



Aggregated Reportable Short Positions of Specified Shares as of 04/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	19,003,921	\$ 1,997,312,097
2	CLP HOLDINGS	23,401,543	\$ 1,977,430,384
3	HK & CHINA GAS	216,290,606	\$ 3,222,730,029
4	WHARF HOLDINGS	11,332,398	\$ 810,833,077
5	HSBC HOLDINGS	60,111,711	\$ 4,718,769,314
6	POWER ASSETS	15,968,765	\$ 1,238,377,726
8	PCCW	53,840,741	\$ 236,899,260
10	HANG LUNG GROUP	3,760,322	\$ 115,065,853
11	HANG SENG BANK	10,631,088	\$ 1,863,629,726
12	HENDERSON LAND	7,092,505	\$ 333,347,735
14	HYSAN DEV	2,494,646	\$ 92,925,564
16	SHK PPT	10,173,835	\$ 1,256,468,623
17	NEW WORLD DEV	50,887,018	\$ 543,473,352
19	SWIRE PACIFIC A	7,277,593	\$ 573,474,328
20	WHEELLOCK	3,529,768	\$ 218,845,616
23	BANK OF E ASIA	53,801,464	\$ 1,818,489,483
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	51,729,958	\$ 2,464,932,499
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	24,228,372	\$ 24,712,939
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	574,000	\$ 2,473,940
38	FIRST TRACTOR	7,785,652	\$ 29,040,482
39	CH BEIDAHUANG	17,800,000	\$ 7,120,000
41	GREAT EAGLE H	158,707	\$ 6,824,401
43	C.P. POKPHAND	0	\$ 0
44	HAECO	38,808	\$ 2,120,857
45	HK&S HOTELS	5,784,965	\$ 80,642,412
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	1,473,661	\$ 47,893,983
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	1,015,500	\$ 30,363,450
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	0	\$ 0
66	MTR CORPORATION	62,558,233	\$ 2,887,062,453
69	SHANGRI-LA ASIA	5,572,725	\$ 70,996,517
71	MIRAMAR HOTEL	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 04/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0
81	CH OVS G OCEANS	8,696,621	\$ 37,395,470
83	SINO LAND	23,858,267	\$ 311,111,802
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	145,000	\$ 1,210,750
95	LVGEM CHINA	4,014,286	\$ 8,711,001
100	CLEAR MEDIA	0	\$ 0
101	HANG LUNG PPT	14,717,465	\$ 295,085,173
102	SUMMIT ASCENT	71,739,212	\$ 127,695,797
105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	5,797,222	\$ 18,725,027
116	CHOW SANG SANG	142,514	\$ 2,622,258
119	POLY PROPERTY	94,910,191	\$ 370,149,745
123	YUEXIU PROPERTY	40,488,000	\$ 57,897,840
127	CHINESE EST H	2,096,000	\$ 29,763,200
129	ASIA STANDARD	2,732,000	\$ 6,201,640
133	CHINA MERCHANTS	34,000	\$ 420,240
135	KUNLUN ENERGY	347,688,843	\$ 2,663,296,537
136	HENGTEN NET	864,444,255	\$ 95,088,868
142	FIRST PACIFIC	8,290,421	\$ 49,991,239
144	CHINA MER PORT	12,585,250	\$ 318,406,825
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD CHEM	2,972,461	\$ 104,333,381
151	WANT WANT CHINA	780,867,755	\$ 4,037,086,293
152	SHENZHEN INT'L	3,825,954	\$ 53,945,951
158	MELBOURNE ENT	0	\$ 0
163	EMPEROR INT'L	0	\$ 0
164	CHINA BAOLI TEC	57,882,734	\$ 10,534,658
165	CHINA EB LTD	26,340,175	\$ 483,078,810
168	TSINGTAO BREW	20,488,616	\$ 687,393,067
171	SILVER GRANT	1,302,000	\$ 1,666,560
173	K. WAH INT'L	993,487	\$ 4,659,454
175	GEELY AUTO	150,905,363	\$ 2,794,767,323
177	JIANGSU EXPRESS	12,900,101	\$ 146,803,149
178	SA SA INT'L	44,799,887	\$ 128,127,677
179	JOHNSON ELEC H	2,413,669	\$ 68,789,567
182	CONCORD NE	44,420,000	\$ 14,880,700
186	GRANDE HOLDINGS	0	\$ 0
194	LIU CHONG HING	0	\$ 0
196	HONGHUA GROUP	28,013,462	\$ 18,488,885



Aggregated Reportable Short Positions of Specified Shares as of 04/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
198	SMI HOLDINGS	67,626,412	\$ 277,268,289
199	ITC PROPERTIES	0	\$ 0
200	MELCO INT'L DEV	10,410,793	\$ 186,769,626
202	EVERCHINA INT'L	0	\$ 0
207	JOY CITY PPT	19,202,000	\$ 22,274,320
215	HUTCHTEL HK	48,383,209	\$ 142,246,634
217	CHINA CHENGTONG	1,690,040	\$ 743,618
218	SHENWANHONGYUAN	8,040,000	\$ 25,486,800
220	U-PRESID CHINA	54,081,408	\$ 320,702,749
222	MIN XIN HOLD	0	\$ 0
225	POKFULAM	0	\$ 0
232	AVIC INT'L	42,548,000	\$ 17,019,200
235	CHINA STRATEGIC	183,097,308	\$ 18,859,023
241	ALI HEALTH	281,216,938	\$ 1,006,756,638
242	SHUN TAK HOLD	25,943,221	\$ 82,758,875
243	QPL INT'L	0	\$ 0
245	CN MINSHENG FIN	47,505,236	\$ 22,802,513
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	442,000	\$ 4,013,360
256	CITYCHAMP	21,202,096	\$ 36,679,626
257	CHINA EB INT'L	132,501,948	\$ 1,351,519,870
258	TOMSON GROUP	760,000	\$ 3,047,600
259	YEEBO (INT'L H)	744,000	\$ 3,095,040
261	CCT LAND	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	64,647,275	\$ 771,888,464
268	KINGDEE INT'L	194,757,003	\$ 623,222,410
270	GUANGDONG INV	31,388,777	\$ 345,276,547
272	SHUI ON LAND	37,214,292	\$ 72,195,726
273	MASON GP HOLD	146,536,401	\$ 14,946,713
275	MASTER GLORY GP	66,760,000	\$ 7,744,160
283	GOLDIN PPT	0	\$ 0
285	BYD ELECTRONIC	63,963,787	\$ 1,199,960,644
286	CS HEALTH	1,592,000	\$ 1,002,960
288	WH GROUP	37,240,250	\$ 277,067,460
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	31,920,420	\$ 646,388,505
293	CATHAY PAC AIR	66,865,022	\$ 818,427,869
297	SINOFERT	155,785,069	\$ 172,921,427
299	NEW SPORTS	108,180,000	\$ 3,894,480
303	VTECH HOLDINGS	1,286,854	\$ 142,197,367



Aggregated Reportable Short Positions of Specified Shares as of 04/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
308	CHINA TRAVEL HK	121,682,950	\$ 278,653,956
315	SMARTONE TELE	16,891,161	\$ 172,627,665
316	OOIL	5,445,884	\$ 393,192,825
317	COMEC	9,034,000	\$ 123,585,120
321	TEXWINCA HOLD	21,339,377	\$ 103,282,585
322	TINGYI	163,366,693	\$ 1,617,330,261
323	MAANSHAN IRON	57,519,828	\$ 226,628,122
327	PAX GLOBAL	88,702,800	\$ 444,401,028
329	OCI INTL	0	\$ 0
330	ESPRIT HOLDINGS	61,512,376	\$ 241,128,514
332	YUANHENG GAS	0	\$ 0
336	HUABAO INTL	954,000	\$ 5,122,980
337	GREENLAND HK	6,871,400	\$ 19,102,492
338	SHANGHAI PEICHEM	65,242,234	\$ 292,285,208
340	CHINA MINING	0	\$ 0
341	CAFE DE CORAL H	3,085,049	\$ 78,051,740
342	NEWOCEAN ENERGY	45,124,665	\$ 103,786,730
345	VITASOY INT'L	6,813,321	\$ 113,101,129
347	ANGANG STEEL	54,961,116	\$ 357,796,865
354	CHINASOFT INT'L	50,138,990	\$ 210,082,368
358	JIANGXI COPPER	43,174,152	\$ 593,212,848
363	SHANGHAI IND H	505,000	\$ 11,387,750
368	SINOTRANS SHIP	46,891,824	\$ 100,817,422
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	182,654,256	\$ 1,200,038,462
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
377	HUAJUN HOLD	0	\$ 0
378	FDG KINETIC	7,102,000	\$ 10,653,000
382	WELLING HOLDING	0	\$ 0
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	71,931,817	\$ 1,355,195,432
386	SINOPEC CORP	162,023,007	\$ 955,935,741
388	HKEX	28,741,181	\$ 6,541,492,796
390	CHINA RAILWAY	115,602,488	\$ 709,799,276
392	BEIJING ENT	3,257,034	\$ 131,909,877
400	COGOBUY	38,114,558	\$ 187,904,771
404	HSIN CHONG GP	8,086,000	\$ 2,830,100
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	48,116,000	\$ 198,719,080
412	CHINA INNO FIN	8,543,133	\$ 2,648,371



Aggregated Reportable Short Positions of Specified Shares as of 04/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
413	SC HOLDINGS	0	\$ 0
416	BANKOFJINZHOU	781,000	\$ 6,474,490
419	HUAYI TENCENT	148,090,737	\$ 48,129,490
425	MINTH GROUP	21,380,789	\$ 726,946,826
433	NORTH MINING	158,024,154	\$ 23,545,599
434	BOYAA	5,525,981	\$ 17,351,580
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	67,991,329	\$ 181,536,848
440	DAH SING	607,755	\$ 33,943,117
451	GCL NEWENERGY	167,518,000	\$ 60,306,480
460	SIHUAN PHARM	202,710,935	\$ 664,891,867
467	UNITEDENERGY GP	0	\$ 0
468	GAPACK	4,919,312	\$ 23,071,573
472	NEW SILKROAD	0	\$ 0
480	HKR INT'L	0	\$ 0
486	RUSAL	0	\$ 0
488	LAI SUN DEV	11,700,000	\$ 3,510,000
489	DONGFENG GROUP	118,823,589	\$ 1,106,247,614
493	GOME	1,437,258,644	\$ 1,322,277,952
494	LI & FUNG	638,835,454	\$ 1,814,292,689
496	KASEN	336,000	\$ 433,440
497	CSI PROPERTIES	3,000,000	\$ 1,215,000
506	CHINA FOODS	25,402,001	\$ 92,971,324
511	TVB	6,820,348	\$ 192,674,831
512	CHINAGRANDPHARM	1,336,000	\$ 2,925,840
517	COSCO SHIP INTL	2,090,000	\$ 6,938,800
520	XIABUXIABU	0	\$ 0
521	HNA HOLDING	45,864,000	\$ 13,529,880
522	ASM PACIFIC	28,231,677	\$ 2,756,823,259
525	GUANGSHEN RAIL	19,015,900	\$ 79,296,303
526	LISI GP HOLD	0	\$ 0
527	RUIFENG RENEW	2,228,000	\$ 1,559,600
530	GOLDIN FIN HOLD	13,466,000	\$ 52,786,720
535	GEMDALE PPT	0	\$ 0
538	AJISEN (CHINA)	1,716,846	\$ 5,682,760
546	FUFENG GROUP	48,012,982	\$ 227,101,405
547	DIGITAL DOMAIN	175,505,639	\$ 51,774,164
548	SHENZHENEXPRESS	1,529,199	\$ 10,673,809
551	YUE YUEN IND	5,574,893	\$ 176,724,108
552	CHINACOMSERVICE	6,604,000	\$ 31,104,840
553	NANJING PANDA	216,000	\$ 984,960



Aggregated Reportable Short Positions of Specified Shares as of 04/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
555	REXLOT HOLDINGS	25,600,000	\$ 2,432,000
563	SH IND URBAN	19,243,557	\$ 36,370,323
566	HANERGY TFP	180,828,779	\$ 707,040,526
570	TRAD CHI MED	87,275,845	\$ 362,194,757
576	ZHEJIANGEXPRESS	11,927,298	\$ 120,227,164
577	THE 13 HOLDINGS	12,317,792	\$ 11,948,258
579	JNCEC	13,428,000	\$ 29,810,160
580	SUN.KING ELEC	308,000	\$ 539,000
586	CONCH VENTURE	27,174,533	\$ 384,247,897
588	BEIJING N STAR	3,934,000	\$ 12,313,420
590	LUK FOOK HOLD	2,421,507	\$ 67,802,196
598	SINOTRANS	5,927,580	\$ 25,547,870
603	CHINA OIL & GAS	7,200,000	\$ 3,960,000
604	SHENZHEN INVEST	13,158,000	\$ 46,447,740
605	C FIN SERVICES	0	\$ 0
606	CHINA AGRI	5,243,049	\$ 18,298,241
607	FULLSHARE	872,457,883	\$ 2,756,966,910
621	TAUNG GOLD	0	\$ 0
622	ENERCHINA HOLD	77,600,761	\$ 14,511,342
630	AMCO UNITED	10,240,001	\$ 2,314,240
631	SANY INT'L	6,634,000	\$ 9,751,980
633	CH ALL ACCESS	8,562,000	\$ 19,692,600
636	KERRY LOG NET	426,109	\$ 4,840,598
639	SHOUGANG RES	45,556,899	\$ 79,724,573
651	CHI OCEAN IND	312,965,000	\$ 24,411,270
656	FOSUN INTL	125,860,002	\$ 1,510,320,024
658	C TRANSMISSION	23,216,691	\$ 195,484,538
659	NWS HOLDINGS	5,331,704	\$ 82,001,608
665	HAITONG INT'L	138,317,994	\$ 680,524,530
669	TECHTRONIC IND	4,702,467	\$ 160,354,125
670	CHINA EAST AIR	71,756,122	\$ 307,116,202
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	101,800,000	\$ 26,977,000
683	KERRY PPT	7,043,619	\$ 199,686,599
686	PANDA GREEN	130,983,939	\$ 136,223,297
688	CHINA OVERSEAS	62,429,369	\$ 1,648,135,342
693	TAN CHONG INT'L	0	\$ 0
694	BEIJING AIRPORT	12,407,937	\$ 156,340,006
696	TRAVELSKY TECH	4,702,500	\$ 98,752,500
697	SHOUGANG INT'L	0	\$ 0
698	TONGDA GROUP	471,063,973	\$ 1,055,183,300



Aggregated Reportable Short Positions of Specified Shares as of 04/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
699	CAR INC	90,678,000	\$ 630,212,100
700	TENCENT	109,207,356	\$ 34,007,170,658
702	SINO OIL & GAS	82,465,000	\$ 14,101,515
708	EVERG HEALTH	0	\$ 0
709	GIORDANO INT'L	7,032,000	\$ 30,307,920
715	CHINA OCEANWIDE	17,621,619	\$ 11,982,701
716	SINGAMAS CONT	2,354,000	\$ 2,918,960
717	EMPEROR CAPITAL	19,632,974	\$ 14,135,741
718	TAI UNITED HOLD	1,065,000	\$ 1,246,050
721	C FIN INT INV	65,852,718	\$ 18,768,025
728	CHINA TELECOM	62,759,517	\$ 232,837,808
729	FDG EVEHICLES	456,593,897	\$ 146,110,047
732	TRULY INT'L	148,747,451	\$ 379,306,000
737	HOPEWELL INFR	10,221,500	\$ 48,143,265
750	SINGYES SOLAR	47,548,243	\$ 142,644,729
751	SKYWORTHDIGITAL	116,197,385	\$ 469,437,435
752	PICO FAR EAST	480,000	\$ 1,545,600
753	AIR CHINA	28,912,769	\$ 204,413,277
754	HOPSON DEV HOLD	0	\$ 0
762	CHINA UNICOM	195,600,989	\$ 2,292,443,591
763	ZTE	30,095,815	\$ 585,664,560
775	CKLIFE SCIENCES	0	\$ 0
777	NETDRAGON	7,452,235	\$ 156,869,547
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	70,450,827	\$ 52,133,612
798	CEOVU	0	\$ 0
799	IGG	34,567,470	\$ 464,586,797
801	GOLDEN MEDITECH	5,865,847	\$ 6,628,407
806	VALUE PARTNERS	78,782,296	\$ 582,988,990
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	2,979,000	\$ 19,333,710
813	SHIMAO PROPERTY	16,568,871	\$ 241,905,517
815	CHI SILVER GP	34,623,863	\$ 52,282,033
816	HUADIAN FUXIN	153,314,136	\$ 262,167,173
817	CHINA JINMAO	71,352,782	\$ 247,594,154
818	HI SUN TECH	14,273,181	\$ 20,553,381
819	TIANNENG POWER	51,250,120	\$ 316,725,742
823	LINK REIT	18,052,279	\$ 1,153,540,628
826	TIANGONG INT'L	0	\$ 0
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	2,837,000	\$ 7,291,090



Aggregated Reportable Short Positions of Specified Shares as of 04/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
836	CHINA RES POWER	17,694,172	\$ 268,597,531
845	GLORIOUS PPT H	18,873,000	\$ 16,796,970
853	MICROPORT	351,000	\$ 2,386,800
855	CHINA WATER	42,249,394	\$ 184,629,852
856	VST HOLDINGS	0	\$ 0
857	PETROCHINA	461,495,914	\$ 2,316,709,488
861	DC HOLDINGS	49,283,800	\$ 256,768,598
867	CMS	32,579,296	\$ 424,182,434
868	XINYI GLASS	33,624,931	\$ 254,204,478
874	BAIYUNSHAN PH	892,000	\$ 17,929,200
875	CHINA FIN INV	0	\$ 0
877	O-NET TECH GP	44,755,838	\$ 217,960,931
878	SOUNDWILL HOLD	0	\$ 0
880	SJM HOLDINGS	78,712,512	\$ 565,942,961
881	ZHONGSHENG HLDG	20,397,123	\$ 373,267,351
882	TIANJIN DEV	2,072,000	\$ 9,199,680
883	CNOOC	148,647,525	\$ 1,293,233,468
884	CIFI HOLD GP	77,560,765	\$ 322,652,782
885	RENTIAN TECH	16,930,000	\$ 6,348,750
895	DONGJIANG ENV	1,931,933	\$ 20,285,297
902	HUANENG POWER	169,966,639	\$ 887,225,856
903	TPV TECHNOLOGY	2,679,000	\$ 3,938,130
906	COFCO PACKAGING	0	\$ 0
914	CONCH CEMENT	105,652,043	\$ 2,974,105,010
916	CHINA LONGYUAN	88,345,743	\$ 536,258,660
921	HISENSE KELON	433,000	\$ 4,711,040
931	CHINA LNG	545,012,565	\$ 67,036,545
933	BRIGHTOIL	33,334,965	\$ 68,336,678
934	SINOPEC KANTONS	28,932,079	\$ 138,006,017
939	CCB	939,523,146	\$ 6,200,852,764
941	CHINA MOBILE	34,273,317	\$ 2,856,680,972
950	LEE'S PHARM	825,971	\$ 5,178,838
951	CHAOWEI POWER	29,222,308	\$ 125,948,147
956	CHINA SUNTIEN	25,114,094	\$ 43,196,242
958	HN RENEWABLES	136,458,552	\$ 327,500,525
960	LONGFOR PPT	18,505,059	\$ 352,336,323
963	BLOOMAGE BIOTEC	2,248,184	\$ 33,093,268
966	CHINA TAIPING	35,240,309	\$ 879,245,710
968	XINYI SOLAR	335,480,446	\$ 885,668,377
973	L'OCCITANE	375,500	\$ 6,713,940
976	CHIHO ENV	14,811,399	\$ 57,764,456



Aggregated Reportable Short Positions of Specified Shares as of 04/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
978	CHI MER LAND	8,056,000	\$ 12,728,480
981	SMIC	439,650,928	\$ 3,728,239,869
985	NETMIND FIN	0	\$ 0
988	LOUDONG GN RES	1,008,000	\$ 292,320
989	GROUND INTL DEV	0	\$ 0
991	DATANG POWER	33,564,454	\$ 84,918,069
992	LENOVO GROUP	816,945,549	\$ 3,945,847,002
993	HUARONG INT FIN	763,000	\$ 2,113,510
995	ANHUIEXPRESSWAY	100,000	\$ 603,000
996	CARNIVAL GROUP	153,409,000	\$ 105,852,210
998	CITIC BANK	292,952,722	\$ 1,505,776,991
999	I.T	0	\$ 0
1003	HUANXI MEDIA	1,031,727	\$ 2,042,819
1004	C SMARTERENERGY	0	\$ 0
1019	CONVOY	219,568,000	\$ 50,939,776
1027	CHINA JICHENG	0	\$ 0
1028	C.BANNER	1,136,000	\$ 3,430,720
1029	IRC	27,777,646	\$ 9,166,623
1030	FUTURE LAND	86,365,832	\$ 278,961,637
1031	KINGSTON FIN	110,367,386	\$ 321,169,093
1033	SINOPEC SSC	127,604,545	\$ 159,505,681
1038	CKI HOLDINGS	38,527,383	\$ 2,777,824,314
1039	FORTUNET E-COMM	0	\$ 0
1044	HENGAN INT'L	56,713,027	\$ 3,450,987,693
1045	APT SATELLITE	2,022,114	\$ 7,845,802
1051	G-RESOURCES	38,469,000	\$ 4,077,714
1052	YUEXIUTRANSPORT	26,221,913	\$ 152,873,753
1053	CHONGQING IRON	9,419,337	\$ 16,483,840
1055	CHINA SOUTH AIR	87,587,516	\$ 522,021,595
1060	ALI PICTURES	1,115,784,506	\$ 1,495,151,238
1065	TIANJIN CAPITAL	14,126,000	\$ 71,336,300
1066	WEIGAO GROUP	29,048,424	\$ 180,100,229
1068	YURUN FOOD	35,524,256	\$ 35,879,499
1070	TCL MULTIMEDIA	24,221,055	\$ 96,399,799
1071	HUADIAN POWER	50,065,073	\$ 164,213,439
1072	DONGFANG ELEC	7,446,380	\$ 63,443,158
1076	IMPERIAL PAC	300,580,855	\$ 38,474,349
1083	TOWNGAS CHINA	12,145,905	\$ 67,288,314
1086	GOODBABY INTL	14,954,185	\$ 56,676,361
1088	CHINA SHENHUA	37,500,808	\$ 744,016,031
1089	LEYOU TECH H	24,825,049	\$ 45,181,589



Aggregated Reportable Short Positions of Specified Shares as of 04/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1093	CSPC PHARMA	52,301,724	\$ 637,034,998
1098	ROAD KING INFRA	0	\$ 0
1099	SINOPHARM	13,462,309	\$ 444,256,197
1103	DASHENG AGR FIN	31,815,999	\$ 22,589,359
1106	SINO HAIJING	0	\$ 0
1108	LUOYANG GLASS	2,239,978	\$ 9,900,703
1109	CHINA RES LAND	25,492,476	\$ 614,368,672
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	20,489,150	\$ 516,326,580
1113	CK PROPERTY	7,701,127	\$ 522,136,411
1114	BRILLIANCE CHI	185,597,895	\$ 3,851,156,321
1115	TIBET WATER	56,659,000	\$ 175,076,310
1117	CH MODERN D	73,761,041	\$ 103,265,457
1122	QINGLING MOTORS	480,000	\$ 1,272,000
1128	WYNN MACAU	90,372,188	\$ 1,467,644,333
1129	WATER INDUSTRY	6,733,896	\$ 11,380,284
1133	HARBIN ELECTRIC	4,411,000	\$ 19,717,170
1136	TCC INT'L HOLD	1,796,000	\$ 6,411,720
1138	COSCO SHIP ENGY	11,604,414	\$ 52,800,084
1140	OP FIN INV	940,000	\$ 2,039,800
1141	CMBC CAPITAL	102,693,075	\$ 36,969,507
1157	ZOOMLION	69,251,226	\$ 286,007,563
1165	SFCE	54,921,348	\$ 23,341,573
1168	SINOLINK HOLD	1,974,000	\$ 2,191,140
1169	HAIER ELEC	1,335,000	\$ 27,300,750
1171	YANZHOU COAL	69,182,577	\$ 545,158,707
1176	ZHUGUANG HOLD	6,762,000	\$ 6,423,900
1177	SINO BIOPHARM	129,815,476	\$ 880,148,927
1186	CHINA RAIL CONS	126,515,526	\$ 1,313,231,160
1188	HYBRID KINETIC	169,375,985	\$ 33,028,317
1193	CHINA RES GAS	39,915,226	\$ 1,173,507,644
1194	MUNSUN CAPITAL	283,287,902	\$ 28,328,790
1196	REALORD GROUP	5,712,000	\$ 23,019,360
1199	COSCO SHIP PORT	38,225,710	\$ 365,820,045
1205	CITIC RESOURCES	49,690,837	\$ 48,200,112
1206	TECHNOVATOR	16,850,000	\$ 39,260,500
1208	MMG	67,481,538	\$ 245,632,798
1211	BYD COMPANY	105,387,142	\$ 5,084,929,602
1212	LIFESTYLE INT'L	0	\$ 0
1219	TENWOW INT'L	652,273	\$ 997,978
1221	SINO HOTELS	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 04/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1224	C C LAND	2,371,033	\$ 4,196,728
1230	YASHILI INT'L	26,983,000	\$ 39,934,840
1233	TIMES PPT	1,846,000	\$ 11,057,540
1234	CHINA LILANG	244,000	\$ 1,305,400
1238	POWERLONG	1,060,000	\$ 3,752,400
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	0	\$ 0
1253	GREENLAND BROAD	20,432,000	\$ 37,594,880
1270	LANGHAM-SS	0	\$ 0
1278	CHINANEWTOWN	0	\$ 0
1282	CHINA GOLDJOY	167,293,445	\$ 69,426,780
1288	ABC	91,272,122	\$ 338,619,573
1293	GRAND BAOXIN	9,021,035	\$ 35,993,930
1297	SINOSOFT TECH	24,724,170	\$ 58,349,041
1299	AIA	50,773,017	\$ 3,094,615,386
1302	LIFETECH SCI	39,784,000	\$ 67,632,800
1308	SITC	10,795,764	\$ 72,331,619
1310	HKBN	19,666,142	\$ 150,445,986
1313	CHINARES CEMENT	28,179,569	\$ 132,162,179
1315	VISION FAME	106,576,000	\$ 67,142,880
1316	NEXTEER	17,221,469	\$ 201,491,187
1317	MAPLELEAF EDU	55,492,667	\$ 377,350,136
1330	DYNAGREEN ENV	1,125,000	\$ 4,522,500
1332	CHINA TOUYUN	4,939,675	\$ 2,395,742
1333	CHINA ZHONGWANG	115,409,051	\$ 465,098,476
1336	NCI	18,892,670	\$ 1,005,090,044
1339	PICC GROUP	31,622,769	\$ 118,585,384
1347	HUA HONG SEMI	1,491,000	\$ 16,281,720
1349	FUDANZHANGJIANG	3,653,345	\$ 15,709,384
1359	CHINA CINDA	177,817,240	\$ 599,244,099
1361	361 DEGREES	15,024,000	\$ 42,818,400
1363	CTEG	218,405,113	\$ 294,846,903
1366	JIANGNAN GP	36,121,984	\$ 21,311,971
1368	XTEP INT'L	35,213,600	\$ 107,401,480
1371	CHINA LOTSYN	156,280,000	\$ 23,442,000
1375	CC SECURITIES	23,313,749	\$ 86,727,146
1378	CHINAHONGQIAO	92,698,057	\$ 653,521,302
1381	CANVEST ENV	12,469,327	\$ 53,618,106
1382	PACIFICTEXTILES	9,760,000	\$ 84,424,000
1383	SUNCITY GROUP	3,500,000	\$ 1,732,500
1385	SHANGHAI FUDAN	150,000	\$ 798,000



Aggregated Reportable Short Positions of Specified Shares as of 04/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1387	RENHE COMM	668,162,063	\$ 116,928,361
1389	MAJOR HLDGS	3,688,000	\$ 579,016
1398	ICBC	355,078,211	\$ 1,999,090,328
1426	SPRING REIT	0	\$ 0
1428	BRIGHT SMART	23,936,112	\$ 61,994,530
1431	YST DAIRY	0	\$ 0
1432	CHINA SHENGMU	33,745,000	\$ 49,267,700
1448	FU SHOU YUAN	15,766,000	\$ 77,095,740
1456	GUOLIAN SEC	0	\$ 0
1458	ZHOU HEI YA	12,842,817	\$ 100,302,401
1462	GOLD-FIN HLDG	0	\$ 0
1476	HENGTOU SEC	0	\$ 0
1478	Q TECH	14,235,000	\$ 123,702,150
1508	CHINA RE	10,744,000	\$ 20,091,280
1509	HARMONICARE	3,925,945	\$ 12,013,392
1513	LIVZON PHARMA	195,284	\$ 8,367,919
1515	CRPHOENIXHEALTH	56,150,127	\$ 560,378,267
1528	RS MACALLINE	721,000	\$ 5,904,990
1530	3SBIO	78,494,531	\$ 771,601,240
1548	GENSCRIPT BIO	10,176,696	\$ 43,963,327
1558	HEC PHARM	0	\$ 0
1566	CHINA ANIMATION	8,636,000	\$ 26,685,240
1573	CH UNIENERGY	0	\$ 0
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	0	\$ 0
1585	YADEA	2,940,000	\$ 5,821,200
1589	CNLP	13,650,000	\$ 36,855,000
1599	UCD	349,000	\$ 1,811,310
1600	TIAN LUN GAS	0	\$ 0
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	11,327,426	\$ 18,010,607
1616	SILVERMAN HL	2,182,228	\$ 1,745,782
1618	MCC	27,714,650	\$ 75,938,141
1622	REDCO PPT	1,278,800	\$ 3,631,792
1623	HILONG	16,534,000	\$ 20,998,180
1628	YUZHOU PPT	4,188,000	\$ 19,264,800
1632	FOODWISE HLDG	482,000	\$ 5,109,200
1636	CMRU	3,032,000	\$ 9,156,640
1658	PSBC	99,939,325	\$ 460,720,288
1661	WISDOM SPORTS	34,778,000	\$ 38,255,800



Aggregated Reportable Short Positions of Specified Shares as of 04/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1666	TONG REN TANG	10,272,531	\$ 116,695,952
1668	CHINASOUTHCITY	114,686,041	\$ 192,672,549
1680	MACAU LEGEND	52,961,654	\$ 72,027,849
1681	CONSUN PHARMA	0	\$ 0
1717	AUSNUTRIA	0	\$ 0
1728	ZHENGTONGAUTO	50,637,483	\$ 388,895,869
1766	CRRC	351,829,662	\$ 2,497,990,600
1776	GF SEC	28,837,300	\$ 463,127,038
1777	FANTASIA	0	\$ 0
1778	COLOUR LIFE	8,732,411	\$ 47,242,344
1786	CRCCE	24,755,077	\$ 69,314,216
1788	GUOTAI JUNAN I	226,462,197	\$ 595,595,578
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	58,333,876	\$ 611,339,020
1803	BJ SPORTS & ENT	595,000	\$ 1,457,750
1811	CGN NEW ENERGY	13,394,000	\$ 15,135,220
1812	CHENMING PAPER	3,631,500	\$ 42,779,070
1813	KWG PROPERTY	48,452,188	\$ 269,394,165
1816	CGN POWER	582,670,987	\$ 1,217,782,363
1818	ZHAOJIN MINING	89,821,349	\$ 594,617,330
1828	DCH HOLDINGS	1,397,000	\$ 5,420,360
1829	CMEC	6,637,951	\$ 33,986,309
1836	STELLA HOLDINGS	3,720,677	\$ 49,857,072
1848	CALC	1,634,500	\$ 15,086,435
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	9,227,351	\$ 203,463,090
1883	CITIC TELECOM	3,331,000	\$ 7,761,230
1886	HUIYUAN JUICE	10,764,627	\$ 26,911,568
1888	KB LAMINATES	6,498,500	\$ 71,483,500
1893	SINOMA	5,819,000	\$ 18,620,800
1898	CHINA COAL	165,531,222	\$ 695,231,132
1899	XINGDA INT'L	12,408,007	\$ 41,070,503
1910	SAMSONITE	15,560,415	\$ 489,375,052
1913	PRADA	10,697,944	\$ 298,472,638
1918	SUNAC	188,599,933	\$ 3,556,994,736
1919	COSCO SHIP HOLD	50,146,457	\$ 255,746,931
1928	SANDS CHINA LTD	110,876,433	\$ 3,952,744,836
1929	CHOW TAI FOOK	13,523,326	\$ 108,321,841
1958	BAIC MOTOR	71,821,996	\$ 488,389,573
1963	BCQ	1,238,500	\$ 8,136,945



Aggregated Reportable Short Positions of Specified Shares as of 04/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1966	CHINA SCE PPT	964,000	\$ 3,759,600
1970	IMAX CHINA	9,871,404	\$ 191,307,810
1972	SWIREPROPERTIES	18,217,301	\$ 496,421,452
1980	TIANGE	16,901,000	\$ 104,786,200
1988	MINSHENG BANK	457,893,236	\$ 3,635,672,294
1999	MAN WAH HLDGS	62,383,953	\$ 421,091,683
2005	SSY GROUP	5,347,756	\$ 18,877,579
2006	JINJIANG HOTELS	39,814,788	\$ 87,194,386
2007	COUNTRY GARDEN	323,074,838	\$ 3,327,670,831
2008	PHOENIX TV	9,992,000	\$ 11,490,800
2009	BBMG	114,711,581	\$ 466,876,135
2014	OZNER WATER	37,295,000	\$ 72,352,300
2016	CZBANK	0	\$ 0
2018	AAC TECH	42,689,663	\$ 4,623,290,503
2020	ANTA SPORTS	67,142,976	\$ 1,816,217,501
2038	FIH	50,510,501	\$ 132,337,513
2039	CIMC	2,712,700	\$ 44,271,264
2066	SHENGJINGBANK	1,813,000	\$ 12,165,230
2099	CHINAGOLDINTL	0	\$ 0
2111	BEST PACIFIC	8,620,000	\$ 39,048,600
2128	CHINA LESSO	25,058,322	\$ 134,813,772
2136	LIFESTYLE CHI	536,000	\$ 1,747,360
2168	YINGDE GASES	0	\$ 0
2186	LUYE PHARMA	195,628,814	\$ 903,805,121
2196	FOSUN PHARMA	11,674,189	\$ 335,632,934
2199	REGINA MIRACLE	18,600,106	\$ 127,782,728
2200	HOSA INT'L	7,302,090	\$ 17,744,079
2202	CHINA VANKE	34,761,902	\$ 796,047,556
2208	GOLDWIND	49,267,627	\$ 437,496,528
2226	HONWORLD GP	0	\$ 0
2233	WESTCHINACEMENT	79,380,000	\$ 90,493,200
2238	GAC GROUP	50,958,173	\$ 784,755,864
2255	HAICHANG HLDG	4,687,000	\$ 7,827,290
2277	HUARONG INV	0	\$ 0
2280	HC INTL	23,286,000	\$ 132,963,060
2282	MGM CHINA	81,969,229	\$ 1,259,047,357
2298	COSMO LADY	64,880,765	\$ 192,047,064
2300	AMVIG HOLDINGS	206,000	\$ 469,680
2313	SHENZHO INTL	14,550,054	\$ 775,517,878
2314	LEE & MAN PAPER	8,309,591	\$ 65,811,961
2318	PING AN	1,092,565,243	\$ 64,297,464,551



Aggregated Reportable Short Positions of Specified Shares as of 04/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2319	MENGNU DAIRY	53,355,742	\$ 819,544,197
2322	NOBLE CENTURY	0	\$ 0
2323	CHINA HKBRIDGE	0	\$ 0
2326	BEP INT'L HOLD	179,643,325	\$ 40,240,105
2328	PICC P&C	46,931,326	\$ 698,338,131
2329	GUORUI PPT	3,711,000	\$ 9,314,610
2331	LI NING	26,832,538	\$ 166,361,736
2333	GREATWALL MOTOR	551,439,028	\$ 5,415,131,255
2338	WEICHAI POWER	249,562,509	\$ 1,959,065,696
2342	COMBA	6,046,619	\$ 6,590,815
2343	PACIFIC BASIN	223,663,061	\$ 382,463,834
2348	DAWNRAYS PHARMA	611,800	\$ 2,857,106
2355	BAOYE GROUP	62,000	\$ 368,280
2356	DAHSHING BANKING	2,561,751	\$ 42,883,712
2357	AVICHINA	130,368,837	\$ 651,844,185
2358	JIU RONG HOLD	0	\$ 0
2369	COOLPAD GROUP	78,428,148	\$ 56,468,267
2378	PRU	0	\$ 0
2380	CHINA POWER	114,499,186	\$ 304,567,835
2382	SUNNY OPTICAL	26,093,550	\$ 2,409,739,343
2383	TOM GROUP	816,000	\$ 1,542,240
2386	SINOPEC SEG	12,572,319	\$ 88,634,849
2388	BOC HONG KONG	40,605,558	\$ 1,593,768,152
2389	BJ ENT M&H	21,822,000	\$ 10,256,340
2393	YESTAR HEALTH	8,445,468	\$ 32,768,416
2399	FORDOO	201,000	\$ 1,185,900
2588	BOC AVIATION	805,000	\$ 33,528,250
2600	CHALCO	561,495,149	\$ 2,835,550,502
2601	CPIC	37,071,236	\$ 1,341,978,743
2607	SH PHARMA	4,990,216	\$ 103,796,493
2608	SUNSHINE 100	0	\$ 0
2628	CHINA LIFE	65,982,670	\$ 1,642,968,483
2638	HKELECTRIC-SS	217,104,147	\$ 1,563,149,858
2666	UNI MEDICAL	26,657,870	\$ 171,943,262
2669	CHINA OVS PPT	88,936,488	\$ 127,179,178
2678	TEXHONG TEXTILE	4,495,650	\$ 38,392,851
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	34,657,294	\$ 1,855,898,094
2689	ND PAPER	86,379,166	\$ 955,353,576
2698	WEIQIAO TEXTILE	0	\$ 0
2727	SH ELECTRIC	228,939,987	\$ 844,788,552



Aggregated Reportable Short Positions of Specified Shares as of 04/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2768	JIAYUAN INTL	1,796,000	\$ 8,566,920
2777	R&F PROPERTIES	65,944,738	\$ 933,777,490
2778	CHAMPION REIT	0	\$ 0
2799	CHINA HUARONG	246,896,702	\$ 804,883,249
2800	TRACKER FUND	4,338,000	\$ 122,548,500
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2805	VG FTSE ASIAXJ	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2816	X DBCSI300REAL	0	\$ 0
2817	WISE HKLMCONS	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	60,869,348	\$ 833,910,068
2823	ISHARES A50	187,896,606	\$ 2,480,235,199
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	X WISECSI300ETF	0	\$ 0
2828	HS H ETF	2,741,800	\$ 309,275,040
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2835	MR KOSPI200 ETF	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2839	WISE HKLMREAL	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2844	X DBCSI300FIN	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	DBMSCIKOREA	0	\$ 0
2866	COSCO SHIP DEV	69,388,771	\$ 133,920,328
2868	BJ CAPITAL LAND	9,825,105	\$ 39,496,922
2869	GREENTOWN SER	2,822,000	\$ 12,219,260
2877	SHINEWAY PHARM	3,142,000	\$ 23,973,460
2880	DALIAN PORT	45,248,688	\$ 65,610,598
2883	CHINA OILFIELD	178,521,391	\$ 1,235,368,026
2888	STANCHART	7,960,776	\$ 655,569,904
2899	ZIJIN MINING	170,197,944	\$ 491,872,058



Aggregated Reportable Short Positions of Specified Shares as of 04/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3005	X DBCSI300IND	0	\$ 0
3007	DBFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3009	X DBMSCIEM	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3013	X DBMSCIASIAHDY	0	\$ 0
3015	X DBNIFTY50	0	\$ 0
3016	DBMSCIPHIL	0	\$ 0
3017	X DBCSI300ENER	0	\$ 0
3019	X DBMSCIWORLD	0	\$ 0
3020	X DBMSCIUSA	0	\$ 0
3021	CSOP MSCI T50	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3025	X DBCSI300CONDI	0	\$ 0
3026	X DBAUDCASH	0	\$ 0
3027	X DBMSCIRUSSIA	0	\$ 0
3035	X DBMSCIEMASIA	0	\$ 0
3036	DBMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	DBMSCIPACEXJ	0	\$ 0
3045	X DBMSCIINDIA	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	DBMSCIBRAZIL	0	\$ 0
3049	X DBCSI300	0	\$ 0
3052	X DBCSI300UTIL	0	\$ 0
3054	MR ASIA CON ETF	0	\$ 0
3055	DBMSCICHINA	0	\$ 0
3056	MR GL CON ETF	0	\$ 0
3057	X DBCSI300HEAL	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3061	X DBCSI300BANK	0	\$ 0
3062	X DBCSI300MAT	0	\$ 0
3063	X DBCSI300TRANS	0	\$ 0
3065	DBMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	DBMSCIMALAY	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 04/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3084	VALUE JAPAN ETF	0	\$ 0
3085	VGFTSEASIAJHDY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X DBFTSEVIET	0	\$ 0
3092	DBMSCITHAI	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X DBMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VG FTSE DEV EUR	0	\$ 0
3102	XIE GARY ETF	0	\$ 0
3105	X DBMSCIBANGLA	0	\$ 0
3106	X DBMSCIPAKIST	0	\$ 0
3107	C-SHS CSI STA	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3124	F SAM HSIF	0	\$ 0
3126	VG FTSE JAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3132	C-SHS CSI HLC	0	\$ 0
3134	F SAM HSIFRF	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0
3140	VG S&P 500	0	\$ 0
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI A I	0	\$ 0
3150	HGI CSI500	0	\$ 0
3153	MR A HEALTH ETF	0	\$ 0
3155	ISHARESES50	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 04/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3162	ISHARESMSCIAI	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3167	CSOP NEW CHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3188	CAM CSI300	36,389,845	\$ 1,604,792,165
3199	CSOP 5YCVBOND	0	\$ 0
3301	RONSHINECHINA	2,848,500	\$ 24,183,765
3308	GOLDEN EAGLE	30,511,340	\$ 308,164,534
3311	CHINA STATE CON	38,308,130	\$ 481,150,113
3320	CHINARES PHARMA	21,110,578	\$ 200,550,491
3323	CNBM	249,174,071	\$ 1,166,134,652
3328	BANKCOMM	111,470,510	\$ 657,676,009
3331	VINDA INT'L	0	\$ 0
3333	EVERGRANDE	311,542,240	\$ 6,050,150,301
3336	JU TENG INTL	3,579,292	\$ 10,952,634
3337	ANTON OILFIELD	79,892,010	\$ 59,120,087
3339	LONKING	22,406,600	\$ 58,929,358
3360	FE HORIZON	30,422,520	\$ 205,352,010
3369	QHD PORT	1,941,000	\$ 4,871,910
3377	SINO-OCEAN GP	33,688,903	\$ 141,493,393
3378	XIAMEN PORT	2,226,000	\$ 3,428,040
3380	LOGAN PPT	30,360,061	\$ 208,270,018
3382	TIANJINPORT DEV	2,098,000	\$ 2,748,380
3383	AGILE GROUP	124,590,495	\$ 1,122,560,360
3389	HENGDELI	14,759,758	\$ 12,103,002
3393	WASION GROUP	42,715,789	\$ 149,078,104
3396	LEGENDHOLDING	1,987,702	\$ 40,449,736
3606	FUYAO GLASS	23,675,813	\$ 639,246,951
3618	CQRC BANK	47,445,051	\$ 279,925,801
3633	ZHONGYU GAS	0	\$ 0
3636	POLY CULTURE	334,000	\$ 6,012,000
3669	YONGDA AUTO	44,380,881	\$ 445,584,045
3698	HUIZHANG BANK	5,200,671	\$ 19,814,557



Aggregated Reportable Short Positions of Specified Shares as of 04/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3709	KORADIOR	1,385,000	\$ 10,318,250
3799	DALI FOODS	85,501,000	\$ 403,564,720
3800	GCL-POLY ENERGY	1,925,914,341	\$ 1,637,027,190
3808	SINOTRUK	58,053,000	\$ 500,997,390
3813	POU SHENG INT'L	114,541,798	\$ 176,394,369
3818	CHINA DONGXIANG	63,594,000	\$ 89,667,540
3836	HARMONY AUTO	61,148,173	\$ 235,420,466
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	1,030,000	\$ 3,378,400
3886	TOWN HEALTH	119,326,441	\$ 79,948,715
3888	KINGSOFT	50,977,550	\$ 1,073,077,428
3898	CRRR TIMES ELEC	30,003,391	\$ 1,144,629,367
3899	CIMC ENRIC	14,700,000	\$ 80,703,000
3900	GREENTOWN CHINA	53,558,073	\$ 548,434,668
3908	CICC	10,238,596	\$ 136,378,099
3918	NAGACORP	35,434,403	\$ 171,502,511
3933	UNITED LAB	139,634,035	\$ 795,914,000
3958	DFZQ	2,343,200	\$ 19,401,696
3966	CH BAOFENG INTL	0	\$ 0
3968	CM BANK	182,823,913	\$ 4,817,410,108
3969	CHINA CRSC	850,000	\$ 5,049,000
3983	CHINA BLUECHEM	21,345,454	\$ 47,813,817
3988	BANK OF CHINA	113,898,042	\$ 449,897,266
3989	CAPITAL ENV	12,029,395	\$ 3,428,378
3993	CMOC	150,570,036	\$ 621,854,249
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	4,484,000	\$ 3,004,280
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
4362	ISHARES KOR-TR	0	\$ 0
4363	ISHARES TWN-TR	0	\$ 0
6030	CITIC SEC	77,396,874	\$ 1,283,240,171
6066	CSC	1,355,511	\$ 9,800,345
6099	CMSC	2,488,750	\$ 31,507,575
6136	KANGDA ENV	5,363,000	\$ 8,259,020
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 04/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6166	CHINA VAST	0	\$ 0
6178	EB SECURITIES	2,910,600	\$ 31,667,328
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	1,302,000	\$ 5,741,820
6808	SUNART RETAIL	161,008,070	\$ 1,017,571,002
6818	CEB BANK	142,923,615	\$ 541,680,501
6823	HKT-SS	16,818,046	\$ 172,216,791
6826	HAOHAI BIOTEC	14,000	\$ 568,400
6828	BG BLUE SKY	39,856,000	\$ 21,123,680
6837	HAITONG SEC	65,830,153	\$ 868,958,020
6839	YUNNAN WATER	900,000	\$ 3,087,000
6863	HUIZHAN DAIRY	365,134,636	\$ 153,356,547
6869	YOFC	1,946,000	\$ 33,120,920
6881	CGS	83,488,727	\$ 591,935,074
6886	HTSC	36,072,524	\$ 600,968,250
6889	DYNAM JAPAN	490,800	\$ 6,439,296
6899	OURGAME	3,411,000	\$ 7,060,770
7200	FL2 CSOP HSI	0	\$ 0
7202	FL2CSOP NIFTY50	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7222	XL2 MR S&P500	0	\$ 0
7225	FL2 MR TOPIX	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7250	FL2 SAMSUNGK200	0	\$ 0
7255	FL2SAMSUNGTOPIX	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7311	FI SAMSUNGTOPIX	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7315	FI MR TOPIX	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0
7326	FI SAMSUNGK200	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 04/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7331	FICAMDXNNDQ100	0	\$ 0
7335	FICSOP NIFTY50	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8005	YUXING INFOTECH	3,488,000	\$ 2,860,160
8008	SUNEVISION	0	\$ 0
8021	WLS HOLDINGS	0	\$ 0
8032	VIVA CHINA	11,959,673	\$ 8,730,561
8057	MADISON WINE	1,108,000	\$ 2,083,040
8078	HMV DIGIT CHINA	4,660,000	\$ 1,304,800
8083	INNOVATIONPAY	41,111,024	\$ 17,883,295
8095	BEIDA JADE BIRD	383,000	\$ 712,380
8137	HONBRIDGE	0	\$ 0
8138	TONGRENTANGCM	232,000	\$ 2,449,920
8158	CRMI	35,710,000	\$ 7,856,200
8193	GC PRO SER	0	\$ 0
8207	CREDIT CHINA	92,296,000	\$ 99,679,680
8237	LINK HOLDINGS	0	\$ 0
8265	CHINA TRUSTFUL	780,000	\$ 2,394,600
8279	AGTECH HOLDINGS	35,708,000	\$ 45,706,240
8325	CHINA SMARTPAY	6,630,000	\$ 6,364,800
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARESMSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESESE50-U	0	\$ 0
9170	ISHARES KKS200-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
80737	HOPEWELL INFR-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HS H ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 04/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83107	C-SHS CSI STA-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83132	C-SHS CSI HLC-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI A I-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESE50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83162	ISHAREMSCIAI-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83170	ISHARESKS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83199	CSOP 5YRGBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0