

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2019**

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
Alpha Financial Group Limited	ALW542	Licensed Corporation	6	
CFS Capital Limited	BIM912	Licensed Corporation	4	
CGS-CIMB Securities (Hong Kong) Limited	AAW351	Licensed Corporation		6
E D & F Man Capital Markets Hong Kong Limited	BBD066	Licensed Corporation		3
Enhanced Investment Products Limited	AHK550	Licensed Corporation		6
Foresee Global Asset Management (HK) Limited	BHC747	Licensed Corporation	4	
SDG Securities (HK) Limited	BMB958	Licensed Corporation	4	
Solomon JFZ (Asia) Holdings Limited	BIF175	Licensed Corporation	4, 9	
Yuanta Futures (HK) Co., Limited	AXQ690	Licensed Corporation	1, 4	
AN Sang Beom	AYX987	Licensed Representative		4
ARAYANIMITSKUL Janyawan	BDF627	Licensed Representative	1, 4	
BERESFORD Paul Richard	ANF151	Licensed Representative		2, 5
BOONTHAVEEPAT Watcharida	BIN847	Licensed Representative		4, 5
CAI Hua	BHZ819	Licensed Representative		1
CHA Sang Youn	AQM175	Licensed Representative	1	
CHAN Chak Ki	BKA656	Licensed Representative	2	
CHAN Chak Kuen	BDQ525	Licensed Representative	5	
CHAN Cheuk Chung	BJD646	Licensed Representative		1
CHAN Chi Pang	AQI127	Licensed Representative	1	
CHAN Chit Ho	BGT648	Licensed Representative		4, 9
CHAN Cho Sin	BOP244	Licensed Representative	9	
CHAN Chun Kit	AVC347	Licensed Representative		4
CHAN Chung	AFV231	Licensed Representative	2	
CHAN Ka Chung	ANT245	Licensed Representative		1, 4, 9
CHAN Ka Ho	BNJ176	Licensed Representative	4, 5, 9	
CHAN Ka Lok	ALP972	Licensed Representative		4
CHAN Ki Fung	BIE114	Licensed Representative		4
CHAN Kok Chung, Johnny	ADH521	Licensed Representative		1, 4, 6
CHAN Kwok Chu Edward	BHZ831	Licensed Representative	1	
CHAN Mei Shan	ANC021	Licensed Representative		4, 9
CHAN Pui Ling, Juliana	ADI999	Licensed Representative	2	
CHAN Tit Leung, Sunny	ADP076	Licensed Representative		1, 4
CHAN Tsz In	BFZ091	Licensed Representative		9
CHAN Yat Cheong	BMW526	Licensed Representative	6	
CHAN Yik Lun	AOR284	Licensed Representative		1
CHAN Ying Sin	BGE633	Licensed Representative	2	
CHEN Chao	BDI410	Licensed Representative	1	
CHEN Hao	AXX901	Licensed Representative		1, 2
CHEN Junsheng	BLL852	Licensed Representative	10	4
CHEN Lihui	ATD691	Licensed Representative	1	
CHEN Luyao	BHE067	Licensed Representative		2
CHEN Ting	BNI312	Licensed Representative	4	1, 2
CHEN Xi	BNU854	Licensed Representative	1	9
CHEN Yun	BGW787	Licensed Representative	9	4
CHEN Zihao	BNB913	Licensed Representative	1	
CHENG Chi Keung	BIC344	Licensed Representative	1	
CHENG Kin Chun, Kenneth	BJY611	Licensed Representative	1	
CHENG Tsang Shin	BNW984	Licensed Representative	4	
CHENG Xiyang	BHC248	Licensed Representative		1, 4
CHEUNG Kim Ho	AIK988	Licensed Representative	4	
CHEUNG Lo Yee Sandy	BNY266	Licensed Representative	4	
CHEUNG Lok Ching, Rachel	BHX763	Licensed Representative	4	
CHEUNG Nga Wun Amanda	BNP733	Licensed Representative	4	6
CHEUNG Tsz Ho	BHV587	Licensed Representative	2, 4	
CHIANG Pei-Ju	AZY034	Licensed Representative	4	
CHIK Wan Wai	BGD477	Licensed Representative		6
CHING Tak Yin	ADT879	Licensed Representative		2
CHIU Sai Lun	AUX102	Licensed Representative	4, 9	1
CHOEGYAL Rinchen Harry Charles	BAE535	Licensed Representative		1, 4
CHOI Shun Tim	ATX627	Licensed Representative		2
CHOI Siu Lam	AGU863	Licensed Representative		1, 5, 6
CHONG Ka Man	BMI099	Licensed Representative		4
CHONG Ngai Chung	AFU919	Licensed Representative		3
CHOW Chun On	BOR167	Licensed Representative	2	
CHOW Ho Tak, Philip	BFN521	Licensed Representative		4
CHOW Kin Fei	BNO120	Licensed Representative	1	
CHOW Kwun Hung	AEV862	Licensed Representative		1, 2
CHOW Yu Hin	AUT759	Licensed Representative		3
CHOW Yu Yin	ARO981	Licensed Representative		3
CHOY Wai Ting	ARE817	Licensed Representative		1, 4
CHU Chi Yan, Brian	ACX715	Licensed Representative	4	2
CHU Ching Mo	BMF147	Licensed Representative	1	
CHU Hon Kei	ANA184	Licensed Representative		4
CHU Weng Nin	AEV958	Licensed Representative		2
CHU Yuan Chen	BNT780	Licensed Representative	4	
CHUN Sau Yee	AWY508	Licensed Representative	1	
CHUNG Pui Ching	BML858	Licensed Representative	2	
CHUNG Siu Hong Billy	BDH281	Licensed Representative	4	

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2019**

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CHUNG Yuen Ling	AXW587	Licensed Representative	9	
COLLARD Jeremy David	AJC639	Licensed Representative	2	
CUI Yiye	BIM022	Licensed Representative		4
DENG Dian	BNC301	Licensed Representative	4	
DONG Jiawei	BHB765	Licensed Representative	4	
DONG Xiang	BCN525	Licensed Representative	2	
FAN Ho Ching	AUI158	Licensed Representative		3
FAN Luying	BIG852	Licensed Representative		2
FANG Wenhui	BNN921	Licensed Representative	9	
FENG Zhiguo	BFH467	Licensed Representative		2
FOK Chi Cheung, Allen	AXZ088	Licensed Representative		6
FUNG Chung Min, Newson	ADI064	Licensed Representative		3
FUNG Ying Suet	AMJ220	Licensed Representative		3
GAN Quan	BJB317	Licensed Representative	4	
GAO Jinxuan	BMB987	Licensed Representative	1	
GILES Stuart William	AVH673	Licensed Representative	1	9
GUO Biao	AXK321	Licensed Representative	1	
GUO Gunan	BKW982	Licensed Representative		1
GUO Lu	BGY744	Licensed Representative	4	1
HAO Longbo	BKE576	Licensed Representative	4	
HE Xiaoxin	BEO326	Licensed Representative	4	
HEUNG Chun Kit	AUY929	Licensed Representative	9	
HO Cheuk Hang, Ryan	APL761	Licensed Representative		2
HO Chi Wing	ALC866	Licensed Representative		3
HO Ho Yin	BNY370	Licensed Representative	1	
HO Irene Man Yi	BDF016	Licensed Representative		6
HO Kwan Yung	AVS378	Licensed Representative	4	
HO Shan Shan Rosanna	BNH002	Licensed Representative	1, 4	
HO Sung Chau	BJE605	Licensed Representative	2, 4	
HO Yui Him	BGB436	Licensed Representative	2	
HONG Xueting	BGR488	Licensed Representative	4	6
HONG Yufan	BNK793	Licensed Representative	1	
HSIEH Shu-Fang	BMX430	Licensed Representative	9	1, 4
HUANG Jinyi	BMT389	Licensed Representative	1	5, 9
HUANG Ling Kwan	AUQ372	Licensed Representative	1	4, 5
HUANGFU Yaoyao	BDH322	Licensed Representative		
HUI Wai Yan, Kelly	APZ793	Licensed Representative	1	
HUNG Alan Hing Lun	AEA163	Licensed Representative	1, 4	
HUNG Ming Xi, Rainky	BFX024	Licensed Representative	4	
HUNG Tsz Ying	BNP229	Licensed Representative	1	9
IP Ka Yeung	BCO240	Licensed Representative	4, 9	2
JI Tuo	BAH832	Licensed Representative	1, 4	
KONDO Takeshi	BMT575	Licensed Representative		2
KONG Lingming	BMO745	Licensed Representative	1	
KOO Tin Man	BHQ337	Licensed Representative	4, 9	6
KUI Florence	BHF137	Licensed Representative	4, 9	
KWAN Ho Yeung	BIK177	Licensed Representative	4	
KWOK Chi Lap	AOC786	Licensed Representative		3
KWOK Ho Hung, David	ACP629	Licensed Representative		3
KWOK Mo Yan	AXR156	Licensed Representative		4
KWONG King Chun	BJT521	Licensed Representative		2, 4, 5
LAI Ka Mun	AKN209	Licensed Representative		1
LAI Kam Chuen, Cardi	ACS264	Licensed Representative		3
LAI Kin Lun	AOB306	Licensed Representative	4	
LAI Man	AVD562	Licensed Representative	6	
LAM Chi Hsin	ALO052	Licensed Representative	4	
LAM Sze Man	AXT415	Licensed Representative	4	
LAM Wing Hi	AJK480	Licensed Representative		1
LANDAIS Francois Michel Florent	BIN527	Licensed Representative		4, 5
LAU Hoi Lung	BEG512	Licensed Representative		2
LAU Hoi Yee, Vivian	AEV751	Licensed Representative	4	
LAU Hon Yuen	BIL708	Licensed Representative	2, 3, 4, 5	
LAU Wing Ki	BMX753	Licensed Representative	6	1, 2, 4
LAU Yuk Sim	ABQ065	Licensed Representative		2
LAW Wai Yin	ARH653	Licensed Representative		9
LE Di	BHC107	Licensed Representative	1	
LEE Angela Mei Lan	ADB609	Licensed Representative		4, 5, 7
LEE Chun On John	BNU213	Licensed Representative	4	
LEE Tak Lun	AAG127	Licensed Representative		6
LEE Wai Man, Priscilla	ALL041	Licensed Representative		9
LEE Wing Yin	AED651	Licensed Representative		3
LENTCHNER Jesse Hart	ADS586	Licensed Representative		1
LEUNG Chung Wing	BNC659	Licensed Representative	4	
LEUNG Hiu Yi	ANQ835	Licensed Representative	4	
LEUNG Kee Fung, Vincent	AVF705	Licensed Representative	2	
LEUNG Kelvin Yau-teng	APA551	Licensed Representative	1, 4, 6	9
LEUNG Kwok Wai, Dominic	AXF372	Licensed Representative		2, 4, 5
LEUNG Long Hin	BOP346	Licensed Representative	2	

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2019**

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LEUNG Po Hung	BIH003	Licensed Representative	2	4
LEUNG Polision	AQE459	Licensed Representative	2	
LEUNG Tze Wai	BLW763	Licensed Representative	1	2
LEUNG Yip Hang, Steven	ALW765	Licensed Representative	4	
Li Chen	BGB440	Licensed Representative	1	
Li Chun Yu	AOA630	Licensed Representative		3
Li Jiayi	BHU686	Licensed Representative	4	
Li Man	BKX346	Licensed Representative		1
Li Min	ASU506	Licensed Representative	9	6
Li Ming	BAV336	Licensed Representative	9	
Li Siqi	BLZ748	Licensed Representative	6	
Li Wai Sum	AVA618	Licensed Representative		3
Li Xiao	BNR010	Licensed Representative	4	
Li Ying Hung	BGI458	Licensed Representative	4	
Li Yiwei	BLK915	Licensed Representative	10	1, 6
LIEW Wing Lok, Stephen	AFW967	Licensed Representative	1	
LIN Gin Chi	BHJ032	Licensed Representative	4	
LIN Ka Kee, Karen	BHD237	Licensed Representative	4	
LIN Xiaorong	BNB435	Licensed Representative	1, 4	
LIOU Wen Lan	BOG083	Licensed Representative	2	
LIU Chang	BNT779	Licensed Representative	4	6
LIU Kit Yi, Elkey	ACX069	Licensed Representative	3, 4	
LIU Mengyun	BJU545	Licensed Representative		4
LIU Ngar Yin	AXC900	Licensed Representative	4	
LO Ka Kin	AON053	Licensed Representative		4
LO Kin On, William	AXV216	Licensed Representative		9
LO Wai Lun	AVM934	Licensed Representative		5
LU Shuyan	BNL573	Licensed Representative		9
LUI Chit Kin	BGQ580	Licensed Representative		2, 4, 5
LUI Chun Tak	BCZ591	Licensed Representative	4	
LUI Chun Wai Jervis	AHY083	Licensed Representative	2	
LUI Wai Chiu	AIH680	Licensed Representative		4
LUK Mun Tak	BNS686	Licensed Representative	9	
LUO Difei	BNC831	Licensed Representative	9	
LYU Yiu	BNJ029	Licensed Representative		4
MA Hiu Nga, Michelle	AWE190	Licensed Representative	9	1
MA Ming Yau Sharif	BOH817	Licensed Representative	2	
MA Taoran	BFK967	Licensed Representative	2	
MA Wing Yin, Janice	BGA515	Licensed Representative	4, 9	1
MA Yi	BHX379	Licensed Representative		4
MAC LINKS Phil Stuart	BDW370	Licensed Representative	2, 5	
MAK Ka Ka	ANP548	Licensed Representative	5	
MAK Kai Ming, Maurice	AWC707	Licensed Representative		4
MAK Yan Chik	ANH130	Licensed Representative		3
MAN Kon Kuen, Martin	AGB686	Licensed Representative	4, 5	
MEIRA DE MORAIS Pedro Filipe	BKN447	Licensed Representative	4, 5	
MENG Zijin	BID723	Licensed Representative	4	
MIAO Shulin	BJH269	Licensed Representative		4
MICHL Eric Benjamin	AZR738	Licensed Representative	2, 4, 5	
MO Pak Hei	BMV678	Licensed Representative		2
MUI Kay Boon	AAJ763	Licensed Representative		6
NEWTON Christopher John	AVJ280	Licensed Representative	1, 7	5
NG Ching Man, Cynthia	BGE807	Licensed Representative	4	
NG Man Kit, Joe	BNC157	Licensed Representative	6	
NG Siu Fung, Samson	AXL878	Licensed Representative		9
NG Ting Chi	AUP953	Licensed Representative		1
NG Wai Man	AXV193	Licensed Representative	9	
NG Wai Ping	AWC089	Licensed Representative		2
NG Wang Leung	AEJ671	Licensed Representative	9	1, 4
NGUYEN Ignace Nam	BAM034	Licensed Representative	4	
NOBLES IV William Daniel	BOK249	Licensed Representative	1, 4	
PANG Man Cheong	AYS458	Licensed Representative	4	
PANG Man Wai	ARD488	Licensed Representative	4	
PLINER Jason Michael	AKJ235	Licensed Representative	5	1
POON Kwok Hoi	ACK817	Licensed Representative	2	
POTTS Robert James	BJG312	Licensed Representative	9	
QIAN Chengyuan	BHF780	Licensed Representative	9	1, 4, 6
QIN Yue	BGT776	Licensed Representative		5
QIU Jin	ACK859	Licensed Representative	9	
QU Yan	BHZ234	Licensed Representative	4	
Rachit Raj	BFF384	Licensed Representative	7	
SANG Zijing	BIX210	Licensed Representative		6
SHEK Chi Ying	BOC240	Licensed Representative		2
SHEN Jie	BMR376	Licensed Representative	4	
SHEPPARD Neil Murray	AUC311	Licensed Representative	9	1, 2, 5
SHI Ruichao	ATH910	Licensed Representative	4, 5	
SHUM Ngan, Sammy	AKR115	Licensed Representative		3
SIAH Chun Pang	AXJ985	Licensed Representative		1

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2019**

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
SIM Rick Man	BNF965	Licensed Representative	2, 9	
SIU Hi Kin, Helfen	ABR636	Licensed Representative		2
SO Chi Kin	ANW377	Licensed Representative		4, 9
SONG Cheng	BEW379	Licensed Representative	4, 9	
SUEN Lok Hang, Gregory	ALI171	Licensed Representative		1
SUN Xukanghong	BNG638	Licensed Representative		1
SUOR Jehanne	ATW753	Licensed Representative	1	9
TAI Ho Fung	BMI050	Licensed Representative		4
TAM Shing Tak	AUI999	Licensed Representative		2
TAM Tsz Fung	BBT567	Licensed Representative		1
TAM Tsz Wing, Joe	AFU885	Licensed Representative		3
TAN Jack H	BFY637	Licensed Representative	4	
TAN Ming Fung, Eric	AJ1346	Licensed Representative	5	1, 7
TANG Chiu Ming, Chris	BHU631	Licensed Representative	1	
TANG Ho Wai, Howard	ADQ936	Licensed Representative		1
TANG Jieqiong	BMR839	Licensed Representative	5	
TANG Ko Lok	BLL175	Licensed Representative	1	
TANG Kwan Chung	AGA675	Licensed Representative	2	
TANG Siu Tong	AEM921	Licensed Representative		3
TANG Yujia	BNR318	Licensed Representative	1	
TAO Chutian	BIV241	Licensed Representative	1, 4	
THALL Ryan Jeffrey	AQW036	Licensed Representative		1
TONG Tao	AYA343	Licensed Representative	1, 4	
TSANG Hung Fai	AUD149	Licensed Representative	4	
TSANG Kim Po	AEZ492	Licensed Representative		3
TSANG Kong Kiu, Balder	BIH023	Licensed Representative	2, 3, 5	
TSANG Sui Cheung	BER410	Licensed Representative	5	
TU Haitao	BJY425	Licensed Representative		1
VON MOLL Charles Edward	AYD481	Licensed Representative	1	
WAN Hei Yeung	AZF510	Licensed Representative		1
WAN Tin Chi	AVF008	Licensed Representative	4	2
WAN Yuk Kiu, Casemen	AOC783	Licensed Representative		1
WANG Han	BIC317	Licensed Representative	2	1, 4, 9
WANG Jingling	BHV656	Licensed Representative	1	
WANG Luming	BOC117	Licensed Representative	4	
WANG Shizhen	AWK324	Licensed Representative		4
WANG Tian	BJP485	Licensed Representative	1	
WANG Weiyang	BJI018	Licensed Representative	2, 4	
WANG Xiaotei	BNN901	Licensed Representative	9	
WANG Xin	AVV240	Licensed Representative		6
WANG Xinyan	BKC974	Licensed Representative	4	
WANG Yao	BNY393	Licensed Representative	4, 5	
WANG Yi	AUW136	Licensed Representative	4	
WANG Zong	BHV540	Licensed Representative	4	
WEE Li Feng, Janice	BOG446	Licensed Representative		1
WENG Yanyan	BJM394	Licensed Representative		9
WONG Lun	BHZ924	Licensed Representative		4
WONG Anthony Way-choy	BHV377	Licensed Representative	1	5
WONG Chi Kan	BAI860	Licensed Representative	4	
WONG Ching	AXN060	Licensed Representative	2	
WONG Choi Fan	AFB305	Licensed Representative		3
WONG Chor Tung	BGP797	Licensed Representative	9	
WONG Chun Yip	ALA729	Licensed Representative		3
WONG Hiu Fung	AEH730	Licensed Representative		3
WONG Hiu Yin	AMV028	Licensed Representative	4	
WONG Pik Man	BJR272	Licensed Representative	4	
WONG Shing Yip	AQM559	Licensed Representative	4, 9	
WONG Siu Kit, Kelvin	APO544	Licensed Representative		2
WONG Sze Man	AQH860	Licensed Representative		2, 9
WONG Timothy Tin Wai	AFS526	Licensed Representative		7
WONG To Chun	APA241	Licensed Representative		3
WONG Tsz Hin Chris	AYH771	Licensed Representative	2	4, 7
WONG Wun Man, Andrew	API022	Licensed Representative	1	
WONG Ying	AET700	Licensed Representative	1	
WONG Yun Choi	ADR070	Licensed Representative		2
WU Man Yu	BIX431	Licensed Representative		2
WU Tsung Hsien	BHP400	Licensed Representative		1
WU Ziyan	BMV591	Licensed Representative	9	
WUN Suet Ling	BNV433	Licensed Representative	4	
XIONG Yun	BFJ343	Licensed Representative	1, 4	
XU Pengbiao	ATW741	Licensed Representative		3
YAN Jing	BAB590	Licensed Representative	4, 9	
YAN Shanshan	BJC394	Licensed Representative	4	
YANG Zhenyu	AHS855	Licensed Representative		2
YE Bo	BOD134	Licensed Representative	1, 4	
YE Rong	BGZ599	Licensed Representative		1
YEUNG Hiu Wai	BNN157	Licensed Representative	4	
YEUNG Kin Pan	AOI865	Licensed Representative		4, 9

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2019**

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
Yi Weibin	BKD231	Licensed Representative	1, 4	6
YIU Chi Wai	AUB977	Licensed Representative	4	
YOO Jae Ouk	AEO161	Licensed Representative		2
YOU Hanqian	BNO515	Licensed Representative	6	
YU Feng-Ming	BHW485	Licensed Representative	9	
YU Hailian	AUH482	Licensed Representative	1	
YU Kam Tong	BEC291	Licensed Representative	2, 4	
YU Lok Man	BIT260	Licensed Representative	4	
YU Nga Chi	AVE448	Licensed Representative		2
YU Wai Yat	BNR811	Licensed Representative	1, 4	
YUE Siming	BMR890	Licensed Representative		6
YUEN Ka Chung	ARD624	Licensed Representative		1
YUEN Yat Shing, Trevor	AGA673	Licensed Representative		3
YUNG Yu Shing	ATB835	Licensed Representative	3	2, 5
ZENG Ling	BOB143	Licensed Representative	4	
ZENG Tu	BNV472	Licensed Representative	4	
ZHAN Weikang	BMP528	Licensed Representative	1	
ZHANG Jinsong	BDF218	Licensed Representative	4	
ZHANG Meng	AOB546	Licensed Representative	2	
ZHANG Shiyu	BNO193	Licensed Representative	9	
ZHANG Xincheng	BNF165	Licensed Representative	9	
ZHANG Ying	AXD382	Licensed Representative	9	
ZHANG Yufan	BED918	Licensed Representative	1	
ZHAO Di	BOG045	Licensed Representative		1
ZHAO Siyu	BHZ251	Licensed Representative		7
ZHU He	BHP157	Licensed Representative	1, 4	
ZHU Yali	BKY115	Licensed Representative	2	9
ZHU Yuxiang	BER747	Licensed Representative	1	
ZHUANG Yuewen	BNB966	Licensed Representative	4	
ALMEIDA Niall John	AP0154	Licensed Representative - Responsible Officer	4	
ARAYANIMITSKUL Janyawan	BDF627	Licensed Representative - Responsible Officer		9
AU Chi Ying	ADJ878	Licensed Representative - Responsible Officer	4	
BLACKWELL Michael Kevin	BCN800	Licensed Representative - Responsible Officer		3
BLAND Tobias Christopher James	ABZ428	Licensed Representative - Responsible Officer		6
CHAN Hei Wai	AXE875	Licensed Representative - Responsible Officer	1	
CHAN Mei Shan	ANC021	Licensed Representative - Responsible Officer	2	
CHAN Pat Wing	AAV784	Licensed Representative - Responsible Officer	4	
CHAN Ping Yip	AEF250	Licensed Representative - Responsible Officer	5	
CHENG Chi Ming, Andrew	AGI759	Licensed Representative - Responsible Officer		4, 9
DING Qi	AWV316	Licensed Representative - Responsible Officer	4	
GASSMANN Dominik Michael Maria	BOC944	Licensed Representative - Responsible Officer	1	
HO Cheuk Hang, Ryan	APL761	Licensed Representative - Responsible Officer	4	
HO Kwong Kiu	APU675	Licensed Representative - Responsible Officer	1	
HO Yin Ming	ADZ149	Licensed Representative - Responsible Officer	4	
JEN Chun-Hsing	BHD721	Licensed Representative - Responsible Officer	1, 4	
KAN Kwok Shu, Albert	AAI603	Licensed Representative - Responsible Officer	4	
KONG Yun	BHL738	Licensed Representative - Responsible Officer	9	
LAM Ching Yan, Lydia	BFY292	Licensed Representative - Responsible Officer	4	
LEE Cheng Kown, George	BFY204	Licensed Representative - Responsible Officer	1	
LEE Pui Lam	APK630	Licensed Representative - Responsible Officer	4, 9	
LEE Wai Tsang, Rosa	AIZ436	Licensed Representative - Responsible Officer	1	
LENTCHNER Jesse Hart	ADS586	Licensed Representative - Responsible Officer	9	
LI Wai Sum	AVA618	Licensed Representative - Responsible Officer	9	
NG Mei Kuen, Vivian	AEK109	Licensed Representative - Responsible Officer	9	
NG Siu Hong	APW141	Licensed Representative - Responsible Officer	9	
NG Wai Man	AXV193	Licensed Representative - Responsible Officer	4	
NI Jinjing	BHF588	Licensed Representative - Responsible Officer	4	
OKADA Junya	BFK334	Licensed Representative - Responsible Officer		4, 9
PANG Chun Wai, Tommy	APV527	Licensed Representative - Responsible Officer	4	
POON Cheung Fung, David	AAD425	Licensed Representative - Responsible Officer	2	
SO Chi Kin	ANW377	Licensed Representative - Responsible Officer	2	
STOCKFORD Michael	AEU267	Licensed Representative - Responsible Officer	1, 4	
SUEN Wai Kin	BDP679	Licensed Representative - Responsible Officer	1	
TAM Shing Tak	AUI999	Licensed Representative - Responsible Officer	4	
TANG Ka Nam	AFM342	Licensed Representative - Responsible Officer	9	
TOCHE Patrick	BGV097	Licensed Representative - Responsible Officer	4	
WONG Chi Chiu, Alie	AEC894	Licensed Representative - Responsible Officer	9	
WONG Hoi Ki	AJO046	Licensed Representative - Responsible Officer	4, 9	
WONG Yu Chiu	AAC100	Licensed Representative - Responsible Officer	9	
WU Jing	BOA536	Licensed Representative - Responsible Officer	4	
XU Jianqiang	BAJ277	Licensed Representative - Responsible Officer	4	
YANG Chao	BDI839	Licensed Representative - Responsible Officer	1, 4	
YANG Rui	BKS167	Licensed Representative - Responsible Officer	1	
YANG Wenhuan	ALL166	Licensed Representative - Responsible Officer	2, 5	
YEUNG Pok Man, Harvey	AJE845	Licensed Representative - Responsible Officer		3
YU Tat Chi, Michael	AFM499	Licensed Representative - Responsible Officer	6	
YUEN Ka Chung	ARD624	Licensed Representative - Responsible Officer	4, 9	
ZHANG Minghua	ASP315	Licensed Representative - Responsible Officer	1, 4	

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2019**

<b>CE Name</b>	<b>CE No.</b>	<b>License Type</b>	<b>Types of Regulated Activity Added</b>	<b>Types of Regulated Activity Removed</b>
ZHANG Tingfei	AUA545	Licensed Representative - Responsible Officer	4	
YU William Norhan	BOU470	Licensed Representative (Provisional Licence)	1	