

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 06/2020**

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
Ascalon Capital Limited	AYR797	Licensed Corporation		1
Axial Partners Limited	BIZ186	Licensed Corporation	4	
China Daisy Asset Management Limited	BFK520	Licensed Corporation	1	
Deutsche Securities Asia Limited	AAJ984	Licensed Corporation		7
HarbourVest Partners (Asia) Limited	BAD993	Licensed Corporation	4	
HC International Asset Management Co., Limited	BOA938	Licensed Corporation	4	
HSBC Global Asset Management Holdings (Bahamas) Limited	AAF425	Licensed Corporation		4, 5
Luk Fook Asset Management (HK) Limited	BAE825	Licensed Corporation	4	
Macquarie Funds Management Hong Kong Limited	AGZ772	Licensed Corporation	6	
Olympus Partners Asia Limited	BNS042	Licensed Corporation	9	
Silverbricks Securities Company Limited	BLN458	Licensed Corporation	6	
Stonehorn Global Partners Limited	BNK899	Licensed Corporation	4	
Tianda Asset Management Limited	AVT667	Licensed Corporation	4	
Tianda Securities Limited	AVT666	Licensed Corporation	4	
W2 Asset Management (HK) Limited	ASU171	Licensed Corporation	4	
AGARWAL Anil Kumar	ALL688	Licensed Representative		4
ANG Xiao Yun	AQU426	Licensed Representative	4	
AU Shuk Yan	BIZ311	Licensed Representative	1, 4	6
BAE Sang Hoon	BHC315	Licensed Representative		1
CAI Xinyi	BDW987	Licensed Representative		5
CAINES Rory Thomas	BGM175	Licensed Representative	9	6
CERNIK Jaromir	BMK891	Licensed Representative		6
CHAN Cheuk Ki, Christy	AWX086	Licensed Representative	2	
CHAN Ching Fan, Oscar	AJH806	Licensed Representative		1
CHAN Gary Ming Tak	AFU649	Licensed Representative	4	
CHAN Herman Ho Ming	BFJ582	Licensed Representative		4
CHAN Hon Shui Leo	APG915	Licensed Representative		1
CHAN Ka Hung	ATL821	Licensed Representative	4, 5, 9	1, 2
CHAN Kin Ho	BLZ744	Licensed Representative	9	
CHAN KIN WAI	BOM068	Licensed Representative	9	
CHAN Kin Yan	BIJ852	Licensed Representative	4	
CHAN Kwan Pak	BMK888	Licensed Representative		1
CHAN Shu Kai	AAJ626	Licensed Representative		4, 9
CHAN Tak Chiu	AWV321	Licensed Representative	2	
CHAN Tin Chi	BOQ042	Licensed Representative	1, 4	
CHAN Wah Man Carman	AQD276	Licensed Representative		1
CHAN Wai Lam	AYC499	Licensed Representative	4, 9	1, 2
CHANG Jack P	AKR038	Licensed Representative		5
CHAU Kong San	AYR628	Licensed Representative	1, 4	
CHELAT Iqbal Ramiz	ALF336	Licensed Representative	1, 4	
CHEN Gong	BOB236	Licensed Representative	9	
CHEN Po-Liang	BOG416	Licensed Representative	1	
CHEN Yu	BLN446	Licensed Representative	4, 6, 9	1
CHENG Hiu Man	BOT253	Licensed Representative		1
CHENG Ho Chung	BEC880	Licensed Representative		1
CHENG Keung	ACO077	Licensed Representative		1, 2, 3
CHENG Nan Ying	BAA226	Licensed Representative		4
CHENG Zhiyang	BLH890	Licensed Representative	6	4
CHEUNG Kin, Wilson	AFL211	Licensed Representative		1
CHEUNG Ngar Lok, Alan	AFF115	Licensed Representative		2
CHEUNG Tsun Hei, Keith	BLE084	Licensed Representative	6	
CHEUNG Wai Fai	AFD181	Licensed Representative		4, 5, 9
CHEUNG Wai On, Simon	AJK782	Licensed Representative		1
CHIU Chee Keung, Benjamin	AMS034	Licensed Representative	4	
CHIU Sai Kit	BKZ194	Licensed Representative		4, 5
CHO Young-Rae	AYK090	Licensed Representative	9	
CHOI Chung Lee, Matthew	AAQ330	Licensed Representative	4	
CHOW Jesson Kwok Kit	BJR310	Licensed Representative		2
CHOW Wan Leung	ATM643	Licensed Representative	2	
CHU Chun Man	ASZ711	Licensed Representative		1
CHU King Tin	BJL958	Licensed Representative	2	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CHUA Kam Yi	BNQ733	Licensed Representative	9	
CHUI Henry Kar-Kea	AQI323	Licensed Representative	4	
CHUNG King Cheuk	BOO443	Licensed Representative	1	5
CUI Hai	BOL294	Licensed Representative	1	
CUI Wei	BLW939	Licensed Representative	9	1, 2
DERBAUDRENGHIEN Valery Guy Leon	AVT246	Licensed Representative		9
FAN Yu Shen	BOP727	Licensed Representative	9	
FENG Xuezhong	BNX429	Licensed Representative	1	
FUKUDA Tadashi	AVM311	Licensed Representative		4
FUNG Kam Yin, Kenneth	AUN817	Licensed Representative	6	
GAO Baiwen	BMW176	Licensed Representative	9	1
GUO Xinxin	BEM326	Licensed Representative	4	
HAI Yangguangyi	BOP825	Licensed Representative	1	4, 9
HAN Wenfei	BND515	Licensed Representative	10	1, 4, 6
HAO Wenjing	BOW958	Licensed Representative		2
HARTNETT Rory Patrick	BOO711	Licensed Representative		9
HE Tingting	BOZ736	Licensed Representative	4, 9	
HE Yi	APL689	Licensed Representative	9	
HO Ka Fai	AJC716	Licensed Representative		2
HO Wai Lee	ASK885	Licensed Representative	9	
HUA Siyang	BIM947	Licensed Representative	4	
HUANG Xiya	BNI394	Licensed Representative	1	6
HUI Chi Kit, Daniel	ADB712	Licensed Representative		1
HUI John	AFU688	Licensed Representative	2	
HUNG Wai Keung	BGD987	Licensed Representative	2	
JIN Jialiang	AYU051	Licensed Representative		2, 5, 9
KAM Ka On	BCB658	Licensed Representative		2
KUNG Tin Wai	BKF902	Licensed Representative	4	6
KWOK Hon Kuen	AER962	Licensed Representative		1, 2
LAI Kwan Pak	AQM571	Licensed Representative	2	
LAM Che Chung, Dennis	ALG475	Licensed Representative		4
LAM Pak Chuen, Burton	BDY443	Licensed Representative	4, 9	10
LAM Shu Hon	AWP285	Licensed Representative	3	
LAU Chi Ching	APF062	Licensed Representative		4
LAU Yu Leong	BNL309	Licensed Representative		2, 4, 5
LEE Chun Pong	AOD724	Licensed Representative	6	
LEE Chun-Ting	BEW769	Licensed Representative	1	
LEE Jina	AUT717	Licensed Representative	4	1
LEE Kar Ming Chris	BNW053	Licensed Representative	2	
LEE Lung Kee, Rocky	BAV053	Licensed Representative		3
LEE Pui Shan	BHX611	Licensed Representative	3	
LEE Wai Kei	AGE853	Licensed Representative	4	
LEE Yang-Shen	BLL629	Licensed Representative	1	
LEE Yin Wa	BEF451	Licensed Representative	9	
LEE Ying-ju	BCU420	Licensed Representative	9	1
LEUNG Michelle Yan	AXV599	Licensed Representative	1	
LEUNG Wai Kei	ANQ085	Licensed Representative	1	4, 9
LEW Cheuk Ning	BDM050	Licensed Representative	2	
LI Cheng	BDT950	Licensed Representative	9	1, 2
LI Enhui	BKT285	Licensed Representative		1
LI Xiaoye	BOR341	Licensed Representative		7
LI Yik Ngai	BMF541	Licensed Representative		2
LI Yisui	BLK608	Licensed Representative	9	1, 2
LI Yong	BEV817	Licensed Representative	2, 5	
LI Yu	BMO953	Licensed Representative	4, 9	10
LIU Hui	BNC679	Licensed Representative	4	
LIU Qunmin	BJG608	Licensed Representative	9	
LIU Renhe	BFC981	Licensed Representative		4
LO Ka Kuen	APK408	Licensed Representative	4	
LO Ka Ying	AVK938	Licensed Representative		9
LONG Weili	ARM296	Licensed Representative	1	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LU Chengchun	BOP071	Licensed Representative	1	
LU Chia-lin	AWB680	Licensed Representative	9	4
LU Xingxian	BNE600	Licensed Representative	4, 9	
LUI Lok Yan	BFK984	Licensed Representative	2	4, 9
MA Wing Yin, Janice	BGA515	Licensed Representative		4
MA Yi	BHX379	Licensed Representative	1	
MCGREAL Nicola Joy	BJR426	Licensed Representative		9
MENG Qing	BFA703	Licensed Representative	4, 9	1
MING Jia	BEN354	Licensed Representative	5, 9	
MO Chun Wan	APH784	Licensed Representative		9
MOK Ho Nam	BLK652	Licensed Representative		4, 9
MOK Pik Mei	ABY340	Licensed Representative		2
NG Ching Yin	ARS551	Licensed Representative		4
NG Geoffrey Junxiong	BFW885	Licensed Representative		1
NG Yiu Man	AGJ090	Licensed Representative	2	
NGAN Or	BCW210	Licensed Representative		3
ONEILL Matthew William	ASL088	Licensed Representative	2	
OU Ofra Sueh Hung	AME527	Licensed Representative		1
PAL Suneil	BJJ764	Licensed Representative		7
PANG Po Tung Eugene	BNV841	Licensed Representative	1	
PARK Hyo Eun	BPJ793	Licensed Representative		1, 4
PENG Fengyi	BEO927	Licensed Representative	4	
PENG Wensheng	ARI892	Licensed Representative	1	
POON Wai Chu	BFC740	Licensed Representative		2
PURI Ruchit	BJG651	Licensed Representative	9	1, 2
QIU Jin	AZJ947	Licensed Representative	9	
QU Wenting	BNE853	Licensed Representative	2, 4	
QUE Bon Tan, Gerald	AAB058	Licensed Representative		1, 4, 9
RODDY Nicole Elizabeth	BJT517	Licensed Representative		4
SHEK Wan Sang Andy	BAG221	Licensed Representative	4	
SHI Jianan	ATH351	Licensed Representative	1	
SHI Yaying	BLO847	Licensed Representative	4	9
SHU Yi Chen	BAW942	Licensed Representative		1
SHUM Wai Nap	AAE469	Licensed Representative		4
SINGH Rohit	AVJ143	Licensed Representative	7	
SO Suet Lam	BDH015	Licensed Representative	6	
SONG Hao	BNH693	Licensed Representative	6	9
SU Chang	BNT984	Licensed Representative		9
SUEN Kin Pong	ACO272	Licensed Representative		1
SUEN Tin Yan	AXR986	Licensed Representative	4, 9	
SUN Htao	BPA258	Licensed Representative	6	
SUN Xiaowen	BJR647	Licensed Representative	1	2
SURANA Sandeep	BNO018	Licensed Representative	9	1, 2
SUZUKI Akira	AZL137	Licensed Representative		4
TAM Ka Chun	BFW877	Licensed Representative	4, 9	10
TAM Kin Man, Arthur	ANM878	Licensed Representative		4
TAM Yuk Yin, Denise	AQW022	Licensed Representative	3	
TAN Dao Gyng	APH716	Licensed Representative		1, 4
TAN Dominic Zhi Ping	AXF453	Licensed Representative	4	
TAN James Seng Yee	ATN584	Licensed Representative		2
TANG Yin Chung	BOS674	Licensed Representative	1, 4	
TANG Yinan	ARH247	Licensed Representative	1	
TANG Yip Keung	BIL441	Licensed Representative	4, 5	
THIELEN Markus Christian	AKS994	Licensed Representative		2, 4, 9
TOO Seng Shen	BLO792	Licensed Representative		4
TSANG Tang Kwan	BKT126	Licensed Representative		6
TSANG Yiu Yeung	BIH886	Licensed Representative	4	
TSOI Ka Wai, Derek	AUP395	Licensed Representative		4
TSUI Cheung On	APH370	Licensed Representative		4
TU Hua	BCO528	Licensed Representative	4, 9	1, 6
UEBERSCHAER Ulf	BPI932	Licensed Representative	9	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
WAN Ho Lam	AUR976	Licensed Representative	1	
WANG Chao	BCH778	Licensed Representative	1	
WANG Hongbo	BDJ609	Licensed Representative	4	9
WANG Jeffery	BJK661	Licensed Representative	1	2, 5
WANG Rui	BGP155	Licensed Representative	1, 2	9
WANG Shibo	BOU785	Licensed Representative	1	
WANG Tianfang	BNN586	Licensed Representative	4	
WANG Yuxuan	AVS196	Licensed Representative	1	
WANG Zhe	BNQ456	Licensed Representative	1	
WEI Chao	BNO688	Licensed Representative		5
WISEMAN Mark Andrew	BJE311	Licensed Representative		1
WONG Chi Wah, Philip	ABV200	Licensed Representative		4
WONG Pik Man	BJR272	Licensed Representative		1
WONG Shun King	BLW758	Licensed Representative	3	
WONG Tin Chun	BIN699	Licensed Representative	9	
WONG Wai Keung	ACL006	Licensed Representative	4	
WONG Wai Ming	ASD119	Licensed Representative		2
WOO Kai Wing Ray	AVM639	Licensed Representative		2
WU Shuo	BEL223	Licensed Representative	1	
WU Xiaozheng	AQM287	Licensed Representative	1	4, 9
WU Yen Sheng	BMG512	Licensed Representative	1	
XIA Qian	BML167	Licensed Representative		2
XIAO Li	BKW546	Licensed Representative	9	
XIAO Yang	ARO451	Licensed Representative		4
XIE Hongyun	BAI365	Licensed Representative		6
XIE Yangqi	BEM366	Licensed Representative	9	
XU Hao	AVA631	Licensed Representative	1	
XU Qiumeng	BKZ025	Licensed Representative	1	
XU Xiuqi	BNO488	Licensed Representative	4, 9	1
XU Xu	AVL306	Licensed Representative	1	
XU Yunzhi	BPK668	Licensed Representative	1, 4	
YAM Hang Fai	APW638	Licensed Representative		1
YANG Dezhong	BGX441	Licensed Representative	9	1, 2
YANG Fan	APF030	Licensed Representative		1, 2, 4, 5, 9
YANG Hoyeol	BNN324	Licensed Representative	4	9
YANG Mengchuan	BGX591	Licensed Representative		4
YAO Xue	AWK470	Licensed Representative	9	
YE Zhiyuan	BNU296	Licensed Representative		9
YEUNG Chun Pui	BJE036	Licensed Representative	9	1, 2
YEUNG Tak Lam	ANN274	Licensed Representative		4
YIP Chun Wai	ACP491	Licensed Representative		4
YIP Fung	ALZ665	Licensed Representative	9	1, 2
YU Chieh Mean	BIZ753	Licensed Representative	6	
YUEN Shan Han	BPJ794	Licensed Representative	1	
YUK Michael Ming Tak	AQN893	Licensed Representative	2	
YUNG Johnnie Ka Sing	AUV614	Licensed Representative	9	
ZHANG Shichen	AOS551	Licensed Representative	1	
ZHANG Yunfang	BHY180	Licensed Representative	4	9
ZHENG Heyuan	BJE227	Licensed Representative	9	
ZHONG Li	BLV208	Licensed Representative	9	
ZHONG Mingchang	BKM736	Licensed Representative	9	6
ZHOU Hao	AUV521	Licensed Representative	1, 4	9
ZHOU Mengheng	BOO998	Licensed Representative	1	
ZHOU Min	BMK651	Licensed Representative		2
ZUO Mingzhi	BNN320	Licensed Representative	1, 4	
CHAN Chi Tao	ATC468	Licensed Representative - Responsible Officer	1	
CHAN Chuak Hoan	AFN605	Licensed Representative - Responsible Officer		1
CHAN Chun Yin	BAF372	Licensed Representative - Responsible Officer	1	
CHAN Kar Wah	ADS842	Licensed Representative - Responsible Officer	2, 9	
CHAN Wai Yuen	BFO689	Licensed Representative - Responsible Officer	4	
CHAN Wei-Jin Christopher	AMA925	Licensed Representative - Responsible Officer	4	

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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CHEUNG Kin Sang	ACI723	Licensed Representative - Responsible Officer	4	
CHEUNG Yik Cho	ABZ300	Licensed Representative - Responsible Officer		2
CHOW Wai Chi, Alex	ABS168	Licensed Representative - Responsible Officer		2
FLOWER Tim William	BBR100	Licensed Representative - Responsible Officer	4	
FUKUMOTO Rikiya	BPF372	Licensed Representative - Responsible Officer	4	
FUNG Chi Wai	APW679	Licensed Representative - Responsible Officer	5	
GONG Yilin	BMW183	Licensed Representative - Responsible Officer	1	
GU Erdong	AZT025	Licensed Representative - Responsible Officer	5	
HANAFUSA Io	BDR024	Licensed Representative - Responsible Officer		7
HUI Shi Man	AFY915	Licensed Representative - Responsible Officer	4	
KIM Jong Kuk	AFC107	Licensed Representative - Responsible Officer	9	
KO Wai Shing	AGI886	Licensed Representative - Responsible Officer	2	
LAI Chengdi	BDL588	Licensed Representative - Responsible Officer		9
LAI Tsung-Yu	BLL631	Licensed Representative - Responsible Officer	1	
LAM Yick Hing	AMB346	Licensed Representative - Responsible Officer	1	
LAM Yip Kay John	ARS101	Licensed Representative - Responsible Officer	4	
LAU Chak Lam	ABU297	Licensed Representative - Responsible Officer	4	
LECORN Samuel John	ARA335	Licensed Representative - Responsible Officer	4	
LEE Yu Hin	AZG929	Licensed Representative - Responsible Officer	2	
LI Kwok Man	BKA616	Licensed Representative - Responsible Officer	4	
LI Shuk Wa, Vivian	ANY054	Licensed Representative - Responsible Officer	4	
LI Xin	AXS320	Licensed Representative - Responsible Officer	4	
LI Yanting	BOB078	Licensed Representative - Responsible Officer	4	
LI Yong	BEV817	Licensed Representative - Responsible Officer	4	
LO Cheuk Yee, Helen	ANA705	Licensed Representative - Responsible Officer		9
LO Duke Yun-Tao	AQI549	Licensed Representative - Responsible Officer	4	
LOK Kong Hung	AGJ942	Licensed Representative - Responsible Officer	4	
MA Wenhui	BMR908	Licensed Representative - Responsible Officer		9
MOK Kin Wai	ABS374	Licensed Representative - Responsible Officer	4	
MOK Pik Mei	ABY340	Licensed Representative - Responsible Officer	4	
ROSE Daniel John	AYA429	Licensed Representative - Responsible Officer	1, 4, 6	
SCHOLLUM Myo Wai	AMP186	Licensed Representative - Responsible Officer		1
SHEN Chong	ATH920	Licensed Representative - Responsible Officer	4	
SHUM Kei Yiu, Daniel	ALY610	Licensed Representative - Responsible Officer	1, 4	
SO Bing Hang, Allan	AXH233	Licensed Representative - Responsible Officer	4	
SUEN Kin Pong	ACO272	Licensed Representative - Responsible Officer	4, 9	
THAKORE Hemal	AED178	Licensed Representative - Responsible Officer	4	
THYGESEN Brent George	BGG400	Licensed Representative - Responsible Officer		7
UDING Gerardus Henricus Paulus	AUZ982	Licensed Representative - Responsible Officer	4	
WAN Ngar Yin, David	ABH754	Licensed Representative - Responsible Officer	6	
WANG Ting	BIR267	Licensed Representative - Responsible Officer	5	
WATSON Steven Thomas	AFA783	Licensed Representative - Responsible Officer	1	
WU Jian	BPG765	Licensed Representative - Responsible Officer	1, 9	
YANG Angus Sheau Yu	ADI662	Licensed Representative - Responsible Officer		7
YAP Hsiang Yang	BBR103	Licensed Representative - Responsible Officer	4	
YIP Chun Wai	ACP491	Licensed Representative - Responsible Officer	2	
YIU Tak Yin	AGK517	Licensed Representative - Responsible Officer	2	
YOON Sung Jin	AUN972	Licensed Representative - Responsible Officer	9	
YU Pui Man, Sharon	BFD429	Licensed Representative - Responsible Officer	4	
ZHANG Xiaomei	AKV310	Licensed Representative - Responsible Officer	4	
Royal Bank of Canada	AAE727	Registered Institution	2	