
Wonderland International Securities Limited	ABN652	Licensed Corporation	4	
ABDULLAH Hugh Idris	AOA599	Licensed Representative		1
Al Yuanyuan	APK575	Licensed Representative	4	
AU Alan	BFO819	Licensed Representative		9
BAO Hui Ying	AQH585	Licensed Representative	4	
CAO Ye	AZY493	Licensed Representative		4
CHA Munyoung	BCK193	Licensed Representative	1	
CHAI Sijun	BKN457	Licensed Representative	4	
CHAN Cheuk Wing, Andy	ALV385	Licensed Representative		6
CHAN Chi Kan	BAA072	Licensed Representative	4, 9	
CHAN Ian Weng	ARQ957	Licensed Representative		1
CHAN Kai Chun	AMU535	Licensed Representative		4
CHAN Koon Leung Alexander	BMW763	Licensed Representative	1, 2, 4, 5	9
CHAN Lung Sang	AUA794	Licensed Representative		4
CHAN Ngai Lun, Alan	ARR782	Licensed Representative	9	
CHAN Raymond Siu Lun	ATT484	Licensed Representative	4, 9	
CHAN Soong Khuen Kevin	BGG412	Licensed Representative	2	
CHAN Tsz Shun	BDH909	Licensed Representative		4
CHAN Tsz Yeung	BHD531	Licensed Representative	5	
CHAN Yan Wing, Andy	ADJ208	Licensed Representative		3
CHANG Monica L	ARN814	Licensed Representative	1, 4	
CHANG Yuan	AST179	Licensed Representative		1
CHAU Chi Kin	AIS462	Licensed Representative		4
CHAU Kong Wai	BAA344	Licensed Representative	4, 9	1, 2
CHEN Hao	AXD508	Licensed Representative	9	4
CHEN Hao	AXX901	Licensed Representative	9	
CHEN Mengke	BIB120	Licensed Representative	4, 9	
CHEN Ningxin	BLF890	Licensed Representative	1	
CHEN Shanshan	AWW252	Licensed Representative	2	9
CHEN Tianyun	BNI800	Licensed Representative		2
CHEN Xiaoxin	BMX949	Licensed Representative	4, 9	
CHEN Yi-hsuan	BCK950	Licensed Representative	4	
CHEN Yixin	BFX369	Licensed Representative		1
CHENG Chun Fai, Hudson	AVX842	Licensed Representative		4
CHENG Wing Sze	BOA491	Licensed Representative	1	
CHEUNG Chun Tsung	AXZ233	Licensed Representative		1, 4
CHEUNG Colette	BIX231	Licensed Representative	1	
CHEUNG Lok Ching, Rachel	BHX763	Licensed Representative		4
CHEUNG Wai Ho	BLI482	Licensed Representative	9	1
CHIK Chuk Nor	AJD283	Licensed Representative	4	
CHIM Lok Yi	BMK659	Licensed Representative	1	4, 9
CHIM Wai Kwok	ANX997	Licensed Representative	1, 4	
CHIU Chi Kin, Andrew	AEI648	Licensed Representative		6
CHIU Chin Pang	BJV998	Licensed Representative		9
CHO Chun Yin	BGA971	Licensed Representative	2	

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019**

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CHOI Man Fai	BBP353	Licensed Representative	4, 9	
CHONG Sheung Tat	BGB437	Licensed Representative	3	
CHONG Yvonne	AJE056	Licensed Representative		9
CHOW King Wai	BIG312	Licensed Representative		9
CHOW Shun Chiu	BFK667	Licensed Representative	1, 2, 4	9
CHOW Wing Keung	AJB214	Licensed Representative		2
CHU Chen Chung, Dominic	BEW829	Licensed Representative	4	
DAI MINGHUI	BND265	Licensed Representative	1	
DAVIDGE-PITTS Margaret Ju-Han	AQD029	Licensed Representative	1, 2	4, 9
DENG Songjun	BNC084	Licensed Representative	1, 2	9
DENG Xixin	BMV958	Licensed Representative	2	
DHADDA Vaibhav	BLA433	Licensed Representative	2	
DIU Felix Chi Ho	BOB732	Licensed Representative	3	
DONG Yuning	BNJ160	Licensed Representative	7	
DUAN Yefei	AZE944	Licensed Representative	4	
FAIDI Ghazi	APR550	Licensed Representative	1	
FALCO Andrew James	ANK741	Licensed Representative	2	
FAN Jianqiao	BOB392	Licensed Representative	4	
FENG Ziang	BNR290	Licensed Representative		1
FOK Mei Ling	ADT328	Licensed Representative		9
FONG Tak Yee	AFA617	Licensed Representative		3
FUNG Sui Sang, Tommy	AFN762	Licensed Representative	1	
GAO Ge	BNX136	Licensed Representative		4, 9
GAO Mengyang	BNJ100	Licensed Representative		6
GAO Qifan	BHE173	Licensed Representative	1	
GAO Ziqi	BBL664	Licensed Representative	4, 9	6
GORI Filippo	BCN947	Licensed Representative		2, 5, 7
GU Jiekai	BNE619	Licensed Representative	1, 9	
GU Wanru	BNY043	Licensed Representative	1	
HATANAKA Asako	AYU643	Licensed Representative	1	
HE Meng	BNR853	Licensed Representative	2	
HEUNG Ho Pong	ATL988	Licensed Representative		2, 4
HO Sai Wah	BOK128	Licensed Representative	2	
HO See Pui, Christie	BIV192	Licensed Representative		6
HON Yuk Ying	BFI463	Licensed Representative	1	4, 9
HSU Valena	BHN836	Licensed Representative	6	4
HSU Ya Ting	BMV776	Licensed Representative		4
HU Boyu	BET781	Licensed Representative		1
HU Hongzhang	BNU513	Licensed Representative	1	
HUANG Jiajun	BIC863	Licensed Representative		1
HUANG Kaihong	BNJ746	Licensed Representative	5	
HUANG Ling Kwan	AUQ372	Licensed Representative	4, 9	
HUANG Tiantian	BJD389	Licensed Representative	4	
HUANG Yue Yumi	AVT127	Licensed Representative		2
HUNG Hing Fung	AVC920	Licensed Representative		2
HUNG Janet Yi Kui	ARJ827	Licensed Representative	2	
HUNG Shao Feng	BOJ331	Licensed Representative	2, 7	
HUNG Wing Kwan	BJC382	Licensed Representative	4, 9	
IP Kong Fung	AUI998	Licensed Representative		2
IP Kwai Chun	BEO313	Licensed Representative		5
IP Wai Lun, Victor	AOD814	Licensed Representative	4, 9	
JIANG Linqiang	AOJ455	Licensed Representative	1	5
JIANG Wei	AWS208	Licensed Representative	1	
JIN Lu	BLH375	Licensed Representative	9	
JUSUFI Mirgim	AYR638	Licensed Representative	7	2
KAM Kwun Kwan, Boris	ANA539	Licensed Representative		9
KAN Siu Lun	AGC831	Licensed Representative	1, 9	
KIM Doyoung	BIH684	Licensed Representative	4	2

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019**

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
KIM Jee Hyeon	BHA092	Licensed Representative	9	
KIM Min Soo	BNP458	Licensed Representative	1	
KOO Tun Lok Carlos	BKK383	Licensed Representative		6
KOPLIKU Jurgen	BHO306	Licensed Representative	7	
KU Yuen Leung	AEE533	Licensed Representative	2	
KWAN Hoi Wai	ATV559	Licensed Representative		2, 5
KWAN Man Kit, Edmond	AOR644	Licensed Representative		1
KWAN Tsun Man	AWA326	Licensed Representative		1
KWAN Wai Shing	AAN687	Licensed Representative		2
KWOK Ka Yip	AEB325	Licensed Representative		2
KWOK Kai Wai	ACL567	Licensed Representative	1	
KWOK Kit Ying	AXZ904	Licensed Representative		2
KWOK Yin Kwan	AHK659	Licensed Representative	2	
KWONG Chun Hin	BIM257	Licensed Representative	4	
KWONG Kwok Shing	AUG602	Licensed Representative	4, 9	
LAI Choo Kan, Michael Brian	ACT480	Licensed Representative		4
LAI Chui Shan	BGT651	Licensed Representative	2	6
LAI Chun Kong	ABZ482	Licensed Representative		1
LAI King Yan, Anthony	AGA420	Licensed Representative		1
LAI Wing Tsui Ivy	BND554	Licensed Representative		1
LAI Yu Hin	BBY488	Licensed Representative	4	
LAM Chi Shing	AKO943	Licensed Representative		1
LAM Chi Yip	BGB719	Licensed Representative	9	4
LAM Chun Kwok, Jimmy	AEY314	Licensed Representative		1, 4
LAM Chun, Wilson	AFP892	Licensed Representative	9	
LAM Hoi Shan, Flora	AVN396	Licensed Representative	9	
LAM Ka Wai	BGO474	Licensed Representative		3
LAM Tat Shing	AUV992	Licensed Representative	4	
LAM Yuen Ling, Elaine	AQZ588	Licensed Representative	9	
LAU Chi Pun	AWK994	Licensed Representative		1
LAU Chun Kong	BKD492	Licensed Representative	2	
LAU Chun Tung	AWB700	Licensed Representative	4, 9	1
LAU Ka Yi	BIG902	Licensed Representative	2	4
LAU Kwok Hei	AOQ599	Licensed Representative		2
LAU Siu Cheung	ABV536	Licensed Representative		5
LAU Tak Yee, Lucia	ANY277	Licensed Representative	9	
LAW Tik Hong	ARE550	Licensed Representative	2	
LAW Yuk Man	BNS413	Licensed Representative	9	
LEE Chia Huan	AQR078	Licensed Representative		6
LEE Chun On John	BNU213	Licensed Representative		2
LEE Dink Sing	BEL389	Licensed Representative	2	
LEE Ho Pan	BKJ169	Licensed Representative	4	
LEE Lung Kee, Rocky	BAV053	Licensed Representative	3	
LEE Sze Wan	BDY743	Licensed Representative	2	
LEE Wee Liat	APY382	Licensed Representative	1	
LEI Ho Chun	ATY002	Licensed Representative		9
LEI Huiting	BJE538	Licensed Representative	1	
LEOTTA Sebastian John	BKP941	Licensed Representative	1	
LEUNG Ching Wan, Catherine	AGS493	Licensed Representative		1
LEUNG Chun Yin	BMB720	Licensed Representative		4, 5, 9
LEUNG Ho Wang	BFX358	Licensed Representative	4, 6	
LEUNG Kai Fung	AOX679	Licensed Representative		2
LEUNG Man Kit	ABM580	Licensed Representative	1	
LEUNG Man Kit	ANW505	Licensed Representative		1, 2
LEUNG Wai Ting Amy	BGR317	Licensed Representative	9	
LEUNG Wing Kin	BMV771	Licensed Representative	4, 9	
LEUNG Wing Shing	AEQ444	Licensed Representative	9	
LEUNG Yiu Fung	BMM572	Licensed Representative	1	

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019**

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LI Hok Fung	BGM816	Licensed Representative		1
LI Man Kit	ATH561	Licensed Representative		4
LI Ming Yeung	AXC913	Licensed Representative	2	
LI Peiyu	BNJ144	Licensed Representative	9	1, 2
LI Siying	BHU352	Licensed Representative	2	
LI Sze Hang	AVY336	Licensed Representative	4	
LI Tangfei	BMT494	Licensed Representative	4, 9	
LI Te	BFH240	Licensed Representative		4
LI Tze Ngong	AMX714	Licensed Representative	6	
LI Zhenyu	AKN225	Licensed Representative	2	
LIANG Dongqing	BLL298	Licensed Representative	9	
LIANG Wenqian	BNH880	Licensed Representative		6
LIEN Vincent Wai Sun	AMM448	Licensed Representative	4	
LIEW Wing Lok, Stephen	AFW967	Licensed Representative		1, 4
LIM Marcus Brandon	BFO649	Licensed Representative	2	4
LIN Chun-Chi	BNH268	Licensed Representative	1, 4	
LIN Hong Zhi	APC237	Licensed Representative		2
LIN Jiahong	BMN462	Licensed Representative	1	
LIN Wan Ni	ACZ640	Licensed Representative	4	
LIU Baixian	BNT242	Licensed Representative	4, 9	6
LIU Ka Wai	BFX887	Licensed Representative		9
LIU Renhe	BFC981	Licensed Representative	6	
LIU Shuoqia	BOK087	Licensed Representative	1, 4	
LIU Ying	BMV078	Licensed Representative	2	9
LIU Ying Chen	AHP730	Licensed Representative		1
LIU Zehui	BMM194	Licensed Representative	1	
LO King Chung	AKP938	Licensed Representative		1
LO Ngar Yee, Fanny	AEA182	Licensed Representative		2, 9
LOCK Chun Wai	BHN005	Licensed Representative		3
LU Na	BNP594	Licensed Representative	4, 9	1
LU Yuting	BIO895	Licensed Representative	4	
LUI Chun Hay Joey	BMF398	Licensed Representative	1	
LUI Hung Kik	AZF855	Licensed Representative	4	
LUK Chun Kong Jan	BLL846	Licensed Representative	1, 4	
LUK Hing Fung	BOF810	Licensed Representative	2, 3	
LUN Carrie	AIR700	Licensed Representative	2	
LYU Liufeng	BJE217	Licensed Representative		1
MA Chi Wah	AFF507	Licensed Representative		4
MA Ka Chun	BFX093	Licensed Representative	1, 2	
MAN Kam Tong	BBL188	Licensed Representative		1
MAO Zhengguang	BNG437	Licensed Representative	5, 9	
MASURKAR Adwait Manohar	ARG204	Licensed Representative	4	
MOK Michael	AHO797	Licensed Representative	4	
MOK Yik Tung	BCD050	Licensed Representative		1
MUI Kin Wai	AVY719	Licensed Representative		2
MUI Wing Hang	ABF512	Licensed Representative		2
NG Florence Man Shan	BAM969	Licensed Representative		1
NG Lap Kiu	BAA394	Licensed Representative	9	1, 2
NG Siu Kei	AUD457	Licensed Representative	2, 5	
NG Yan Yan	BIE164	Licensed Representative	4	
NGAI Tak Shun	AMN831	Licensed Representative		4
NING Yanyue	BLG363	Licensed Representative		2, 4
ORCHARD Andrew John	AVG755	Licensed Representative	9	4
PAL Suneil	BJI764	Licensed Representative	7	
PAN Xinglai	BHM452	Licensed Representative		4
PENG Shualjun	BBY253	Licensed Representative	1, 9	4
POILANE PARRY-JONES Nadia Lucile Joelle	BEF237	Licensed Representative	2	
POON Chi Lik, Eric	AJA981	Licensed Representative		5

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019**

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
POON Claudia Yat-ching	BIB425	Licensed Representative		2
POON Lai Wah, Lisa	ACL531	Licensed Representative		2
POON Tai Cheung	AQY872	Licensed Representative	4, 9	
QIAN Zixuan	BLX759	Licensed Representative	4, 9	1, 6
QUAN Stephen Michael	ATB915	Licensed Representative	9	
REN Yonggang, Frank	ALF631	Licensed Representative		5
SATO Takashi	BNJ949	Licensed Representative	3	
SCHOLTZ Frederick David	BMT303	Licensed Representative	9	
SEE-TO Pui Yee	BAF180	Licensed Representative	1	
SEFRI David Kustandi	AWT923	Licensed Representative	2	
SHANG Wei	ATV553	Licensed Representative	2, 4, 5	
SHANKER Malavika	BCD228	Licensed Representative	4, 5	
SHAO Dan	BFH489	Licensed Representative	9	
SHAO Lei	ASK857	Licensed Representative	4	
SHEKLETON Peter Maxwell	BFE234	Licensed Representative	4	
SHI Chanjuan	BNE427	Licensed Representative		7
SHI Dai	BEQ696	Licensed Representative		1
SHI Lei	BIE889	Licensed Representative	1	
SHI Xiaoqin	BKG038	Licensed Representative	4, 9	
SHUM Kai Fung	APV084	Licensed Representative	4	9
SIM Jeong Soo	BDY696	Licensed Representative		1, 2
SISSO Xavier Paul Axel	BEC938	Licensed Representative	5	1
SIT Ko Wai	BEC410	Licensed Representative	2	
SIT Kwok Ping	ASO836	Licensed Representative	5	
SO Wai Lun	AXD705	Licensed Representative	4, 9	
SONG Chun Ming	AYO044	Licensed Representative	1	
SOO Joon Shern	BEZ017	Licensed Representative	4, 9	1, 2
SPENCE Susannah Mary	AAK751	Licensed Representative		5
STREATFIELD David Alan	AVI634	Licensed Representative	2	4
SUN Gilbert Ken Bon	AZB607	Licensed Representative		5
SUN Tianshu	BLX804	Licensed Representative	2	
TADADEJ Monsicha	BND310	Licensed Representative	4	
TAM Ho Chi, Andy	BOI211	Licensed Representative	6	4, 9
TAM Ka Hong	BIQ325	Licensed Representative	9	
TAM Sze Mei	AVF030	Licensed Representative		1
TAN Zhizhi	AYQ567	Licensed Representative		4
TANG Hon Sum	BJY466	Licensed Representative		1
TANG James	AJC669	Licensed Representative		1
TANG Jingchen	AZX116	Licensed Representative	2	
TANG Tat Hung Darren	BKA592	Licensed Representative	3	
TANG Yuk Luen	ABS478	Licensed Representative	4	
TENG Da	BBD312	Licensed Representative	4	
TIAN Qi	BFD608	Licensed Representative	4, 9	
TING Wai Lok	BAG338	Licensed Representative	4	
TONG Chui Ling	BBW231	Licensed Representative		1
TONG Chun Ho	BGI616	Licensed Representative		2
TRINH Eddie Khang Thach	AXE228	Licensed Representative	2	
TSE Wing Hong Vivian	ASU313	Licensed Representative	9	
TSOI Chi Kun	ABH186	Licensed Representative		9
TSOI Pak Pan	BHB618	Licensed Representative	2	
WALKER Nicholas James	BHH190	Licensed Representative	1	4, 9
WANG Boshen	BMA941	Licensed Representative	9	
WANG Changjiang	BHV534	Licensed Representative	5	
WANG Dingxin	BMV713	Licensed Representative	9	
WANG Jun	BEO183	Licensed Representative	9	
WANG Nathan	ADJ636	Licensed Representative	1	
WANG Xue	ARM171	Licensed Representative	2	
WANG Xuejiao	BJI013	Licensed Representative	2	6

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019**

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
WANG Yizhou	BIE387	Licensed Representative		1
WANG Yue	APL764	Licensed Representative	4, 9	
WANG Yufei	BEE831	Licensed Representative	6	
WATKINS Samuel Gwyn	BNB047	Licensed Representative	7	
WEI Chao	BNO688	Licensed Representative	5, 9	
WEISS David Lawrence	BAN010	Licensed Representative		2, 4
WICKHAM Oliver Jeremy	AQC490	Licensed Representative	4	
WONG Anson King Lung	AXO985	Licensed Representative		1
WONG Chak Hin	BNY873	Licensed Representative	1	
WONG Chun Wah	AAA213	Licensed Representative		4, 5
WONG Fung Mei	AUJ316	Licensed Representative		4, 9
WONG Justin Jun Yip	BCT643	Licensed Representative	7	
WONG Ka Hei	AVL307	Licensed Representative	4, 9	
WONG Po Yi, Sherwin	BEA770	Licensed Representative		6
WONG Shing Kit	BHZ124	Licensed Representative	9	
WONG Shun Wah	ARN141	Licensed Representative	1, 4	
WONG Siu Leung	ANK932	Licensed Representative	2, 5	9
WONG Tin Lun	AFA801	Licensed Representative	9	
WONG Wai Chi	AST272	Licensed Representative	3	
WONG Wai Han, Dorothy	AFS777	Licensed Representative		1
WONG Wai Kar	AJE109	Licensed Representative		1, 4
WONG Yin Ming	BNW887	Licensed Representative	3	
WONG Yuet Kwan	BHS581	Licensed Representative	9	
WU Jennifer Shauyu	BFX500	Licensed Representative	7	
WU Jing	BKE827	Licensed Representative	9	1
WU Luyin	ARK024	Licensed Representative	2	
WU Nan	AUW139	Licensed Representative		6
WU Tony Li Yang	AWW749	Licensed Representative		1
WU Wei Shi	BEE804	Licensed Representative	1	
WU Yulu	BHX593	Licensed Representative	7	
WUN Suet Ling	BNV433	Licensed Representative	2	
XIA Yixin	BHV019	Licensed Representative	4	6
XIAO Qian	BGA973	Licensed Representative	4	
XIE Haituo	BOD009	Licensed Representative	1	
XIE Yuan	BCH644	Licensed Representative		1, 6
XIE Zhiqiu	BOH179	Licensed Representative	2	4, 9
XU Houze	BEQ492	Licensed Representative	1, 4	
XU Jingying	BMK885	Licensed Representative	2	
XU Ruimin	ALZ591	Licensed Representative		4, 9
YAN Shanshan	BJC394	Licensed Representative		2
YANG Hai	AXI228	Licensed Representative		6
YANG Xiting	BOJ549	Licensed Representative	4	
YEUNG Ernest Sze Lok	ADI295	Licensed Representative	1	9
YEUNG Ming Lung	BOI435	Licensed Representative	4, 9	
YEUNG Tat Ho	AXZ759	Licensed Representative	2	
YI Xingxing	BGC842	Licensed Representative		4, 9
YIM Wing Yu	BGZ419	Licensed Representative	9	2, 5
YIP Lu Si	BEZ348	Licensed Representative	4	
YIP Wai Han, Clara	AQQ537	Licensed Representative	4	
YIU Ka Lam	APP686	Licensed Representative	1	4, 9
YIU Ting Yu, Fergus	BMV365	Licensed Representative	7	
YU Dalin	AWH883	Licensed Representative	1	
YUEN Ka Chung	ARD624	Licensed Representative		4, 9
YUEN Ka Yat	ALA314	Licensed Representative	4, 6	
YUEN Pak Cheung	AFJ094	Licensed Representative	4, 9	
YUEN Siu Hong, Andy	ADC292	Licensed Representative		2
ZHANG Aidi	ANK409	Licensed Representative	4	
ZHANG Erchao	BGI777	Licensed Representative		1

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019**

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
ZHANG Jiahao	BNS460	Licensed Representative	4, 9	
ZHANG Qiantai	BEV721	Licensed Representative		1
ZHANG Shuqi	BOC312	Licensed Representative	1	9
ZHANG Wenjun	AVR821	Licensed Representative		4
ZHANG Xiaolei	BAW941	Licensed Representative		1
ZHANG Yi	BJK737	Licensed Representative		1
ZHANG Zhipeng	BEA629	Licensed Representative	4	
ZHAO Yue	BGA679	Licensed Representative		1, 2
ZHENG Shaoting	BGQ668	Licensed Representative	4, 9	
ZHONG Shining	BDY889	Licensed Representative	2	4
ZHOU Jingjing	BEJ098	Licensed Representative	4, 9	1
ZHOU Ziya	BEE592	Licensed Representative	4	2
ABDULLAH Hugh Idris	AOA599	Licensed Representative - Responsible Officer	9	
AN Bin	AUN707	Licensed Representative - Responsible Officer	1, 4	
AN Yang	BDF640	Licensed Representative - Responsible Officer	4, 5	
CHAN Lai Ming	AOD290	Licensed Representative - Responsible Officer	4	
CHAN Shiu Pong	AMN653	Licensed Representative - Responsible Officer	9	
CHAN Wai Ching	ALS556	Licensed Representative - Responsible Officer	9	
CHAN Yuk Nam	AZL605	Licensed Representative - Responsible Officer	5	
CHEN Yaochao	BOA062	Licensed Representative - Responsible Officer	9	
CHEUNG Kwok Wing, Barry	ABM132	Licensed Representative - Responsible Officer	3	
CHIU Chi Kin, Andrew	AEI648	Licensed Representative - Responsible Officer	1	
CHONG Chak Man	AFT770	Licensed Representative - Responsible Officer	1, 4	
CHOW Chin Hang, Joel	ALA520	Licensed Representative - Responsible Officer	1, 4	
CHU Chun Hung	AXK728	Licensed Representative - Responsible Officer	4	
CHU Tat Man	AYF494	Licensed Representative - Responsible Officer	4	
DJOUNOV Rossen Nikolaev	BJI365	Licensed Representative - Responsible Officer	9	
DYER Michael John	ANH060	Licensed Representative - Responsible Officer	4	
FENG Dafu	BFN926	Licensed Representative - Responsible Officer	4	
FRITSCHI Juerg Christoph	ASH305	Licensed Representative - Responsible Officer	9	
HO Sin Cheung	ABP225	Licensed Representative - Responsible Officer	4	
HO Tzu-Chuan	AOB928	Licensed Representative - Responsible Officer	9	
HUANG Jiajun	BIC863	Licensed Representative - Responsible Officer	6	
HUNG Lo Fung	AAV596	Licensed Representative - Responsible Officer	4	
JONES Barnaby Leonard	BMN484	Licensed Representative - Responsible Officer	4	
KAM Kwun Kwan, Boris	ANA539	Licensed Representative - Responsible Officer	4	
KWAN Tsun Man	AWA326	Licensed Representative - Responsible Officer	5	
KWONG Wai Fong	AFJ234	Licensed Representative - Responsible Officer	4, 9	
LAI Chun Kong	ABZ482	Licensed Representative - Responsible Officer	9	
LAI Ming Hon, Justin	AWY011	Licensed Representative - Responsible Officer	1, 4	
LAM Chi Shing	AKO943	Licensed Representative - Responsible Officer	4, 9	
LAM Ka Ho	AXP757	Licensed Representative - Responsible Officer	4, 9	
LAM King Wu	ANK149	Licensed Representative - Responsible Officer	7	
LAM Yin Ng	ALV041	Licensed Representative - Responsible Officer	4	
LAU Siu Cheung	ABV536	Licensed Representative - Responsible Officer	1, 9	
LAU Wai Fong	AHL933	Licensed Representative - Responsible Officer		1
LAU Yan Kit	ARI646	Licensed Representative - Responsible Officer	1	
LEE Tsz Hin	ATN988	Licensed Representative - Responsible Officer	4	
LEUNG Chi Kin	ALB846	Licensed Representative - Responsible Officer	4	
LEUNG Heung Ying	ACG472	Licensed Representative - Responsible Officer		1
LEUNG Wai Kei	AKR467	Licensed Representative - Responsible Officer	4	
LEUNG Wing Shing	AEQ444	Licensed Representative - Responsible Officer	4	
LI Hon Leung	AJC118	Licensed Representative - Responsible Officer	2, 5	
LI Pui	BEJ354	Licensed Representative - Responsible Officer	5	
LIN Haishan	AUZ541	Licensed Representative - Responsible Officer	4	
LIU Yuen Shan	ADC177	Licensed Representative - Responsible Officer	1	
LO Kwok Loong, Sammy	AAH920	Licensed Representative - Responsible Officer	5	
MOK Siu Hong	BIY801	Licensed Representative - Responsible Officer	4	

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2019**

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
MONTANARI Marco	ATI145	Licensed Representative - Responsible Officer	9	
MU Guodong	BAR179	Licensed Representative - Responsible Officer	4	
PATEL Punit Nayan	ARI633	Licensed Representative - Responsible Officer	1	
POON Ching Yee, Joey	AXD834	Licensed Representative - Responsible Officer	7	
QIN Tian	AXD124	Licensed Representative - Responsible Officer	1	
SHI Zhen	AYK898	Licensed Representative - Responsible Officer	1	
TAN Chee Hoe	BMV620	Licensed Representative - Responsible Officer	4	
TAN Yan	AXV124	Licensed Representative - Responsible Officer	4	
WANG Feng	AYO606	Licensed Representative - Responsible Officer	5	
WANG Jerry Hua-in	ACA277	Licensed Representative - Responsible Officer	1	
WANG Qing	ATH764	Licensed Representative - Responsible Officer		1, 2
WANG Shengkun	AXG752	Licensed Representative - Responsible Officer	4	
WONG Chi Ming	ARO281	Licensed Representative - Responsible Officer	4	
WONG Chun Ming, Stephen	AAA944	Licensed Representative - Responsible Officer	4	
WONG Chung Ho, Ian	AMC785	Licensed Representative - Responsible Officer	4	
WONG Ho Yin	ALO058	Licensed Representative - Responsible Officer	9	
WU Biwei	AOV563	Licensed Representative - Responsible Officer	7	
XU Dan	BJC231	Licensed Representative - Responsible Officer	5	
XU Ruimin	ALZ591	Licensed Representative - Responsible Officer	6	
XU Yong	BMT490	Licensed Representative - Responsible Officer	2, 4	
YEUNG Shu Fan	AAI312	Licensed Representative - Responsible Officer	6, 9	
YUEN Chi Kwok	AXZ838	Licensed Representative - Responsible Officer	1, 4	
YUEN Kin Wai	AEA365	Licensed Representative - Responsible Officer	8	
ZENG Haibo	BAH456	Licensed Representative - Responsible Officer	1, 4	
ZHANG Qiandai	BEV721	Licensed Representative - Responsible Officer	6	
ZHOU Yun	BGL129	Licensed Representative - Responsible Officer	4	
FUNG Po Wun	BOB678	Licensed Representative (Provisional Licence)		4, 5, 9