



Aggregated Reportable Short Positions of Specified Shares as of 11/11/2016

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	14,744,667	\$ 1,383,049,765
2	CLP HOLDINGS	18,592,822	\$ 1,413,054,472
3	HK & CHINA GAS	289,625,900	\$ 4,234,330,658
4	WHARF HOLDINGS	71,443,297	\$ 3,890,087,522
5	HSBC HOLDINGS	42,107,748	\$ 2,574,888,790
6	POWER ASSETS	9,888,085	\$ 715,402,950
11	HANG SENG BANK	13,537,620	\$ 1,933,172,136
12	HENDERSON LAND	10,603,030	\$ 452,219,230
16	SHK PPT	21,028,743	\$ 2,199,606,518
17	NEW WORLD DEV	96,711,589	\$ 854,930,447
19	SWIRE PACIFIC A	11,040,745	\$ 866,698,483
23	BANK OF E ASIA	90,147,626	\$ 2,830,635,456
27	GALAXY ENT	62,717,216	\$ 2,079,075,710
53	GUOCO GROUP	0	\$ 0
56	ALLIED PPT (HK)	0	\$ 0
64	GET NICE	7,218,000	\$ 1,912,770
66	MTR CORPORATION	48,534,408	\$ 2,033,591,695
83	SINO LAND	17,860,209	\$ 206,464,016
86	SUN HUNG KAI CO	3,446,426	\$ 16,025,881
101	HANG LUNG PPT	55,535,487	\$ 922,999,794
109	GOODRESOURCES	20,934,000	\$ 8,373,600
133	CHINA MERCHANTS	90,000	\$ 1,009,800
135	KUNLUN ENERGY	185,208,445	\$ 1,050,131,883
144	CHINA MER PORT	31,480,397	\$ 611,978,918
151	WANT WANT CHINA	914,192,752	\$ 4,333,273,644
165	CHINA EB LTD	13,463,012	\$ 208,945,946
168	TSINGTAO BREW	43,485,219	\$ 1,337,170,484
218	SHENWANHONGYUAN	6,995,000	\$ 31,057,800
267	CITIC	112,000,086	\$ 1,279,040,982
273	MASON FIN	17,560,000	\$ 2,897,400
293	CATHAY PAC AIR	153,462,336	\$ 1,605,216,035
373	ALLIED GROUP	0	\$ 0
378	FDG KINETIC	4,234,000	\$ 5,546,540
386	SINOPEC CORP	124,324,541	\$ 673,839,012
388	HKEX	37,107,127	\$ 7,651,489,587
390	CHINA RAILWAY	125,512,884	\$ 843,446,580
416	BANKOFJINZHOU	1,087,000	\$ 8,739,480
440	DAH SING	1,273,106	\$ 66,137,857
489	DONGFENG GROUP	57,186,542	\$ 450,629,951
494	LI & FUNG	665,317,688	\$ 2,368,530,969
530	GOLDIN FIN HOLD	2,634,000	\$ 9,851,160



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605	C FIN SERVICES	0	\$ 0
622	ENERCHINA HOLD	21,624,000	\$ 11,893,200
665	HAITONG INT'L	112,299,803	\$ 582,835,978
688	CHINA OVERSEAS	65,700,816	\$ 1,524,258,931
700	TENCENT	122,429,438	\$ 24,485,887,600
717	EMPEROR CAPITAL	7,248,000	\$ 6,160,800
721	C FIN INT INV	15,270,000	\$ 6,947,850
728	CHINA TELECOM	72,789,036	\$ 275,142,556
753	AIR CHINA	56,891,181	\$ 287,300,464
762	CHINA UNICOM	209,621,615	\$ 1,802,745,889
806	VALUE PARTNERS	49,149,187	\$ 351,416,687
823	LINK REIT	28,262,850	\$ 1,500,757,335
836	CHINA RES POWER	43,563,070	\$ 557,607,296
857	PETROCHINA	84,592,560	\$ 439,035,386
883	CNOOC	228,809,437	\$ 2,198,858,690
902	HUANENG POWER	333,636,943	\$ 1,574,766,371
914	ANHUI CONCH	221,823,503	\$ 5,168,487,620
916	CHINA LONGYUAN	58,044,662	\$ 331,435,020
931	CHINA LNG	582,107,519	\$ 118,167,826
939	CCB	283,934,875	\$ 1,567,320,510
941	CHINA MOBILE	38,440,344	\$ 3,238,598,982
966	CHINA TAIPING	28,026,892	\$ 428,811,448
992	LENOVO GROUP	713,322,569	\$ 3,438,214,783
998	CITIC BANK	169,523,606	\$ 832,360,905
1004	C SMARTERENERGY	1,806,000	\$ 1,462,860
1031	KINGSTON FIN	99,689,208	\$ 342,930,876
1038	CKI HOLDINGS	13,024,970	\$ 852,484,287
1044	HENGAN INT'L	58,104,842	\$ 3,486,290,520
1088	CHINA SHENHUA	122,312,987	\$ 2,101,337,117
1099	SINOPHARM	12,179,138	\$ 425,051,916
1109	CHINA RES LAND	28,986,926	\$ 543,794,732
1111	CHONG HING BANK	0	\$ 0
1113	CK PROPERTY	12,798,647	\$ 670,009,170
1141	SKYWAY SEC	67,210,000	\$ 11,022,440
1211	BYD COMPANY	112,848,609	\$ 5,315,169,484
1288	ABC	553,570,557	\$ 1,749,282,960
1299	AIA	53,126,174	\$ 2,568,650,513
1336	NCI	26,273,213	\$ 910,366,830
1339	PICC GROUP	90,942,990	\$ 272,828,970
1359	CHINA CINDA	224,626,730	\$ 613,230,973
1375	CC SECURITIES	9,675,640	\$ 40,637,688



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1398	ICBC	193,262,588	\$ 889,007,905
1456	GUOLIAN SEC	160,000	\$ 664,000
1476	HENGTOU SEC	0	\$ 0
1508	CHINA RE	41,939,000	\$ 75,909,590
1578	BANK OF TIANJIN	0	\$ 0
1658	PSBC	18,661,803	\$ 78,006,337
1766	CRRC	361,504,943	\$ 2,555,839,947
1776	GF SEC	64,559,136	\$ 1,118,164,236
1788	GUOTAI JUNAN I	125,576,021	\$ 394,308,706
1800	CHINA COMM CONS	173,192,736	\$ 1,608,960,517
1816	CGN POWER	699,419,175	\$ 1,566,698,952
1880	BELLE INT'L	107,517,929	\$ 482,755,501
1928	SANDS CHINA LTD	75,708,970	\$ 2,657,384,847
1963	BCQ	0	\$ 0
1988	MINSHENG BANK	502,867,554	\$ 4,395,062,422
2016	CZBANK	0	\$ 0
2018	AAC TECH	39,337,826	\$ 2,708,409,320
2066	SHENGJINGBANK	0	\$ 0
2202	CHINA VANKE	63,684,675	\$ 1,337,378,175
2318	PING AN	933,271,821	\$ 37,937,499,524
2319	MENGNU DAIRY	108,444,493	\$ 1,659,200,743
2328	PICC P&C	10,342,000	\$ 123,069,800
2333	GREATWALL MOTOR	330,526,957	\$ 2,376,488,821
2356	DAHSING BANKING	1,579,314	\$ 22,110,396
2378	PRU	0	\$ 0
2388	BOC HONG KONG	65,578,464	\$ 1,852,591,608
2601	CPIC	21,795,105	\$ 598,275,632
2628	CHINA LIFE	316,255,463	\$ 6,078,429,999
2666	UNI MEDICAL	5,089,995	\$ 35,579,065
2799	CHINA HUARONG	101,155,617	\$ 308,524,632
2883	CHINA OILFIELD	235,602,839	\$ 1,663,356,043
2888	STANCHART	7,622,848	\$ 493,960,550
3328	BANKCOMM	148,361,408	\$ 875,332,307
3360	FE HORIZON	41,228,506	\$ 287,774,972
3618	CQRC BANK	46,785,787	\$ 212,875,331
3698	HUIZHANG BANK	8,225,505	\$ 30,927,899
3866	BQD	0	\$ 0
3898	CRRC TIMES ELEC	33,271,286	\$ 1,314,215,797
3903	HANHUA FIN	0	\$ 0
3908	CICC	11,615,359	\$ 133,344,321
3968	CM BANK	156,272,381	\$ 2,862,910,020



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3988	BANK OF CHINA	185,489,124	\$ 634,372,804
6030	CITIC SEC	112,475,246	\$ 1,968,316,805
6138	HARBIN BANK	807,000	\$ 1,896,450
6196	BANKOFZHENGZHOU	0	\$ 0
6818	CEB BANK	79,362,121	\$ 277,767,424
6837	HAITONG SEC	103,697,356	\$ 1,466,280,614
6878	DIFFER GROUP	1,092,000	\$ 655,200
6881	CGS	149,512,263	\$ 1,143,768,812
6886	HTSC	28,004,277	\$ 468,231,511
8193	GC PRO SER	0	\$ 0
8207	CREDIT CHINA	31,148,000	\$ 28,344,680